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# Identification of autism spectrum disorder using deep learning and the ABIDE dataset



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#### ABSTRACT

The goal of the present study was to apply deep learning algorithms to identify autism spectrum disorder (ASD) patients from large brain imaging dataset, based solely on the patients brain activation patterns. We investigated ASD patients brain imaging data from a world-wide multi-site database known as ABIDE (Autism Brain Imaging Data Exchange). ASD is a brain-based disorder characterized by social deficits and repetitive behaviors. According to recent Centers for Disease Control data, ASD affects one in 68 children in the United States. We investigated patterns of functional connectivity that objectively identify ASD participants from functional brain imaging data, and attempted to unveil the neural patterns that emerged from the classification. The results improved the state-of-the-art by achieving 70% accuracy in identification of ASD versus control patients in the dataset. The patterns that emerged from the classification show an anticorrelation of brain function between anterior and posterior areas of the brain; the anticorrelation corroborates current empirical evidence of anterior-posterior disruption in brain connectivity in ASD. We present the results and identify the areas of the brain that contributed most to differentiating ASD from typically developing controls as per our deep learning model.

# 1. Introduction

The primary goal of psychlatric neuroimaging research is to identify objective bibliographs that may inform the diagnosis and treatment of brain-based disorders. Data-intensive machine learning methods are a promising tool for investigating the primary price plicability of patterns of brain function across larger, more heterogeneous data sets (Varoquaux and Thirion, 2014). The first goal of the present study was to classify autism spectrum disorder (ASD) and control participants based on their respective neural patterns of functional connectivity using resting state functional magnetic resonance imaging (rs-fMRI) data. We used a deep learning method that combined supervised and unsupervised machine learning (ML) methods. The method was applied to a large population sample of brain imaging data, the Autism Imaging Data Exchange I (ABIDE I). The second goal was to investigate the neural patterns associated with ASD that contributed most to the classification; the results are interpreted in the light of the networks of regions within the brain

that differentiate ASD from controls and of previous studies of ASD brain function.

ASD is associated with a range of phenotypes that vary in severity of social, communicative and sensorimotor deficits. ASD diagnostic instruments assess the characteristic social behaviors and language skills (see our result about real-world classification accuracy). Yet neuroscientific research can help bridge the gap between a clear mapping of the complexity of the spectrum of alterations in autism behavior and their neural patterns (Just et al., 2013). Noninvasive brain imaging studies have advanced the understanding of the neural underpinnings of brain-based disorders and their associated behavior, such as ASD and its social and communicative deficits (Aylward et al., 1999; Just et al., 2014; Kana et al., 2009; Schipul et al., 2012). The identification of patterns of activation for ASD and the association of the patterns with neural and psychological components contributes to the understanding of the etiology of mental disorders (Jordan and Mitchell, 2015; Just et al., 2014).

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One of the challenges to brain imaging studies of brain disorders is to replicate findings across larger, more demographically heterogeneous datasets that reflect the heterogeneity of clinical populations. Recently, ML algorithms have been applied to brain imaging data to extract replicable brain function patterns. These algorithms can extract replicable, robust neural patterns from brain imaging data of psychiatric disorder patients (Pereira et al., 2009).

# 2. Machine-learning and disease state prediction: the next frontier for understanding the brain and psychiatric disorders

The combination of machine-learning methods with brain imaging data has allowed for the classification of mental states associated with the representation of semantic categories (Haxby, 2001; O'Toole et al., 2005), of the meanings of nouns (Buchweitz et al., 2012; Mitchell et al., 2008; Shinkareva et al., 2011), of emotions (Kassam et al., 2013), and of learning (Bauer and Just, 2015). In the case of mental disease states, studies have identified patients of brain activation associated with schizophrenia (Yang et al., 2010), with autism (Just et al., 2014), and with depression (Craddock et al., 2009). Studies that applied ML algorithms to ASD brain imaging data have classified individuals as autistic or control from their fMRI brain activation with up to 97% accuracy within single sites. They also identified a pattern of brain activation associated with a psychological factor (self-representation). The pattern was present in control patients and nearly absent for autistic participants (Just et al., 2014). In another study of classification of ASD participants (Plitt et al., 2015), the authors obtained a 76.67% classification accuracy in a population sample of 178 ASD and IQmatched typically-developing participants.

