

# Quantum affine algebras and KLR algebras

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Joint with Elie Casbi

## Motivation

Recently, Baumann-Kamnitzer-Knutson introduced a remarkable algebra morphism

$$\bar{D}: \mathbb{C}[N] \rightarrow \mathbb{C}(\alpha_1, \dots, \alpha_n)$$

in their proof of a conjecture of Mutrah about MV basis of  $\mathbb{C}[N]$ .

Here  $N$  is a maximal unipotent subgroup of a semisimple Lie group of type ADE.  $\alpha_1, \dots, \alpha_n$  are simple roots of the root system of  $G$ .

$\mathbb{C}[N]$  has a monoidal categorification using representations of quantum affine alg introduced by Hernandez and Leclerc<sup>(2010)</sup>. They introduced a category  $\mathcal{C}^{\leq \xi}$  and conjectured that  $\mathcal{C}^{\leq \xi}$  is a monoidal categorification of the cluster alg  $K_{\circ}(\mathcal{C}^{\leq \xi})$ .



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② This conjecture was proved by Kang-Kashiwara-Kim-Oh

2018

(in 2017)

Kashiwara-Kim-Oh-Park

2021

$\mathbb{C}[N]$  is a subalg of  $K_0(\mathbb{C}^{\mathfrak{g}})$ .

With E. Casbi, we defined an alg morphism

$$\tilde{D} : K_0(\mathbb{C}^{\mathfrak{g}}) \longrightarrow (\mathbb{C}(\alpha_1, \dots, \alpha_n))$$

and proved that  $\tilde{D}|_{\mathbb{C}[N]} = D$ .

Moreover, using  $\tilde{D}$  and  $D$ , we can recover information of  $q$ -character of reps of  $U_q(\mathfrak{g})$  using ungraded character of modules of KLR algebras and vice versa.

Notation

$q \in \mathbb{C}^*$  not a root of unity.



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$\mathfrak{g}$  the Lie alg of  $G$

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$U_q(\hat{\mathfrak{g}})$  the quantum affine algebra associated to  $\hat{\mathfrak{g}}$ .

I set of vertices of the Dynkin diagram of  $\mathfrak{g}$ .

$P$  the abelian group generated by  $y_{i,a}^{\pm 1}$ ,  
 $i \in I$ ,  $a \in \mathbb{C}^\times$ .

$P^+$  submonoid of  $P$  generated by  $y_{i,a}$ ,  
 $i \in I$ ,  $a \in \mathbb{C}^\times$ .

Fix  $a \in \mathbb{C}^\times$ , denote  $y_{i,s} = y_{i,aq^s}$ .

$i \in I$ ,  $s \in \mathbb{Z}$ .

$C$  category of finite dimensional  $U_q(\hat{\mathfrak{g}})$ -modules.

For an object  $V$  in  $C$ , its  $q$ -character  
(Frenkel-Reshetikin 98) is



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$$V = \{v \in V \mid \exists k \in N, \forall i \in I, m \geq 0, (\phi_{i,\pm}^{\pm} - \gamma_{i,\pm}^{\pm})^k v = 0\} \quad \left| \begin{array}{l} \phi_{i,\pm}^{\pm} \in U_q(\hat{g}) \\ Q_i(u) = \prod_{a \in C} (1 - u^{w_{i,a}}) \\ R_i(u) = \prod_{a \in C} (1 - u^{x_{i,a}}) \\ \text{identify } \gamma \text{ with } \gamma_{i,a} = x_{i,a} \end{array} \right.$$

$\gamma = (\gamma_{i,\pm}^{\pm})_{i \in I, \pm \in \{+, -\}}$   
 $\gamma$  is called an weight.  
 $\gamma_{i,\pm}^{\pm} = \sum_{m=0}^{\infty} \gamma_{i,\pm}^{\pm} u^m$   
 $\chi_q(V) = \sum_{m \in P} \dim(V_m) m$

$V_m$  is the  $l$ -weight space of  $V$  with  $l$ -weight  $m \in P$ .

Simple objects in  $C$  are of the form  $L(m)$ ,  $m \in P^+$ .  
 (Chari-Pressley).

$L(\gamma_{i,s})$  is called a fundamental module.

