



# Lecture 3

# Neural Network and Deep Learning

Xu Yuan  
University of Louisiana at Lafayette

# Machine Learning ~ Looking for a Function

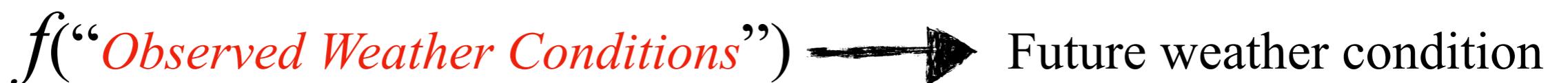
- Image recognition



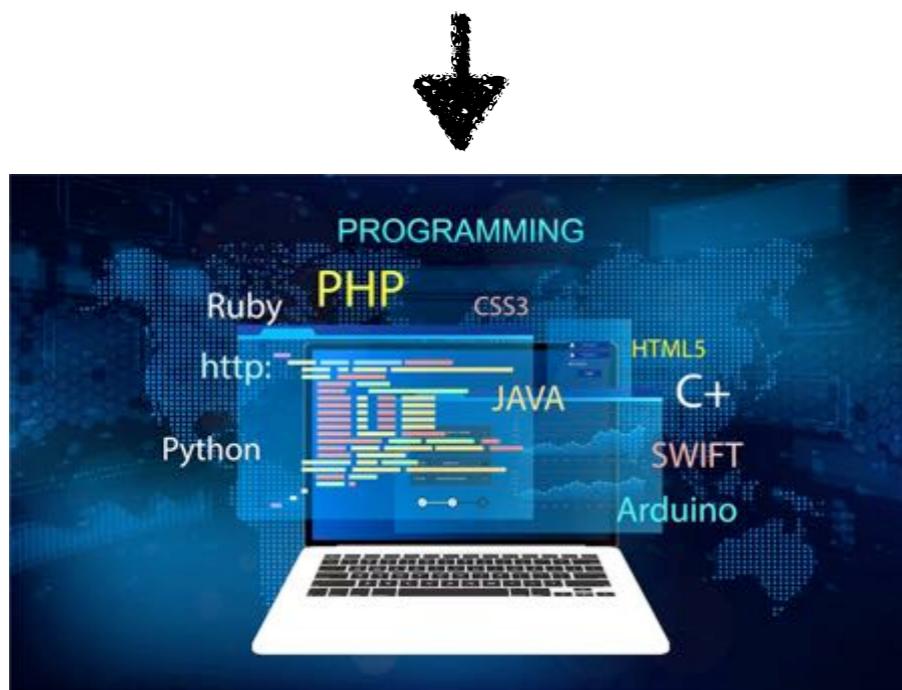
- Spam classification



- Weather prediction



# Machine Learning ~ Training Framework



Training  
Data

A set of functions  
(models)  $f_1, f_2, \dots$

Goodness of  
function  $f$

Pick the “best”  
function  $f^*$

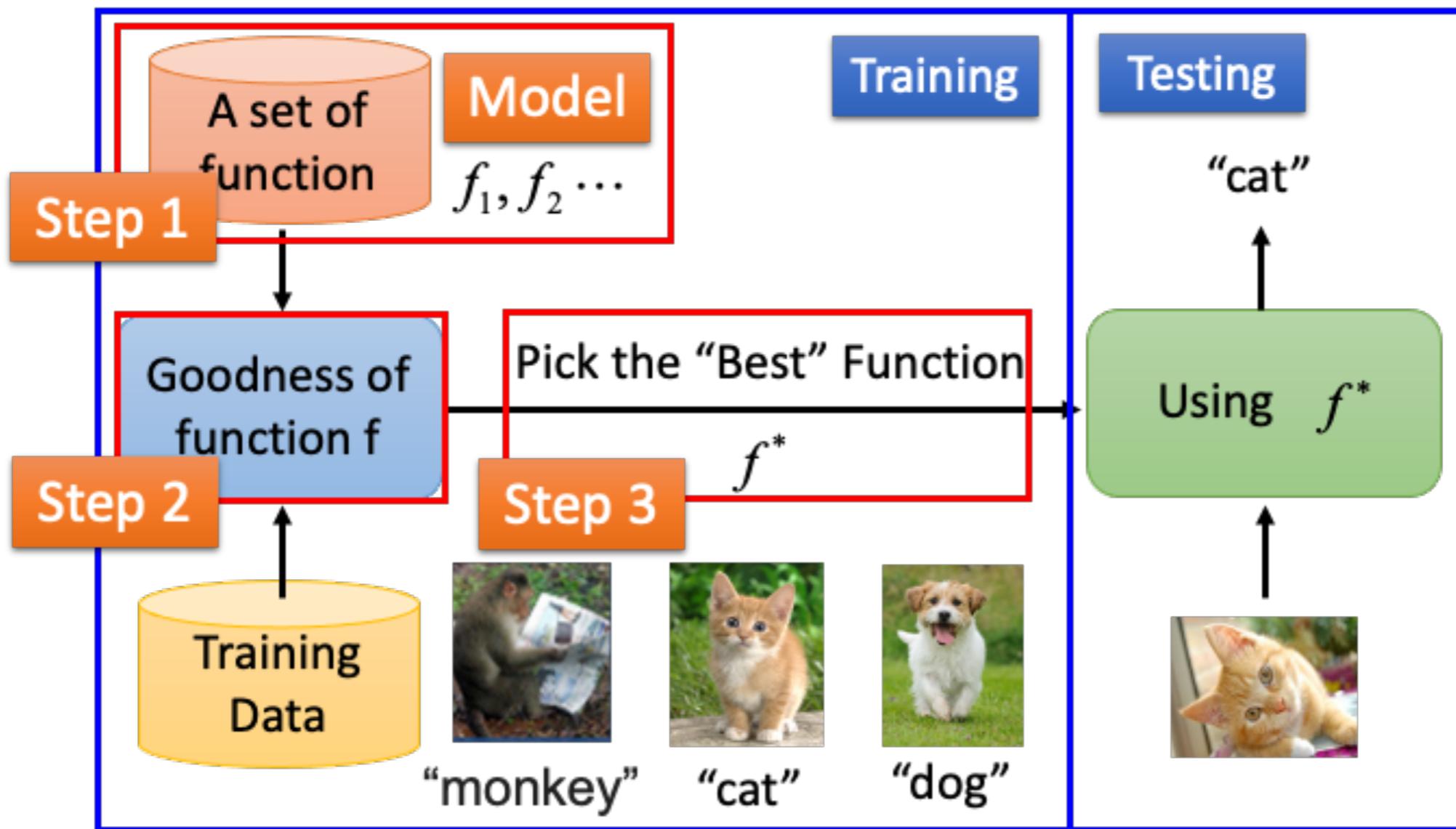
Trained Model

# Framework

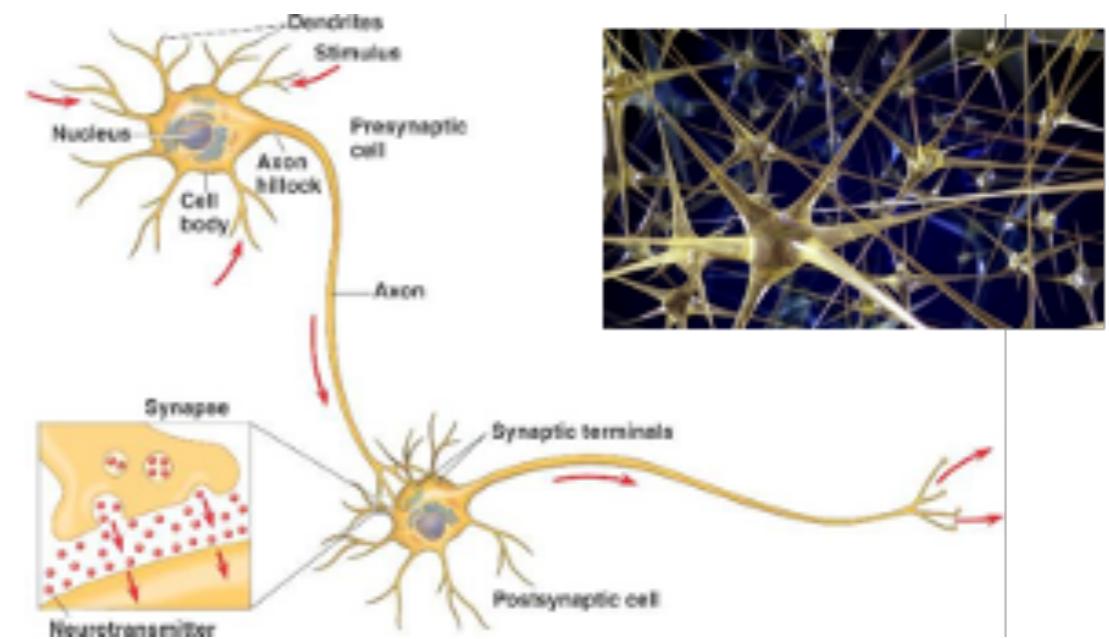
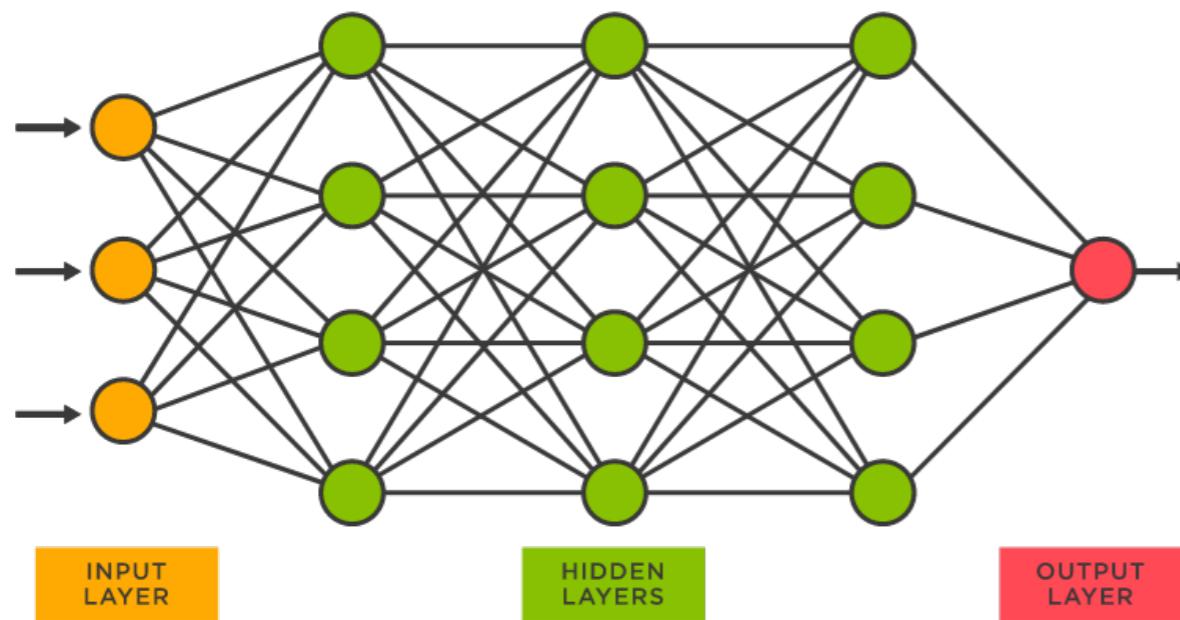
## Framework

Image Recognition:

