

# LICONG LIN

## **PERSONAL INFORMATION**

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Address: 437 Evans Hall, UC Berkeley, Berkeley, CA 94720

EDUCATION

<b>University of California, Berkeley</b>	08/2021 - Present
Ph.D. candidate in Statistics	
Advisors: Song Mei and Peter Bartlett	
<b>Peking University</b>	09/2017 - 07/2021
B.S. in Statistics; graduated with honors	

## RESEARCH INTERESTS

- Foundations of AI and deep learning, AI alignment, high-dimensional statistics.
  - My work focuses on the **statistical foundations and algorithms for AI**. I use and extend tools from statistical learning theory, high-dimensional statistics, and optimization to study the statistical foundations of architectures, algorithms and phenomena in modern AI (e.g., Transformers, in-context learning, scaling laws, contrastive learning and multimodal generative AI), and to build mathematically motivated algorithms for AI alignment (e.g., LLM unlearning).

## **WORK EXPERIENCE**

- Applied scientist intern, **Amazon Web Services**; Santa Clara, CA 05/2024 - 08/2024
- Student researcher, **Google Research**; New York, NY 01/2025 - 05/2025
- Quantitative research intern, **The Voleon Group**; Berkeley, CA 06/2025 - 08/2025

## HONORS AND AWARDS

- Outstanding Graduate Student Instructor Award, UC Berkeley 2023
- Huaixin Bachelor, Peking University 2021
- Honor graduate of Applied Mathematics and Statistics Program, Peking University 2021
- Academic Excellence Award, Peking University 2018, 19, 20
- Peking University Scholarship, Peking University 2019, 20
- Gold Medal in Probability & Statistics, S-T. Yau College Student Mathematics Contest, placed 1st nationally, China 2020
- 1st Prize, Beijing College Student Mathematics Competition, China 2018
- 2nd Prize, China National Mathematical Olympiad, China 2016

## TEACHING EXPERIENCE

Graduate Student Instructor (TA), **UC Berkeley**; Berkeley, CA                            01/2022 - 12/2023

- STAT 153: Introduction to Time Series (Instructor: Ruoqi Yu, Spring 2022).
- STAT 210B: Theoretical Statistics II (Instructor: Song Mei, Spring 2023).
- STAT 135: Concepts of Statistics (Instructor: Adam Lucas, Fall 2023).

Received UC Berkeley Outstanding Graduate Student Instructor Award in 2023.

## SERVICES

- **Journal Reviewer:** Annals of Statistics (AoS); Journal of the American Statistical Association (JASA); SIAM Journal on Mathematics of Data Science (SIMODS); Journal of Machine Learning Research (JMLR); Transactions on Machine Learning Research (TMLR).
  - **Conference Reviewer:** International Conference on Machine Learning (ICML); Conference on Neural Information Processing Systems (NeurIPS); Conference on Learning Theory (COLT); International Conference on Learning Representations (ICLR); International Conference on Artificial Intelligence and Statistics (AISTATS); IEEE Information Theory Workshop (ITW).

## INVITED TALKS

- IDEAL workshop, Northwestern University, Evanston, IL 10/2025
  - Symposium on Mathematical Foundations of Trustworthy Learning, Switzerland 10/2025
  - Joint Statistical Meeting (JSM), Nashville, TN 08/2025
  - INFORMS Annual Meeting, Seattle, WA 10/2024
  - Joint Statistical Meeting (JSM), Portland, OR 08/2024
  - UIUC Machine Learning Reading Group (online), Urbana, IL 04/2024

PREPRINTS

(★ for co-first author or alphabetical order)