$L(\gamma_{i,s}, \gamma_{i,s+1}, \dots, \gamma_{i,s+k})$  is called a Kirillov-Reshetkin module.  
 (in type A)

cluster structure of  $C[N]$ .

type  $A_4$ ,  $N \subset SL_5$



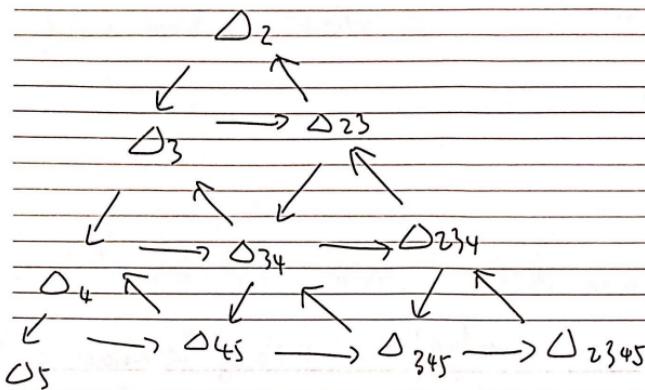
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initial seed

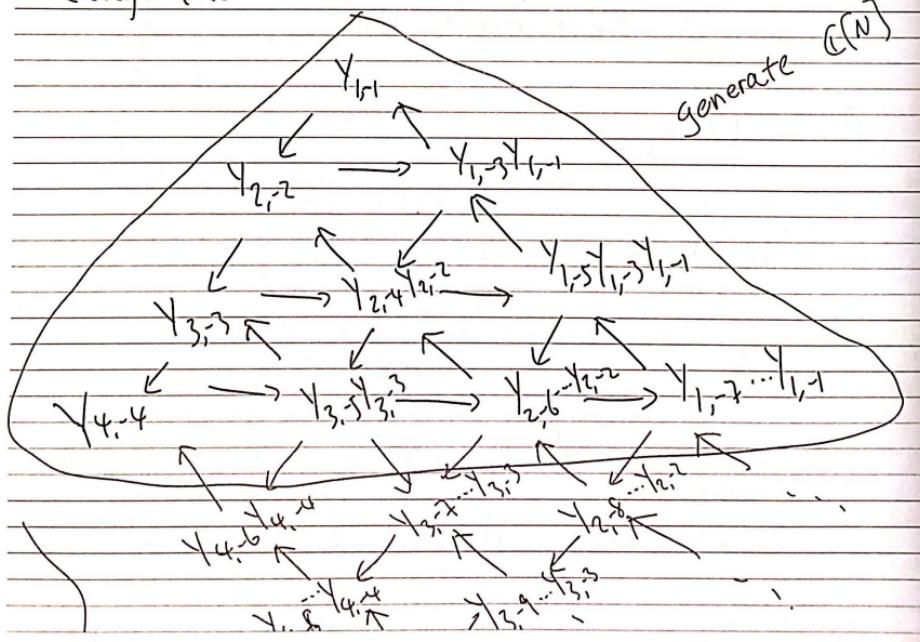
$\Delta_{23}$  means the minor

$\Delta_{12,23}$



$$\Delta_{12,23} \left( \begin{array}{ccc} 1 & \overset{2-3}{\sim} & * \\ 1 & . & 1 \end{array} \right)$$

Categorification of  $\mathbb{C}[N]$  (Hernandez-Lecerc)



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generate  
 $K_0(C^*(\xi))$

Categorification of  $\mathbb{C}[[N]]$  using modules of KLR  
algebras (Kang-Kashiwara-Kim  
2018)

$M = \text{set of finite words over } I$ ,

$$Q^+ = \bigoplus_i \mathbb{Z}_{\geq 0} \alpha_i$$

$$j = (j_1, \dots, j_d) \in M.$$

$$w(j) = \sum_{i \in I} \# \{ k \mid j_k = i \} \alpha_i \in Q^+$$

KLR alg is a family  $\{ R(\beta) \mid \beta \in Q^+ \}$  of  
associative  $\mathbb{C}$ -alg. For each  $\beta \in Q^+$ ,  $R(\beta)$  is



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⑦ generated by 3 kinds of generators,  $x_1, \dots, x_n$ ,

