

# Natural Language Processing with Deep Learning

CS224N/Ling284



Lecture 3: More Word Vectors

Richard Socher

# Organization. See Calendar

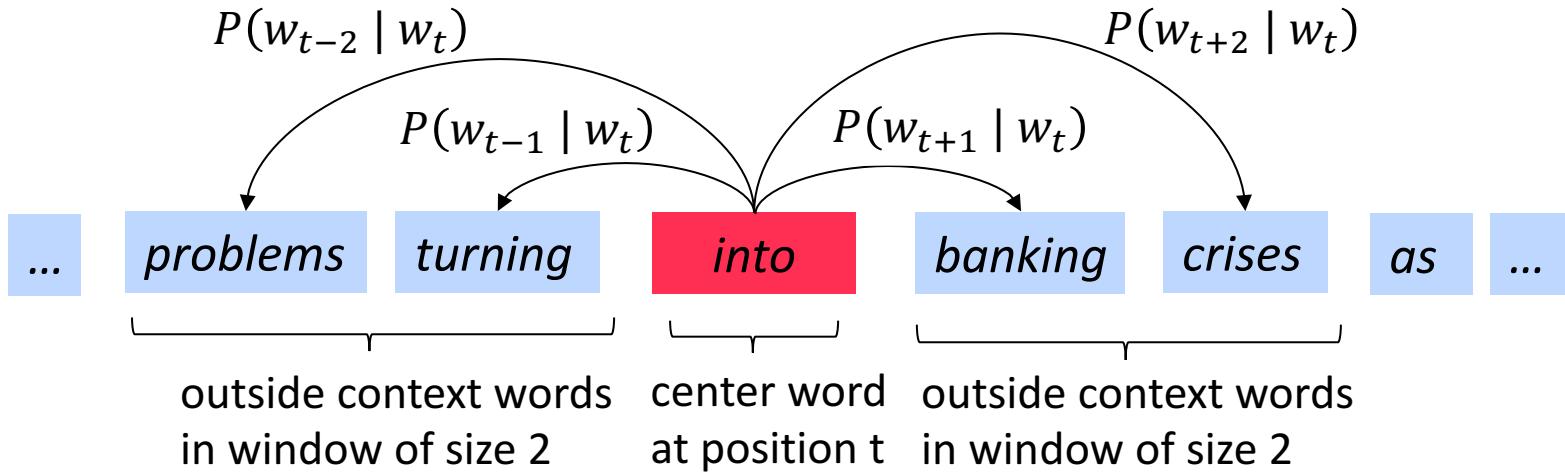
- Project advice office hours are today

# A Deeper Look at Word Vectors

- Finish word2vec
- What does word2vec capture?
- How could we capture this essence more effectively?
- How can we analyze word vectors?

# Review: Main ideas of word2vec

- Go through each word of the whole corpus
- Predict surrounding words of each word



- $$P(o|c) = \frac{\exp(u_o^T v_c)}{\sum_{w \in V} \exp(u_w^T v_c)}$$

# Stochastic gradients with word vectors!

- Then take gradients at each such window for SGD
- But in each window, we only have at most  $2m + 1$  words, so  $\nabla_{\theta} J_t(\theta)$  is very sparse!

$$\nabla_{\theta} J_t(\theta) = \begin{bmatrix} 0 \\ \vdots \\ \nabla_{v_{like}} \\ \vdots \\ 0 \\ \nabla_{u_I} \\ \vdots \\ \nabla_{u_{learning}} \\ \vdots \end{bmatrix} \in \mathbb{R}^{2dV}$$

# Stochastic gradients with word vectors!

- We may as well only update the word vectors that actually appear!
- Solution: either you need sparse matrix update operations to only update certain columns of full embedding matrices  $U$  and  $V$ , or you need to keep around a hash for word vectors

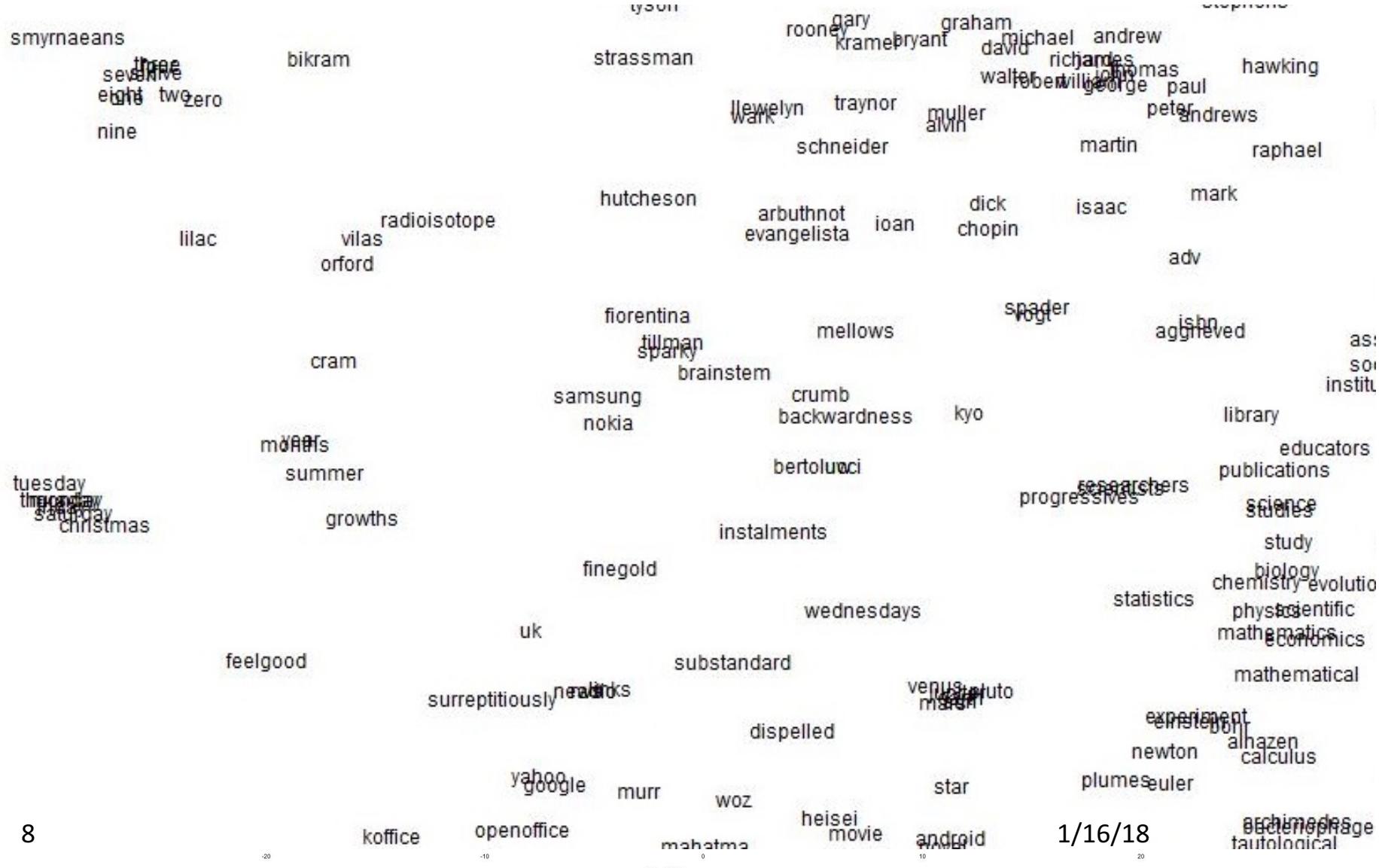
$$d \begin{bmatrix} \vdots & & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots \end{bmatrix} |V|$$

- If you have millions of word vectors and do distributed computing, it is important to not have to send gigantic updates around!

