MATH620 - Algebraic Number Theory



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1 Disciminant

Definition 1.1. An algebraic number field K is a finite field extension of \mathbb{Q} , thus $K = \mathbb{Q}[\alpha]$ for some algebraic number α , its ring of algebraic integers is denoted \mathbb{O}_K

$$\begin{array}{ccc}
\mathbb{O}_K & \longrightarrow & K \\
\uparrow & & \uparrow \\
\mathbb{Z} & \longrightarrow & \mathbb{O}
\end{array}$$

More generally, if E/F is a finite separable field extension, B,A are their ring of integers

$$\begin{array}{ccc}
B & \longrightarrow & E \\
\uparrow & & \uparrow \\
A & \longrightarrow & F
\end{array}$$

Definition 1.2. The minimal polynomial of α is

$$f(x) = \prod_{i=1}^{n} (x - \alpha_i) = \prod_{i=1}^{r} (x - \alpha_i) \cdot \prod_{j=1}^{s} (x - \alpha_{r+j}) \cdot \prod_{j=1}^{s} (x - \overline{\alpha_{r+j}})$$

 $\alpha=\alpha_1,\cdots,\alpha_r$ are the real roots and $\alpha_{r+1},\alpha_{r+s+1}=\overline{\alpha_{r+1}},\cdots,\alpha_{r+s},\alpha_{r+2s}=\overline{\alpha_{r+s}}$ are the complex roots. Then

$$K \otimes_{\mathbb{Q}} \mathbb{R} = \mathbb{Q}[\alpha] \otimes_{\mathbb{Q}} \mathbb{R} \cong \frac{\mathbb{Q}[x]}{f(x)} \otimes \mathbb{R}$$

$$\cong \frac{\mathbb{R}[x]}{f(x)}$$

$$\cong \prod_{i=1}^{r} \frac{\mathbb{R}[x]}{x - \alpha_{i}} \times \prod_{j=1}^{s} \frac{\mathbb{R}[x]}{(x - \beta_{j})(x - \overline{\beta_{j}})}$$

$$\cong \mathbb{R}^{r} \times \mathbb{C}^{s}$$

 $\alpha \mapsto \alpha_i$ corresponds to all the real embeddings $\sigma_i : K \hookrightarrow \mathbb{R}$. $\alpha \mapsto \alpha_{r+i}$, $\alpha \mapsto \overline{\alpha_{r+i}}$ corresponds all the conjugate complex embeddings σ_{r+i} , $\sigma_{r+s+i} = \overline{\sigma_i} : K \hookrightarrow \mathbb{C}$. $n = [K : \mathbb{Q}] = \deg f = r + 2s$

Note. (r, s) is called the signature of K

Example 1.3. $\mathbb{Q}(\sqrt{5}) \hookrightarrow \mathbb{R} \times \mathbb{R}$ give the two real embeddings. $\mathbb{Q}(\sqrt{-5}) \hookrightarrow \mathbb{C}$ give the two conjugate complex embeddings

Definition 1.4. [E:F]=n, then $B \cong A^n$ as an A module, assume β_1, \dots, β_n is a basis, define

$$D(\beta_1, \dots, \beta_n) = \det(\operatorname{Tr}_{B/A}(\beta_i\beta_i)) \in A$$

The discriminant $\operatorname{disc}(B/A) = D(\beta_1, \dots, \beta_n)$ is well-defined in $A/(A^{\times})^2$. In particular, $\operatorname{disc}(O_K/\mathbb{Z})$ is a well-defined integer

Lemma 1.5. $\gamma_1, \dots, \gamma_n \in \mathcal{O}_K$ is an \mathbb{Z} -basis for \mathcal{O}_K iff $D(\gamma_1, \dots, \gamma_n) = \operatorname{disc}(\mathcal{O}_K/\mathbb{Z})$. More generally, if A is integrally closed and Noetherian, $\gamma_1, \dots, \gamma_n \in B$ is an A-basis of B iff $D(\gamma_1, \dots, \gamma_n) = \operatorname{disc}(B/A)$

Proof. Write $\gamma_i = \sum c_{ji}\beta_j$, then $\det(\operatorname{Tr}(\gamma_i\gamma_j)) = (\det C)^2 \operatorname{disc}(\mathbb{O}_K/\mathbb{Z})$. Thus $D(\gamma_1, \dots, \gamma_n) = \operatorname{disc}(\mathbb{O}_K/\mathbb{Z}) \Leftrightarrow \det C = \pm 1 \Leftrightarrow C \in \operatorname{GL}_n(\mathbb{Z}) \Leftrightarrow \gamma_1, \dots, \gamma_n \text{ is an } \mathbb{Z}\text{-basis}$

Example 1.6. $K = \mathbb{Q}(\sqrt{d}), d$ is square free. \mathcal{O}_K has $\{1, \sqrt{d}\}$ as an \mathbb{Z} -basis if $d \equiv 2, 3 \mod 4$

$$\operatorname{disc}(\mathbb{O}_K/\mathbb{Z}) = \operatorname{det} \operatorname{Tr}_{\mathbb{O}_K/\mathbb{Z}} \begin{pmatrix} 1 & \sqrt{d} \\ \sqrt{d} & d \end{pmatrix} = \operatorname{det} \begin{pmatrix} 2 & 0 \\ 0 & 2d \end{pmatrix} = 4d$$

 \mathcal{O}_K has $\{1, \frac{1+\sqrt{d}}{2}\}$ as an \mathbb{Z} -basis if $d \equiv 1 \mod 4$

$$disc(\mathcal{O}_K/\mathbb{Z}) = \det Tr_{\mathcal{O}_K/\mathbb{Z}} \begin{pmatrix} 1 & \frac{1+\sqrt{d}}{2} \\ \frac{1+\sqrt{d}}{2} & \frac{1+2\sqrt{d}+d}{4} \end{pmatrix} = \det \begin{pmatrix} 2 & 1 \\ 1 & \frac{2+2d}{4} \end{pmatrix} = d$$

Therefore 7 can never be a discriminant

Proposition 1.7. $\gamma_1, \cdots, \gamma_n \in \mathcal{O}_K$, $N = \mathbb{Z}\gamma_1 + \cdots + \mathbb{Z}\gamma_n \leq \mathcal{O}_K$ has finite index in \mathcal{O}_K iff $D(\gamma_1, \cdots, \gamma_n) \neq 0$, $D(\gamma_1, \cdots, \gamma_n) = [\mathcal{O}_K : N]^2 \operatorname{disc}(\mathcal{O}_K/\mathbb{Z})$