- Xuelin Yang, **Licong Lin**, Susan Athey, Michael I. Jordan, and Guido W. Imbens. “Cross-Validated Causal Inference: a Modern Method to Combine Experimental and Observational Data.” *arXiv preprint:2511.00727* (2025). [arXiv](#).
  - Kazusato Oko\*, **Licong Lin\***, Yuhang Cai\*, and Song Mei\*. “A statistical theory of contrastive pre-training and multimodal generative AI.” *arXiv preprint:2501.04641* (2025), *major revision at Journal of the American Statistical Association (JASA)*. [arXiv](#).
  - Ruiqi Zhang, Jingfeng Wu, **Licong Lin**, and Peter L. Bartlett. “Minimax optimal convergence of gradient descent in logistic regression via large and adaptive stepsizes.” *arXiv preprint:2504.04105* (2025), an earlier version was accepted at ICML 2025. [arXiv](#).
  - **Licong Lin\***, Fangzhou Su\*, Wenlong Mou, Peng Ding, and Martin J. Wainwright. “When is it worthwhile to jackknife? Breaking the quadratic barrier for Z-estimators.” *arXiv preprint:2411.02909* (2024). [arXiv](#).

- Michael Celentano\*, Zhou Fan\*, **Licong Lin\***, and Song Mei\*. “Mean-field variational inference with the TAP free energy: Geometric and statistical properties in linear models.” *arXiv preprint:2311.08442* (2023). [arXiv](#).
- Taejoo Ahn\*, **Licong Lin\***, and Song Mei\*. “Near-optimal multiple testing in Bayesian linear models with finite-sample FDR control.” *arXiv preprint:2211.02778* (2022). [arXiv](#).

## PUBLICATIONS

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- **Licong Lin**, Jingfeng Wu, and Peter L. Bartlett. “Improved scaling laws in linear regression via data reuse.” *Advances in Neural Information Processing Systems (NeurIPS)* (2025). [arXiv](#).
- **Licong Lin** and Song Mei. “A statistical theory of contrastive learning via approximate sufficient statistics.” *Advances in Neural Information Processing Systems (NeurIPS)* (2025). [arXiv](#).
- Chongyu Fan\*, Jiancheng Liu\*, **Licong Lin\***, Jinghan Jia, Ruiqi Zhang, Song Mei, and Sijia Liu. “Simplicity prevails: Rethinking negative preference optimization for LLM unlearning.” *Advances in Neural Information Processing Systems (NeurIPS)* (2025). [arXiv](#).
- **Licong Lin\***, Koulik Khamaru\*, and Martin J. Wainwright. “Semiparametric inference based on adaptively collected data.” *The Annals of Statistics* (2025). [Journal](#), [arXiv](#).
- Xuandong Zhao, Will Cai, Tianneng Shi, David Huang, **Licong Lin**, Song Mei, and Dawn Song. “Improving LLM safety alignment with dual-objective optimization.” *International Conference on Machine Learning (ICML)* (2025). [arXiv](#).
- **Licong Lin**, Jingfeng Wu, Sham M. Kakade, Peter L. Bartlett, and Jason D. Lee. “Scaling laws in linear regression: Compute, parameters, and data.” *Advances in Neural Information Processing Systems (NeurIPS)* (2024). [arXiv](#).
- Ruiqi Zhang\*, **Licong Lin\***, Yu Bai, Song Mei. “Negative preference optimization: From catastrophic collapse to effective unlearning.” *Conference on Language Modeling (COLM)* (2024). [arXiv](#).
- **Licong Lin**, Yu Bai, and Song Mei. “Transformers as decision makers: Provable in-context reinforcement learning via supervised pretraining.” *International Conference on Learning Representations (ICLR)* (2024). [arXiv](#).
- **Licong Lin** and Tijana Zrnic. “Plug-in performative optimization.” *International Conference on Machine Learning (ICML)* (2024). [arXiv](#).
- **Licong Lin**, Mufang Ying, Suvrojit Ghosh, Koulik Khamaru, and Cun-Hui Zhang. “Statistical limits of adaptive linear models: Low-dimensional estimation and inference.” *Advances in Neural Information Processing Systems (NeurIPS)* (2023). [arXiv](#).
- **Licong Lin** and Edgar Dobriban. ”What causes the test error? Going beyond bias-variance via ANOVA.” *Journal of Machine Learning Research (JMLR)* (2021). [Journal](#), [arXiv](#).