

## 0.1 Complex analysis

**Definition 0.1.1.** A polydisc  $D(z, r) \subseteq \mathbb{C}^n$  is  $D(z_1, r_1) \times \cdots \times D(z_n, r_n)$

**Definition 0.1.2** (Wirtinger derivatives).

$$\frac{\partial}{\partial z} = \frac{1}{2} \left( \frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right), \quad \frac{\partial}{\partial \bar{z}} = \frac{1}{2} \left( \frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right)$$

Note.

$$\begin{aligned} \frac{\partial}{\partial z} &= \frac{\partial}{\partial x} \frac{\partial x}{\partial z} + \frac{\partial}{\partial y} \frac{\partial y}{\partial z}, \quad \frac{\partial}{\partial \bar{z}} = \frac{\partial}{\partial x} \frac{\partial x}{\partial \bar{z}} + \frac{\partial}{\partial y} \frac{\partial y}{\partial \bar{z}} \\ dz \wedge d\bar{z} &= -2i dx \wedge dy \end{aligned}$$

**Definition 0.1.3.**  $f : \Omega \rightarrow \mathbb{C}$  is **holomorphic** at  $z_0 \in \Omega$  if  $f'(z)$  exists around  $z_0$ .  $f$  is **univalent** if  $f$  is injective

**Theorem 0.1.4** (Cauchy-Riemann equations). If we write  $z = x + iy$ ,  $f(z) = u(x, y) + iv(x, y)$ , then the existence of  $f'(z)$  implies that  $\frac{\partial f}{\partial x} = \frac{1}{i} \frac{\partial f}{\partial y}$  which give the **Cauchy-Riemann equations**

$$\begin{cases} \frac{\partial u}{\partial x} = \frac{\partial v}{\partial y} \\ \frac{\partial v}{\partial x} = -\frac{\partial u}{\partial y} \end{cases}$$

If  $f$  satisfies Cauchy-Riemann equations around  $z_0$ , then  $f$  is holomorphic at  $z_0$

**Lemma 0.1.5.** A univalent map is a biholomorphism to its image

**Theorem 0.1.6** (Goursat). If  $f$  is holomorphic on  $\Omega \subseteq \mathbb{C}$ ,  $\bar{T} \subseteq \Omega$  is a triangle, then  $\oint_T f(z) dz = 0$

**Theorem 0.1.7** (Cauchy's integral theorem). If  $f$  is holomorphic on  $\Omega \subseteq \mathbb{C}$ ,  $\gamma \subseteq \Omega$  is a piecewise  $C^1$  curve, then  $\oint_\gamma f(z) dz = 0$

**Theorem 0.1.8** (Morera's theorem).  $U \subseteq \mathbb{C}$  is open, if  $\oint_T f(z) dz = 0$  for any triangle  $T \subseteq U$ , then  $f$  is holomorphic on  $D$

Cauchy-Pompeiu formula

**Theorem 0.1.9** (Cauchy-Pompeiu formula).  $f$  is a complex valued  $C^1$  function on a disc  $D \subseteq \mathbb{C}$ , then

$$f(\zeta) = \frac{1}{2\pi i} \int_{\partial D} \frac{f(z) dz}{z - \zeta} - \frac{1}{\pi} \iint_D \frac{\partial f(z)}{\partial \bar{z}} \frac{dx \wedge dy}{z - \zeta}$$

In particular, if  $f$  is holomorphic, then

$$f(\zeta) = \frac{1}{2\pi i} \int_{\partial D} \frac{f(z)}{z - \zeta} dz$$

*Proof.* Denote  $D_\epsilon = D - B(0, \epsilon)$ , consider

$$\eta = \frac{f(w) dw}{w - z}, \quad d\eta = \frac{\partial f(w)}{\partial \bar{w}} \frac{d\bar{w} \wedge dw}{w - z}$$

By Stokes' theorem

$$\frac{1}{2\pi i} \int_{\partial D_\epsilon} \eta = \frac{1}{2\pi i} \int_{D_\epsilon} d\eta$$

