

## Theory & Notes

**Definition 1.** A vector space  $L$  over a field  $\mathbb{F}$ , with an operation

$$\begin{aligned} L \times L &\rightarrow L \\ (x, y) &\rightarrow [x, y], \end{aligned}$$

called the bracket or commutator of  $x$  and  $y$ , is called a Lie algebra over  $\mathbb{F}$  if the following axioms are satisfied

- The bracket operation is bilinear,
- $[x, x] = 0, \forall x \in L$ ,
- $[x, [y, z]] = [y, [z, x]] = [z, [x, y]] = 0, \forall x, y, z \in L$

where the first two axioms imply the bracket's anticommutativity.

Two Lie algebras  $\mathfrak{g}, \mathfrak{g}'$  are isomorphic if there exists a vector space isomorphism  $\phi : \mathfrak{g} \rightarrow \mathfrak{g}'$ , satisfying  $\phi([x, y]) = [\phi(x), \phi(y)], \forall x, y \in \mathfrak{g}$ . Similarly, a subspace  $\mathfrak{k} \subset \mathfrak{g}$  is called a subalgebra if  $[x, y] \in \mathfrak{k}, \forall x, y \in \mathfrak{k}$ . Note that any Lie subalgebra is a Lie algebra in its own right, relative to the inherited operations. Note as well, that any non-zero element  $x \in \mathfrak{g}$  defines a one-dimensional subalgebra  $\mathbb{F}x$ , with trivial multiplications.

If  $V$  is a finite  $n$ -dimensional vector space over  $\mathbb{F}$ ,  $\text{End}(V)$  is the set of endomorphisms over  $V$ , being a  $n^2$ -dimensional vector space. Note that  $\text{End}(V)$  is a ring relative to the usual product operation. Imbued with the Lie bracket operation,  $\text{End}(V)$  is a Lie algebra over  $\mathbb{F}$ , denoted by  $\mathfrak{gl}(V) \simeq \text{End}(V)$  and is the general linear algebra. If a basis for  $V$  is fixed, thereby identifying  $\mathfrak{gl}(n, \mathbb{F}) \simeq (V)$ , explicit calculations may be performed. In particular if the standard matrix basis  $e_{ij}$  is chosen, such that  $e_{ij}e_{kl} = \delta_{jk}e_{il}$ , it follows that

$$(1) \quad [e_{ij}, e_{kl}] = \delta_{jk}e_{il} - \delta_{li}e_{kj},$$

noticing that all coefficients are either 0 or  $\pm 1$ .

All (simple) Lie algebras fall into four families,  $\mathfrak{A}_\ell, \mathfrak{B}_\ell, \mathfrak{C}_\ell, \mathfrak{D}_\ell$  ( $\ell > 1$ ) are the classical algebras, for they correspond to certain classical linear group. In particular, for the last three families, let  $\text{char } \mathbb{F} \neq 2$ .

- $\mathfrak{A}_\ell$ : let  $\dim V = \ell + 1$ . Denote by  $\mathfrak{sl}(V)$ , or  $\mathfrak{sl}(\ell + 1, \mathbb{F})$ , the set of endomorphisms on  $V$  with zero trace. Since  $\text{Tr}(xy) = \text{Tr}(yx)$  and  $\text{Tr}(x + y) = \text{Tr}(x) + \text{Tr}(y)$ , thus  $\mathfrak{sl}(V) \subseteq \mathfrak{gl}(V)$ . This algebra is the special linear algebra and is intimately connected to the special linear group  $SL(V)$  of endomorphisms of unit determinant.

What about its dimension? since  $\mathfrak{sl}(V)$  is a proper subalgebra of  $\mathfrak{gl}(V)$ , its dimension is at most  $(\ell + 1)^2 - 1$ . On the other hand, the number of linearly independent matrices of zero-trace can be readily found. For all  $e_{ij}, i \neq j$  and all  $h_i = e_{ii} - e_{i+1, i+1}$ , with  $1 \leq i \leq \ell$ , yielding a total of  $\ell + (\ell + 1)^2 - (\ell + 1)$  matrices, which is the standard basis for  $\mathfrak{sl}(V)$ .

