

Spherical two-distance sets and spectral theory of signed graphs

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Joint work with Tidor, Yao, Zhang and Zhao (MIT team)

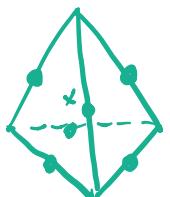
Spherical 2-disk set = $\{v_1, \dots, v_N \in \mathbb{R}^d : \|v_i\| = 1, \langle v_i, v_j \rangle \text{ takes only } 2 \text{ values } \forall i \neq j\}$.

Question: Find maximum size of spherical 2-disk set in \mathbb{R}^d .

$$\frac{1}{2}d(d+1) = \binom{d+1}{2} \leq N(d) \leq \frac{1}{2}d(d+3)$$

Delsarte, Goethals, Seidel

Example:



regular tetrahedron
 $6 = \binom{3+1}{2}$.

[Glazyrin, Yu]: $N(d) = \frac{1}{2}d(d+1)$ whenever $d \geq 7$ and $d \neq (2k+1)^2 - 3$

Question: What if the inner products are fixed?

Given $-1 \leq \beta < \alpha < 1$.

$$N(d) = \max_{\beta \leq d} N_{\alpha, \beta}(d).$$

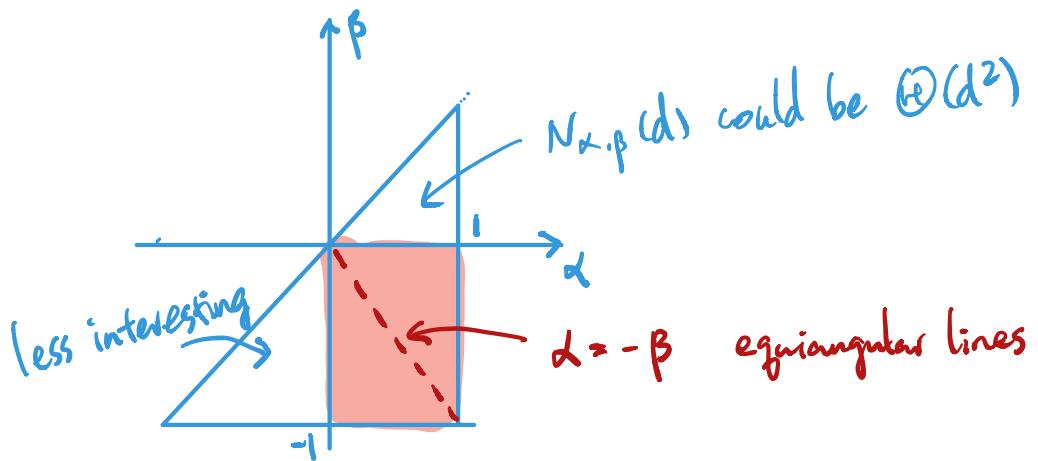
$N_{\alpha, \beta}(d)$ = max size of set of unit vectors v_1, \dots, v_N
 in \mathbb{R}^d s.t. $\langle v_i, v_j \rangle = \alpha$ or $\beta \quad \forall i \neq j$.

Review:

- ① [Neumann]. $N_{\alpha, \beta}(d) \leq 2d + 1$ unless $\frac{1-\alpha}{\alpha-\beta} \in \mathbb{Z}$.
- ② [Larman, Rogers, Seidel].

$$N_{\alpha, \beta}(d) = \Theta(d^2) \text{ if } 0 \leq \beta < \alpha < 1 \text{ and } \frac{1-\alpha}{\alpha-\beta} \in \mathbb{Z}.$$

③ $N_{\alpha, \beta}(d) \leq 1 - \frac{1}{\alpha}$ if $-1 \leq \beta < \alpha < 0$



④ [Balka, Drášler, Keravash, Sudakov].

$$\underline{N_{\alpha, \beta}(d) \leq 2 \left(1 - \frac{\alpha}{\beta}\right) d + o(d)} \text{ if } -1 \leq \beta < 0 \leq \alpha < 1.$$

Problem: Fix $-1 \leq \beta < 0 \leq \alpha < 1$. Determine $N_{\alpha, \beta}(d)$ for large d .

