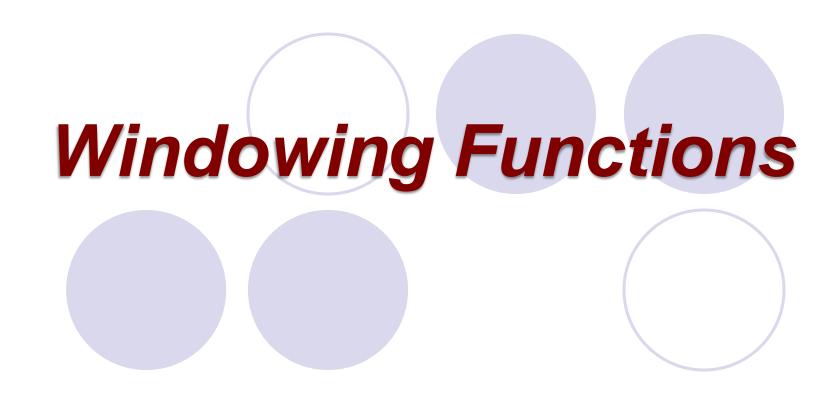
CS108: Advanced Database

Database Programming

Lecture 07: Advanced Query



- A window function is a function that, for each row, computes a scalar result value based on a calculation against a subset of the rows from the underlying query.
- The subset of rows is known as a window and is based on a window descriptor that relates to the current row.
- The syntax for window functions uses a clause called OVER,
 in which we provide the window specification.

- A window function, simply think of the need to perform a calculation against a set and return a single value.
- A classic example would be aggregate calculations such as SUM, COUNT, and AVG, but there are others as well, such as ranking functions.
- Different from grouped queries which will lose the detail, a window function has an OVER clause that defines the set of rows for the function to work with.

- Different from subqueries which start from a fresh view of the data, a window function is applied to a subset of rows from the underlying query's result set not a fresh view of the data.
- Another benefit of window functions is the ability to define order, when applicable, as part of the specification of the calculation, without conflicting with relational aspects of the result set.

The syntax of a window function is

```
<window function> OVER (
   [ PARTITION BY <value expression> [,... n] ]
   [ ORDER BY <order by expression> [ ASC | DESC ][,...n] ]
     { ROWS | RANGE }
     { BETWEEN { UNBOUNDED PRECEDING
                 | <unsigned value> { PRECEDING | FOLLOWING }
                 | CURRENT ROW }
       AND
               I UNBOUNDED PRECEDING
                 | <unsigned value> { PRECEDING | FOLLOWING }
                   CURRENT ROW }
```

Example: RunningTotal

SalesPersonID IS NOT NULL

WHERE

 For example, the following query uses a window aggregate function to compute the running total values for each employee

```
SELECT SalesPersonID, OrderDate, TotalDue,
SUM(TotalDue) OVER (PARTITION BY SalesPersonID

ORDER BY OrderDate
ROWS BETWEEN UNBOUNDED PRECEDING
AND CURRENT ROW) AS RunningTotal
FROM Sales.SalesOrderHeader
```

SalesPersonID	OrderDate	TotalDue	RunningTotal
274 274	2011-07-01 2011-08-01	23130.2957 2297.0332	23130.2957 25427.3289
 274	2014-05-01	2613.7411	1235934.4451
275 	2011-05-31	6893.2549	6893.2549

- The window specification in the OVER clause has three main parts: Partitioning, Ordering, and Framing.
 - An empty OVER() clause exposes to the function a window made of all rows from the underlying query's result set.
- The window partition clause (PARTITION BY) restricts the window to the subset of rows from the underlying query's result set that share the *same values* in the partitioning *columns* as in the current row.

- The window order clause (ORDER BY) defines ordering in the window, but don't confuse this with presentation ordering; the window ordering is what gives meaning to *window framing*.
- After order has been defined in the window, a window frame clause (ROWS BETWEEN <top delimiter> AND <box> delimiter>) filters a frame, or a subset, of rows from the window partition between the two specified delimiters.

Window Framing

- Three key phrases: UNBOUNDED PRECEDING,
 UNBOUNDED FOLLOWING and CURRENT ROW to define a window framing.
- For example, imagine that we have 100 rows in the results and we are viewing the rows from the perspective of row 10

Frame Definition	Rows in Frame for Row 10
ROWS BETWEEN UNBOUNDED PRECEDING AND CURRENT ROW	Rows 1 - 10
ROWS BETWEEN CURRENT ROW AND UNBOUNDED FOLLOWING	Rows 10 - 100
ROWS BETWEEN UNBOUNDED PRECEDING AND UNBOUNDED FOLLOWING	Rows 1 - 100

- UNBOUNDED PRECEDING means every row up to row 10
- UNBOUNDED FOLLOWING means every row greater than row 10

Window Framing

- We can also specify an offset, or the actual number of rows removed from the current row
- For example, imagine that we have 100 rows in the results and we are viewing the rows from the perspective of row 10

Frame Definition	Rows in Frame for Row 10
ROWS BETWEEN 3 PRECEDING AND CURRENT ROW	Rows 7 - 10
ROWS BETWEEN CURRENT ROW AND 5 FOLLOWING	Rows 10 - 15
ROWS BETWEEN UNBOUNDED PRECEDING AND 5 FOLLOWING	Rows 1 - 15
ROWS BETWEEN 3 PRECEDING AND 5 FOLLOWING	Rows 5 - 15

- Note that because the starting point of a window function is the underlying query's result set, and the underlying query's result set is generated only when we reach the SELECT phase, window functions are *allowed* only in the SELECT and ORDER BY clauses of a query.
- If we need to refer to a window function in an earlier logical query processing phase (such as WHERE), we need to use a table expression.

