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# **Chapter 3:**

# **Geometric Transformations**

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# **Geometric objects and Its representations**

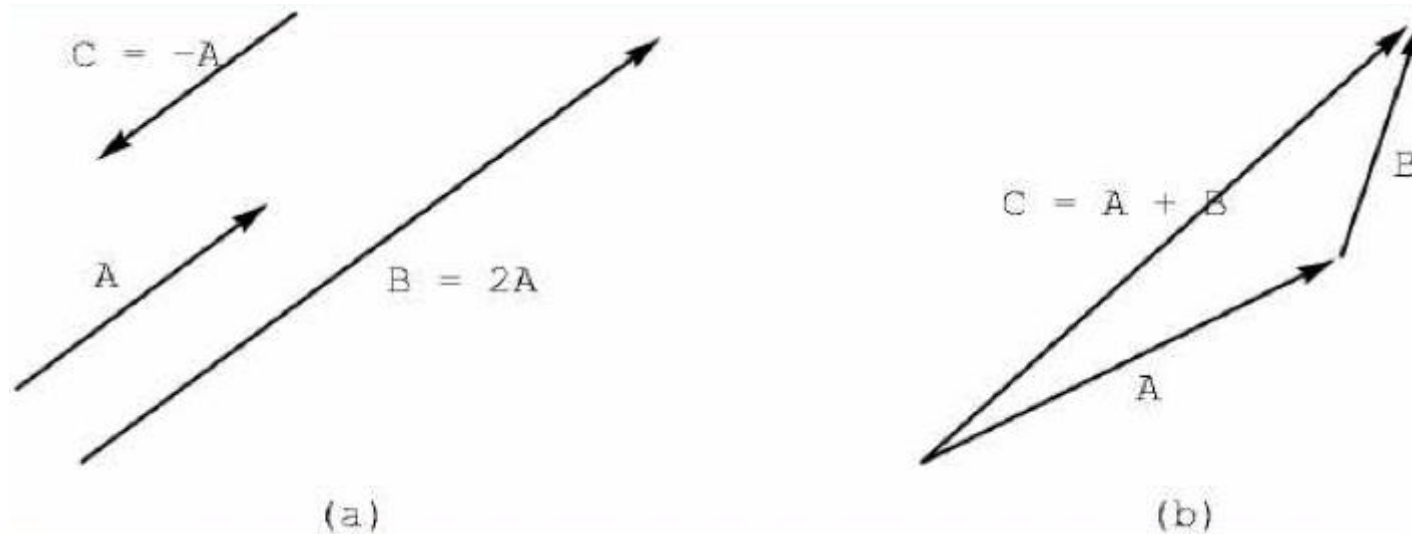
# Scalars

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- Scalars  $\alpha, \beta, \gamma$  from a *scalar field*
- Operations  $\alpha + \beta, \alpha \cdot \beta, 0, 1, -\alpha, ( )^{-1}$
- “Expected” laws apply
- Examples: rationals or reals with addition and multiplication

# Vectors

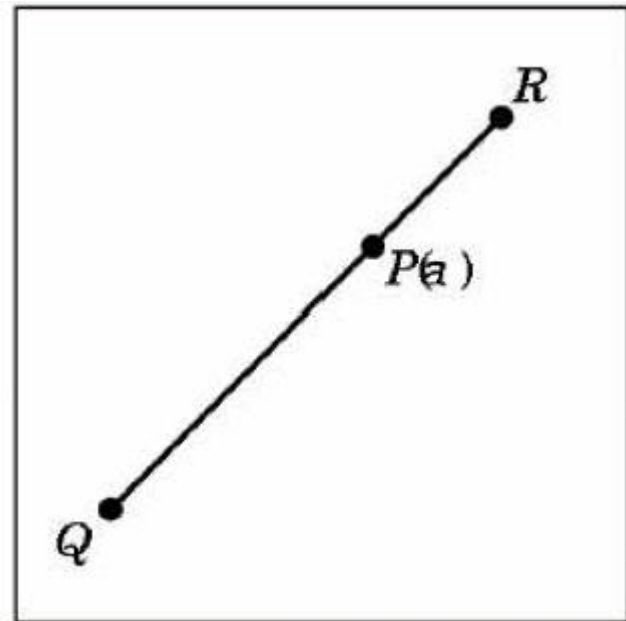
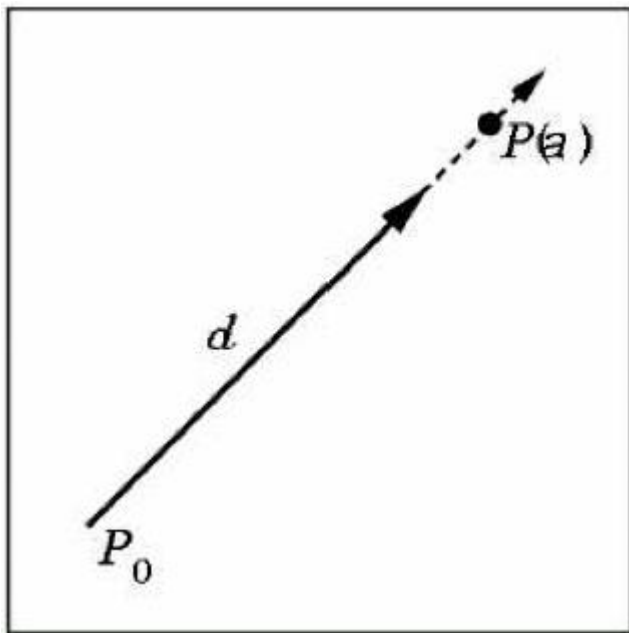
- Vectors  $u, v, w$  from a *vector space*
- Vector addition  $u + v$ , subtraction  $u - v$
- Zero vector  $\mathbf{0}$
- Scalar multiplication  $\alpha v$



# Lines and line Segments

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- Parametric form of line:  $\mathbf{P}(\alpha) = \mathbf{P}_o + \alpha \mathbf{d}$



- Line segment between  $Q$  and  $R$ :  
$$\mathbf{P}(\alpha) = (1 - \alpha)\mathbf{Q} + \alpha \mathbf{R} \quad \text{for } 0 \leq \alpha \leq 1$$