Proof. Suppose β_1, \dots, β_n is an \mathbb{Z} -basis, $D(\beta - 1, \dots, \beta_n) = \operatorname{disc}(O_K/\mathbb{Z}), \ \gamma_i = \sum c_{ji}\beta_j, \ \det C = [O_K : N]$

Proposition 1.8. If $D(\gamma_1, \dots, \gamma_n)$ is square free, then $\gamma_1, \dots, \gamma_n$ is an \mathbb{Z} -basis

Example 1.9. $K = \mathbb{Q}(\alpha)$, α is a root of irreducible polynomial $x^3 - x - 1$, $D(1, \alpha, \alpha^2) = -23$ which is square free, hence $\mathcal{O}_K = \mathbb{Z} + \mathbb{Z}\alpha + \mathbb{Z}\alpha^2 = \mathbb{Z}[\alpha]$

Proposition 1.10. [E:F]=n is separable, Ω is the Galois closure of E, $\operatorname{Hom}_F(E,\Omega)=\{\sigma_1,\cdots,\sigma_n\}$ are distinct F-embeddings of E

$$\begin{array}{ccc}
B & \longrightarrow & E \\
\uparrow & & \uparrow \\
A & \longrightarrow & F
\end{array}$$

If β_1, \dots, β_n is an F-basis of E, then $D(\beta_1, \dots, \beta_n) = \det(\sigma_i(\beta_i))^2 \neq 0$

Proof. Deonte $Q = \sigma_i(\beta_i)$, then

$$\begin{split} D(\beta_1, \cdots, \beta_n) &= \det(\mathrm{Tr}_{E/F}(\beta_i \beta_j)) \\ &= \det(\sum \sigma_k(\beta_i \beta_j)) \\ &= \det(\sum \sigma_k(\beta_i) \sigma_k(\beta_j)) \\ &= \det(Q^T Q) \\ &= \det(\sigma_i(\beta_j))^2 \\ &\stackrel{\mathrm{Theorem \ 1.11}}{\neq} 0 \end{split}$$

Dedekind's theorem

Theorem 1.11 (Dedekind's theorem). G is group, Ω is a field, $\sigma_1, \dots, \sigma_n$ are distinct homomorphisms $G \to \Omega^{\times}$, then σ_i 's are linear independent over Ω

Definition 1.12. Assume A, B are integrally closed in $F, E, \beta_1, \dots, \beta_n \in B$ is an F-basis of E, $C = A\beta_1 + \dots + A\beta_n \leq B$, $C^* = \{\beta \in E | \operatorname{Tr}_{E/F}(\beta\beta_i) \in A\}$, $\beta \in B \Rightarrow \beta\beta_i \in B \Rightarrow \operatorname{Tr}(\beta\beta_i) \in A \Rightarrow C \leq B \leq C^*$, $C^* = A\beta_1' + \dots + A\beta_n'$, β_1' , \dots , β_n' is a dual basis. For $\alpha \in E$, $\alpha = \sum \operatorname{Tr}_{E/F}(\alpha\beta_i)\beta_i'$

$$\begin{array}{ccc}
B & \longrightarrow & E \\
\uparrow & & \uparrow \\
A & \longrightarrow & F
\end{array}$$

Example of dual basis

Example 1.13. $E = F(\beta), f \in A[x]$ is the minimal polynomial of $\beta \in B$, $\deg f = n$, $C = A[\beta] \leq B$, Euler discovered

$$\operatorname{Tr}_{E/F}(eta^i/f'(eta)) = egin{cases} 0 & 0 \leq i \leq n-1 \ 1 & i = n-1 \end{cases}$$
 , $\operatorname{det} \operatorname{Tr}_{E/F}(rac{eta^i eta^j}{f'(eta)}) = (-1)^n$

 $\frac{\beta^{n-1-i}}{f'(\beta)}$ is the dual basis of β^i

Proposition 1.14. In Example 1.13, suppose $f(x) = \prod_{i=1}^n (x - \beta_i) \in \bar{E}[x]$, $f'(x) = \sum_{i=1}^n \prod_{j \neq i} (x - \beta_j)$. Then

$$D(1,\beta,\cdots,\beta^{n-1}) = \prod_{1 \le i < j \le n} (\beta_i - \beta_j)^2 = (-1)^{\frac{n(n-1)}{2}} = N_{E/F}(f'(\beta))$$

Proof.

$$\begin{split} D(1,\beta,\cdots,\beta^{n-1}) &= \det(\sigma_i(\beta^j))^2 \\ &= \det(\beta^j_i)^2 \\ &= \prod_{1 \le i < j \le n} (\beta_i - \beta_j)^2 \\ &= (-1)^{\frac{n(n-1)}{2}} \prod_i \prod_{j \ne i} (\beta_i - \beta_j) \\ &= (-1)^{\frac{n(n-1)}{2}} \prod_i f'(\beta_i) \\ &= (-1)^{\frac{n(n-1)}{2}} N(f'(\beta)) \end{split}$$

Remark 1.15. $\Delta = \prod_{1 \le i \le j} (\beta_i - \beta_j)^2$ is the determinant $\operatorname{disc}(f) = \operatorname{disc}(E/F)$

Lemma 1.16.
$$f(x) = x^n + ax + b$$
, $\operatorname{disc}(f) = (-1)^{\frac{n(n-1)}{2}} (n^n b^{n-1} + (-1)^n (n-1)^{n-1} a^n)$

Example 1.17. $K = \mathbb{Q}(\beta)$, β is a root of $f(x) = x^5 - x - 1 \in \mathbb{Z}[x]$, $disc(f) = 2869 = 19 \times 151$ is square free, hence $[\mathcal{O}_K : \mathbb{Z}[\beta]] = 1$, $\mathcal{O}_K = \mathbb{Z}[\beta]$

Proposition 1.18.

- (1) $K = \mathbb{Q}(\alpha)$, $\operatorname{sgn} \operatorname{disc}(K/\mathbb{Q}) = (-1)^s$
- (2) (Stickelberger) $\operatorname{disc}(\mathbb{O}_K/\mathbb{Z}) \equiv 0, 1 \mod 4$

Proof.

