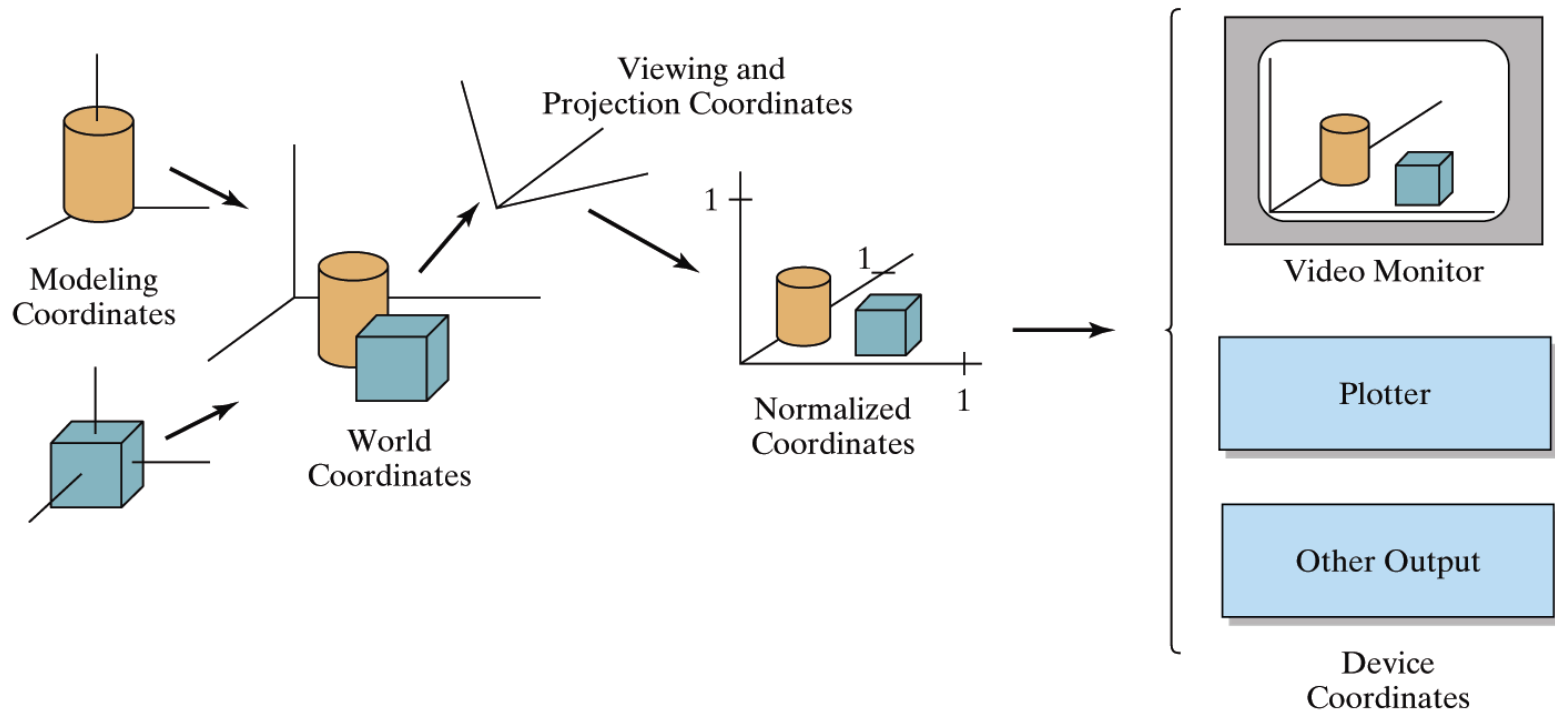


---

# **Projection Matrix and its implementation**

# Review : OpenGL Geometric Transformations

- `glMatrixMode(GL_MODELVIEW);`



# Review: Projection Transformation

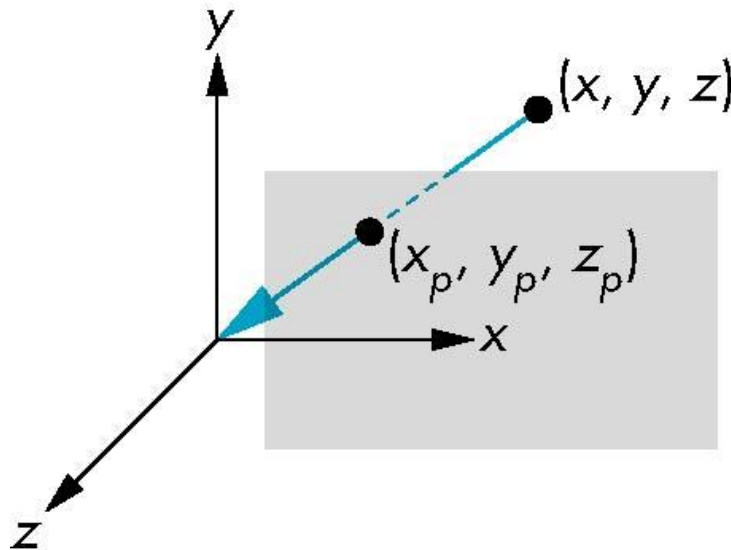
---

- Simple Parallel Projections
- Simple Perspective Projections

# Simple Perspective

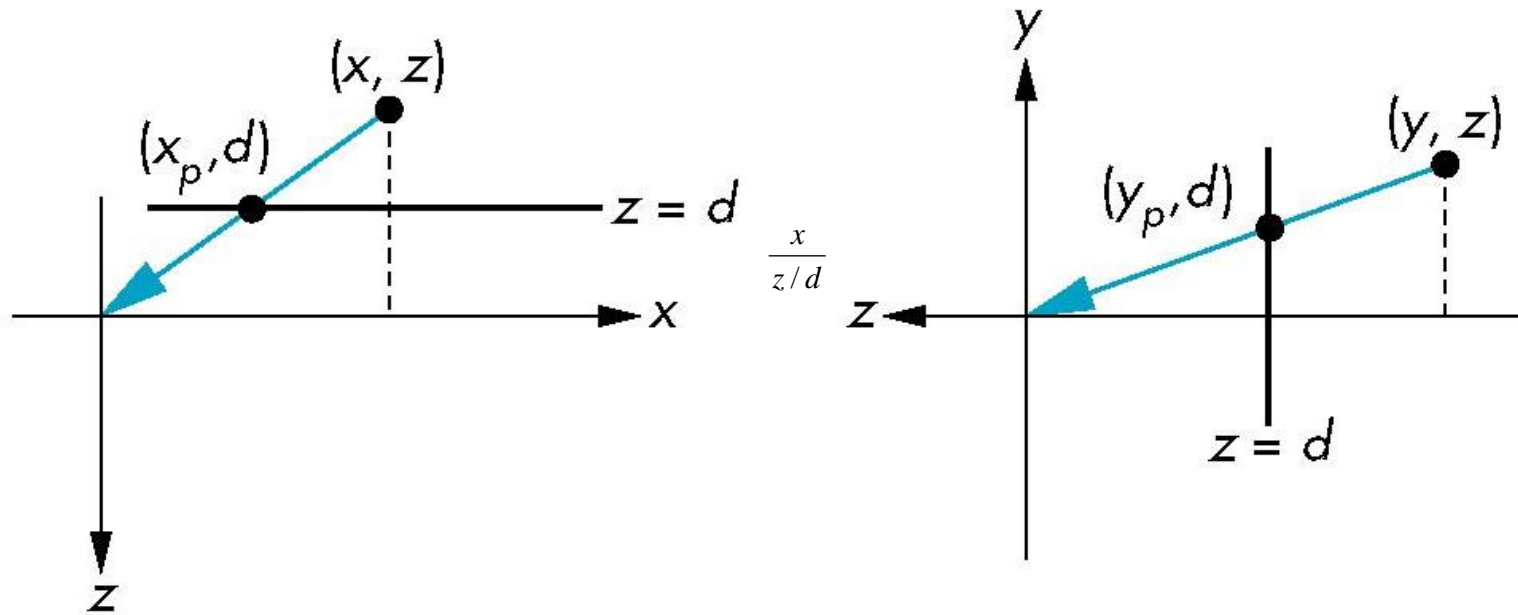
---

- Center of projection at the origin
- Projection plane  $z = d$ ,  $d < 0$



# Perspective Equations

Consider top and side views



$$x_p = \frac{x}{z/d}$$

$$y_p = \frac{y}{z/d}$$

$$z_p = d$$

# Homogeneous Coordinate Form

---

consider  $\mathbf{q} = \mathbf{M}\mathbf{p}$  where  $\mathbf{M} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1/d & 0 \end{bmatrix}$

$$\mathbf{p} = \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} \Rightarrow \mathbf{q} = \begin{bmatrix} x \\ y \\ z \\ z/d \end{bmatrix}$$