A caveat of studies that applied supervised ML to brain imaging data is their relatively small number of participants. Arbabshirani et al. (2016) showed that the reliable classification accuracies of ML studies were obtained with population samples with fewer than 100 participants; higher classification accuracies, that is, above 90%, were only obtained with studies constrained to dozens of participants. Classification accuracy drops significantly in larger population samples and if data is from different sites (Nielsen et al., 2013).

Most studies that combine brain imaging and machine learning have applied supervised learning methods, such as support vector machine (SVM) or Gaussian naïve Bayes (GNB) classifiers. The subjectivity of feature selection procedures for supervised machine learning methods may be an obstacle for the comparison of results across studies. In supervised methods, class labels are assigned to a set of data used as the training data set; other data points (test data set) are classified in relation to the patterns found in the training data (using the given labels). In other words, the algorithm operates to classify pre-established labels (that is, they rely on feature selection, or feature engineering). The choice of these labels and of the features depends on a priori hypothesis or exploratory procedures; hence, they depend on a level of subjectivity. For example, the number of voxels used for classification of brain imaging data has been empirically selected on the basis of exploring sets of 100, 200, 400 and more voxels and identifying the set size that works best for the classification (Buchweitz et al., 2012; Mitchell et al., 2008; Shinkareva et al., 2011).

In the present study, we address the issues of generalizability and of subjectivity by classifying a psychiatric disorder using a large data set and an unsupervised machine learning method. Reduction of subjectivity in feature extraction may provide a new window into brain function that is less experimenter-dependent and more data-driven.

# 3. Classification of the ABIDE dataset

ABIDE data have previously been used by Nielsen et al. (2013) to classify autism versus control subjects based on brain connectivity measurements. The authors reproduced an approach reported in Anderson et al. (2011) with modifications that included datasets from

multiple sites. BOLD signal from non-overlapping, grey matter ROIs (SPM8 mask grey.nii) formed by seed voxels separated by at least 5 mm was computed for the 964 subjects used. Voxels that were Euclidean-close to a specific ROI's seed voxel were included in this ROI. Based on data from the 7266 generated ROIs, Nielsen et al. (2013) computed a connectivity matrix with size of  $7266 \times 7266$ , by calculating the pairwise correlation between each ROI. Using a leave-one-out approach, a general linear model was fit to each group (ASD and control) to associate the connectivity matrix with subject-related variables: age, gender and handedness. The value of each connection was estimated for the left out subject based on the variables. It was then adjusted by using the difference between one site's mean value for the connection and another site's mean value for the same connection. This procedure mitigated between-site differences that could bias results, such as different scanners and variations in scanning parameters and protocols.

The authors attempted to accommodate multi-site data and sources of variance present in the ABIDE data. For the left-out subject, the actual value for each connection was then subtracted from the estimated values obtained from the autism model and from the control model. The average of this subtraction across all 7266 ROIs was computed, and the average values of ROIs were added up. Positive values were classified as ASD and negative values, as controls. Nielsen et al. (2013) obtained as high as 60% accuracy for the classification ASD versus controls. Recently, Abraham et al. (2017) achieved the highest classification up to the present paper. By building participant-specific functional connectivity matrices (connectomes), the authors achieved 67% accuracy in the full ABIDE dataset. In the present study, we aimed to improve that highest accuracy obtained.