- (1) $1, \alpha, \dots, \alpha^n$ is a basis for K, since $\operatorname{disc}(K/\mathbb{Q}) \in \mathbb{Q}^\times/(\mathbb{Q}^\times)^2 \operatorname{sgn} D(1, \alpha, \dots, \alpha^n) = \operatorname{sgn} \det(\sigma_i(\alpha^i))^2 = \operatorname{sgn} \prod_{1 \le i \le s} (\alpha_i \alpha_i)^2 = \operatorname{sgn} \prod_{1 \le i \le s} (\alpha_{r+i} \bar{\alpha}_{r+i})^2 = (-1)^s$
- (2) β_1, \dots, β_n is an \mathbb{Z} -basis of \mathbb{O}_K , $\operatorname{disc}(\mathbb{O}_K/\mathbb{Z}) = \operatorname{det}(\sigma_i(\beta^i))^2$, $\operatorname{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ acts on $\operatorname{Hom}(K, \overline{\mathbb{Q}})$, $K \overset{\sigma}{\hookrightarrow} \overline{\mathbb{Q}} \overset{\tau}{\to} \overline{\mathbb{Q}}$. $\operatorname{det} A = \sum_{\tau \in S_n} \operatorname{sgn}(\tau) \prod_{i=1}^n a_{i\tau(i)} = P N$, P for those $\tau \in A_n$, N for those aren't, so $\operatorname{disc}(\mathbb{O}_K/\mathbb{Z}) = (P N)^2 = (P + N)^2 4PN$, $\eta \in \operatorname{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ induce a permutation π_η on $\operatorname{Hom}(K, \overline{\mathbb{Q}})$, if π_η is even, $\pi_\eta(P) = P$, $\pi_\eta(N) = N$, if π_η is odd, then π_η swich P, P, and P + N, PN are integral over \mathbb{Z} , thus P + N, $PN \in \mathbb{Z}$, hence $\operatorname{disc}(\mathbb{O}_K/\mathbb{Z}) \equiv 0$, 1 mod 4

Definition 1.19. For any nonzero ideal $I \leq \mathcal{O}_K$, since $I \cap \mathbb{Z} = m\mathbb{Z}$, $\mathcal{O}_K/m\mathcal{O}_K \cong (\mathbb{Z}/m\mathbb{Z})^m \to \mathcal{O}_K/I$ is surjective, hence the *norm* $N(I) = |\mathcal{O}_K/I| < \infty$. The *Dedekind zeta function* of an algebraic number field is $\zeta_K(s) = \sum_{I \neq 0} \frac{1}{N(I)^s} = \prod_p \frac{1}{1 - N(p)^{-s}}$

2 Minkowski's theorem

Definition 2.1. $L = \mathbb{Z}v_1 + \cdots + \mathbb{Z}v_n \leq \mathbb{R}^n$ is a lattice, $D = \{c_1v_1 + \cdots + c_nv_n | 0 \leq c_i \leq 1\}$, the $covolume \ covol(L) = vol(\mathbb{R}^n/L) = vol(D), \ \pi: D \to \mathbb{R}^n/L$ is the quotient map, $covol(L)^2 = det(\langle v_i, v_j \rangle)$, $covol(L) = det(v_1, \cdots, v_n)$

Theorem 2.2. If $B \subseteq \mathbb{R}^n$ is convex, symmetric (i.e. B = -B) subset such that either

- 1. $vol(B) > 2^n covol(L)$ or
- 2. $vol(B) \ge 2^n \operatorname{covol}(L)$ and B is compact

Then $(B \cap L) \setminus \{0\} \neq \emptyset$

Proof.

- 1. $B \to \mathbb{R}^{2n} \to \mathbb{R}^n/2L$ is not injective by comparing volume, there exist $x \neq y \in B$ such that $x y \in 2L$, hence $0 \neq \frac{1}{2}(x y) \in B \cap L$ since B is convex and symmetric
- 2. By 1, $C_k = L \cap (1 + \frac{1}{k})B \setminus \{0\} \neq \emptyset$, C_k is discrete and closed, $\bigcap_{k=1}^{\infty} C_k \neq \emptyset$, containing a limit point of B, but B is closed

Proposition 2.3. $D_K = \operatorname{disc}(O_K/\mathbb{Z}) \in \mathbb{Z}$

1. $\mathcal{O}_K \hookrightarrow K_{\mathbb{R}}$ is a lattice

2. covol(O_K) = $2^{-s}\sqrt{|D_K|}$

3. If I is an ideal of \mathcal{O}_K , $\operatorname{covol}(I) = [\mathcal{O}_K : I] \sqrt{|D_K|}$ (union of all members in the coset)

Proof.

1. \mathcal{O}_K is an additive group, only need to show $\mathcal{O}_K \cap B_r$ is finite. Let $f(t) = \prod (t - \sigma(x))$ be the minimal polynomial of $x \in \mathcal{O}_K \cap B_r$, which has \mathbb{Z} coefficients and of degree no more than $[K:\mathbb{Q}]$, σ are all the possible complex embeddings of $\mathbb{Q}[x]$ which is a subset of $\{\sigma_1, \dots, \sigma_n\}$, thus

$$\sum |\sigma_i(x)|^2 \le r \Rightarrow \sum |\sigma_i\sigma(x)|^2 = \sum |\sigma_i(x)|^2 \le r$$

Hence the coefficients of f are bounded, there are only finitely many choices of f

2. β_1, \dots, β_n is a \mathbb{Z} -basis for \mathcal{O}_K , the coordinate of β_i in $K_{\mathbb{R}}$ would be

$$(\sigma_1(\beta_i), \cdots, \sigma_r(\beta_i), \operatorname{Re} \sigma_{r+1}(\beta_i), \operatorname{Im} \sigma_{r+1}(\beta_i), \cdots, \operatorname{Re} \sigma_{r+1}(\beta_i), \operatorname{Im} \sigma_{r+1}(\beta_i))^T$$

