

Using SVM to learn loop invariant

Li Jiaying
ISTD, SUTD
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About me

- Li Jiaying
- 3rd year Ph.D. student, ISTD
- supervisor: Sun Jun
- Research interest: Program Analysis
- Current research topic: loop invariant



Outline

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- Why?

Outline

- Why?
- What?

Outline

- Why?
- What?
- How?

Why?

Why?

- Software security is quite a crucial issue.

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- Ensure code is correct

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- Testing shows presence of defects, not their absences

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- Verification: Prove some properties are true

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- Ensure code is correct
- Testing shows presence of defects, not their absences
- Verification: Prove some properties are true
 - for all nodes n : $n.next.previous == n$
 - $x > 0$

Hoare triple

- $\{ P1 \} \text{ code } \{ P2 \}$
- Given P1 holds, after code execution, P2 holds.
 - $\{ x > 0 \} x++ \{ x > 1 \}$
 - $\{ x < 0 \} x++ \{ x < 0 \}$

Code examples

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```
assert (x >= 0);  
z = x + 1;  
assert(z > 0);
```

Code examples

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assert (x >= 0);  
z = x + 1;  
assert(z > 0);
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```
assert (x >= 0);  
if (x != 0)  
    z = x;  
else  
    z = x + 1;  
assert(z > 0);
```

Code examples

```
assert (x >= 0);  
z = x + 1;  
assert(z > 0);
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```
assert (x >= 0);  
if (x != 0)  
    z = x;  
else  
    z = x + 1;  
assert(z > 0);
```

$\{x \geq 0\} \wedge \{x \neq 0\}$

$\Rightarrow z = x > 0$

\vee

$\{x \geq 0\} \wedge \{x = 0\} \Rightarrow$

$z = x + 1 > 0$

Code examples

```
assert (x >= 0);  
z = x + 1;  
assert(z > 0);
```

```
assert (x >= 0);  
if (x != 0)  
    z = x;  
else  
    z = x + 1;  
assert(z > 0);
```

```
assert (x >= 0);  
i = x, z = 0;  
while (i >= 0) {  
    z = z + 1;  
    i = i - 1;  
}  
assert (z > 0);
```

$\{x \geq 0\} \wedge \{x \neq 0\}$

$\Rightarrow z = x > 0$

\vee

$\{x \geq 0\} \wedge \{x = 0\} \Rightarrow$

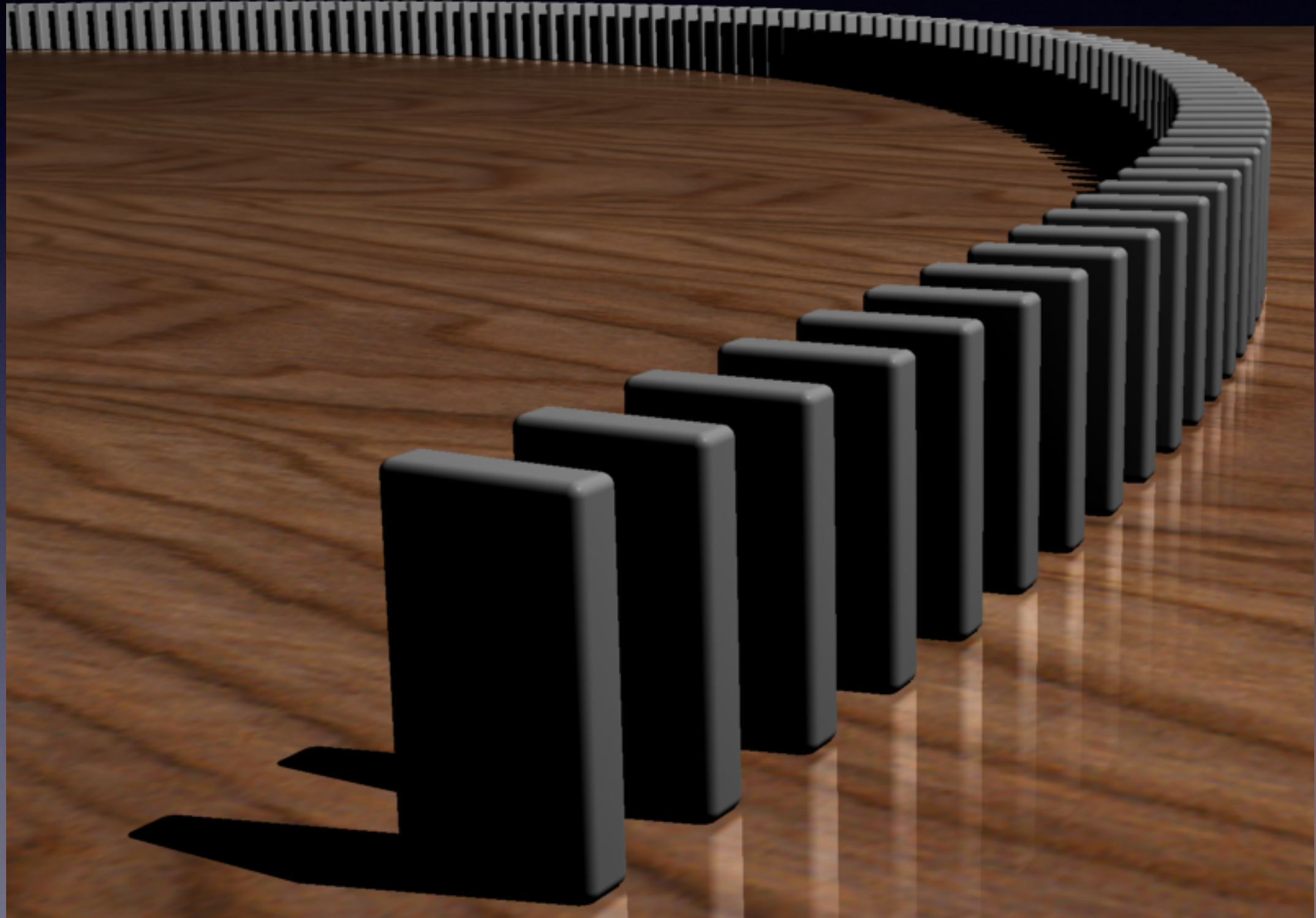
$z = x + 1 > 0$

Proof

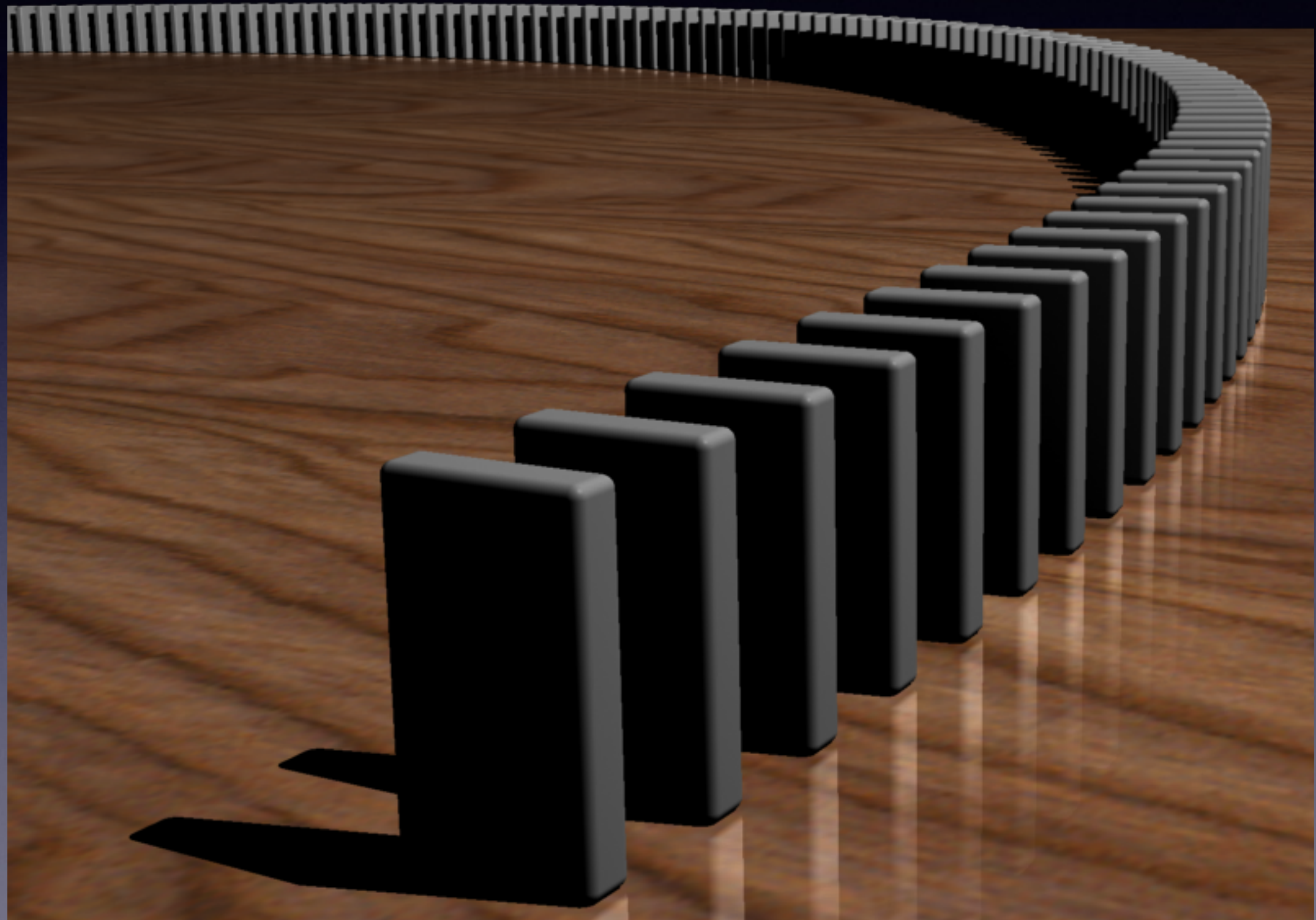
```
assert (x >= 0);  
i = x, z = 0;  
while (i >= 0) {  
    z = z + 1;  
    i = i - 1;  
}  
assert (z > 0);
```

$$z + i \geq 0$$

Proof: induction



If I push down the first domino,
will the last one fall down eventually?



