Investigating Empathy in Software Engineering Based on the Practitioners' Perspective

Lidiany Cerqueira Santos

Advisor: Manoel Mendonça

Co-advisor: José Amancio Macedo Santos





Ficha do trabalho*

| Título | Investigating Empathy in Software Engineering Based on the Practitioners' Perspective | |
|----------------|---|---|
| Nome | Lidiany Cerqueira Santos | |
| Curso | Doutorado | |
| Orientador(es) | Manoel Mendonça José Amancio Macedo Santos | |
| Ingresso | Out/20 | |
| Qualificação | 2024.1 | |
| Defesa | 2025.1 | |
| Bolsista? | Não | 2 |

Context

- Human aspects of practitioners have a strong influence on software engineering (SE).¹
- Studying human factors is crucial for understanding judgments and decisions made by software practitioners, as they can directly affect software quality.¹
- Research on human factors in SE is still underrepresented.¹
- Empathy is one of the aspects influencing software development activities.²

What is Empathy?

- The ability to
 - understand others,
 - take an active interest in them,
 - recognize and respond to changes in their emotional states, and understand their feelings.³



- It is a critical ability for effective communication.⁴
- It is still an overlooked topic in SE research. 5

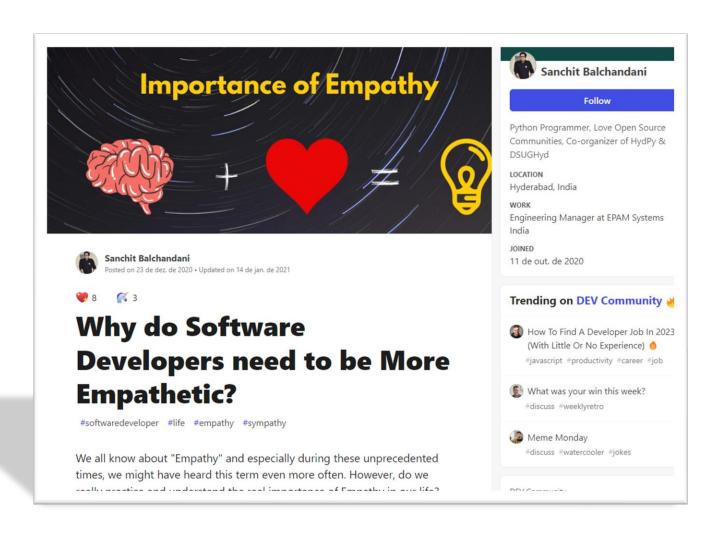


Empathy in professional practice

| PRACTITIONERS | TAKEAWAYS |
|----------------|---|
| Nurses | The importance of empathy has been explored for some time in nursing literature. It is fundamental to nursing practice. ⁶ |
| Physicians | Empathy plays a critical role in the physician-patient relationship and has a positive impact on health outcomes. ⁷ |
| Social workers | Empathy is critical for social workers. Emphasizes the need to take action and ways for practitioners to develop empathy. ⁸ |
| Engineers | The model of empathy in engineering conceptualizes empathy as a skill, a practice orientation, and a professional way of being.9 |

Motivation

- Lack of research addressing empathy in SE.
- Public discussions about the importance of empathy in SE.
- Lack of conceptual clarity regarding the role of empathy in SE.
- Gap between research and practice.
- Need to understand the impact of empathy in SE.