In particular, find $\lim_{d \rightarrow \infty} \frac{N_{\alpha, \beta}(d)}{d}$

Equiangular line $\alpha = -\beta$

DEF: Spectral radius order $k(\lambda) = \text{smallest } k \text{ s.t.}$

\exists k -vertex graph G with $\overline{\lambda_1(G)}$ $= \lambda$.

$\overline{\lambda_1(G)}$ \uparrow largest eigenvalue of adj mat. of G .

Set $k(\lambda) = \infty$ if no such G exists.

THM [JTYYZ 19+]. Fix $\alpha > 0$, set $\lambda = \frac{1-\alpha}{2\alpha}$. for $d \geq d_0(\alpha)$

$$N_{\alpha, -\alpha}(d) = \begin{cases} \left\lfloor \frac{k(\lambda)(d-1)}{k(\lambda)-1} \right\rfloor & \text{if } k(\lambda) < \infty \\ d + o(d) & \text{o/w.} \end{cases}$$

<u>Example:</u>	α	λ	G	$k(\lambda)$	$N_{\alpha,-2}(d) \approx$
	$1/3.$	1	---	2	$2d$
	$1/\sqrt{1+2\sqrt{2}}$	$\sqrt{2}$	Δ	3	$\frac{3d}{2}$
	$1/5$	2	\triangle	3	$\frac{3d}{2}$

Connection between $N_{\alpha,-2}(d)$ and $k(\lambda)$

Equiangular lines in \mathbb{R}^d

$$V = \{v_1, v_2, \dots, v_N\} \subseteq \mathbb{R}^d.$$

$$\|v_i\|=1 \text{ and } \langle v_i, v_j \rangle = \pm \alpha$$

N -vertex Graph G

$$V = \{v_1, \dots, v_N\}.$$

$$v_i \sim v_j \text{ iff } \langle v_i, v_j \rangle = -\alpha.$$

Gram matrix $(\langle v_i, v_j \rangle)_{ij} \succeq 0$

rank (Gram mat) $\leq d$.

$$(PSD): \lambda I - A_G + \frac{1}{2} J \succeq 0$$

$$\lambda = \frac{1-\alpha}{2\alpha} \quad \begin{matrix} \uparrow \\ \text{adj. mat.} \end{matrix} \quad \begin{matrix} \uparrow \\ \text{all-ones matrix} \end{matrix}$$

$$(RANK): \text{rank}(\lambda I - A_G + \frac{1}{2} J) \leq d$$

Goal: Given d , find largest N s.t. \exists N -vertex graph G with (PSD) + (RANK).

Example: Given $\lambda = \frac{1-\alpha}{2\alpha}$. find k -vertex graph G_0 with $\lambda(G_0) = \lambda$

Take G_0 = disjoint l copies of G_0 (l to be determined).

Check (PSD): $\{\text{eigenvalues of } G_0\} = \underbrace{\{\lambda, \lambda, \dots, \lambda\}}_l, \dots$

$$\underline{\lambda I - A_{G_0}} + \underline{\frac{1}{2} J} \succeq 0.$$

rank 1

Take $l \approx \frac{d}{k-1}$

$$(RANK): \text{rank}(\underline{\lambda I - A_{G_0}} + \underline{\frac{1}{2} J}) \leq l(k-1) + 1 \leq d$$

$$|G_0| = l \cdot k \approx \frac{d}{k-1} \cdot k \approx \frac{k}{k-1} d. \quad \text{Want } k \text{ small}$$

Better take $k = k(\lambda)$

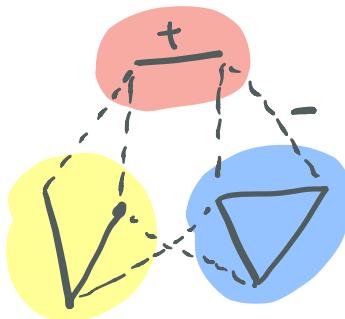
$$\text{COR: } N_{\alpha,-1}(d) \geq \frac{k(\alpha)d}{k(\alpha)-1} + O(1).$$

THM [JTYY219+]. The reversed inequality holds (HARD).