# 4. Neuroimaging and deep learning algorithms

Koyamada et al. (2015) investigated brain states from measurable brain activities by using Deep Neural Networks (DNN). They trained an artificial neural network with two hidden layers and a softmax output layer to classify task-based fMRI data from 499 subjects into seven categories related to the tasks: Emotion, Gambling, Language, Motor, Relational, Social and Working Memory. Deep models allowed for better results (mean accuracy of 50.74%) compared to supervised learning methods (mean accuracy of 47.97%) such as Linear Regression and Support Vector Machine. Plis et al. (2014) used deep learning and structural T1-weighted images in order to classify patients with schizophrenia versus matched healthy controls, using data from four different sites; the authors also classified patients with Huntington disease versus healthy controls, using data combined by the PREDICT-HD project (www.predict-hd.net). First, they attempted to classify 198 schizophrenic patients and 191 controls from four different studies conducted by Johns Hopkins University (JHU), the Maryland Psychiatric Research Center (MPRC), the Institute of Psychiatry, London, UK (IOP), and the Western Psychiatric Institute and Clinic at the University of Pittsburgh (WPIC). Plis et al. trained a Deep Belief Network with 3 depths (50 hidden units in the first layer, 50 in the second layer, and 100 in the top layer). They achieved 90% classification accuracy using features extracted from three DBMs in comparison to 68% classification accuracy using raw data in a Support Vector Machine. The authors concluded that deep learning holds great potential for clinical brain imaging applications.

The second part of the work used data collected from healthy controls and patients with Huntington disease of the PREDICT-HD project. The study aimed to identify the disease using deep learning techniques, and assess the levels of severity of the disease (low, medium and high). The study used a large dataset of T1-weighted structural scans from 32 sites from different countries. The set included 2641 images from patients and 859 from healthy controls. The authors applied a Deep Belief Network (DBM) with 3 depths: 50-50-100 hidden units in the first, second and the top layer respectively. The t-Distributed Stochastic Neighbor Embedding (t-SNE) (Maaten et al., 2008) technique was used

to reduce the resultant data to a 2-dimensional version; the results showed a linearly separable projection into patients and control.

Deep learning algorithms take classification of brain imaging data a step further than strictly supervised methods. The algorithms use complex data representations in the learned model. Deep learning algorithms rely on minimal human intervention for extracting relevant features by using unsupervised learning methods. Classification of clinical populations using unsupervised methods may allow for exploratory search of neural patterns of psychiatric disorders that is less dependent on generating hypotheses for feature selection; it may be thus less susceptible to category errors. In supervised methods, presumed labels are used to train the classifier and find patterns of brain activation or connectivity associated with the labels (e.g. a clinical population and a control population sample). In unsupervised methods, the classifier explores population samples for patterns in the brain which may be associated with a clinical population; again, the subjectivity involved in label selection is avoided (Plis et al., 2014). It is suggested that less subjective and possibly more unrestrained, deep learning algorithms hold promise for the application of machine learning to big data sets from multi-site repositories.

#### 5. Materials and methods

#### 5.1. Participants

The present study was carried out using rs-fMRI data from the Autism Imaging Data Exchange (ABIDE I). ABIDE is a consortium that provides previously collected rs-fMRI ASD and matched controls data for the purpose of data sharing in the scientific community (Di Martino et al., 2014). We included data from 505 ASD individuals and 530 matched controls (typical controls, TC). The ABIDE datasets were collected at 17 different imaging sites and include rs-fMRI images, T1 structural brain images and phenotypic information for each patient, which is summarized in Table 1. Table 1 contains key phenotypical information, including distribution of ASD and TC by sex and age and the ADOS score for ASD subjects, as well as the Mean Framewise Displacement (FD) quality measure. 2

# 5.2. Resting state and feature selection

Resting state fMRI provides neural measurements of the functional relationship between areas of the brain. Rs-fMRI data is particularly useful for investigation of clinical populations. It allows for investigation of the disruption brain networks without the added complexity of variation associated with task-related brain activation (Plitt et al., 2015; Smith et al., 2009). It may be applied in the investigation of mental states, memory and the recall of events, clinical populations, among others (Fox, 2010; Shirer et al., 2012). Rs-fMRI has been shown to be highly reproducible and provides data sets that can be easily compared across studies (Franco et al., 2013; Shehzad et al., 2009). The correlation of low frequency fluctuations on resting-state fMRI arises from fluctuations in blood oxygenation or flow. It is a manifestation of functional connectivity of the brain (Biswal et al., 1995). To investigate brain connectivity, a correlation is calculated for the average of the time series of the regions of interest. The correlation is used to build a connectivity matrix.

# 5.3. Data preprocessing

Previously preprocessed rs-fMRI data was downloaded from the

Table 1
Phenotype summary.