# Perspective Division

---

- However  $w \neq 1$ , so we must divide by  $w$  to return from homogeneous coordinates
- This *perspective division* yields

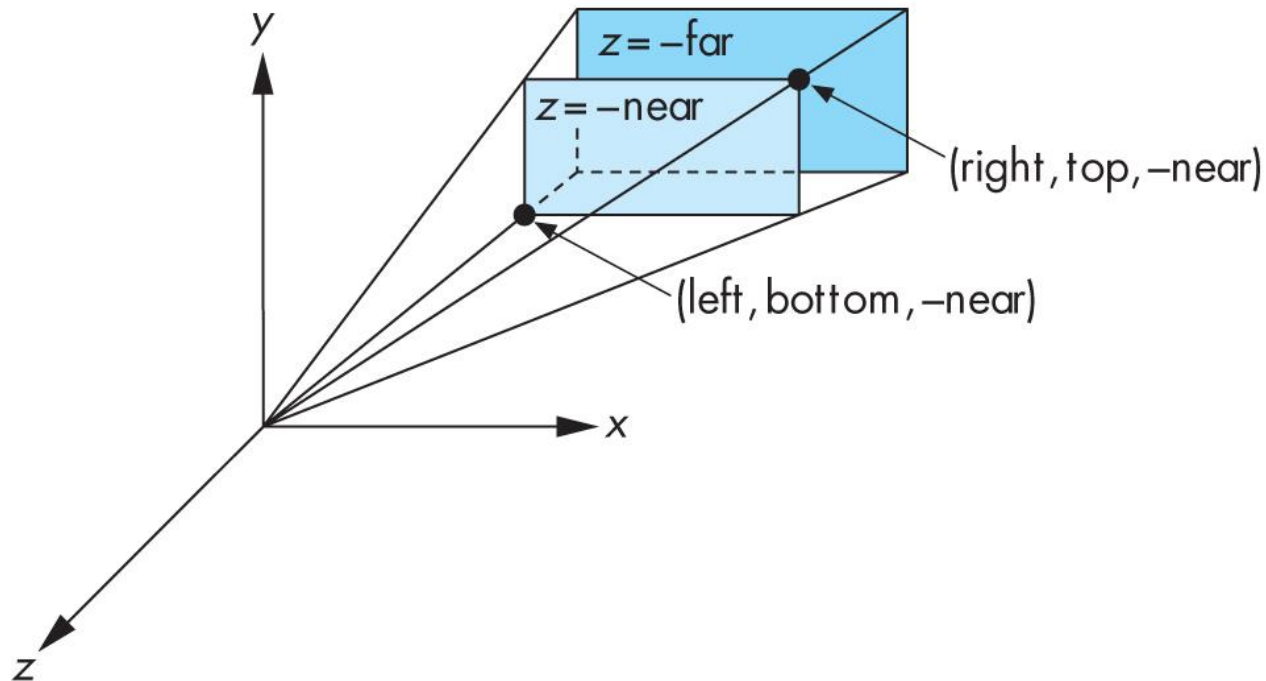
$$x_p = \frac{x}{z/d} \quad y_p = \frac{y}{z/d} \quad z_p = d$$

the desired perspective equations

# Perspective Viewing in Old OpenGL

---

- Two interfaces: `glFrustum` and `gluPerspective`
- `glFrustum(xmin, xmax, ymin, ymax, near, far);`

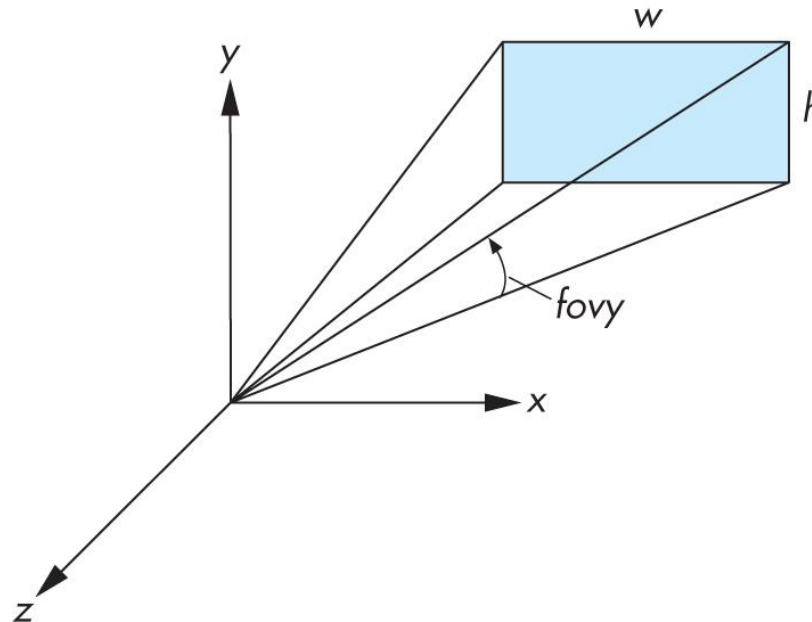




# Field of View Interface in Old OpenGL

---

- `gluPerspective(fovy, aspectRatio, near, far);`
- **aspectRatio** =  $w / h$
- **fovy** specifies field of view as height (y) angle



# Old OpenGL code

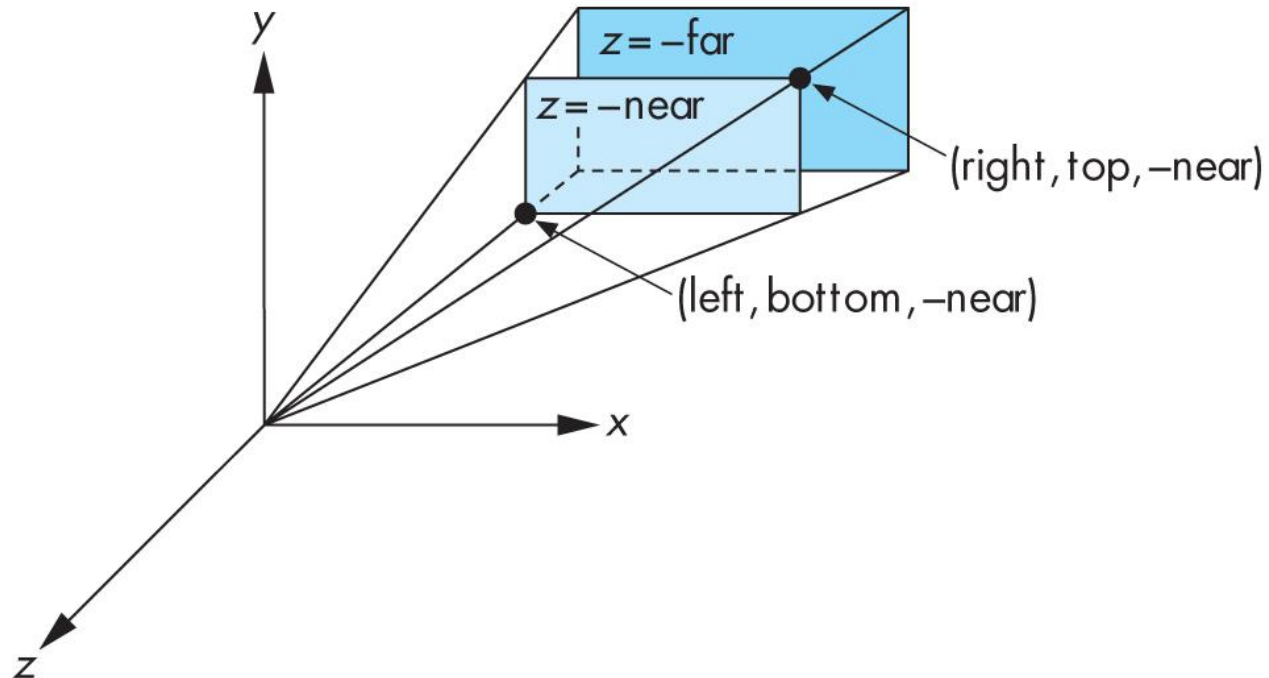
---

```
void reshape(int x, int y)
{
    glViewport(0, 0, x, y);
    glMatrixMode(GL_PROJECTION);
    glLoadIdentity();
    gluPerspective(60.0, x/float(y), 0.01, 10.0);
}
```