Ranking Window Functions

- Ranking window functions allow us to rank each row in respect to others in several different ways.
 - SQL Server supports four ranking functions: ROW_NUMBER,
 RANK, DENSE_RANK, and NTILE.
- For example:

```
SELECT SalesOrderID, CustomerID, SubTotal,
ROW_NUMBER() OVER(ORDER BY SubTotal) AS RowNum,
RANK() OVER(ORDER BY SubTotal) AS Rank,
DENSE_RANK() OVER(ORDER BY SubTotal) AS DenseRank,
NTILE(100) OVER(ORDER BY SubTotal) AS Bucket

FROM Sales.SalesOrderHeader
WHERE CustomerID BETWEEN 11000 AND 11100
ORDER BY SubTotal
```

SalesOrderID	CustomerID	SubTotal	RowNum	Rank	DenseRank	Bucket
 60531	11091	2.29	 1	 1	1	1
64737	11019	2.29	2	1	1	1
68475	11098	4.99	3	3	2	1
56816	11091	4.99	4	3	2	1
57494	11091	6.28	5	5	3	2
69583	11049	6.28	6	5	3	2
64472	11067	6.28	7	5	3	2
68413	11012	6.28	8	5	3	2
74538	11065	6.28	9	5	3	3
74658	11023	6.28	10	5	3	3
73431	11091	7.28	11	11	4	3
57639	11019	7.28	12	11	4	3
62413	11078	7.28	13	11	4	4
58717	11074	8.99	14	14	5	4
56910	11019	8.99	15	14	5	4
54436	11079	9.99	16	16	6	4
53993	11078	11.94	17	17	7	5
44356	11099	3399.99	303	282	146	99
44220	11060	3399.99	304	282	146	99
44323	11072	3399.99	305	282	146	99
43765	11010	3399.99	306	282	146	100
43701	11003	3399.99	307	282	146	100
45389	11090	3578.27	308	308	147	100

(308 row(s) affected)

Ranking Window Functions

- ROW_NUMBER function assigns incrementing sequential integers to the rows in the result set of a query.
- RANK or DENSE_RANK function produce the same ranking value in all rows that have the same logical ordering value.
 - RANK indicates how many rows have a lower ordering value.
 - DENSE_RANK indicates how many distinct ordering values are lower.
- NTILE function allows us to associate the rows in the result with equally sized groups of rows by assigning a tile number to each row.

Ranking Window Functions

- Ranking functions support window partition clauses.
 - Window partitioning restricts the window to only those rows that share the same values in the partitioning attributes.
- For example,

```
SELECT SalesOrderID, CustomerID, SubTotal,

ROW_NUMBER() OVER(PARTITION BY CustomerID

ORDER BY SubTotal) AS RowNum

FROM Sales.SalesOrderHeader
```

SalesOrderID	CustomerID	SubTotal	RowNum
51522	11000	2341.97	1
57418	11000	2507.03	2
43793	11000	3399.99	3
72773	11001	588.96	1
51493	11001	2419.93	2
43767	11001	3374.99	3

Offset Window Functions

- Offset window functions allow us to return an element from a row that is at a certain offset from the current row or from the beginning or end of a window frame.
- The LAG and LEAD functions support window partition and window order clauses.
 - There's no relevance to window framing here.
 - These functions allow us to obtain an element from a row that is at a certain offset from the current row within the partition, based on the indicated ordering.

The LAG and LEAD Functions

- The LAG and LEAD functions.
 - The LAG function looks before the current row, and the LEAD function looks ahead.
 - The first argument to the functions (which is mandatory) is the element we want to return;
 - The second argument (optional) is the offset (1 if not specified);
 - The third argument (optional) is the default value to return in case there is no row at the requested offset (NULL if not specified).

 For example, the following query uses the LAG function to return the value of the previous customer's order and the LEAD function to return the value of the next customer's order.

```
SELECT SalesOrderID, CustomerID, SubTotal,

LAG(SubTotal) OVER (PARTITION BY CustomerID

ORDER BY OrderDate, SalesOrderID) AS PreSubTotal,

LEAD(SubTotal) OVER (PARTITION BY CustomerID

ORDER BY OrderDate, SalesOrderID) AS NextSubTotal

FROM Sales.SalesOrderHeader
```

SalesOrderID	CustomerID	SubTotal	PreSubTotal	NextSubTotal
43793	11000	3399.99	NULL	2341.97
51522	11000	2341.97	3399.99	2507.03
57418	11000	2507.03	2341.97	NULL
43767	11001	3374.99	NULL	2419.93
51493	11001	2419.93	3374.99	588.96
72773	11001	588.96	2419.93	NULL
43736	11002	3399.99	NULL	2294.99
51238	11002	2294.99	3399.99	2419.06
53237	11002	2419.06	2294.99	NULL

The {FIRST, LAST}_VALUE Functions

- The FIRST_VALUE and LAST_VALUE functions allow us to return an element from the first and last rows in the window frame, respectively.
 - If we want the element from the first row in the window partition, use FIRST_VALUE with the window frame extent ROWS BETWEEN UNBOUNDED PRECEDING AND CURRENT ROW.
 - If we want the element from the *last row* in the window partition,
 use LAST_VALUE with the window frame extent ROWS
 BETWEEN CURRENT ROW AND UNBOUNDED FOLLOWING.

For example

```
SELECT CustomerID, SalesOrderID, SubTotal,

FIRST_VALUE(SubTotal) OVER (PARTITION BY CustomerID

ORDER BY OrderDate, SalesOrderID

ROWS BETWEEN UNBOUNDED PRECEDING

AND CURRENT ROW) AS FirstVal,

LAST_VALUE(SubTotal) OVER (PARTITION BY CustomerID

ORDER BY OrderDate, SalesOrderID

ROWS BETWEEN CURRENT ROW

AND UNBOUNDED FOLLOWING) AS LastVal
```

FROM Sales.SalesOrderHeader

ORDER BY CustomerID, OrderDate, SalesOrderID

CustomerID	SalesOrderID	SubTotal	FirstVal	LastVal
11000	40000			0505.00
11000	43793	3399.99	3399.99	2507.03
11000	51522	2341.97	3399.99	2507.03
11000	57418	2507.03	3399.99	2507.03
11001	43767	3375.99	3375.99	588.96
11001	51493	2419.93	3375.99	588.96
11001	72773	588.96	3375.99	588.96
11002	43736	3399.99	3399.99	2419.06
11002	51238	2294.99	3399.99	2419.06
11002	53237	2419.06	3399.99	2419.06

Aggregate Window Functions

- Window aggregate functions allow us to return summary values like SUM, MAX, COUNT and AVG along with details.
- An OVER clause with empty parentheses exposes a window of all rows
 - SUM(val) OVER() returns the grand total of all values.
- A window partition clause, we expose a restricted window to the function, with only those rows from the underlying query's result set that share the same values
 - SUM(val) OVER(PARTITION BY CustomerID) returns the total values for the current customer.