With easy elementary row operations we have

$$\det(\beta_1,\cdots,\beta_n)=\left(\frac{i}{2}\right)^s\det(w_1,\cdots,w_n)$$

where $w_i \in K_{\mathbb{R}}$ has coordinate

$$(\sigma_1(\beta_i), \cdots, \sigma_r(\beta_i), \sigma_{r+1}(\beta_i), \overline{\sigma_{r+1}(\beta_i)}, \cdots, \sigma_{r+1}(\beta_i), \overline{\sigma_{r+1}(\beta_i)})^T$$

which is exactly $(\sigma_1(\beta_i), \dots, \sigma_n(\beta_i))$. Therefore $\operatorname{covol}(\mathcal{O}_K)^2 = \left(\frac{i}{2}\right)^{2s} \det(M^T M)$, $M = (\sigma_i(\beta_i))$, thus $\operatorname{covol}(\mathcal{O}_K)^2 = 2^{-2s}|D_K| \Rightarrow \operatorname{covol}(\mathcal{O}_K) = 2^{-s}\sqrt{|D_K|}$

Lemma 2.4. For $m \geq 1$, the number of ideals of \mathcal{O}_K of index less than m is finite, if $[\mathcal{O}_K : I] \leq m$, then \mathcal{O}_K/I is killed by m!, thus $m!\mathcal{O}_K \subseteq I \subseteq \mathcal{O}_K$, but $\mathcal{O}_K/m!\mathcal{O}_K \cong \mathbb{Z}^n/m!\mathbb{Z}^n \cong (\mathbb{Z}/m!)^n$ is finite

Theorem 2.5. For any $g \in \text{Cl}(\mathcal{O}_K)$, $\exists I \subseteq \mathcal{O}_K$ such that $NI = [\mathcal{O}_K : I] \leq (\frac{2}{\pi})^s \sqrt{D_K} \Rightarrow \text{Cl}(\mathcal{O}_K)$ is finite

Proof. J be an ideal representation for g^{-1} , if $0 \neq \alpha \in J$, then $0 \neq (\alpha) \subseteq J \Rightarrow \exists I$ ideal of \mathcal{O}_K such that $(\alpha) = IJ$, so I represents g, $N\alpha = NI \cdot NJ$, for $c = (c_1, \cdots, c_r, c_{r+1}, \cdots, c_{r+s})$, $c_i > 0$

$$B(c) = \{(x_1, \dots, x_r, x_{r+1}, \dots, x_{r+s}) \in \mathbb{R}^r \times \mathbb{C}^s | |x_i| \le c_i \}$$

 $\begin{array}{l} \operatorname{vol}(B(c)) = (2c_1) \cdots (2c_r)(2\pi c_{r+1}^2) \cdots (2\pi c_{r+s}^2) = 2^n (\frac{\pi}{2})^s c_1 \cdots c_r c_{r+1}^2 \cdots c_{r+s}^2 = 2^n (\frac{\pi}{2})^s \xi. \text{ Pick } c \\ \operatorname{such that } \operatorname{vol}(B(c)) = 2^n \operatorname{covol}(J), \text{ by Minkowski's theorem, } B(c) \cap J \setminus \{0\} \neq \varnothing, \text{ pick } \alpha \in B(c) \cap J \setminus \{0\}, \ |N(\alpha)| = |\sigma_1(\alpha)| \cdots |\sigma_n(\alpha)| \leq \xi, \text{ thus } N\alpha = NI \cdot NJ \leq \xi = (\frac{2}{\pi})^s \operatorname{covol} J = (\frac{2}{\pi})^s [\mathcal{O}_K : J] \sqrt{|D_K|}, \\ \operatorname{hence } NI \leq (\frac{2}{\pi})^s \sqrt{|D_K|} \end{array}$

3 Dirichlet's unit theorem

Proposition 3.1. For $\alpha \in \mathcal{O}_K$, $\alpha \in \mathcal{O}_K^{\times} \Leftrightarrow N_{K/\mathbb{Q}}(\alpha) = \pm 1$

Proof. Let T_{α} be the \mathbb{Q} -linear operator on K by multiplying α . $f(x) = x^m + \cdots + a_{m-1}x + a_m$ be the characteristic polynomial of T_{α} , $N\alpha = (-1)^m a_m$. If $N\alpha = \pm 1$, then $g(x) = x^m + a_m^{-1} a_{m-1} x^{m-1} + \cdots + a_m^{-1} a_1 x + a_m^{-1} \in \mathbb{Z}[x]$ has α^{-1} as a root, thus $\alpha \in \mathcal{O}_K^{\times}$. If $\alpha \in \mathcal{O}_K^{\times}$, there exists $\beta \in \mathcal{O}_K^{\times}$ such that $\alpha\beta = 1$, id $= T_{\alpha}T_{\beta}$, thus $1 = \det T_{\alpha} \det T_{\beta} = N\alpha \cdot N\beta \Rightarrow N\alpha = \pm 1$

Lemma 3.2. The torsion subgroup of \mathcal{O}_K^{\times} is $\mu(K)$, the set of roots of unity in K. $\mu(K)$ is finite and hence cyclic

Proof.
$$\zeta_m \in K \Rightarrow \varphi(m)[[K:\mathbb{Q}]]$$

Example 3.3. If $K \hookrightarrow \mathbb{R}$, then $\mu(K) = \{\pm 1\}$. If $K = \mathbb{Q}(\zeta_p)$, then $\mu(K) = \{\pm 1\} \times \langle \zeta_p \rangle$. If $K = \mathbb{Q}(\sqrt{d})$, d < 0, then $\zeta_m \in K \Rightarrow \varphi(m) \leq 2 \Rightarrow m = 2, 3, 4, 6$

Example 3.4.
$$K = \mathbb{Q}(\zeta_p), p \nmid rs, \text{ then } \frac{\zeta^r - 1}{\zeta^s - 1} \in \mathbb{Z}[\zeta]^{\times}$$

alpha in mu(K) <=> |sigma(alpha)|=1

Proposition 3.5. $\alpha \in \mathcal{O}_K$. $|\sigma(\alpha)| = 1$ for all embeddings $K \stackrel{\circ}{\hookrightarrow} \mathbb{C} \Leftrightarrow \alpha \in \mu(K)$

Proof. Fix C, D > 0

$$E_{C,D} = \{ \beta \in \overline{\mathbb{Z}} | \deg(\beta) \leq C, |\sigma(\beta)| \leq D, \forall \mathbb{Q}(\beta) \stackrel{\sigma}{\hookrightarrow} \mathbb{C} \}$$