$$f(\text{cat image}) = \text{"cat"}$$

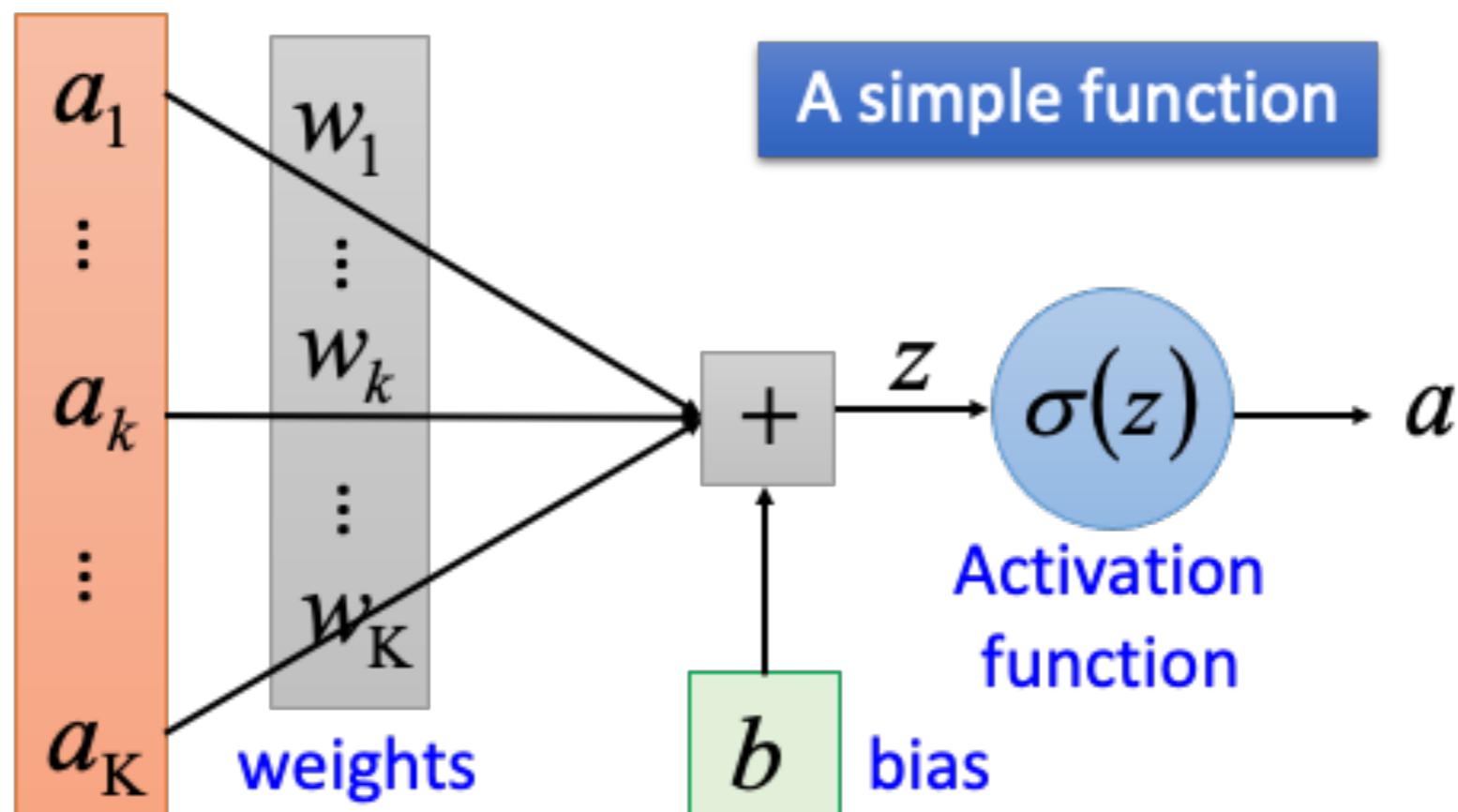


# Neural Network



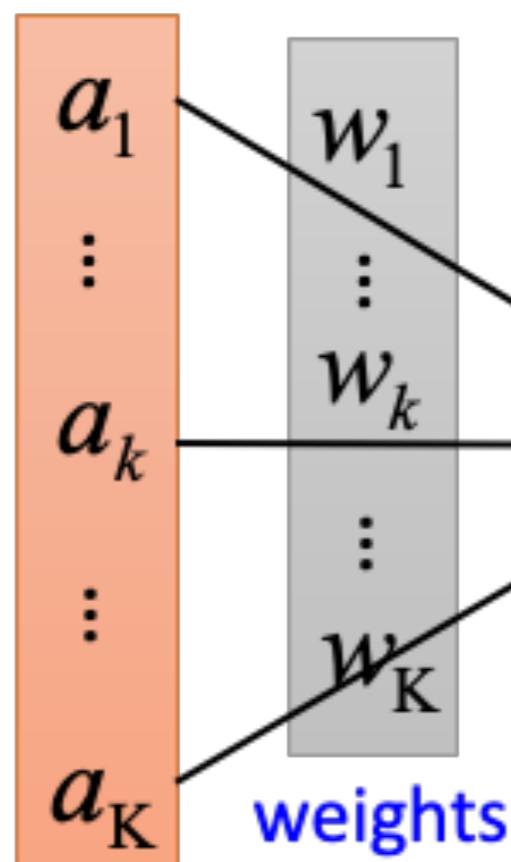
# At Each Neuron

$$z = a_1 w_1 + \cdots + a_k w_k + \cdots + a_K w_K + b$$



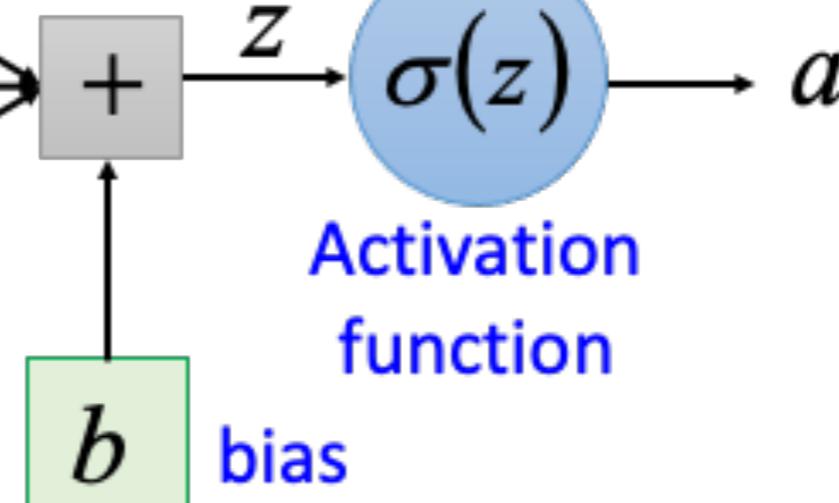
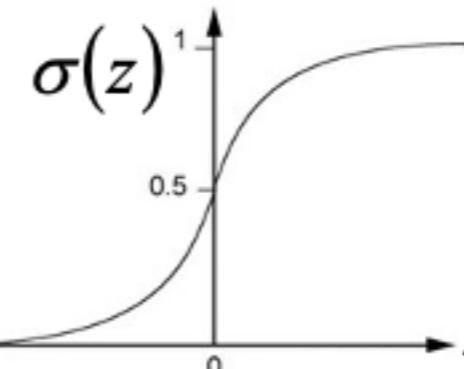
# At Each Neuron

$$z = a_1 w_1 + \dots + a_k w_k$$



Sigmoid Function

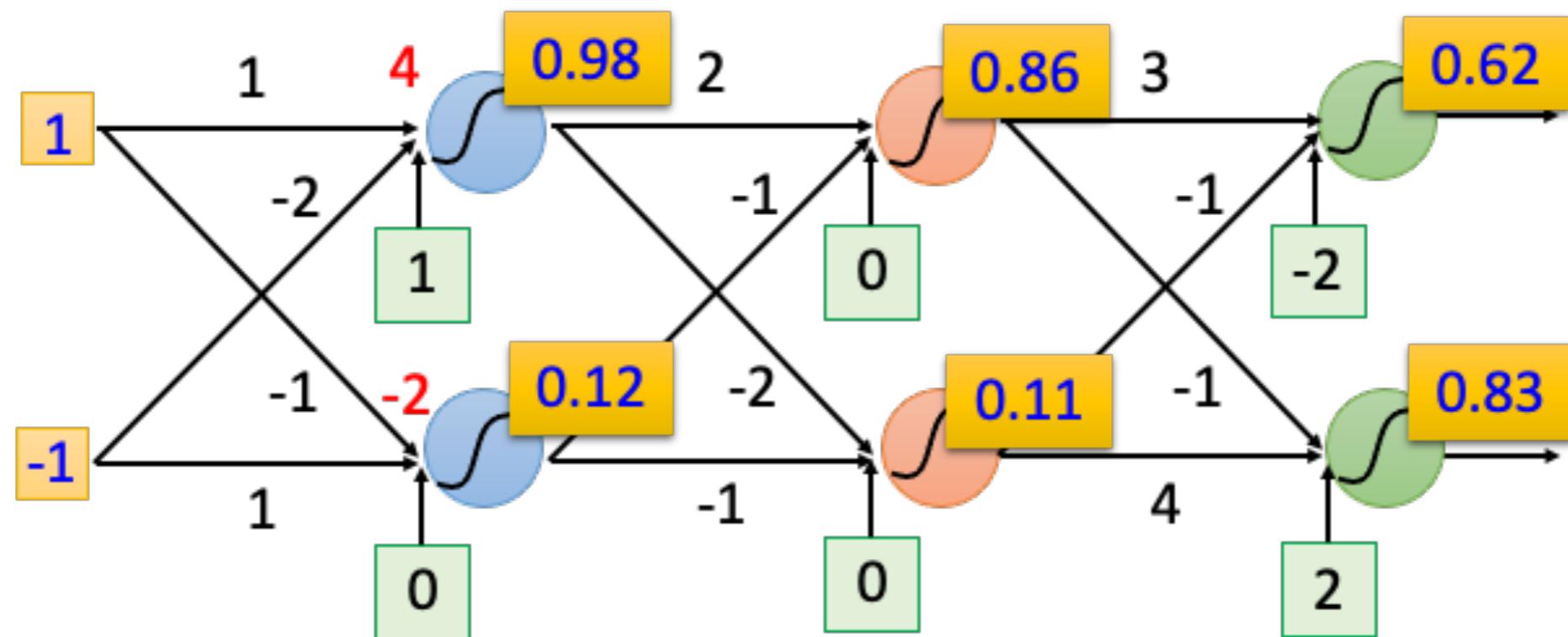
$$\sigma(z) = \frac{1}{1 + e^{-z}}$$



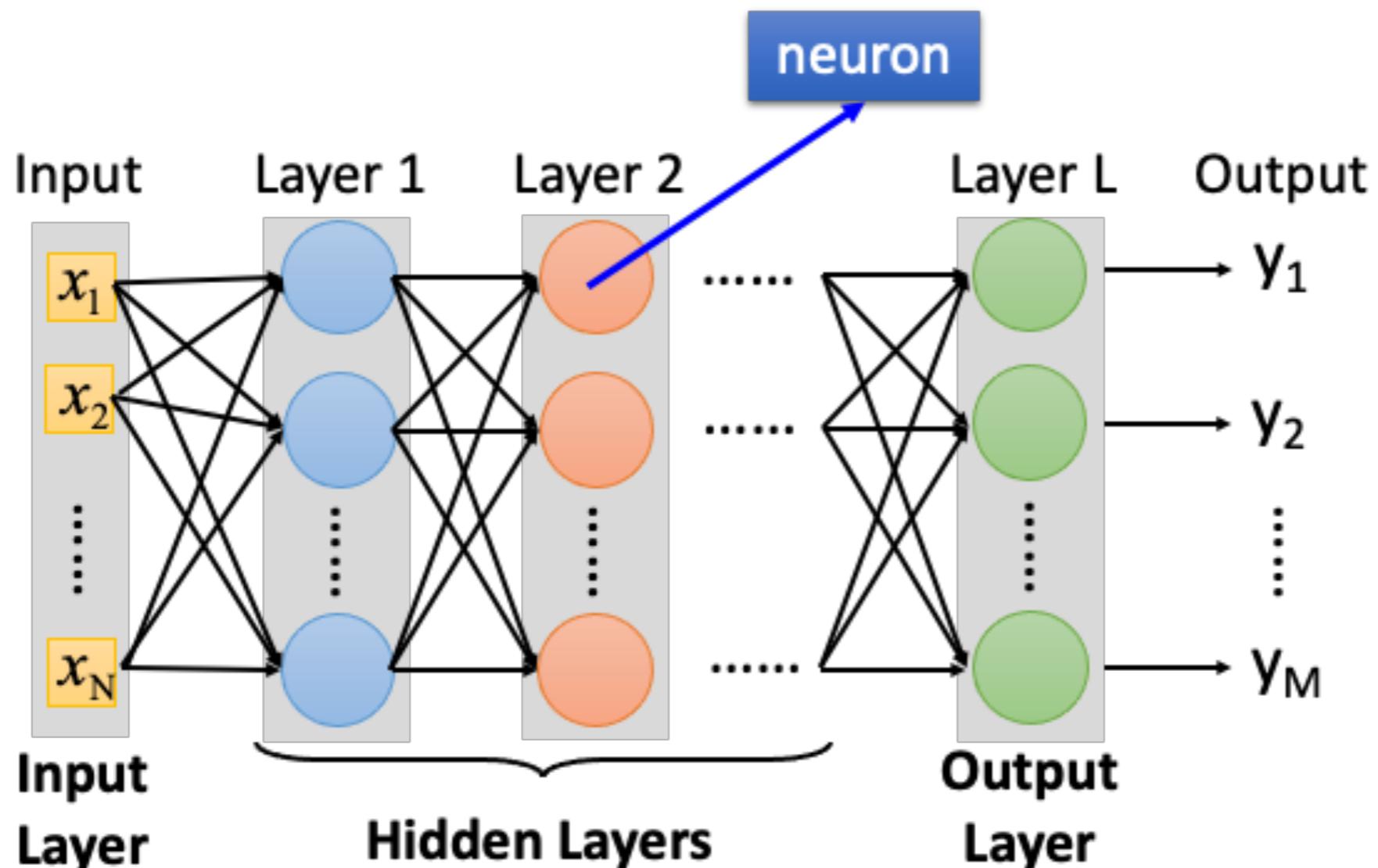
Activation  
function

bias

# Fully Connected Neural Network



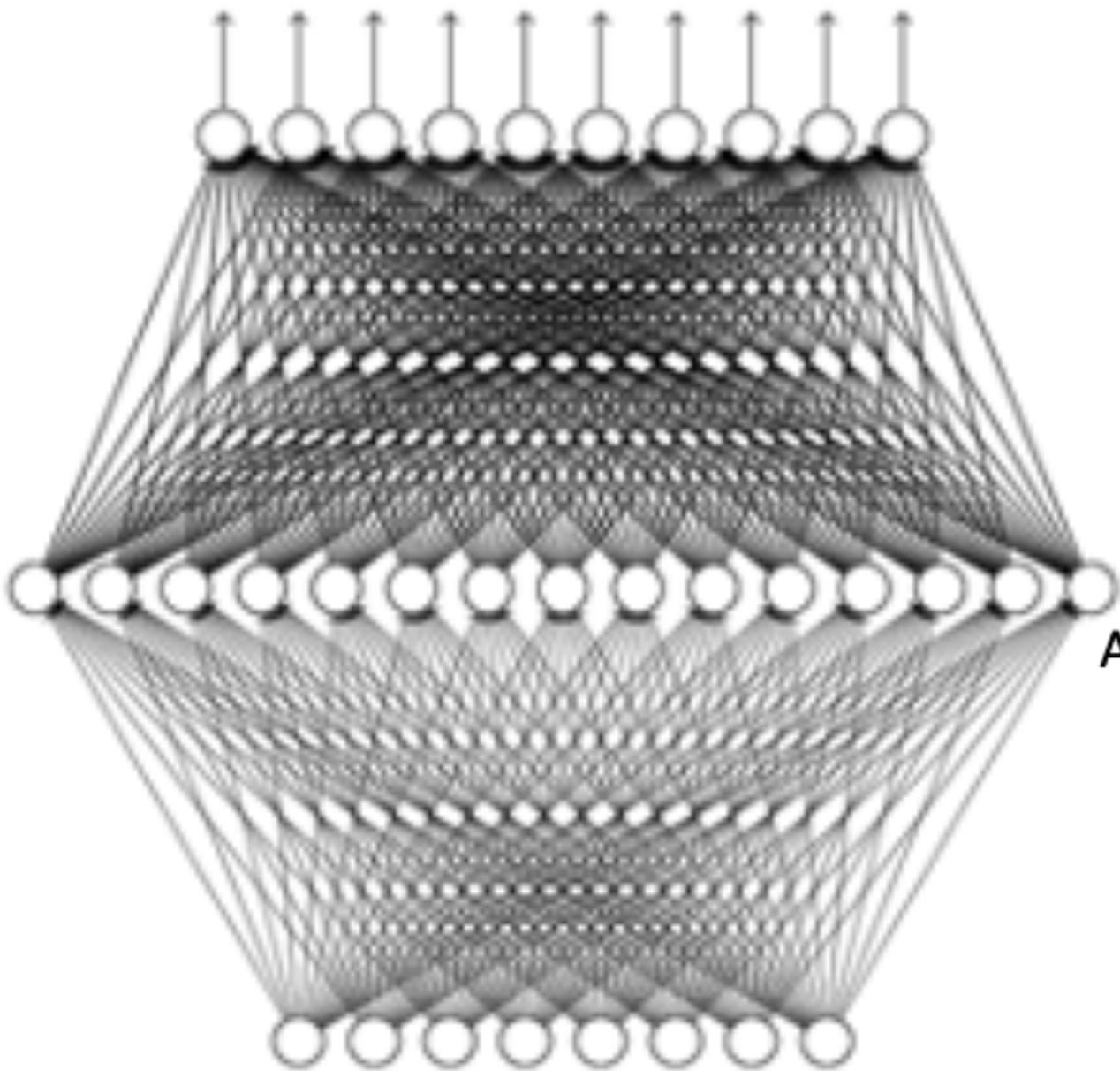
# Fully Connected Neural Network



Deep means many hidden layers

# Deep Neural Network

Deep = Many hidden layers



AlexNet (2012)

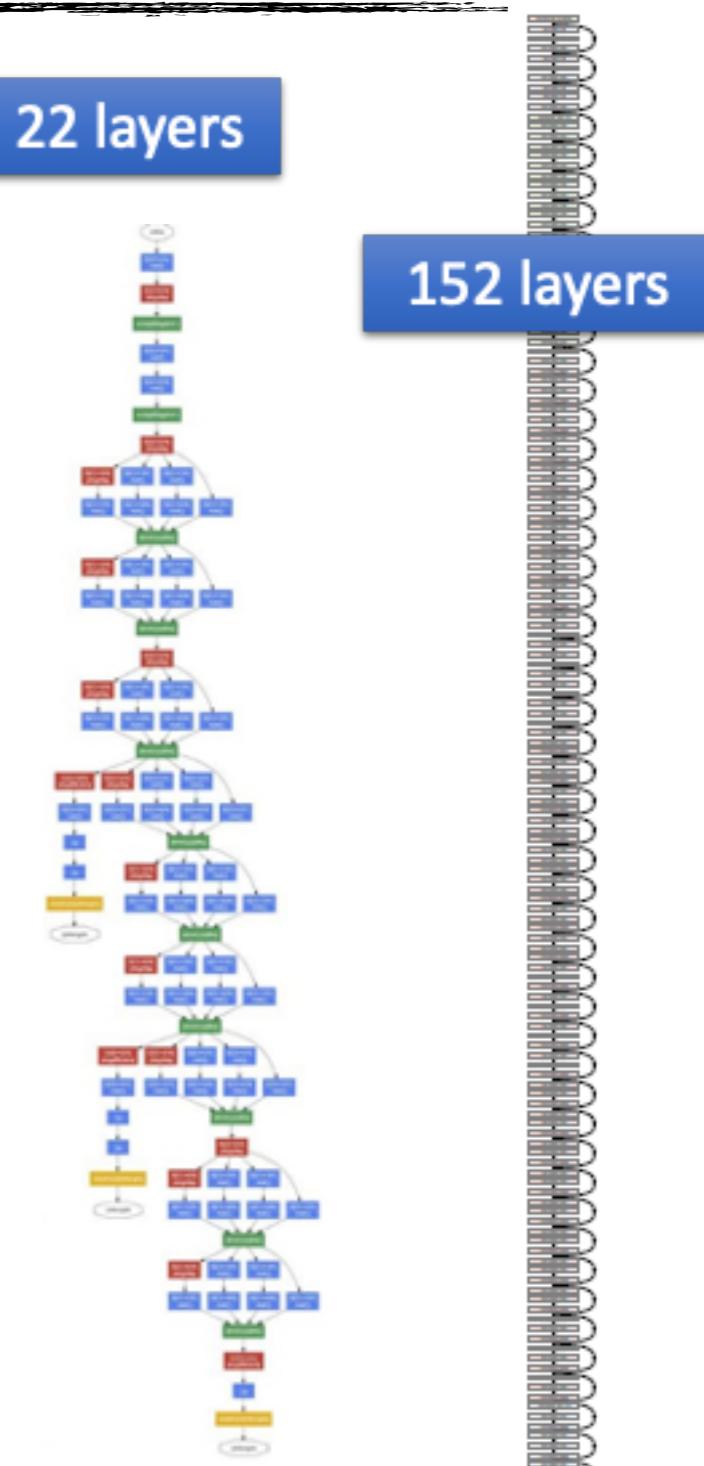
8 layers

19 layers



VGG (2014)