 For example, the following query return grand total of all order values, as well as the customer total.

```
SELECT CustomerID, SalesOrderID, SubTotal,
        SUM(SubTotal) OVER() AS TotalValue,
        SUM(SubTotal) OVER(PARTITION BY CustomerID) AS CustTotalValue
FROM Sales.SalesOrderHeader
ORDER BY CustomerID, OrderDate
```

CustomerID	SalesOrderID	SubTotal	TotalValue	CustTotalValue
11000	43793	3399.99	109847038.6439	8248.99
11000	51522	2341.97	109847038.6439	8248.99
11000	57418	2507.03	109847038.6439	8248.99
11001	43767	3375.99	109847038.6439	6384.88
11001	51493	2419.93	109847038.6439	6384.88
11001	72773	588.96	109847038.6439	6384.88
11002	43736	3399.99	109847038.6439	8114.04
11002	51238	2294.99	109847038.6439	8114.04
11002	53237	2419.06	109847038.6439	8114.04
11003	43701	3399.99	109847038.6439	8139.29

Aggregate Window Functions

- An advantage of window functions is that by enabling us to return detail elements and aggregate them in the same row
 - They also enable us to write expressions that mix detail and aggregates.
- For example,

```
SELECT CustomerID, SalesOrderID, SubTotal,
   100. * SubTotal / SUM(SubTotal) OVER() AS [% All],
   100. * SubTotal / SUM(SubTotal) OVER(PARTITION BY CustomerID)
                                                         AS [% Cust]
FROM
    Sales.SalesOrderHeader
ORDER BY CustomerID, OrderDate
CustomerID SalesOrderID SubTotal
                               용 All
                                                    % Cust
11000
      43793
                    3399.99 0.003095204060094
                                                    41.217045965627307
11000
                     2341.97 0.002132028344971
                                                    28.390990897067398
          51522
```

Aggregate Window Functions

- Window ordering and framing for aggregate functions allows for more sophisticated calculations such as running and moving aggregates, and others.
- For example,

```
SELECT CustomerID, OrderDate, SubTotal,
SUM(SubTotal) OVER(PARTITION BY CustomerID
ORDER BY OrderDate
ROWS BETWEEN UNBOUNDED PRECEDING
AND CURRENT ROW) AS [RunTotal]
```

FROM Sales.SalesOrderHeader

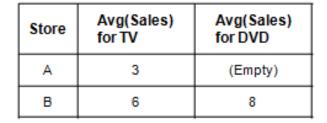
CustomerID	OrderDate	SubTotal	RunTotal
11000	2011-06-21 00:00:00.000	3399.99	3399.99
11000	2013-06-20 00:00:00.000	2341.97	5741.96
11000	2013-10-03 00:00:00.000	2507.03	8248.99
11001	2011-06-17 00:00:00.000	3375.99	3375.99
11001	2013-06-18 00:00:00.000	2419.93	5795.92



Pivoting Data

- Pivoting data involves rotating data from a state of rows to a state of columns, possibly aggregating values along the way.
- A pivoted query displays the values of one column as column headers instead.
- There are two techniques to write pivoted queries : CASE and PIVOT.

Store	Product	Sales
Α	TV	2
Α	TV	4
В	TV	6
В	DVD	8



```
CREATE TABLE Orders (
   OrderID INT NOT NULL,
   OrderDate DATE NOT NULL,
   EmpID INT NOT NULL,
   CustID VARCHAR (5) NOT NULL,
   Qty INT NOT NULL,
   CONSTRAINT PK Orders PRIMARY KEY (OrderID)
);
INSERT INTO Orders(OrderID, OrderDate, EmpID, CustID, Qty)
VALUES
   (30001, 20070802', 3, A', 10),
   (10001, '20071224', 2, 'A', 12),
   (10005, '20071224', 1, 'B', 20),
   (40001, '20080109', 2, 'A', 40),
   (10006, '20080118', 1, 'C', 14),
   (20001, '20080212', 2, 'B', 12),
   (40005, '20090212', 3, 'A', 10),
   (20002, '20090216', 1, 'C', 20),
   (30003, '20090418', 2, 'B', 15),
   (30004, '20070418', 3, 'C', 22),
   (30007, '20090907', 3, 'D', 30);
```

OrderID	OrderDate	EmpID	CustID	Qty
10001	2007-12-24	 2	A	12
10005	2007-12-24	1	В	20
10006	2008-01-18	1	С	14
20001	2008-02-12	2	В	12
20002	2009-02-16	1	С	20
30001	2007-08-02	3	A	10
30003	2009-04-18	2	В	15
30004	2007-04-18	3	С	22
30007	2009-09-07	3	D	30
40001	2008-01-09	2	A	40
40005	2009-02-12	3	A	10

SELECT EmpID, CustID, SUM(Qty) AS SumQty

FROM Orders

GROUP BY EmpID, CustID;

CustID	SumQty	
1	52	
1	20	
3	20	
3	27	
;	34	
;	22	
)	30	
3	3 3 3	

Pivoting Data

```
SELECT EmpID, CustID, SUM(Qty) AS SumQty
FROM Orders
GROUP BY EmpID, CustID;
```

EmpID	CustID	SumQty
2	A	52
3	A	20
1	В	20
2	В	27
1	С	34
3	С	22
3	D	30

- A pivoted query displays the values of one column as column headers instead.
- For example, pivoted view of total quantity per employee (on Rows) and customer (on Columns)

empid	A	В	С	D
1	NULL	20	34	NULL
2	52	27	NULL	NULL
3	20	NULL	22	30

Pivoting Data

- The PIVOT involves the following three logical phases. P1:
 - Grouping, P2: Spreading and P3: Aggregating
 - Grouping: The first phase groups the rows. (implicitly based on all columns that weren't mentioned in PIVOT's inputs)
 - Spreading: PIVOT's second phase (P2) spreads values to their corresponding target columns.
 - Aggregating: PIVOT's third phase (P3) applies the specified aggregate function on top of each spreaded columns, generating the result columns.

Pivoting with Standard SQL

- The standard solution for pivoting handles all three phases involved in a very straightforward manner.
 - Grouping: The grouping phase is achieved with a GROUP BY clause; in this case, GROUP BY EmpID.
 - Spreading: The spreading phase is achieved in the SELECT clause with a CASE expression for each target column.

```
CASE WHEN CustID = 'A' THEN Qty END

CASE WHEN CustID = 'B' THEN Qty END
...
```

 Aggregating: The aggregation phase is achieved by applying the relevant aggregate function (SUM, in this case) to the result of each CASE expression.