- $\mathfrak{C}_\ell$ : let  $\dim V = 2\ell$ , with basis  $(v_1, \dots, v_{2\ell})$ . Let the non-degenerate skew-symmetric form  $f : V \rightarrow V$  defined by the matrix

$$s = \begin{pmatrix} 0 & \text{id}_\ell \\ -\text{id}_\ell & 0 \end{pmatrix}.$$

Let  $\mathfrak{sp}(V)$ , or  $\mathfrak{sp}(2\ell, \mathbb{F})$ , the symplectic algebra, which by definition consists of all endomorphism  $x : V \rightarrow V$  satisfying  $f(x(v), w) = -f(v, x(w))$ . This algebra is closed under the bracket operation. In matrix terms, the previous condition may be rewritten as

$$x \in \mathfrak{sl}(V) \mid x = \begin{pmatrix} m & n \\ p & q \end{pmatrix}, m, n, p, q \in \mathfrak{gl}(\ell, \mathbb{F}) \wedge sx = -x^T s \leftrightarrow \begin{matrix} n^T = n \\ p^T = p \\ m^T = -q \end{matrix}.$$

This last condition fixes that  $\text{Tr } x = 0$ . A basis for  $\mathfrak{sl}(2\ell, \mathbb{F})$  can now be fixed.

Consider all diagonal matrices  $e_{ii} - e_{\ell+1, \ell+1}$ , and adding to these all  $e_{ij} - e_{\ell+j, \ell+j}$ , with  $1 \leq i \neq j \leq \ell$ , which are  $\ell^2 - \ell$  in number. For  $n$ , consider the matrices  $e_{i, \ell+1}$  and  $e_{i, \ell+j} + e_{j, \ell+i}$ , for  $(1 \leq i < j \leq \ell)$ , a total of  $\ell + \frac{1}{2}\ell(\ell - 1)$ , and similarly for positions in  $p$ . Adding up yields  $\dim \mathfrak{sl}(2\ell, \mathbb{F}) = 2\ell^2 + \ell$ .

- $\mathfrak{B}_\ell$ : let  $\dim V = 2\ell + 1$  be odd, and let  $f$  be the non-degenerate symmetric bilinear form on  $V$  whose matrix is

$$s = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & \text{id}_\ell \\ 0 & \text{id}_\ell & 0 \end{pmatrix}.$$

The orthogonal algebra  $\mathfrak{o}(V)$  or  $\mathfrak{o}(2\ell+1, \mathbb{F})$ , consists on all endomorphisms of  $V$  satisfying  $f(x(v), w) = -f(v, x(w))$ , the same requirement as for  $\mathfrak{C}_\ell$ . If  $x$  is partitioned in the same way as  $s$ , say

$$x = \begin{pmatrix} a & b_1 & b_2 \\ c_1 & m & n \\ c_2 & p & q \end{pmatrix} \Rightarrow sx = -x^T s \leftrightarrow$$

**Definition 2.** Let  $\mathfrak{g}$  be a Lie algebra over  $\mathbb{F}$  and let  $V$  be a vector space over said field. A representation of  $\mathfrak{g}$  on  $V$  is a linear map

$$\rho : \mathfrak{g} \rightarrow \text{End}(V), \text{ such that } \rho([x, y]) = \rho(x)\rho(y) - \rho(y)\rho(x).$$

### Example

Let  $\mathfrak{g}$  be the 3-dimensional subspace of  $\text{End}(\mathbb{Q})$  spanned by

$$A_1 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, A_2 = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, A_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

and let  $\rho$  be the linear map from into said space, spanned by the previous matrices, such that

$$\rho(x) = A_1, \rho(y) = A_2, \rho(h) = A_3.$$

Then,  $\rho$  is an  $\mathfrak{g}$ -representation. Furthermore, the representation's kernel is 0, so  $\mathfrak{g}$  is isomorphic to its image. Said representations are called faithful.