	ASD			TC		FD
Site	Age Avg (SD)	ADOS (SD)	Count	Age Avg (SD)	Count	
CALTECH	27.4	13.1 (4.7)	M 15, F 4	28.0	M 14, F 4	0.07
	(10.3)			(10.9)		
CMU	26.4 (5.8)	13.1 (3.1)	M 11, F 3	26.8 (5.7)	M 10, F 3	0.29
KKI	10.0 (1.4)	12.5 (3.6)	M 16, F 4	10.0 (1.2)	M 20, F 8	0.17
LEUVEN	17.8 (5.0)	† (†)	M 26, F 3	18.2 (5.1)	M 29, F 5	0.09
MAX MUN	26.1	9.5 (3.6)	M 21, F 3	24.6 (8.8)	M 27, F 1	0.13
	(14.9)					
NYU	14.7 (7.1)	11.4 (4.1)	M 65, F	15.7 (6.2)	M 74, F	0.07
			10		26	
OHSU	11.4 (2.2)	9.2 (3.3)	M 12, F 0	10.1 (1.1)	M 14, F 0	0.10
OLIN	16.5 (3.4)	14.1 (4.1)	M 16, F 3	16.7 (3.6)	M 13, F 2	0.18
PITT	19.0 (7.3)	12.4 (3.3)	M 25, F 4	18.9 (6.6)	M 23, F 4	0.15
SBL	35.0	9.2 (1.7)	M 15, F 0	33.7 (6.6)	M 15, F 0	0.16
	(10.4)					
SDSU	14.7 (1.8)	11.2 (4.3)	M 13, F 1	14.2 (1.9)	M 16, F 6	0.09
STANFORD	10.0 (1.6)	11.7 (3.3)	M 15, F 4	10.0 (1.6)	M 16, F 4	0.11
TRINITY	16.8 (3.2)	10.8 (2.9)	M 22, F 0	17.1 (3.8)	M 25, F 0	0.11
UCLA	13.0 (2.5)	10.9 (3.6)	M 48, F 6	13.0 (1.9)	M 38, F 6	0.19
UM	13.2 (2.4)	† (†)	M 57, F 9	14.8 (3.6)	M 56, F	0.14
					18	
USM	23.5 (8.3)	13.0 (3.1)	M 46, F 0	21.3 (8.4)	M 25, F 0	0.14
YALE	12.7 (3.0)	11.0 (†)	M 20, F 8	12.7 (2.8)	M 20, F 8	0.11

M: Male, F: Female. ADOS score: † means site did not have this information.

Preprocessed Connectomes Project (http://preprocessed-connectomes-project.org/). Data was selected from the C-PAC preprocessing pipeline. The fMRI data was slice time corrected, motion corrected, and the voxel intensity was normalized. Nuisance signal removal was performed using 24 motion parameters, CompCor with 5 components (Behzadi et al., 2007), low-frequency drifts (linear and quadratic trends), and global signal as regressors. Functional data was band-pass filtered (0.01–0.1 Hz) and spatially registered using a nonlinear method to a template space (MNI152).

The mean time series for regions of interest was extracted for each subject. The CC200 functional parcellation atlas of the brain (Craddock and James, 2012) was used to reduce the features vector size (see below). This atlas was generated by a data-driven parcellation of the whole brain into spatially close regions of homogeneous functional activity, totalizing in 200 regions.

# 5.4. Feature selection: functional connectivity of ROIs

Functional connectivity was used to classify subjects as ASD and TC. Functional connectivity provides an index of the level of co-activation of brain regions based on the time-series of rs-fMRI brain imaging data. Each cell in the connectivity matrix contains a Pearson correlation coefficient. The coefficient is an index of the correlation between two areas of the brain, and it ranges from 1 to -1: values close to 1 indicate that the time series are highly correlated; values close to -1 indicate that time series are anti-correlated.

To upper triangle values were removed for use of the values in the correlation matrix as features. These values repeat the values of the lower triangle. We also removed the main diagonal of the matrix, since it represents an area correlating to itself.

