## COMP90054 AI Planning for Autonomy Azul Project Group 09 Report

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#### 1 Introduction

This project aims to develop agents using different techniques to play the Game Azul in the two-player setup.<sup>1</sup>. The best performing agent would participate in a final tournament against other student teams and the staff team agents.

#### 2 Evaluation Metric

The following metrics were used to evaluate and compare the performance of agents over 400 games:

- Win Rate (%): Assess the percentage of game won over opponent
- Average Score (Points): Assess the ability to minimize penalty given opponent's moves to minimize self score and ability to maximize score given a set of tiles per factory

# 3 Technique 1: Naive Agents

Naive agents are rule-based and only assesses the player's game\_state and the current turn's available moves. The provided naive\_Player is an example that ranks moves based on num\_to\_pattern\_line. Naive agents provide us basic insights of how to play the game as a new player, and could be used for pruning in more advanced agents and as benchmark baselines.

#### 3.1 V1: Archur\_01.py (Arc\_1)

Arc\_1 improves on  $Naive\_player.py(NP)$  by fixing a "typo", thus resolves ties of equal num\_to\_pattern\_line using a smaller num\_to\_floor value. The correction leads to 55.25% win rate against the NP agent.

#### 3.2 V2 and 3: Alb\_1 and Alb\_1a

albert\_player\_01\_v3.py (Alb\_1) uses 3 metrics for better ranking of moves,

• Metric A follows is extended from arc\_1 using (num\_to pattern\_line - num\_to\_floor\_line)

- Metric B rank uses (turn score + end game bonus score)
- Metric C, count\_neighbour selects moves surrounded by the most tiles in wall.

albert\_player\_01\_v3a.py (Alb\_1a) improved on Alb\_1 by removing Metric B's turn score component, since both metric B's turn score component and metric C attempts to select tiles well connected to others. Removing Turn score component eliminated redundancy and transformed a single metric score calculated using addition of sub components into two metrics, so metrics can be ranked by importance.

# 3.3 Performance Evaluation, Challenges and Improvement

Player vs Player	Win Rate (%)	Score (points)
Np vs Arc_1	42.50%:55.25%	32.08:34.70
Np vs Alb_1	32.25%:66.50%	32.76: 40.61
Np vs Alb_1a	30.50%:66.75%	33.91:41.09
Arc_1 vs Alb_1	38.80%:60.75%	35.85:40.47
Arc_1 vs Alb_1a	39.00%:59.00%	35.11: 39.34
Alb_1 vs Alb_1a	44.75%:53.25%	39.63: 41.35

Table 1: Naive Agents Results (400 runs)

Win rate of  $alb_1a$  hits a ceiling after reaching average 67% win rate against agent NP, since naive agent does not consider future states. However, metrics can still select moves beneficial to future turns (e.g. Metric C choose tiles with many neighbours with hope of row, column and set completion in the future). Possible improvement is limited unless agent considers future turns to formulate better metrics. The final baseline chosen was  $Albert\_player\_01\_03a.py$  after eliminating Agents NP, Arc\_1 and Alb\_1 with 6 comparisons. refer to table (1).

## 4 Technique Two: Breadth First Search Agents

Breadth First Search (BFS) technique is a search algorithm to search a tree or graph structure data

<sup>1</sup>https://www.ultraboardgames.com/azul/game-rules.php

completely, layer by layer. BFS allows consideration of moves in future turns.

#### 4.1 V2 and 3: Alb\_2a and Alb\_2b

Albert\_player\_02\_v4, (Alb\_2a) considers moves in three consecutive turns, "current turn", "opponent turn" and the next "self turn". For each moves in current turn, BFS finds the best move in the next "self turn" and tie break using best move from "opponent turn". Given that searching every move in 3 turns lead to timeout due to overwhelming number of moves, moves number were pruned after every move rank. Albert\_player\_02\_v6.py (Alb\_2b) provided a performance comparison of only using round score as the ranking metric, no extra metrics were used. It's 33.5% low win rate against Alb\_2a verified ranking requires multiple metrics.

# 4.2 Performance Evaluation, Challenges and Improvement

Player vs Player	Win Rate (%)	Score (points)
Alb_1a vs Alb_2a	42.00%:54.75%	37.29:40.51
	60.25%:39.00%	
Alb_2a vs Alb_2b	65.00%:33.50%	35.76:26.59

Table 2: BFS vs naive agent results

One of the benefits of BFS is that it allows consideration of the future turns. However, due to challenges including the potential to timeout, move number requires pruning and BFS loses it's "breadth" advantage, in contrast, Depth first search may lead to performance improvement. Our BFS was implemented to compare moves used a single metric score (round score), as verified in naive agent rank moves using single metric is inaccurately and multiple metrics should be used, thus explaining why the performance was so low compared to Alb\_1a.