22 layers



GoogleNet (2015)

ResidualNet  
(2015)

Using multiple layers of neurons to represent some functions

# Output Layer

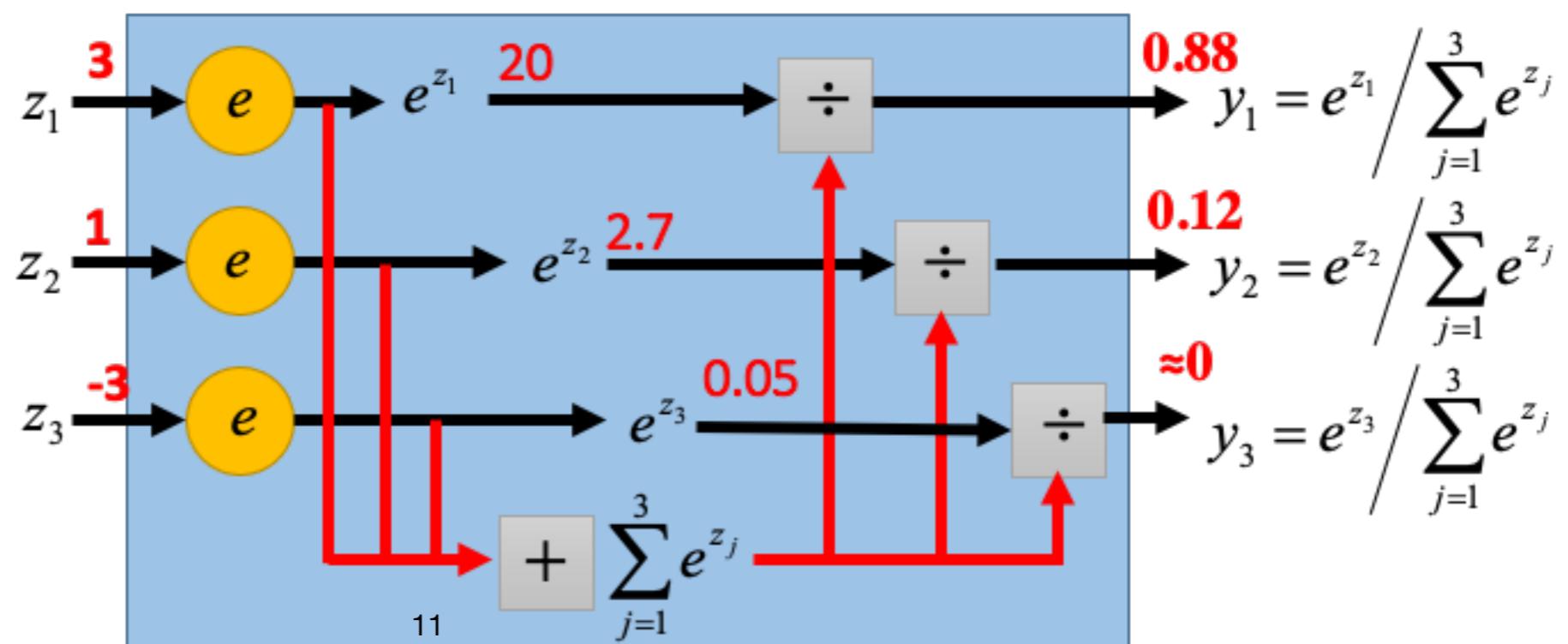
## Ordinary Layer

$$z_1 \rightarrow \sigma \rightarrow y_1 = \sigma(z_1)$$

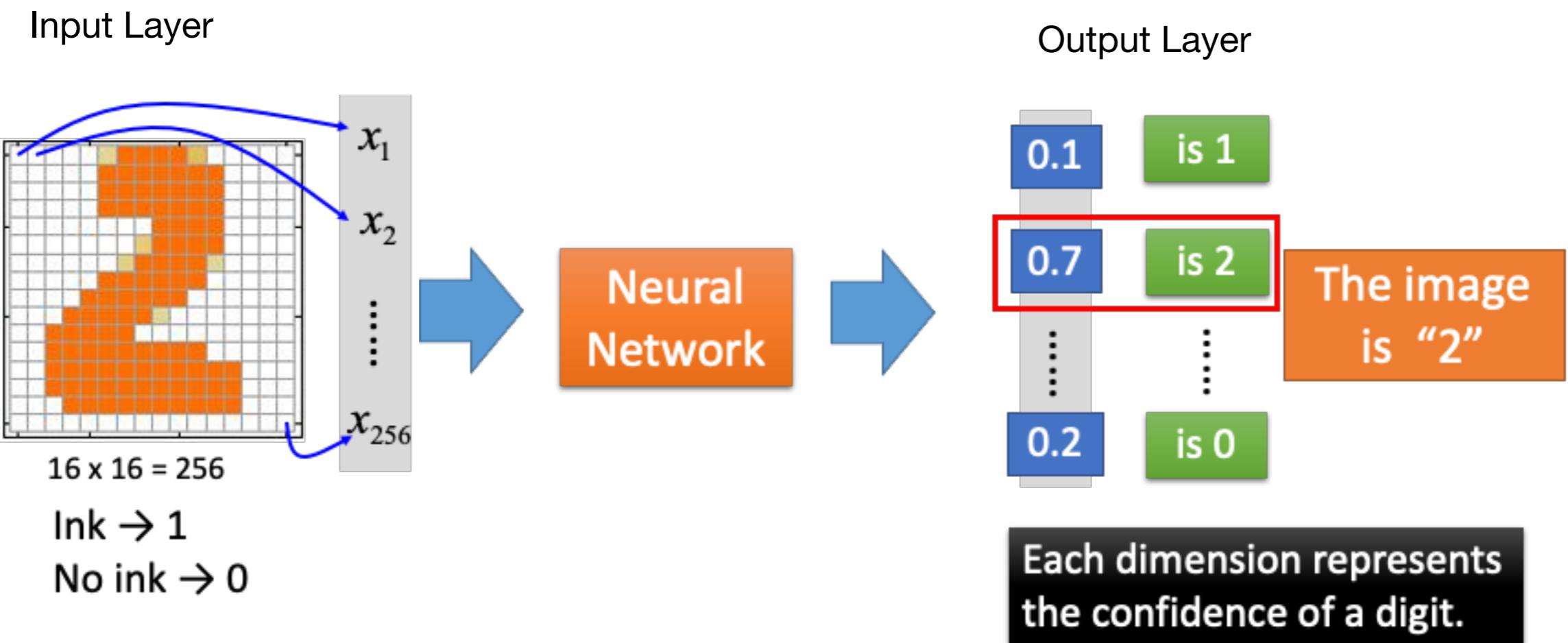
$$z_2 \rightarrow \sigma \rightarrow y_2 = \sigma(z_2)$$

$$z_3 \rightarrow \sigma \rightarrow y_3 = \sigma(z_3)$$

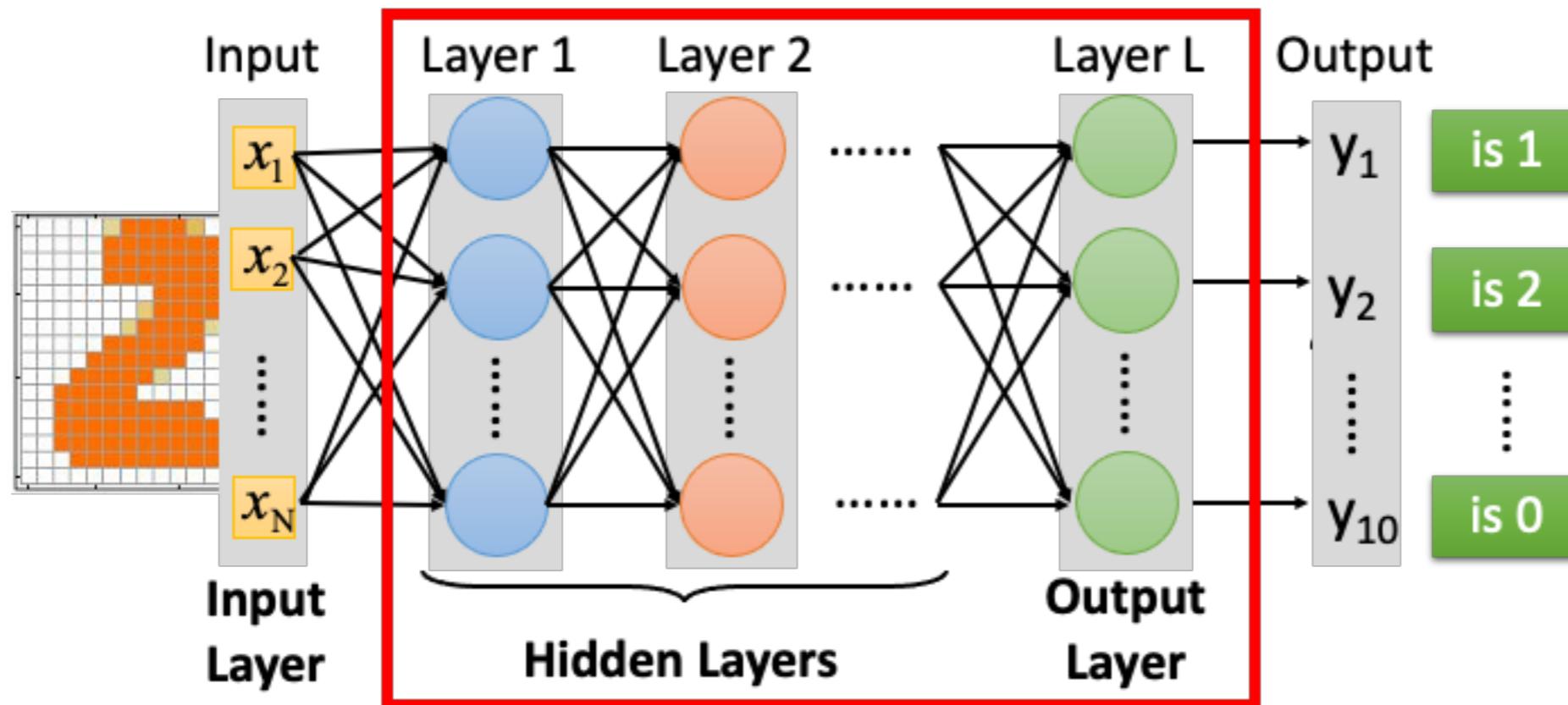
## Softmax Layer



# An Example



# An Example

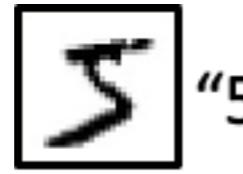


Needs to determine the network structure

How many layers?  
How many neurons for each layer?

# Learning Target

---



"5"



"0"



"4"



"1"



"9"



"2"



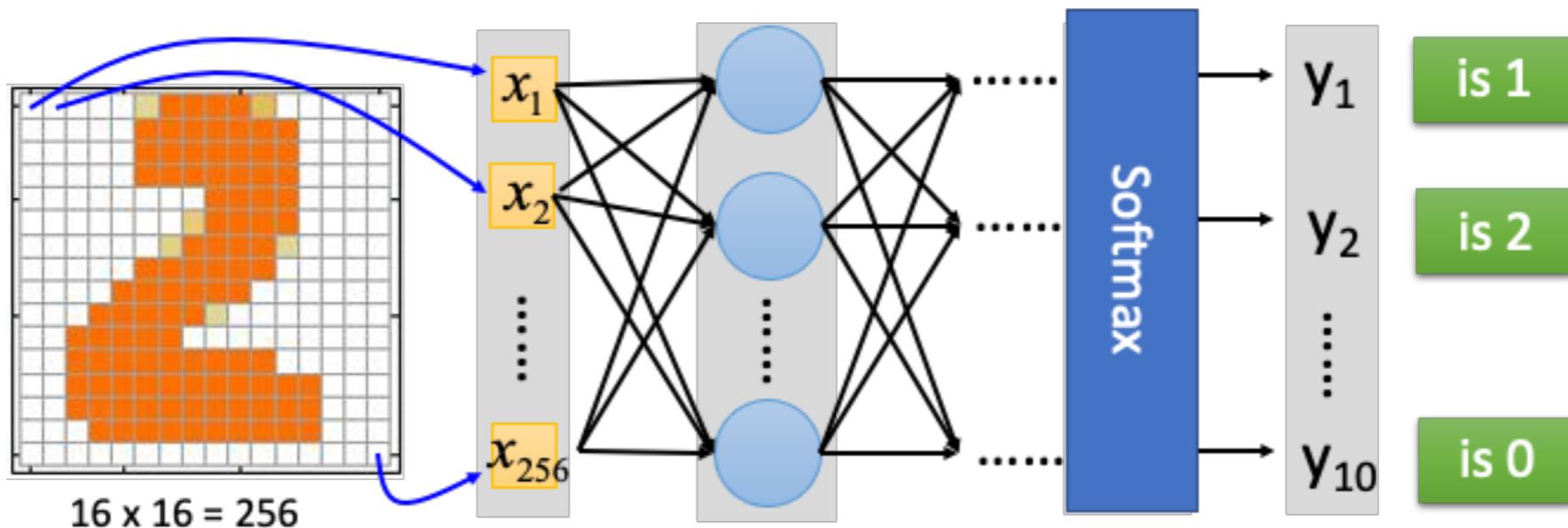
"1"



"3"

The learning target is defined on  
the training data.