Proof: induction

```
assert (x >= 0);  
i = x, z = 0;  
while (i >= 0) {  
    z = z + 1;  
    i = i - 1;  
}  
assert (z > 0);
```

$$z + i \geq 0$$

Proof: induction

- Before loop: $\{z+i \geq 0\}$

```
assert (x >= 0);  
i = x, z = 0;  
while (i >= 0) {  
    z = z + 1;  
    i = i - 1;  
}  
assert (z > 0);
```

$$z + i \geq 0$$

Proof: induction

- Before loop: $\{z+i \geq 0\}$
- $\{z+i \geq 0\}$ loop_body $\{z+i \geq 0\}$

```
assert (x >= 0);  
i = x, z = 0;  
while (i >= 0) {  
    z = z + 1;  
    i = i - 1;  
}  
assert (z > 0);
```

$$z + i \geq 0$$

Proof: induction

- Before loop: $\{z+i \geq 0\}$
- $\{z+i \geq 0\}$ loop_body $\{z+i \geq 0\}$
- \Rightarrow After loop: $\{z+i \geq 0\}$

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assert (x >= 0);  
i = x, z = 0;  
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$$z + i \geq 0$$

Proof: induction

- Before loop: $\{z+i \geq 0\}$
- $\{z+i \geq 0\}$ loop_body $\{z+i \geq 0\}$
- \Rightarrow After loop: $\{z+i \geq 0\}$

$\{z+i \geq 0\} \wedge$
 $\{i < 0\}$
 $\Rightarrow z > 0$

```
assert (x >= 0);  
i = x, z = 0;  
while (i >= 0) {  
    z = z + 1;  
    i = i - 1;  
}  
assert (z > 0);
```

$z + i \geq 0$

Loop invariant

$$z + i \geq 0$$

Loop invariant

```
assert (P1);    // Precondition: P1
while (B) {     // loop condition: B
    S1;         // loop body: S1
    S2;
    S3;
}
assert (P2);    // Postcondition: P2
```


Loop invariant

```
assert (P1);    // Precondition: P1
while (B) {     // loop condition: B
    S1;         // loop body: S1
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}
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```

$P1 \Rightarrow \text{Inv}$ $\text{Inv} \wedge B \xrightarrow{S} \text{Inv}$

Loop invariant

```
assert (P1);      // Precondition: P1
while (B) {       // loop condition: B
    S1;           // loop body: S1
    S2;
    S3;
}
assert (P2);      // Postcondition: P2
```

$$P1 \Rightarrow \text{Inv}$$
$$\text{Inv} \wedge B \xrightarrow{S} \text{Inv}$$

Question: True?

Strong loop invariant

```
assert (P1);      // Precondition: P1       $P1 \Rightarrow \text{Inv}$   
while (B) {      // loop condition: B       $\text{Inv} \wedge B \xrightarrow{S} \text{Inv}$   
    S1;          // loop body: S1  
    S2;  
    S3;  
}  
assert (P2);      // Postcondition: P2       $\text{Inv} \wedge \neg B \Rightarrow P2$ 
```

Strong loop invariant

```
assert (P1);      // Precondition: P1       $P1 \Rightarrow \text{Inv}$   
while (B) {      // loop condition: B       $\text{Inv} \wedge B \xrightarrow{\text{S}} \text{Inv}$   
    S1;          // loop body: S1  
    S2;  
    S3;  
}  
assert (P2);      // Postcondition: P2       $\text{Inv} \wedge \neg B \Rightarrow P2$ 
```

```
assert (x >= 0);  
i = x, z = 0;  
while (i >= 0) {  
    z = z + 1;  
    i = i - 1;  
}  
assert (z > 0);
```

- Question: $\{i+z \geq 0\}$?

Finding strong loop invariant

Finding strong loop invariant

- An old topic

Finding strong loop invariant

- An old topic
- Static approach
 - Infer loop invariant based on source code without executing program
- Dynamic approach
 - Infer loop invariant based on testing results

Our approach

- Using SVM to learn loop invariant

Another example...

```
assert (x + y > 0);  
while (x >= 0) {  
    x--;  
    y++;  
}  
assert (y > 0);
```

Instrumentation

- Another example...

```
assert (x + y > 0);  
while (x >= 0) {  
    x--;  
    y++;  
}  
assert (y > 0);
```



```
print x, y  
if (x + y > 0)  
    print 'pass P1'  
while (x >= 0) {  
    x--;  
    y++;  
}  
if (y > 0)  
    print 'pass P2'
```


All possible outputs

<div>\\\\\\\\ \\ P2 P1 \\ \\\\\\\\</div>	Pass	Fail
Pass		
Fail		

```
print x, y
if (x + y > 0)
    print 'pass P1'
while (x >= 0) {
    x--;
    y++;
}
if (y > 0)
    print 'pass P2'
```

Sample-invariant table

<div>\\\\\\\\ P1 \ P2 \\\\\\\\</div>	Pass	Fail
Pass	Inv???	Inv???
Fail	Inv???	Inv???

```
print x, y
if (x + y > 0)
    print 'pass P1'
while (x >= 0) {
    x--;
    y++;
}
if (y > 0)
    print 'pass P2'
```

```

assert (P1);      // Precondition: P1
while (B) {       // loop condition: B
    S1;           // loop body: Si
    S2;
    S3;
}
assert (P2);      // Postcondition: P2

```

$P1 \Rightarrow Inv$
 $Inv \wedge B \xrightarrow{s} Inv$

$Inv \wedge !B \Rightarrow P2$

<div style="text-align: center;"> <div style="display: flex; flex-direction: column; align-items: center;"> <div>\\\\\\</div> <div>\\ P2</div> <div>P1 \\</div> <div>\\\\\\</div> </div> </div>	Pass	Fail
Pass	???	???
Fail	???	???

```

print x, y
if (x + y > 0)
    print 'pass P1'
while (x >= 0) {
    x--;
    y++;
}
if (y > 0)
    print 'pass P2'

```

```

assert (P1);      // Precondition: P1
while (B) {       // loop condition: B
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    S2;
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}
assert (P2);      // Postcondition: P2

```

$P1 \Rightarrow Inv$

$Inv \wedge B \xrightarrow{s} Inv$
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<div style="text-align: center;"> <div style="display: flex; flex-direction: column; align-items: center;"> <div>\\\\\\</div> <div>P2</div> <div>P1</div> <div>\\\\\\</div> </div> </div>	Pass	Fail
Pass	+	+
Fail	???	???

```

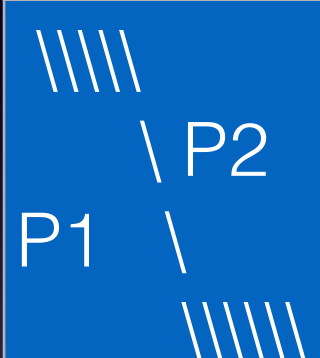
print x, y
if (x + y > 0)
    print 'pass P1'
while (x >= 0) {
    x--;
    y++;
}
if (y > 0)
    print 'pass P2'

```



```
assert (P1);      // Precondition: P1
while (B) {       // loop condition: B
    S1;           // loop body: Si
    S2;
    S3;
}
assert (P2);      // Postcondition: P2
```

$$P1 \Rightarrow Inv$$
$$Inv \wedge B \xrightarrow{s} Inv$$
$$Inv \wedge !B \Rightarrow P2$$

	Pass	Fail
Pass	+	counter example
Fail	???	???

```
print x, y
if (x + y > 0)
    print 'pass P1'
while (x >= 0) {
    x--;
    y++;
}
if (y > 0)
    print 'pass P2'
```


$$\frac{P1 \Rightarrow Inv}{Inv \wedge B \xrightarrow{s} Inv}$$

$$Inv \wedge !B \Rightarrow P2$$

```
print x, y
if (x + y > 0)
    print 'pass P1'
while (x >= 0) {
    x--;
    y++;
}
if (y > 0)
    print 'pass P2'
```

Sample-invariant table

<div>\\\\\\\\ P1 \ P2 \\\\\\\\</div>	Pass	Fail
Pass	+	counter example
Fail	unknown	-

```
print x, y
if (x + y > 0)
    print 'pass P1'
while (x >= 0) {
    x--;
    y++;
}
if (y > 0)
    print 'pass P2'
```


1\2	P	F
P	+	x
F	?	-

Collecting data

- random generate test input
- (1,0) (2,0) (-1,0) (-2,0)

```
x = rand();
y = rand();

print x, y
if (x + y > 0)
    print 'pass P1'
while (x >= 0) {
    x--;
    y++;
}
if (y > 0)
    print 'pass P2'
```

1\2	P	F
P	+	x
F	?	-

Labelling data

- random generate test input
 - (1,0) (2,0) (-1,0) (-2,0)
- label these test cases according to sample-invariant table
 - + (1,0) (2,0)
 - - (-1,0) (-2,0)