Question: Is there an analog of $k(\alpha)$ for $N_{\alpha,\beta}(d)$?

DEF: Signed graph G^\pm

$\lambda_1(G^\pm)$ = largest e.v.
of A_{G^\pm} .

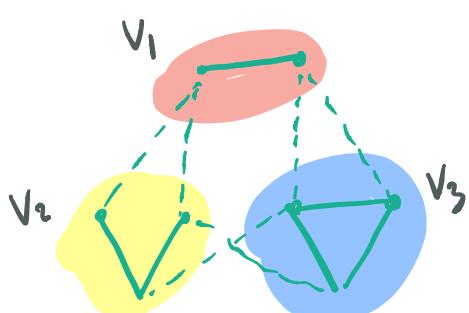


Adj mat $\begin{bmatrix} 0 & \dots & \pm 1 \\ \vdots & \ddots & \vdots \\ \pm 1 & \dots & 0 \end{bmatrix}$

A valid t -coloring of G^\pm is a coloring of the vertices using t -colors s.t. endpoints of every + edge are colored the same and ... are colored differently.

Chromatic number of G^\pm : $\chi(G^\pm)$ = smallest t s.t. \exists valid t -color.

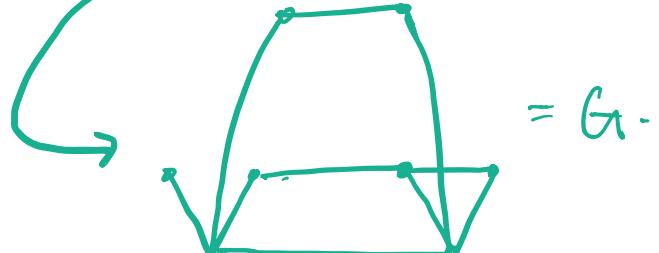
Example: Fix $-1 \leq \beta < 0 \leq \alpha < 1$. Set $\lambda = \frac{1-\alpha}{\alpha-\beta}$, $p = \left\lfloor \frac{-\alpha}{\beta} \right\rfloor + 1$
Take G^\pm with $\chi(G^\pm) \leq p$, and $\lambda_1(G^\pm) = \lambda$. $\mu = \frac{\alpha}{\alpha-\beta}$.



For $v_i \in V_i$, $v_j \in V_j$ ($i \neq j$)

$v_i, v_j = -\text{edge in } G^\pm \mapsto \text{non-edge in } G$

$v_i, v_j = \text{non-edge in } G^\pm \mapsto \text{edge in } G$



(PSD) ✓ Can be checked.

$$\begin{aligned}
 (\text{RANK}) \quad \text{rank}(\lambda I - A_G + \mu J) &= \text{rank}((\lambda I - A_{G^\pm}) + (A_{G^\pm} - A_G + \mu J)) \\
 &\leq |G^\pm| - \text{mult}(\lambda, A_{G^\pm}) + p \stackrel{\text{Want}}{\leq} d. \\
 \text{Spherical 2-disk set} \quad \{v_1, \dots, v_N\} \subseteq \mathbb{R}^d. \quad &N\text{-vertex graph } G. \\
 |v_i| = 1, \quad \langle v_i, v_j \rangle = \alpha \text{ or } \beta. \quad &v_i \sim v_j \text{ iff } \langle v_i, v_j \rangle = \beta. \\
 \text{Gram matrix } \Sigma \geq 0 \quad &\approx (\text{PSD}): \lambda I - A_G + \mu J \succeq 0 \\
 \text{rank(Gram)} \leq d \quad &\frac{1-d}{\lambda-\beta} \quad \frac{\alpha}{\alpha-\beta}. \\
 &(\text{RANK}): \text{rank}(\lambda I - A_G + \mu J) \leq d.
 \end{aligned}$$

