

## Appendix for lab 2: Frequency Domain Filtering & Hybrid Images

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# 1 The Convolution Theorem

## 1.1 2D Convolution: A Reminder

Given an image  $f(x, y)$  and a filter kernel  $h(x, y)$ , their **2D convolution** is:

$$(f * h)(x, y) = \sum_m \sum_n f(m, n) h(x - m, y - n) \quad (1)$$

For an image of size  $N \times N$  and a kernel of size  $K \times K$ , computing equation (1) directly requires  $\mathcal{O}(N^2 K^2)$  multiplications. For a  $1000 \times 1000$  image and a  $101 \times 101$  kernel, that is over **10 billion operations**.

## 1.2 The 2D Fourier Transform

The **2D Discrete Fourier Transform (DFT)** of an image  $f(x, y)$  of size  $M \times N$  is:

$$F(u, v) = \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x, y) e^{-j2\pi(\frac{ux}{M} + \frac{vy}{N})} \quad (2)$$

where  $u$  and  $v$  are the **spatial frequencies** in the horizontal and vertical directions respectively. The inverse transform recovers  $f(x, y)$ :

$$f(x, y) = \frac{1}{MN} \sum_{u=0}^{M-1} \sum_{v=0}^{N-1} F(u, v) e^{j2\pi(\frac{ux}{M} + \frac{vy}{N})} \quad (3)$$

The **Fast Fourier Transform (FFT)** computes equation (2) in only  $\mathcal{O}(N^2 \log N)$  operations — a dramatic improvement over the naive  $\mathcal{O}(N^4)$  direct computation.

## 1.3 The Convolution Theorem

### Convolution Theorem (2D)

Let  $f(x, y)$  and  $h(x, y)$  be two 2D signals with Fourier transforms  $F(u, v)$  and  $H(u, v)$  respectively. Then:

$$f(x, y) * h(x, y) \longleftrightarrow F(u, v) \cdot H(u, v)$$

That is, **convolution in the spatial domain is equivalent to pointwise multiplication in the frequency domain**.

This means that instead of sliding the kernel over every pixel, we can:

1. Compute  $F = \mathcal{F}\{f\}$  and  $H = \mathcal{F}\{h\}$  (two FFTs)
2. Multiply pointwise:  $G = F \cdot H$  ( $\mathcal{O}(N^2)$ )
3. Compute  $g = \mathcal{F}^{-1}\{G\}$  (one inverse FFT)

The total cost is  $\mathcal{O}(N^2 \log N)$ , **independent of the kernel size  $K$** .

### Computational Comparison

Method	Complexity	Depends on kernel size?
Spatial convolution	$\mathcal{O}(N^2 K^2)$	Yes
FFT-based convolution	$\mathcal{O}(N^2 \log N)$	No

For large kernels ( $K \gg 1$ ), FFT convolution is significantly faster.

## 2 Frequency Domain Filtering

### 2.1 Reading the Magnitude Spectrum

The magnitude spectrum  $|F(u, v)|$  tells us which frequencies are present in an image and how strong they are. After shifting the zero-frequency DC component to the center, we can interpret it as follows:

- **Center** (low frequencies): slow spatial variations — smooth regions, overall shapes, background.
- **Outer edges** (high frequencies): rapid spatial variations — sharp edges, fine textures, noise.

Most of the energy in natural images is concentrated in the low frequencies (the center of the spectrum), which is why a heavily blurred image still looks recognizable.

### 2.2 Ideal Filters

#### 2.2.1 Ideal Low-Pass Filter (ILPF)

An Ideal Low-Pass Filter keeps all frequencies within a circle of radius  $r_c$  (the *cutoff frequency*) and completely zeroes out everything outside:

$$H_{\text{LP}}(u, v) = \begin{cases} 1 & \text{if } \sqrt{u^2 + v^2} \leq r_c \\ 0 & \text{otherwise} \end{cases} \quad (4)$$

**Effect:** Removing high frequencies blurs the image — only the smooth, slowly-varying parts survive.

### 2.2.2 Ideal High-Pass Filter (IHPF)

An Ideal High-Pass Filter is the complement of the ILPF:

$$H_{\text{HP}}(u, v) = 1 - H_{\text{LP}}(u, v) = \begin{cases} 0 & \text{if } \sqrt{u^2 + v^2} \leq r_c \\ 1 & \text{otherwise} \end{cases} \quad (5)$$

**Effect:** Removing low frequencies leaves only sharp edges and fine texture details.

### 2.2.3 The Gibbs Phenomenon

#### Ringing Artifacts

The ideal circular mask creates a **sharp, abrupt cut** in the frequency domain. This hard edge is mathematically equivalent to a sinc-like function in the spatial domain — one that oscillates and never fully dies out. When convolved with the image, these oscillations appear as visible **ripple rings around sharp edges**, known as the **Gibbs Phenomenon**.

Think of it like hitting a table sharply: the surface vibrates before settling. A Gaussian filter is a gentle press — no sudden shock, no ringing.