#### 5 Technique 3: Minimax Agents

Minimax is a technique that combines search algorithms and game theory and is commonly used in two-player zero-sum games.([3]) It was designed to minimise the potential loss assuming the worst case scenario the opponent could create for the player. Minimax is also widely used in repeated games, such as Azul. Though Azul is not

strictly zero-sum as two players are having separate boards.

The Minimax value is defined by:

$$v_i = \max_{a_i} \min_{a_{-i}} v_i(a_i, a_{-1})$$

where

- $a_i$  denotes the action of our player
- $a_{i-1}$  denotes the action of the opponent
- $v_i$  is a heuristic score to assess how well the player performs at the end of search depth.

At each depth i the algorithm gives the maximum score of the player and at each depth i-1 the algorithm gives the minimum score of the player given the opponent's best counter.

## 5.1 V1: david\_player\_03.py (Dav\_3)

- Search depth: 3
- Actions pruned to 10 candidates using num\_to\_pattern\_line as the sorting method (Descending Order).
- $v_i = R_{me} R_{op}$ , where R denotes round score
- The action in  $A_3$  with the highest v is the action executed.

The basic Minimax algorithm is complete and is impractical to apply. A deep copy of the game\_state needs to be constructed for each branch and only about 1500 copies could be made during the 1 second window. The number of available moves at each turn often exceeds 50 in the earlier stages of a round.

To mitigate this, search depth was restricted to 3. The num\_pattern\_line of moves was used to sort and prune the moves to 10 candidates at each search depth. At the end of search an end-of round score is calculated for the two players and the score difference is used as the  $v_i$ . We found the depth of 3 reasonable as online games taught us that a pattern line should be filled using up to 2 moves per round and most poor moves could be pruned.

Against	Win Rate (%)	Avg Score/Game
vs Alb_1a	89.75%:9.75%	50.13:28.64
25-May Tournament	73.00%	46.33

Table 3: Dav\_03 Evaluation Results

Using  $Alb\_1a$  as baseline,  $Dav\_3$  outperformed it by huge margins. It secured a 5th place finish in the practice tournament on 25-May, just slightly below the staff\\_team\\_top agent. Its performance is not exactly satisfying as it often leaves multiple non-emptied pattern lines at the round end

and does not consider the effect of end-of-game bonuses. The sorting method could still be improved to resolve ties.

## 5.2 V2: david\_player\_03d.py (Dav\_3d)

We introduced two changes to the V1.

- Added -num\_to\_pattern\_line as the first tie breaker. Added the second tie breaker to look at tile options where the player has lower numbers of the tile color.
- $V_i = S_{me} S_{op}$ ,  $S = R + W_E \cdot E + W_L \cdot L$ where E denotes the end of game bonus and L denotes the number of non-empty yet notfilled pattern lines, and  $W_E, W_L$  are corresponding weights of E, L.

 $W_E$  is dynamic between 0 and 0.33 with respect to the end of search depth such that it gives a lower weighting to end-game bonuses near the end of each round.  $W_L$  is statically set as -1.75. Both weights are tuned through trial and error.

Adding the tie breaker alone produced advantage over  $Dav\_3$ . (50.75% vs 47.25% win-rate, 38.99 vs 37.97 average score). E and L functions assists the agent to look for opportunities in the end-game bonuses such as completing a full column and avoid leaving non-emptied lines at each round end.

Against	Win Rate (%)	Avg Score/Game
vs Alb_1a	93.75%:5.50%	52.76:26.24
vs Dav_3	71.00%:27.00%	44.45 : 35.10
30-May Tournament	85.37%	50.54

Table 4: Dav\_03d Evaluation Results

 $Dav\_3d$  performed better against  $Alb\_1a$  compared to  $Dav\_3$  with a higher win-rate and average score. It also displayed dominance over  $Dav\_3$  in head-to-head trials with over 70% win-rate and much higher score per game. Dav\\_3d secured the first place finish in the 30-May tournament with similar win-rate as the staff\\_team\\_top agent but higher average score per game.

# 5.3 V3: david\_player\_03e.py (Dav\_3e)

Last improvements over the V2 include

- Added Alpha-Beta pruning method to cut unnecessary branches.([2])
- Added a bonus of 0.75 for getting the first player token in the S function

- Added a start round Minimax session to search up to depth 4 if the player is the first player to utilise the 5 seconds window.
- Now  $v_i = S_{me} 0.9 \cdot S_{op}$

Alpha-Beta pruning allows slightly increased action size. Start round function was used to perform a deeper search. Since  $Dav\_3e$  does not store any states, it is only used when our agent is the first player. The 0.9 multiplier to  $S_{op}$  allows the agent to take more risks to reach for a higher score.

Against	Win Rate (%)	Avg Score/Game
vs Alb_1a	96.25%:3.50%	53.63:25.38
vs Dav_3	75.00%:24.25%	45.28:34.17
vs Dav_3d	55.00%:42.33%	41.78: 38.98
1-Jun Tournament	90.67%	55.13

Table 5: Dav\_03e Evaluation Results

Dav\_3e manages to perform slightly better against Dav\_3d and secured another first player finish in the tournament placing well above the staff\_team\_top agent. This marks the end of Minimax agents development. If given more time, a tree structure could be implemented to store the search results and reuse them in the next turn.

