

# Sorting Machine

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- A sorting machine  $Sorter_n$ , for each  $n \geq 0$ , is capable of sorting  $n$ -length sequences of positive integers
- Suppose  $Sorter_n$  has sort  $\{in, \overline{out}\}$ 
  - It must accept exactly  $n$  integers one by one at  $in$ ;
  - Then it must deliver them up one by one in descending order at  $\overline{out}$ , terminated by a zero;
  - After that, it must return to its start state

# Sorting Machine

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Specification:

$$\text{Sortspec}_n \stackrel{\text{def}}{=} \text{in } x_1 \dots \text{in } x_n. \text{Hold}_n\{x_1, \dots, x_n\}$$

$$\text{Hold}_n(S) \stackrel{\text{def}}{=} \overline{\text{out}}(\max S). \text{Hold}_n(S - \{\max S\}) \quad (S \neq \emptyset)$$

$$\text{Hold}_n(\emptyset) \stackrel{\text{def}}{=} \overline{\text{out}} 0. \text{Sortspec}_n$$

where  $S$  ranges over multisets, and  $\max S$ ,  $\min S$  are the maximum and minimum elements of  $S$

# Sorting Machine

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## Implementation

$$\text{Sorter}_n \stackrel{\text{def}}{=} \overbrace{C \cap \dots \cap C}^{n \text{ times}} \cap B$$

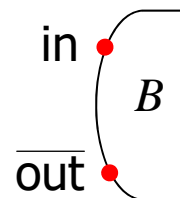
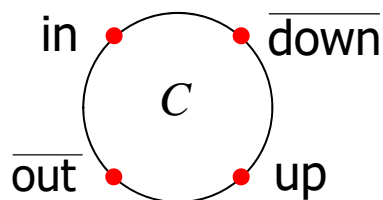
where

$$\begin{aligned} C &\stackrel{\text{def}}{=} \text{in}(x).C'(x) \\ C'(x) &\stackrel{\text{def}}{=} \overline{\text{down}}(x).C + \text{up}(y).C''(x, y) \\ C''(x, y) &\stackrel{\text{def}}{=} \overline{\text{out}}(\max\{x, y\}).C'''(\min\{x, y\}) \\ C'''(x) &\stackrel{\text{def}}{=} \text{if } x = 0 \text{ then } \overline{\text{out}}(0).C \text{ else } C'(x) \\ B &\stackrel{\text{def}}{=} \overline{\text{out}}(0).B \end{aligned}$$

Note: The sorter is of fixed size

# Sorting Machine

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- B is a single barrier cell
- Assume C as a hardware component of fixed finite size which can be used to build sorting machines of any size
- C is independent of  $n$

# Sorting Machine

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The idea in designing C is as follows:

- C should have storage capacity for two numbers, and be able to compare them
- Its behaviors must have two phases:
  - First phase: It receives inputs at **in** and put them out at **down**
  - Second phase: it receives inputs at **up** and (using comparison) puts them out at **out**
- For independence of the size of the sorter, it must be ready to change at any moment to its second phase
- Some cells will still be in the first phase while others are in the second

# Sorting Machine

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The defining equation of  $Sortspec_{n+1}$  can be rewritten as follows:

$$Sortspec_{n+1} = in\ x_1 \cdots in\ x_{n+1}. Hold_{n+1}\{x_1, \dots, x_{n+1}\}$$

$$Hold_{n+1}\{y_1, \dots, y_{n+1}\} = \overline{out}\ y_1 \cdots \overline{out}\ y_{n+1}. \overline{out}\ 0. Sortspec_{n+1}$$

assuming that  $y_1 \geq \dots \geq y_{n+1}$ .

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# Observational Equivalence

# Content

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- Introduction
- Strong Bisimulation
- Weak Bisimulation
- More Observational Equivalence



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# Introduction

# Observational Equivalence

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- Basic Idea

- Two processes are observationally equivalent if no processes can observe any difference between the two processes
- To observe is to interact
- To observe is to be observed

