## 0.1 General topology

**Definition 0.1.1.** A topological space X is a set with topology  $\tau \subseteq \mathscr{P}(X)$ , such that  $\varnothing, X \in \tau, U_i \in \tau \Rightarrow \bigcup_i U_i \in \tau, U, V \in \tau \Rightarrow U \cap V \in \tau$ , elements in  $\tau$  are open sets, complements of open sets are closed sets

N is a **neighborhood** of  $A \subseteq X$  if  $A \subseteq U \subseteq N \subseteq X$  for some open set U

x is a **limit point** of A if any neighborhood of x intersects A. x is a **limit** of  $\{x_n\}$  if for any neighborhood U of x, all but finitely many lies in U

A subspace is  $A \subseteq X$  with subspace topology given by  $\{U \cap A | U \in \tau\}$ 

**Definition 0.1.2.**  $X \xrightarrow{f} Y$  is **continuous** at x if for any neighborhood V of y = f(x), there exists a neighborhood U of x such that  $f(U) \subseteq V$ . Then f is continuous iff  $f^{-1}(V)$  is open for any open set  $V \subseteq Y$ 

**Definition 0.1.3.** A base for  $\tau$  is  $B \subseteq \tau$  such that B covers X and for any  $U_1, U_2 \in B$  such that  $U_1 \cap U_2 \neq \emptyset$ , there exists  $U_3 \in B$  such that  $U_3 \subseteq U_1 \cap U_2$ 

A local base for  $\tau$  at x is a collection of neighborhoods B(x) of x such that any neighborhood of x contain an element of B(x)

A **subbase** for  $\tau$  is  $B \subseteq \tau$  such that B generates  $\tau$ , i.e. by arbitrary union of finite intersections, equivalently,  $\tau$  is the smallest topology containing B. Here empty union and empty intersection are  $\varnothing$  and X

**Definition 0.1.4.** X is **first countable** if each point has a countable local base X is **second countable** if it has a countable base

**Definition 0.1.5.** X is **regular** if any point and a disjoint closed set have disjoint neighborhoods. X is **normal** if disjoint closed sets have disjoint neighborhoods

**Definition 0.1.6.**  $\{A_i\}$  can be **completely separated** if  $\{A_i\}$  can be completely separated by a continuous function  $X \xrightarrow{f} \mathbb{R}$ . Closed subsets  $\{A_i\}$  can be **perfectly separated** if  $\{A_i\}$  can be perfectly separated by a continuous function  $X \xrightarrow{f} \mathbb{R}$ .  $\mathbb{R}$  can be replaced with I considering

$$\mathbb{R} o I, \, x \mapsto egin{cases} rac{x}{x-1} & x \leq 0 \ x & 0 \leq x \leq 1 \ ext{and} \ I \hookrightarrow \mathbb{R} \ rac{2}{x+1} & x \geq 1 \end{cases}$$



**Definition 0.1.7** (Kolmogorov classification of topological spaces). X is a  $T_0$  space if for any two distinct points in X, at least one of them has a neighborhood which doesn't intersect the other piont, i.e. they are **topologically distinguishable** 

X is a  $T_1$  space if for any two distinct points in X, each of them has a neighborhood which doesn't intersect the other point.  $T_1 \Leftrightarrow \text{points}$  are closed

X is a  $T_2$  space or Hausdorff space if any two distinct points have disjoint neighborhoods. Then the limit of  $\{x_n\}$  is unique, denotes the limit  $x = \lim x_n$ 

X is a  $T_{2\frac{1}{2}}$  space or **Urysohn space** if any two distinct points have disjoint closed neighborhoods

X is a  $T_3$   ${\bf space}$  if X is regular Hausdorff

X is a  $T_{3\frac{1}{2}}$  space if X is completely regular Hausdorff

X is a  $T_4$  space if X is normal  $T_1$  space  $\Leftrightarrow$  normal Hausdorff

X is a  $T_5$  space if X is completely normal Hausdorff

X is a  $T_6$  space if X is perfectly normal  $\Leftrightarrow$  perfectly normal Hausdorff

**Definition 0.1.8.** The box topology on  $\prod_{i \in I} X_i$  has base  $\left\{ \prod_{i \in I} U_i \middle| U_i \subseteq X_i \text{ open} \right\}$ 

