# LICONG LIN

### PERSONAL INFORMATION

Email: liconglin@berkeley.edu Website: https://licong-lin.github.io

Address: 437 Evans Hall, UC Berkeley, Berkeley, CA 94720

# **EDUCATION**

# University of California, Berkeley

August 2021 - Present

Ph.D. candidate in Statistics

Advisors: Song Mei and Peter Bartlett

Peking University

September 2017 - July 2021

B.S. in Statistics; graduated with honors

GPA: 3.82/4.00; rank: 2/45

## RESEARCH INTERESTS

• Theory of AI, AI alignment, high-dimensional statistics, statistical inference.

• My work focuses on understanding the statistical foundations of AI and on developing theory-inspired algorithms for AI. I use and extend tools from statistical learning theory, high-dimensional statistics, and optimization to study, e.g., foundations of multimodal generative AI, scaling laws, Transformers, in-context learning, and to build mathematically motivated algorithms for AI alignment.

### WORK EXPERIENCE

• Applied scientist intern, <b>Amazon Web Services</b> ; Santa Clara, CA	05/2024 - 08/2024
• Student researcher, Google Research; New York, NY	01/2025 - 05/2025
• Quantitative research intern, <b>The Voleon Group</b> ; Berkeley, CA	06/2025 - 08/2025

# TEACHING EXPERIENCE

Graduate Student Instructor (TA), UC Berkeley; Berkeley, CA

01/2022 - 12/2023

- STAT 153: Introduction to Time Series (Instructor: Ruoqi Yu, Spring 2022).
- STAT 210B: Theoretical Statistics II (Instructor: Song Mei, Spring 2023).
- STAT 135: Concepts of Statistics (Instructor: Adam Lucas, Fall 2023).

Received UC Berkeley Outstanding Graduate Student Instructor Award in 2023.

## **SERVICES**

• Journal Reviewer: Annals of Statistics (AoS); Journal of the American Statistical Association (JASA); SIAM Journal on Mathematics of Data Science (SIMODS); Journal of Machine Learning Research (JMLR); Transactions on Machine Learning Research (TMLR).

- Conference Reviewer: International Conference on Machine Learning (ICML); Conference on Neural Information Processing Systems (NeurIPS); Conference on Learning Theory (COLT); International Conference on Learning Representations (ICLR); International Conference on Artificial Intelligence and Statistics (AISTATS); IEEE Information Theory Workshop (ITW).
- Mentorship: Student mentor at the Statistics Undergraduate Mentorship (SUM) program and Statistics Graduate Student Association (SGSA) mentorship program, UC Berkeley, 2022–2025.

### INVITED TALKS

• Joint Statistical Meeting (JSM), Nashville, TN	08/2025
• INFORMS Annual Meeting, Seattle, WA	10/2024
• Joint Statistical Meeting (JSM), Portland, OR	08/2024
• UIUC Machine Learning Reading Group (online), Urbana, IL	04/2024

## HON

NORS AND AWARDS	
Outstanding Graduate Student Instructor, UC Berkeley	2023
• Huaixin Bachelor, Peking University	2021
• Honor graduate of Applied Mathematics and Statistics Program, Peking University	2021
• Academic Excellence Award, Peking University	2018, 19, 20
• Peking University Scholarship, Peking University	2019, 20
• Gold Medal in Probability & Statistics, S-T. Yau College Student Mathematics Contest, placed 1st nationally, China 2020	
• 1st Prize, Beijing College Student Mathematics Competition, China	2018
• 2nd Prize, China National Mathematical Olympiad, China	2016

## **PREPRINTS**

(\* for co-first author or alphabetical order)

- Licong Lin, Jingfeng Wu, and Peter L. Bartlett. "Improved scaling laws in linear regression via data reuse." arXiv preprint:2506.08415 (2025). arXiv.
- Licong Lin and Song Mei. "A statistical theory of contrastive learning via approximate sufficient statistics." arXiv preprint:2503.17538 (2025). arXiv.
- Kazusato Oko\*, Licong Lin\*, Yuhang Cai\*, and Song Mei\*. "A statistical theory of contrastive pre-training and multimodal generative AI." arXiv preprint:2501.04641 (2025). arXiv.
- Ruiqi Zhang, Jingfeng Wu, Licong Lin, and Peter L. Bartlett. "Minimax optimal convergence of gradient descent in logistic regression via large and adaptive stepsizes." arXiv preprint:2504.04105 (2025), an earlier version was accepted to ICML 2025. arXiv.
- Chongyu Fan\*, Jiancheng Liu\*, Licong Lin\*, Jinghan Jia, Ruiqi Zhang, Song Mei, and Sijia Liu. "Simplicity prevails: Rethinking negative preference optimization for LLM unlearning." arXiv preprint:2410.07163 (2024). arXiv.
- Licong Lin\*, Fangzhou Su\*, Wenlong Mou, Peng Ding, and Martin J. Wainwright. "When is it worthwhile to jackknife? Breaking the quadratic barrier for Z-estimators." arXiv preprint:2411.02909 (2024). arXiv.

- Michael Celentano\*, Zhou Fan\*, Licong Lin\*, and Song Mei\*. "Mean-field variational inference with the TAP free energy: Geometric and statistical properties in linear models." arXiv preprint:2311.08442 (2023). arXiv.
- Taejoo Ahn\*, **Licong Lin**\*, and Song Mei\*. "Near-optimal multiple testing in Bayesian linear models with finite-sample FDR control." arXiv preprint:2211.02778 (2022). arXiv.

## **PUBLICATIONS**

- Licong Lin\*, Koulik Khamaru\*, and Martin J. Wainwright. "Semiparametric inference based on adaptively collected data." *The Annals of Statistics* (2025). Journal, arXiv.
- Xuandong Zhao, Will Cai, Tianneng Shi, David Huang, **Licong Lin**, Song Mei, and Dawn Song. "Improving LLM safety alignment with dual-objective optimization." *International Conference on Machine Learning (ICML)* (2025). arXiv.
- Licong Lin, Jingfeng Wu, Sham M. Kakade, Peter L. Bartlett, and Jason D. Lee. "Scaling laws in linear regression: Compute, parameters, and data." *Advances in Neural Information Processing Systems (NeurIPS)* (2024). arXiv.
- Ruiqi Zhang\*, **Licong Lin**\*, Yu Bai, Song Mei. "Negative preference optimization: From catastrophic collapse to effective unlearning." *Conference on Language Modeling (COLM)* (2024). arXiv.
- Licong Lin, Yu Bai, and Song Mei. "Transformers as decision makers: Provable in-context reinforcement learning via supervised pretraining." *International Conference on Learning Representations (ICLR)* (2024). arXiv.
- Licong Lin and Tijana Zrnic. "Plug-in performative optimization." International Conference on Machine Learning (ICML) (2024). arXiv.
- Licong Lin, Mufang Ying, Suvrojit Ghosh, Koulik Khamaru, and Cun-Hui Zhang. "Statistical limits of adaptive linear models: Low-dimensional estimation and inference." Advances in Neural Information Processing Systems (NeurIPS) (2023). arXiv.
- Licong Lin and Edgar Dobriban. "What causes the test error? Going beyond bias-variance via ANOVA." Journal of Machine Learning Research (JMLR) (2021). Journal, arXiv.