As  $\epsilon \searrow 0$

$$f(\zeta) = \frac{1}{2\pi i} \int_{\partial D} \frac{f(w) dw}{w - z} + \frac{1}{2\pi i} \iint_D \frac{\partial f(w)}{\partial \bar{w}} \frac{dw \wedge d\bar{w}}{w - z}$$

□

Osgood's lemma

**Lemma 0.1.10** (Osgood's lemma).  $f$  is continuous on an open subset  $\Omega \subseteq \mathbb{C}^n$  and holomorphic on each variable, then  $f$  is holomorphic

*Proof.* For each  $a \in \Omega$ , pick  $P = D(a, r) \subseteq \Omega$ , since  $\frac{\partial f}{\partial \bar{z}_j} \equiv 0$  on  $\Omega$ , fix  $z_2, \dots, z_n$ , then

$$f(w_1, z_2, \dots, z_n) = \frac{1}{2\pi i} \int_{|z_1 - a_1| = r_1} \frac{f(z_1, \dots, z_n)}{z_1 - w_1} dz_1$$

For  $w_1 \in D(a_1, r_1)$ , iterate and we get

$$f(w_1, \dots, w_n) = \frac{1}{(2\pi i)^n} \int_{|z_1 - a_1| = r_1} \dots \int_{|z_n - a_n| = r_n} \frac{f(z_1, \dots, z_n)}{\prod (z_j - w_j)} dz_1 \dots dz_n$$

For  $w \in P$ . Since  $f$  is continuous, it is bounded on  $\bar{P}$ ,  $\frac{1}{z_j - w_j} = \sum_{m=0}^{\infty} \frac{(w_j - a_j)^m}{(z_j - a_j)^{m+1}}$  converges uniformly on compact subsets of  $D(a_j, r_j)$ . Hence  $f(w) = \sum c_\alpha (w - a)^\alpha$ , where

$$c_\alpha = \frac{1}{(2\pi i)^n} \int_{|z_1 - a_1| = r_1} \dots \int_{|z_n - a_n| = r_n} \frac{f(z)}{\prod (z_j - a_j)^{\alpha_j + 1}} dz_1 \dots dz_n$$

□

**Corollary 0.1.11** (Cauchy inequality).

Maximum principle

**Theorem 0.1.12** (Maximum principle).

**Theorem 0.1.13.**  $\{f_n\}$  are holomorphic on  $\Omega \subseteq \mathbb{C}^n$ ,  $f_n$  are uniformly convergent on each compact subset, then  $f_n$  converges to a holomorphic function  $f$ , and  $D^\alpha f_n \rightarrow D^\alpha f$  on each compact subset

Montel's theorem

**Theorem 0.1.14** (Montel's theorem).  $\mathcal{F} = \{f_n\}$  are holomorphic on  $\Omega \subseteq \mathbb{C}^n$  and locally uniformly bounded, i.e. for any  $z_0 \in \Omega$ , there exists a neighborhood  $U$  and  $M$  such that  $\sup_{z \in K} |f_n| \leq M$ , then  $\mathcal{F}$  is normal

Schwarz lemma

**Lemma 0.1.15** (Schwarz lemma).  $f$  is holomorphic on the unit disc  $D \subseteq \mathbb{C}$ ,  $f(0) = 0$  and  $|f| \leq 1$  on  $D$ , then  $|f(z)| \leq |z|$  and  $|f'(0)| \leq 1$ , if  $|f(z)| = |z|$  for some nonzero  $z$  or  $|f'(0)| = 1$ , then  $f(z) = az$ ,  $a = f'(0)$

*Proof.* Define  $g(z) = \frac{f(z)}{z}$ , since  $f(0) = 0$ , 0 is a removable singularity, since  $|f(z)| \leq 1$ ,  $|g(z)| \leq 1$  on  $\partial D$ , by maximum principle 0.1.12,  $|g(z)| \leq 1$  on  $D$ , thus  $|f(z)| \leq |z|$  on  $D$  and  $|f'(0)| = |g(0)| \leq 1$ , if  $|f(z)| = |z|$  for some nonzero  $z$  or  $|f'(0)| = 1$ , then  $g$  attains maximum within  $D$ , then  $g \equiv a$  for some  $|a| = 1$ , thus  $f(z) = az$  □