Pivoting with Standard SQL

 The standard solution for pivoting handles all three phases involved in a very straightforward manner.

```
SELECT EmpID,

SUM(CASE WHEN CustID = 'A' THEN Qty END) AS A,

SUM(CASE WHEN CustID = 'B' THEN Qty END) AS B,

SUM(CASE WHEN CustID = 'C' THEN Qty END) AS C,

SUM(CASE WHEN CustID = 'D' THEN Qty END) AS D

FROM Orders

GROUP BY EmpID;
```

EmpID	A	В	С	D
1	NULL	20	34	NULL
2	52	27	NULL	NULL
3	20	NULL	22	30

Pivoting with the PIVOT Operator

- The PIVOT operator operates in the context of the FROM clause of a query like other table operators
- It operates on a source table or table expression, pivots the data, and returns a result table.
- The general form of a query with the PIVOT operator is shown as following.

 For example: The aggregate function (SUM), aggregation element (Qty), spreading element (CustID), and the list of target column names (A, B, C, D).

```
SELECT EmpID, A, B, C, D
FROM ( SELECT EmpID, CustID, Qty
        FROM Orders ) AS D
        PIVOT( SUM(Qty) FOR CustID IN (A, B, C, D) ) AS P;
```

- It is important to note that with the PIVOT operator, we do not explicitly specify the grouping elements.
 - The PIVOT operator figures out the grouping elements implicitly as all attributes from the source table (or table expression) that were not specified as either the spreading element or the aggregation element.

```
SELECT EmpID, A, B, C, D
FROM ( SELECT EmpID, CustID, Qty
        FROM Orders ) AS D
        PIVOT( SUM(Qty) FOR CustID IN (A, B, C, D) ) AS P;
```

 Instead of operating directly on the Orders table, the PIVOT operator operates on a derived table called D that includes only the pivoting elements EmpID, CustID, and Qty.

```
SELECT EmpID, A, B, C, D
     Orders PIVOT ( SUM(Qty) FOR CustID IN(A, B, C, D) ) AS P;
FROM
EmpID
          Α
                    В
                               C
                                         D
          12
              \mathbf{NULL}
                               NULL
                                         NULL
                  20
          NULL
                               NULL
                                         NULL
          NULL
               NULL
                               14
                                         NULL
(11 row(s) affected)
```

 The attributes (OrderID, OrderDate, and EmpID) are all considered the grouping elements.

Unpivoting Data

- Unpivoting is a technique to rotate data from a state of columns to a state of rows.
- Usually it involves querying a pivoted state of the data,
 producing from each source row multiple result rows, each
 with a different source column value.

from:

RowID	Col A	Col B	Col C	Col D
Row0	A1	B1	C1	D1
Row1	A2	B2	C2	D2
Row2	A3	В3	C3	D3

to:

RowIDs	ColumnNames	ColumnValues
Row0	ColA	A1
Row0	ColB	B1
Row0	ColC	C1
Row1	ColA	A2
Row1	ColB	B2
Row1	ColC	C2
Row2	ColA	A3
Row2	ColB	B3
Row2	CoIC	C3

```
CREATE TABLE EmpCustOrders
   EmpID INT NOT NULL CONSTRAINT PK EmpCustOrders PRIMARY KEY,
   A VARCHAR (5) NULL,
   B VARCHAR (5) NULL,
   C VARCHAR (5) NULL,
   D VARCHAR (5) NULL
);
INSERT INTO EmpCustOrders(EmpID, A, B, C, D)
SELECT EmpID, A, B, C, D
FROM ( SELECT EmpID, CustID, Qty
        FROM Orders) AS D
        PIVOT( SUM(Qty) FOR CustID IN(A, B, C, D) ) AS P;
```

EmpID	A	В	С	D	
1	NULL	20	34	NULL	
2	52	27	NULL	NULL	
3	20	NULL	22	30	

EmpID	CustID	SumQty
1	В	20
1	С	34
2	A	52
2	В	27
3	С	22
3	D	30
3	A	20

Unpivoting Data

- UNPIVOT is the inverse of PIVOT, rotating data from columns to rows, involving, U1: Generating Copies, U2: Extracting Elements and U3: Removing Rows with NULLs.
 - Generating Copies: The first step (U1) generates copies of the rows from the source table expression as an input
 - Extracting Elements: The second step (U2) extracts the value from the source column corresponding to the unpivoted element that the current copy of the row represents.
 - Removing Rows with NULLs: UNPIVOT's third and final step (U3)
 is to remove rows with NULLs in the result value column

 Generating Copy: The first step in the solution involves producing multiple copies of each source row - one for each column that we need to unpivot. (Using CROSS JOIN)

```
SELECT *
FROM EmpCustOrders
CROSS JOIN (VALUES('A'),('B'),('C'),('D')) AS Custs(CustID);
```

EmpID	A	В	С	D	CustID
1	NULL	20	 34	NULL	A
1	NULL	20	34	NULL	В
1	NULL	20	34	NULL	C
1	NULL	20	34	NULL	D
2	52	27	NULL	NULL	A
2	52	27	NULL	NULL	В
2	52	27	NULL	NULL	C
2	52	27	NULL	NULL	D
3	20	NULL	22	30	A
3	20	NULL	22	30	В
3	20	NULL	22	30	C
3	20	NULL	22	30	D

 Extracting Elements: The second step in the solution is to produce a column that returns the value from the column that corresponds to the customer represented by the current copy.

```
SELECT EmpID, CustID,
                                                              C
        CASE CustID
                                    EmpID
                                                 Α
                                                       В
                                                                           CustID
          WHEN 'A' THEN A
                                                       20
                                                              34
                                                 NULL
                                                                     NULL
                                                                           A
          WHEN
                'B'
                     THEN B
                                                        20
                                                              34
                                                 NULL
                                                                    NULL
          WHEN 'C'
                     THEN C
                                    1
                                                       20
                                                              34
                                                                    NULL
                                                 NULL
                                                 NULL
                                                       20
                                                              34
                                                                     NULL
                                                                           D
          WHEN
                'D'
                     THEN D
                                                 52
                                                        27
                                                              NULL
                                                                    NULL
                                                                           A
        END AS Qty
                                    2
                                                 52
                                                       27
                                                              NULL
                                                                    NULL
                                                                           B
       EmpCustOrders
FROM
                                                 52
                                                       27
                                                              NULL
                                                                    NULL
CROSS
       JOIN (VALUES ('A'),
                                    2
                                                 52
                                                       27
                                                              NULL
                                                                    NULL
                                                                           D
                                    3
                                                              22
                                                                     30
                                                 20
                                                       NULL
                                                                           A
                     ('B'),
                                    3
                                                 20
                                                       NULL
                                                              22
                                                                     30
                     ('C'),
                                                                     30
                                                 20
                                                              22
                                                       NULL
                     ('D'))
                                                              22
                                                 20
                                                       NULL
                                                                     30
                                                                           D
         AS Custs (CustID) :
```