# Approximations: Assignment 1

- The normalization factor is too computationally expensive.
- $$P(o|c) = \frac{\exp(u_o^T v_c)}{\sum_{w \in V} \exp(u_w^T v_c)}$$
- Hence, in Assignment 1, you will implement the skip-gram model with **negative sampling**
- Main idea: train binary logistic regressions for a true pair (center word and word in its context window) versus a couple of noise pairs (the center word paired with a random word)

# Word2vec improves objective function by putting similar words nearby in space



# Summary of word2vec

- Go through each word of the whole corpus
- Predict surrounding words of each word
- This captures co-occurrence of words one at a time

word2vec은 결국 중심 단어로 주변 단어를 예측함으로써 coocurence of words를 잡는 것이다.
- Why not capture co-occurrence **counts** directly?

# Yes we can!

With a co-occurrence matrix X

- 2 options: windows vs full document
- Window: Similar to word2vec, use window around each word → captures both syntactic (POS) and semantic information
- Word-document co-occurrence matrix will give general topics (all sports terms will have similar entries) leading to “Latent Semantic Analysis”

## Example: Window based co-occurrence matrix

- Window length 1 (more common: 5 - 10)
- Symmetric (irrelevant whether left or right context)
- Example corpus:
  - I like deep learning.
  - I like NLP.
  - I enjoy flying.

# Window based co-occurrence matrix

- Example corpus:
  - I like deep learning.
  - I like NLP.
  - I enjoy flying.

counts	I	like	enjoy	deep	learning	NLP	flying	.
I	0	2	1	0	0	0	0	0
like	2	0	0	1	0	1	0	0
enjoy	1	0	0	0	0	0	1	0
deep	0	1	0	0	1	0	0	0
learning	0	0	0	1	0	0	0	1
NLP	0	1	0	0	0	0	0	1
flying	0	0	1	0	0	0	0	1
.	0	0	0	0	1	1	1	0

# Problems with simple co-occurrence vectors

Increase in size with vocabulary

Very high dimensional: require a lot of storage

Subsequent classification models have sparsity issues

→ Models are less robust

# Solution: Low dimensional vectors

- Idea: store “most” of the important information in a fixed, small number of dimensions: a dense vector
- Usually 25 – 1000 dimensions, similar to word2vec
- How to reduce the dimensionality?

# Method 1: Dimensionality Reduction on X

Singular Value Decomposition of co-occurrence matrix  $X$ .

$$\begin{matrix} & m \\ n & \boxed{\phantom{000}} \end{matrix} = n \begin{matrix} r \\ \boxed{| | |} \\ U_1 U_2 U_3 \dots \end{matrix} \begin{matrix} r \\ S_1 S_2 S_3 \dots \\ 0 \\ \vdots \\ S_r \end{matrix} \begin{matrix} m \\ V_1 \\ V_2 \\ V_3 \\ \vdots \end{matrix}$$

$X$                      $U$                      $S$                      $V^T$

$$\begin{matrix} & m \\ n & \boxed{\phantom{000}} \end{matrix} = n \begin{matrix} k \\ \boxed{| | |} \\ U_1 U_2 U_3 \dots \end{matrix} \begin{matrix} k \\ S_1 S_2 S_3 \dots \\ 0 \\ \vdots \\ S_k \end{matrix} \begin{matrix} m \\ V_1 \\ V_2 \\ V_3 \\ \vdots \end{matrix}$$

$\hat{X}$                      $\hat{U}$                      $\hat{S}$                      $\hat{V}^T$

$\hat{X}$  is the best rank  $k$  approximation to  $X$ , in terms of least squares.

Count Matrix를 SVD해서 차원을 축소한다.

# Simple SVD word vectors in Python

Corpus:

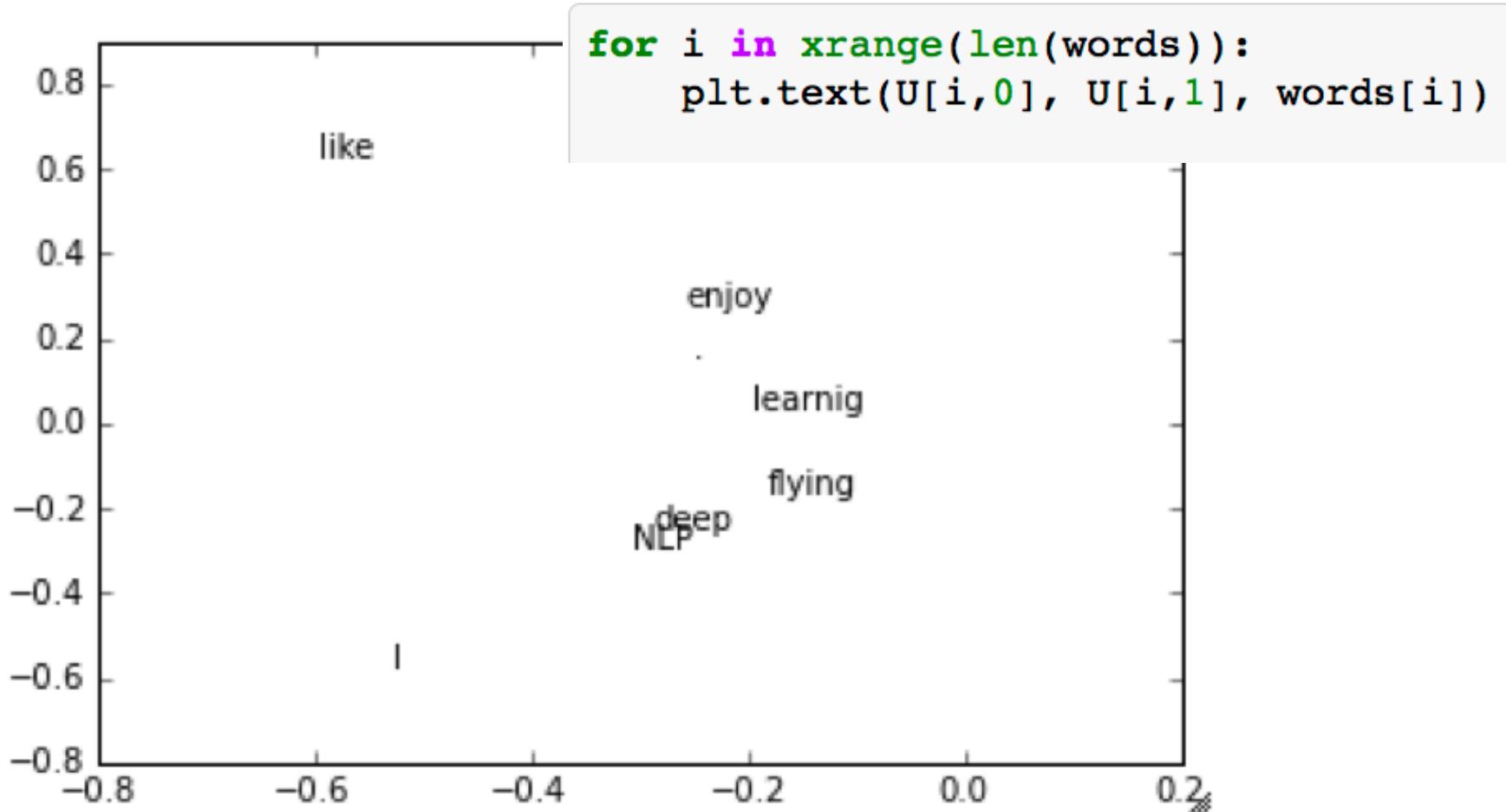
I like deep learning. I like NLP. I enjoy flying.

```
import numpy as np
la = np.linalg
words = ["I", "like", "enjoy",
          "deep", "learnig", "NLP", "flying", ".."]
X = np.array([[0,2,1,0,0,0,0,0],
              [2,0,0,1,0,1,0,0],
              [1,0,0,0,0,0,1,0],
              [0,1,0,0,1,0,0,0],
              [0,0,0,1,0,0,0,1],
              [0,1,0,0,0,0,0,1],
              [0,0,1,0,0,0,0,1],
              [0,0,0,0,1,1,1,0]])
U, s, Vh = la.svd(X, full_matrices=False)
```

# Simple SVD word vectors in Python

Corpus: I like deep learning. I like NLP. I enjoy flying.