# Learning Target



Ink  $\rightarrow$  1

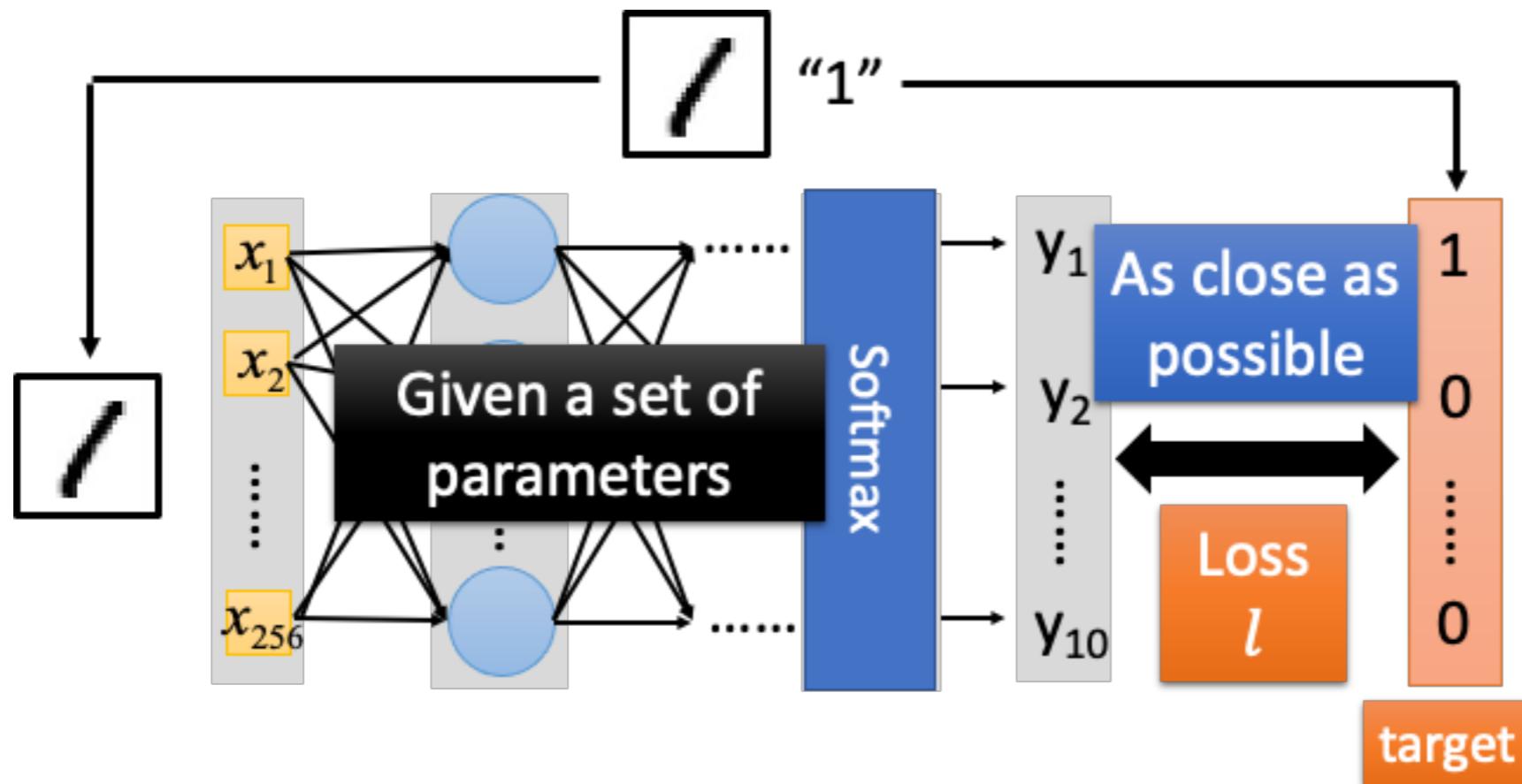
No ink  $\rightarrow$  0

The learning target is .....

Input:  $\rightarrow y_1$  has the maximum value

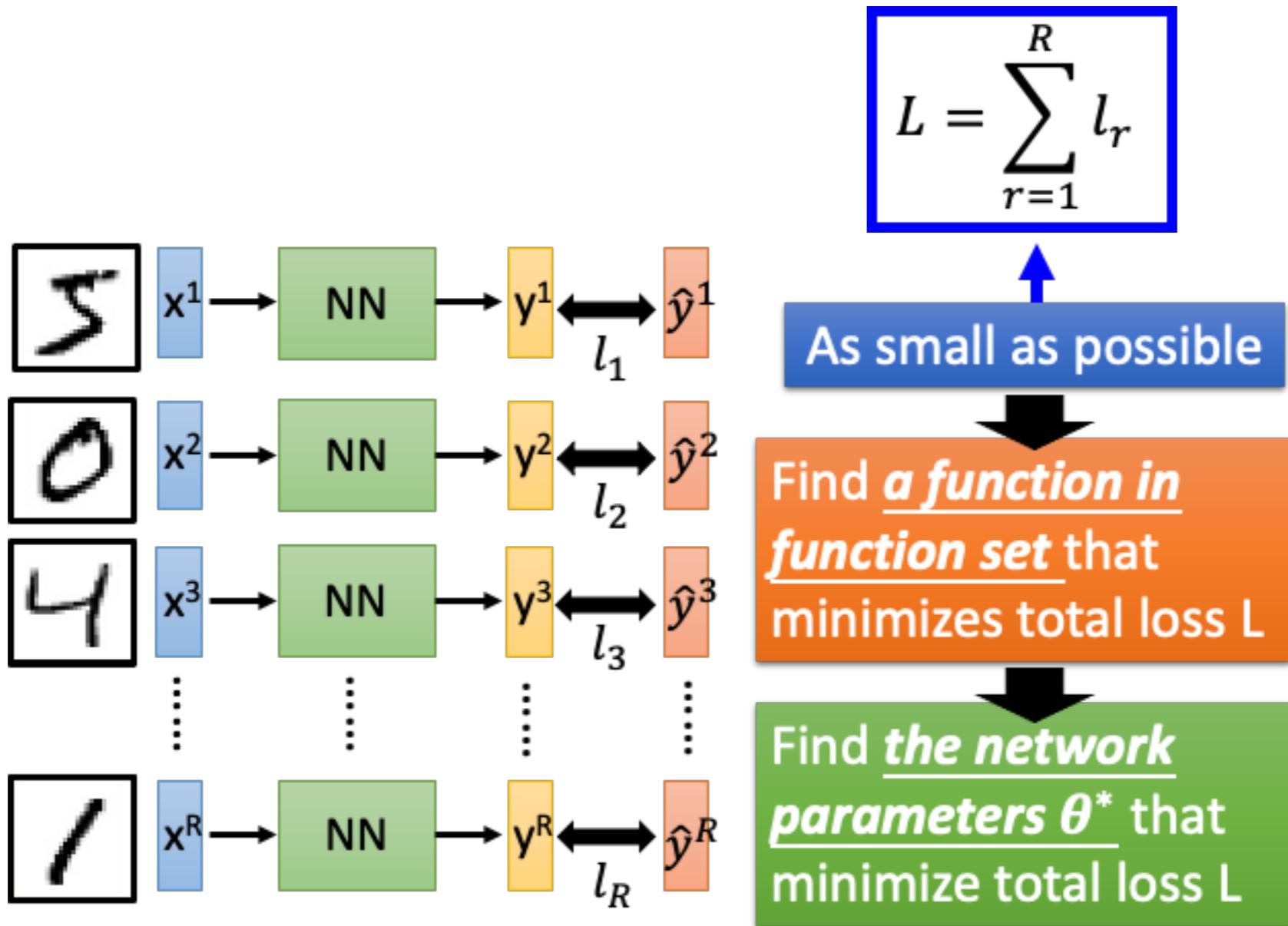
Input:  $\rightarrow y_2$  has the maximum value

# Good Function = Loss as Small as Possible



Loss can be square error or cross entropy between the output and the target

# Total Loss



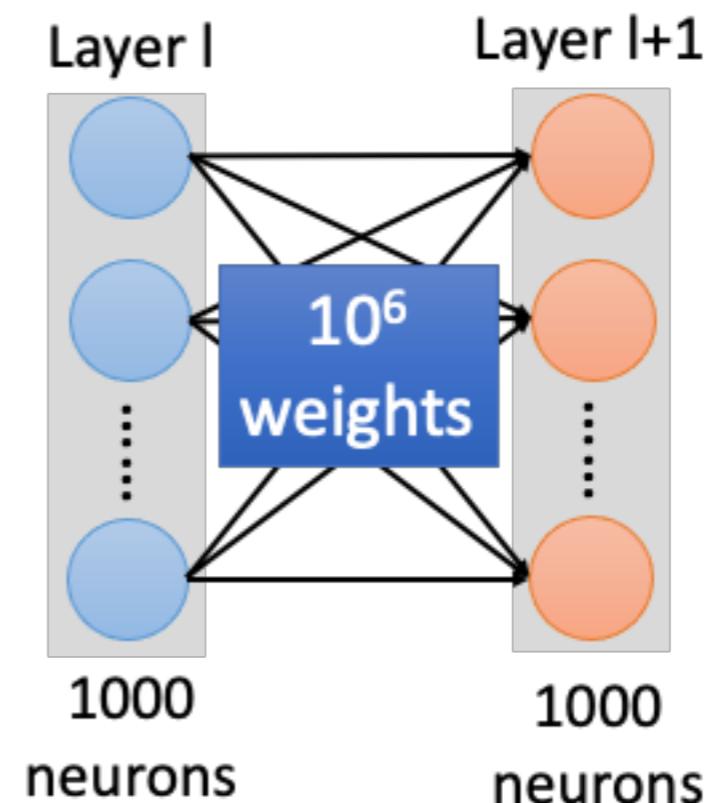
# Total Loss

Find network parameters  $\theta^*$  that minimize total loss L

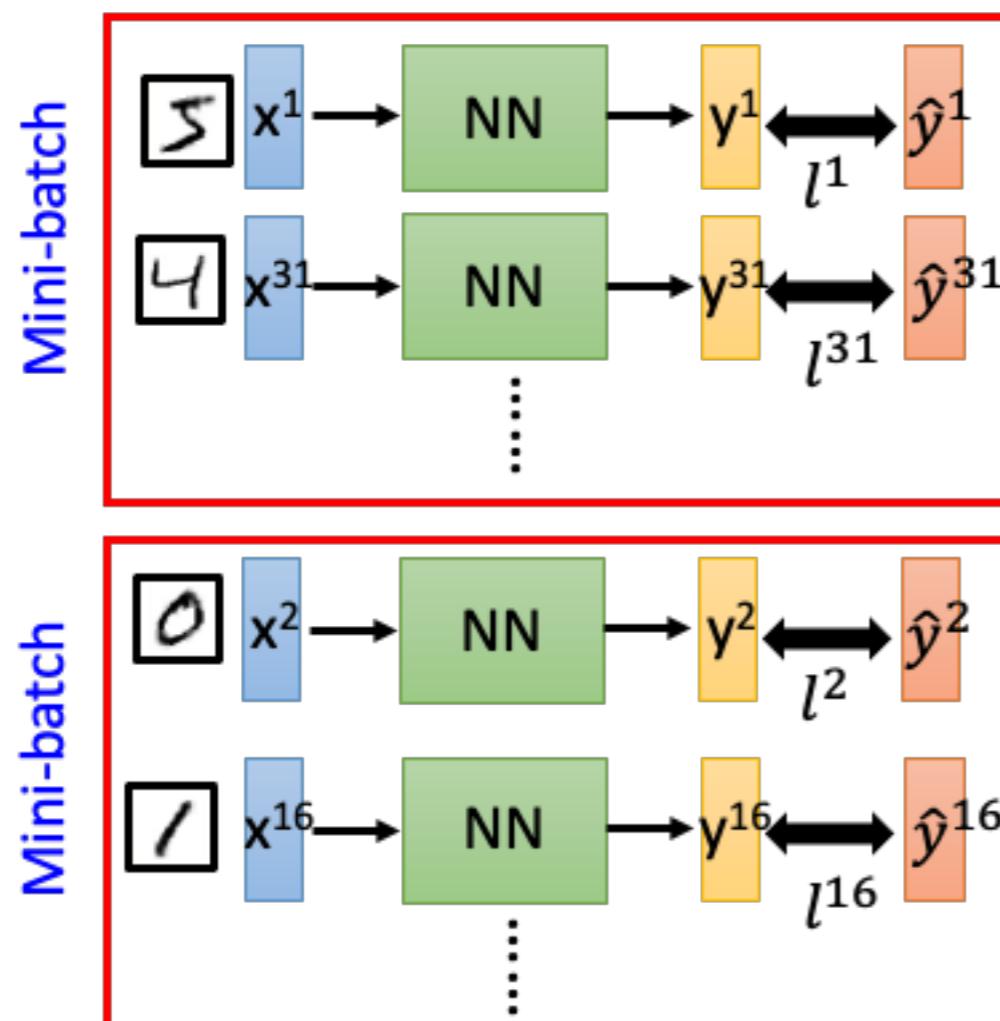
Enumerate all possible values

Network parameters  $\theta =$   
 $\{w_1, w_2, w_3, \dots, b_1, b_2, b_3, \dots\}$

Millions of parameters



# Mini-batch

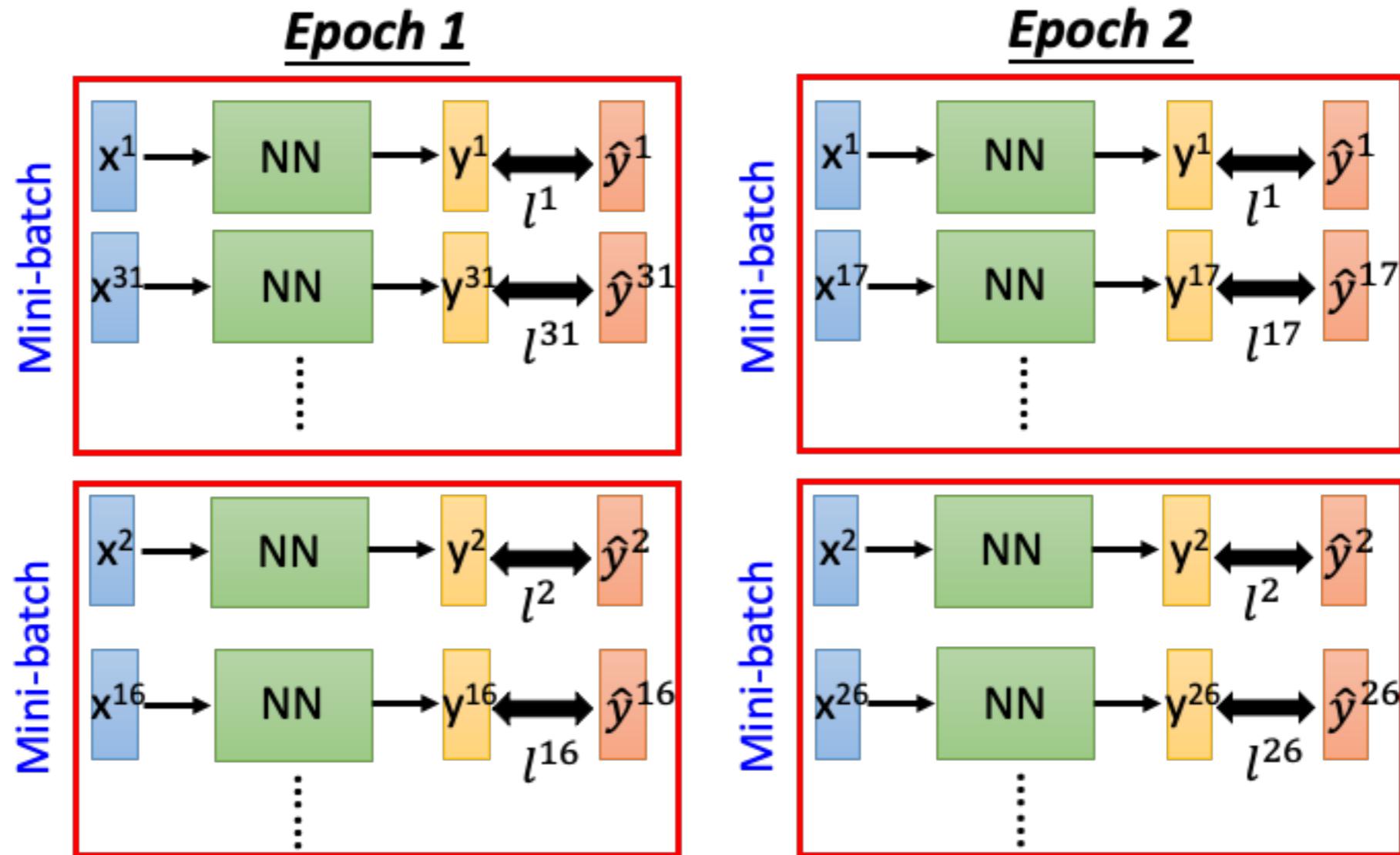


- Randomly initialize network parameters
  - Pick the 1<sup>st</sup> batch  
 $L' = l^1 + l^{31} + \dots$   
Update parameters once
  - Pick the 2<sup>nd</sup> batch  
 $L'' = l^2 + l^{16} + \dots$   
Update parameters once
  - Until all mini-batches have been picked
- one epoch