# An Example

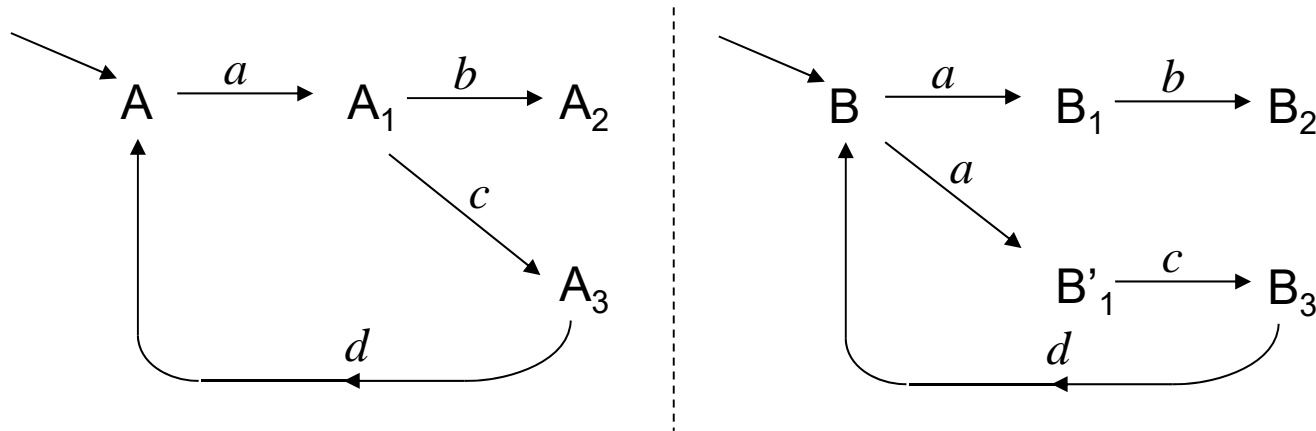
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Consider two agents  $A$  and  $B$ :

$$\begin{array}{l|l} A \stackrel{\text{def}}{=} a.A_1 & B \stackrel{\text{def}}{=} a.B_1 + a.B'_1 \\ A_1 \stackrel{\text{def}}{=} b.A_2 + c.A_3 & B_1 \stackrel{\text{def}}{=} b.B_2 \\ A_2 \stackrel{\text{def}}{=} \mathbf{0} & B_2 \stackrel{\text{def}}{=} \mathbf{0} \\ A_3 \stackrel{\text{def}}{=} d.A & B_3 \stackrel{\text{def}}{=} d.B \end{array} \quad B'_1 \stackrel{\text{def}}{=} c.B_3$$

# Automata View

If  $A$  and  $B$  are thought of as finite-state automata over the set  $\mathcal{A}$ , then their transition graphs are as follows:



# Trace Equivalence

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If A2 and B2 are taken to be the accepting states, then A and B denote the same language:

$$\begin{aligned} A &= a.(b.0 + c.d.A) && \text{by substitution} \\ &= a.b.0 + a.c.d.A && \text{using the distributive law} \end{aligned}$$