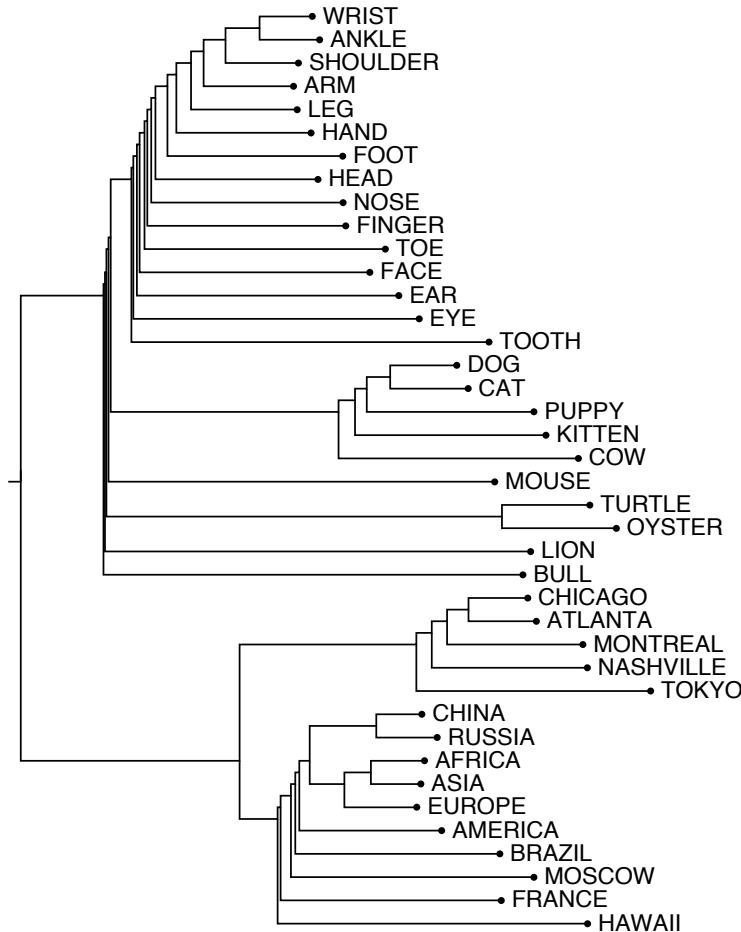
Printing first two columns of U corresponding to the 2 biggest singular values



# Hacks to X

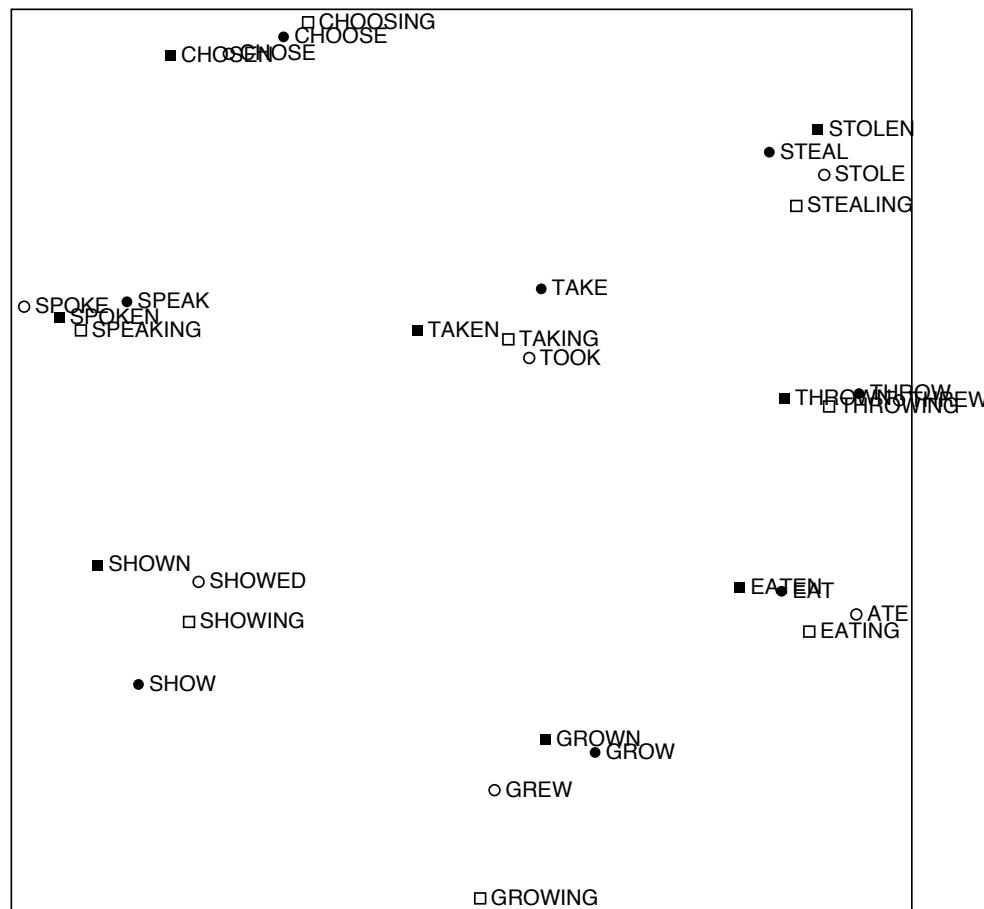
- Problem: function words (the, he, has) are too frequent → syntax has too much impact. Some fixes:
  - $\min(X, t)$ , with  $t \sim 100$
  - Ignore them all
- Ramped windows that count closer words more
- Use Pearson correlations instead of counts, then set negative values to 0
- +++