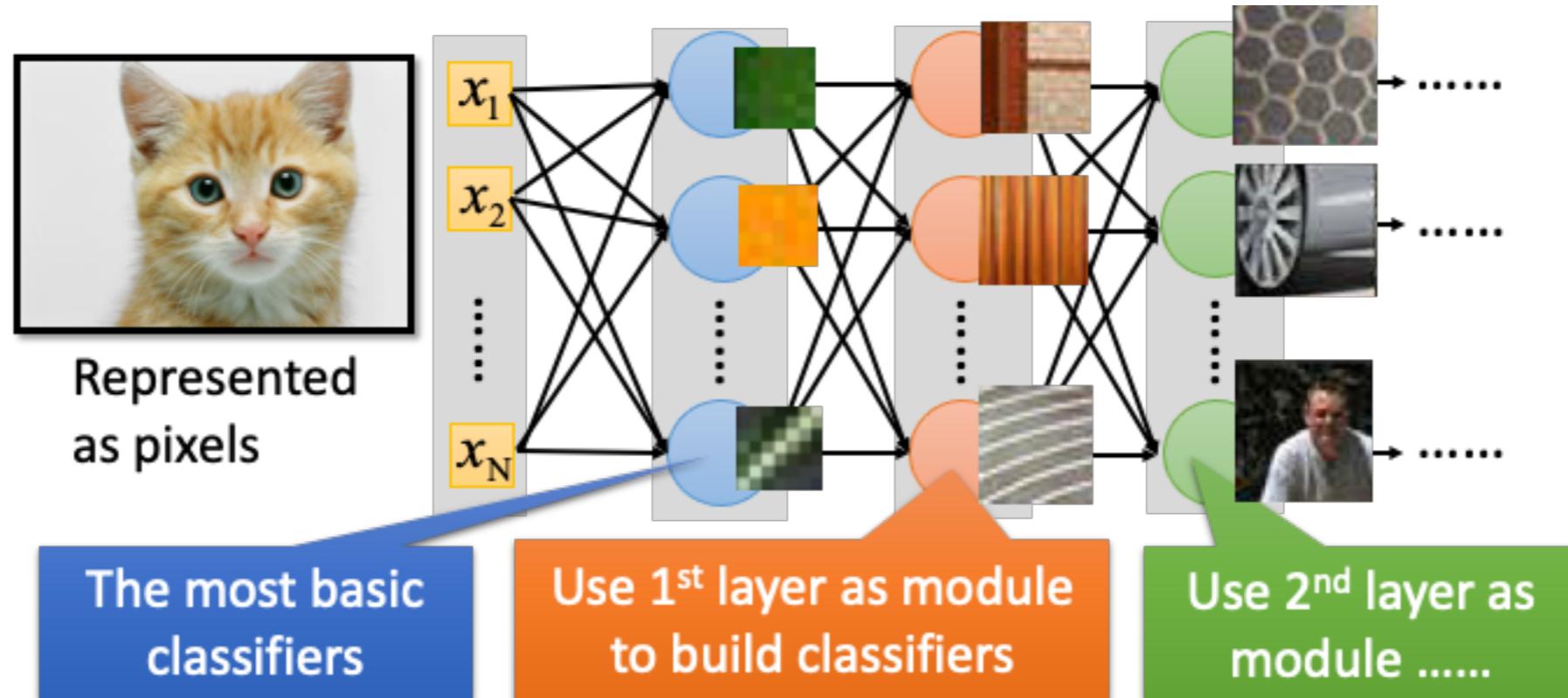
Repeat the above process

We do not really minimize total loss!

# Mini-batch



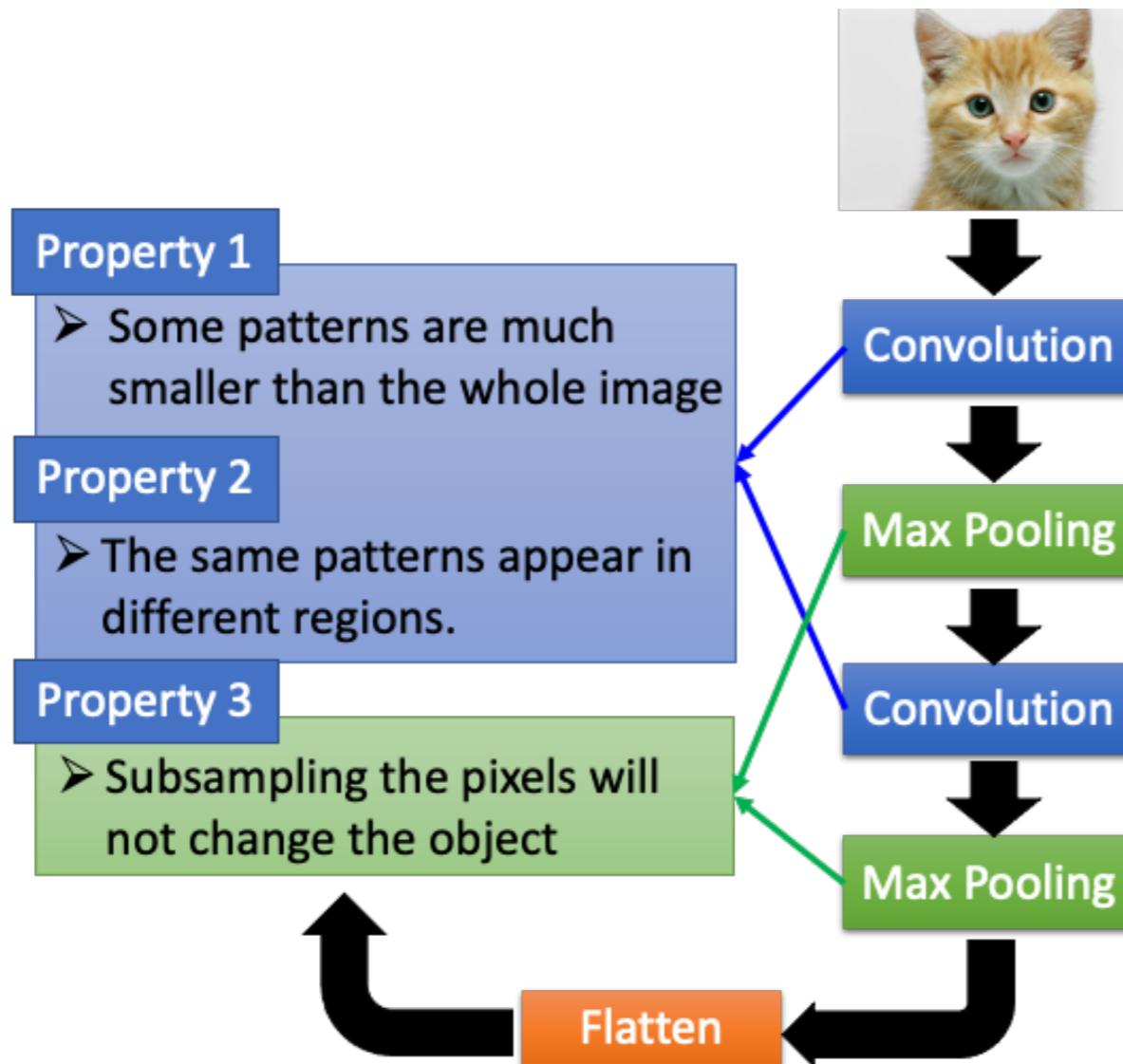
# Convolutional Neural Network (CNN)



Each pixel as one input

Can we simplify the network by considering the properties of Images?

# Convolutional Neural Network (CNN)



We can subsample the pixels to make image smaller

Less parameters for the network to process the image

# CNN -Convolution

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

6 x 6 image

1	-1	-1
-1	1	-1
-1	-1	1

Filter1  
Matrix

-1	1	-1
-1	1	-1
-1	1	-1

Filter 2  
Matrix

...

...

...

...

Each filter detects a small region (3x3) Property 1

# CNN -Convolution

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

1	-1	-1
-1	1	-1
-1	-1	1

Filter 1

3

# CNN -Convolution

Stride =1

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

1	-1	-1
-1	1	-1
-1	-1	1

Filter 1



1	0	0	0	0	0	1
0	1	0	0	0	1	0
0	0	1	1	0	0	0
1	0	0	0	0	1	0
0	1	0	0	0	1	0
0	0	1	0	0	1	0

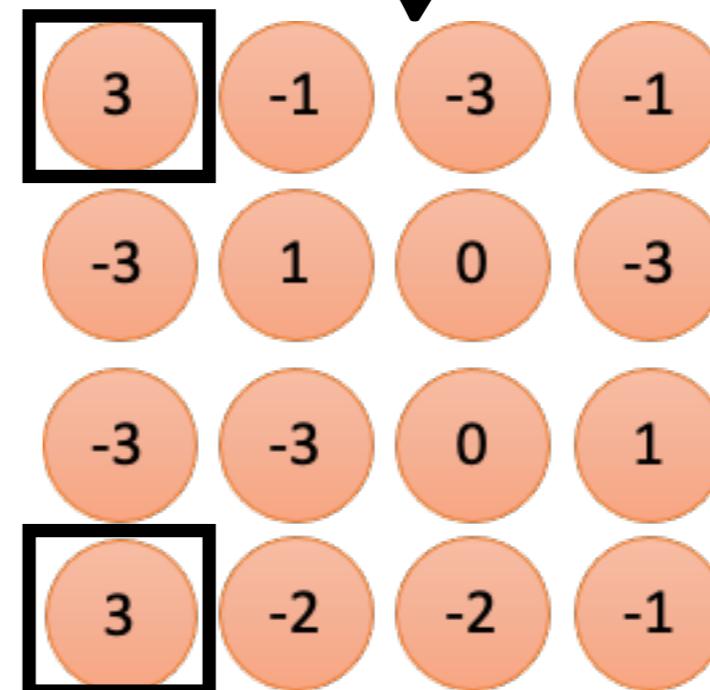


# CNN -Convolution

1	0	0	0	0	1
0	1	0	0	1	0
0	0	1	1	0	0
1	0	0	0	1	0
0	1	0	0	1	0
0	0	1	0	1	0

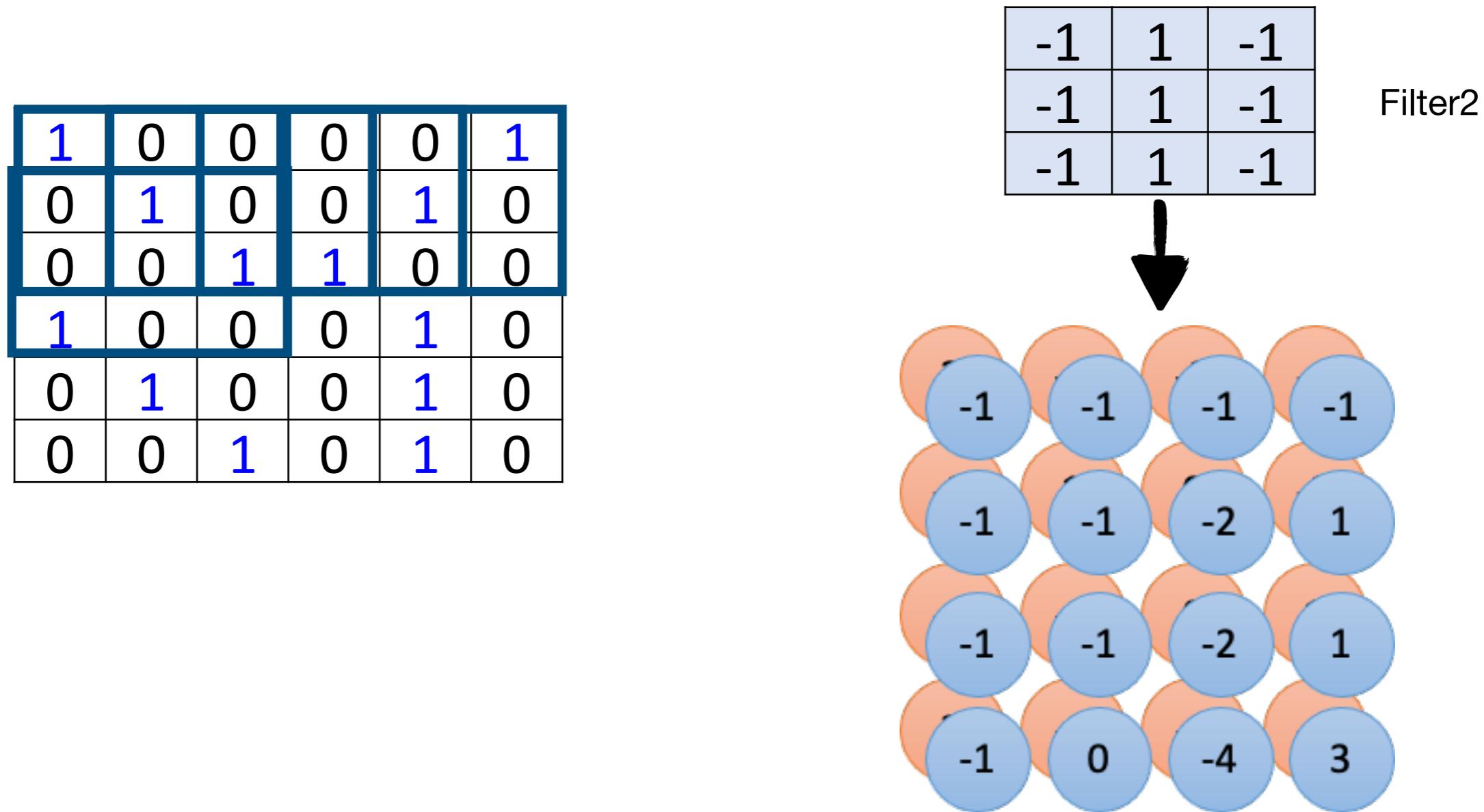
1	-1	-1
-1	1	-1
-1	-1	1

Filter 1



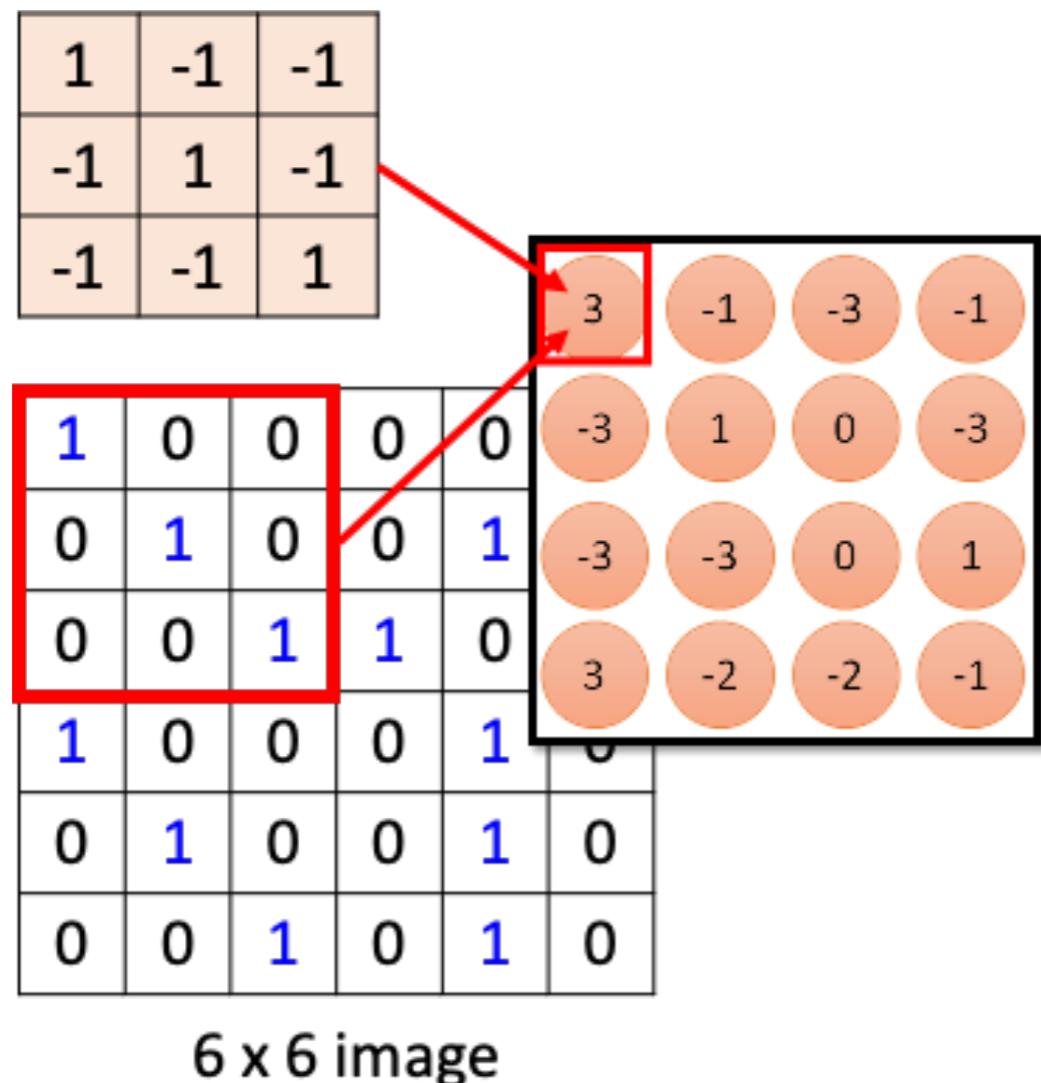
Property 2: Same patterns appears at different region!