Hence  $A = (a.c.d)^*.a.b.0$

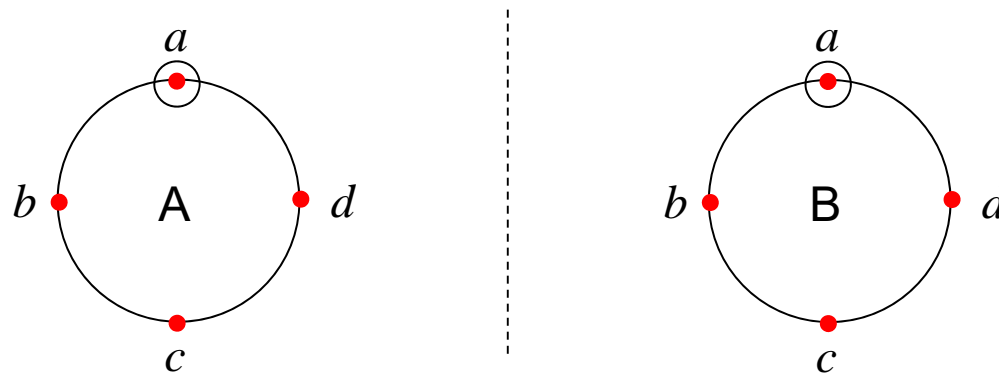
Similarly  $B = a.b.0 + a.c.d.B$

And therefore  $B = (a.c.d)^*.a.b.0$

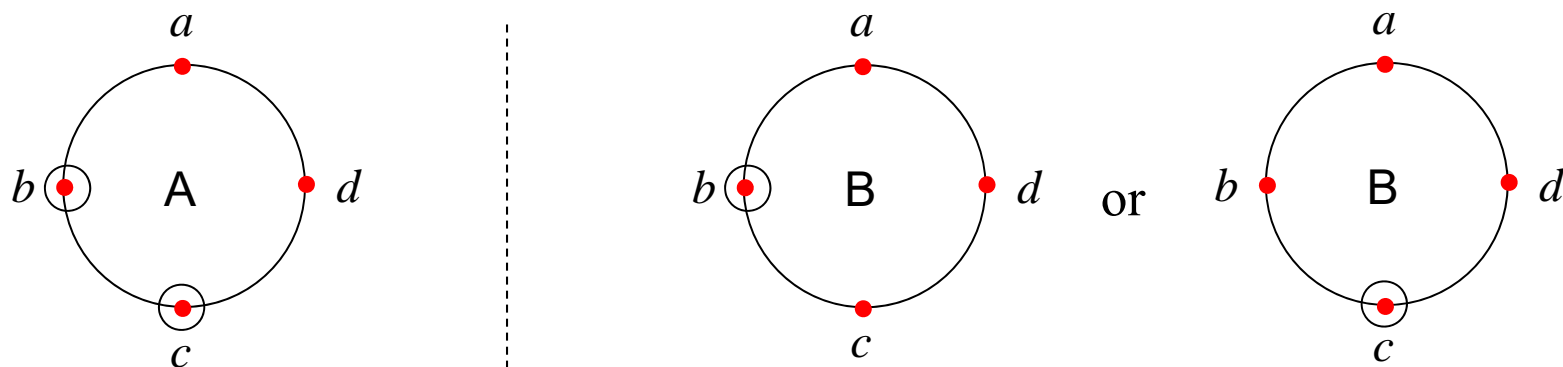
# An Example: A Refined View

$A$  and  $B$  may interact with their environment through the ports  $a$ ,  $b$ ,  $c$ ,  $d$ .

At start:



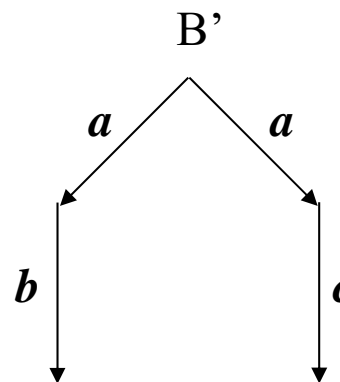
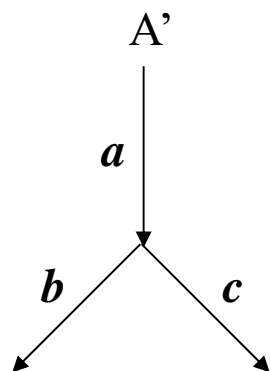
After the  $a$ -button is fired a difference emerges between  $A$  and  $B$ :



# Nondeterminism

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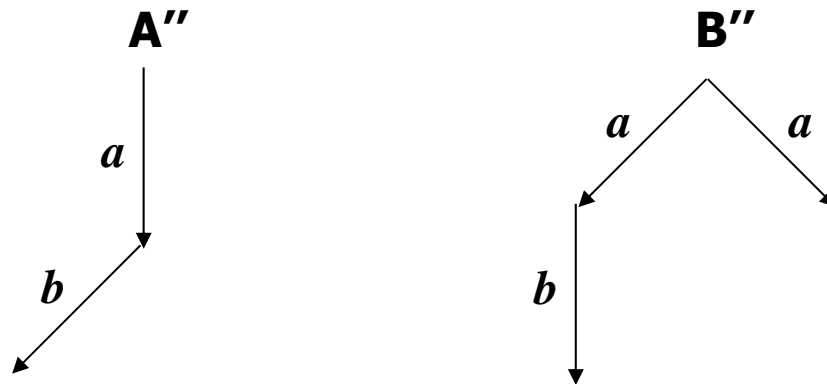
In our observational theory we shall differentiate between a **deterministic choice** and a **nondeterministic choice**



# Deadlock

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We shall also be able to detect **deadlock**