## 6 Technique 4: Monte Carlo Tree Search Agents (MCTS)

Monte Carlo Tree Search (MCTS) is a popular heuristic search algorithm for games. ([1]) A general MCTS search iteration comprises of four steps:

- 1. Selection: Start from Root node and select one of children that has not been simulated, u sing a selection policy.
- 2. Expansion: Select an unexecuted action of the selected node to execute and expand its child game\_state node
- 3. Simulation: Simulate till the terminal state using a roll-out policy and computes the reward.
- 4. Back Propagation: Back propagate the rewards to its ancestors recursively.

MCTS is ideal as its tree structure allows its search results to be used for the next turn. It could also adopt similar score functions defined for Minimax.

#### 6.1 V1: david\_player\_04.py (Dav\_4)

The basic MCTS agent was defined by:

• No prunning of actions

- UCB1 selection policy:
  - $z = \operatorname{argmax}_{a \in A(s)} Q(s, a) + \sqrt{\frac{2 \ln N(s)}{N(s, a)}}$
- Maximum exploration time to 0.95s per turn
- Simulate till the end of round using random move as the roll-out policy
- The v defined in Dav\_3d,  $W_E = 1$
- $Q(s, a) = \frac{sum(children.v)}{N}$ , where N is the number of visits of the node state.
- After 0.95s, the best child of the root node's transition action is the executed move.

	st Win		Avg Score/Game
vs All	o_1a   50.2	5%:46.00%	25.53:23.97

Table 6: Dav\_04 Evaluation Results

By looking at the replays, the agent's behavior is very random and exhibits large variance in performance. These are likely due to the random roll-out policy and lack of prunning.

# 6.2 V2: david\_player\_04a.py (Dav\_4a)

The second iteration introduced:

- Pruning of actions using the Dav\_3d sorting method, with size of 12.
- Use the pruning method as the roll-out policy where executed move is the first element in the sorted moves list.
- Redefined the L function for penalising non-emptied lines at the end of the round. For each non-emptied line, add (len(pattern-line) number of tiles left)/len(pattern-line) to L. W<sub>L</sub> is adjusted accordingly to -4.

It is necessary to use a higher quality roll-out policy and constrain the number of branches to improve the quality of the simulation. Both the L and E function have to be re-tuned as MCTS simulates till the end of round instead of only three turns into the future.

Against	Win Rate (%)	Avg Score/Game
vs Alb_1a	97.25%:2.50%	52.31:22.37
vs Dav_3	62.00%:35.25%	39.52: 32.66
vs Dav_3e	33.50%:63.00%	34.03:40.18

Table 7: Dav\_04a Evaluation Results

The new changes drastically improved the performance over  $Dav\_4$ .  $Dav\_4a$  achieved a higher winrate against  $Alb\_1a$  compared to the best Minimax

agent  $Dav\_3e$ . It could beat  $Dav\_3$  but falls short against  $Dav\_3e$ . This is easy to comprehend as our roll-out agent is still very simple and the quality of Q is greatly influenced by the quality of the roll-out policy. The Minimax agent  $Dav\_3e$  is highly tuned and its conservative counter strategy could punish  $Dav\_4a$  for being over-optimistic, where the much weaker  $Alb\_1a$  could not.

If given more time, we would try to use Alb\_1a as the roll-out agent and utilise the 5 seconds start round window. We would also try to fine tune the selection policy using UCT.

#### 7 Final verdict: Dav\_3e vs Dav\_4a

Player vs Player	Win-Rate (%)	Avg Score/Game
Dav_3e vs Alb_1a	96.25%:3.50%	53.63:25.38
Dav_4a vs Alb_1a	011-070 : -10070	52.31: 22.37
Dav_3e vs Dav_4a	63.00%:33.50%	40.18: 34.03

Table 8: BFS vs One step agent results

We decided to use Dav\_3e in the final tournament. Though it is more likely to experience an upset against a weaker opponent, it has very high winrate and has been thoroughly tested in the practice tournaments. The MCTS agent Dav\_4a has great potential if we have more time to improve its rollout and fine tune parameters.

## 8 Conclusions

We implemented 4 types of agents to play the Game Azul. The naive and BFS agents were created to better understand the game principle, then we constructed our Minimax and MCTS based agents to utlise the leanings. Minimax agents were thoroughly studied and achieved the best performance but MCTS agents could have higher potential if given more development time.

#### References

- [1] Guillaume Chaslot et al. "Monte-Carlo Tree Search: A New Framework for Game AI." In: *AIIDE*. 2008.
- [2] Daniel James Edwards and TP Hart. "The alpha-beta heuristic". In: (1961).
- [3] Maurice Sion et al. "On general minimax theorems." In: *Pacific Journal of mathematics* 8.1 (1958), pp. 171–176.