# Implementing your own Frustum Function

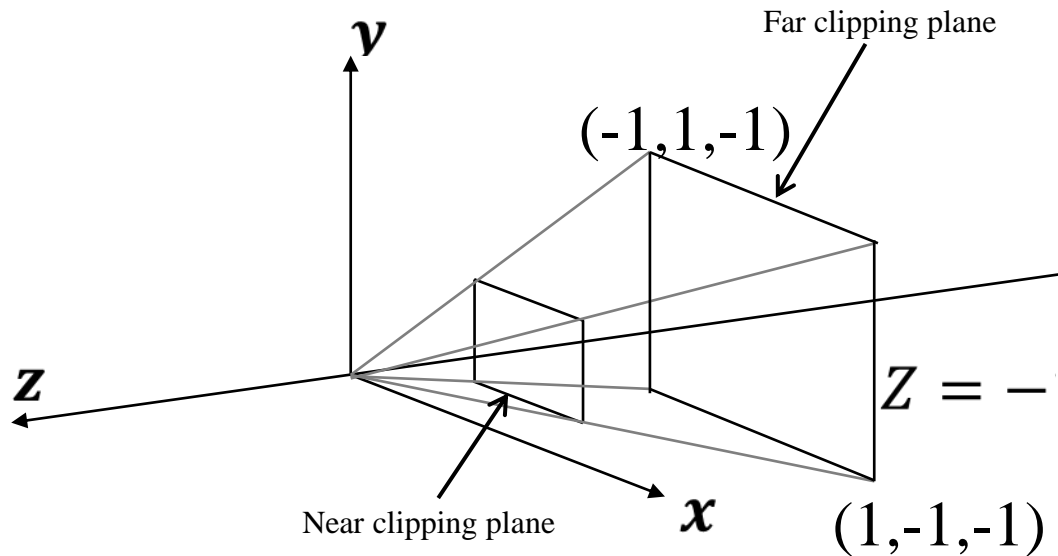
---

- `glFrustum(xmin,xmax, ymin,ymax, near,far);`
- `gluPerspective(fovy,aspectRatio, near,far);`



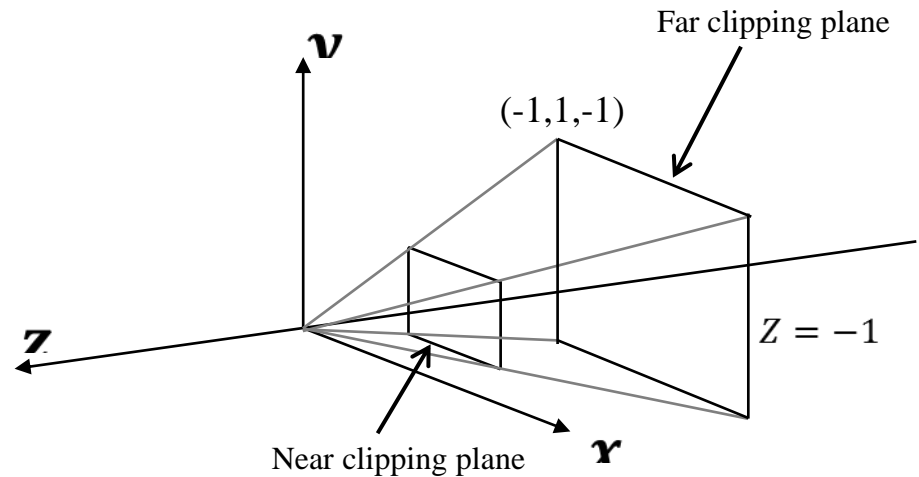
# The Perspective View Volume

- Canonical view volume (frustum):



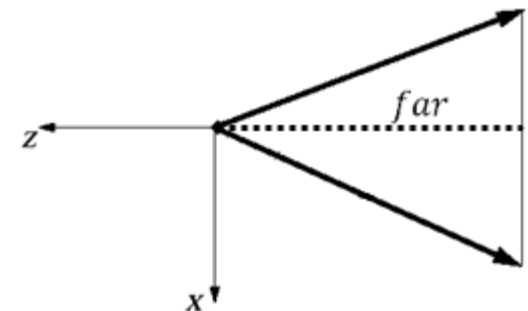
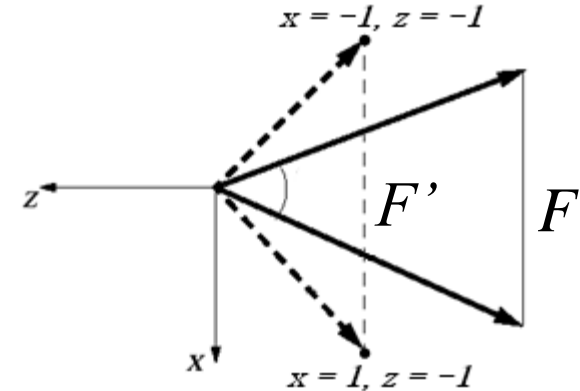
# Properties of the canonical view volume

- Sits at origin:
  - Camera position =  $(0,0,0)$
- Looks along negative z-axis:
  - Look Vector =  $(0,0,-1)$
- Oriented upright:
  - Up Vector =  $(0,1,0)$
- Near and far clipping planes:
  - Near plane at  $z=c = -\frac{near}{far}$  (will prove this)
  - Far plane at  $z = -1$
- Far clipping plane bounds:
  - $(x, y)$  from  $-1$  to  $1$
- Note: *The perspective canonical view volume is just like the parallel one except that the “film”/viewing window is more ambiguous here, so we bound just the far clipping plane for now*



# Scaling the perspective view volume (1/4)

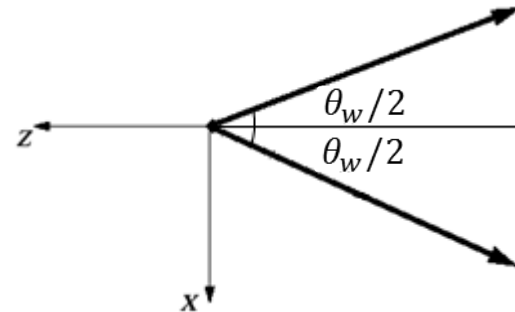
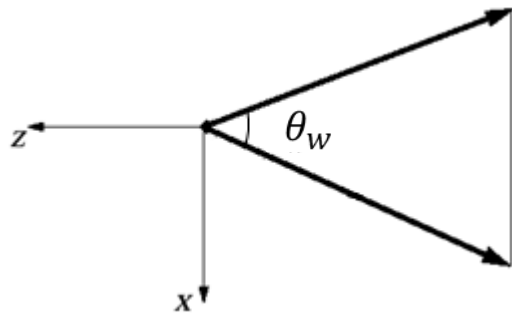
- Top-down view of the perspective view volume:
- Goal: scale the original volume so the solid arrows are transformed to the dotted arrows
  - Equivalently: Scale the original (solid) far plane cross-section  $F$  so it lines up with the canonical (dotted) far plane cross-section  $F'$
- First, scale along Z direction
  - Want to scale so far plane lies at  $z = -1$
  - Far plane originally lies at  $z = -far$
  - Divide by  $far$ , since  $\frac{-far}{far} = -1$
  - So  $Scale_z = \frac{1}{far}$



# Scaling the perspective view volume (2/4)

---

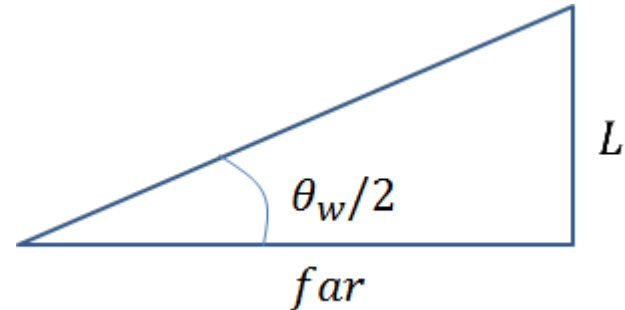
- Next, scale along X direction
  - Use the same trick: divide by size of volume along the X axis
- How long is the side of the volume along X? Find out using trig...
  - Start with the original volume
  - Cut in half along the Z axis



# Scaling the perspective view volume (3/4)

---

- Consider just the top triangle



- Note that  $L$  equals the X coordinate of a corner of the perspective view volume's cross-section. Ultimately want to scale by  $\frac{1}{L}$  to make  $L \rightarrow 1$