 Extracting Elements: The second step in the solution is to produce a column that returns the value from the column that corresponds to the customer represented by the current copy.

```
SELECT EmpID, CustID,
       CASE CustID
                                  EmpID
                                              CustID
                                                        Qty
          WHEN 'A' THEN A
                                                        NULL
          WHEN 'B' THEN B
                                                        20
          WHEN 'C' THEN C
                                                         34
          WHEN 'D' THEN D
                                                        NULL
                                                        52
       END AS Qty
                                                        27
      EmpCustOrders
FROM
                                                        NULL
CROSS
      JOIN (VALUES ('A'),
                                                        NULL
                                  3
                                                        20
                    ('B'),
                                                        NULL
                    ('C'),
                                                         22
                    ('D'))
                                                         30
        AS Custs (CustID) :
```

 Removing Rows with NULLs: To eliminate irrelevant intersections, define a table expression and filter out NULL marks in the outer query.

```
SELECT *
FROM (SELECT EmpID, CustID,
        CASE CustID
          WHEN 'A' THEN A
                                            EmpID
                                                        CustID
                                                                 Qty
          WHEN 'B' THEN B
          WHEN 'C' THEN C
                                                                  20
          WHEN 'D' THEN D
                                                                  52
        END AS Qty
                                                                  27
            EmpCustOrders
      FROM
                                                                  20
      CROSS JOIN (VALUES ('A'),
                                                                  22
                                                                  30
                          ('B'),
                          ('C'),
                          ('D'))
               AS Custs(CustID)) AS D
WHERE Qty IS NOT NULL;
```

Unpivoting with the UNPIVOT Operator

- Unpivoting data involves producing two result columns from any number of source columns that we unpivot.
- Like the PIVOT operator, UNPIVOT was also implemented as a table operator in the context of the FROM clause.
- The general form of a query with the UNPIVOT operator:

Unpivoting with the UNPIVOT Operator

- Unpivoting data involves producing two result columns from any number of source columns that we unpivot.
- For example

```
SELECT EmpID, CustID, Qty
FROM EmpCustOrders
    UNPIVOT( Qty FOR CustID IN(A, B, C, D) ) AS U;
```

- Note that the UNPIVOT operator implements the same logical processing phases described earlier.
- Also note that unpivoting a pivoted table cannot bring back the original table. Rather, unpivoting is just a rotation of the pivoted values into a new format.

Grouping Sets

- A grouping set is simply a set of attributes by which we group.
 Traditionally in SQL, a single aggregate query defines a single grouping set.
- When added grouping sets to an aggregate query, which allows us to combine different *grouping levels* within one statement.
- Grouping set is equivalent to combining multiple aggregate queries with UNION.

Grouping Sets

 For example, each of the following four queries defines a single grouping set.

```
SELECT EmpID, CustID, SUM(Qty) AS SumQty
FROM Orders GROUP BY EmpID, CustID;

SELECT EmpID, SUM(Qty) AS SumQty
FROM Orders GROUP BY EmpID;

SELECT CustID, SUM(Qty) AS SumQty
FROM Orders GROUP BY CustID;

SELECT SUM(Qty) AS SumQty
FROM Orders;
```

The first query defines the grouping set (EmpID, CustID); the second (EmpID), the third (CustID), and the last query define what's known as the empty grouping set, ().

- Suppose that instead of four separate result sets, we wanted a single unified result set with the aggregated data for all four grouping sets.
- We could achieve this by using the UNION ALL set operation to unify the result sets of all four queries.

SELECT EmpID, CustID, SUM(Qty) AS SumQty	EmpID	CustID	SumQty
FROM Orders GROUP BY EmpID, CustID;			
	2	A	52
UNION ALL	3	A	20
	1	В	20
SELECT EmpID, NULL, SUM(Qty) AS SumQty	2	В	27
FROM Orders GROUP BY EmpID;	1	С	34
	3	С	22
UNION ALL	3	D	30
	1	NULL	54
SELECT NULL, CustID, SUM(Qty) AS SumQty	2	NULL	79
FROM Orders GROUP BY CustID;	3	NULL	72
	NULL	A	72
UNION ALL	NULL	В	47
	NULL	С	56
SELECT NULL, NULL, SUM(Qty) AS SumQty	NULL	D	30
FROM Orders;	NULL	NULL	205

The GROUPING SETS Subclause

- The GROUPING SETS subclause is a powerful enhancement to the GROUP BY clause.
- By using this subclause, we can define multiple grouping sets in the same query.
- For example:

The CUBE Subclause

- The CUBE subclause of the GROUP BY clause provides an abbreviated way to define multiple grouping sets.
- In the parentheses of the CUBE subclause, we provide a list of members separated by commas, and we get all possible grouping sets that can be defined based on the input members.
- For example, CUBE(a, b, c) is equivalent to GROUPING
 SETS((a, b, c), (a, b), (a, c), (b, c), (a), (b), (c), ()).

Example: The CUBE Subclause

```
SELECT EmpID, CustID, SUM(Qty) AS SumQty
FROM Orders
GROUP BY CUBE(EmpID, CustID)
```

EmpID	CustID	SumQty
2	A	52
3	A	20
NULL	A	72
1	В	20
2	В	27
NULL	В	47
1	С	34
3	С	22
NULL	С	56
3	D	30
NULL	D	30
NULL	NULL	205
1	NULL	54
2	NULL	79
3	NULL	72

The ROLLUP Subclause

- The ROLLUP subclause of the GROUP BY clause also provides an abbreviated way to define multiple grouping sets.
- ROLLUP assumes a *hierarchy* among the input members and produces all grouping sets that make sense considering the hierarchy.
- ROLLUP(a, b, c) produces only four grouping sets, assuming the hierarchy a > b > c, and is the equivalent of specifying
 GROUPING SETS((a, b, c), (a, b), (a), ()).