# CNN -Convolution

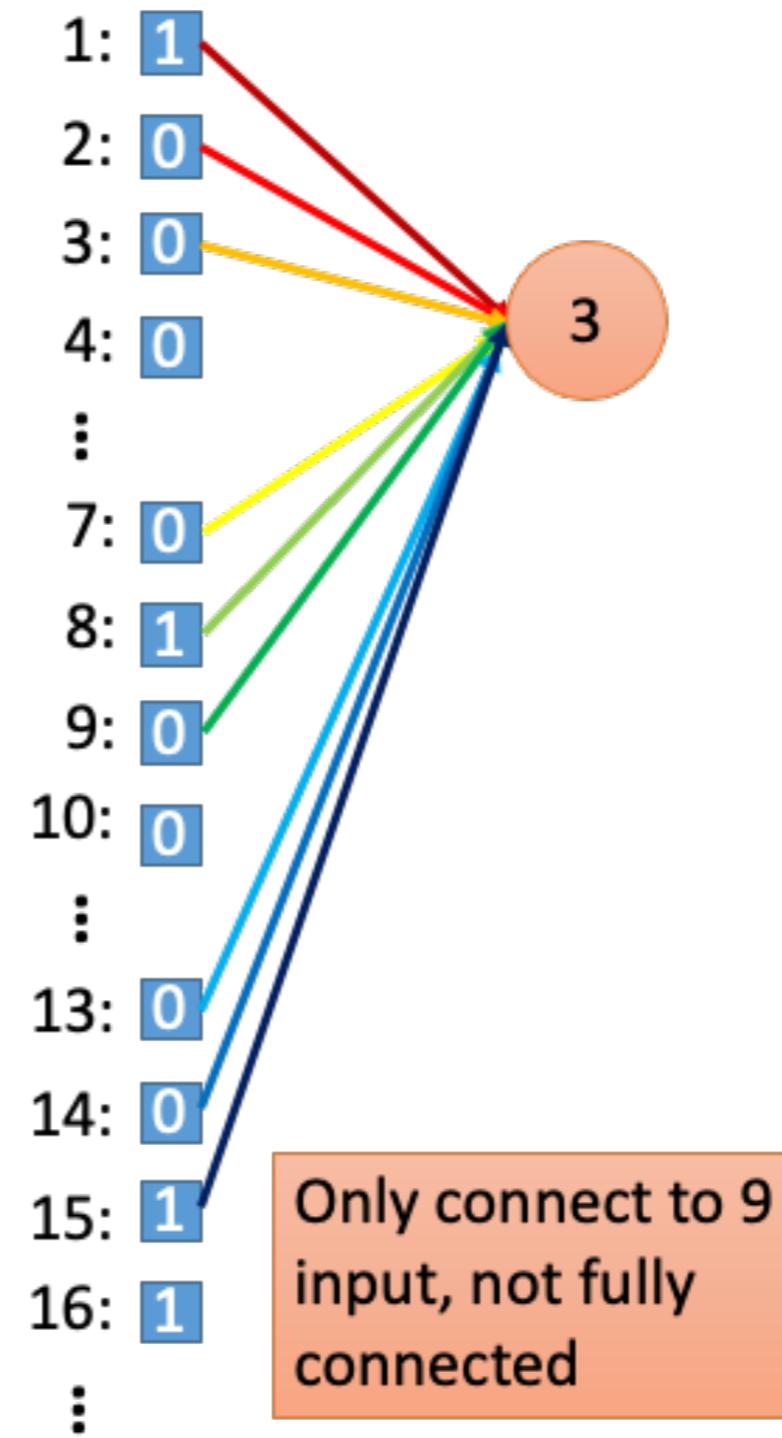


Property 2: Same patterns appears at different region!

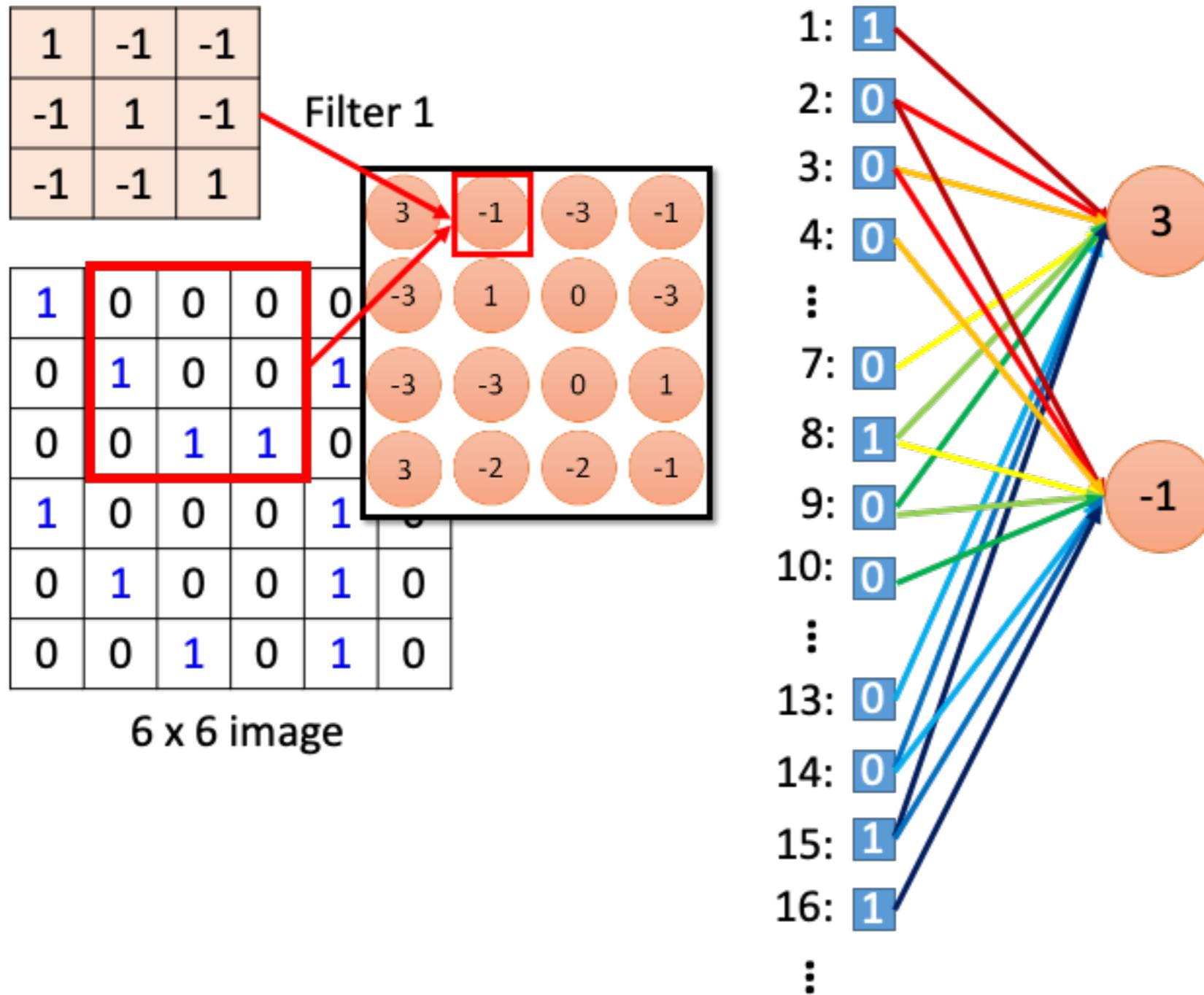
# CNN -Convolution



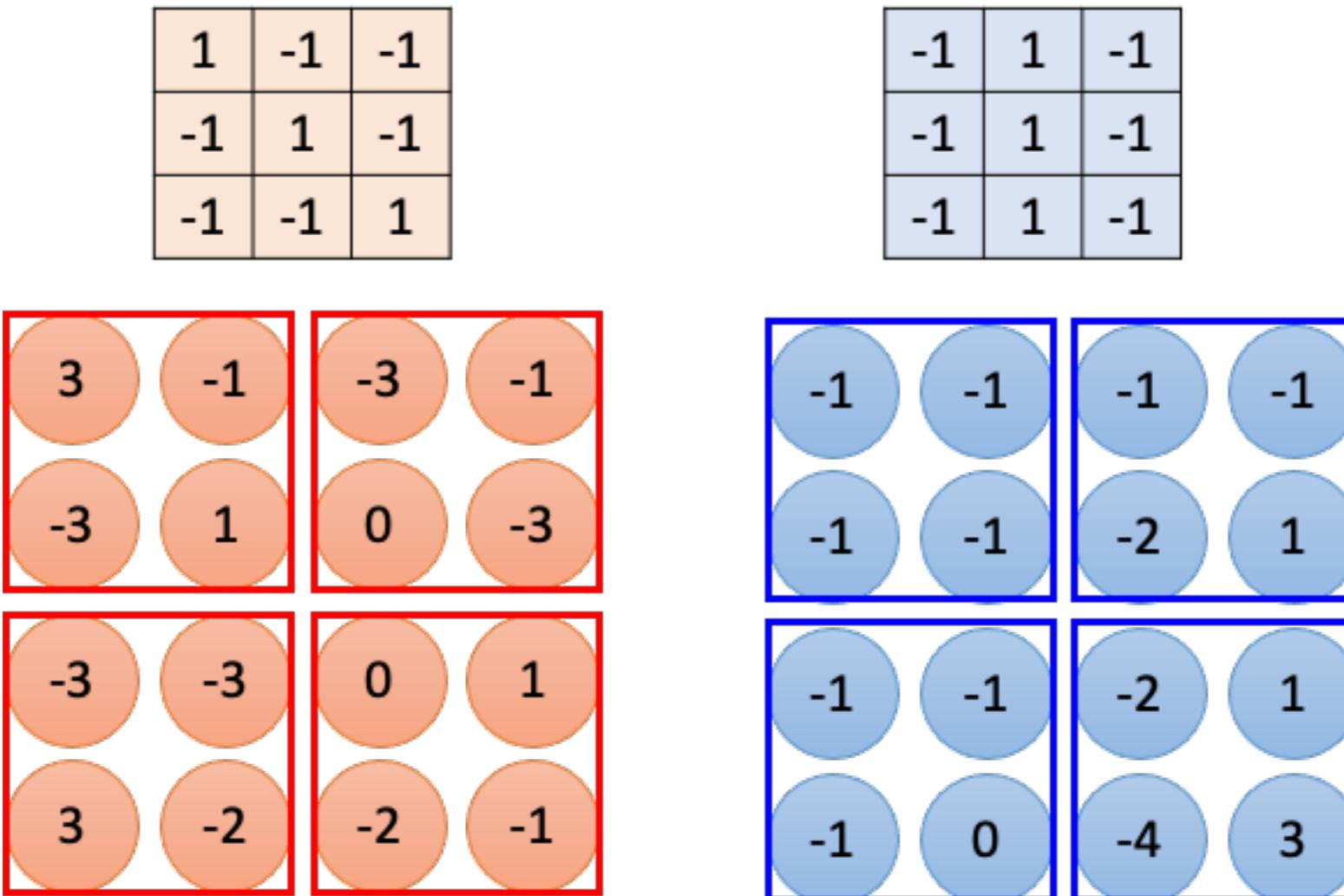
Less parameters!