# Interesting semantic patterns emerge in the vectors



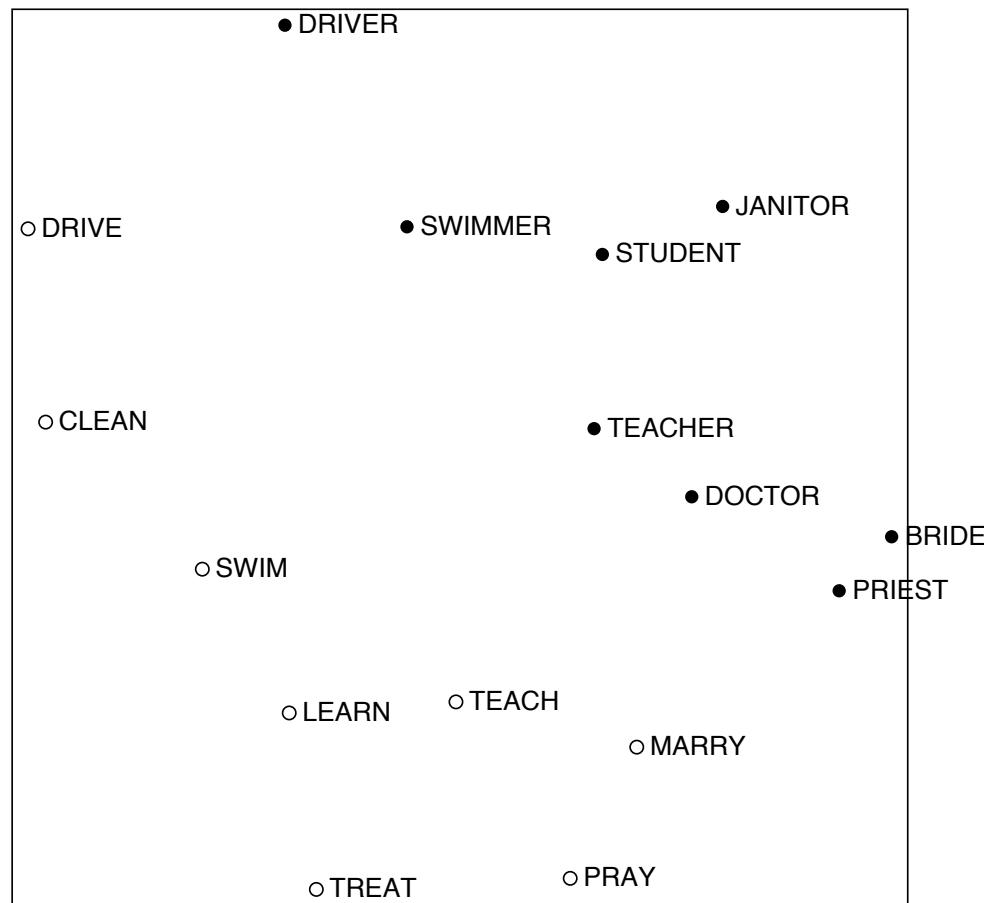
An Improved Model of Semantic Similarity Based on Lexical Co-Occurrence  
Rohde et al. 2005

# Interesting syntactic patterns emerge in the vectors



An Improved Model of Semantic Similarity Based on Lexical Co-Occurrence  
Rohde et al. 2005

# Interesting semantic patterns emerge in the vectors



An Improved Model of Semantic Similarity Based on Lexical Co-Occurrence  
Rohde et al. 2005

# Problems with SVD

Computational cost scales quadratically for  $n \times m$  matrix:

$O(mn^2)$  flops (when  $n < m$ )

→ Bad for millions of words or documents

Hard to incorporate new words or documents

Different learning regime than other DL models

# Count based vs direct prediction

- LSA, HAL (Lund & Burgess),
- COALS, Hellinger-PCA (Rohde et al, Lebret & Collobert)

- Fast training
- Efficient usage of statistics
- Primarily used to capture word similarity
- Disproportionate importance given to large counts

- Skip-gram/CBOW (Mikolov et al)
- NNLM, HLBL, RNN (Bengio et al; Collobert & Weston; Huang et al; Mnih & Hinton)

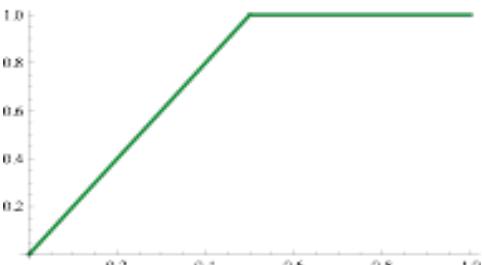
- Scale with corpus size
- Inefficient usage of statistics
- Generate improved performance on other tasks
- Can capture complex patterns beyond word similarity

# Combining the best of both worlds: GloVe

$$J(\theta) = \frac{1}{2} \sum_{i,j=1}^W f(P_{ij})(u_i^T v_j - \log P_{ij})^2$$

- Fast training
- Scalable to huge corpora
- Good performance even with small corpus, and small vectors
- By Pennington, Socher, Manning (2014)

$f \sim$



# What to do with the two sets of vectors?

- We end up with U and V from all the vectors u and v (in columns)
- Both capture similar co-occurrence information. It turns out, the best solution is to simply sum them up:

$$X_{\text{final}} = U + V$$

- One of many hyperparameters explored in *GloVe: Global Vectors for Word Representation*, Pennington et al. (2014)

# Glove results

Nearest words to  
[frog](#):

1. frogs
2. toad
3. litoria
4. leptodactylidae
5. rana
6. lizard
7. eleutherodactylus



[litoria](#)



[leptodactylidae](#)



[rana](#)



[eleutherodactylus](#)

# How to evaluate word vectors?

- Related to general evaluation in NLP: Intrinsic vs extrinsic
- Intrinsic:
  - Evaluation on a specific/intermediate subtask
  - Fast to compute
  - Helps to understand that system
  - Not clear if really helpful unless correlation to real task is established
- Extrinsic:
  - Evaluation on a real task
  - Can take a long time to compute accuracy
  - Unclear if the subsystem is the problem or its interaction or other subsystems
  - If replacing exactly one subsystem with another improves accuracy → Winning!

# Intrinsic word vector evaluation

- Word Vector Analogies

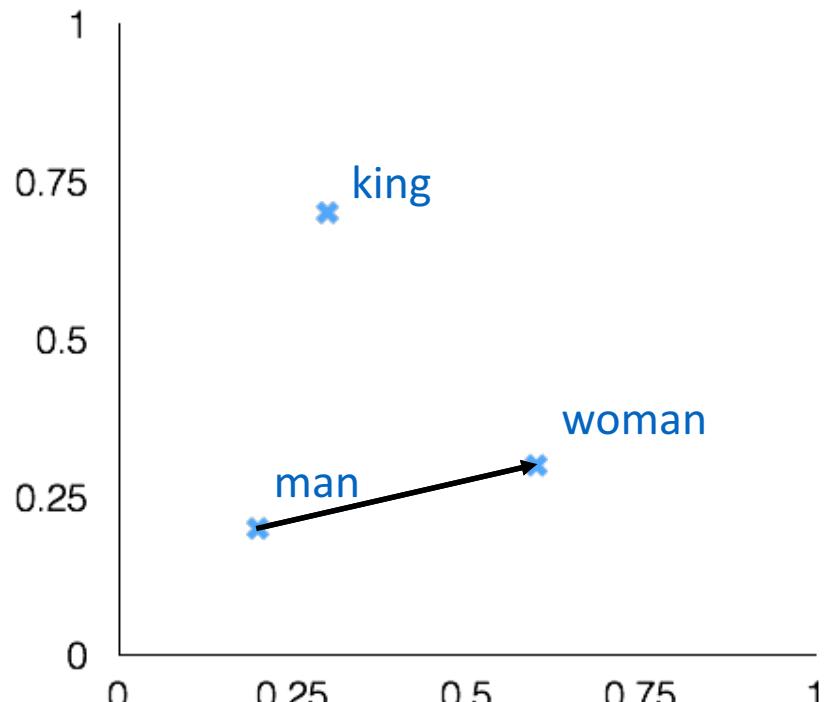
$$\boxed{a:b :: c: ?}$$

man:woman :: king:?