$\tau_1, \dots, \tau_{n-1}, e(j)$ ,  $j \in \text{Seq}(\beta) = \{j \in M \mid w(j) \leq \beta\}$

$R(\beta)\text{-mod} = \text{Category of finite dim } R(\beta)\text{-modules}$

$$R\text{-mod} = \bigoplus_{\beta} R(\beta)\text{-mod}$$

$i = (i_1, \dots, i_N)$  reduced word of  $w_0$ .

$$\gamma_j^* = \prod (s_{i_1} \cdots s_{i_j} w_{i_j}, s_{i_{j+1}} \cdots s_{i_N} w_{i_N}), 1 \leq j \leq N.$$

dual root vector

$$\beta_j = s_{i_1} \cdots s_{i_{j-1}} (a_{i_j}) \in R^+, \text{ set of positive roots.}$$

McNamara proved that  $\exists$  simple modules in

$R\text{-mod}$ . unique up-to isomorphism, such that

$$[s_{\beta_j}] = \gamma_j^* \quad \text{for } j \in \{1, \dots, N\}. \quad s_{\beta_j} \text{ are called}$$

cuspidal modules.

A word is called Lyndon if  $x < \text{all its proper right factors.}$

$\neq p$

$$\max(x) = \text{largest word in } x.$$

Every word  $i$  has a unique factorization  $i = i^{(1)} \cdots i^{(k)}$  such that  $i^{(1)} \geq \cdots \geq i^{(k)}$ .  
 $i^{(1)}$  are Lyndon. highest weight of simple modules  $\leftrightarrow$  good words. highest weight, dominant

Generalizing Leclerc's algorithm, Brundan-Kleshchev

McNamara

described a procedure producing a word of cuspidal

An irreducible module  $L \in \text{Rep}(R_p)$  is called cuspidal if its highest weight is a good Lyndon word.



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$j_\beta \in M$  for each  $\beta \in R^+$ ,  $j_\beta$  is called a good (8)

Lyndon word.

(Kleshchev-Ram, McNamara, Brundan-Kleshchev-)  
McNamara

exists bijection

$$\left\{ \text{isomorphism classes of simple objects in } R\text{-mod} \right\} \leftrightarrow \begin{array}{l} \text{dominant words} \\ = \{ \text{products of good Lyndon words} \} \\ (c_1, \dots, c_n) \in N^{R^+} \end{array}$$

$\downarrow$  maximal simple quotient       $\downarrow c_n \dots c_1$  are called dominant words

$$M \otimes N = R(\beta + \gamma) \otimes_{K(\beta) \otimes K(\gamma)} M \otimes N$$

(Khovanov-Lauda 2009, Rouquier 2012)

exists algebra isomorphism

$$C \otimes k.(R\text{-mod}) \cong C[N].$$

(Rouquier 2012, Varagnolo-Vasserot 2011)

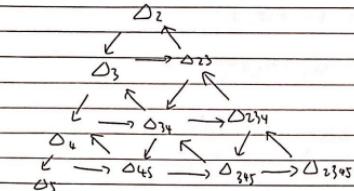


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The above isomorphism induces a bijection

$\{ \text{classes of simple objects in } R\text{-mod} \} \leftrightarrow \text{dual canonical basis of } (\mathbb{C}[N])$



(1)

(12)

(2)(1)

(3)(2)(1)

(123)

(23)(12)

(234)

(234123)

(34)(23)(12)

(4)(3)(2)(1)

$s_i(Q) = \text{reverse arrows connecting to } i \text{ in } Q,$   
 $(Q \text{ orientation of Dynkin diagram.})$

$s_1, \dots, s_k$  is adapted to  $Q$ .

If  $i_1$  is source of  $Q$ ,

$s_{i_1}$  is source of  $s_i(Q)$

$s_{i_2}$  is source of  $s_{i_1}(Q)$ .

$\dots$   $s_{i_k}$  is source of  $s_{i_{k-1}}(Q)$ .

123

123

↔

↔

(Naoki's talk yesterday)

exists unique Coxeter element having reduced expression adapted to  $Q$ .