# CNN -Convolution



# CNN -Max Pooling



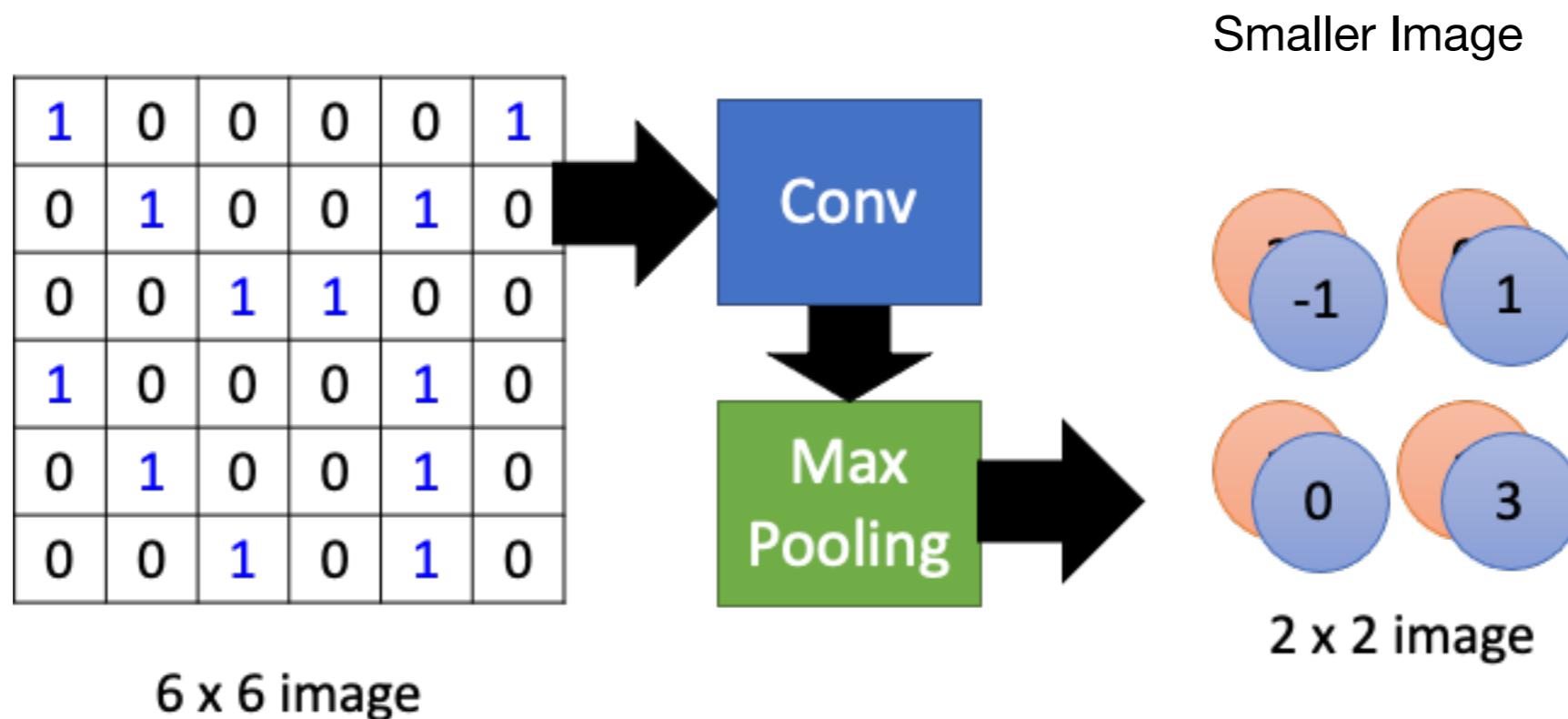
3      0

3      1

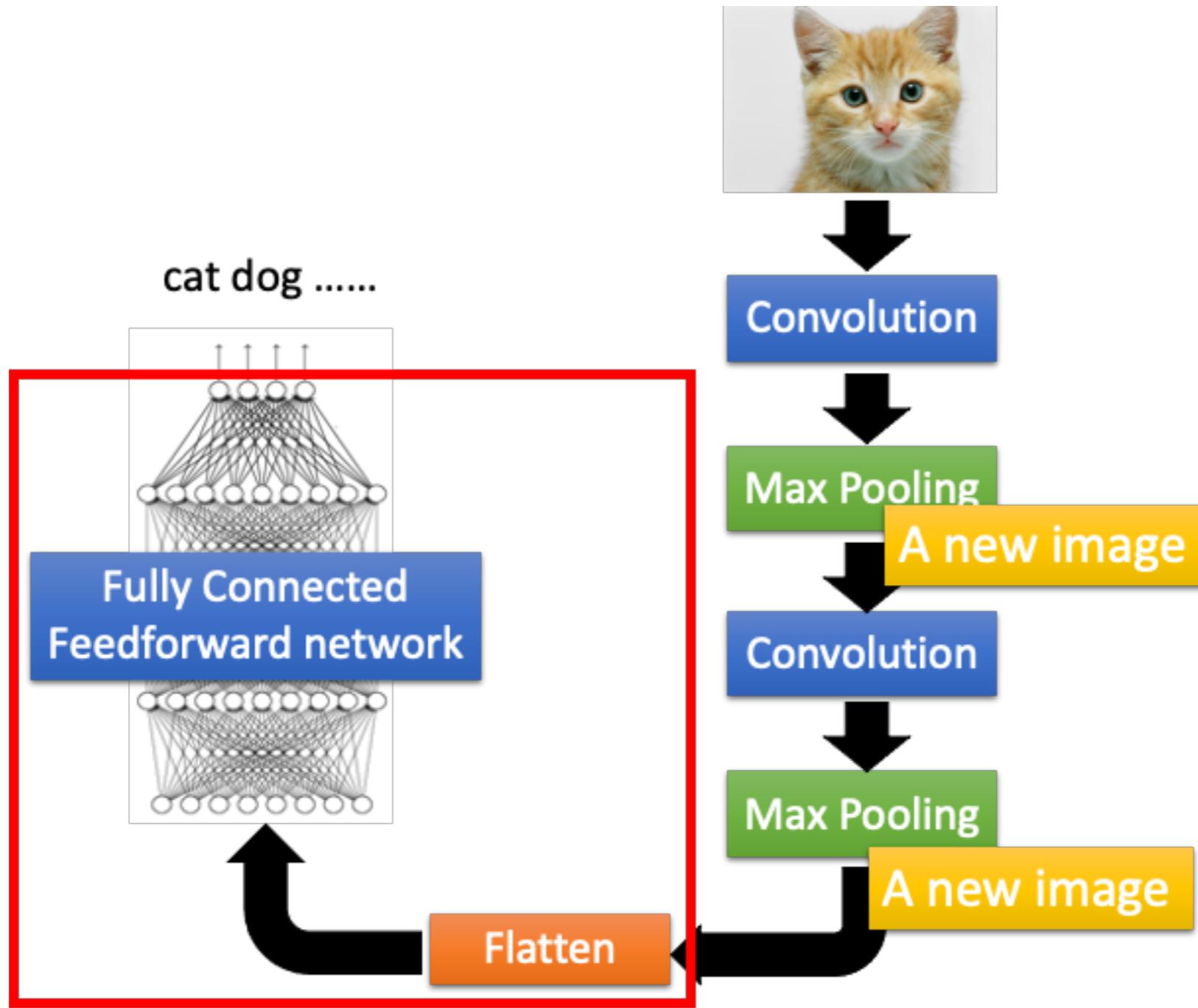
-1      1

30 0      3

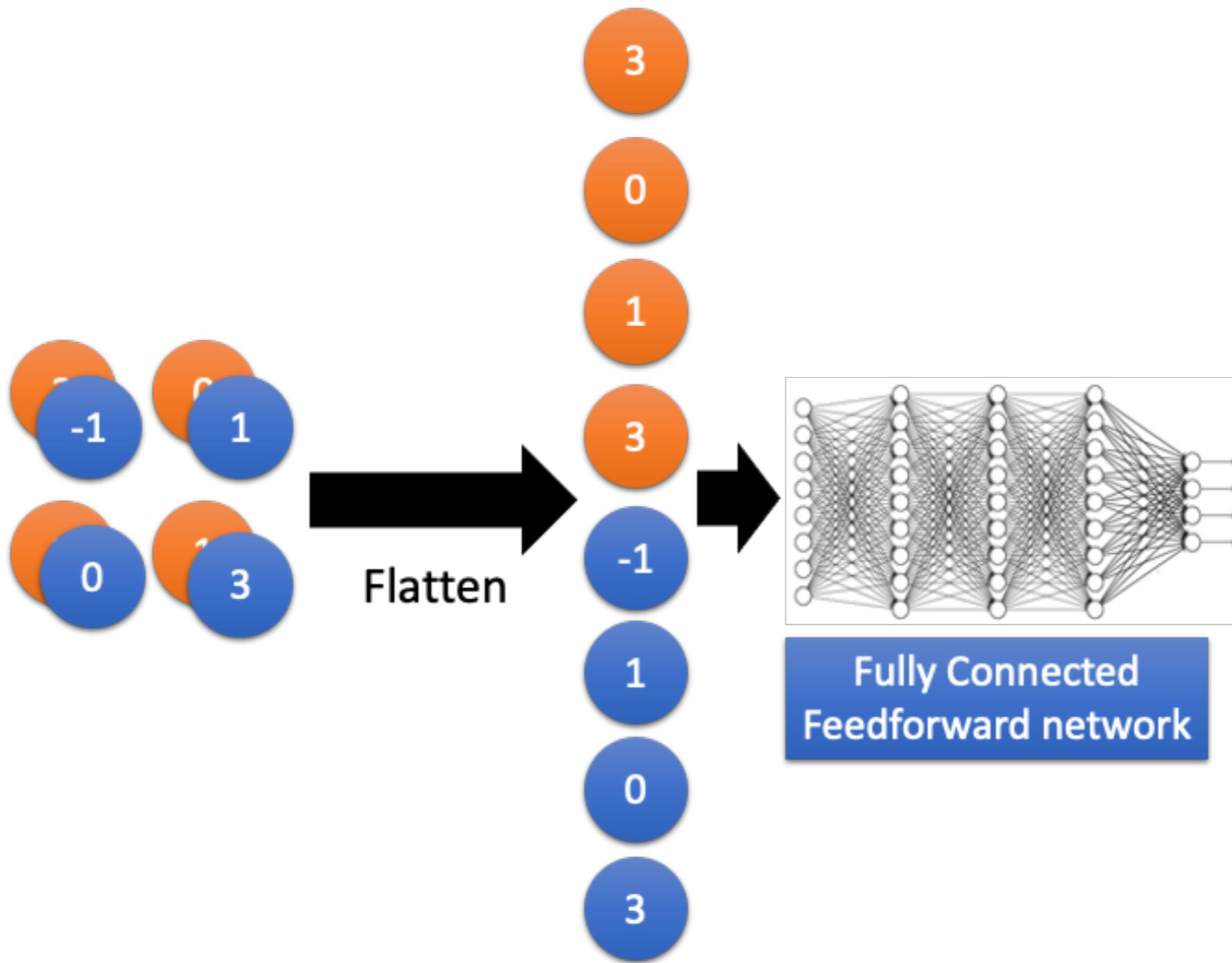
# CNN -Convolution



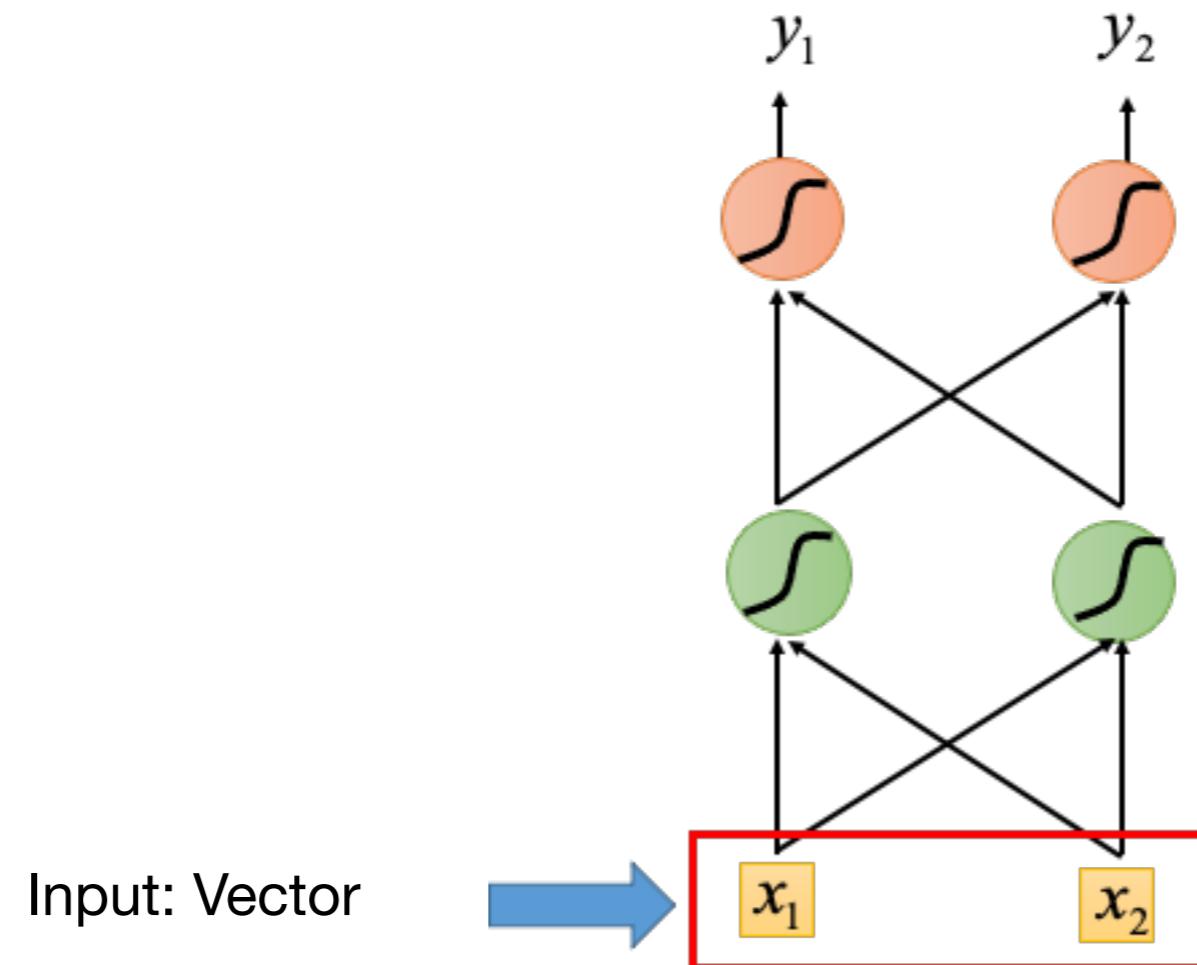
# The Whole CNN



# Flatten



# Recurrent Neural Network



# Word to Vector

---

1to N encoding → Embedding to high dimensional space

lexicon = {apple, bag, cat, dog, elephant}

apple = [ 1 0 0 0 0]

bag = [ 0 1 0 0 0]

cat = [ 0 0 1 0 0]

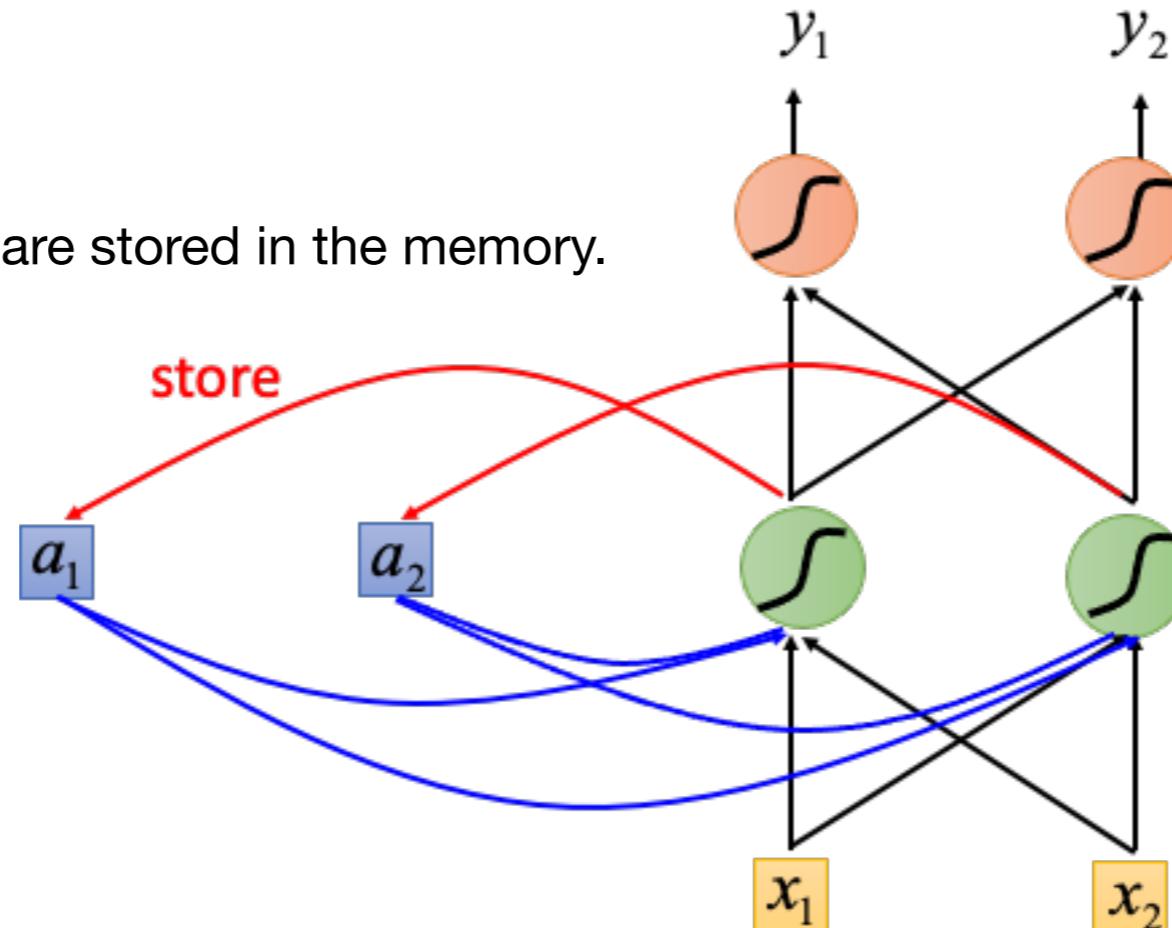
dog = [ 0 0 0 1 0]

elephant = [ 0 0 0 0 1]