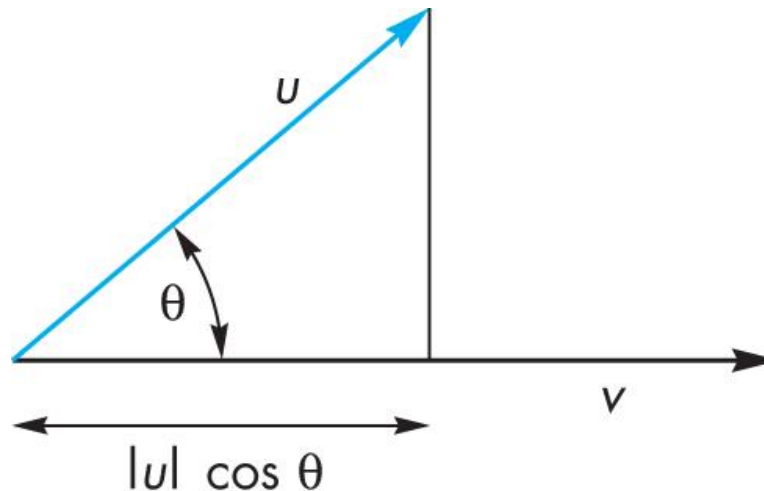
# Dot Product (Projection)

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- Dot product projects one vector onto another vector

$$\mathbf{u} \cdot \mathbf{v} = \mathbf{u}_1 \mathbf{v}_1 + \mathbf{u}_2 \mathbf{v}_2 + \mathbf{u}_3 \mathbf{v}_3 = |\mathbf{u}| |\mathbf{v}| \cos(\theta)$$

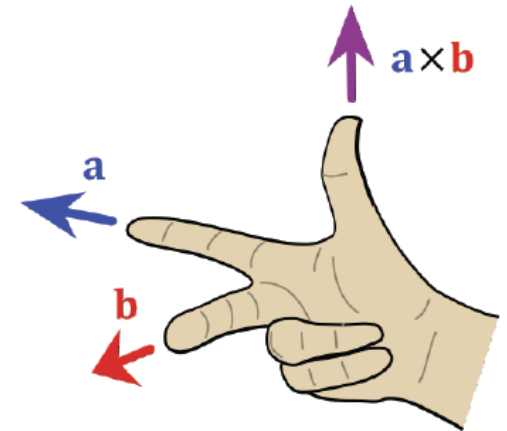
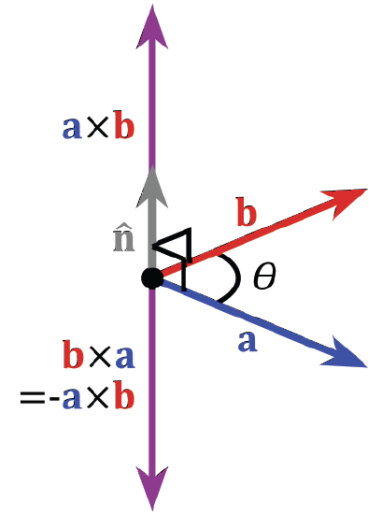
$$pr_{\mathbf{v}} \mathbf{u} = (\mathbf{u} \cdot \mathbf{v}) \mathbf{v} / |\mathbf{v}|^2$$



# Cross Product

$$\begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix} \times \begin{pmatrix} b_1 \\ b_2 \\ b_3 \end{pmatrix} = \begin{pmatrix} a_2 b_3 - a_3 b_2 \\ a_3 b_1 - a_1 b_3 \\ a_1 b_2 - a_2 b_1 \end{pmatrix}$$

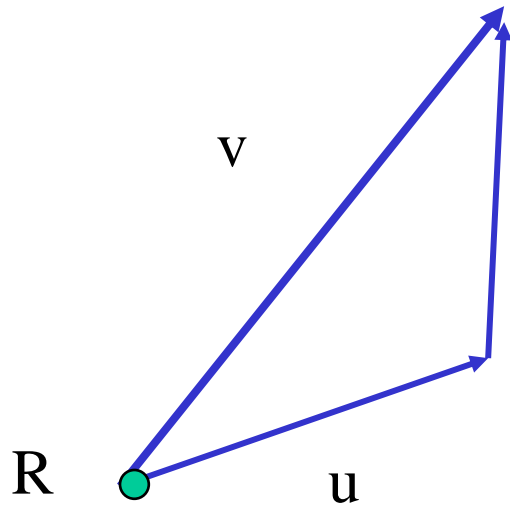
- $|\mathbf{a} \times \mathbf{b}| = |\mathbf{a}| |\mathbf{b}| \sin(\theta)$
- Cross product is perpendicular to both  $\mathbf{a}$  and  $\mathbf{b}$
- Right-hand rule



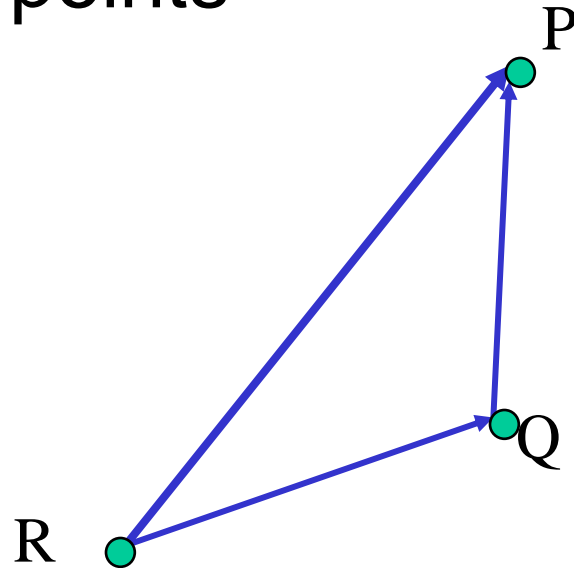
# Planes

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- A plane can be defined by a point and two vectors or by three points



$$P(\alpha, \beta) = R + \alpha u + \beta v$$



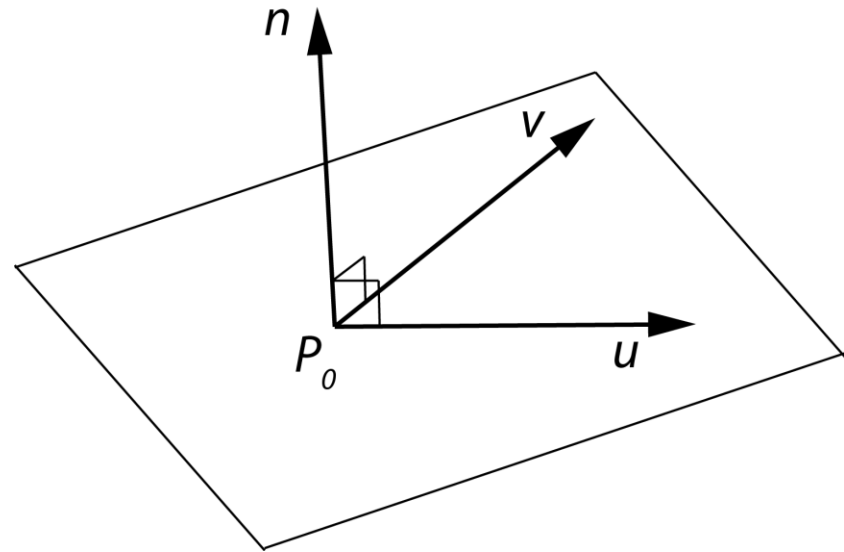
$$P(\alpha, \beta) = R + \alpha(Q - R) + \beta(P - R)$$