- $\frac{L}{far} = \tan\left(\frac{\theta_w}{2}\right) \quad \rightarrow \quad L = far \tan\left(\frac{\theta_w}{2}\right)$

- Conclude that  $Scale_X = \frac{1}{far \tan(\frac{\theta_w}{2})}$



# Scaling the perspective view volume (4/4)

---

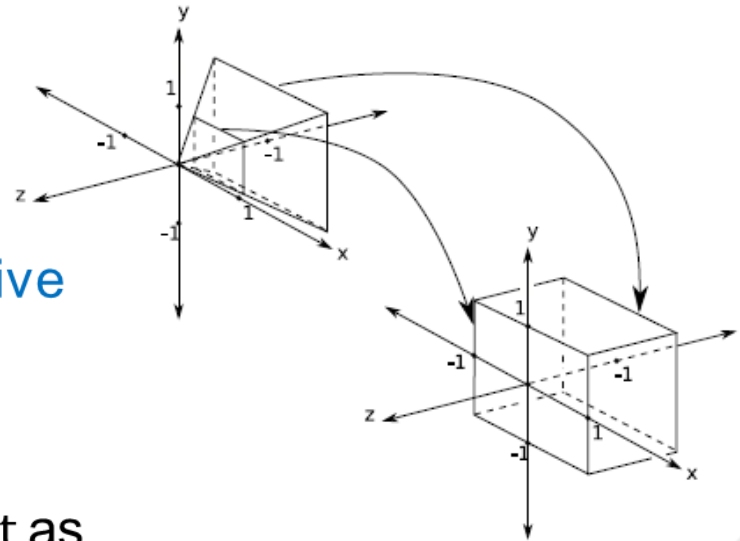
- Finally, scale along Y direction
  - Use the same trig as X direction, but use the height angle  $\theta_h$  instead of  $\theta_w$
  - Result:  $Scale_Y = \frac{1}{far \tan(\frac{\theta_h}{2})}$

- The final result is this scale matrix:

$$S_{xyz} = \begin{bmatrix} \frac{1}{\tan\left(\frac{\theta_w}{2}\right)far} & 0 & 0 & 0 \\ 0 & \frac{1}{\tan\left(\frac{\theta_h}{2}\right)far} & 0 & 0 \\ 0 & 0 & \frac{1}{far} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

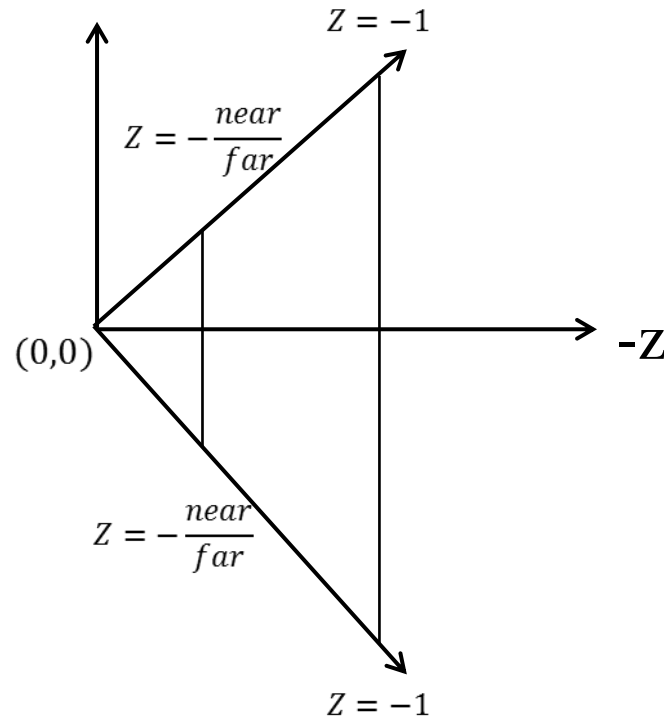
# Perspective and Projection

- Now we have our canonical perspective view volume
- The final step of our normalizing transformation, **transforming the perspective view volume into a parallel one!**
- Think of this perspective transformation  $pt$  as the **unhinging transformation**, represented by matrix  $M_{pt}$



# Unhinging View Volume to Become a Parallel View Volume (1/4)

- Near clipping plane at  $c = -\frac{near}{far}$   
should transform to  $z = 0$



# Unhinging View Volume to Become a Parallel View Volume(2/4)

---

- The derivation of our unhinging transformation matrix is complex.
- Instead, we will give you the matrix and show that it works by example
- Our unhinging transformation matrix,  $M_{pt}$

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \frac{1}{c+1} & \frac{-c}{c+1} \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

# Unhinging View Volume to Become a Parallel View Volume(3/4)

- Our perspective transformation does the following:
  - Sends all points on the  $z = -1$  far clipping plane to themselves
    - We'll check top-left  $(-1, 1, -1, 1)$  and bottom-right  $(1, -1, -1, 1)$  corners
  - Sends all points on the  $z = c$  near clipping plane onto the  $z = 0$  plane
    - Note that the corners of the cross section of the near clipping plane in the frustum are  $(-c, c, c, 1)$ ,  $(c, -c, c, 1)$ ,  $(c, c, c, 1)$  and  $(-c, -c, c, 1)$
    - We'll check to see that  $(-c, c, c, 1)$  gets sent to  $(-1, 1, 0, 1)$  and that  $(c, -c, c, 1)$  gets sent to  $(1, -1, 0, 1)$
  - Let's try  $c = -\frac{1}{2}$

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \frac{1}{c+1} & \frac{-c}{c+1} \\ 0 & 0 & -1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 1 \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

# Unhinging View Volume to Become a Parallel View Volume(4/4)



$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 1 \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

$$\begin{bmatrix} -1 \\ 1 \\ -1 \\ 1 \end{bmatrix}$$



$$\begin{bmatrix} -1 \\ 1 \\ -1 \\ 1 \end{bmatrix}$$



$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 1 \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix}$$



$$\begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix}$$



$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 1 \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

$$\begin{bmatrix} -1/2 \\ 1/2 \\ -1/2 \\ 1 \end{bmatrix}$$



$$\begin{bmatrix} -1/2 \\ 1/2 \\ 0 \\ 1/2 \end{bmatrix}$$

Don't forget to  
homogenize!



$$\begin{bmatrix} -1 \\ 1 \\ 0 \\ 1 \end{bmatrix}$$



$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 1 \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 1/2 \\ -1/2 \\ -1/2 \\ 1 \end{bmatrix}$$



$$\begin{bmatrix} 1/2 \\ -1/2 \\ 0 \\ 1/2 \end{bmatrix}$$



$$\begin{bmatrix} 1 \\ -1 \\ 0 \\ 1 \end{bmatrix}$$

# The normalizing transformation (perspective)

---

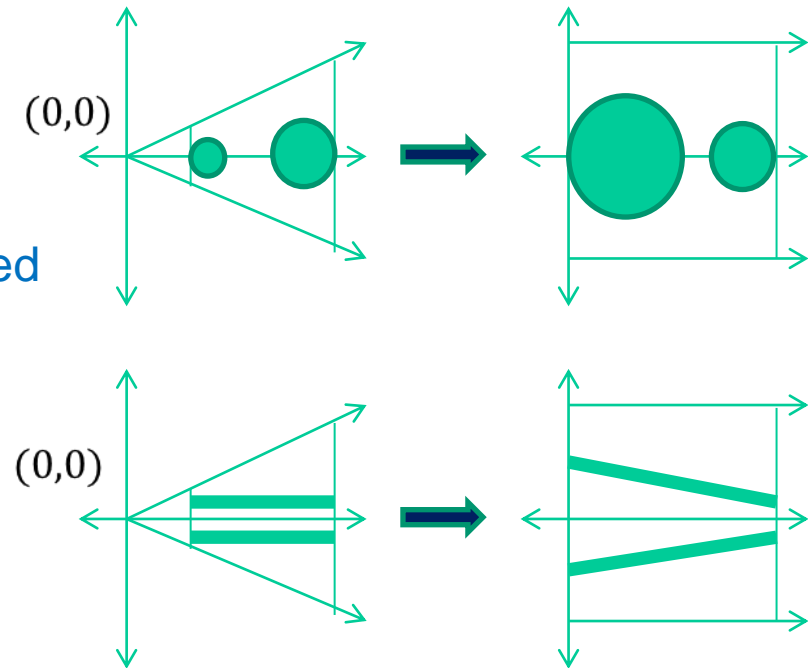
- $N_{perspective} = M_{pt} S_{xyz}$

$$= \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \frac{1}{c+1} & \frac{-c}{c+1} \\ 0 & 0 & -1 & 0 \end{bmatrix} \begin{bmatrix} \frac{1}{\tan\left(\frac{\theta_w}{2}\right) far} & 0 & 0 & 0 \\ 0 & \frac{1}{\tan\left(\frac{\theta_h}{2}\right) far} & 0 & 0 \\ 0 & 0 & 1/far & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- Remember to homogenize your points after you apply this transformation