 $f_{\beta}(x) \in \mathbb{Z}[x]$ is the monic irreducible polynomial of β . $\deg f_{\beta} \leq C \Rightarrow \deg f_{\beta}$ has finitely many choice and coefficients of f_{β} is bounded by function of $D \Rightarrow$ finitely many choices for $f_{\beta} \Rightarrow E_{C,D}$ is finite. $\alpha \in E_{n,1}$, $n = [K : \mathbb{Q}]$, $\alpha^2, \alpha^3, \dots \in E_{n,1} \Rightarrow \alpha \in \mu(K)$ since $E_{n,1}$ is finite, α^n repeats. The other direction is obvious

Definition 3.6. Define

$$\mathcal{L}: (\mathbb{R}^{\times})^r \times (\mathbb{C}^{\times})^s \to \mathbb{R}^{r+s}$$

$$(x_1, \dots, x_{r+s}) \mapsto (\log |x_1|, \dots, \log |x_r|, 2\log |x_{r+1}|, \dots, 2\log |x_{r+s}|)$$

Note that

$$|N_{K/\mathbb{Q}}(\alpha)| = \left| \prod \sigma_i(\alpha) \right| = |\sigma_1(\alpha)| \cdots |\sigma_r(\alpha)| |\sigma_{r+1}(\alpha)|^2 \cdots |\sigma_{r+s}(\alpha)|^2$$

Theorem 3.7.

- (i) $\ker \mathcal{L} = \mu(K) = \operatorname{Tor}(O_K^{\times})$
- (ii) $\mathcal{L}(O_K^{\times})$ is a lattice in the hyperplane $H = \{a_1 + \cdots + a_{r+s} = 0\}$
- (iii) $\mathcal{O}_K^{\times} \cong \mathbb{Z}^{r+s-1} \times \mu(K)$, \mathcal{O}_K^{\times} is finitely generated

Proof.

- (i) By Proposition 3.5
- (ii) $\mathbb{C} \xrightarrow{|\cdot|} \mathbb{R}_{>0}$, $\log : \mathbb{R}_{>0} \to \mathbb{R}$ are homomorphisms and homeomorphisms, and $\mathbb{C}^{\times} \cong U(1) \times \mathbb{R}^{\times}$

Thus additive group $\mathcal{L}(\mathcal{O}_K^{\times})$ is discrete in $\mathbb{R}^r \times \mathbb{R}^s \Rightarrow \operatorname{rank}_{\mathbb{Z}} \mathcal{O}_K^{\times} \leq r + s$. $\mathcal{L}(\mathcal{O}_K^{\times}) \subseteq H$ is clear

4 Discrete valuation domain

Definition 4.1. A is a discrete valuation ring if A is an integrally closed PID with a unique nonzero prime ideal. k = A/m, $m = (\pi)$, π irreducible is unique up to A^{\times} , called the uniformizer, $F = \operatorname{Frac}(A) = A[\frac{1}{\pi}]$

Proposition 4.2. A is a DVR, then $m^i - m^{i+1} = A^{\times} \pi^i$, $A^{\times} = A - m = \bigsqcup_{i \in \mathbb{N}} A^{\times} \pi^i$, $F^{\times} = \bigsqcup_{i \in \mathbb{Z}} A^{\times} \pi^i$

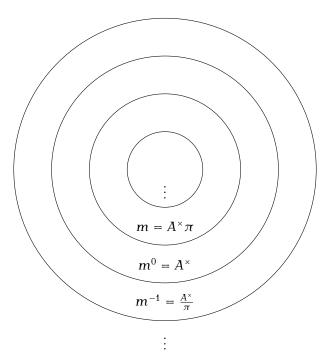


Figure 4.1: Discrete Valuation Ring

DVR

Proposition 4.3. X is a compact Riemann surface, $F = \mathbb{C}(X)$, then $A = \{f \in F | f \text{ is defined at } x\} \subseteq F \text{ is a DVR}$

Theorem 4.4. sgn disc $(K/\mathbb{Q}) = (-1)^s$

 $Proof. \ \operatorname{disc}(K/\mathbb{Q}) = \operatorname{det}(\operatorname{Tr}(\alpha_i\alpha_j)) = (\operatorname{det} M)^2, \ M = (\sigma_i(\alpha_j)), \ \overline{M} = (\overline{\sigma_i(\alpha_j)}), \ \operatorname{det} \overline{M} = (-1)^s \operatorname{det} M$

Example 4.5. • $\mathbb{Z}_{(p)}$ with $\pi = p$

- $\mathbb{C}[t]_{(t-a)}$ with $\pi = t a$
- $\mathbb{R}[t]_{(t-c)}$ with $\pi = t c$
- $\mathbb{F}_p[t]_{(t-c)}$ with $\pi = t c$

Definition 4.6. The additive valuation $\nu: F \to \mathbb{Z} \cup \{\infty\}, 0 \mapsto \infty, u\pi^i \mapsto i$ satisfies

- 1. $v(x) = \infty \Leftrightarrow x = 0$
- $2. \ \nu(xy) = \nu(x) + \nu(y)$
- 3. $v(x + y) \ge \min(v(x), v(u))$

Any ν on F satisfying 1.-3. knows A, i.e. $A = \{\nu \geq 0\}$, $m = \{\nu > 0\}$, $A^{\times} = \{\nu = 0\}$

Definition 4.7. F is a field, a discrete valuation on F is a function $F \to \mathbb{R} \cup \{\infty\}$ satisfying

- 1. $v(x) = \infty \Leftrightarrow x = 0$
- 2. v(xy) = v(x) + v(y)
- 3. $v(x + y) \ge \min(v(x), v(u))$

 $\nu(F^{\times}) \subseteq \mathbb{R}$ is a lattice in \mathbb{R} . ν is normalized if $\nu(F^{\times}) = \mathbb{Z}$ is the standard lattice

Remark 4.8. Given a normalized, discrete valuation, we get A, m, A^{\times} and A is a DVR

Example 4.9. ord_p: $\mathbb{Q} \to \mathbb{R} \cup \{\infty\}$, ord_p(x) = r if $x = p^r \frac{a}{b}$, $p \nmid ab$, then $A = \{x \in \mathbb{Q} | \operatorname{ord}_p(x) \ge 0\} = \mathbb{Z}_{(p)}$, $m = p\mathbb{Z}_{(p)}$