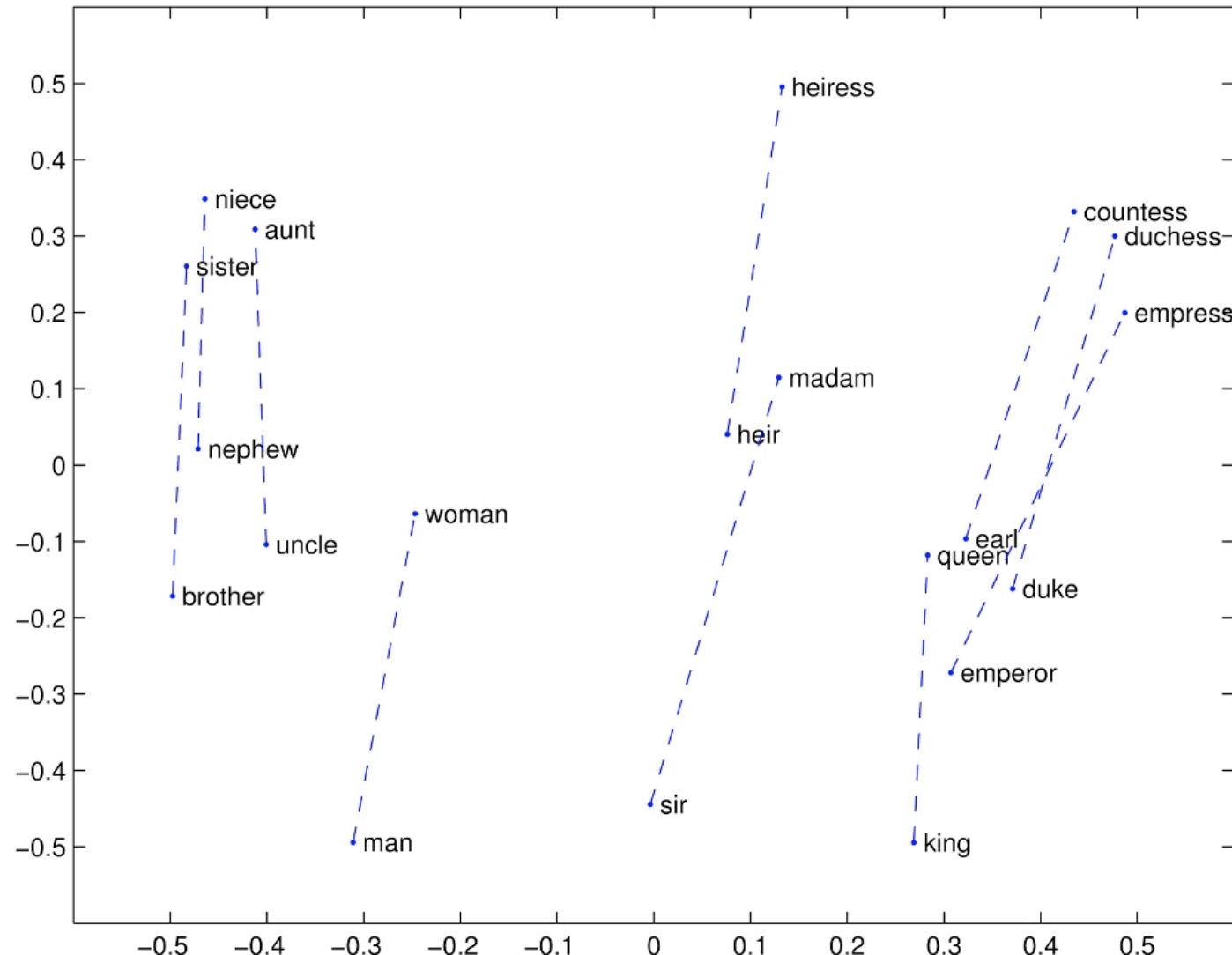


$$d = \arg \max_i \frac{(x_b - x_a + x_c)^T x_i}{\|x_b - x_a + x_c\|}$$

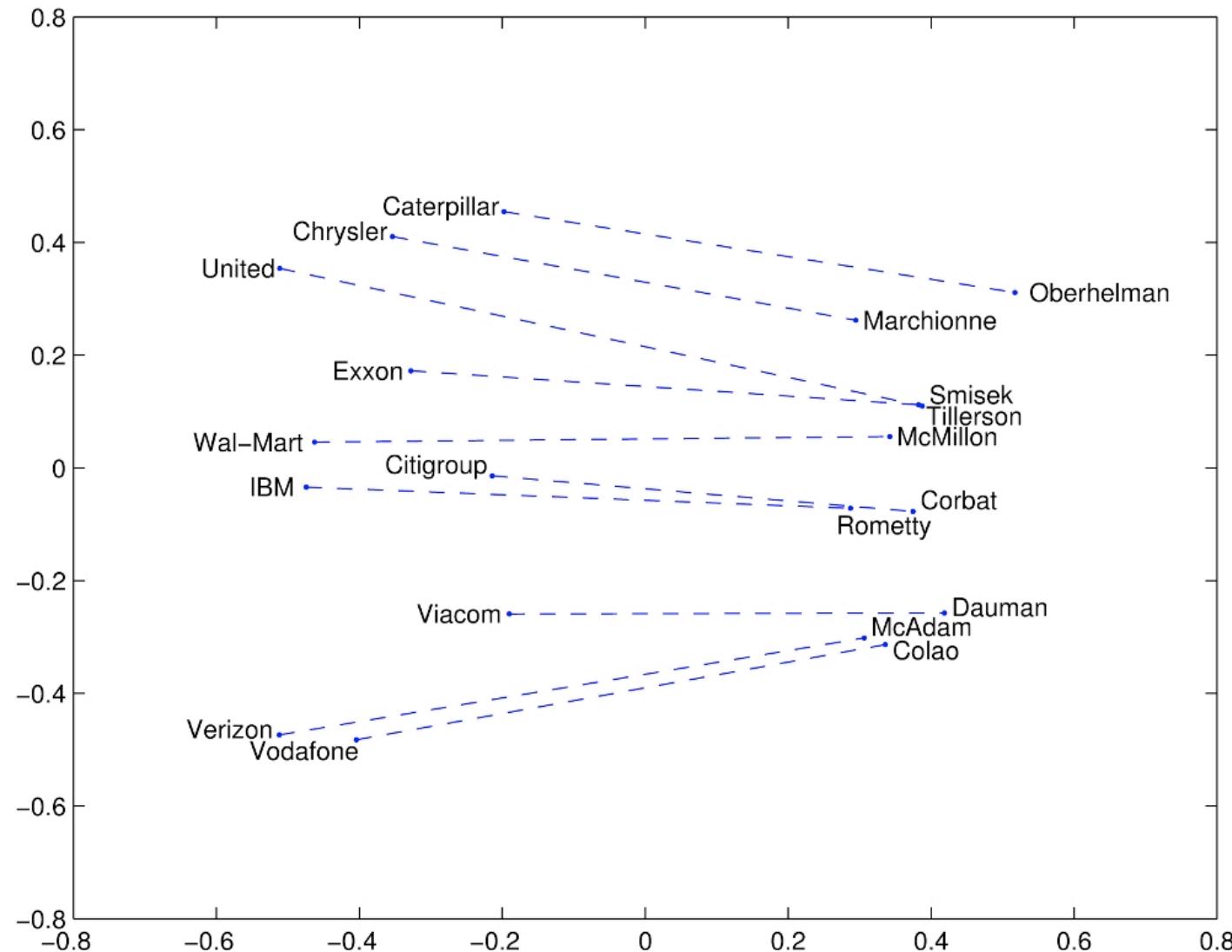
- Evaluate word vectors by how well their cosine distance after addition captures intuitive semantic and syntactic analogy questions
- Discarding the input words from the search!
- Problem: What if the information is there but not linear?