**Corollary 0.1.16.**  $D \xrightarrow{f} D$  is a biholomorphic, then  $f = e^{i\phi} \frac{z - a}{1 - \bar{a}z}$  for some  $\phi$  and  $a \in D$

*Proof.* Denote  $\psi_a(z) = \frac{z - a}{1 - \bar{a}z}$ ,  $\psi_{-a}$  is the inverse of  $\psi_a$

Assume  $f(a) = 0$ , consider  $g(z) = f \circ \psi_{-a}$ , then  $g(0) = 0$ , by Schwarz lemma 0.1.15,  $g = e^{i\phi}$ ,  $f = g \circ \phi_a = e^{i\psi} \frac{z - a}{1 - \bar{a}z}$  □

Lemma for Riemann mapping theorem

**Lemma 0.1.17.** Suppose  $0 \in U \subsetneq D$  is a simply connected open set, there exists  $U \xrightarrow{f} D$  univalent such that  $f(0) = 0$ ,  $|f'(0)| > 1$ . Note that this is impossible if  $U = D$  due to Schwarz lemma 0.1.15

*Proof.* Denote  $\psi_a(z) = \frac{z-a}{1-\bar{a}z}$ ,  $\psi'_a(z) = \frac{1-|a|^2}{(1-\bar{a}z)^2}$ . Consider  $f = \psi_{g(a)} \circ g \circ \psi_{-a}$  with some  $\psi_{-a}(U) \xrightarrow{g} D$  univalent, then  $f(0) = 0$

$$f'(0) = \frac{1-|g(a)|^2}{(1-|g(a)|^2)^2} g'(a)(1-|a|^2) = \frac{1-|a|^2}{1-|g(a)|^2} g'(a)$$

Since  $U$  is simply connected, so is  $\psi_{-a}(U)$  given  $-a \in D \setminus U$ , we can take  $g(z) = \sqrt{z}$  to be one branch, since  $|a| < 1$ , we get

$$|f'(0)| = \frac{1-|a|^2}{1-|a|} \frac{1}{2\sqrt{|a|}} = \frac{1+|a|}{2\sqrt{|a|}} > 1$$

□

Lemma for finding zeros

**Lemma 0.1.18.**  $\varphi$  is holomorphic on  $D$ ,  $f$  is meromorphic on  $D$  and  $f \neq 0$  on  $\partial D$ ,  $a_1, \dots, a_m$  and  $b_1, \dots, b_n$  are the zeros and poles of order  $k_1, \dots, k_m$  and  $l_1, \dots, l_n$  of  $f$  in  $D$ , then

$$\frac{1}{2\pi i} \int_{\partial D} \varphi(z) \frac{f'(z)}{f(z)} dz = \sum_{i=1}^m k_i \varphi(a_i) - \sum_{i=1}^n l_i \varphi(b_i)$$

*Proof.*  $f(z) = g(z) \prod_{i=1}^m (z - z_i)^{q_i}$  with  $g \neq 0$  on  $\bar{D}$ ,  $z_i, q_i$  could be  $a_i, k_i$  or  $b_i, -l_i$  depending on whether it is a zero or a pole, hence

$$\begin{aligned} \frac{1}{2\pi i} \int_{\partial D} \varphi(z) \frac{f'(z)}{f(z)} dz &= \frac{1}{2\pi i} \int_{\partial D} \varphi(z) \frac{g'(z) \prod_{i=1}^m (z - z_i) + g(z) \sum_{i=1}^m \prod_{j \neq i} (z - z_j)}{g(z) \prod_{i=1}^m (z - z_i)} dz \\ &= \frac{1}{2\pi i} \int_{\partial D} \left[ \frac{\varphi(z) g'(z)}{g(z)} + \sum_{i=1}^m \frac{\varphi(z)}{z - z_i} \right] dz \\ &= \sum_{i=1}^m k_i \varphi(a_i) - \sum_{i=1}^n l_i \varphi(b_i) \end{aligned}$$