# Planes and normal

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- Plane defined by point  $P_0$  and vectors  $u$  and  $v$
- $u$  and  $v$  should not be parallel
- Parametric form:  
 $T(\alpha, \beta) = P_0 + \alpha u + \beta v$   
( $\alpha$  and  $\beta$  are scalars)
- $n = u \times v / |u \times v|$  is the normal
- $n \cdot (P - P_0) = 0$  if and only if  $P$  lies in plane



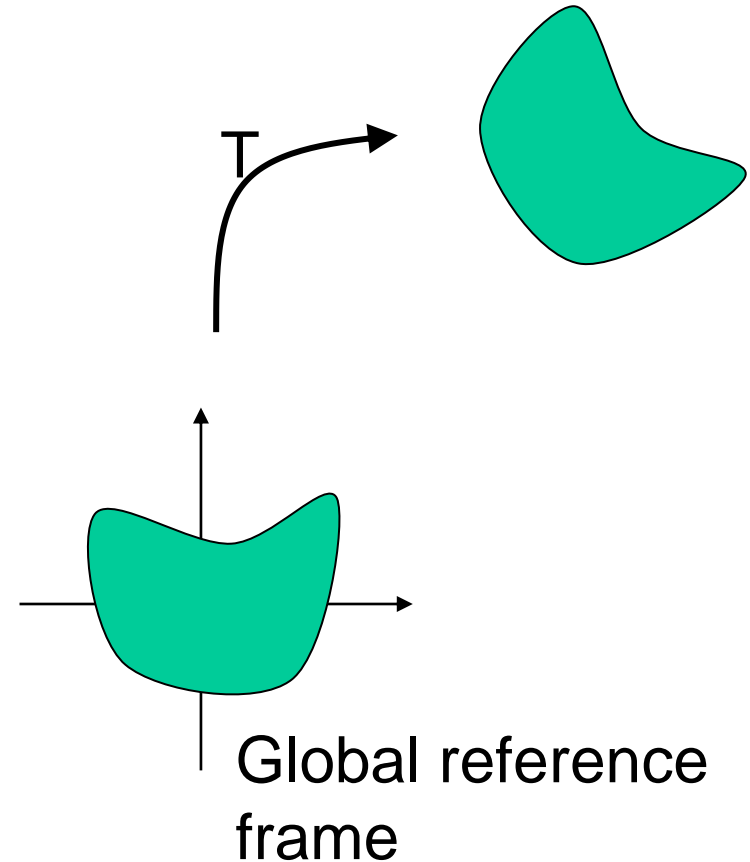
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# **Geometric Transformations**

# Transformations

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- Linear transformations
- Rigid transformations
- Affine transformations
- Projective transformations



# Homogeneous Coordinates

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- Any affine transformation between 3D spaces can be represented by a 4x4 matrix

$$T(\mathbf{p}) = \begin{pmatrix} \mathbf{M}_{3 \times 3} & \mathbf{T}_{3 \times 1} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \mathbf{p}_{3 \times 1} \\ 1 \end{pmatrix}$$

- Affine transformation is *linear* in homogeneous coordinates

# Projective Spaces

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- Homogeneous coordinates
  - $(x, y, z, w) = (x/w, y/w, z/w, 1)$
  - Useful for handling perspective projection

- But, it is algebraically inconsistent !!

$$(1,0,0,1) + (1,1,0,1) = (2,1,0,2) = (1, \frac{1}{2}, 0, 1)$$

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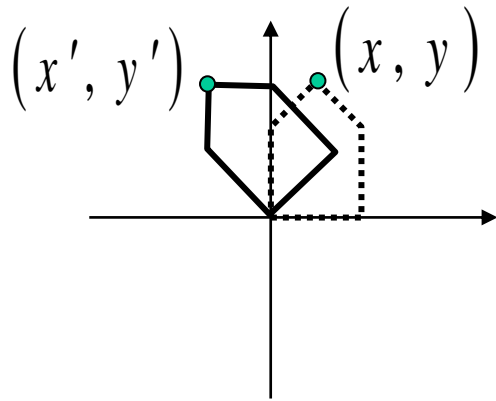
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$$(1,0,0,1) + (2,2,0,2) = (3,2,0,3) = (1, \frac{2}{3}, 0, 1)$$

# Examples of Affine Transformations

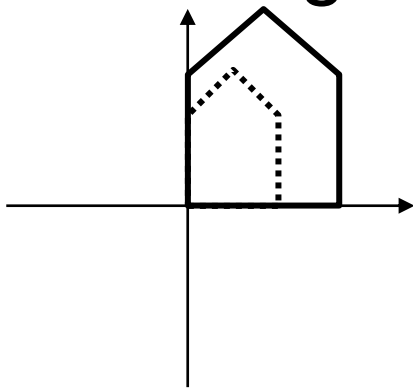
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- 2D rotation



$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

- 2D scaling

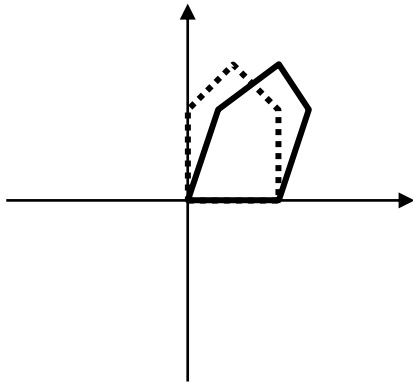


$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix} = \begin{pmatrix} s_x x \\ s_y y \\ 1 \end{pmatrix}$$

# Examples of Affine Transformations

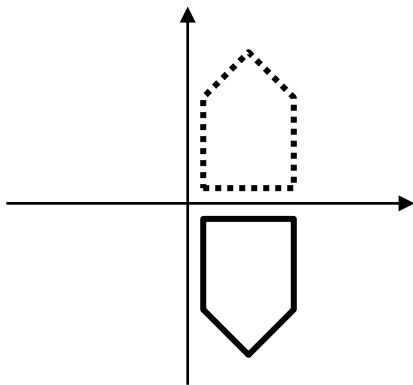
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- 2D shear



$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & d & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix} = \begin{pmatrix} x + dy \\ y \\ 1 \end{pmatrix}$$