Goal: Given d , find largest N s.t. $\exists N$ -vert graph G with (PSD) + (RANK)

$$\text{Want } |G^\pm| - \text{mult}(\lambda, A_{G^\pm}) + p \leq d$$

$$\Rightarrow |G^\pm| \geq \frac{d}{1 - \frac{\text{mult}(\lambda, A_{G^\pm})}{|G^\pm|}} + O(1)$$

$$\text{Want } \max \frac{\text{mult}(\lambda, A_{G^\pm})}{|G^\pm|}.$$

$$\text{Def: } k_p(\lambda) = \inf \left\{ \frac{|G^\pm|}{\text{mult}(\lambda, A_{G^\pm})} : G^\pm \text{ satisfies } \chi_{G^\pm} \leq p, \lambda_1(G^\pm) = \lambda \right\}$$

$$\text{COR: } F_{\lambda, \mu} \quad -1 \leq \mu < 0 \leq d < 1. \text{ set } \lambda = \frac{1-d}{\alpha-\beta}, \quad p = \left\lfloor \frac{-d}{\mu} \right\rfloor + 1.$$

$$\text{Then } N_{\lambda, \mu}(d) \geq \frac{k_p(\lambda)}{k_p(\lambda) - 1} d + o(d).$$

CONJ: The reversed inequality holds.

Example:	α .	β .	λ	p	$k_p(\lambda)$	$N_{\alpha, p}(\lambda)$
Equiangular line-ish	α	$-\alpha$	$\frac{1-\alpha}{2\alpha}$	2	$k(\alpha)$	$\frac{k(\alpha)}{k(\alpha)-1} d$
	$\alpha + 2\beta < 0$		$\frac{1-\alpha}{\alpha-\beta}$	≤ 2	$k(\alpha)$	$\frac{k(\alpha)}{k(\alpha)-1} d$
		$\sum \lambda_i^3(h^\pm)$	1	≥ 3	p	$\frac{p}{p-1} d.$
			$\sqrt{2}$	≥ 3	2.	$2d$
			$\sqrt{3}$	3	$\frac{7}{3}$	$\frac{7}{4} d$
			$\sqrt{3}$	≥ 4	2	$2d$

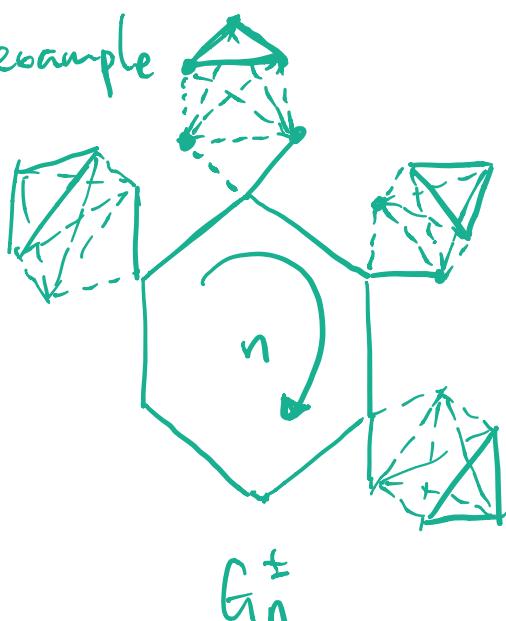
algebraic method

Equiangular line (-ish) $\alpha + 2\beta < 0$

(Main tool). $\forall j$ and Δ . $\exists C = C(\Delta, j)$ s.t. \forall connected G_h with max deg $\leq \Delta$. $\text{mult}(\lambda_j(G_h), A_{G_h}) \leq \frac{Cn}{\log \log n}$

- ① This tool generalizes to G_h^\pm with $X(h^\pm) \leq 2$.
- ② This tool fails for G_h^\pm as soon as $X(h^\pm) \geq 3$.

Counterexample



$$\text{mult}(\lambda_1(G_n^{\pm m}), A_{G_n^{\pm m}}) = n.$$

$$|G_n^{\pm m}| = 6n.$$