**Lemma 0.1.9.** X is Hausdorff iff the diagonal  $\{(x,x)|x\in X\}$  is closed

**Definition 0.1.10.**  $X \times I \xrightarrow{F} Y$  is a **homotopy** between  $X \xrightarrow{f_0, f_1} Y$  if  $F(x, 0) = f_0(x)$ ,  $F(x, 1) = f_1(x)$ , write  $f_t = F(\cdot, t)$ .  $X \xrightarrow{f} Y$  is a **homotopy equivalence** if there is  $Y \xrightarrow{g} X$  such that  $gf \simeq 1_X$ ,  $fg \simeq 1_Y$ 

**Definition 0.1.11.**  $X \xrightarrow{f} Y$  is a **topological embedding** if f is injective and  $f: X \to f(X)$  is a homeomorphism

**Definition 0.1.12.**  $K \subseteq X$  is **compact** if any open cover has a finite subcover. Equivalently, K is disjoint from the intersection of a family of closed sets, then K is disjoint from the intersection of finitely many of them

X is **locally compact** if there is a compact neighborhood for each point

 $Y \subseteq X$  is **precompact** if  $\overline{Y}$  is compact

**Definition 0.1.13.**  $A \subseteq X$  is dense if  $\overline{A} = X$ 

X is **separable** if X has a countable dense subset

**Definition 0.1.14.**  $X_{\alpha} \subseteq X$ ,  $\{X_{\alpha}\}$  is **locally finite** if for any  $x \in X$ , there is a neighborhood of x intersecting only finitely many  $X_{\alpha}$ 's

 $\mathcal{U} = \{U_{\alpha}\}, \ \mathcal{V} = \{V_{\beta}\}\$ are covers of  $X, \ \mathcal{V}$  is a **refinement** of  $\mathcal{U}$  if for any  $V_{\beta}$ , there exists  $U_{\alpha}$  containing  $V_{\beta}$ 

X is **paracompact** if every open cover has a locally finite open refinement

Lemma 0.1.15. Closed subsets of compact space are closed

The image of a compact set is compact

Compact subsets of a Hausdorff space are closed

X compact, Y Hausdorff, injective maps are embeddings

**Lemma 0.1.16.** X is compact, Y is Hausdorff, an injective map  $X \xrightarrow{f} Y$  is a topological embedding

*Proof.*  $f: X \to f(X)$  is a continuous bijection. If  $K \subseteq X$  is closed, K is also compact since X is compact, thus f(K) is compact, f(K) is also closed since Y is Hausdorff

**Definition 0.1.17.** X is called **connected** if it can be written as the union of two open subsets X is called **locally connected** if for any  $x \in X$ , there is a local basis that are connected

Proposition 0.1.18. Connected components are closed

Connectedness and local path connectedness implies path connectedness

Remark 0.1.19. Connected components may not be open

**Definition 0.1.20.**  $E \xrightarrow{p} B$  has **lift extension property** for (X, A) if for any  $X \xrightarrow{f} B$ , a lift  $A \xrightarrow{\tilde{f}} E$  can be extended to  $\tilde{f}: X \to E$ 

$$\begin{array}{ccc}
A & \xrightarrow{\tilde{f}} & E \\
\downarrow & & \downarrow p \\
X & \xrightarrow{f} & B
\end{array}$$

 $E \xrightarrow{p} B$  has **homotopy lifting property** for (X, A) if it has lift extension property for  $(X \times I, X \times \{0\} \cup A \times I)$ 

**Proposition 0.1.21.** If (X, A) satisfies homotopy extension property, and A is contractible, then the quotient map  $X \stackrel{q}{\to} X/A$  is a homotopy equivalence

*Proof.* Consider  $X \times \{0\} \cup A \times I \to A \hookrightarrow X$ , where  $(x,0) \mapsto x$ ,  $(a,1) \mapsto *$  can be extended to  $f: X \times I \to X$ ,  $f_0 = 1_X$ ,  $f_1(A) = \{*\}$ , thus  $f_1$  induces  $r: X/A \to X$ ,  $f_1 = rq$ ,  $X \times I \xrightarrow{f} X \xrightarrow{q} X/A$  also induce  $g: X/A \times I \to X/A$ , where  $qf_t = g_tq$ , and  $g_0 = 1_{X/A}$ ,  $g_1 = qr$  thus  $qr \simeq 1_{X/A}$ 

**Definition 0.1.22.**  $U \subseteq X$  is open if  $U \cap K$  is open for any compact subspace  $K \subseteq X$  defines a topology. Equivalently,  $F \subseteq X$  is closed if  $F \cap K$  is closed for any compact subspace  $K \subseteq X$ . X is **compactly generated** if X has this topology

**Definition 0.1.23.** A map is **proper** if the preimage of a compact set is compact A map is **discrete** if the preimage of a discrete set is discrete

**Definition 0.1.24.** X has discrete topology if  $\tau = \mathcal{P}(X)$ . X has trivial topology if  $\tau = \{\emptyset, X\}$ 

Properties of discrete topology

**Proposition 0.1.25.** Suppose X has discrete topology

- (a) Any map  $f: Y \to X$  is continuous iff  $f^{-1}(x)$  is open for all  $x \in X$
- (b) If continuous maps  $f, g: X \to X$  are homotopic, then they are actually the same

Proof.