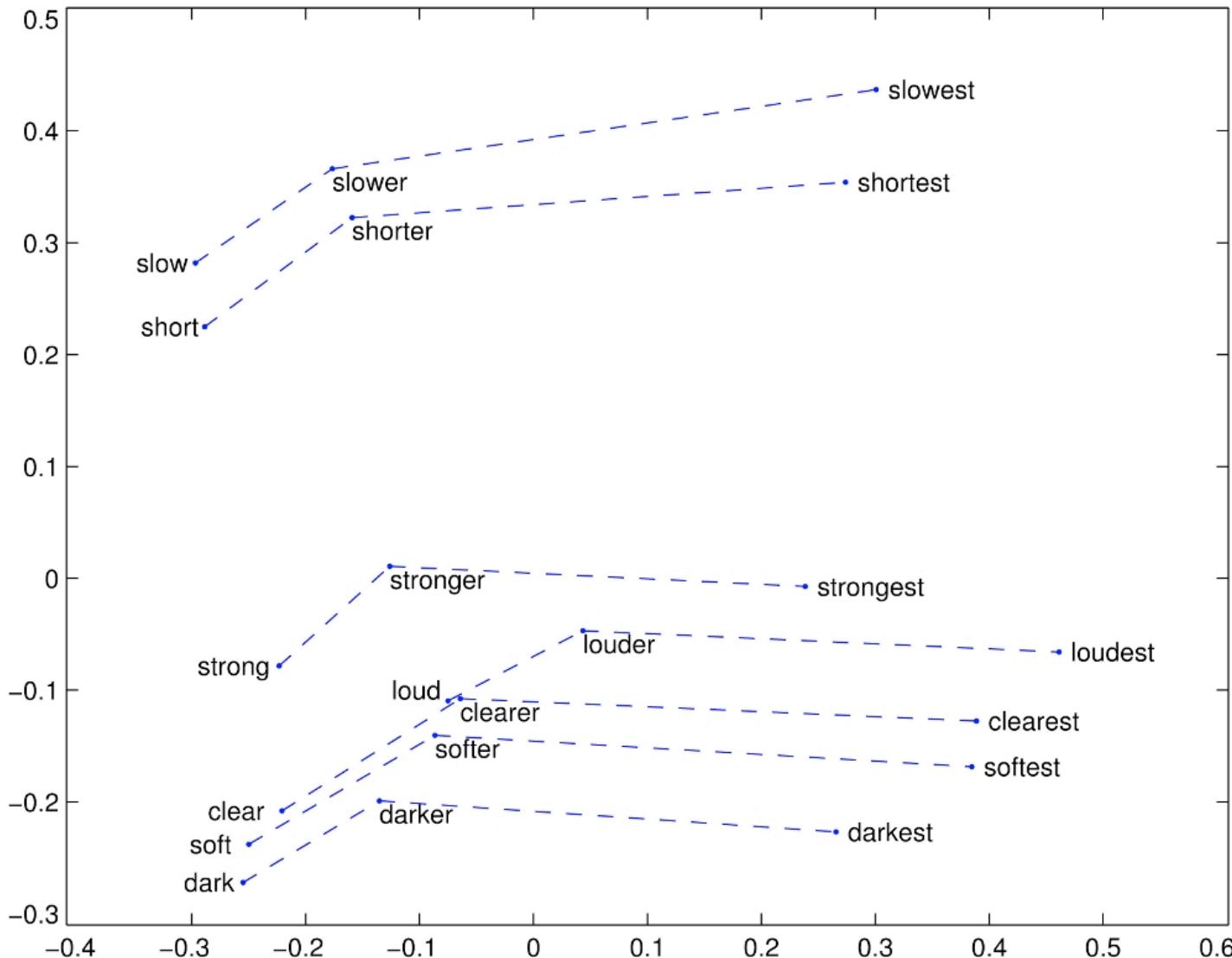
# Glove Visualizations



# Glove Visualizations: Company - CEO



# Glove Visualizations: Superlatives



## Other fun word2vec analogies

<i>Expression</i>	<i>Nearest token</i>
Paris - France + Italy	Rome
bigger - big + cold	colder
sushi - Japan + Germany	bratwurst
Cu - copper + gold	Au
Windows - Microsoft + Google	Android
Montreal Canadiens - Montreal + Toronto	Toronto Maple Leafs

# Details of intrinsic word vector evaluation

- Word Vector Analogies: Syntactic and **Semantic** examples from  
<http://code.google.com/p/word2vec/source/browse/trunk/questions-words.txt>

: city-in-state

Chicago Illinois Houston Texas

Chicago Illinois Philadelphia Pennsylvania

Chicago Illinois Phoenix Arizona

Chicago Illinois Dallas Texas

Chicago Illinois Jacksonville Florida

Chicago Illinois Indianapolis Indiana

Chicago Illinois Austin Texas

Chicago Illinois Detroit Michigan

Chicago Illinois Memphis Tennessee

Chicago Illinois Boston Massachusetts

problem: different cities  
may have same name

# Details of intrinsic word vector evaluation

- Word Vector Analogies: Syntactic and **Semantic** examples from

: capital-world

problem: can change

Abuja Nigeria Accra Ghana

Abuja Nigeria Algiers Algeria

Abuja Nigeria Amman Jordan

Abuja Nigeria Ankara Turkey

Abuja Nigeria Antananarivo Madagascar

Abuja Nigeria Apia Samoa

Abuja Nigeria Ashgabat Turkmenistan

Abuja Nigeria Asmara Eritrea

Abuja Nigeria Astana Kazakhstan

# Details of intrinsic word vector evaluation

- Word Vector Analogies: **Syntactic** and Semantic examples from

: gram4-superlative  
bad worst big biggest  
bad worst bright brightest  
bad worst cold coldest  
bad worst cool coolest  
bad worst dark darkest  
bad worst easy easiest  
bad worst fast fastest  
bad worst good best  
bad worst great greatest

# Details of intrinsic word vector evaluation

- Word Vector Analogies: **Syntactic** and Semantic examples from

: gram7-past-tense

dancing danced decreasing decreased

dancing danced describing described

dancing danced enhancing enhanced

dancing danced falling fell

dancing danced feeding fed

dancing danced flying flew

dancing danced generating generated

dancing danced going went

dancing danced hiding hid

dancing danced hitting hit

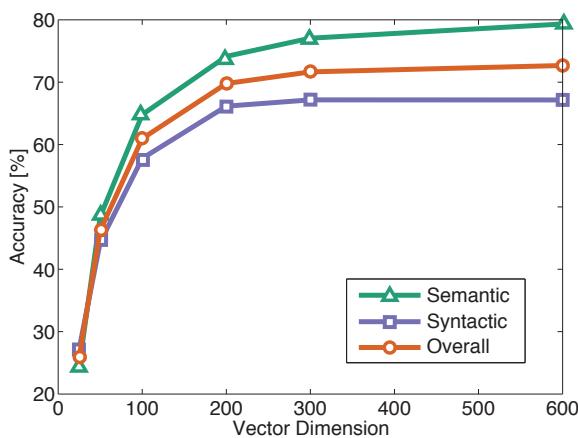
# Analogy evaluation and hyperparameters

- Very careful analysis: Glove word vectors

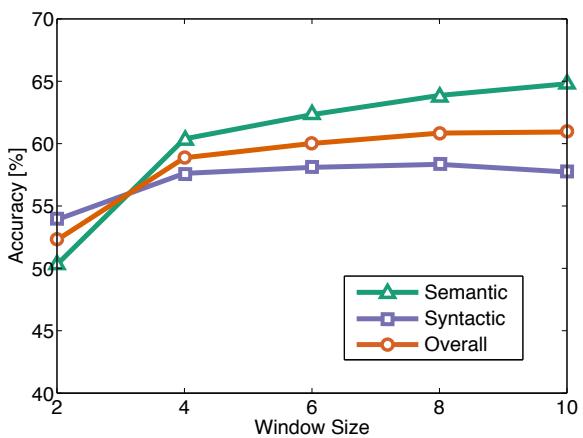
Model	Dim.	Size	Sem.	Syn.	Tot.
ivLBL	100	1.5B	55.9	50.1	53.2
HPCA	100	1.6B	4.2	16.4	10.8
GloVe	100	1.6B	<u>67.5</u>	<u>54.3</u>	<u>60.3</u>
SG	300	1B	61	61	61
CBOW	300	1.6B	16.1	52.6	36.1
vLBL	300	1.5B	54.2	<u>64.8</u>	60.0
ivLBL	300	1.5B	65.2	63.0	64.0
GloVe	300	1.6B	<u>80.8</u>	61.5	<u>70.3</u>
SVD	300	6B	6.3	8.1	7.3
SVD-S	300	6B	36.7	46.6	42.1
SVD-L	300	6B	56.6	63.0	60.1
CBOW <sup>†</sup>	300	6B	63.6	<u>67.4</u>	65.7
SG <sup>†</sup>	300	6B	73.0	66.0	69.1
GloVe	300	6B	<u>77.4</u>	67.0	<u>71.7</u>
CBOW	1000	6B	57.3	68.9	63.7
SG	1000	6B	66.1	65.1	65.6
SVD-L	300	42B	38.4	58.2	49.2
GloVe	300	42B	<u>81.9</u>	<u>69.3</u>	<u>75.0</u>