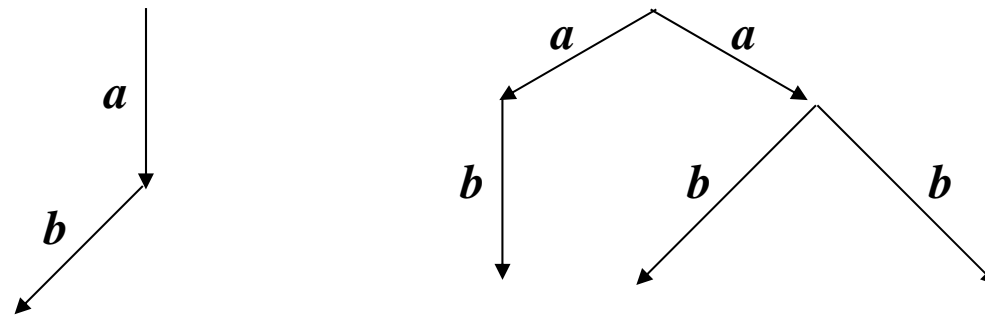




# But

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We shall want to equate the processes whose trees are as follows



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# Strong Bisimulation

# Basic Idea

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- All Actions, Including the  $\tau$  Actions, are Treated Equally
- Strong Equivalence is the Core of All Equivalences

# Bisimulation Property

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$P$  and  $Q$  are equivalent iff, for every action  $\lambda$ , every  $\lambda$ -derivative of  $P$  is equivalent to some  $\lambda$ -derivative of  $Q$ , and conversely.

It can be written formally as follows, using  $\sim$  for our equivalence relation:

$P \sim Q$  **iff**, for all  $\lambda \in \mathcal{A}$ ,

- (i) If  $P \xrightarrow{\lambda} P'$  then, for some  $Q'$ ,  $Q \xrightarrow{\lambda} Q'$  and  $P' \sim Q'$
  - (ii) If  $Q \xrightarrow{\lambda} Q'$  then, for some  $P'$ ,  $P \xrightarrow{\lambda} P'$  and  $P' \sim Q'$
- (\*)

# Strong Bisimulation

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Definition: A binary relation  $\mathcal{S} \subseteq \mathcal{P} \times \mathcal{P}$  over processes is a **strong bisimulation** if  $(P, Q) \in \mathcal{S}$  **implies** that, for all  $\lambda \in \mathcal{A}$  ,

- (i) If  $P \xrightarrow{\lambda} P'$  then, for **some**  $Q'$ ,  $Q \xrightarrow{\lambda} Q'$  and  $(P', Q') \in \mathcal{S}$
- (ii) If  $Q \xrightarrow{\lambda} Q'$  then, for some  $P'$ ,  $P \xrightarrow{\lambda} P'$  and  $(P', Q') \in \mathcal{S}$

Notice that any relation  $\sim$  which satisfies (\*) is a strong bisimulation.

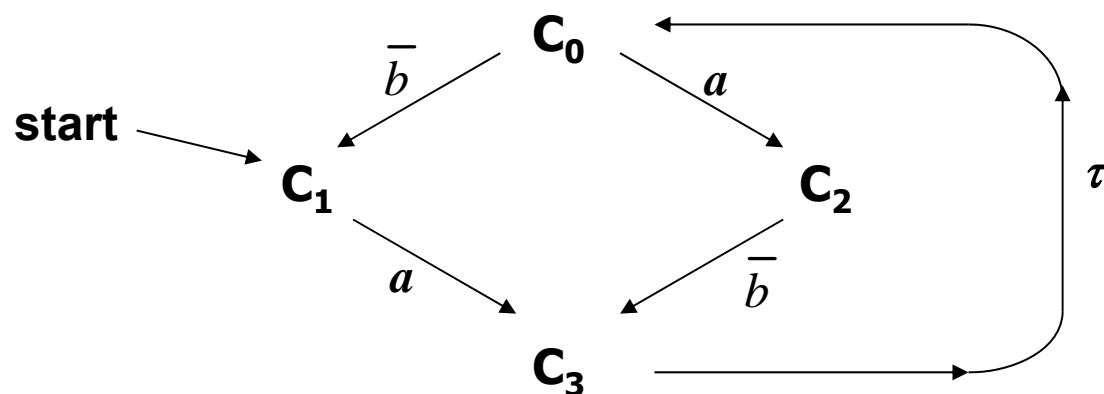
# An Example

$$\begin{array}{ll} A \stackrel{\text{def}}{=} a.A' & B \stackrel{\text{def}}{=} c.B' \\ A' \stackrel{\text{def}}{=} \bar{c}.A & B' \stackrel{\text{def}}{=} \bar{b}.B \end{array}$$

$$\mathcal{S} = \{ ((A | B) \setminus c, C_1), \\ ((A' | B) \setminus c, C_3), \\ ((A | B') \setminus c, C_0), \\ ((A' | B') \setminus c, C_2) \}$$

is a bisimulation.