- (a) For any subset  $U \subseteq X$ ,  $f^{-1}(U) = \bigcup_{x \in U} f^{-1}(x)$  is open
- (b) If  $F: X \times I \to X$  is a homotopy, then the restriction on  $\{x\} \times I$  is gives a continuous map  $I \to X$ , the image has to be connected, thus the restriction is a constant, thus f(x) = F(x, 0) = F(x, 1) = g(x)

Pasting lemma

**Lemma 0.1.26.**  $F_i \subseteq X$  are closed,  $\bigcup_i F_i = X$ ,  $f|_{F_i}$  are continuous, then f is continuous X compact + Y Hausdorff => f:X->Y quotient map

**Lemma 0.1.27.** If X is compact, Y is Hausdorff, a surjective continuous map  $f: X \to Y$  is a quotient map

*Proof.* Let's use the universal property of quotient space, consider a continuous map  $g: X \to Z$  such that g maps fibers of f to points, thus we have a map  $\tilde{g}: Y \to Z$ ,  $\tilde{g}f = g$ , for any closed set F in Z, so is  $K = g^{-1}(F) = f^{-1}(\tilde{g}^{-1}(F))$ , since X is compact, so is K, hence  $f(K) = \tilde{g}^{-1}(F)$  is compact, and since Y is Hausdorff,  $\tilde{g}^{-1}(F)$  is closed

X locally compact+Hausdorff, F closed iff F intersects K is compact for any K compact

**Lemma 0.1.28.** X is locally compact, Hausdorff,  $F \subseteq X$  is closed iff  $F \cap K$  is compact for any compact subset  $K \subseteq X$ 

*Proof.* F closed  $\Rightarrow F \cap K$  closed. Conversely, suppose  $F \cap K$  is compact for any compact subsets  $K \subseteq X$ , for any  $x \notin F$ , there is a compact set K containing an open neighborhood U of x,  $F \cap K$  is compact thus closed, hence  $G = U - F \cap K$  is an open neighborhood of x which is disjoint of F, hence F is closed

**Lemma 0.1.29.** X, Y are locally compact, Hausdorff,  $p: X \to Y$  is continuous, proper, then p is closed

*Proof.* Suppose  $F \subseteq X$  is closed, since  $p(F \cap p^{-1}(K)) = p(F) \cap K$ , by Lemma 0.1.28, we can take any  $K \subseteq Y$  compact, hence F is closed

**Definition 0.1.30.** X is noncompact, the **Alexandorff extension** of X is  $X^* = X \cup \{\infty\}$  with open sets  $\emptyset, X^*$ , open sets in X and complements of closed compact sets of X  $X \hookrightarrow X^*$  is an open topological embedding

If X is also locally compact Hausdorff,  $X^*$  is the **one point compactification** of X which is Hausdorff

X,Y locally compact Hausdorff, f:X->Y proper, f send discrete sets to discrete sets

**Lemma 0.1.31.** X, Y are locally compact Hausdorff,  $X \xrightarrow{f} Y$  is proper, then f sends discrete sets to discrete sets

Proof. Suppose  $A \subseteq X$  is discrete,  $x_0 \in A$ ,  $y_0 = f(x_0) \in Y$ , K is a compact neighborhood of  $y_0$ , then  $f^{-1}(K)$  is a compact neighborhood of  $x_0$ , thus  $f^{-1}(K) \cap A$  is finite, so is  $K \cap f(A)$ , since Y is Hausdorff, there is a neighborhood U of  $y_0$  such that  $U \cap f(A) = y_0$ 

**Lemma 0.1.32.** X, Y are locally compact,  $X \xrightarrow{p} Y$  is proper and discrete, then  $p^{-1}(y)$  is finite, and for any neighborhood V of  $p^{-1}(y)$ , there is a neighborhood U of y such that  $p^{-1}(U) \subseteq V$ 

**Lemma 0.1.33.** X, Y are locally compact Hausdorff,  $X \xrightarrow{p} Y$  is a proper local homeomorphism, then p is a finite sheeted covering