**Definition 3.** Let  $\mathfrak{g}$  be a Lie algebra. Define a map

$$\text{ad} : \mathfrak{g} \rightarrow \text{End}(\mathfrak{g}) \text{ such that } \text{ad}(x)(y) = [x, y].$$

This is a Lie algebra representation, with the  $\text{ad}$ -map being called the adjoint representation.

**Definition 4.** Let  $\mathfrak{g}$  be a Lie algebra. A subspace  $\mathfrak{k} \subseteq \mathfrak{g}$  is a subalgebra if  $[x, y] \in \mathfrak{k}, \forall x, y \in \mathfrak{k}$

**Definition 5.** Let  $\mathfrak{g}$  be a Lie algebra. A subspace  $\mathfrak{J}$  of  $\mathfrak{g}$  is called an ideal if  $[x, y] \in \mathfrak{J}, \forall x \in \mathfrak{g}, y \in \mathfrak{J}$ .

Let  $\mathfrak{g}$  be a Lie algebra and let  $\mathfrak{J}$  be an ideal on  $\mathfrak{g}$  such that there is a subalgebra  $\mathfrak{k} \subseteq \mathfrak{g}$  with the property that  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{J}$ , ie. a direct sum of vector spaces. Then,  $\mathfrak{g}$  is called the semidirect product of  $\mathfrak{k}$  and  $\mathfrak{J}$ , denoted by  $\mathfrak{g} = \mathfrak{k} \rtimes \mathfrak{J}$ . A special case is the situation where  $\mathfrak{k}$  is also an ideal of  $\mathfrak{g}$ . Then,  $\mathfrak{g}$  is called the direct sum of  $\mathfrak{k}$  and  $\mathfrak{J}$ , in which case  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{J}$ .

**Definition 6.** Let  $\mathfrak{g}$  be a Lie algebra. Then, the subspace

$$Z(\mathfrak{g}) = \{x \in \mathfrak{g} \mid [x, y] = 0, \forall y \in \mathfrak{g}\},$$

is called the centre of  $\mathfrak{g}$ .

The centre of a Lie algebra  $\mathfrak{g}$  is an ideal in  $\mathfrak{g}$ . In particular, if  $Z(\mathfrak{g}) \simeq \mathfrak{g}$ , then  $\mathfrak{g}$  is called abelian or commutative.

**Definition 7.** Let  $\mathfrak{g}$  be a Lie algebra and let  $K$  be a subspace of  $\mathfrak{g}$ . Then

$$Z_{\mathfrak{g}}(K) = \{x \in \mathfrak{g} \mid [x, y] = 0, \forall y \in K\},$$

is called the centraliser of  $K$  in  $\mathfrak{g}$ .

If  $K$  is an ideal of  $\mathfrak{g}$ , then  $Z_{\mathfrak{g}}(K)$  will also be an ideal of  $\mathfrak{g}$ . This follows from the Jacobi identity.

**Definition 8.** Let  $K$  be a subspace of the Lie algebra  $\mathfrak{g}$ . Then,

$$N_{\mathfrak{g}}(K) = \{x \in \mathfrak{g} \mid [x, y] \in K, \forall y \in K\},$$

is called the normaliser of  $K$  in  $\mathfrak{g}$ .

If  $K_1$  and  $K_2$  are  $\mathfrak{g}$ -subspaces, then  $[K_1, K_2]$  denotes the subspace spanned by all  $[x_1, x_2]$ ,  $x_1 \in K_1, x_2 \in K_2$ .

**Definition 9.** Let  $\mathfrak{g}$  be a finite-dimensional Lie algebra. Then, set  $\mathfrak{g}_{k+1} = [\mathfrak{g}, \mathfrak{g}_k]$  with  $\mathfrak{g}_1 = \mathfrak{g}$  and let  $s$  be the smallest integer such that  $\mathfrak{g}_s = \mathfrak{g}_{s+1}$ . The series

$$\mathfrak{g}_1 \supset \mathfrak{g}_2 \supset \cdots \supset \mathfrak{g}_s,$$

is the derived series of  $\mathfrak{g}$ .