# Why it works (1/2)

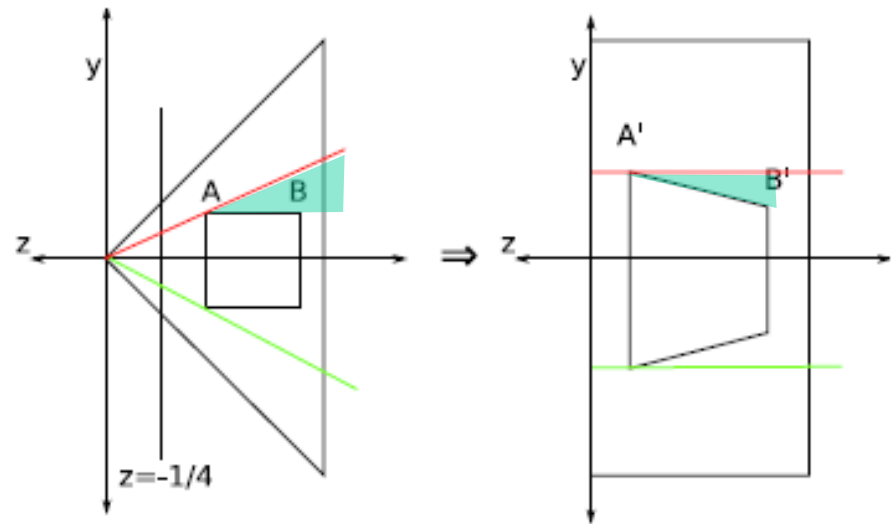
- The key is in the **unhinging step**
- We can take an intuitive approach to see this
  - The closer the object is to the near clipping plane, the more it is enlarged during the unhinging step
  - Thus, closer objects are larger and farther away objects are smaller, as is to be expected
- Another way to see it is to use the parallel lines
  - Draw parallel lines in a perspective volume
  - When we unhinge the volume, the lines fan out at the near clipping
  - The result is converging lines, the railroad track





# Why it works (2/2)

- Yet another way to demonstrate how this works is to use occlusion (when elements in the scene are blocked by other elements)
- Looking at the top view of the frustum, we see a square
- Draw a line from your eye point to the left corner of the square, we can see that points behind this corner are obscured
- Now unhinge the perspective and draw a line again to the left corner, we can see that all points obscured before are still obscured and all points that were visible before are still visible



---

# **Chapter 5.**

# **Lighting and Shading**

# Photorealism in Computer Graphics

---

- Photorealism in computer graphics involves
  - Accurate representations of surface properties, and
  - Good physical descriptions of the lighting effects
- Modeling the lighting effects that we see on an object is a complex process, involving principles of both physics and psychology
- Physical illumination models involve
  - Material properties, object position relative to light sources and other objects, the features of the light sources, and so on

# Illumination and Rendering

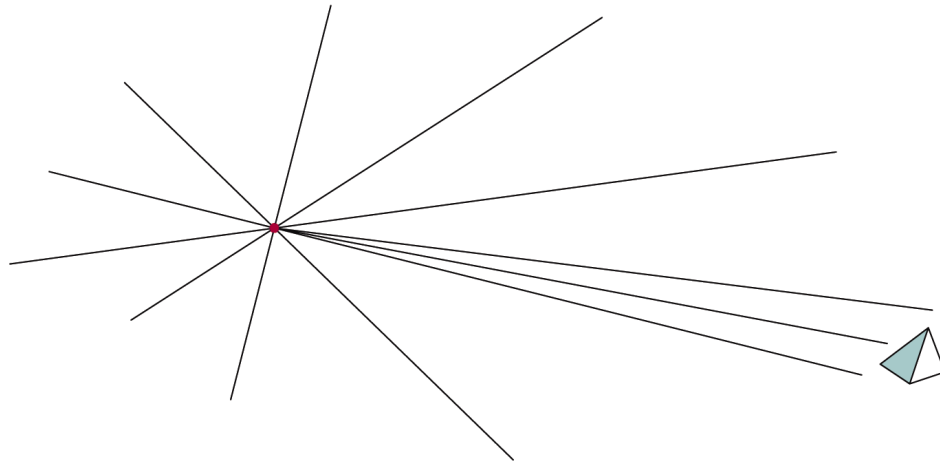
---

- An ***illumination model*** in computer graphics
  - also called a ***lighting model*** or a ***shading model***
  - used to calculate the color of an illuminated position on the surface of an object
  - Approximations of the physical laws
- A ***surface-rendering method*** determine the pixel colors for all projected positions in a scene

# Light Sources

---

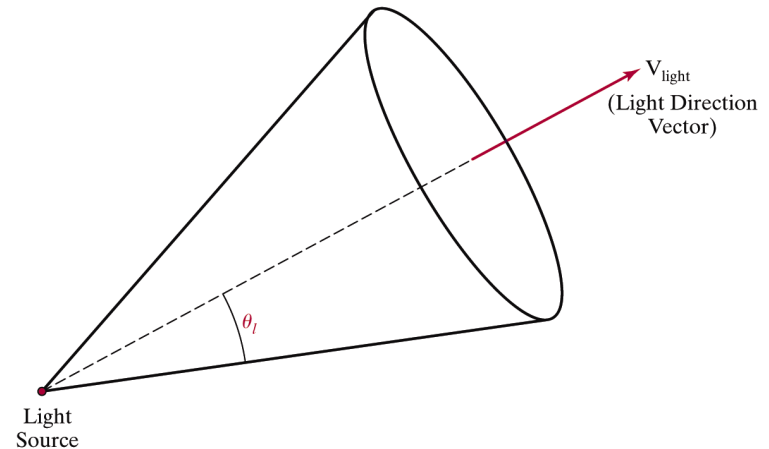
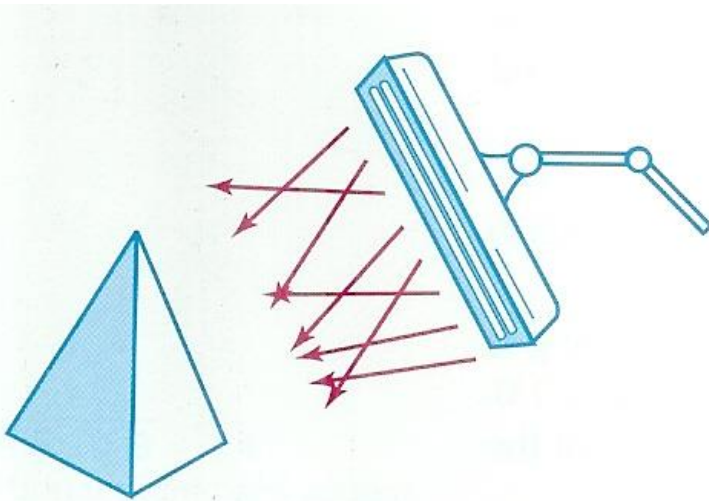
- Point light sources
  - Emitting radiant energy at a single point
  - Specified with its position and the color of the emitted light
- Infinitely distant light sources
  - A large light source, such as sun, that is very far from a scene
  - Little variation in its directional effects
  - Specified with its color value and a fixed direction for the light rays



# Light Sources

---

- Directional light sources
  - Produces a directional beam of light
  - Spotlight effects
- Area light sources



# Light Sources

---

- Radial intensity attenuation

- As radiant energy travels, its amplitude is attenuated by the factor  $1/d^2$
- Sometimes, more realistic attenuation effects can be obtained with an inverse quadratic function of distance

$$f = \begin{cases} 1.0 & \text{if source is at infinity} \\ \frac{1}{a_0 + a_1 d + a_2 d^2} & \text{if source is local} \end{cases}$$