□

Rouché's theorem

**Theorem 0.1.19** (Rouché's theorem).

Hurwitz's theorem

**Theorem 0.1.20** (Hurwitz's theorem).  $U \subseteq \mathbb{C}$  is open connected, holomorphic functions  $\{f_n\}$  converges uniformly to  $f$  on compact subsets of  $U$  and  $f \neq 0$ ,  $f$  has order  $m$  at  $z_0$ , for  $r$  small enough, there exists  $K$  such that for any  $k \geq K$ ,  $f_k$  has precisely  $m$  zeros in  $B(z_0, r)$ , counting multiplicities, and these zeros converge to  $z_0$  as  $k \rightarrow \infty$

**Remark 0.1.21.**  $B(z_0, r)$  can't be arbitrarily large. For example,  $f_n(z) = z - 1 + \frac{1}{n}$  converges uniformly to  $f(z) = z - 1$  on compact subsets,  $f$  has no zeros in the unit disc  $D$ , but  $f_n$  all have zeros in  $D$

*Proof.* For  $r$  small enough,  $f$  doesn't vanish on  $\partial B(z_0, r)$  on which  $|f|$  attains minimum, then apply Rouché's theorem 0.1.19 □

**Corollary 0.1.22.**  $U$  is open connected, univalent maps  $\{f_n\}$  converges to  $f$  on compact subsets, then  $f$  is either univalent or constant

*Proof.* If  $f$  is not a constant and  $f(z_0) = f(w_0) = \zeta$ , then  $f(z) - \zeta$  has  $z_0, w_0$  as zeros, by Hurwitz's theorem 0.1.20, there exist  $\{z_k\}, \{w_k\}$  converging to  $z_0, w_0$  such that  $f_{n_k}(z_k) = f_{n_k}(w_k) = \zeta$ , but  $f_n$ 's are univalent, hence  $z_k = w_k \Rightarrow z_0 = w_0$ , i.e.  $f$  is univalent □

**Theorem 0.1.23** (Riemann mapping theorem).  $U \subsetneq \mathbb{C}$  is a nonempty simply connected open subset,  $z_0 \in U$ , then there is a unique biholomorphism  $f$  from  $U$  to the unit disc such that  $f(z_0) = 0, f'(z_0) > 0$

*Proof of uniqueness.* Suppose  $U \xrightarrow{f_1, f_2} D$  are biholomorphisms such that  $f_i(z_0) = 0, f'_i(z_0) > 0$ , consider  $g = f_2 f_1^{-1}, g(0) = 0, |g| \leq 1$  on  $D$  and  $g'(0) = \frac{f'_2(z_0)}{f'_1(z_0)} > 0$ , by Schwarz lemma 0.1.15,  $g(z) = z$ , i.e.  $f_1 = f_2$   $\square$

*Proof of existence.* Fix  $a \notin U, z_0 \in U$ . Define

$$\mathcal{F} = \{f \text{ univalent on } U \mid |f| \leq 1, f(z_0) = 0\}$$

Since  $U$  is simply connected, we can pick one branch  $h(z) = \sqrt{z - a}$ , then  $h(U) \cap -h(U) = \emptyset$ ,  $\frac{h(z) - h(z_0)}{h(z) + h(z_0)}$  is univalent and bounded, scale to get some  $f_0 \in \mathcal{F} \Rightarrow \mathcal{F}$  is nonempty

Let  $A = \sup_{f \in \mathcal{F}} |f'(z_0)| > 0, f'_n(z_0) \rightarrow A$  for some  $\{f_n\} \subseteq \mathcal{F}$ , by Montel's theorem 0.1.14,  $f_{n_k}$  converges to  $g$  uniformly on compact subsets, then  $|g| \leq 1, g(z_0) = 0$  and  $0 < A = |g'(z_0)| < \infty$ , according to Hurwitz's theorem 0.1.20,  $g$  is also univalent, i.e.  $g \in \mathcal{F}$  attains maximal derivative at  $z_0$