Later, we flattened the remaining triangle (i.e. collapse it in a onedimension vector) to retrieve a vector of features, with the purpose of using it for subject classification. The number of resultant features is defined by the following equation,

$$S = \frac{(N-1)N}{2},$$

in which N is the number of correlated voxels or regions. The CC200 ROI atlas was used, and the procedure resulted in 19,900 features.

<sup>&</sup>lt;sup>1</sup> For further phenotypical information, refer to http://preprocessed-connectomes-project.org/abide/quality\_assessment.html.

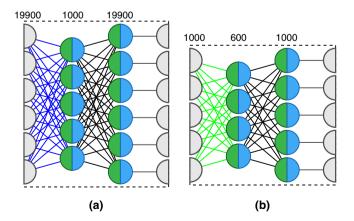
 $<sup>^2\,\</sup>mathrm{Mean}$  Framewise Displacement is a measure of subject head motion, which compares the motion between the current and previous volumes.

# 5.5. Classification method

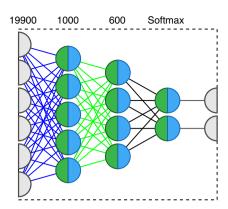
Denoising autoencoders were used to train the predictive model for better generalization; i.e. accurate classification of new subjects outside the initial pool of participants. Denoising autoencoders reconstruct input based on a corrupted version of the input (Vincent et al., 2008): stochastically, some positions of the vector derived from a functional connectivity matrix are set to zero before training the model. The corruption modules applied to corrupt data are based on binomial distributions. The goal of the technique is to make the model sufficiently accurate for predictions using novel data (Vincent et al., 2010).

In the present study, we used two stacked denoising autoencoders for the unsupervised pre-training stage to extract a lower-dimensional version from the ABIDE data. We achieved the best optimization for the validation set using reconstruction loss (mean squared error); the following configuration was used in a cross-validation k-fold schema. The input and output layers have 19,900 features fully connected to a bottleneck of 1000 units from the hidden layer. The probability of data corruption for the first autoencoder is set to 20% (for the binomial distribution:  $n=1,\,p=0.8$ ). The second autoencoder maps 1000 inputs from the output of the previous autoencoder to outputs through a hidden layer of 600 units. The second autoencoder corruption module is parameterized to corrupt a feature with a probability of 30% (for the binomial distribution:  $n=1,\,p=0.7$ ).

Unsupervised training of autoencoders is carried out one layer at a time. To utilize the knowledge extracted with the autoencoders, we applied the encoders weights to a multilayer perceptron (MLP) with the configuration: 19,900-1,000-600-2. In other words, the MLP assumes an input space of 19,900 features and an output space of 2 numbers, explained below. Between the input and output layers, the network has two hidden layers with 1000 and 600 units. This process is illustrated in Figs. 1 and 2: the blue and green weights contain the unsupervisedtrained encoders; Fig. 2 contains the supervised-trained multi-layer perceptron that uses previous knowledge from autoencoder training. The MLP contains adjusted weights based on the autoencoder encoders; thus, its supervised training is called fine-tuning. The goal of fine-tuning is to adjust the MLP weights to output the expected classes and minimize prediction error on the supervised task. The output layer contains two output units: each unit represents the probability of an input to be from an ASD or a TC subject. This type of output is called one-hot: during fine-tuning only one of the outputs is expected to have an activation value of 1 (and the others, 0); the output is obtained applying a softmax function. Softmax functions normalize the output distribution, so outputs denote complementary probabilities of being one class (i.e. a sum one of probabilities of being ASD or TC; for example, an output of probability of being ASD: 80%, and of being TC: 20%).



**Fig. 1.** Two autoencoders structure. We reduce the number of units in order to ease the visualization of the structures. (a): 19,900-1000-19,900; (b): 1000-600-1000. (For interpretation of the references to color in this figure, the reader is referred to the web version of this article.)



**Fig. 2.** Transfer learning from autoencoders AE1 and AE2 to a neural network classifier. (For interpretation of the references to color in this figure, the reader is referred to the web version of this article.)

**Table 2**Comparison of Deep Neural Network (DNN), Random Forest (RF) and Support Vector Machine (SVM) classifiers trained using 10-fold cross-validation on the entire dataset.