# Analogy evaluation and hyperparameters

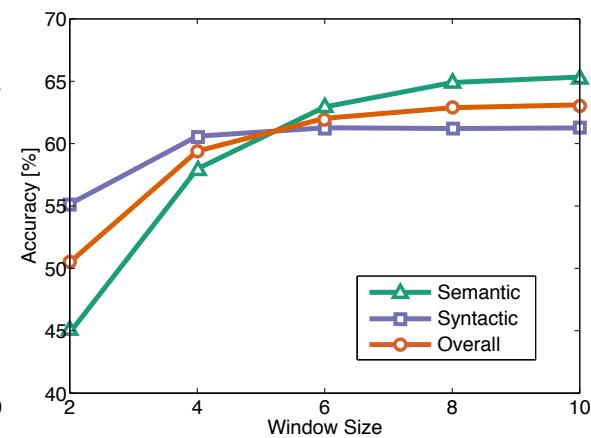
- Asymmetric context (only words to the left) are not as good



(a) Symmetric context



(b) Symmetric context

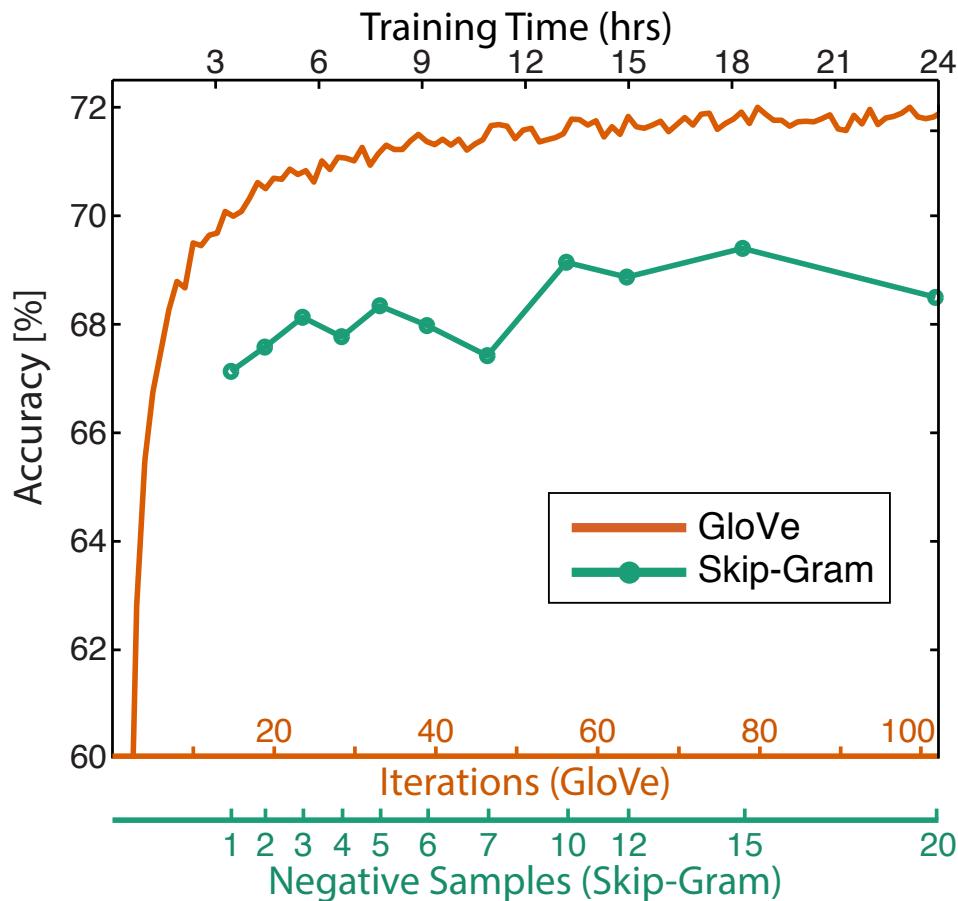


(c) Asymmetric context

- Best dimensions  $\sim 300$ , slight drop-off afterwards
- But this might be different for downstream tasks!
- Window size of 8 around each center word is good for Glove vectors

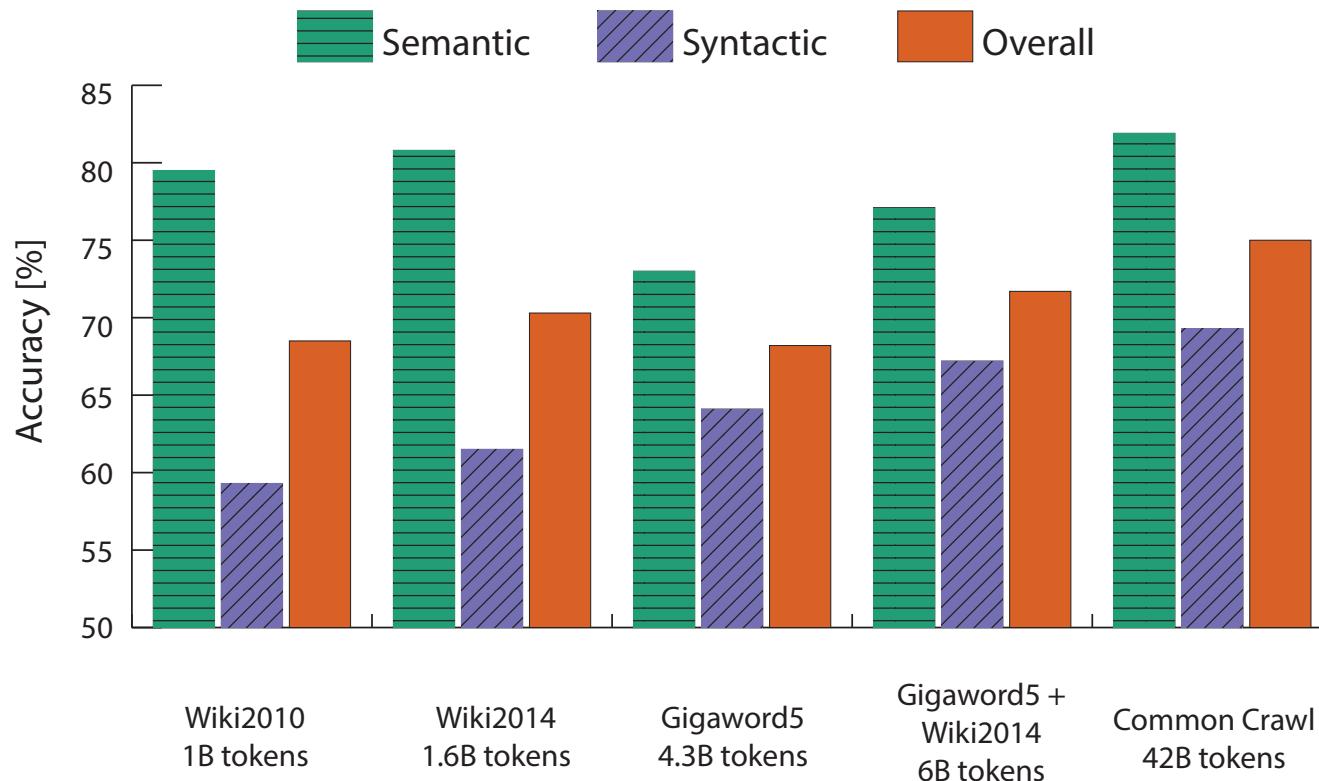
# Analogy evaluation and hyperparameters

- More training time helps



# Analogy evaluation and hyperparameters

- More data helps, Wikipedia is better than news text!