- 2D reflection

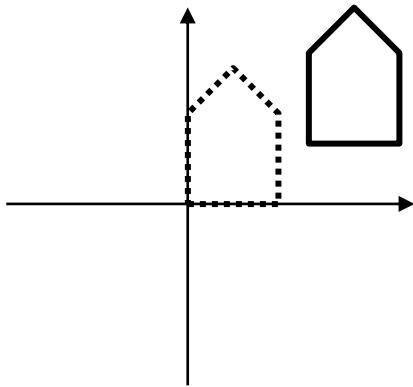


$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix} = \begin{pmatrix} x \\ -y \\ 1 \end{pmatrix}$$

# Examples of Affine Transformations

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- 2D translation



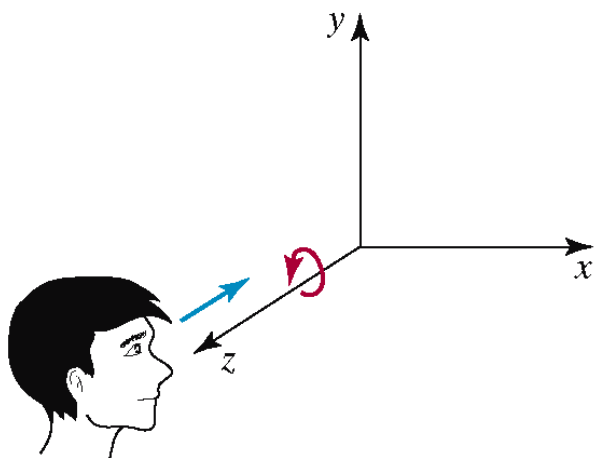
$$\begin{pmatrix} x' \\ y' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix} = \begin{pmatrix} x + t_x \\ y + t_y \\ 1 \end{pmatrix}$$



# Examples of Affine Transformations

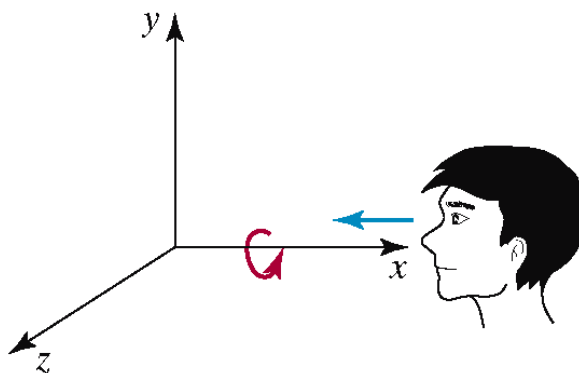
## • 3D rotation

$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$



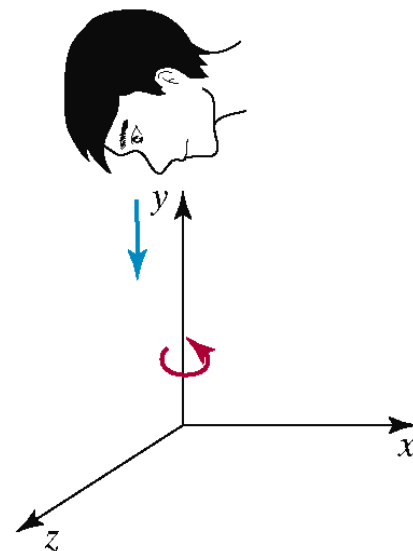
(a)

$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$



(b)

$$\begin{pmatrix} x' \\ y' \\ z' \\ 1 \end{pmatrix} = \begin{pmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ 1 \end{pmatrix}$$

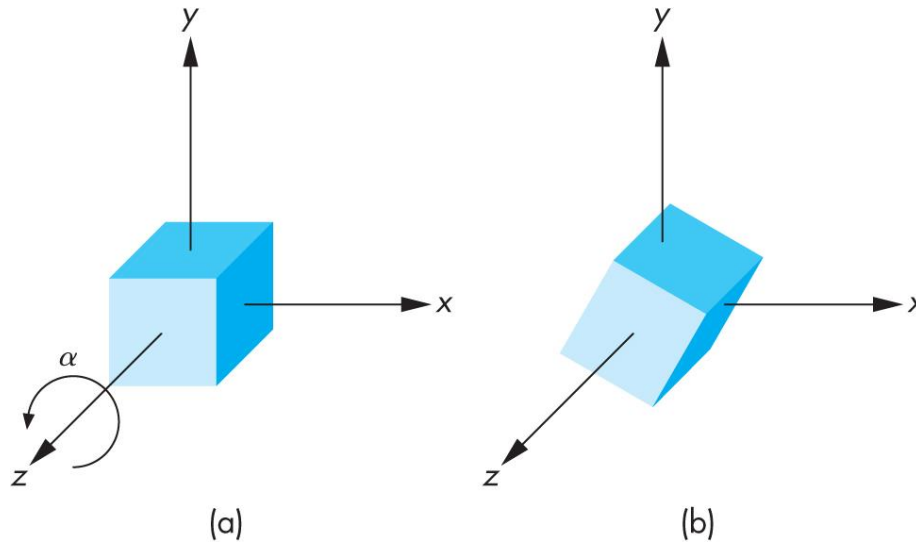


(c)

# 3D Rotation Matrix about Z Axis

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$$\mathbf{R} = \mathbf{R}_Z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



# 3D Rotation about $x$ and $y$ axes

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- Same argument as for rotation about  $z$  axis
  - For rotation about  $x$  axis,  $x$  is unchanged
  - For rotation about  $y$  axis,  $y$  is unchanged

$$\mathbf{R} = \mathbf{R}_x(\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{R} = \mathbf{R}_y(\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

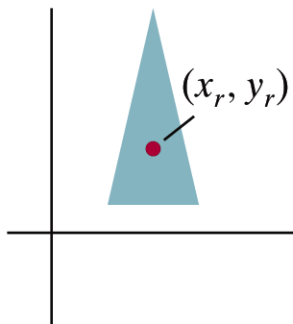
# 2D Pivot-Point Rotation

- Rotation with respect to a pivot point  $(x,y)$

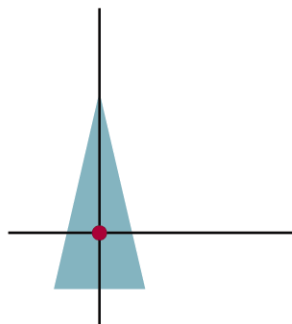
$$T(x, y) \cdot R(\theta) \cdot T(-x, -y)$$

$$= \begin{pmatrix} 1 & 0 & x \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & -x \\ 0 & 1 & -y \\ 0 & 0 & 1 \end{pmatrix}$$