Method	Accuracy	Sensitivity	Specificity	Time
SVM	0.65	0.68	0.62	1 m 37 s
RF	0.63	0.69	0.58	20 m 55 s
DNN	0.70	0.74	0.63	32h 52 m 36 s

# 5.6. Classifier evaluation

To evaluate the results obtained with deep learning, the performance of the model was compared with results of classifiers trained using Support Vector Machine (SVM) (Vapnik, 1998) and Random Forest (RF) (Ho, 1995). The evaluation of all models is based on a 10-fold cross-validation schema, which mixes data from all 17 sites while keeping the proportions between the different sites. Data dimensionality reduction was achieved using the encoder layers of a pre-training, unsupervised process. Table 2 summarizes the results, which we describe below.

We report the accuracy, sensitivity, and specificity for all classifiers, as well as the total time taken to train each model.<sup>3</sup>

# 6. Results and discussion

The deep neural network achieved a mean classification accuracy of 70% (sensitivity 74%, specificity 63%) from cross-validation folds, and a range of accuracy of 66% to 71% in individual folds. Based on the literature, this is the highest classification achieved so far. The SVM classifier achieved mean accuracy of 65% (from 62% to 72%, sensitivity 68%, specificity 62%); while the Random Forest classifier achieved mean accuracy of 63% (sensitivity 69%, specificity 58%). The results show that the deep learning algorithm classified ASD and typical participants above chance in the multi-site ABIDE data. The results also show that the algorithm outperformed the other supervised methods used for comparison. The superior accuracy of the trained model came at a substantial cost in training time, despite the use of a dedicated GPU to accelerate training. Training of the entire model took over 32 h using an Two Intel Xeon E5-2620 processors with 24 cores running at 2 GHz and 48 GB of RAM and 1 Tesla K40 GPU with 2880 CUDA cores and 12 GB of RAM.

The results show that the algorithm applied outperformed results from previous studies of identification of autism spectrum disorder

<sup>&</sup>lt;sup>3</sup> The source code for the training scripts is available from Github at https://github.com/lsa-pucrs/acerta-abide and archived at Zenodo (Heinsfeld et al., 2017).

patients on ABIDE multi-site resting-state brain activation. Results using other brain parcellations are shown in the Supplementary material. The results for SVM classifications did not vary by reducing the data dimensionality with autoencoders. We applied SVM on a reduced number of dimensions learned using autoencoders without the finetuning process. The dimensionality reduction produced lower SVM classification results (61% accuracy using data transformation with the first autoencoder, and 63% accuracy using data transformation with first and second autoencoders). The reduced dimensions may present patterns that are too complex to be generalized by SVM and autoencoders techniques to identify ASD and TC participants in the dataset. The deep learning classification method showed a 5% increase on average in classification accuracy in comparison to SVM. The deep learning method also showed a 10% increase in classification accuracy in comparison to a previous study that attempted to classify ASD using the ABIDE multi-site data (Nielsen et al., 2013).

There was a loss of specificity and sensitivity in the present classification in comparison to studies that attempted to classify ASD with smaller participant samples. Studies have achieved classification accuracies above 80% and even 90% (for example, Anderson et al., 2011; Just et al., 2014; Uddin et al., 2013). To assess a realistic prospect of how our model would behave in the real clinical world, we calculated two metrics: positive and negative prediction values (Altman and Bland, 1994) (PPV and NPV, respectively). These metrics provide an evaluation of the model generalization ability (Castellanos et al., 2013). The calculation is based on the relationship between sensitivity, specificity and prevalence of ASD.

The present model achieved a PPV of 4.3% and NPV of 99%. The PPV and NPV were calculated considering that the prevalence of ASD in the United States is 2.24%, according to the 2014 surveillance estimate from the Centers for Disease Control and Prevention (CDC) (Zablotsky et al., 2015). The high NPV is to be expected; chances are most people are not autistic. The PPV underscores that the application of machinelearning methods to brain imaging data is not driven by diagnostic purposes. Rather, it is a data-driven approach to inform what most likely are the neural patterns associated with the disorder.