Suppose  $0 \in g(U) \subsetneq D$ , if not, by Lemma 0.1.17, there exists univalent map  $g(U) \xrightarrow{f} D$  such that  $f(0) = 0, |f'(0)| > 1$ , then  $f \circ g \in \mathcal{F}$ , but  $|(f \circ g)'(z_0)| = |f'(0)g'(z_0)| > |g'(z_0)|$  which is a contradiction  $\square$

**Remark 0.1.24.** Suppose  $f_1, f_2 \in \mathcal{F}$  and  $f_1$  is biholomorphic, then  $g = f_2 f_1^{-1}$  is a map  $D \rightarrow D$ , with  $g(0) = 0$ , according to Schwarz lemma 0.1.15,  $\frac{|f'_2(z_0)|}{|f'_1(z_0)|} = |g'(0)| \leq 1$ , and if  $|f'_2(z)| = |f'_1(z)|$ ,  $g = e^{i\phi}$ ,  $f_2$  is also biholomorphic

**Example 0.1.25.**  $U = \mathbb{C} - \{z \geq 0\}$ , then  $h(z) = \sqrt{z}$  maps  $U$  to the upper half plane

**Theorem 0.1.26** (Runge's theorem).  $K \subseteq \mathbb{C}$  is compact, then  $\mathbb{C} \setminus K$  is the union of its connected components whereas the components are either bounded or not, denote

Hartogs's extension theorem

**Theorem 0.1.27** (Hartogs's extension theorem). An isolated singularity is always a removable singularity when  $n \geq 2$

*Proof.* It suffices to consider the case  $P = \{|z_1| \leq 1, |z_2| \leq 1\}$  is a polydisc,  $f$  is holomorphic on  $\partial P$ , then  $f$  is holomorphic on  $P$   $\square$

Lemma for Remmert-Stein theorem

**Lemma 0.1.28.**  $\Omega \subseteq \mathbb{C}^n$  is connected,  $\Omega \xrightarrow{f} \partial B^n$  is holomorphic, then  $f \equiv \text{const}$

*Proof.* If  $h$  is holomorphic, then  $\frac{\partial^2}{\partial z \partial \bar{z}} |h|^2 = |h'|^2$ , hence

$$0 = \frac{\partial^2}{\partial z \partial \bar{z}} |f|^2 = \sum_{i=1}^n \frac{\partial^2}{\partial z \partial \bar{z}} |f_i|^2 = \sum_{i=1}^n |f'_i(z)|^2 \Rightarrow f'_i(z) = 0 \Rightarrow f \equiv \text{const}$$

$\square$

**Theorem 0.1.29** (Remmert-Stein).  $U_1 \subseteq \mathbb{C}^{n_1}, U_2 \subseteq \mathbb{C}^{n_2}$  are nonempty connected open subsets,  $B = \{|z| < 1\} \subseteq \mathbb{C}^n$ , then there is no proper holomorphic map  $U_1 \times U_2 \rightarrow B$

*Proof.* Suppose  $f : U_1 \times U_2 \rightarrow B$  is a proper holomorphic map. For any  $(x, y) \in U_1 \times \partial U_2$ , there is a discrete sequence  $\{y_\nu\} \subseteq U_2$  converging to  $y$  as in Exercise ??, apply Lemma ?? to  $f(x, y) : \{x\} \times U_2 \rightarrow B, \{f(x, y_\nu)\}$  is discrete, thus there exists a subsequence  $\{y_\mu\} \subseteq \{y_\nu\}$  such that  $f(x, y_\mu)$  such that  $f(x, y) = \lim f(x, y_\mu) \in \partial B$ . Then  $f(x, y) : U_1 \times \{y\} \rightarrow \partial B$  is a

holomorphic, by Lemma 0.1.28,  $f(x, y)$  is constant on  $U_1 \times \{y\}$ , hence  $U_1 \times \{y\} \subseteq f^{-1}(f(x, y))$  which is noncompact since it has noncompact image under projection to  $U_1$ . This contradicts the fact that  $f$  is proper  $\square$