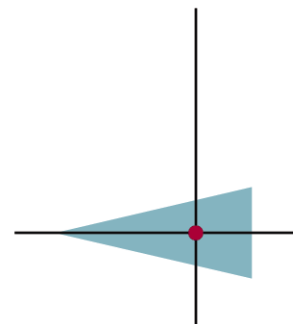
$$= \begin{pmatrix} \cos \theta & -\sin \theta & x(1 - \cos \theta) + y \sin \theta \\ \sin \theta & \cos \theta & y(1 - \cos \theta) - x \sin \theta \\ 0 & 0 & 1 \end{pmatrix}$$



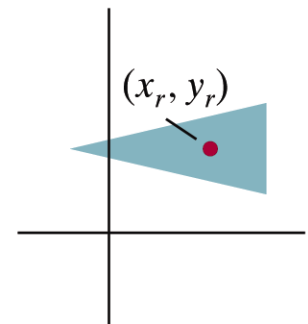
(a)



(b)



(c)



(d)

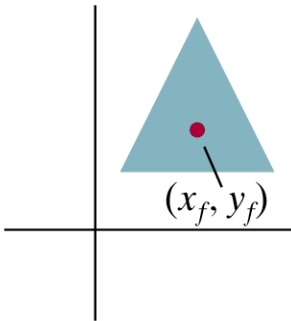
# 2D Fixed-Point Scaling

- Scaling with respect to a fixed point (x,y)

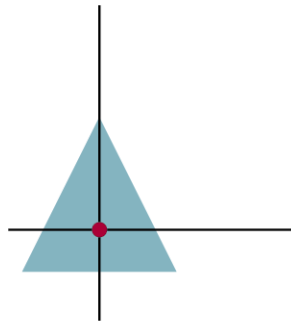
$$T(x, y) \cdot S(s_x, s_y) \cdot T(-x, -y)$$

$$= \begin{pmatrix} 1 & 0 & x \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & -x \\ 0 & 1 & -y \\ 0 & 0 & 1 \end{pmatrix}$$

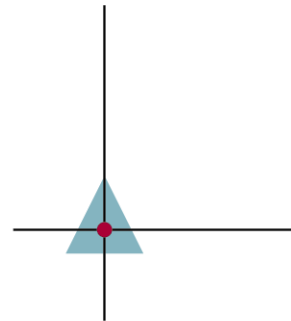
$$= \begin{pmatrix} s_x & 0 & (1-s_x) \cdot x \\ 0 & s_y & (1-s_y) \cdot y \\ 0 & 0 & 1 \end{pmatrix}$$



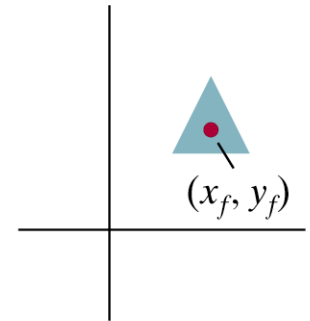
(a)



(b)



(c)



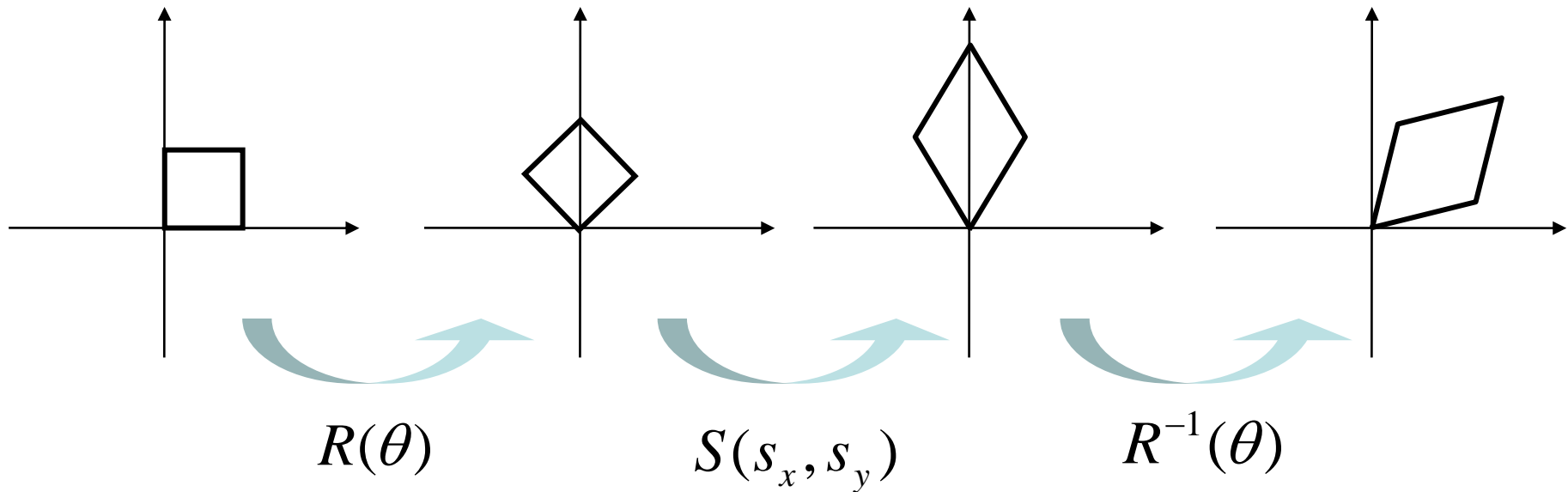
(d)

# Scaling Direction

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- Scaling along an arbitrary axis

$$R^{-1}(\theta) \cdot S(s_x, s_y) \cdot R(\theta)$$



# Properties of Affine Transformations

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- Any *affine transformation* between 3D spaces can be represented as a combination of a *linear transformation* followed by *translation*
- An affine transf. maps *lines* to *lines*
- An affine transf. maps *parallel lines* to *parallel lines*
- An affine transf. preserves *ratios of distance* along a line
- An affine transf. does not preserve absolute distances and angles

# Rigid Transformations

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- A *rigid transformation*  $T$  is a mapping between affine spaces
  - $T$  maps vectors to vectors, and points to points
  - $T$  preserves distances between all points
  - $T$  preserves cross product for all vectors (to avoid reflection)
- In 3-spaces,  $T$  can be represented as

$$T(\mathbf{p}) = \mathbf{R}_{3 \times 3} \mathbf{p}_{3 \times 1} + \mathbf{T}_{3 \times 1}, \quad \text{where}$$
$$\mathbf{R} \mathbf{R}^T = \mathbf{R}^T \mathbf{R} = \mathbf{I} \quad \text{and} \quad \det \mathbf{R} = 1$$