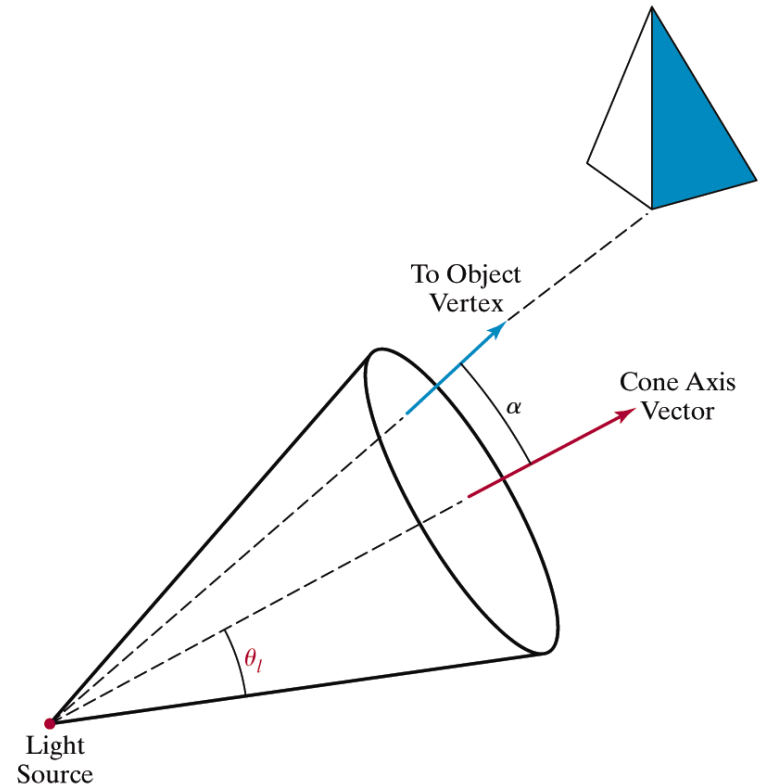
- The intensity attenuation is not applied to light sources at infinity because all points in the scene are at a nearly equal distance from a far-off source

# Light Sources

---

- Angular intensity attenuation
  - For a directional light, we can attenuate the light intensity angularly as well as radially

$$f(\alpha) = \cos^n \alpha$$





# Surface Lighting Effects

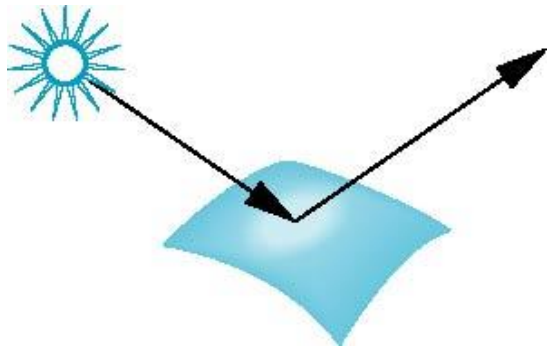
---

- An illumination model computes the lighting effects for a surface using the various optical properties
  - Degree of transparency, color reflectance, surface texture
- The reflection (*phong illumination*) model describes the way incident light reflects from an opaque surface
  - Diffuse, ambient, specular reflections
  - Simple approximation of actual physical models

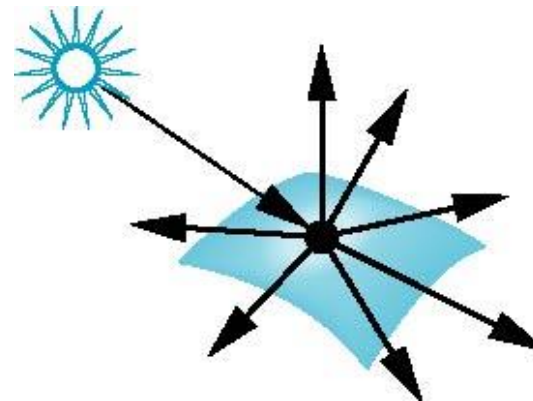
# Surface Types

---

- The smoother a surface, the more reflected light is concentrated in the direction a perfect mirror would reflect the light
- A very rough surface scatters light in all directions



smooth surface



rough surface

# Phong Model

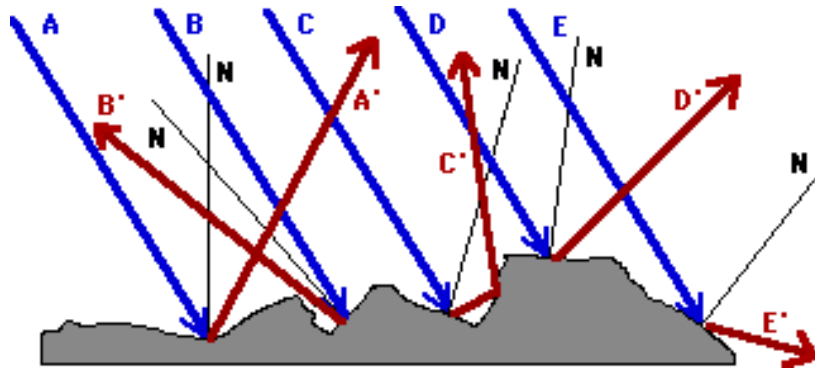
---

- A simple model that can be computed rapidly
- Has three components
  - Diffuse
  - Specular
  - Ambient

# Diffuse Reflection

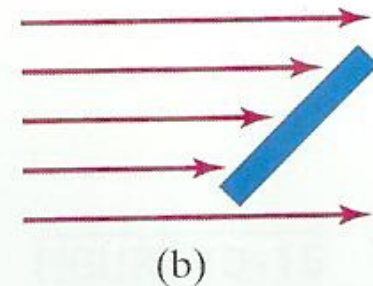
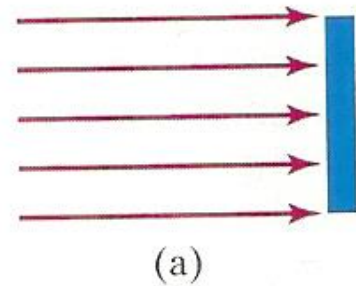
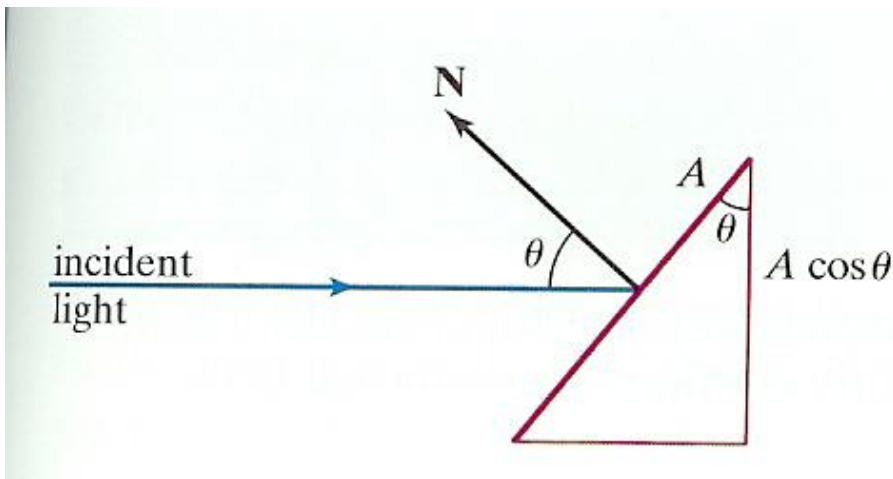
---

- Incident light is scattered with equal intensity in all directions
- Such surfaces are called ***ideal diffuse reflectors***  
(also referred to as ***Lambertian reflectors***)



# Diffuse Reflection

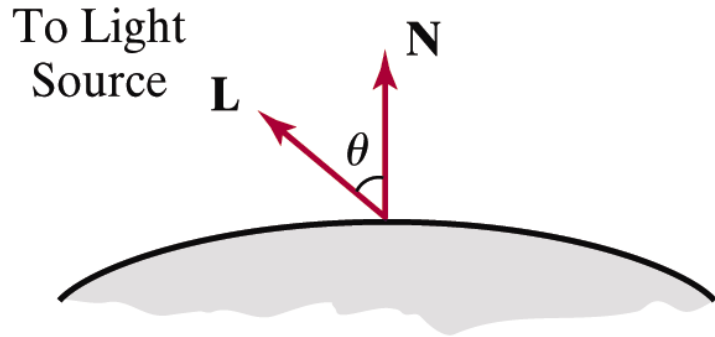
- Light intensity is independent of angle of reflection
- Light intensity depends on angle of incidence



# Diffuse Reflection

---

$$I = k_d I_l \cos \theta = k_d I_l (N \cdot L)$$



$I_l$  : the intensity of the light source

$k_d$  : diffuse reflection coefficient,

$N$  : the surface normal (unit vector)

$L$  : the direction of light source,  
(unit vector)