The ROLLUP Subclause

- For example, suppose that we want to return total quantities for all grouping sets that can be defined based on the time hierarchy order year > order month > order day.
- We could use the GROUPING SETS subclause and explicitly list all four possible grouping sets.

```
GROUPING SETS(
   (YEAR(OrderDate), MONTH(OrderDate), DAY(OrderDate)),
   (YEAR(OrderDate), MONTH(OrderDate)),
   (YEAR(OrderDate)),
   (YEAR(OrderDate)),
```

Or

```
YEAR (OrderDate) AS OrderYear,
MONTH (OrderDate) AS OrderMonth,
DAY (OrderDate) AS OrderDay,
SUM (Qty) AS SumQty
FROM Orders
GROUP BY ROLLUP (YEAR (OrderDate), MONTH (OrderDate), DAY (OrderDate));
```

OrderYear	OrderMonth	OrderDay	SumQty
2007	4	18	 22
2007	4	NULL	22
2007	8	2	10
2007	8	NULL	10
2007	12	24	32
2007	12	NULL	32
2007	NULL	NULL	64
2008	1	9	40
2008	1	18	14
2008	1	NULL	54
2008	2	12	12
2008	2	NULL	12
2008	NULL	NULL	66
2009	2	12	10
2009	2	16	20
2009	2	NULL	30
2009	4	18	15
2009	4	NULL	15
2009	9	7	30
2009	9	NULL	30
2009	NULL	NULL	75
NULL	NULL	NULL	205

The GROUPING{,_ID} Functions

- When we have a single query that defines multiple grouping sets, we might need to be able to associate result rows and grouping sets.
 - If a grouping column is defined as NOT NULL then the NULL in those columns can only represent a placeholder, indicating that the column did not participate in the current grouping set.
 - If a grouping column is defined as allowing NULL marks in the table, we cannot tell for sure whether a NULL in the result set originated from the data or is a placeholder for a nonparticipating member in a grouping set.

The GROUPING{,_ID} Functions

- The GROUPING function accepts a name of a column and returns 0 if it is a member of the current grouping set and 1 otherwise.
- The GROUPING_ID function can further simplify the process of associating result rows and grouping sets.
 - For example, GROUPING_ID(a, b, c, d) the function returns an integer bitmap in which each bit represents a different input element the rightmost element represented by the rightmost bit.
 - The grouping set (a, b, c, d) is represented by the integer 0 (0×8 + $0\times4 + 0\times2 + 0\times1$).
 - The grouping set (a, c) is represented by the integer 5 (0×8 + 1×4 + $0\times2 + 1\times1$), and so on.

```
SELECT
    GROUPING_ID(EmpID, CustID) AS GroupingSet,
    GROUPING(EmpID) AS GrpEmp,
    GROUPING(CustID) AS GrpCust,
    EmpID, CustID, SUM(Qty) AS SumQty
FROM Orders
GROUP BY CUBE(EmpID, CustID);
```

GroupingSet	GrpEmp	GrpCust	EmpID	CustID	SumQty
0	0	0	2	 А	52
Ö	Ö	Ŏ	3	A	20
2	1	0	NULL	A	72
0	0	0	1	В	20
0	0	0	2	В	27
2	1	0	NULL	В	47
0	0	0	1	С	34
0	0	0	3	С	22
2	1	0	NULL	С	56
0	0	0	3	D	30
2	1	0	NULL	D	30
3	1	1	NULL	NULL	205
1	0	1	1	NULL	54
1	0	1	2	NULL	79
1	0	1	3	NULL	72

The integer 0 (binary 00) represents the grouping set (EmpID, CustID); the integer 1 (binary 01) represents (EmpID); the integer 2 (binary 10) represents (CustID); and the integer 3 (binary (11) represents ().



Advanced CTE Queries

Common table expressions (CTEs) are defined by using a
 WITH statement and have the following general form.

```
WITH <CTEName> [(<Col1>, <Col2>)]
AS (SELECT <Col3>, <Col4> FROM )
SELECT <Col1>, <Col2>
FROM <CTEName>;
```

- CTEs allow us to isolate part of the query logic or do things we could not ordinarily do, such as use an aggregate expression in an update.
- We can do several things with CTEs that are not possible with derived tables, such as write a recursive query.

Defining Multiple CTEs

- Defining a CTE and then use it gives it several important advantages over derived tables.
- One of those advantages is that if we need to refer to one
 CTE from another, we don't end up nesting them as we do with derived tables.
- Instead, we simply define multiple CTEs separated by commas under the same WITH statement.

Defining Multiple CTEs

 Multiple CTEs can be simply defined by separated by commas under the same WITH statement.