# Another intrinsic word vector evaluation

- Word vector distances and their correlation with human judgments
- Example dataset: WordSim353

<http://www.cs.technion.ac.il/~gabr/resources/data/wordsim353/>

Word 1 Word 2 Human (mean)

tiger cat 7.35

tiger tiger 10.00

book paper 7.46

computer internet 7.58

plane car 5.77

professor doctor 6.62

stock phone 1.62

stock CD 1.31

stock jaguar 0.92

## Closest words to “Sweden” (cosine similarity)

Word	Cosine distance
-----	
norway	0.760124
denmark	0.715460
finland	0.620022
switzerland	0.588132
belgium	0.585835
netherlands	0.574631
iceland	0.562368
estonia	0.547621
slovenia	0.531408

# Correlation evaluation

- Word vector distances and their correlation with human judgments

Model	Size	WS353	MC	RG	SCWS	RW
SVD	6B	35.3	35.1	42.5	38.3	25.6
SVD-S	6B	56.5	71.5	71.0	53.6	34.7
SVD-L	6B	65.7	<u>72.7</u>	75.1	56.5	37.0
CBOW <sup>†</sup>	6B	57.2	65.6	68.2	57.0	32.5
SG <sup>†</sup>	6B	62.8	65.2	69.7	<u>58.1</u>	37.2
GloVe	6B	<u>65.8</u>	<u>72.7</u>	<u>77.8</u>	53.9	<u>38.1</u>
SVD-L	42B	74.0	76.4	74.1	58.3	39.9
GloVe	42B	<b>75.9</b>	<b>83.6</b>	<b>82.9</b>	<b>59.6</b>	<b>47.8</b>
CBOW*	100B	68.4	79.6	75.4	59.4	45.5

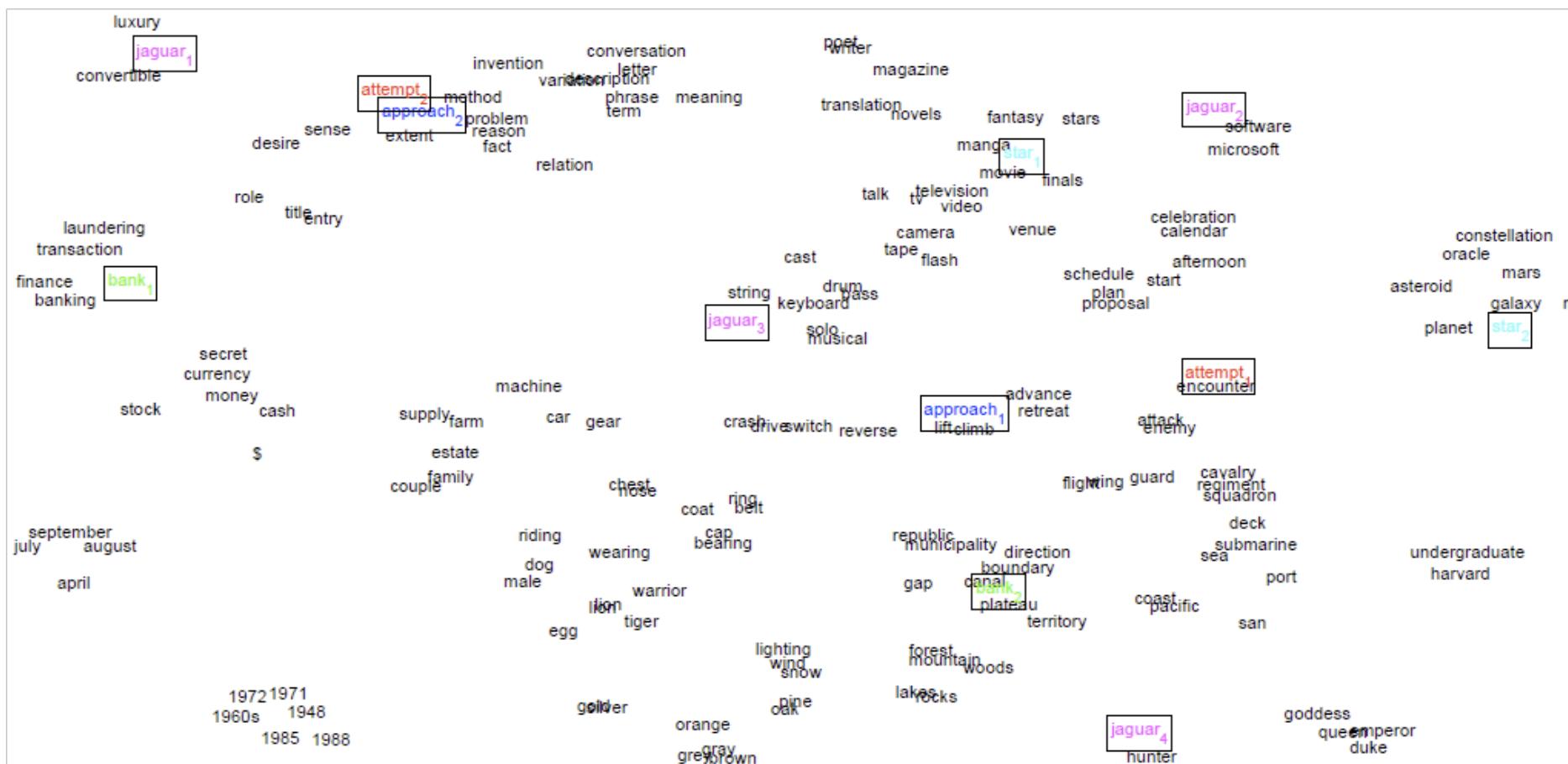
- Some ideas from Glove paper have been shown to improve skip-gram (SG) model also (e.g. sum both vectors)

# But what about ambiguity?

- You may hope that one vector captures both kinds of information (run = verb and noun) but then vector is pulled in different directions
- Alternative described in: *Improving Word Representations Via Global Context And Multiple Word Prototypes* (Huang et al. 2012)
- Idea: Cluster word windows around words, retrain with each word assigned to multiple different clusters  $\text{bank}_1, \text{bank}_2, \text{etc}$

# But what about ambiguity?

- Improving Word Representations Via Global Context And Multiple Word Prototypes (Huang et al. 2012)



# Extrinsic word vector evaluation

- Extrinsic evaluation of word vectors: All subsequent tasks in this class
- One example where good word vectors should help directly: named entity recognition: finding a person, organization or location

Model	Dev	Test	ACE	MUC7
Discrete	91.0	85.4	77.4	73.4
SVD	90.8	85.7	77.3	73.7
SVD-S	91.0	85.5	77.6	74.3
SVD-L	90.5	84.8	73.6	71.5
HPCA	92.6	<b>88.7</b>	81.7	80.7
HSMN	90.5	85.7	78.7	74.7
CW	92.2	87.4	81.7	80.2
CBOW	93.1	88.2	82.2	81.1
GloVe	<b>93.2</b>	88.3	<b>82.9</b>	<b>82.2</b>

- Next: How to use word vectors in neural net models!

# Next level up: Word and Window classification

- Let's add context by taking in windows and classifying the center word of that window (and not just representing it across all windows)!
- Possible: Softmax and cross entropy error or **max-margin loss**
- Next class!