**Corollary 0.1.30** (Poincaré). The 2 polydisc  $P = \{|z_1| < 1, |z_2| < 1\}$  and the 2 ball  $B = \{|z_1|^2 + |z_2|^2 < 1\}$  are not biholomorphic

**Theorem 0.1.31** (Weierstrass preparation theorem).  $f$  is analytic near 0,  $f(0) = 0$ ,  $f(z)$  written as power series around 0 has terms only involve  $z_1$  which can always be achieved by a change of variables as in Exercise ??, then  $f = wh$ , where  $w(z) = z_1^k + g_{k-1}z_1^{k-1} + \cdots + g_0$  is a **Weierstrass polynomial**, i.e.  $g_i(z)$  are analytic around 0 and  $g_i(0) = 0$ ,  $h(z)$  is analytic around 0 and  $h(0) \neq 0$

**Theorem 0.1.32** (Weierstrass division theorem). Suppose  $f, g$  are analytic near 0,  $g$  is a Weierstrass polynomial of degree  $k$ , then there exist unique  $h, r$  such that  $f = gh + r$ , where  $r$  is a polynomial of degree less than  $k$

## 0.2 Conformal mapping

**Definition 0.2.1.** A conformal mapping is a map preserves angles and orientation

*Note.* Antiholomorphic map preserves angles but changes orientation

**Definition 0.2.2.** Möbius transformations are  $f(z) = \frac{az+b}{cz+d}$ ,  $\begin{vmatrix} a & b \\ c & d \end{vmatrix} \neq 0$ , Möbius group

acts regularly on  $\mathbb{CP}^1$  and preserves cross ratio  $(z_0, z_1; z_2, z_3) = \frac{(z_2 - z_0)(z_3 - z_1)}{(z_3 - z_0)(z_2 - z_1)}$

Schwarz reflection principle

**Lemma 0.2.3** (Schwarz reflection principle). If  $f$  is holomorphic on  $\{\operatorname{Im} z > 0\}$  and continuous on  $\{\operatorname{Im} z \geq 0\}$  with real values on  $\operatorname{Im} z = 0$ , then it can be extended to  $\mathbb{C}$  with  $f(\bar{z}) = \overline{f(z)}$  for  $\operatorname{Im} z < 0$

### 0.3 Weierstrass functions

**Definition 0.3.1.**  $\Lambda \subseteq \mathbb{C}$  is a lattice. *Weierstrass sigma function* associated to lattice  $\Lambda$  is

$$\sigma(z) = z \prod_{\omega \in \Lambda^*} \left(1 - \frac{z}{\omega}\right) e^{\frac{z}{\omega} + \frac{1}{2}\left(\frac{z}{\omega}\right)^2}$$

*Weierstrass zeta function* is the logarithmic derivative of  $\sigma$

$$\zeta(z) = \frac{\sigma'(z)}{\sigma(z)} = \frac{1}{z} + \sum_{\omega \in \Lambda^*} \frac{1}{z - \omega} + \frac{1}{\omega} + \frac{z}{\omega^2}$$

*Weierstrass eta function* is

$$\eta(w) = \zeta(z + w) - \zeta(z), w \in \Lambda$$

This is independent of choice of  $z$

*Weierstrass elliptic function* is

$$\wp(z) = -\zeta'(z) = \frac{1}{z^2} + \sum_{\omega \in \Lambda^*} \left( \frac{1}{(z + \omega)^2} - \frac{1}{\omega^2} \right)$$

$$\wp'(z) = - \sum_{\omega \in \Lambda} \frac{2}{(z + \omega)^3}$$

## 0.4 Zeta function

**Theorem 0.4.1** (Euler's reflection formula).  $\Gamma(z)\Gamma(1-z) = \frac{\pi}{\sin(\pi z)}$ ,  $z \notin \mathbb{Z}$