Exercise 4.10. A is a DVR with valuation ν

- 1. If $v(x) \neq v(y)$, then $v(x + y) = \min(v(x), v(y))$
- 2. If $x_1 + \cdots + x_n = 0$, $n \ge 2$, then $\exists i \ne j$ such that $v(x_i) = v(x_j) = \min_{1 \le k \le n} v(x_k)$

Definition 4.11. R is a Noetherian domain, $K = \operatorname{Frac}(R)$, a fractional ideal $I \leq K$ is a sub R-module such that $rI \subseteq R$ for some nonzero $r \in R$, define $I^{-1} = \{x \in K | xI \subseteq R\}$, a principal fractional ideal is xR for some nonzero $x \in K$, clearly $II^{-1} \subseteq R$

Lemma 4.12. If I, I are fractional ideals, then so are $I^{-1}, II, I+I$

Proposition 4.13. F is a field, discrete valuations are in bijective correspondence with DVR subrings of F

Definition 4.14. Consider $Spv(R) = \{All \text{ valuations on } R\}/\sim$, and there is a topology given by $R(f/g) = \{v \in Spv(R) | v(f) \le v(g) \ne 0\}$

Hilbert rings and adic spaces

Definition 4.15. *I* is invertible $II^{-1} = R$

Example 4.16. $R = \mathbb{C}[x, y], I = (x, y), I^{-1} = \mathbb{C}[x, y]$

Proposition 4.17. 1. If I = (f), then $I^{-1} = (f^{-1})$, hence principal fractional ideals are invertible

- 2. If *I* is invertible, then *I* is finitely generated as an *R*-module. $1 = \sum_{i=1}^{n} x_i y_i, x_i \in I, y_i \in I^{-1}$, so for any $r \in I$, $r = \sim r x_i y_i = \sum x_i (r y_i)$, hence *I* is finitely generated by x_1, \dots, x_n
- 3. (R, m) is a local ring, then I invertible $\Rightarrow I$ principal. $1 = \sum_{i=1}^{n} x_i y_i, x_i \in I, y_i \in I^{-1}$ are not all in m, say $x_1 y_1 \notin m$, then $x_1 y_1 = u$ is a unit, let $y_1' = y_1 u^{-1}$, then $1 = x_1 y_1'$, then $r \in I \Rightarrow r = r x_1 y_1' = x_1 (r y_1')$, thus $I = (x_1)$
- 4. p is a prime of R, (R_p, pR_p) is a local ring, then I fractional invertible $\Rightarrow IR_p$ fractional invertible $\Rightarrow IR_p$ principal
- 5. I, J invertible $\Rightarrow IJ$ invertible

Definition 4.18. The group of divisors Div(R) is the set of invertible fractional ideals of R, this becomes an abelian group, R is the neutral element. The set of principal fractional ideals is a subgroup of Div(R), define the Picard group $Pic(R) = Div(R)/\{principal\ fractional\ ideals\}$

Proposition 4.19. If R is a domain, $\dim R = 1 \Leftrightarrow R$ is a field \Leftrightarrow all primes are maximal. R is a DVR \Rightarrow dim R = 1, dim $R[t] = 1 + \dim R$

Proposition 4.20. Every prime in \mathcal{O}_K is maximal

Proof. For any nonzero $\alpha \in p$, $0 \neq N\alpha \in p \cap \mathbb{Z}$, $f(x) = x^n + a_{n-1}x^{n-1} + \cdots + a_0$ is the minimal polynomial of α , then $a_0 = -a_1\alpha - a_2\alpha^2 - \cdots - \alpha^n \in (p)$. Recall $N(p) = [O_K : p] = |O_K/p|$ is finite, $O_K \cong \mathbb{Z}^n$, thus O_K/p is a domain $\Rightarrow O_K/p$ is a field $\Rightarrow p$ is maximal

Theorem 4.21. R is a domain, then the following are equivalent

- 1. R is Noetherian, normal, and dim R = 1
- 2. R is Noetherian, R_p is a DVR for any nonzero prime p
- 3. All fractional ideals of R are invertible

Such a ring is called a *Dedekind domain*. This is why DVR is sometimes called a local Dedekind domain. O_K is a Dedekind domain

Theorem 4.22 (DVR recognition theorem). (R, m) is a local domain, the following are equivalent

- (1) R is a DVR
- (2) R is a PID
- (3) R is Noetherian and m is principal
- (4) R is a Noetherian and m is invertible
- (5) All fractional ideals are invertible
- (6) R is Noetherian, normal and $\dim R = 1$

Proof.

$$\begin{array}{ccc}
(1) & \longrightarrow & (2) & \longrightarrow & (3) & \longrightarrow & (4) \\
\downarrow & & & \downarrow & & \\
(6) & & & (5) & & & \\
\end{array}$$

is clear, here (2) \Leftrightarrow (5) uses local rings + invertible fractional ideals \Rightarrow principal (3) \Rightarrow (1): $m = (\pi)$, $N = \bigcap_{i=1}^{\infty} m^i = \bigcap_{i=1}^{\infty} \pi^i R$, N is finitely generated, mN = N, by Nakayama's lemma, N = 0. Thus for any $r \in R$, $r \in \pi^n R - \pi^{n+1} R$ for some n, hence $R \setminus \{0\} = \sqcup_{n \geq 0} \pi^n R^{\times}$ (6) \Rightarrow (4): $K = \operatorname{Frac}(R)$, need to show $1 \in mm^{-1}$

- Set $s(m) = \{x \in K | xm \subseteq m\}$, $R \subseteq s(m) \subseteq K$, $s(m) \subseteq \operatorname{End}_R(m) \Rightarrow s(m)$ is integral over R (Cayley-Hamilton). Since R is normal, s(m) = R, i.e. R is the largest subring of K such that m is an ideal
- $R \subseteq m^{-1}$, $m \subseteq mm^{-1} \subseteq R$, since m is maximal, if $mm^{-1} = R$ then we are done, otherwise $m = mm^{-1} \Rightarrow m^{-1} \subseteq s(m) = R \Rightarrow m^{-1} = R$
- Consider $T = \{I \subseteq R \text{ ideal} | R \subsetneq I^{-1}\} \ni (0) = K$, since R is Noetherian, T has a maximal element I, $I \subsetneq R \subsetneq I^{-1}$, claim I is prime, then I is maximal since $\dim R = 1$, then I = m, $R \subsetneq m^{-1}$. Pf of claim: suppose $r, s \in R$, $rs \in I$, $r \notin I$, let $J = (r) + I \subseteq R$ is an ideal, then $I \subsetneq J$, $J^{-1} = R$, but $\exists t \in I^{-1} \setminus R$, $tsJ = ts(r) + tsI \subseteq R \Rightarrow ts \in J^{-1} = R$, thus $t((s) + I) \subseteq R \Rightarrow t \in ((s) + I)^{-1} R$, thus $(s) + I \in I \Rightarrow s \in I$ which is a contradiction