**Definition 0.1.34.** The **compact-open topology** on  $Y^X$  is given by a subbase  $V(K, U) := \{f \in Y^X | f(K) \subseteq U\}$ , with  $K \subseteq X$  compact and  $U \subseteq Y$  open A **normal family**  $\{f_i\}$  is a precompact subset of  $Y^X$ 

**Lemma 0.1.35.**  $\{f_n\}$  converges pointwise on X iff  $\{f_n\}$  converges in  $Y^X$  with the product topology  $\prod_{x \in X} Y$ . Hence we call the product topology the **topology of pointwise convergence** 

*Proof.* If  $f_n$  converges pointwise on X to f, then for any neighborhood  $V_i$  of  $f(x_i)$ ,  $i = 1, \dots, k$ ,  $V_k$  contains all but finitely many  $f_n(x_i)$ , thus for n big enough,  $f_n \in V_1 \cap \dots \cap V_k \cap \prod_{x \neq x_0} Y$ , i.e.

 $\{f_n\}$  converges to f in  $Y^X$ 

**Theorem 0.1.36.** X is compact, Y is a complete metric space, then the topology induced by metric  $d(f,g) = \sup_{x \in X} d(f(x),g(x))$  is the same as the compact-open topology on  $Y^X$ 

Theorem 0.1.37.  $Y^* \cong Y$ 

**Theorem 0.1.38.** The composition  $Z^Y \times Y^X \to Z^X$ ,  $(g, f) \mapsto g \circ f$  is continuous, in particular, if X = \*, then this becomes the evaluation map eval:  $Z^Y \times Y$ ,  $(f, y) \mapsto f(y)$ 

Theorem 0.1.39.  $Z^{X\times Y}\cong (Z^Y)^X$ 

**Definition 0.1.40.** A topological space X is reducible if  $X = X_1 \cup X_2$ ,  $X_1, X_2$  are proper nonempty closed subsets,  $X_1 \not\subseteq X_2$ ,  $X_2 \not\subseteq X_1$ , X is **irreducible** if not reducible

**Definition 0.1.41.** A topological space X is **Noetherian** if  $X \supseteq X_1 \supseteq X_2 \supseteq \cdots$  terminates,  $\dim V = \sup_{d} (X_0 \supseteq X_1 \supseteq \cdots \supseteq X_d)$ ,  $V_i$ 's are closed and irreducible

Tychonoff's theorem

**Theorem 0.1.42** (Tychonoff's theorem).  $\{K_i\}_{i\in I}$  are compact, so is  $\prod_{i\in I} K_i$ 

**Proposition 0.1.43.** Connected sets of  $\mathbb{R}$  are intervals (a,b), [a,b), (a,b] or [a,b]

**Theorem 0.1.44** (Jordan curve theorem).  $S^n \stackrel{i}{\to} \mathbb{R}^{n+1}$  is injective thus an open embedding by Lemma 0.1.16, denote  $X = i(S^n)$ , then  $Y = \mathbb{R}^{n+1} \setminus X$  consists of exactly two connected components, the interior U which is bounded, and the exterior V which is not. When n = 1, U and V are homeomorphic to D and  $\mathbb{R}^2 \setminus D$ 

**Definition 0.1.45.** A **locally closed set** X is the intersection of an open subset and a closed subset. Equivalently, X is relatively open in  $\overline{X}$ . A **constructible set** X if it is a finite union of locally closed sets

Lefschetz fixed point theorem

**Theorem 0.1.46** (Lefschetz fixed point theorem). X is a compact triangulable space of dimension n, the **Lefschetz number** of f is  $\sum_{k=0}^{n} \operatorname{tr}(f_*|_{H_k(X;\mathbb{Q})})$ . If the Lefschetz number of f is nonzero, then f has fixed points. The converse is not true, i.e. even if the Lefschetz number is zero, then could be fixed points

If  $f = \mathrm{id}_X$ , then the Lefschetz number is the Euler characteristic  $\chi$ 

**Definition 0.1.47.** The **join** of X, Y is

$$X*Y = rac{X imes Y imes I}{(x,y_1,0) \sim (x,y_2,0), (x_1,y,1) \sim (x_2,y,1)}$$

We can also interpret it as all possible paths from X to Y. In general,  ${}_i^*X_i$  can be thought of as finite sum  $\sum_i t_i x_i, \, t_i \in I, \, x_i \in X_i$ 