 Because we define a CTE before we use it, we don't end up nesting CTEs. Each CTE appears separately in the code in a modular manner.

```
CREATE TABLE Employee (
   EmployeeID INT NOT NULL,
   ContactID INT NOT NULL,
   ManagerID INT NULL,
   Title NVARCHAR(50) NOT NULL);
CREATE TABLE Contact (
   ContactID INT NOT NULL,
   FirstName NVARCHAR (50) NOT NULL,
   MiddleName NVARCHAR (50) NULL,
   LastName NVARCHAR (50) NOT NULL);
CREATE TABLE JobHistory (
   EmployeeID INT NOT NULL,
   EffDate DATE NOT NULL,
   EffSeq INT NOT NULL,
   EmploymentStatus CHAR(1) NOT NULL,
   JobTitle VARCHAR (50) NOT NULL,
   Salary MONEY NOT NULL,
   ActionDesc VARCHAR (20)
   CONSTRAINT PK JobHistory PRIMARY KEY CLUSTERED (
      EmployeeID, EffDate, EffSeq) );
```

```
INSERT INTO Contact (ContactID, FirstName, MiddleName, LastName) VALUES
    (1030, 'Kevin', 'F', 'Brown'),
    (1009, 'Thierry', 'B', 'DHers'),
    (1028, 'David', 'M', 'Bradley'),
    (1070, 'JoLynn', 'M', 'Dobney'),
    (1071, 'Ruth', 'Ann', 'Ellerbrock'),
    (1005, 'Gail', 'A', 'Erickson'),
    (1076, 'Barry', 'K', 'Johnson'),
    (1006, 'Jossef', 'H', 'Goldberg'),
    (1001, 'Terri', 'Lee', 'Duffy'),
    (1072, 'Sidney', 'M', 'Higa'),
    (1067, 'Taylor', 'R', 'Maxwell'),
    (1073, 'Jeffrey', 'L', 'Ford'),
    (1068, 'Jo', 'A', 'Brown'),
    (1074, 'Doris', 'M', 'Hartwig'),
    (1069, 'John', 'T', 'Campbell'),
    (1075, 'Diane', 'R', 'Glimp'),
    (1129, 'Steven', 'T', 'Selikoff'),
    (1231, 'Peter', 'J', 'Krebs'),
    (1172, 'Stuart', 'V', 'Munson'),
    (1173, 'Greg', 'F', 'Alderson'),
    (1113, 'David', 'N', 'Johnson'),
    (1054, 'Zheng', 'W', 'Mu'),
    (1007, 'Ovidiu', 'V', 'Cracium'),
    (1052, 'James', 'R', 'Hamilton'),
    (1053, 'Andrew', 'R', 'Hill'),
    (1056, 'Jack', 'S', 'Richins'),
    (1058, 'Michael', 'Sean', 'Ray'),
    (1064, 'Lori', 'A', 'Kane'),
    (1287, 'Ken', 'J', 'Sanchez');
```

```
INSERT INTO Employee (EmployeeID, ContactID, ManagerID, Title) VALUES
    (1, 1209, 16, 'Production Technician - WC60'),
    (2, 1030, 6, 'Marketing Assistant'),
    (3, 1002, 12, 'Engineering Manager'),
    (4, 1290, 3, 'Senior Tool Designer'),
    (5, 1009, 263, 'Tool Designer'),
    (6, 1028, 109, 'Marketing Manager'),
    (7, 1070, 21, 'Production Supervisor - WC60'),
    (8, 1071, 185, 'Production Technician - WC10'),
    (9, 1005, 3, 'Design Engineer'),
    (10, 1076, 185, 'Production Technician - WC10'),
    (11, 1006, 3, 'Design Engineer'),
    (12, 1001, 109, 'Vice President of Engineering'),
    (13, 1072, 185, 'Production Technician - WC10'),
    (14, 1067, 21, 'Production Supervisor - WC50'),
    (15, 1073, 185, 'Production Technician - WC10'),
    (16, 1068, 21, 'Production Supervisor - WC60'),
    (17, 1074, 185, 'Production Technician - WC10'),
    (18, 1069, 21, 'Production Supervisor - WC60'),
    (19, 1075, 185, 'Production Technician - WC10'),
    (20, 1129, 173, 'Production Technician - WC30'),
    (21, 1231, 148, 'Production Control Manager'),
    (22, 1172, 197, 'Production Technician - WC45'),
    (23, 1173, 197, 'Production Technician - WC45'),
    (24, 1113, 184, 'Production Technician - WC30'),
    (25, 1054, 21, 'Production Supervisor - WC10'),
    (109, 1287, NULL, 'Chief Executive Officer'),
    (148, 1052, 109, 'Vice President of Production'),
    (173, 1058, 21, 'Production Supervisor - WC30'),
    (184, 1056, 21, 'Production Supervisor - WC30'),
    (185, 1053, 21, 'Production Supervisor - WC10'),
    (197, 1064, 21, 'Production Supervisor - WC45'),
    (263, 1007, 3, 'Senior Tool Designer');
```

- The system contains history information with an effective date and an effective sequence.
- The system adds one row to these tables for each change to the employee's data.
- For a particular effective date, the system can add more than one row along with an incrementing effective sequence.
- To display information valid on a particular date, we first have to figure out the latest effective date before the date in mind and then figure out the effective sequence for that date.

 Multiple CTE Example: Finding employee's name and their manager's name using multiple CTEs

```
WITH
Emp AS (
   SELECT E. EmployeeID, E. ManagerID, E. Title AS EmpTitle,
          C.FirstName + ' ' + C.LastName AS EmpName
   FROM Employee AS E
   JOIN Contact AS E ON E.ContactID = C.ContactID
Mgr AS (
   SELECT E. EmployeeID AS ManagerID, E. Title AS MgrTitle,
          C.FirstName + ' ' + C.LastName AS MgrName
   FROM Employee AS E
   JOIN Contact AS C ON E.ContactID = C.ContactID
SELECT EmployeeID, Emp.ManagerID, EmpName,
       EmpTitle, MgrName, MgrTitle
FROM Emp
JOIN Mgr ON Emp.ManagerID = Mgr.ManagerID
ORDER BY EmployeeID;
```

Multiple CTE Example: Finding employee's name and their manager's name using multiple CTEs

/	EmployeeID	ManagerID	EmpName	EmpTitle	MgrName	MgrTitle
E ₁	2	6	Kevin Brown	Marketing Assistant	David Bradley	Marketing Manager
2	5	263	Thierry DHers	Tool Designer	Ovidiu Cracium	Senior Tool Designer
3	6	109	David Bradley	Marketing Manager	Ken Sanchez	Chief Executive Officer
E 4	7	21	JoLynn Dobney	Production Supervisor - WC60	Peter Krebs	Production Control Manager
5	8	185	Ruth Ellerbrock	Production Technician - WC10	Andrew Hill	Production Supervisor - WC10
)6	10	185	Barry Johnson	Production Technician - WC10	Andrew Hill	Production Supervisor - WC10
_N 7	12	109	Terri Duffy	Vice President of Engineering	Ken Sanchez	Chief Executive Officer
8	13	185	Sidney Higa	Production Technician - WC10	Andrew Hill	Production Supervisor - WC10
9	14	21	Taylor Maxw	Production Supervisor - WC50	Peter Krebs	Production Control Manager
10	15	185	Jeffrey Ford	Production Technician - WC10	Andrew Hill	Production Supervisor - WC10
11	16	21	Jo Brown	Production Supervisor - WC60	Peter Krebs	Production Control Manager
12	17	185	Doris Hartwig	Production Technician - WC10	Andrew Hill	Production Supervisor - WC10
13	18	21	John Campbell	Production Supervisor - WC60	Peter Krebs	Production Control Manager
S 14	19	185	Diane Glimp	Production Technician - WC10	Andrew Hill	Production Supervisor - WC10
15	20	173	Steven Selikoff	Production Technician - WC30	Michael Ray	Production Supervisor - WC30
1 6	21	148	Peter Krebs	Production Control Manager	James Hamilt	Vice President of Production
17	22	197	Stuart Munson	Production Technician - WC45	Lori Kane	Production Supervisor - WC45
C 1Q_		_107	Grea Alderson	Droduction Technician WC/15	Lori Kana	Draduction Supervisor WC15