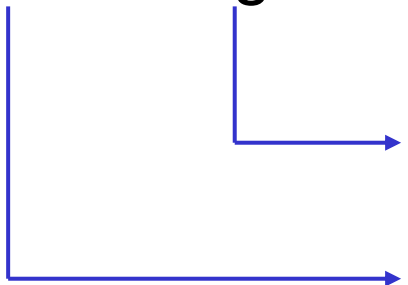
# Rigid Body Rotation

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- Rigid body transformations allow only **rotation** and **translation**

- Rotation matrices form  $SO(3)$

- Special orthogonal group


$$\mathbf{R} \mathbf{R}^T = \mathbf{R}^T \mathbf{R} = \mathbf{I} \quad \text{(Distance preserving)}$$
$$\det \mathbf{R} = 1 \quad \text{(No reflection)}$$

# Rigid Body Rotation

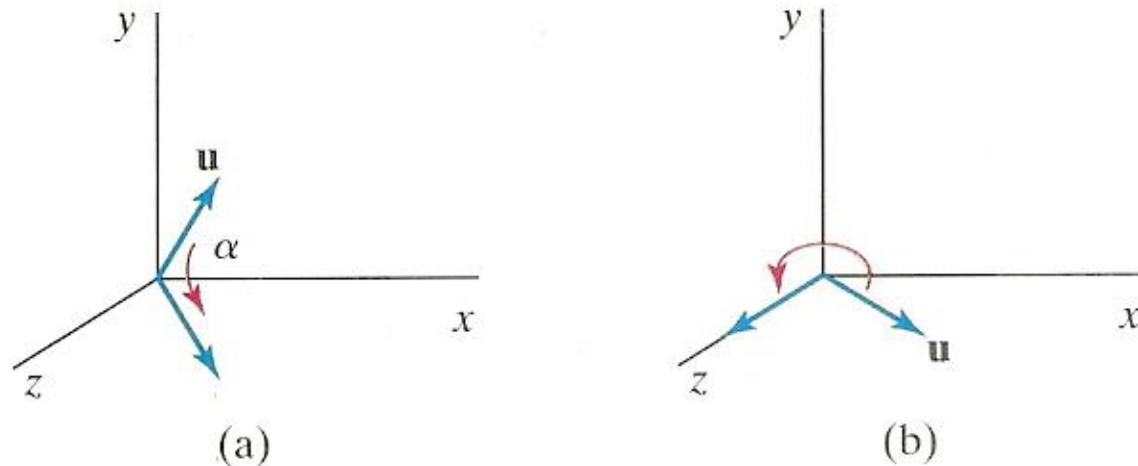
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- R is normalized
  - The squares of the elements in any row or column sum to 1
- $$\mathbf{R} \mathbf{R}^T = \mathbf{R}^T \mathbf{R} = \mathbf{I}$$
- R is orthogonal
  - The dot product of any pair of rows or any pair columns is 0
- The rows (columns) of R correspond to the vectors of the principle axes of the rotated coordinate frame

# 3D Rotation About Arbitrary Axis

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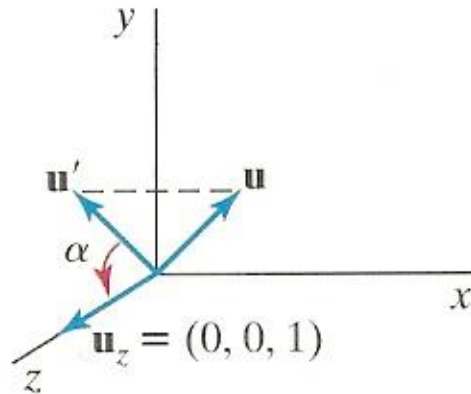
- How to rotate around  $\mathbf{u}$  vector  
( $\mathbf{u}$  = given rotation axis)
- ➔ Rotate about  $x$  and  $y$  axes to make  $\mathbf{u}$  align with the  $z$ -axis



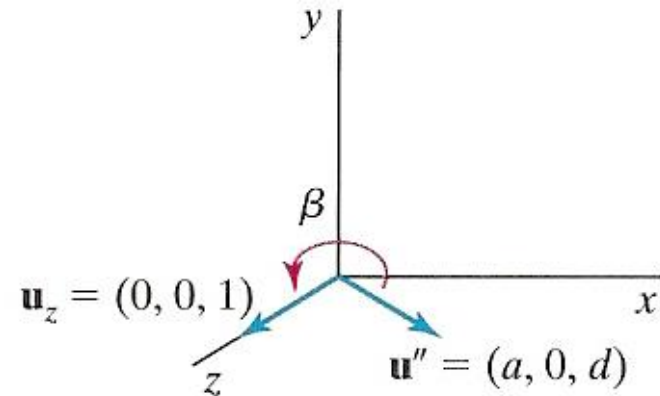
**FIGURE 5-45** Unit vector  $\mathbf{u}$  is rotated about the  $x$  axis to bring it into the  $xz$  plane (a), then it is rotated around the  $y$  axis to align it with the  $z$  axis (b).

# 3D Rotation About Arbitrary Axis

- Rotate  $\mathbf{u}$  onto the  $z$ -axis
  - $\mathbf{u}'$ : Project  $\mathbf{u}$  onto the  $yz$ -plane to compute angle  $\alpha$
  - $\mathbf{u}''$ : Rotate  $\mathbf{u}$  about the  $x$ -axis by angle  $\alpha$
  - Rotate  $\mathbf{u}''$  onto the  $z$ -axis



**FIGURE 5-46** Rotation of  $\mathbf{u}$  around the  $x$  axis into the  $xz$  plane is accomplished by rotating  $\mathbf{u}'$  (the projection of  $\mathbf{u}$  in the  $yz$  plane) through angle  $\alpha$  onto the  $z$  axis.



**FIGURE 5-47** Rotation of unit vector  $\mathbf{u}''$  (vector  $\mathbf{u}$  after rotation into the  $xz$  plane) about the  $y$  axis. Positive rotation angle  $\beta$  aligns  $\mathbf{u}''$  with vector  $\mathbf{u}_z$ .