R is a Noetherian ring, $X = \operatorname{Spec} R$ or any scheme. Consider the category of projective modules, and the subcategory of rank 1 projective R-modules. If M is of rank 1, $M \otimes R_p \cong R_p$ as R_p module, $M \otimes M^* \cong R$, Picard category

Definition 4.23. The class group is $Cl(O_K) = \{Fractional ideals/Principal ideals\}$

Exercise 4.24. Every ideal in \mathcal{O}_K is generated by at most 2 elements

Theorem 4.25. R is a domain, the following are equivalent

- 1. R is Noetherian, normal and dim R = 1
- 2. R is Noetherian, R_p is a DVR for any nonzero prime p
- 3. All nonzero fractional ideals are invertible

Proof. 1.⇒2.: R is Noetherian, so is R_p , $\dim R_p \leq \dim R$, there is a bijection between primes in $S^{-1}R$ and primes in R that doesn't intersect S, thus $\dim R_p = 1$. Claim: R normal $\Rightarrow S^{-1}R$ normal. If $x \in \operatorname{Frac} S^{-1}R = K$ is normal and integral over $S^{-1}R$, then $x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0 = 0$, $a_i \in S^{-1}R$, pick $s \in S$, $sa_i \in R$, then $(sx)^n + sa_{n-1}(sx)^{n-1} + \cdots + s^{n-1}a_1(sx) + s^na_0 = 0$ $\Rightarrow sx$ is integral over $R \Rightarrow sx \in R \Rightarrow x \in S^{-1}R$

2. \Rightarrow 3.: $I \subseteq R$ is a nonzero fractional ideal, $IR_p \subseteq R_p$ is a nonzero fractional ideal, since R_p is a DVR, IR_p is invertible, $(IR_p)^{-1} = I^{-1}R_p$ is easy, $II^{-1}R_p = (IR_p)(I^{-1}R_p) = R_p$, if $II^{-1} \subsetneq R$, then $II^{-1} \subseteq p$ for some prime(maximal) $p \Rightarrow II^{-1}R_p \subseteq pR_p$ which is a contradiction

3.⇒1.: $0 \neq I \subseteq R \Rightarrow I$ is invertible $\Rightarrow I$ is a finitely generated R-module $\Rightarrow R$ is Noetherian, $x \in K$ integral over R, the subring B = R[x] is a finitely generated R-module $\Rightarrow B$ is a fractional ideal of $R \Rightarrow B$ is invertible, $B = BR = BBB^{-1} = BB^{-1} = R \Rightarrow x \in R$. $p \neq 0$ is a prime in R, $p \nsubseteq m$ is maximal, then $m^{-1} \subseteq p^{-1} \Rightarrow pm^{-1} \subseteq R = pp^{-1}$, $pmm^{-1} = p \Rightarrow p \subseteq m$ or $p \subseteq pm^{-1} \Rightarrow p \supseteq pm^{-1} \Rightarrow m^{-1} \supseteq R \Rightarrow mm^{-1} \subseteq m \Rightarrow R \subseteq m$ which is a contradiction \square

Theorem 4.26. If A is a Dedekind domain, then so is B

$$\begin{array}{ccc}
B & \longrightarrow & E \\
\uparrow & & \uparrow \\
A & \longrightarrow & F
\end{array}$$

Proof. B is normal, B is a finitely generated A-module. $I \subseteq B$ is an ideal $\Rightarrow I$ is a finitely generated A-module $\Rightarrow B$ is Noetherian. Pick $p \neq 0$ prime in $B, A \cap p \subseteq A$ is prime, $A/A \cap p \hookrightarrow B/p$. $0 \neq \alpha \in p$, $\alpha^n + a_{n-1}\alpha^{n-1} + \cdots + a_0 = 0$, $a_i \in A$ minimal so that $a_0 \neq 0$, $0 \neq a_0 \in A \cap p \Rightarrow A \cap p$ is maximal, $k = A/A \cap p$, B/p is a k-algebra, finite dimensional vector space, $0 \neq y \in B/p$, $B/p \xrightarrow{\times y} B/p$ is injective, this an isomorphism, hence $1 = yy^{-1}$

R is a Dedekind domain, $0 \neq p$ maximal, $K = \operatorname{Frac}(R)$, R_p is a DVR with valuation v_p , $a \in K^{\times}$, $aR_p = p^{v_p(a)}R_p = (pR_p)^{v_p(a)}$. I is a fractional ideal of R, $v_p(I) = n$ if $IR_p = p^nR_p = (pR_p)^n$, $n \in \mathbb{Z}$, it is enough to check

- $v_D(IJ) = v_D(I) + v_D(J)$
- $v_p(I+J) = \min\{v_p(I), v_p(J)\}$
- $v_p(I \cap J) = \max\{v_p(I), v_p(J)\}$
- $I \subseteq J \Rightarrow \nu_p(J) \le \nu_p(I)$

Example 4.27. $A = \mathbb{C}[t]$, PID \Rightarrow Dedekind domain, $F = \mathbb{C}(t)$, $\nu_{\infty} : F \to \mathbb{Z} \cup \{\infty\}$, $\nu_{\infty}(0) = \infty$, $\nu_{\infty}(f/g) = \deg g - \deg f$. Normalized valuations on $\mathbb{C}(t) \leftrightarrow \mathbb{CP}^1$

Theorem 4.28. A is a Dedekind domain, then any nonzero ideal I can be written as a product of prime ideals in a unique way, thus A is a UFD $\Leftrightarrow A$ is a PID

Remark 4.29.

$$1 \to A^{\times} \to F^{\times} \xrightarrow{\oplus \nu_{p}} \bigoplus_{p \in \operatorname{Spec} A} \mathbb{Z} \to \operatorname{Cl}(A) \to 0$$

$$\cdots \to K_{1}(A) \to K_{1}(F) \to \bigoplus_{p \in \operatorname{Spec} A} K_{0}(A/p) \to \cdots$$

is the localization sequence in K-theory

Lemma 4.30. A is a Dedekind domain, Spec A has closed points and a unique generic point (0)