Joining a CTE to Another CTE

Finding employee who effective date and JobTitle

```
DECLARE @Date DATE = '20090502';
WITH EffectiveDate AS (
   SELECT MAX(EffDate) AS MaxDate, EmployeeID
   FROM JobHistory
   WHERE EffDate <= @Date
   GROUP BY EmployeeID
),
EffectiveSeq AS (
   SELECT MAX(EffSeq) AS MaxSeq, J.EmployeeID, MaxDate
   FROM JobHistory AS J
   JOIN EffectiveDate AS D
     ON J.EffDate = D.MaxDate AND J.EmployeeID = D.EmployeeID
   GROUP BY J.EmployeeID, MaxDate )
SELECT J. EmployeeID, EmploymentStatus, JobTitle, Salary
FROM JobHistory AS J
JOIN EffectiveSeq AS E
  ON J.EmployeeID = E.EmployeeID
      J.EffDate = E.MaxDate AND J.EffSeq = E.MaxSeq;
 AND
```

Example: Finding employee who effective date and JobTitle

EmployeeID	EmploymentStatus	JobTitle	Salary
1000	A	Intern	2000.00
1100	A	Accounts Payable Specialist II	3000.00
1200	T	Design Engineer	5000.00

- The first CTE, EffectiveDate, just determines the maximum
 EffDate from the JobHistory table for each employee.
- The second CTE, EffectiveSeq, joins the JobHistory table to the EffectiveDate CTE to find the maximum EffSeq for each employee.
- Finally, the outer query joins the JobHistory table on the
 EffectiveSeq CTE to display the valid data for each employee.

Recursive CTEs

- A recursive CTE is defined by at least two queries (more are possible) - at least one query known as the anchor member and at least one query known as the recursive member.
- The general form of a basic recursive CTE looks like the following.

Recursive CTEs

- The anchor member is a query that returns a valid relational result table like a query that is used to define a nonrecursive table expression. The anchor member query is invoked *only once*.
- The recursive member is a query that has a reference to the CTE name. The reference to the CTE name represents what is logically the previous result set in a sequence of executions.
- The recursive member is invoked repeatedly until it returns an empty set or exceeds some limit.

 Example: a recursive CTE to return information about an employee (EmployeeID = 185)

```
WITH EmpsCTE AS
   SELECT E.EmployeeID, E.ManagerID, T.FirstName, T.LastName
   FROM Employee AS E
   JOIN Contact AS T ON E.ContactID = T.ContactID
   WHERE EmployeeID = 185
                                                                 Boss
                                           P.ManagrID = E.EmployeeID
   UNION ALL
   SELECT E.EmployeeID, E.ManagerID, T.FirstName, T.LastName
   FROM
         EmpsCTE AS P
                                                        Subordinate
   JOIN Employee AS E ON P.EmployeeID = E.ManagerID
   JOIN Contact AS T ON E.ContactID = T.ContactID
SELECT EmployeeID, ManagerID, FirstName, LastName
FROM
       EmpsCTE;
EmployeeID ManagerID
                     FirstName
                                       LastName
185
           21
                      Andrew
                                       Hill
           185
                      Ruth
                                       Ellerbrock
10
           185
                      Barry
                                       Johnson
13
           185
                      Sidney
                                       Higa
15
                                       Ford
           185
                      Jeffrey
17
           185
                      Doris
                                       Hartwig
19
           185
                      Diane
                                        Glimp
```

Example: a recursive CTE to return information about an employee (EmployeeID = 21)

```
WITH EmpsCTE AS
    SELECT E.EmployeeID, E.ManagerID, T.FirstName, T.LastName
    FROM
            Employee AS E
EmployeeID ManagerID
                                             LastName
                         FirstName
21
            148
                         Peter
                                             Krebs
            21
                                             Dobney
                         JoLynn
                                             Maxwell
14
            21
                         Taylor
16
            21
                         Jo
                                             Brown
18
            21
                         John
                                             Campbell
25
            21
                         Zheng
                                             Mu
185
            21
                                             Hill
                         Andrew
184
            21
                         Jack
                                             Richins
173
            21
                         Michael
                                             Ray
            21
197
                         Lori
                                             Kane
22
            197
                         Stuart
                                             Munson
23
            197
                                             Alderson
                         Grea
20
            173
                                             Selikoff
                         Steven
24
            184
                         David
                                             Johnson
            185
                         Ruth
                                             Ellerbrock
10
            185
                         Barry
                                             Johnson
13
            185
                         Sidney
                                             Higa
15
            185
                         Jeffrey
                                             Ford
17
            185
                         Doris
                                             Hartwig
19
            185
                                             Glimp
                         Diane
```

Example: Generating Numbers

- We can use CTEs to generate numbers
- For example, generating numbers from 0 to 99

```
WITH Numbers AS
(

SELECT 0 AS N

UNION ALL

SELECT N + 1

FROM Numbers

WHERE N < 99
)

SELECT N

FROM Numbers
ORDER BY N
```

For example,generating numbersfrom 0 to 999

Data Manipulation with CTEs

- We can use CTEs when modifying data.
- Example, inserts rows from an AdventureWorks query within the CTE supplying the CustomerID and names.

 Usually when inserting data from a query, the word INSERT is first. When using a CTE, the statement begins with the CTE and then it is used in the outer query as any other table. Example, update with CTE

```
WITH Totals AS
(
    SELECT    CustomerID, SUM(TotalDue) AS CustTotal
    FROM    Sales.SalesOrderHeader
    GROUP BY CustomerID
)
UPDATE C SET Sales = CustTotal
FROM CTEExample AS C
JOIN Totals
    ON C.CustomerID = Totals.CustomerID;
```

Updates the table with an aggregate expression, SUM(TotalDue). It is important to note that it is not possible to update directly with an aggregate, so we must come up with some way to isolate the aggregate query.

Example, delete with CTE

```
WITH Cust AS
(
    SELECT CustomerID, Sales
    FROM CTEExample
)
DELETE Cust
WHERE Sales < 10000;</pre>
```

Not only is it using a CTE to delete some rows, it is actually deleting rows from the CTEExample itself.