# Ambient Light

---

- Multiple reflection of nearby (light-reflecting) objects yields a uniform illumination
- A form of diffuse reflection independent of the viewing direction and the spatial orientation of a surface
- Ambient illumination is constant for an object

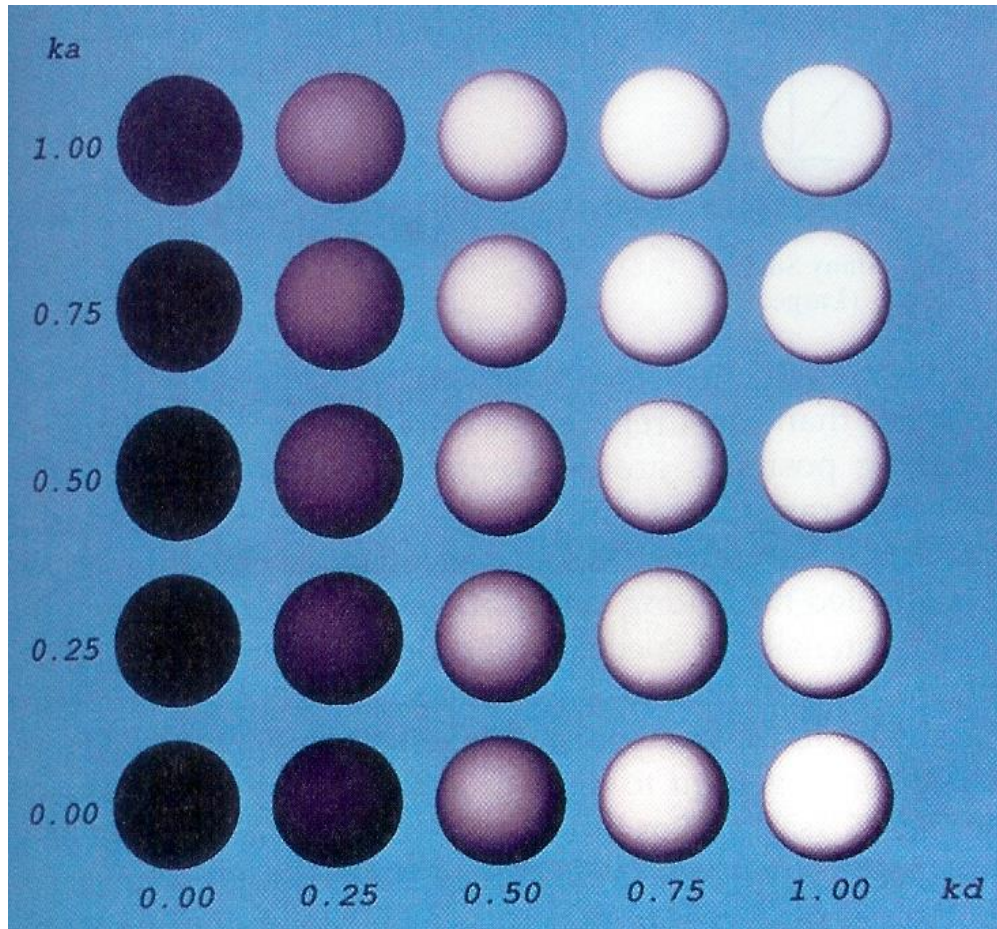
$$I = k_a I_a$$

$I_a$ : the incident ambient intensity

$k_a$ : ambient reflection coefficient, the proportion reflected away from the surface

# Ambient + Diffuse

$$I = \begin{cases} k_a I_a + k_d I_l (N \cdot L) & \text{if } N \cdot L > 0 \\ k_a I_a & \text{if } N \cdot L \leq 0 \end{cases}$$





# Specular Reflection

---

- Perfect reflector (mirror) reflects all lights to the direction where angle of reflection is identical to the angle of incidence
- It accounts for the *highlight*
- Near total reflector reflects most of light over a range of positions close to the direction

# Specular Reflection

---

- Phong specular-reflection model
  - Note that  $N$ ,  $L$ , and  $R$  are coplanar, but  $V$  may not be coplanar to the others

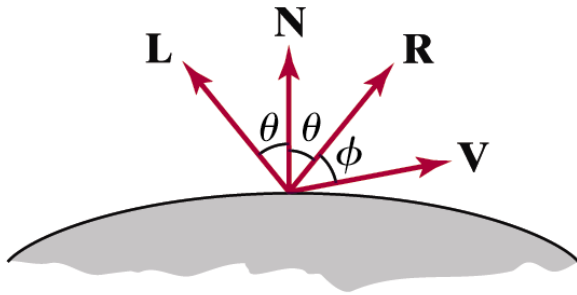


Figure 10-16

Specular reflection angle equals angle of incidence  $\theta$ .

$$I = k_s I_l \cos^n \phi = k_s I_l (R \cdot V)^n$$

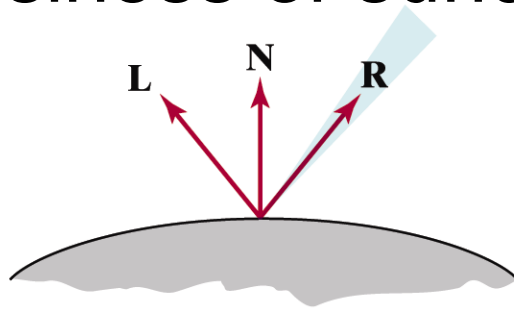
$I_l$  : intensity of the incident light

$k_s$  : color-independent specular coefficient

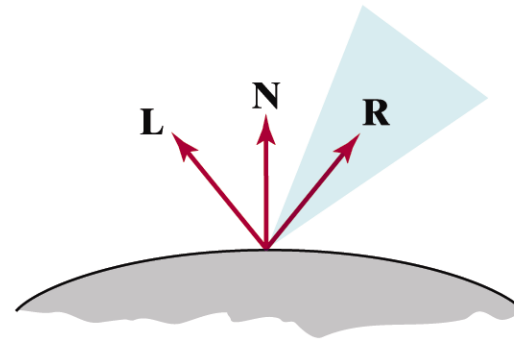
$n$  : the gloss of the surface

# Specular Reflection

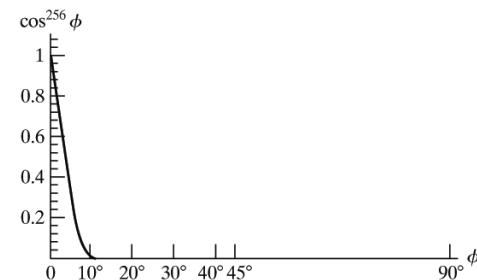
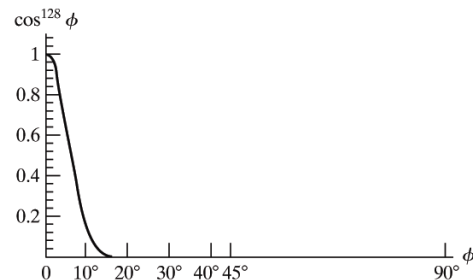
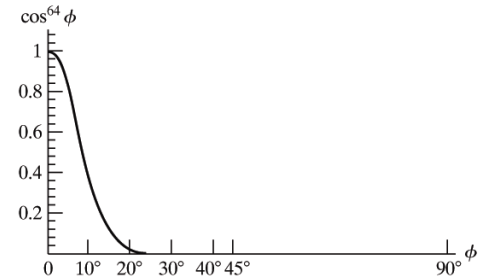
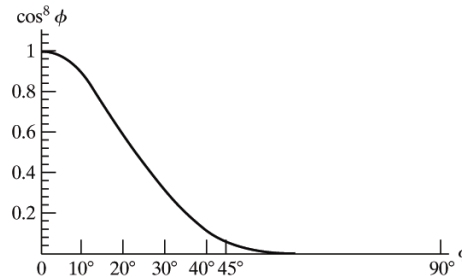
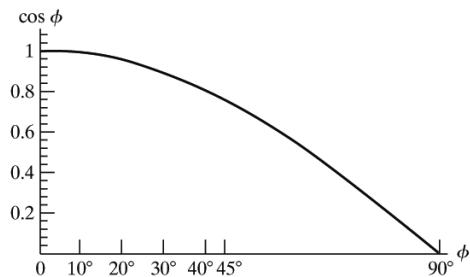
- Glossiness of surfaces



Shiny Surface  
(Large  $n_s$ )



Dull Surface  
(Small  $n_s$ )



