## **Finite Automata**

## **Definition**

Q =the finite set of states

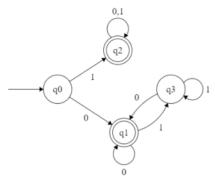
 $q_0$  = the initial state

 $F \subset Q$  the final/accepting state

 $\Sigma$  = the finite alphabet

Each direct edge represents a transition from a state to another, the letter on the edge causes the transition

 $\delta: Q \times \Sigma \to Q$ ,  $\forall q \in Q$ .  $\forall a \in \Sigma$ .  $\exists!$  e labelled by a and going out of q



## **Example**

$$Q = \{q_0, q_1, q_2, q_3\}, q_0 \text{ initial, } q_1, q_2 \in F, \Sigma = \{0,1\}. \delta = \text{edges input } 0110, \text{ then } q_0 \to q_1 \to q_3 \to q_3 \to q_1$$

**Definition** Deterministic finite automata (DFA) is a 5-tuple,  $M = (Q, \Sigma, \delta, q_0, F)$ After the entire input string had been read, M accepts x IFF the resulting state is in F.

If M DFA,  $\mathcal{L}(M) = \{x \in \Sigma^* \mid M \text{ accepts } x\}$  is the language accepted by M

**Example** For the example above,  $\mathcal{L}(M) = \left\{ x \in \Sigma^* \mid \mathcal{L}\left((1(0+1)^*) + \left((0+1)^*0\right)\right) \right\}$ 

**Definition** Extended transition function is the state reached when starting in initial state and reading each letters of string s

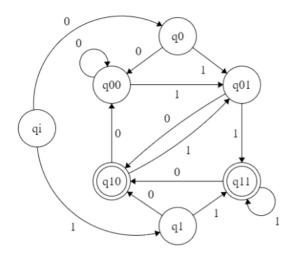
Treading each letters of string s 
$$\delta^*\colon Q\times \Sigma^*\to Q\coloneqq \delta^*(q,s)=\begin{cases} q\mid s=\lambda\\ \delta(\delta^*(q,x),a)\mid s=xa \end{cases} \text{ or } \delta^*(q,s)=\begin{cases} q\mid s=\lambda\\ \delta^*(\delta(q,a),x)\mid s=ax \end{cases}$$
 Then, L(M) =  $\{x\in \Sigma^*\mid \delta^*(q_0,x)\in F\}$  is the set of all languages accepted by machine M

**Example**  $L_2 = \mathcal{L}((0+1)^*1(0+1)) = \{x \in \{0,1\}^* \mid \text{the second letter is } 1\}$ 

Then,  $\mathcal{L}(M) = L_2$ , find M

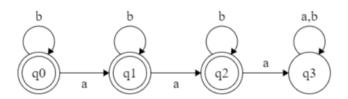
Consider M, the last two letters are 10 or 11,

Take  $\{q_{00}, q_{01}, q_{10}, q_{11}\}$  where  $q_{ii}$ : i, j are 2 last symbols read, hence  $q_{10}, q_{11} \in F$ 



Proof Let  $\begin{array}{l} L_q = \big\{ \, x \in \Sigma^* \; \big| \; \delta^* \big( q_0, x \big) = q \, \big\} \\ L_{q_{ab}} = \big\{ \, x \in \Sigma^* \; \big| \; ab \; is \; a \; suffix \; of \; x \, \big\} \\ L_{q_a} = \{a\} \\ L_{q_\lambda} = \{\lambda\} \\ \forall n \in \mathbb{N}. \, \forall x \in \Sigma^*. \, |x| = n \; IMPLIES \, \big( x \in \mathcal{L}(M) \; IFF \; x \in L_2 \big) \end{array}$ 

**Example**  $L = \{x \in \{a, b\}^* \mid x \text{ contains at most 2 a's} \}$  $r = b^*(a + \lambda)b^*(a + \lambda)b^*$ 



$$\begin{split} A &= \left( \left\{ q_0, q_1, q_2, q_3 \right\}, \{a, b\}, \delta, q_0, \left\{ q_0, q_1, q_2 \right\} \right) \\ \delta \left( q_i, b \right) &= q_i \mid i = \{0, 1, 2, 3\} \\ \delta \left( q_i, a \right) &= q_i \mid i = \{0, 1, 2\} \\ \delta \left( q_3, a \right) &= q_3 \end{split}$$

Proof Call  $L_i = \{x \in \{a, b\}^* \mid x \text{ has exactly i } a's \}$ 

 $\begin{aligned} &\text{For all } x \in \{a,b\}^*, P(x) \coloneqq \left(\delta^* \big(q_0,x\big) = q_3 \text{ IFF } x \notin \left(L_0 \cup L_1 \cup L_2\right)\right) \text{ AND } \left(\delta^* \big(q_0,x\big) = q_i \text{ IFF } x \in \left(L_0 \cup L_1 \cup L_2\right)\right) \end{aligned}$ 

Suppose  $x = \lambda$ , then  $x \in L_0$ ,  $\delta^*(q_0, \lambda) = q_0$ 

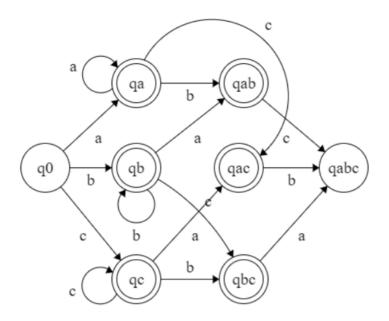
Let x be an arbitrary string, suppose P(x),

Suppose  $x \in L_0$ , then  $\delta^*(q_0,x) = q_0$ ,  $xa \in q_1$ ,  $xb \in q_2$   $\delta^*(q_0,xb) = \delta(\delta^*(q_0,x),b) = \delta(q_0,b) = q_0, \delta^*(q_0,xa) = \delta(\delta^*(q_0,x),a) = \delta(q_0,b) = q_1$ 

Similarly prove for other 3 cases  $(x \in L_1, x \in L_2, x \notin (L_0 \cup L_1 \cup L_3))$ 

**Example** 
$$L = \{x \in \{a, b, c\}^* \mid x \text{ doesn't contain all letters }\}$$

$$r = (a + b)^* + (a + c)^* + (b + c)^*$$
DFA



## NFA