$$\begin{array}{ll} C_0 \stackrel{\text{def}}{=} \bar{b}.C_1 + a.C_2 \\ C_1 \stackrel{\text{def}}{=} a.C_3 \\ C_2 \stackrel{\text{def}}{=} \bar{b}.C_3 \\ C_3 \stackrel{\text{def}}{=} \tau.C_0 \end{array}$$



# Equivalence Property

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The **converse**  $\mathcal{R}^{-1}$  of a binary relation and the **composition**  $\mathcal{R}_1\mathcal{R}_2$  of two binary relations are defined by

$$\mathcal{R}^{-1} = \{(y, x) : (x, y) \in \mathcal{R}\}$$

$$\mathcal{R}_1\mathcal{R}_2 = \{(x, z) : \text{for some } y, (x, y) \in \mathcal{R}_1 \text{ and } (y, z) \in \mathcal{R}_2\}$$

**Property:**

Assume that each  $\mathcal{S}_i$  ( $i = 1, 2, \dots$ ) is a strong bisimulation.

Then the following relations are all strong bisimulations:

- |                          |                                    |
|--------------------------|------------------------------------|
| (1) $Id_{\mathcal{P}}$   | (3) $\mathcal{S}_1\mathcal{S}_2$   |
| (2) $\mathcal{S}_i^{-1}$ | (4) $\cup_{i \in I} \mathcal{S}_i$ |

# Strong Bisimilarity

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## Definition:

P and Q are **strongly bisimilar**, written  $P \sim Q$ , if  $(P, Q) \in \mathcal{S}$  for some strong bisimulation  $\mathcal{S}$ . This may be equivalently expressed as follows:

$$\sim = \bigcup \{ \mathcal{S} : \mathcal{S} \text{ is a strong bisimulation} \}$$

## Proposition

- (1)  $\sim$  is the largest strong bisimulation.
- (2)  $\sim$  is an equivalence relation.



# Strong Bisimilarity, Continued

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## Definition:

$P \sim' Q$  iff, for all  $\lambda \in \mathcal{A}$ ,

- (i) Whenever  $P \xrightarrow{\lambda} P'$  then for some  $Q'$ ,  $Q \xrightarrow{\lambda} Q'$  and  $P' \sim Q'$
- (ii) Whenever  $Q \xrightarrow{\lambda} Q'$  then for some  $P'$ ,  $P \xrightarrow{\lambda} P'$  and  $P' \sim Q'$

**Property:**  $P \sim Q$  implies  $P \sim' Q$  (#)

# Strong Bisimilarity, Continued

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**Lemma** The relation  $\sim'$  is a strong bisimulation.

*Proof.* Let  $P \sim' Q$ , and let  $P \xrightarrow{\lambda} P'$ . It will be enough, for the lemma, to find  $Q'$  so that  $Q \xrightarrow{\lambda} Q'$  and  $P' \sim' Q'$ . But by the definition of  $\sim'$  we can indeed find  $Q'$  so that  $Q \xrightarrow{\lambda} Q'$  and  $P' \sim Q'$ ; hence also  $P' \sim' Q'$  by ( $\sharp$ ), and we are done.  $\square$

# Strong Bisimilarity, Continued

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$\sim$  satisfies the (\*) property:

$P \sim Q$  iff, for all  $\lambda \in \mathcal{A}$  ,

- (i) Whenever  $P \xrightarrow{\lambda} P'$  then for some  $Q'$ ,  $Q \xrightarrow{\lambda} Q'$  and  $P' \sim Q'$
- (ii) Whenever  $Q \xrightarrow{\lambda} Q'$  then for some  $P'$ ,  $P \xrightarrow{\lambda} P'$  and  $P' \sim Q'$

# How to Prove Processes Equivalent

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- We are to prove  $P \sim Q$ 
  1. Construct some binary relation  $R$  on processes such that  $(P, Q) \in R$
  2. Show that  $R$  is a strong bisimulation
  3. Conclude that  $P \sim Q$