Filter	Frequency domain	Spatial domain
Ideal LP/HP	Sharp circular edge	Oscillating sinc → <b>ringing</b>
Gaussian LP/HP	Smooth Gaussian taper	Gaussian → <b>no ringing</b>

**The key takeaway:** any filter with a *sharp, abrupt boundary* in the frequency domain will produce ringing in the spatial domain. The Gaussian avoids this entirely because it has *no sharp boundary* — it fades out gradually in both domains.

## 2.3 Gaussian Filters

The solution to ringing is to use a filter that **gradually tapers** to zero instead of cutting off abruptly. The most natural choice is the **Gaussian filter**.

### 2.3.1 Gaussian Low-Pass Filter

A 2D Gaussian kernel with standard deviation  $\sigma$  is:

$$h_{\sigma}(x, y) = \frac{1}{2\pi\sigma^2} \exp\left(-\frac{x^2 + y^2}{2\sigma^2}\right) \quad (6)$$

Its Fourier Transform is also a Gaussian:

$$H_{\sigma}(u, v) = \exp\left(-\frac{2\pi^2\sigma^2(u^2 + v^2)}{N^2}\right) \quad (7)$$

#### The Gaussian is Self-Dual

The Fourier Transform of a Gaussian is a Gaussian. This unique property means the filter is **smooth in both domains simultaneously** — no sharp transitions, no ringing artifacts.

### 2.3.2 Gaussian High-Pass Filter

A Gaussian high-pass filter is defined as:

$$\text{HP}(f) = f - \text{LP}_{\sigma}(f) \quad (8)$$

That is, we subtract the blurred version from the original. This naturally leaves only the high-frequency components (edges and textures).

### 2.3.3 The Uncertainty Principle

There is a fundamental trade-off between spatial and frequency resolution:

#### Heisenberg Uncertainty Principle (Signal Processing)

A signal cannot be simultaneously compact in both the spatial and frequency domains. Formally:

$$\Delta x \cdot \Delta u \geq \frac{1}{4\pi}$$

For Gaussian filters this means:

- **Large  $\sigma$** : wide kernel in space  $\rightarrow$  narrow Gaussian in frequency  $\rightarrow$  **more blur** (fewer frequencies pass)
- **Small  $\sigma$** : narrow kernel in space  $\rightarrow$  wide Gaussian in frequency  $\rightarrow$  **less blur** (more frequencies pass)

## 3 Hybrid Images

### 3.1 Concept

Hybrid images were introduced by Oliva, Torralba, and Schyns in their **SIGGRAPH 2006** paper “*Hybrid Images*”. The key observation is that human visual perception behaves like a low-pass filter at large viewing distances: fine details (high frequencies) are lost, and only the overall shape (low frequencies) remains visible.

#### Hybrid Image

A hybrid image  $H$  is formed by combining:

- The **low-pass** filtered version of image  $A$  (perceived from far)
- The **high-pass** filtered version of image  $B$  (perceived up close)

$$H = \text{LP}_{\sigma_1}(A) + \alpha \cdot \text{HP}_{\sigma_2}(B)$$

where  $\alpha \in [0.5, 1.5]$  controls the blending weight of the high-frequency component.

### 3.2 Why it Works: Human Visual Perception

When viewing a hybrid image:

- **Up close:** the visual system resolves fine details. The high-frequency component of  $B$  dominates — you see image  $B$ .
- **From far away:** the visual system acts as a low-pass filter, averaging out fine details. Only the low-frequency component of  $A$  survives — you see image  $A$ .

### 3.3 Requirements for a Good Hybrid Image

For the illusion to work well, the two images must satisfy:

1. **Spatial alignment:** Key features (eyes, nose, dominant shapes) must be roughly aligned between the two images. Misalignment breaks the perceptual grouping.
2. **Similar framing:** Both images should have the same general composition (e.g., both are close-up portraits, or both are full-body shots). A face and a landscape rarely combine well.
3. **Complementary frequency content:** Image  $A$  (low-pass) should be clearly recognizable even when heavily blurred. Image  $B$  (high-pass) should have strong, distinctive high-frequency content (edges, textures).

4. **Similar luminance:** The two images should have comparable brightness and contrast. If one image is much darker, it will be overpowered by the other. Histogram matching can correct this.

### 3.4 Parameter Selection

The quality of the hybrid depends critically on three parameters:

Parameter	Effect	Recommendation
$\sigma_{\text{low}}$ (far image blur)	Larger $\rightarrow$ more aggressive blur	$\sigma \in [5, 15]$
$\sigma_{\text{high}}$ (close image edges)	Smaller $\rightarrow$ sharper edges	$\sigma \in [2, 8]$
$\alpha$ (HP weight)	Higher $\rightarrow$ stronger close image	$\alpha \in [0.7, 1.2]$

#### Quick Test for a Good Image Pair

Before combining two images, apply a strong blur ( $\sigma = 15$ ) to both:

- Can you still recognize image  $A$  after blurring?  $\rightarrow$  **Good low-freq source.**
- Does image  $B$  become unrecognizable after blurring?  $\rightarrow$  **Good high-freq source.**

If both conditions hold, the pair will produce a convincing hybrid.

## References

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