## 0.2 Retract

**Definition 0.2.1.**  $A \xrightarrow{i} X$  is inclusion. A **deformation** of A into  $B \subseteq X$  in X is a homotopy  $A \xrightarrow{f_t} X$  such that  $f_0 = i$  and  $f_1(A) \subseteq B$ , onto if equality holds.  $X \xrightarrow{r} A$  is a **retraction** if  $ri = 1_A$ . r is a **weak retraction** if inclusion  $A \xrightarrow{i} X$  has a left homotopy inverse, i.e.  $ri \simeq 1_A$ . A **deformation retraction** is a deformation  $X \xrightarrow{f_t} X$  such that  $f_1 = ri$  for some retraction  $X \xrightarrow{r} A$ . Deformation retraction  $f_t$  is **strong** if  $f_t|_A = 1_A$ 

 $\boldsymbol{X}$  is  $\mathbf{contractible}$  if  $\boldsymbol{X}$  deformation retracts onto a point

(X,A) is a **good pair** if A is a strong neighborhood deformation retract of X

Some rudimentary lemma about retract and deformation

### **Lemma 0.2.2.** $A \stackrel{i}{\rightarrow} X$ is inclusion

- (1) X is deformable into A iff i is a weak section, namely i has a right homotopy inverse, i.e.  $ir \simeq 1_X$
- (2) i is a homotopy equivalence iff A is a weak retract of X and X is deformable into A
- (3) If X is deformable into a retract A, then A is a deformation retract of X
- (4) If (X, A) is cofibered, then A is a weak retract of X iff A is a retract of X

#### Proof.

- (1) If  $X \times I \xrightarrow{H} X$  is a homotopy from  $1_X$  to ir, then H is a deformation of X into A since  $H_0 = 1_X$ ,  $H_1(X) \subseteq A$ . If H is a deformation of X into A, since  $H_1(X) \subseteq A$ , define  $X \xrightarrow{r} A$  such that  $ir = H_1$ , then r is a right homotopy inverse of i
- (2) i is a homotopy equivalence  $\Leftrightarrow$  there exists  $X \xrightarrow{r} A$  such that  $ri \simeq 1_A$ ,  $1_X \stackrel{H}{\simeq} ir \Leftrightarrow r$  is a weak retract, H is a deformation of X into A
- (3)  $X \xrightarrow{r} A$  is a retraction,  $X \times I \xrightarrow{H} X$  is a deformation of X, then  $1_X \simeq ir'$  for some  $X \xrightarrow{r'} A$ , hence  $r \simeq rir' = r' \Rightarrow 1_X \simeq ir \simeq ir$  giving a deformation retract
- (4)  $A \times I \xrightarrow{H} A$  is a homotopy from ri to  $1_A$ , since  $r(a) = H_0(a)$  and (X, A) is cofibered, we have  $X \times I \xrightarrow{F} A$ , then  $F_0 = r$ ,  $F_1i = 1_A$ , i.e. r is homotopic to retraction  $F_1$

**Definition 0.2.3.**  $\mathcal{C}$  is a class of topological spaces closed under homeomorphism and closed subsets. X is an **absolute retract** for  $\mathcal{C}$  if for  $Y \in \mathcal{C}$ , embedding  $X \hookrightarrow Y$  is closed  $\Rightarrow X$  is a retract of Y. X is an **absolute neighborhood retract** for  $\mathcal{C}$  if for  $Y \in \mathcal{C}$ , embedding  $X \hookrightarrow Y$  is closed  $\Rightarrow X$  is a neighborhood retract of Y

# 0.3 Covering space

**Definition 0.3.1.** A covering space is a fiber bundle with discrete fibers

Unique lifting iff fundamental group is a subgroup

**Proposition 0.3.2.**  $Z \stackrel{p}{\to} X$  is a covering,  $f(y_0) = p(z_0)$ . f lifts  $\tilde{f}: Y \to Z$  with  $f(y_0) = z_0$  iff  $f_*\pi_1(Y,y_0) \leq p_*\pi_1(Z,z_0)$ 

$$Y \xrightarrow{\exists_1 \tilde{f}} X \\ Y \xrightarrow{f} X$$

**Proposition 0.3.3.** Covering  $Y \stackrel{p}{\to} X$  is regular if  $\operatorname{Aut}(Y/X)$  is a normal subgroup of  $\pi_1(X, x_0)$ 

*Proof.* Assume  $p(y_1) = p(y_2) = x_0$ , by Proposition 0.3.2,  $p_*\pi_1(Y, y_1) = p_*\pi_1(Y, y_2)$  are conjugate, hence normal