```
x = rand();
y = rand();

print x, y
if (x + y > 0)
    print 'pass P1'
while (x >= 0) {
    x--;
    y++;
}
if (y > 0)
    print 'pass P2'
```

Finding a classifier

1\2	P	F
P	+	x
F	?	-

Finding a classifier

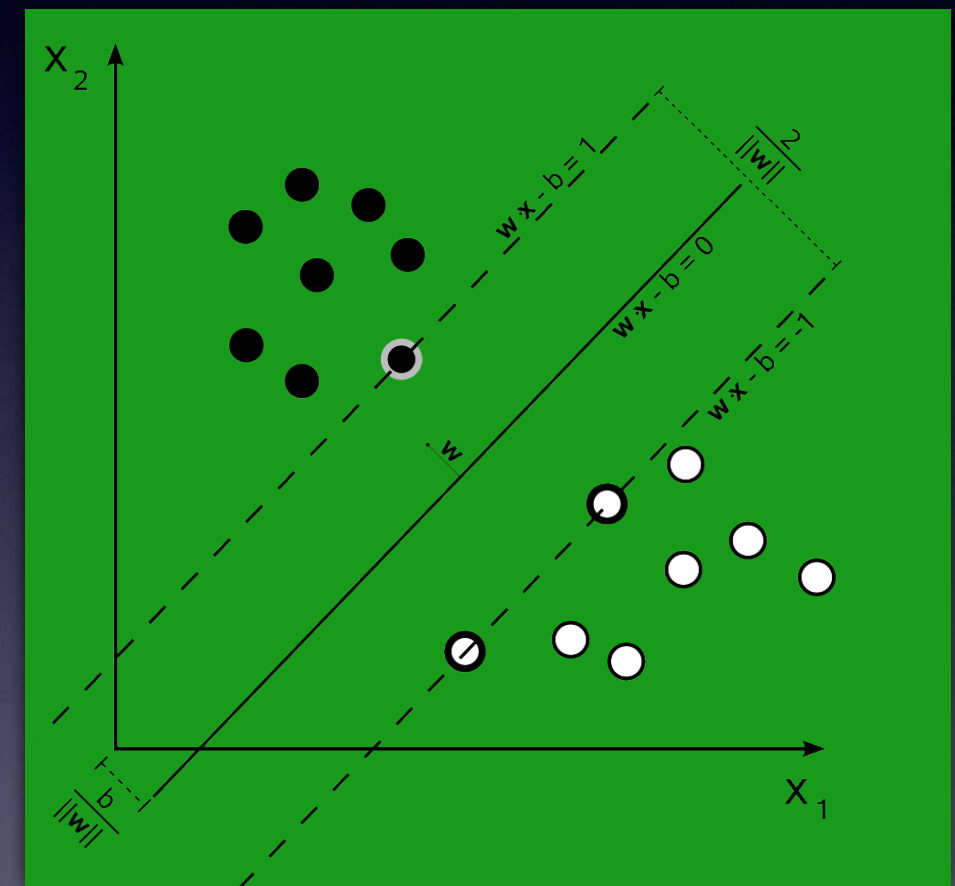
1\2	P	F
P	+	x
F	?	-

- A typical Machine Learning problem

1\2	P	F
P	+	x
F	?	-

Finding a classifier

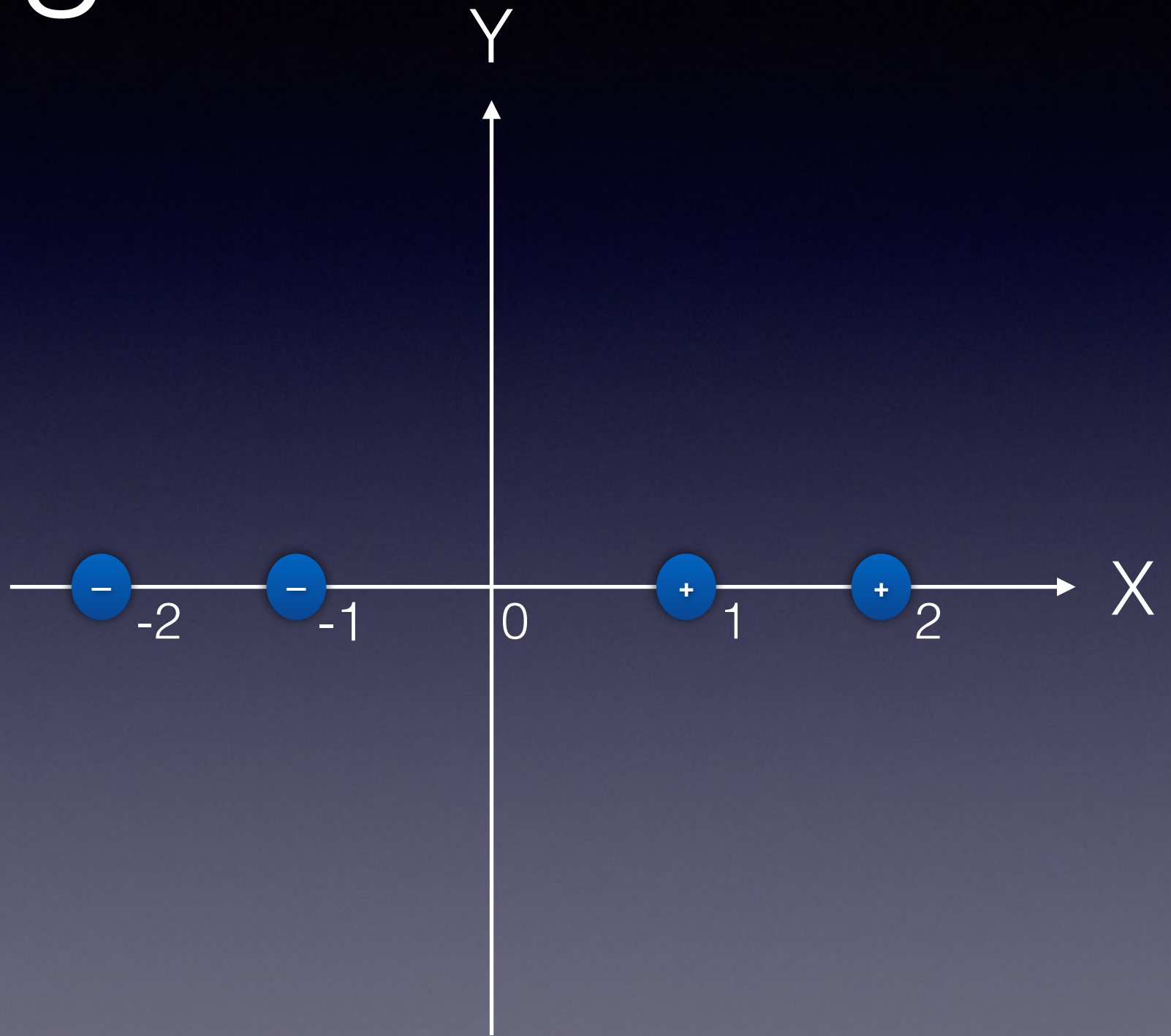
- A typical Machine Learning problem
- SVM (Support Vector Machine)
 - a separator which has maximum distance with nearest samples



Getting candidate

1\2	P	F
P	+	x
F	?	-

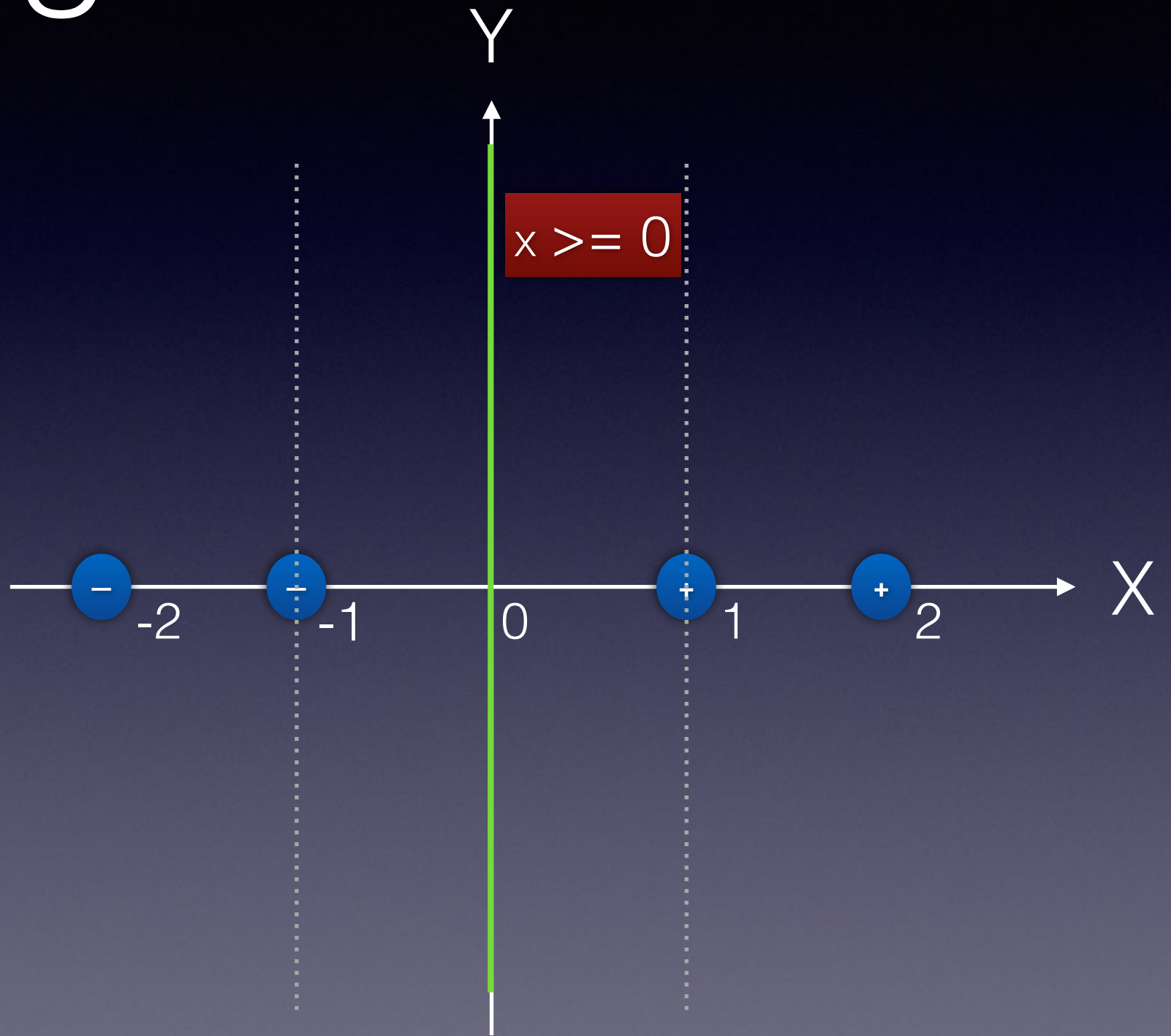
- + (1,0) (2,0)
- - (-1,0) (-2,0)



Getting candidate

1\2	P	F
P	+	x
F	?	-

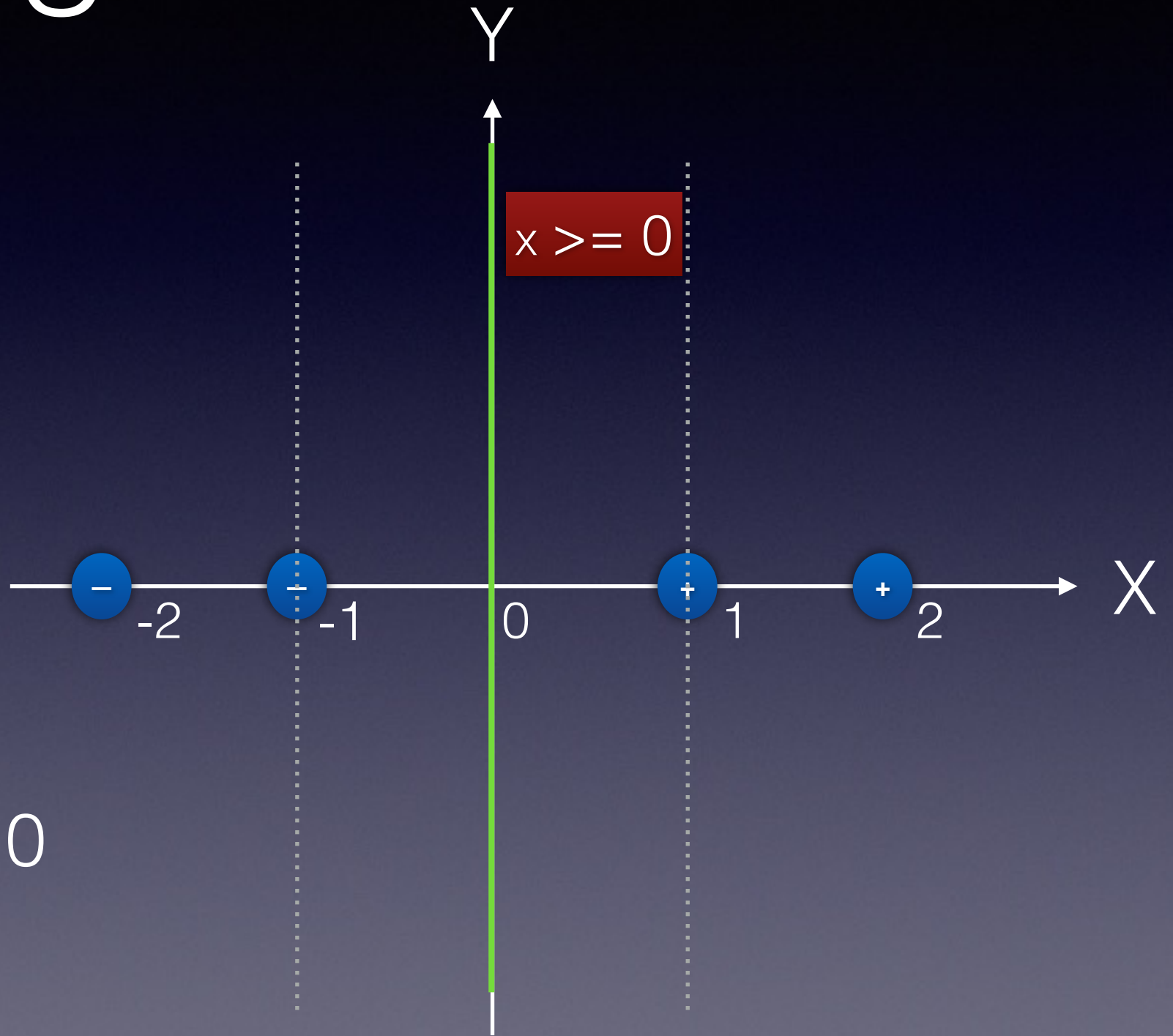
- + (1,0) (2,0)
- - (-1,0) (-2,0)



1\2	P	F
P	+	x
F	?	-

Getting candidate

- + (1,0) (2,0)
- - (-1,0) (-2,0)



- candidate: $x \geq 0$

1\2	P	F
P	+	x
F	?	-

Refining candidate

- candidate: $x \geq 0$