A Lie algebra is called solvable if the final term of its derived series is 0. If  $\mathfrak{I}$  and  $\mathfrak{J}$  are solvable ideals of  $\mathfrak{g}$ , then it can be proved that  $\mathfrak{I} + \mathfrak{J}$  is also a solvable ideal of  $\mathfrak{g}$ . It follows then that if  $\mathfrak{g}$  is a finite-dimensional Lie algebra, then it has maximal solvable ideal. This is called the solvable radical of  $\mathfrak{g}$ ,  $R(\mathfrak{g})$ .

**Definition 10.** Let  $\mathfrak{g}$  be a finite-dimensional algebra. Set  $\mathfrak{g}^1 = \mathfrak{g}$ , and  $\mathfrak{g}^{k+1} = [\mathfrak{g}, \mathfrak{g}^k]$  and let  $t$  be the smallest integer such that  $\mathfrak{g}^t = \mathfrak{g}^{t+1}$ . The series

$$\mathfrak{g}^1 \supset \mathfrak{g}^2 \supset \cdots \supset \mathfrak{g}^t,$$

is called the lower central series of  $\mathfrak{g}$ .

A finite-dimensional Lie algebra  $\mathfrak{g}$  is called nilpotent if  $\mathfrak{g}^t = 0$ . If  $\mathfrak{I}$  and  $\mathfrak{J}$  are nilpotent ideals of  $\mathfrak{g}$ , then it can be proved that so is  $\mathfrak{I} + \mathfrak{J}$ . It follows that a finite-dimensional Lie algebra  $\mathfrak{L}$  has a largest nilpotent ideal. It is called the nilradical and it is denoted by  $NR(\mathfrak{g})$ .

**Definition 11.** Let  $\mathfrak{g}$  be a finite-dimensional Lie algebra. Set  $Z_1 = Z(\mathfrak{g})$  and define  $Z_{k+1}$  recursively by the relation  $Z_{k+1}/Z_k = Z(\mathfrak{g}/Z_k)$  and let  $u$  be the smallest number such that  $Z_u = Z_{u+1}$ . Then, the series

$$Z_1 \supset Z_2 \supset \cdots \supset Z_u,$$

is called the upper central series of  $\mathfrak{g}$ .

**Definition 12.** A Lie algebra  $\mathfrak{g}$  is called semisimple if  $R(\mathfrak{g}) = 0$ .

**Definition 13.** Cartan's criterion for semi-simplicity: Let  $\mathfrak{g}$  be a Lie algebra, with basis  $\{x_1, \dots, x_n\}$  and let  $d = \det\{\mathbf{K}\}(x_i, x_j)$ . If  $d \neq 0$ , then  $\mathfrak{g}$  is semisimple. If  $\mathfrak{g}$  is defined over a field of characteristic 0, then this in turn implies  $d \neq 0$ .

**Definition 14.** A Lie algebra  $\mathfrak{g}$  is called simple if  $\dim \mathfrak{g} > 1$  and it has no ideals except 0 and  $\mathfrak{g}$ .

Let  $\mathfrak{g}$  be a Lie algebra. Then,  $R(\mathfrak{g})$  can be only 0 or  $\mathfrak{g}$ . Suppose that  $R(\mathfrak{g}) = \mathfrak{g}$ , then  $\mathfrak{g}$  is solvable and hence  $[\mathfrak{g}, \mathfrak{g}]$  is an ideal of  $\mathfrak{g}$  not equal to  $\mathfrak{g}$  itself. It follows then that  $[\mathfrak{g}, \mathfrak{g}] = 0$  so that  $\mathfrak{g}$  is Abelian. But then every subspace of  $\mathfrak{g}$  is an ideal, contradicting the fact that  $\mathfrak{g}$  is simple. The conclusion is that  $R(\mathfrak{g}) = 0$  and  $\mathfrak{g}$  is semi-simple.