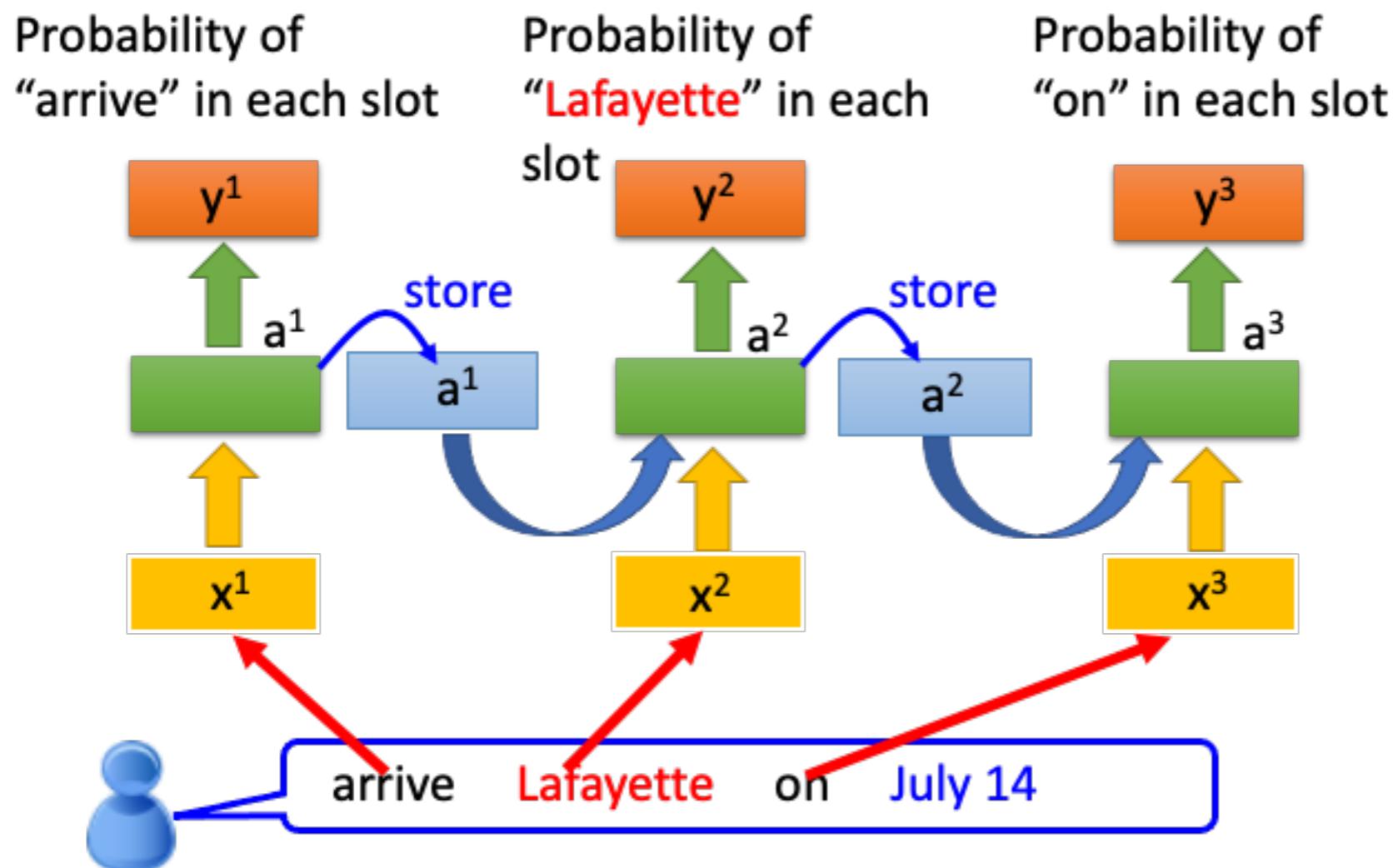
Popular techniques: Word2Vector, Node2Vector

# RNN

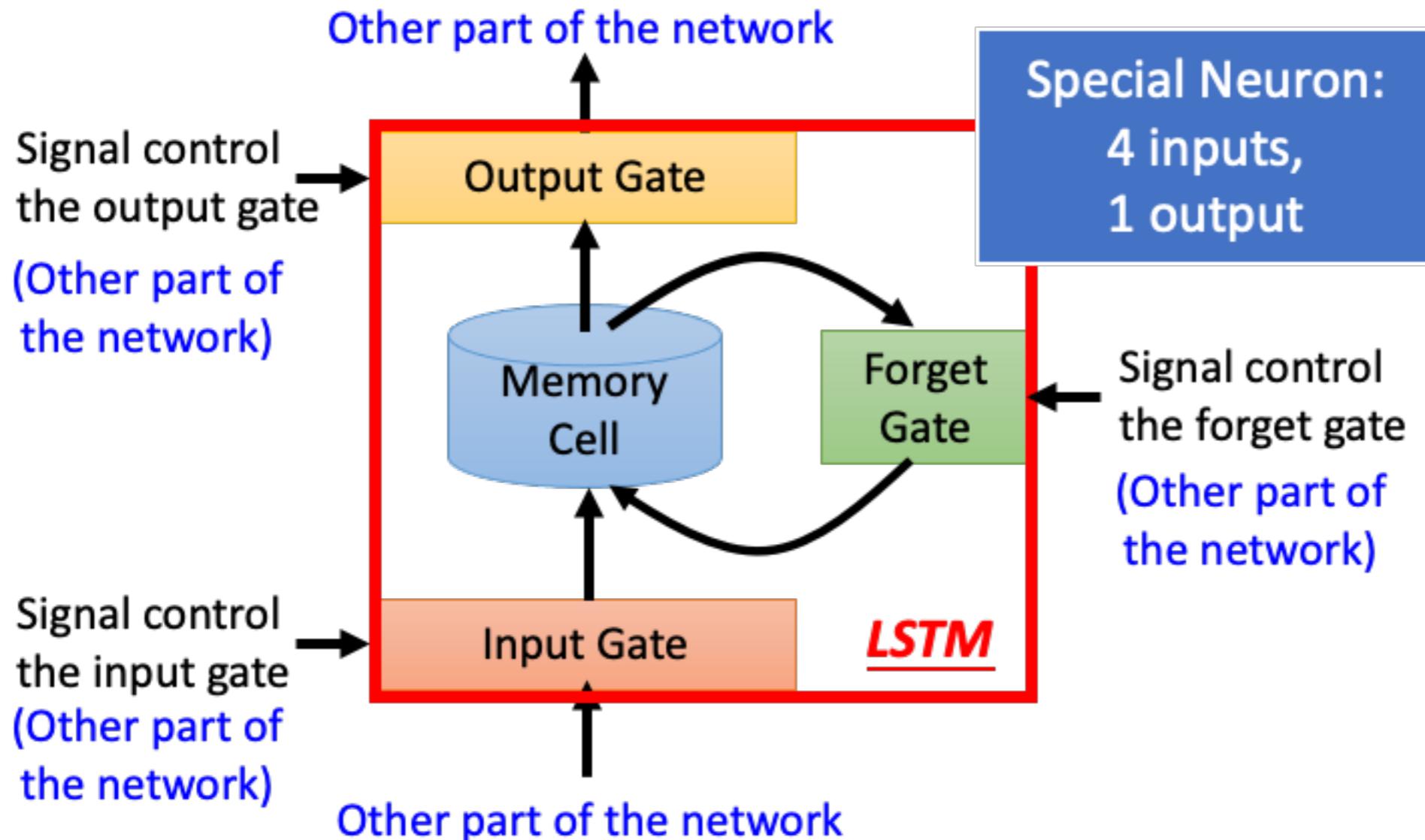
The output of hidden layer are stored in the memory.



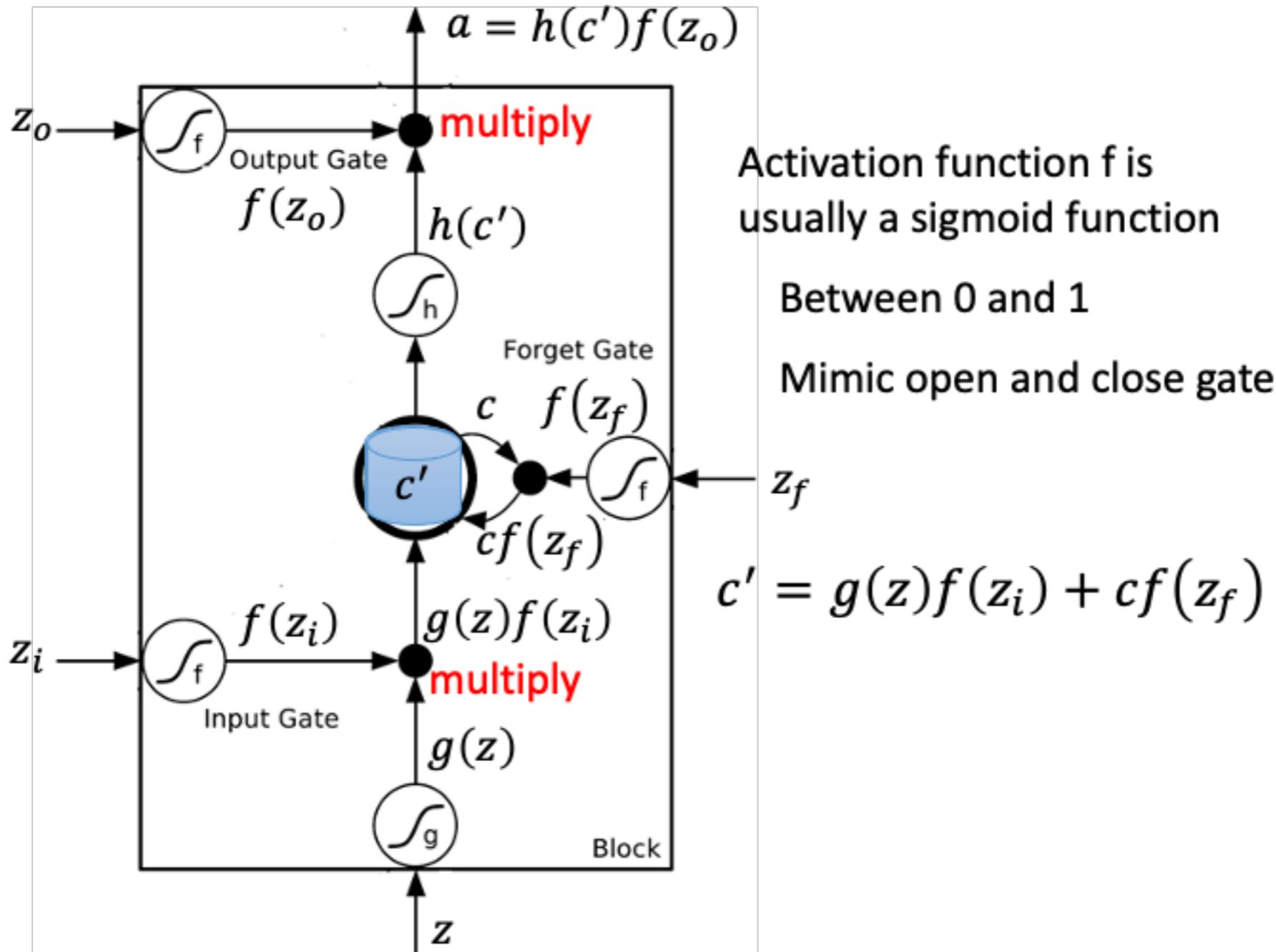
# RNN



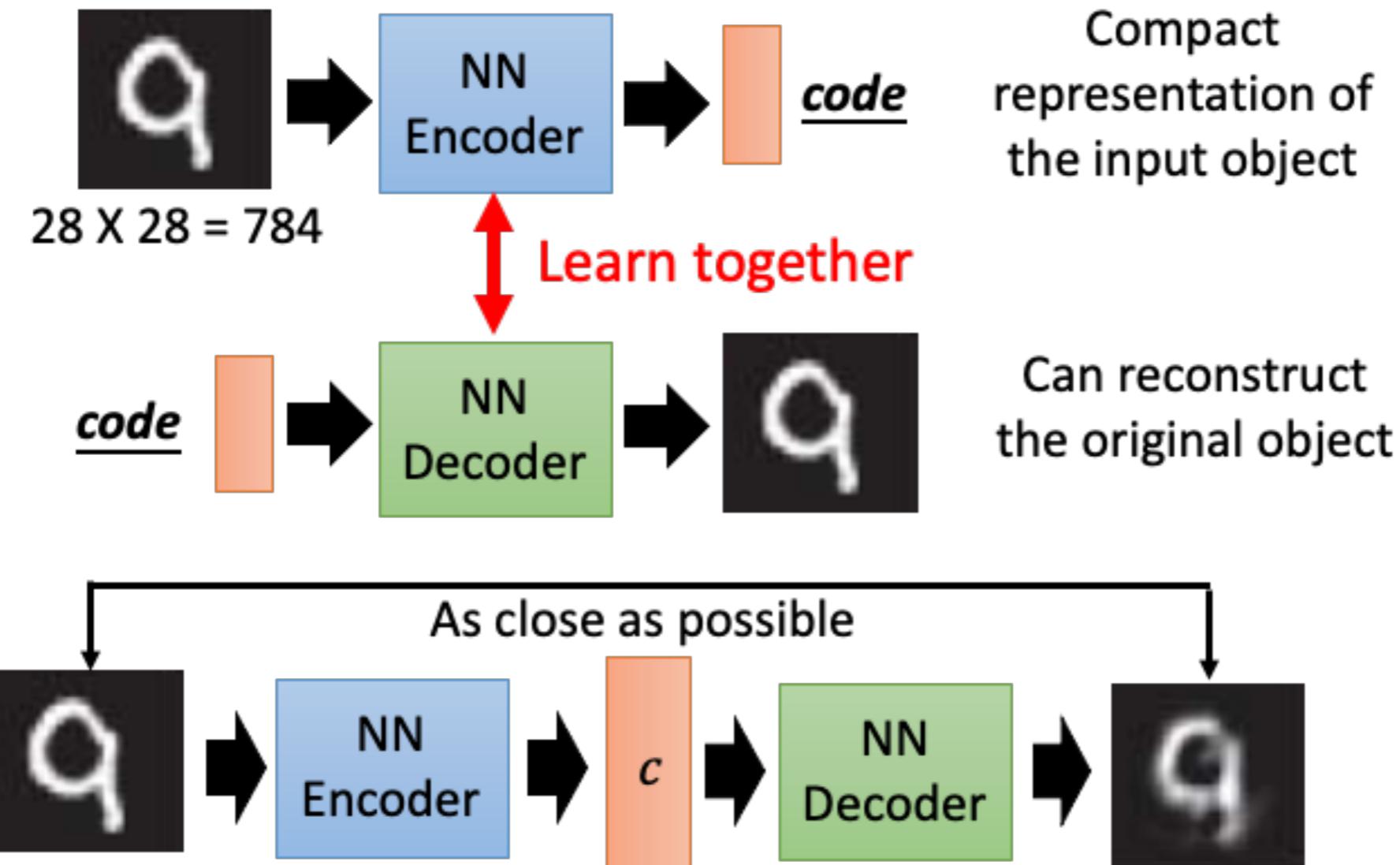
# Long Short-term Memory (LSTM)



# Long Short-term Memory (LSTM)



# Auto-Encoder



# Deep Auto-Encoder