```

x = rand();
y = rand();

print x, y
if (x + y > 0)
    print 'pass P1'
while (x >= 0) {
    x--;
    y++;
}
if (y > 0)
    print 'pass P2'

```

1\2	P	F
P	+	x
F	?	-

Refining candidate

- candidate: $x \geq 0$
- from candidate to test cases
 - (0,-1) (0,0) (0,1)

```
x = rand();
y = rand();

print x, y
if (x + y > 0)
    print 'pass P1'
while (x >= 0) {
    x--;
    y++;
}
if (y > 0)
    print 'pass P2'
```


1\2	P	F
P	+	x
F	?	-

Refining candidate

- candidate: $x \geq 0$
- from candidate to test cases
- label these test cases
 - - (0,-1)
 - ? (0,0)
 - + (0,1)

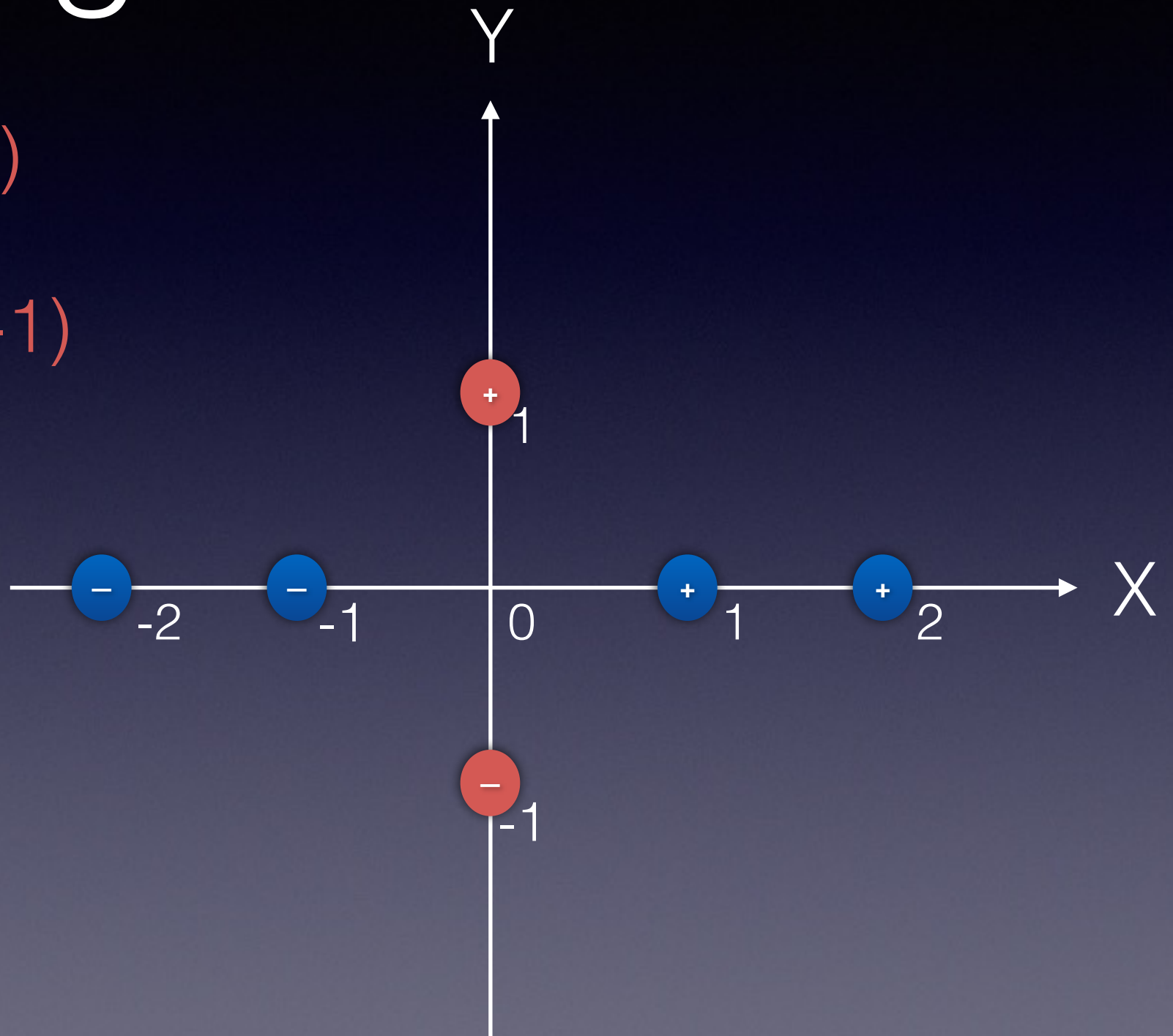
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y = rand();

print x, y
if (x + y > 0)
    print 'pass P1'
while (x >= 0) {
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    y++;
}
if (y > 0)
    print 'pass P2'
```

