Li, Gen

Name Gen Li Gender Male Date of Birth 1/2/1996

E-mail ligen@wharton.upenn.edu

Education

2016.8-2021.7 Tsinghua University. **Ph.D.** (**Hons.**)

Electronic Engineering Advisor: Yuantao Gu

2012.8-2016.7 Electronic Engineering, Tsinghua University. Bachelor
2013.8-2016.7 Mathematics, Tsinghua University. Bachelor

Work experience

2022.1-now University of Pennsylvania. **Postdoc**

Statistics and Data Science, Wharton School Advisor: Yuxin Chen and Yuting Wei

2021.9-2021.12 Princeton University. Postdoc

Electrical and Computer Engineering Advisor: Yuxin Chen

Research interest

Reinforcement learning, high-dimensional statistics, mathematical optimization, machine learning

Publications

- 1. G. Li, Y. Wei, Y. Chi, Y. Gu, and Y. Chen, "Sample Complexity of Asynchronous Q-Learning: Sharper Analysis and Variance Reduction," IEEE Transactions on Information Theory, 2021
- 2. G. Li, L. Shi, Y. Chen, Y. Gu, and Y. Chi, "Breaking the Sample Complexity Barrier to Regret-Optimal Model-Free Reinforcement Learning," Neural Information Processing Systems (NeurIPS) (Spotlight), December 2021.
- 3. G. Li, Y. Chen, Y. Chi, Y. Gu, and Y. Wei, "Sample-Efficient Reinforcement Learning Is Feasible for Linearly Realizable MDPs with Limited Revisiting," Neural Information Processing Systems (NeurIPS), December 2021.
- 4. G. Li and Y. Gu, "Theory of Spectral Method for Union of Subspaces-Based Random Geometry Graph," International Conference on Machine Learning (ICML), July 2021.
- 5. G. Li, C Cai, Y. Chen, Y. Gu, Y. Wei, and Y. Chi, "Tightening the Dependence on Horizon in the Sample Complexity of Q-Learning," International Conference on Machine Learning (ICML), July 2021.
- 6. G. Li, Y. Wei, Y. Chi, Y. Gu, and Y. Chen, "Softmax Policy Gradient Methods Can Take Exponential Time to Converge," Conference on Learning Theory (COLT), August 2021.
- 7. G. Li, Y. Wei, Y. Chi, Y. Gu, and Y. Chen, "Breaking the Sample Size Barrier in Model-Based Reinforcement Learning with a Generative Model," Neural Information Processing Systems (NeurIPS), December 2020
- 8. G. Li, Y. Wei, Y. Chi, Y. Gu, and Y. Chen, "Sample Complexity of Asynchronous Q-Learning: Sharper Analysis and Variance Reduction," Neural Information Processing Systems (NeurIPS), December 2020

- 9. G. Li, Q. Liu, and Y. Gu, "Rigorous Restricted Isometry Property for Low-Dimensional Subspaces," Applied and Computational Harmonic Analysis, 49(2):608-635, September 2020.
- 10. Y. M. Lu, and G. Li, "Phase transitions of spectral initialization for high-dimensional non-convex estimation," Information and Inference: A Journal of the IMA 9(3), 507-541, September 2020.
- 11. G. Li, X. Xu, and Y. Gu, "Lower Bound for RIP Constants and Concentration of Sum of Top Order Statistics," IEEE Transactions on Signal Processing, 68:3169-3178, April 2020.
- 12. X. Xu, G. Li, and Y. Gu, "Unraveling the Veil of Subspace RIP Through Near-Isometry on Subspaces," IEEE Transactions on Signal Processing, 68:3117-3131, April 2020.
- 13. C. Cai, G. Li, Y. Chi, H. V. Poor, and Y. Chen, "Subspace Estimation from Unbalanced and Incomplete Data Matrices: $\ell_{2,\infty}$ Statistical Guarantees," Annals of Statistics, 2020.
- 14. C. Cai, G. Li, Y. Chi, H. V. Poor, and Y. Chen, "Nonconvex Low-Rank Symmetric Tensor Completion from Noisy Data," Neural Information Processing Systems (NeurIPS), Vancouver, Canada, December 2019.
- 15. G. Li, J. Yan, and Y. Gu, Information Theoretic Lower Bound of Restricted Isometry Property Constant, IEEE International Conference on Acoustics, Speech, and Signal Processing (ICASSP), Brighton, UK, May, 2019.
- 16. G. Li and Y. Gu, "Restricted Isometry Property of Gaussian Random Projection for Finite Set of Subspaces," IEEE Transactions on Signal Processing, 66(7):1705-1720, April 2018.
- 17. L. Meng, G. Li, J. Yan, and Y. Gu, "A General Framework for Understanding Compressed Subspace Clustering Algorithms," IEEE Journal of Selected Topics in Signal Processing, 12(6):1504-1519, December 2018.
- 18. G. Li, J. Yan, and Y. Gu, "Outage Probability Conjecture Does Not Hold for Two-Input-Multiple-Output (TIMO) System," IEEE International Symposium on Information Theory (ISIT), Vail, CO, USA, June 2018.
- 19. J. Wang, G. Li, L. Rencker, W. Wang, and Y. Gu, "An RIP-Based Performance Guarantee of Covariance-Assisted Matching," IEEE Signal Processing Letters, 25(6), 828-832, March 2018.
- 20. Y. Chen, G. Li, and Y. Gu, "Active Orthogonal Matching Pursuit for Sparse Subspace Clustering," IEEE Signal Processing Letters, 25(2):164 168, February 2018.
- 21. G. Li, Y. Jiao, and Y. Gu, "Convergence Analysis on A Fast Iterative Phase Retrieval Algorithm without Independence Assumption," IEEE International Conference on Acoustics, Speech, and Signal Processing (ICASSP), Calgary, Canada, April 2018.
- 22. Y. Jiao, G. Li, and Y, Gu, "Principal Angles Preserving Property of Gaussian Random Projection for Subspaces," IEEE Global Conference on Signal and Information Processing (GlobalSIP), Montreal, Canada, November 2017.
- 23. G. Li and Y. Gu, "Distance-preserving property of random projection for subspaces," IEEE International Conference on Acoustics, Speech, and Signal Processing (ICASSP), New Orleans, USA, March 2017.
- 24. G. Li, Y. Gu, and Y. M. Lu, "Phase Retrieval Using Iterative Projections: Dynamics in the Large Systems Limit," IEEE Allerton Conference on Communications, Control, and Computing, September 2015.