# 3D Rotation About Arbitrary Axis

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- Rotate  $\mathbf{u}'$  about the x-axis onto the z-axis
  - Let  $\mathbf{u}=(a,b,c)$  and thus  $\mathbf{u}'=(0,b,c)$
  - Let  $\mathbf{u}_z=(0,0,1)$

$$\cos \alpha = \frac{\mathbf{u}' \cdot \mathbf{u}_z}{\|\mathbf{u}'\| \|\mathbf{u}_z\|} = \frac{c}{\sqrt{b^2 + c^2}}$$

$$\begin{aligned} \mathbf{u}' \times \mathbf{u}_z &= \mathbf{u}_x \|\mathbf{u}'\| \|\mathbf{u}_z\| \sin \alpha \\ &= \mathbf{u}_x \cdot b \end{aligned} \quad \longrightarrow \quad \sin \alpha = \frac{b}{\|\mathbf{u}'\| \|\mathbf{u}_z\|} = \frac{b}{\sqrt{b^2 + c^2}}$$

# 3D Rotation About Arbitrary Axis

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- Rotate  $\mathbf{u}'$  about the x-axis onto the z-axis
  - Since we know both  $\cos \alpha$  and  $\sin \alpha$ , the rotation matrix can be obtained

$$\mathbf{R}_x(\alpha) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{c}{\sqrt{b^2 + c^2}} & \frac{-b}{\sqrt{b^2 + c^2}} & 0 \\ 0 & \frac{b}{\sqrt{b^2 + c^2}} & \frac{c}{\sqrt{b^2 + c^2}} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

- Or, we can compute the signed angle  $\alpha$

$$\text{atan2}\left(\frac{c}{\sqrt{b^2 + c^2}}, \frac{b}{\sqrt{b^2 + c^2}}\right)$$

- Do not use  $\text{acos}()$  since its domain is limited to  $[-1, 1]$

# Gimble

- Hardware implementation of Euler angles
- Aircraft, Camera

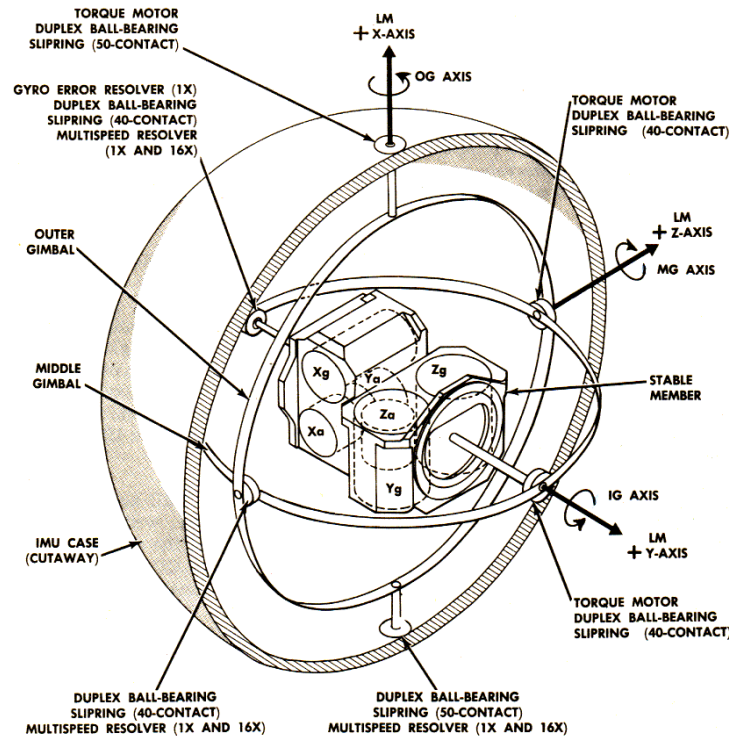
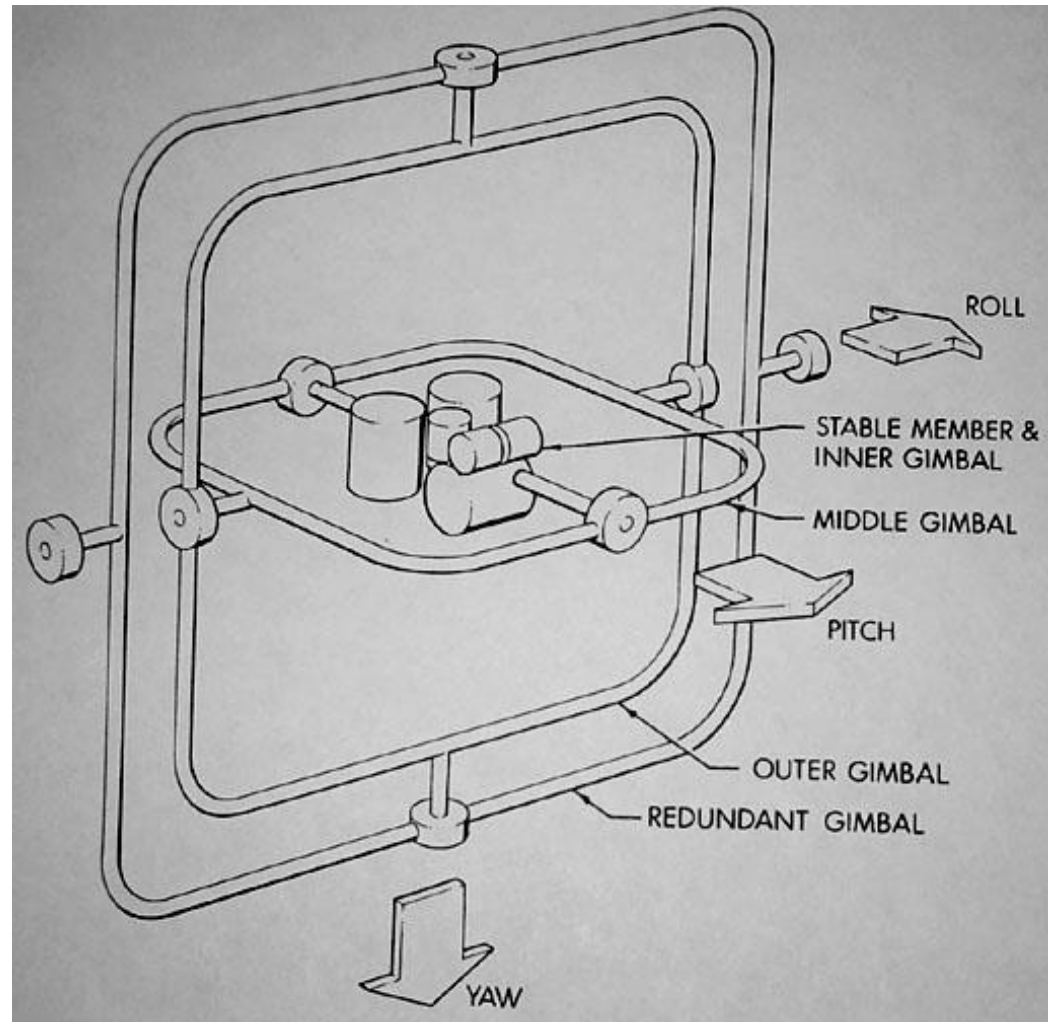