1\2	P	F
P	+	x
F	?	-

Refining candidate

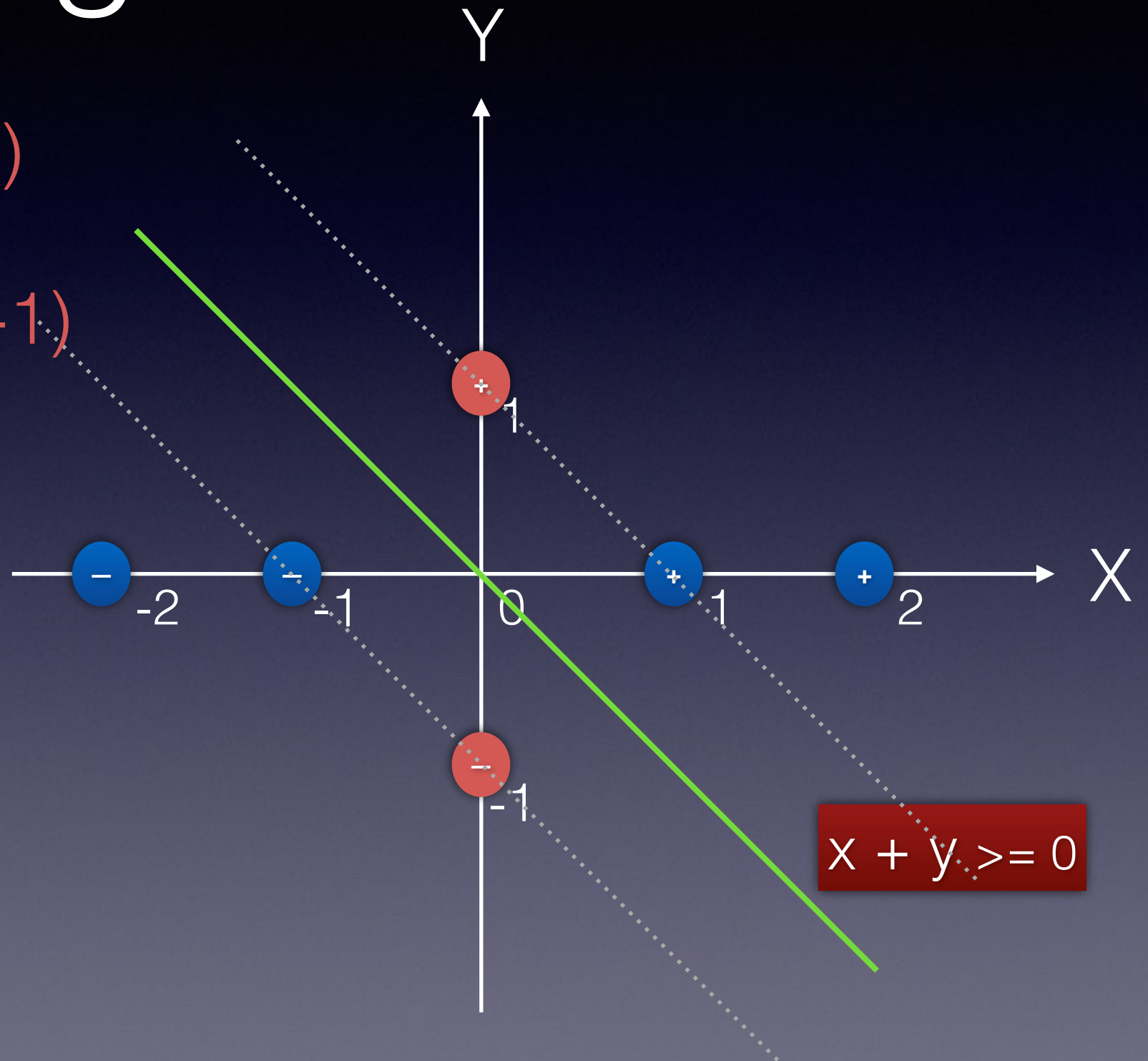
- + (1,0) (2,0) (0,1)
- - (-1,0) (-2,0) (0,-1)
- ~~? (0,0)~~



1\2	P	F
P	+	x
F	?	-

Refining candidate

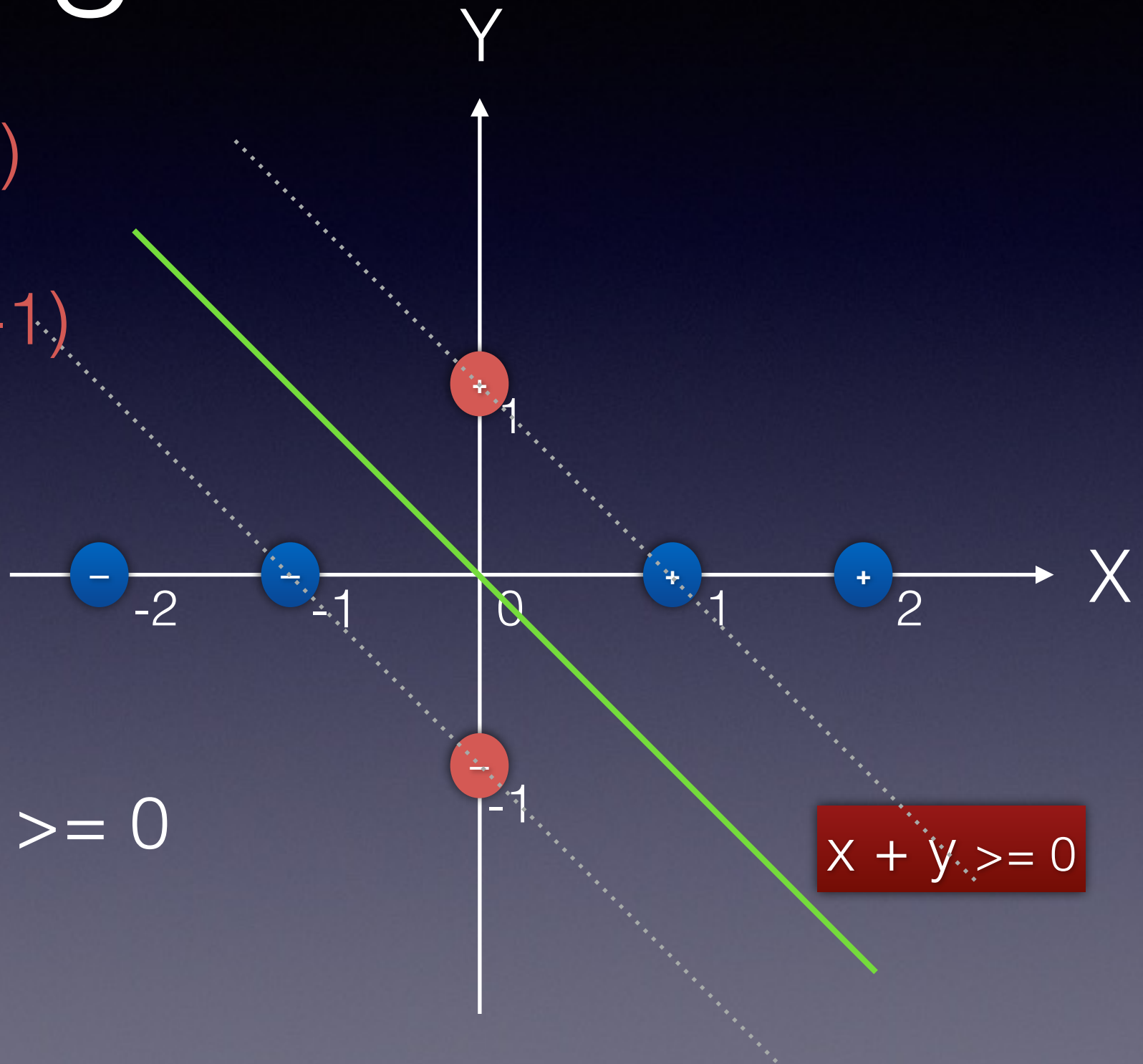
- + (1,0) (2,0) (0,1)
- - (-1,0) (-2,0) (0,-1)
- ~~? (0,0)~~



1\2	P	F
P	+	x
F	?	-

Refining candidate

- + (1,0) (2,0) (0,1)
- - (-1,0) (-2,0) (0,-1)
- ~~?(0,0)~~



- candidate: $x + y \geq 0$

1\2	P	F
P	+	x
F	?	-

Refining candidate

- Repeat refining process until convergence
-
- loop invariant candidate: $x + y \geq 0$

Checking candidate

```
assert (x + y > 0);           // Precondition: P1      P1=>Inv
while (x >= 0) {               // loop condition: B      Inv /\ B --s--> Inv
    x--;                       // loop body: Si
    y++;
}
assert (y > 0);                // Postcondition: P2      Inv /\ !B => P2
```