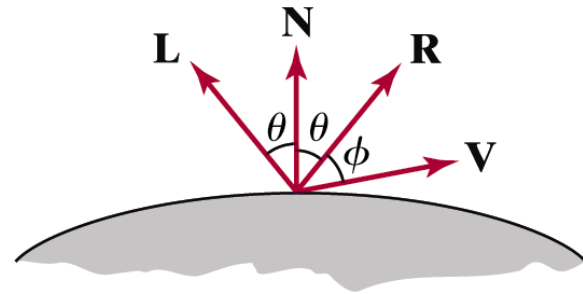
# Specular Reflection

---

- Specular-reflection coefficient  $k_s$  is a material property
  - For some material,  $k_s$  varies depending on  $\theta$
  - $k_s = 1$  if  $\theta = 90^\circ$
- Calculating the reflection vector  $R$

$$R + L = (2L \cdot N)N$$

$$R = (2L \cdot N)N - L$$



# Specular Reflection

---

- Simplified Phong model using halfway vector
  - $H$  is constant if both viewer and the light source are sufficiently far from the surface

$$H = \frac{V + L}{|V + L|}$$

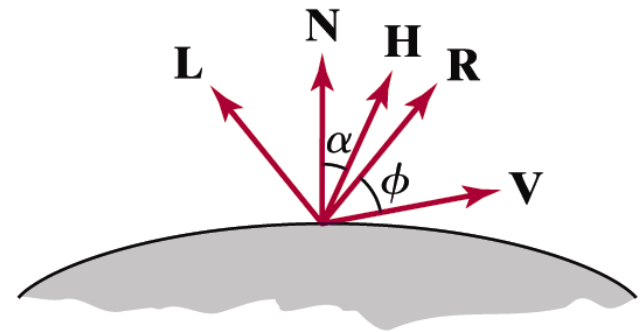


Figure 10-22

Halfway vector **H** along the bisector of the angle between **L** and **V**.

$$I = I_p k_s \cos^n \phi = I_p k_s (R \cdot V)^n$$
$$\approx I_p k_s \cos^n \alpha = I_p k_s (N \cdot H)^n$$

# Ambient+Diffuse+Specular Reflections

---

- Single light source

$$I = k_a I_a + k_d I_l (N \cdot L) + k_s I_l (R \cdot V)^n$$

- Multiple light source

$$I = k_a I_a + \sum_l k_d I_l (N \cdot L) + k_s I_l (R \cdot V)^n$$

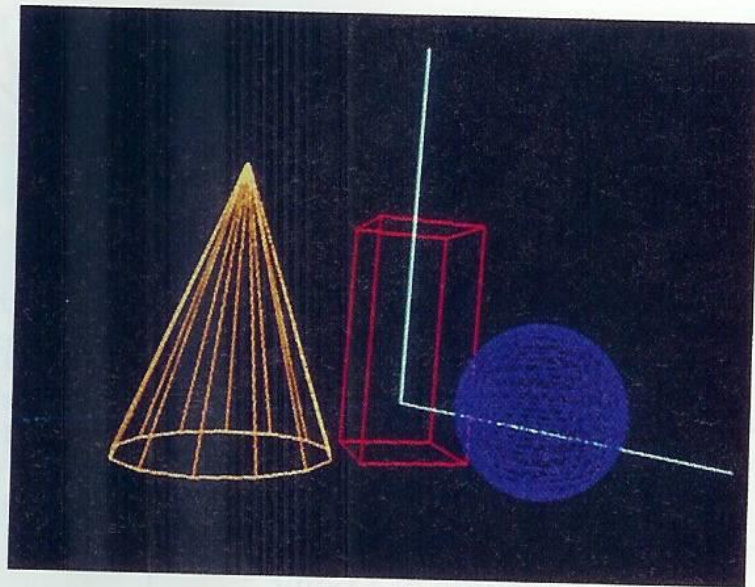
- Emission and attenuation

$$I = I_{emit} + k_a I_a + \sum_l f_{l,rad\_atten} f_{l,ang\_atten} \left( k_d I_l (N \cdot L) + k_s I_l (R \cdot V)^n \right)$$

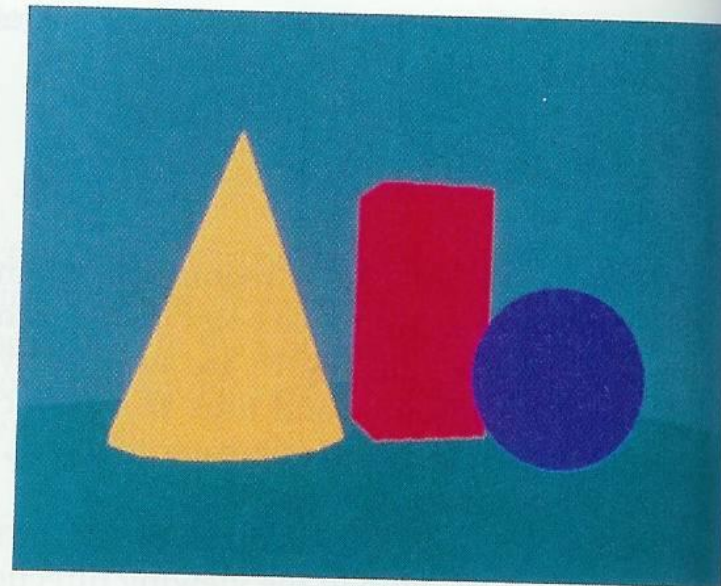
# Parameter Choosing Tips

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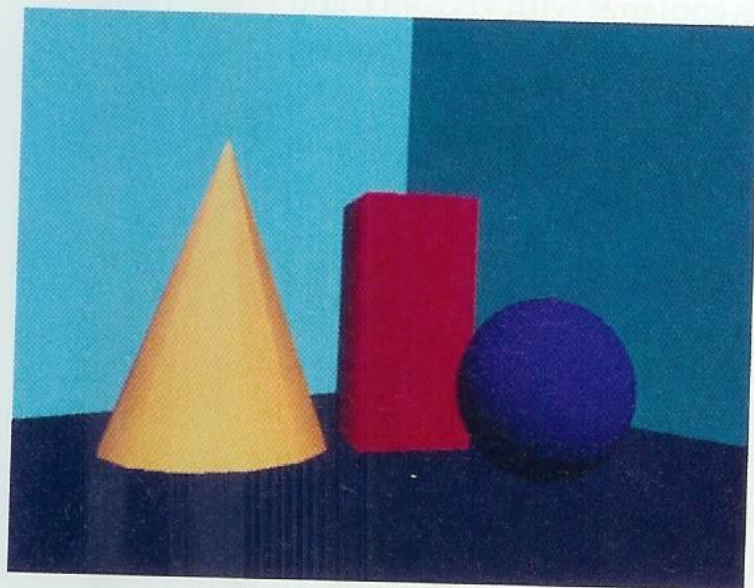
- For a RGB color description, each intensity and reflectance specification is a three-element vector
- The sum of reflectance coefficients is usually smaller than one  $k_a + k_d + k_s \leq 1$
- Try  $n$  in the range  $[0, 100]$
- Use a small  $k_a$  ( $\sim 0.1$ )
- Example
  - Metal:  $n=90$ ,  $k_a=0.1$ ,  $k_d=0.2$ ,  $k_s=0.5$



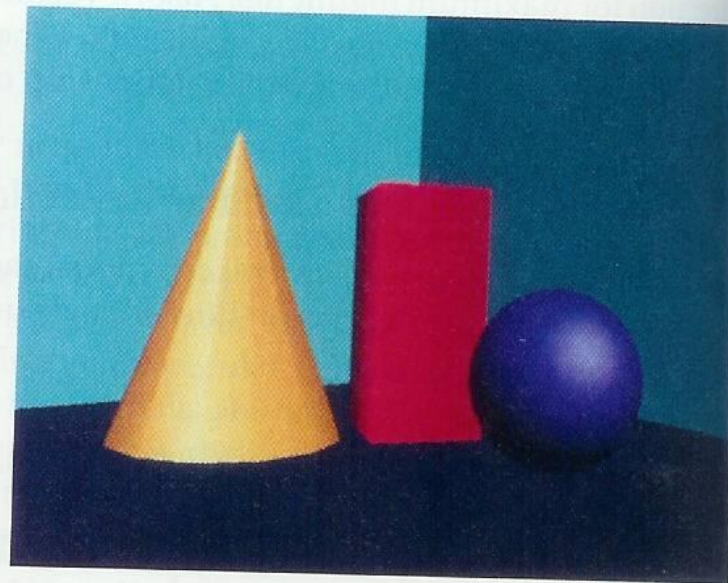
(a)



(b)



(c)



(d)