

# Countability and diagonalization proof

## Countable / non-countable

**Definition** a non-empty set  $C$  is countable if there exists a function  $f: \mathbb{N} \rightarrow C$  surjective. An empty set is countable

**Theorem** Every non-empty finite set is countable  $C = \{c_0, c_1, c_2, \dots, c_n\}, f: \mathbb{N} \rightarrow C := f(i) = c_i$

**Example**  $\mathbb{Z}$  is countable. Let  $f: \mathbb{N} \rightarrow \mathbb{Z}$  be defined as 
$$\begin{cases} f(0) = 0 \\ f(2i-1) = i \text{ for } i = 1, 2, 3, \dots \\ f(2i) = -i \end{cases}$$

## Theorem

- 1)  $\mathbb{N} \times \mathbb{N}$  countable  $0 \rightarrow (0,0), 1 \rightarrow (0,1), 2 \rightarrow (1,0), 3 \rightarrow (0,2), \dots$
- 2)  $A, B$  countable IMPLIES  $(A \cup B \text{ countable AND } A \times B \text{ countable})$
- 3)  $(A \text{ countable AND } B \subseteq A)$  IMPLIES  $B$  countable
- 4)  $(A \neq \emptyset \text{ AND } A \text{ countable AND } \exists f: A \rightarrow B \text{ surj})$  IMPLIES  $B$  countable
- 5)  $\mathbb{Q}^+ \cup \{0\}$  is countable

Proof Let  $f: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{Q}^+ := f(a, b) = \begin{cases} \frac{a}{b} & (b \neq 0) \\ 0 & (b = 0) \end{cases}$   $f$  surj (notice  $f$  is not necessarily injective)

- 6) Binary string is countable

Proof Let  $f: \mathbb{N} \times \mathbb{N} \rightarrow \{0,1\}^* := g(i, j) = \begin{cases} j\text{th lexicographically smallest string of length } i \text{ if } 1 \leq j \leq i \\ \text{empty string otherwise} \end{cases}$

- 7)  $S$  be a finite set IMPLIES  $\mathcal{P}(S)$  is countable

**Theorem**  $\mathcal{P}(\mathbb{N})$  is uncountable

Method 1: construct contradiction

Proof Suppose  $\mathcal{P}(\mathbb{N})$  is countable

By definition, take  $f: \mathbb{N} \rightarrow \mathcal{P}(\mathbb{N})$  be surjective

Let  $D = \{i \in \mathbb{N} \mid i \notin f(i)\} \in \mathcal{P}(\mathbb{N})$

Since  $D \subseteq \mathbb{N}, \exists j \in \mathbb{N}, f(j) = D$

Then,  $\forall i \in \mathbb{N}, i \in f(j)$  IFF  $i \in D$  since  $f(j) = D$

$\forall i \in \mathbb{N}, i \in f(j)$  IFF  $i \notin f(i)$  by definition of  $D$

Since  $j \in \mathbb{N}$ , by specialization

$(j \in f(j))$  AND  $(j \notin f(j))$  contradiction

Method 2: construct diagonalization

Proof for any subset  $S \in \mathcal{P}(\mathbb{N})$ , we can represent it by an infinite binary sequence where  $s_i = 1$  ( $i \in S$ ) OR  $0$  ( $i \notin S$ )

For example,  $\{0\} = 1000 \dots, \{x \in \mathbb{N} \mid \text{odd}(x)\} = 0101010101 \dots$

Suppose  $\mathcal{P}(\mathbb{N})$  is countable, take  $f: \mathbb{N} \rightarrow \mathcal{P}(\mathbb{N})$  be surjective

Characteristic vector $\rightarrow$ $\downarrow$ Subset of $\mathbb{N}$	$s_0$	$s_1$	$s_2$	...
$f(0)$	$f(0)_0$	$f(0)_1$	$f(0)_2$	
$f(1)$	$f(1)_0$	$f(1)_1$	$f(1)_2$	
$f(2)$	$f(2)_0$	$f(2)_1$	$f(2)_2$	
...				

Let  $M: \mathbb{N} \times \mathbb{N} \rightarrow \{0,1\} M(i, j) := f(i)_j = \begin{cases} 1 & (j \in f(i)) \\ 0 & (j \notin f(i)) \end{cases}$

Consider set  $D = \{i \in \mathbb{N} \mid i \notin f(i)\}$ , then  $\forall i \in D, M(i, i) = 0, f(i)_i = 0$

Consider the characteristic vector of  $D$ :  $i \in f(i)$  IMPLIES  $f(i)_i = 1, D_i = 0, i \notin f(i)$  IMPLIES  $f(i)_i = 0, D_i = 1$

Therefore,  $D$  is the complement of the diagonal of  $M$ ,  $D$  can't be any of characteristic

vectors of  $f(\mathbb{N})$  since there is always one bit in characteristic vector  $(f(i)_i)$  is different, contradiction.

**Theorem** There is no function  $H: \text{ASCII} \times \text{ASCII} \rightarrow \{0,1\}$  such that  $H(p, x) =$

$$\begin{cases} 1 & \text{p is syntactically correct} \\ & \text{and returns given x} \\ 0 & \text{otherwise} \end{cases}$$

Method 1: Contradiction

Proof Suppose there is such a  $H$  and assume all inputs of  $H$  are syntactically correct

Consider program  $D: \text{ASCII}$ ,  $D(x) :=$  if  $H(x, x)$  returns 1, then goes into an infinite loop, else return 1

Then, if  $H(D, D)$  return 0,  $D(D)$  return 1, if  $H(D, D)$  return 0,  $D(D)$  return 1

However, by the definition of  $H$

If  $H(D, D)$  return 0,  $D(D)$  runs into infinite loop, if  $H(D, D)$  return 1,  $D(D)$  returns something

Contradiction

Method 2: diagonalization

$H(p, x)$ $\rightarrow x$ $\downarrow p$	$\lambda$	a	b...
$\lambda$	$H(\lambda, \lambda)$	...	...
a	...		
b	...		
...			

$D$  is the complement of the diagonal, hence  $D \notin p$ , hence such  $H$  does not exist.