Checking candidate

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assert (x + y > 0);           // Precondition: P1      P1=>Inv
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```

- $\{x+y>0\} \Rightarrow \{x+y>=0\}$

Checking candidate

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assert (x + y > 0);           // Precondition: P1      P1=>Inv
while (x >= 0) {               // loop condition: B      Inv /\ B --s--> Inv
    x--;                       // loop body: Si
    y++;
}
assert (y > 0);                // Postcondition: P2      Inv /\ !B => P2
```

- $\{x+y>0\} \Rightarrow \{x+y>=0\}$
- $\{x+y>=0\} \wedge \{x>=0\} \Rightarrow \{newX+newY>=0\}$

Checking invariant strength

```
assert (x + y > 0);           // Precondition: P1      P1=>Inv
while (x >= 0) {               // loop condition: B      Inv /\ B --s--> Inv
    x--;                       // loop body: Si
    y++;
}
assert (y > 0);                // Postcondition: P2      Inv /\ !B => P2
```

- $\{x+y>0\} \Rightarrow \{x+y>=0\}$
- $\{x+y>=0\} \wedge \{x>=0\} \Rightarrow \{newX+newY>=0\}$
- $\{x+y>=0\} \wedge \{x<0\} \Rightarrow \{y>0\}$

What we have done

What we have done

- We have found loop invariant candidate

What we have done

- We have found loop invariant candidate
- and we have proved it is really loop invariant

What we have done

- We have found loop invariant candidate
- and we have proved it is really loop invariant
- and also strong enough to prove postcondition

So.....

- We finish proving.

Thank you

- Welcome to visit and join our group.

Thank you

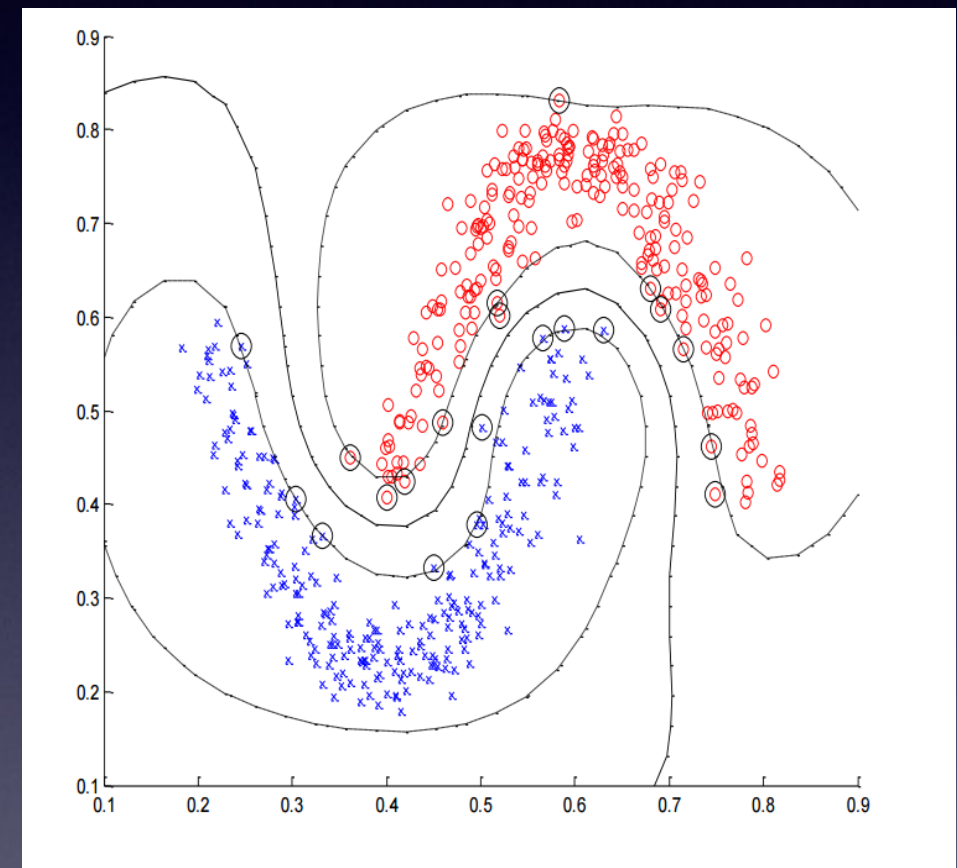
- Welcome to visit and join our group.
- Questions?

Thank you

Finding a classifier

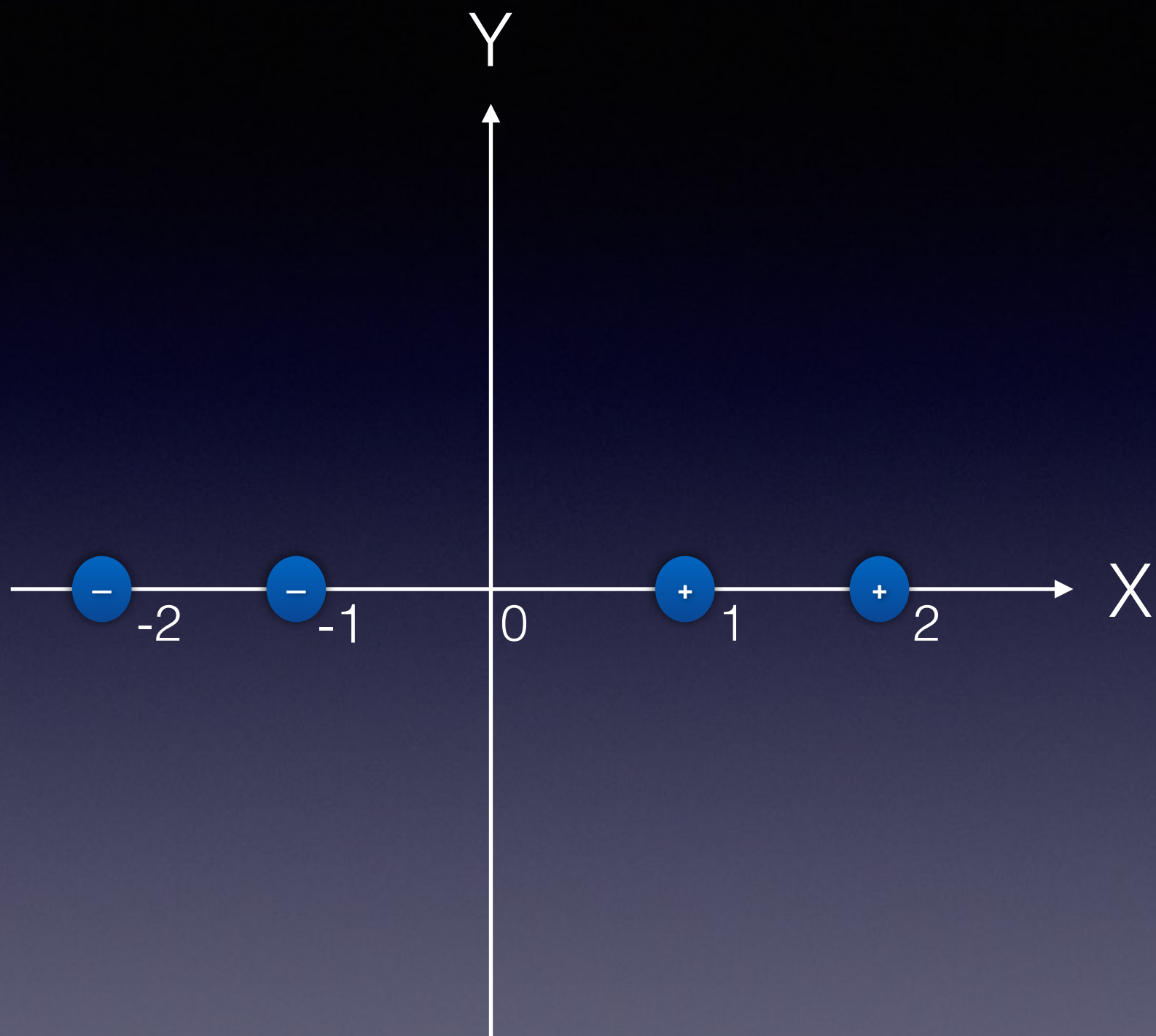
1\2	P	F
P	+	x
F	?	-

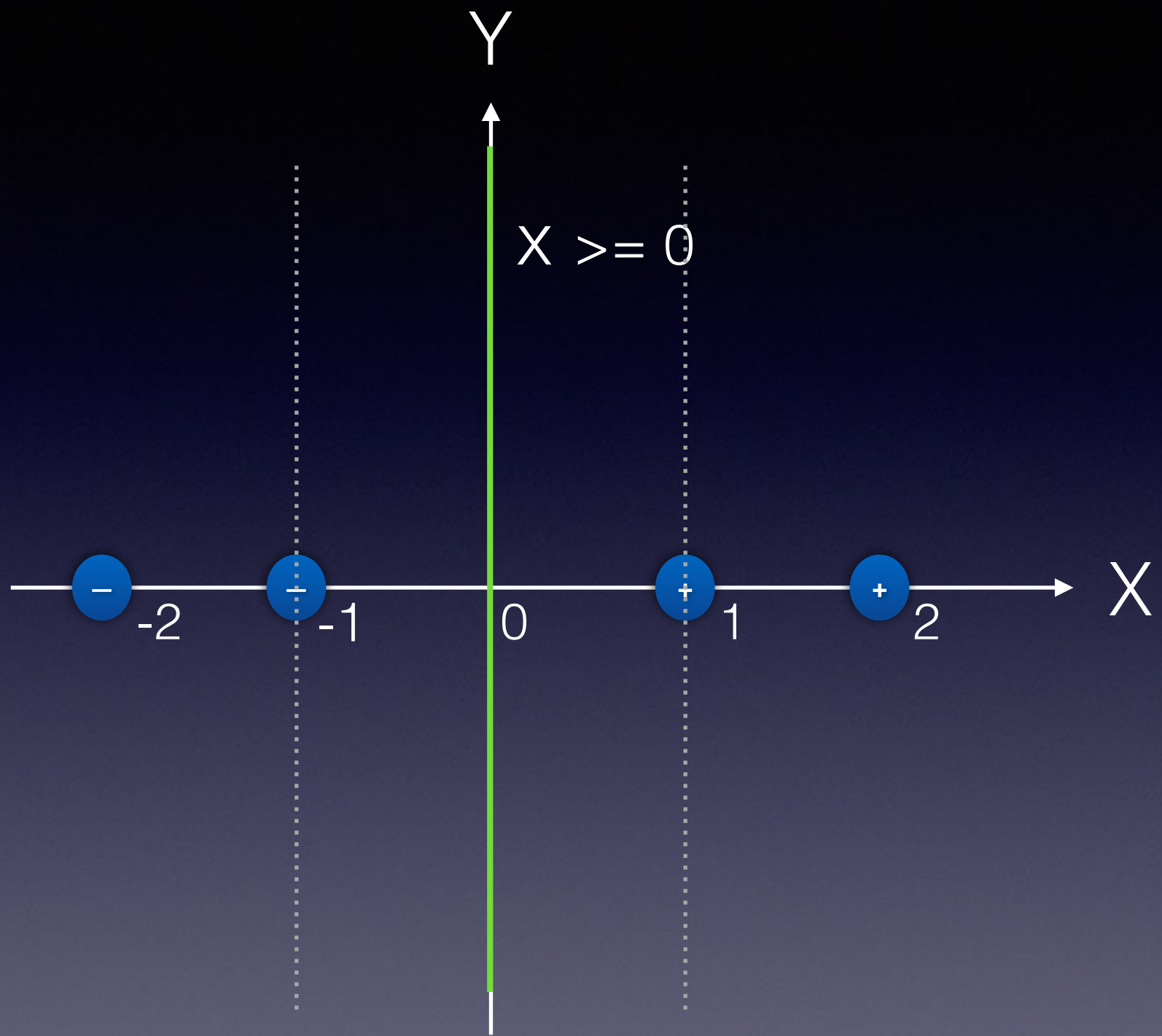
- A typical Machine Learning problem
- SVM (Support Vector Machine)
 - a separator which has maximum distance with nearest samples
- Kernel method to handle non-linear case

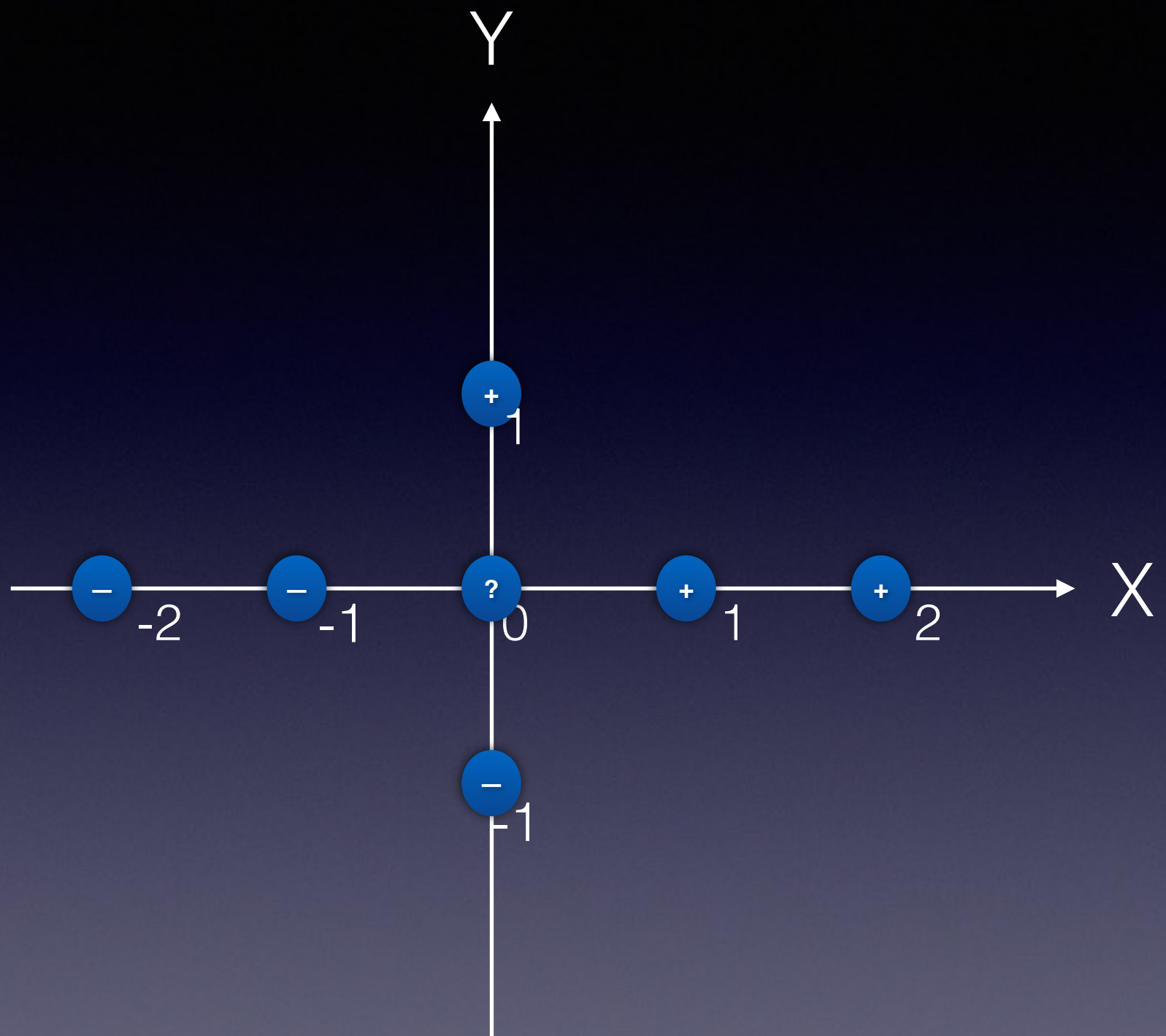