Generalized quantum affine Schur Weyl duality

(Chari-Pressley type A, Kang-Kashiwara-Kim 2018)

reduced expression  $W = s_{i_1} \dots s_{i_k} \dots s_{i_m}$  choose total order on  $1, 2, 3, 4$ .  
 $T = s_1, \dots, s_k$ .  $T$  adapt to  $Q$ . it gives ordering of positive roots.

$A_4$ , choose  $\xi(i) = -i$  for  $i = 1, 2, 3, 4$ .

Lecture's algorithm gives a convex order on positive roots.

fundamental monomial good Lyndon word gives positive roots by taking weights.  $\alpha_1, \alpha_1 + \alpha_2, \alpha_2, (12) \rightarrow \alpha_1 + \alpha_2 + \alpha_3, \alpha_1 + \alpha_3, \alpha_2 + \alpha_3$

$y_i = \sum \alpha_j$   $\longrightarrow$  (1)  $\longrightarrow$  (2)  $\longrightarrow$  (3)  $\longrightarrow$   $\alpha_2$  good Lyndon words.  $\alpha_1, \alpha_1 + \alpha_2, \alpha_2, (12) \rightarrow \alpha_1 + \alpha_2 + \alpha_3, \alpha_1 + \alpha_3, \alpha_2 + \alpha_3$

$B(i) = 1$  if  $\exists$  path  $i \rightarrow j$   $y_{i,-1} \longrightarrow$  (1)  $\longrightarrow$  (2)  $\longrightarrow$  (3)  $\longrightarrow$   $\alpha_3$   $\longrightarrow$   $\alpha_3$   $\longrightarrow$   $\alpha_3 + \alpha_4, \alpha_2 + \alpha_3 + \alpha_4, \alpha_3 + \alpha_4$

$y_{i,s} \leftarrow T$   $y_{i,-5} \longrightarrow$  (3)  $\longrightarrow$   $\alpha_3$   $\longrightarrow$   $\alpha_3$   $\longrightarrow$   $\alpha_3 + \alpha_4$   $\longrightarrow$   $\alpha_4$   $\longrightarrow$   $\alpha_4$   $\longrightarrow$  Coxeter element  $T = s_1 s_2 s_3$



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$$\begin{aligned}
 Y_{1,-7} &= (4) & \alpha_4 \\
 Y_{2,-7} &= (1_2) & \alpha_1 + \alpha_2 \\
 Y_{2,-4} &= (2_3) & \alpha_1 + \alpha_3 \\
 Y_{2,-6} &= (3_4) & \alpha_3 + \alpha_4 \\
 Y_{3,-7} &= (1_2 3) & \alpha_1 + \alpha_2 + \alpha_3 \\
 Y_{3,-5} &= (1_2 3 4) & \alpha_1 + \alpha_2 + \alpha_3 + \alpha_4 \\
 Y_{4,-4} &= (1_2 3 4) & \alpha_1 + \alpha_2 + \alpha_3 + \alpha_4
 \end{aligned}$$

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The map  $\bar{D}$

For  $\beta \in R^+$ ,  $M$  in  $R(\beta)\text{-mod}$ .

$M = \bigoplus_{j \in \text{Seq}(\beta)} e(j) \cdot M$  weight space decomposition

$$\bar{D}(M) = \sum_{j=(j_1, \dots, j_d) \in \text{Seq}(\beta)} \dim(e(j) \cdot M) \underbrace{\alpha_{j_1} (\alpha_{j_1} + \alpha_{j_2}) \dots (\alpha_{j_1} + \dots + \alpha_{j_d})}_{|}$$

$$(\text{Casbi-L}) \quad \overline{I}^{\leq \xi} = \{(i, p) \mid i \in I, p \in \zeta(i) + 2\mathbb{Z}_{\geq 0}\}$$

$$\tilde{c}_{ij} (s-p=1)$$



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$$\tilde{D}(Y_{i,p}) = \prod_{\substack{(j,s) \in I \\ s \neq i}} \left( \varepsilon_{j,s} \frac{(\gamma_j - s)/2}{\gamma_j} \right)^{\tilde{c}_{ij}^{(s-p+1)}} - \tilde{c}_{ij}^{(s-p+1)}$$

certain

$\mathbb{Q}$  is an orientation of Dynkin diagram.  $I_Q$  a Coxeter element

$(\tilde{C}_{ij}(z))$  is the inverse of the quantum

Cartan matrix.