Example 4.31. $A = \mathbb{C}[x]$ is a PID, $F = \mathbb{C}(x)$, $\mathrm{Cl}(A)$ is trivial

$$B = \frac{\mathbb{C}[x,y]}{(y^2 - x^3 - 1)} \longleftrightarrow \frac{\mathbb{C}(x)[y]}{(y^2 - x^3 - 1)}$$

$$\uparrow \qquad \qquad \uparrow$$

$$A = \mathbb{C}[x] \longleftrightarrow F = \mathbb{C}(x)$$

 $\mathrm{Cl}(B)$ is uncountable, \mathbb{C}^2/Γ

5 Ramification

Definition 5.1. A, B are Dedekind domains, F, E are fractional field

$$\begin{array}{ccc}
B & \longrightarrow & E \\
\uparrow & & \uparrow \\
A & \longrightarrow & F
\end{array}$$

 $\beta \in B$ is maximal $\Rightarrow \beta \cap A$ is maximal in A. $pB = \beta_1^{e_1} \cdots \beta_r^{e_r}$, $e_i > 0$. $k_p = A/p \rightarrow B/\beta = k_\beta$, B is a finitely generated A-module, B/β is a finitely generated k_p vector space, $f_i = [k_\beta : k_p]$

- p is ramified if $e_i > 1$ for some i
- p is inert if pB is a prime
- p total split if $e_i = 1, f_i = 1$

Proposition 5.2. Suppose $pB = \beta_1^{e_1} \cdots \beta_r^{e_r}, e_i > 0$

- 1. $B/pB \cong \prod_{i=1}^r B/\beta_i^{e_i}$
- 2. $[E:F] = \dim_{k_p}(B/pB) = \sum_{i=1}^r e_i f_i \Rightarrow r \leq [E:F]$, (totally split \Leftrightarrow "=")

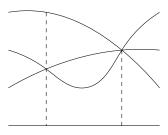
Proof.

- 1. Chinese remainder theorem
- 2. If $B \cong A^n$, then $B/pB \cong A^npA^n \cong (A/p)^n \cong k_p^n$

Example 5.3. $2\mathbb{Z}[i] = (1+i)^2$ is ramified, $3\mathbb{Z}[i]$ is prime inert, $5\mathbb{Z}[i] = (2-i)(2+i)$ totally split

$$\begin{array}{ccc} \mathbb{Z}[i] & \longrightarrow \mathbb{Q}[i] \\ \uparrow & & \uparrow \\ \mathbb{Z} & \longrightarrow \mathbb{Q} \end{array}$$

Example 5.4. $f: \operatorname{Spec} B \to \operatorname{Spec} A$, $f(\beta) = \beta \cap A$, If $pB = \beta_1^{e_1} \cdots \beta_r^{e_r}$, then $f^{-1}(p) = T_p = \{\beta_1, \cdots, \beta_r\}$. If $\beta \cap A = p$, then $\beta \supseteq pB = \beta_1^{e_1} \cdots \beta_r^{e_r}$, since β , β_i are maximal, $\beta = \beta_i$ for some $i. pB \subseteq \beta_i \Rightarrow pB \cap A = p \subseteq \beta_i \cap A \Rightarrow \beta_i \cap A = p$



In general, A_p is a DVR \Rightarrow PID, $A_p/pA_p \cong A/p \cong k_p$, $B_p = B \otimes_A A_p$ is torsion free since $B_p \subseteq E$, B_p is finitely generated and torsion free over PID $A_p \Rightarrow B_p \cong A_p^n \Rightarrow B_p/pB_p \cong (A_p/pA_p) \cong k_p^n$

Theorem 5.5. If E/F is Galois, then G = Gal(E/F) acts on $f^{-1}(p) = \{\beta_1, \dots, \beta_r\}$ transitively, thus $n = \sum_{i=1}^r e_i f_i = ref$, e, f, r | n

Proof. For any $\sigma \in G$, $f(\beta) = f(\sigma(\beta))$, preserving e_i, f_i

$$k_{p} \longleftrightarrow B/\beta$$

$$\downarrow \sigma$$

$$k_{p} \longleftrightarrow B/\sigma(\beta)$$

Suppose β, β' are not related by G, then $\exists x \in B$ such that

$$x \equiv \begin{cases} 1 \mod \sigma \beta & \forall \sigma \in G \\ 0 \mod \tau \beta' & \forall \tau \in G \end{cases}$$

Thus

$$A \ni N_{B/A}(x) = N_{E/F}(x) = \prod_{\sigma \in G} \sigma(x) \equiv \begin{cases} 1 \mod \sigma \beta \\ 0 \mod \sigma \beta' \end{cases}$$
$$\Rightarrow N_{B/A}(x) \equiv \begin{cases} 0 \mod \sigma \beta \cap A = p \\ 1 \mod \sigma \beta' \cap A = p \end{cases}$$

Fix β , define $D_{\beta} = \{ \sigma \in G | \sigma \beta = \beta \}$, $D_{\tau(\beta)} = \tau D_{\beta} \tau^{-1}$, $|D_{\beta}| = ef$. p totally splits in $B \Leftrightarrow D_{\beta} = \{1\}$ for any β

If G is abelian, D_{β} depends only on p, not β since $\tau D_{\beta} \tau^{-1} = D_{\beta}$

$$0 \longrightarrow \beta \longrightarrow B \longrightarrow k_{\beta} \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow^{\sigma} \qquad \downarrow$$

$$0 \longrightarrow \sigma\beta \longrightarrow B \longrightarrow k_{\sigma\beta} \longrightarrow 0$$

If $\sigma \in D_{\beta}$, $k_{\beta} \to k_{\beta}$ is an automorphism $\Rightarrow D_{\beta} \to \operatorname{Aut}(k_{\beta}/k_{p}) \Rightarrow \ker = I_{\beta}$, the inertia group of β , $I_{\tau\beta} = \tau I_{\beta} \tau^{-1}$

Theorem 5.6. p ramifies in $\mathcal{O}_K \Leftrightarrow p \mid \operatorname{disc}(\mathcal{O}_K/\mathbb{Z})$

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