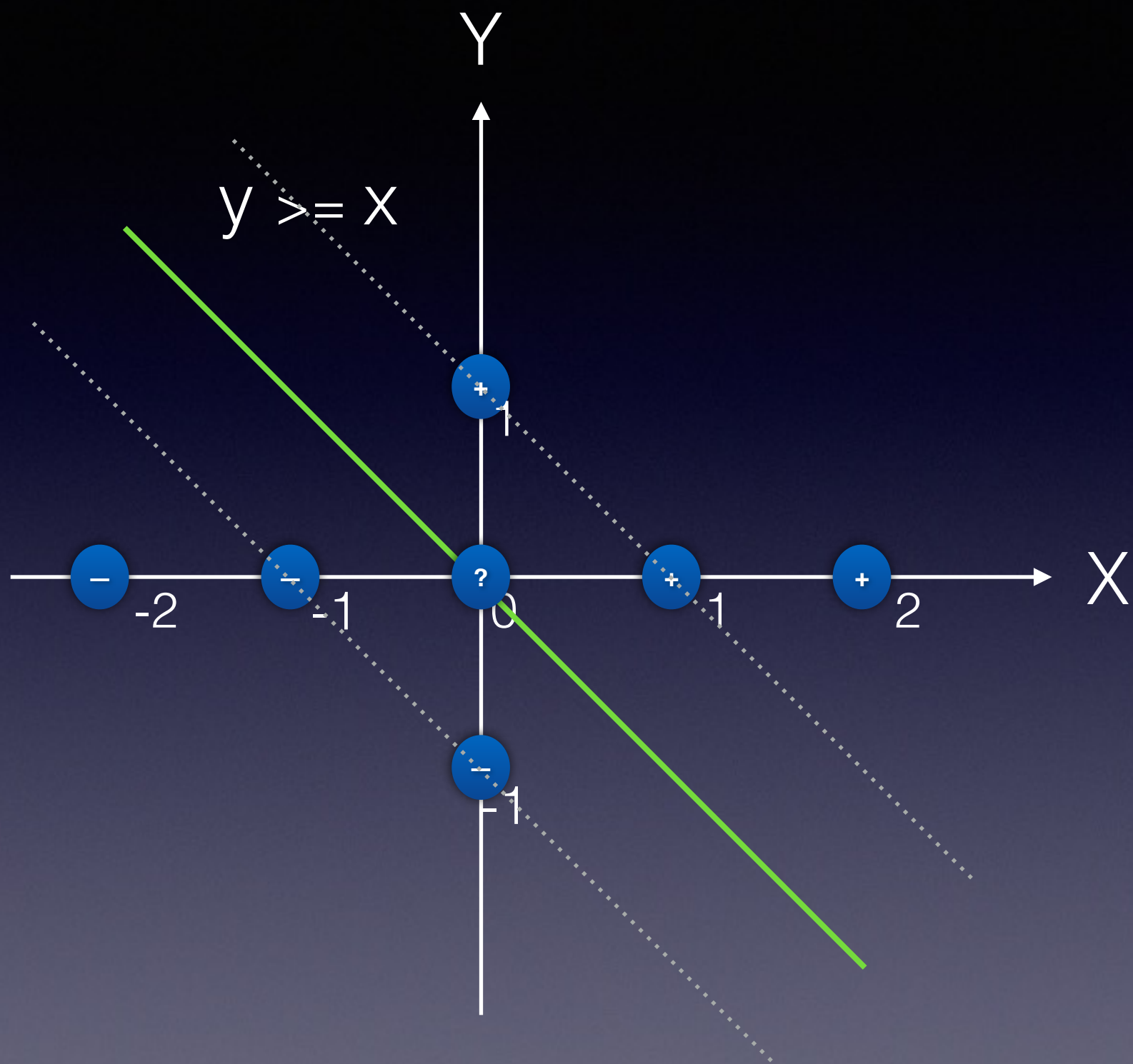
Using SVM to learn loop invariant

- 1. Instrument program.
- 2. Run program to gather data.
- 3. Use SVM to classify, get invariant candidate.
- 4. Check convergence.
- 5. Check loop invariant validation.
- 6. Check the strength of loop invariant.







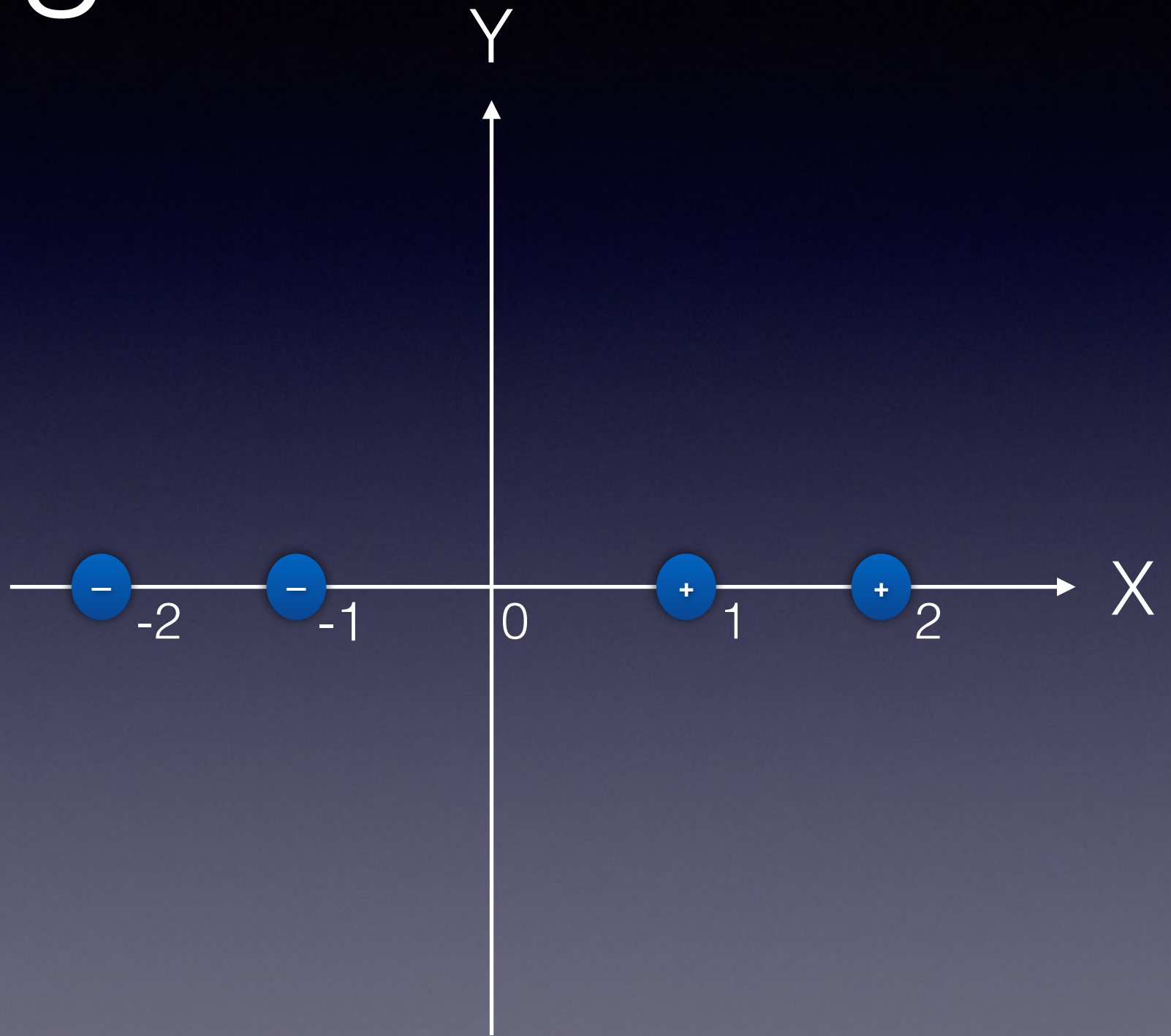


So.....

Getting candidate

1\2	P	F
P	+	x
F	?	-

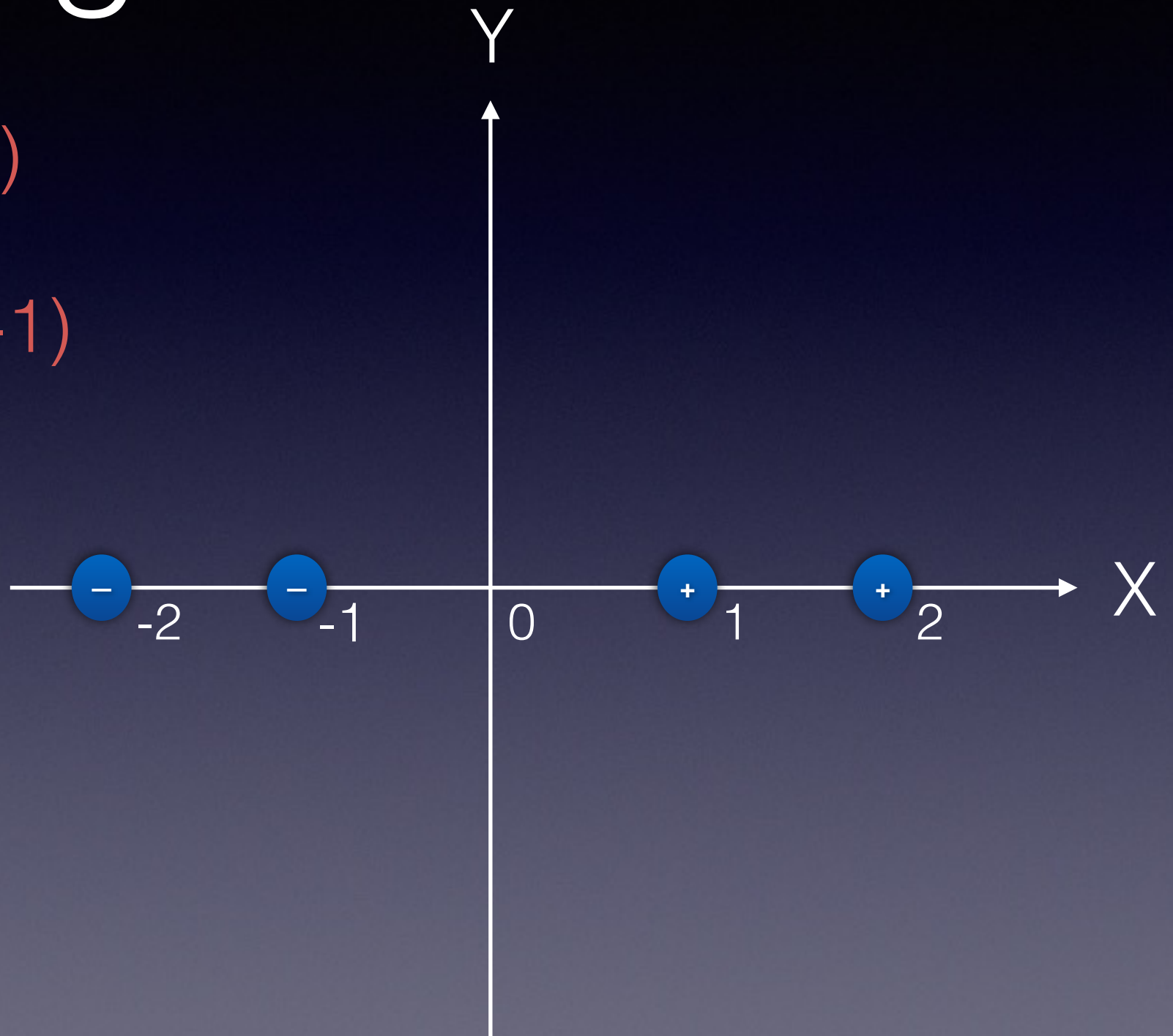
- + (1,0) (2,0)
- - (-1,0) (-2,0)



1\2	P	F
P	+	x
F	?	-

Refining candidate

- + (1,0) (2,0) (0,1)
- - (-1,0) (-2,0) (0,-1)
- ~~? (0,0)~~



Checking candidate

```
assert (x + y > 0);  
while (x >= 0) {  
    x--;  
    y++;  
}  
assert (y > 0);
```

Checking candidate

```
assert (x + y > 0);
```

```
while (x >= 0) {
```

```
    x--;
```

```
    y++;
```

```
}
```

```
assert (y > 0);
```

```
// Precondition: P1
```

```
// loop condition: B
```

```
// loop body: Si
```

```
// Postcondition: P2
```

$P1 \Rightarrow Inv$

$Inv \wedge B \text{ --S--> } Inv$