$$\tilde{C}_{ij}(z) = \sum_{m \geq 1} \tilde{c}_{ij}^{(m)} z^m$$

$\varepsilon_{j,s}$  is some element in  $\{1, -1\}$ .

$$(\mathbb{C}[N]) \xrightarrow{\sim} (\mathbb{C} \otimes K_0(C_Q)) \xrightarrow{\tilde{\chi}_q} (\mathbb{C} \otimes)_Q$$

$$\begin{array}{ccc} \overline{D} & \xrightarrow{\sim} & C(\alpha_i \mid i \in I) \\ & \searrow & \swarrow \\ & Q & \\ & \swarrow & \searrow \\ \tilde{D}_Q & & \end{array}$$

$\tilde{\chi}_q$  is the truncated  $q$ -character map.

$$\gamma_Q = \mathbb{Z}[Y_{i,p}^{\pm 1} \mid (i,p) \in I_Q].$$



(Corollary)

For any simple  $U_q(\hat{g})$  module  $L(M)$ ,

We have

$$\sum_{m'} \dim(V_{m'}) \tilde{D}_\alpha(m') = \sum_{j=(j_1, \dots, j_d)} \dim((F_\alpha(L(M)))_j) \tilde{D}_\alpha$$

$F_\alpha$  is the generalized quantum affine

Schur Weyl dual functor.

$V_{m'}$ 's are  $l$ -weight subspaces.

$(F_\alpha(L(M)))_j$  is a weight subspace of  $F_\alpha(L(M))$ .

$$\tilde{D}_j = \overbrace{\quad}^l \alpha_{j_1} (\alpha_{j_1} + \alpha_{j_2}) \cdots (\alpha_{j_1} + \cdots + \alpha_{j_d})$$

Using this formula, we can obtain



information of  $q$ -character of  $L(M)$  (13)

and ungraded character  $F_Q(L(M))$  from each other.

For example. by computing the  $q$ -character of  $L(Y_{2,-2}Y_{2,-4}Y_{1,-7})$  and apply the map  $\tilde{D}$ ,

we have

$$\tilde{D}(L(Y_{2,-2}Y_{2,-4}Y_{1,-7})) = \overbrace{\alpha_2\alpha_4(\alpha_1+\alpha_2)(\alpha_2+\alpha_3+\alpha_4)(\alpha_1+\alpha_2+\alpha_3+\alpha_4)}^{\text{1}} \quad |$$

$$F_Q(L(Y_{2,-2}Y_{2,-4}Y_{1,-7})) = L\left(\begin{smallmatrix} (4)(23)(12) \\ \uparrow \quad \uparrow \quad \uparrow \\ Y_{1,-7} \quad Y_{2,-4} \quad Y_{2,-2} \end{smallmatrix}\right)$$

write

$$ch(L(42312)) = c_1(L(42312)) + c_2(L(42132)) + \dots$$

(25 terms)

shuffles of  $42312$

$$\overline{D}(ch(L(42312))) = \tilde{D}(L(Y_{2,-2}Y_{2,-4}Y_{1,-7}))$$



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$$c_1 \overline{\alpha_4(\alpha_2+\alpha_4)(\alpha_2+\alpha_3+\alpha_4)(\alpha_1+\alpha_2+\alpha_3+\alpha_4)} \\ (\alpha_1+2\alpha_2+\alpha_3+\alpha_4)$$

$$+ \cdots = \leftarrow$$

$$\Rightarrow ch(L(42312)) = (42312) + (42132) + (24312) \\ + (21432) + (24132).$$

$$\omega = s_{i_1} \cdots s_{i_k}$$

reduced expression adapt to  $\mathbb{Q}$ :  $i_1$  is a source of  $\mathbb{Q}$ ,  $i_2$  is a source of  $s_{i_1}(\mathbb{Q})$ , ...,  $i_k$  is a source of  $s_{i_{k-1}} \cdots s_{i_1}(\mathbb{Q})$ .

$s_i(\mathbb{Q})$  change arrows connecting  $i$

unique Coxeter element ~~subset~~ adapt to  $\mathbb{Q}$ .



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