Figure 2.1-24. IMU Gimbal Assembly



# Euler Angles

- Rotation about three orthogonal axes
  - 12 combinations
    - XYZ, XYX, XZY, XZX
    - YZX, YZY, YXZ, YXY
    - ZXY, ZXZ, ZYX, ZYZ
- **Gimble lock**
  - Coincidence of inner most and outmost gimbals' rotation axes
  - Loss of degree of freedom





# Euler angles

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- Arbitrary rotation can be represented by three rotation along x,y,z axis

$$R_{XYZ}(\gamma, \beta, \alpha) = R_z(\alpha)R_y(\beta)R_x(\gamma)$$

$$= \begin{bmatrix} C\alpha C\beta & C\alpha S\beta S\gamma - S\alpha C\gamma & C\alpha S\beta C\gamma + S\alpha S\gamma & 0 \\ S\alpha C\beta & S\alpha S\beta S\gamma + C\alpha C\gamma & S\alpha S\beta C\gamma - C\alpha S\gamma & 0 \\ -S\beta & C\beta S\gamma & C\beta C\gamma & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Euler Angles

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- Euler angles are ambiguous
  - Two different Euler angles can represent the same orientation
$$R_1 = (r_x, r_y, r_z) = (\theta, \frac{\pi}{2}, 0) \quad \text{and} \quad R_2 = (0, \frac{\pi}{2}, -\theta)$$
  - This ambiguity brings unexpected results of animation where frames are generated by interpolation.

# Smooth Rotation

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- Create transformations from  $\mathbf{M}_0$  to  $\mathbf{M}_n$  *smoothly*
  - Problem: find a sequence of model-view matrices  $\mathbf{M}_0, \mathbf{M}_1, \dots, \mathbf{M}_n$  for each frame to see a smooth transition
- One solution for rotation (using Euler angles):
  - Find  $\mathbf{R}_0 = \mathbf{R}_{0z} \mathbf{R}_{0y} \mathbf{R}_{0x}$  and  $\mathbf{R}_n = \mathbf{R}_{nz} \mathbf{R}_{ny} \mathbf{R}_{nx}$
  - Then, Create a sequence of rotation  $\mathbf{R}_0, \mathbf{R}_1, \dots, \mathbf{R}_n$ :  
 $\mathbf{R}_i = \mathbf{R}_{iz} \mathbf{R}_{iy} \mathbf{R}_{ix}$  (where,  $i_x, i_y, i_z$  is the interpolated angles from the beginning and the end)
  - Not very effective!
  - Quaternions can do it better!

# Quaternions

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- Extension of imaginary numbers from two to three dimensions
- Requires one real and three imaginary components **i**, **j**, **k**

$$q = q_0 + q_1\mathbf{i} + q_2\mathbf{j} + q_3\mathbf{k}$$

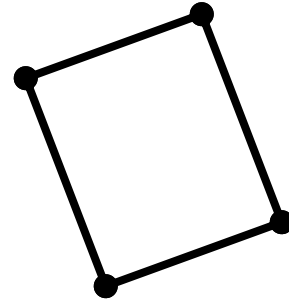
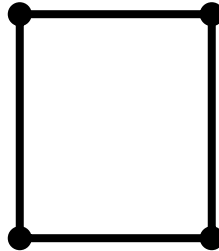
- Quaternions can express rotations on sphere smoothly and efficiently. Process:
  - Model-view matrix → quaternion
  - Carry out operations with quaternions
  - Quaternion → Model-view matrix

Computer Animation 수업에서 다룹니다.

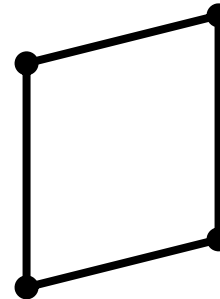
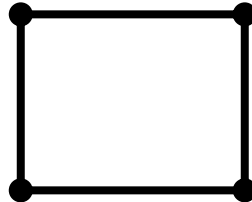
# Taxonomy of Transformations

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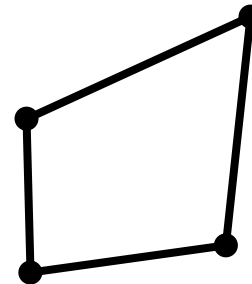
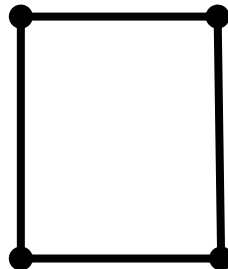
Rigid



Affine



Projective



# Composite Transformations

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- Composite 2D Translation

$$\begin{aligned} T &= \mathbf{T}(t_{x1}, t_{y1}) \cdot \mathbf{T}(t_{x2}, t_{y2}) \\ &= \mathbf{T}(t_{x1} + t_{x2}, t_{y1} + t_{y2}) \end{aligned}$$

$$\begin{pmatrix} 1 & 0 & t_{x2} \\ 0 & 1 & t_{y2} \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & t_{x1} \\ 0 & 1 & t_{y1} \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & t_{x1} + t_{x2} \\ 0 & 1 & t_{y1} + t_{y2} \\ 0 & 0 & 1 \end{pmatrix}$$

# Composite Transformations

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- Composite 2D Scaling

$$\begin{aligned} T &= \mathbf{S}(s_{x1}, s_{y1}) \cdot \mathbf{S}(s_{x2}, s_{y2}) \\ &= \mathbf{S}(s_{x1}s_{x2}, s_{y1}s_{y2}) \end{aligned}$$

$$\begin{pmatrix} s_{x2} & 0 & 0 \\ 0 & s_{y2} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} s_{x1} & 0 & 0 \\ 0 & s_{y1} & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} s_{x1} \cdot s_{x2} & 0 & 0 \\ 0 & s_{y1} \cdot s_{y2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

# Composite Transformations

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- Composite 2D Rotation

$$\begin{aligned} T &= \mathbf{R}(\theta_2) \cdot \mathbf{R}(\theta_1) \\ &= \mathbf{R}(\theta_2 + \theta_1) \end{aligned}$$

$$\begin{pmatrix} \cos \theta_2 & -\sin \theta_2 & 0 \\ \sin \theta_2 & \cos \theta_2 & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} \cos \theta_1 & -\sin \theta_1 & 0 \\ \sin \theta_1 & \cos \theta_1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} \cos(\theta_2 + \theta_1) & -\sin(\theta_2 + \theta_1) & 0 \\ \sin(\theta_2 + \theta_1) & \cos(\theta_2 + \theta_1) & 0 \\ 0 & 0 & 1 \end{pmatrix}$$