Goals

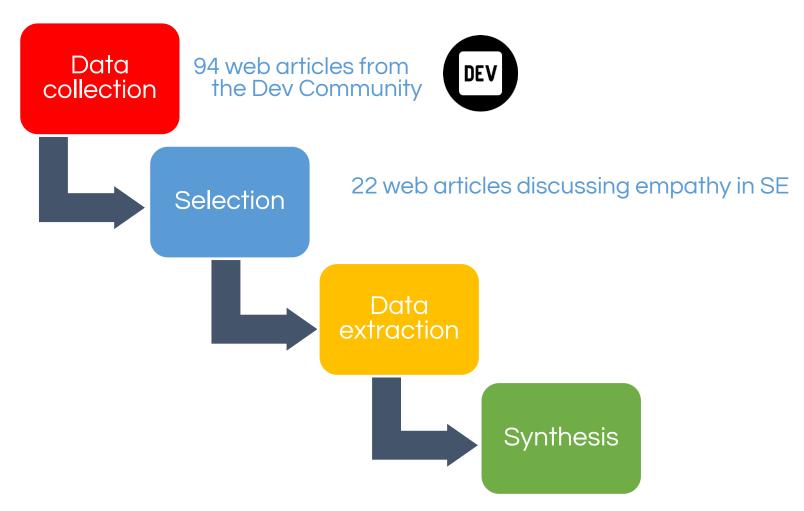


- Build a framework to support empathetic practices in software engineering activities.
- Investigate the meaning and value of empathy for software practitioners, how they apply it, and the effects of empathic practices in software engineering.

Research questions

| ł | ID | RQ | |
|---|-----|--|--|
| | RQ1 | What is the meaning of empathy for software practitioners? | |
| | RQ2 | What is the value of empathy for software practitioners? | |
| | RQ3 | RQ3 In which SE activities empathy can be applied? | |
| | RQ4 | How to practice empathy in SE? | |
| | RQ5 | What are the effects of practicing empathy in SE? | |

Study 1: A Thematic Synthesis on Empathy in Software Engineering based on the Practitioners' Perspective

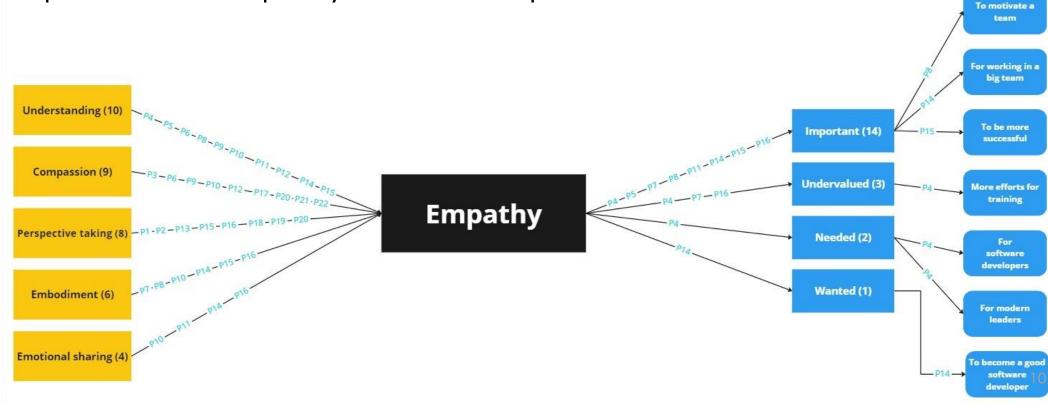


Results

Empathy has different meanings for software practitioners.

A body of knowledge organized in a conceptual map of the meaning and

importance of empathy for software practitioners:



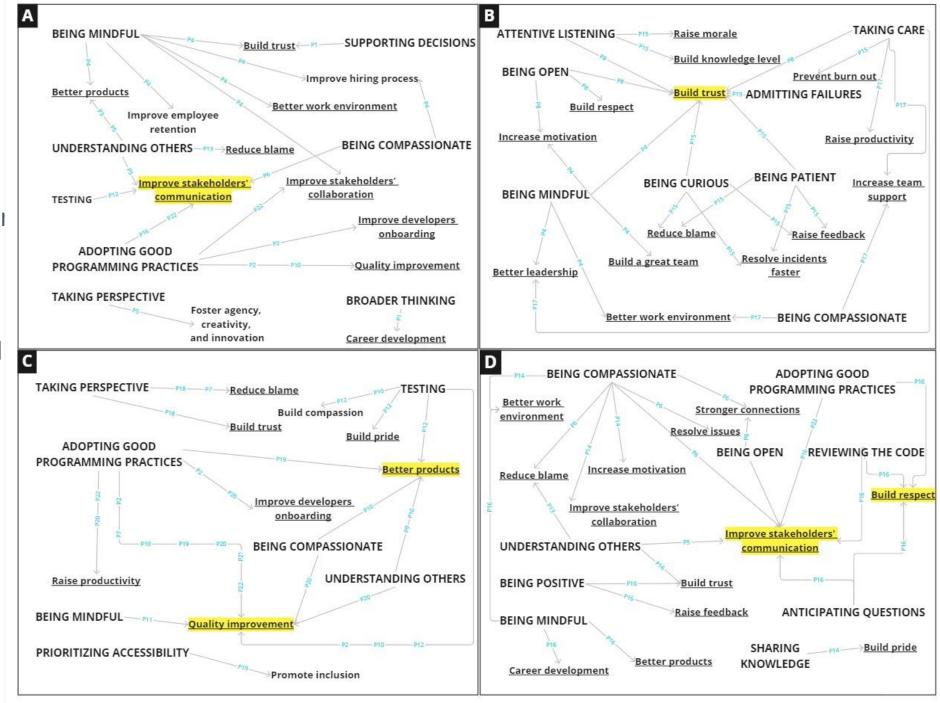
Results

(A) Communication at collaboration

(B) Management and leadership

(C) Coding

(D) Code review



Schedule

| YEARS | ACTIVITIES | PUBLICATIONS |
|-------|---|---|
| 2021 | Research on human aspects in SE | The who, what and how of the current research at the Brazilian Symposium on Software Engineering – <u>SBES 2022</u> . ¹¹ |
| 2022 | Study 1: Research on empathy in SE | A Thematic Synthesis on Empathy in Software Engineering based on the Practitioners' Perspective – <u>SBES 2023</u> . ² Distinguished paper @ 37th Brazilian Symposium on Software Engineering (SBES). Empathy and its Effects on Software Practitioners' Well-being and |
| | | Mental Health - (Submitted – IEEE Software 10/23) |
| 2023 | Study 2: Collect data from other sources of Grey Literature to refine the results | Paper - Study 2 |
| 2024 | Qualification Study 3: a survey to empirically assess the framework | Paper - Study 3 |
| 2025 | Defense | Paper - Framework 12 |

Any questions?



lidiany.cerqueira@ufba.br

Thanks!



References

- [1] L.F. Capretz. Bringing the human factor to software engineering. IEEE software 31, 2 (2014), 104–104, 2014
- [2] L. Cerqueira, S. Freire, J. Bastos, R. Spınola, M. Mendonça, and J. Santos, "A thematic synthesis on empathy in software engineer-ing based on the practitioners' perspective," in Proceedings of the XXXVII SBES, ser. SBES '23, Campo Grande, Brazil, 2023.
- [3] J. Decety and C. Holvoet, "The emergence of empathy: A developmental neuroscience perspective," Developmental Review, vol. 62, p. 100 999, 2021.
- [4] G. A. Gladstein, "Understanding empathy: Integrating counseling, developmental, and social psychology perspectives.," Journal of counseling psychology, vol. 30, no. 4, p. 467, 1983.
- [5] H. Gunatilake, J. Grundy, I. Mueller, and R. Hoda, "Empathy models and software engineering a preliminary analysis and taxonomy," Journal of Systems and Software, p. 111 747, 2023
- [6] D. Kunyk and J. K. Olson, "Clarification of conceptualizations of empathy," Journal of Advanced nursing, vol. 35, no. 3, pp. 317–325, 2001.
- [7] J. Decety, "Empathy in medicine: What it is, and how much we really need it," The American journal of medicine, vol. 133, no. 5, pp. 561–566, 2020.
- [8] K. E. Gerdes and E. Segal, "Importance of empathy for social work practice: Integrating new science," Social work, vol. 56, no. 2, pp. 141–148, 2011.
- [9] J. Walther, S. E. Miller, and N. W. Sochacka, "A model of empathy in engineering as a core skill, practice orientation, and professional way of being," Journal of Engineering Education, vol. 106, no. 1, pp. 123–148, 2017.
- [10] S. Balchandani, "Why do software developers need to be more empathetic?," DEV Community, 14-Jan-2021. [Online]. Available: https://dev.to/inovizz/why-do-software-developers-need-to-be-more-empathetic-27m. [Accessed: 10-Nov-2023]
- [11] L. Cerqueira, J.C.A. Silva, I.V. Alvim, M. Mendonca, J.A.M. Santos: The who, what and how of the current research at the brazilian symposium on software engineering. In: 36th Brazilian Symposium on Software Eng, 2022.

Investigating Empathy in Software Engineering Based on the Practitioners' Perspective

Lidiany Cerqueira Santos

Advisor: Manoel Mendonça

Co-advisor: José Amancio Macedo Santos