Fewer site-wise variations or the absence of such variation in the dataset work in favor of classification accuracy; but once supervised methods are presented with the challenge of classification across many sites, such as ABIDE, accuracy drops. The increase in dimensions across different datasets is a challenge to be faced by ML studies of brain imaging. The dimensions may be representative of variability that adds clinically-relevant information to the understanding of a mental disorder, such as information that results from different demographics.

ABIDE data contains sensitive variations that compromise coherence between sites. Deep learning methods encompass such variations and yield better results than shallow methods. The improvement in classification can be explained by the autoencoders's potential of coping with the latent factors from intricate structures in the raw data, and by the capacity of neural networks to encode variations in data to guide the classification process. It is suggested that the deep-learning algorithms handle complexities of multi-site, big brain imaging data sets better than SVM and the like.

In order to further evaluate the results, we performed a Wilcoxon Signed Ranks related groups test for each of the classification methods. Specifically, we compared the label of each classification method to the ground truth. For the SVM classifier, results showed a statistically significant labeling (Z = 12.08, p < 0.001). RF showed a slightly improved classification accuracy (Z = 2.33, p = 0.020); the statistical differences between labels were still significant. No statistical significant difference was shown between labels when the DNN classifier was used (Z = 0.49, p = 0.624). DNN was the only classification method to show no statistical difference between the classified labels and the ground truth.

The 70% accuracy obtained in the present study improves the state of the art. The literature thus far suggests that supervised methods are

**Table 3**Leave-site-out 5-fold cross-validation results using DNN.

Site-Out	Size	Accuracy	Sensitivity	Specificity
CALTECH	37	0.68	0.70	0.65
CMU	27	0.66	0.67	0.65
KKI	48	0.67	0.70	0.64
LEUVEN	63	0.65	0.63	0.67
MAX_MUN	52	0.68	0.75	0.61
NYU	175	0.66	0.66	0.65
OHSU	26	0.64	0.70	0.59
OLIN	34	0.64	0.68	0.60
PITT	56	0.66	0.69	0.62
SBL	30	0.66	0.71	0.60
SDSU	36	0.63	0.68	0.59
STANFORD	39	0.66	0.71	0.60
TRINITY	47	0.65	0.67	0.62
UCLA	98	0.66	0.69	0.63
UM	140	0.64	0.66	0.62
USM	71	0.64	0.69	0.58
YALE	56	0.64	0.69	0.59
Mean	60	0.65	0.69	0.62

effective at classifying high-dimensional spaces in smaller population samples; deep neural networks allow the learner to represent more complex functions, especially when used with autoencoders. These networks effectively reduce the dimensionality of problems with a very large feature space (Hjelm et al., 2014; Plis et al., 2014). However, by training our model with intra-site data with the same hyperparameters and 5-fold scheme, we achieved 52% average accuracy. The amount of available data in ABIDE benefits model generalization; site variability helps to avoid overfitting across sites.

### 6.1. Leave-one-site-out classification

To evaluate classifier performance across sites, we performed a leave-one-site-out cross validation process. This process excluded data from one site from the training process, and used that data as the test set to evaluate the model. The rationale was to test applicability of the model to new, different sites. The results of these further analyses are reported in Table 3.

Results for SVM and RF are shown in Tables 1 and 2 in the supplementary material, respectively.

Five sites showed significantly lower accuracy than the global result: SBL, MAX\_MUN, STANFORD, CALTECH, and OHSU. The results suggest that the data from these sites have variability that are not present in other sites. Comparison of the accuracy scores with head motion quality measures did not show an effect of head motion on classification accuracy.

The classification leaving one site out tests the global model's ability to incorporate test data and site-specific variations without losing training data specificity.

# 7. Neural patterns: connectivity in the autistic brain

The results for the correlation between rs-fMRI data for areas of the brain show two distinct sets of areas that were underconnected (negatively correlated) and highly connected (positively correlated) in ASD rs-fMRI data: (1) a distributed network of anterior and posterior brain areas whose activation during rs-fMRI was negatively correlated and (2) a posterior network of areas whose activation during rs-fMRI was highly correlated. The putative interpretation of these results is discussed in relation to an existing data-driven theory of anterior-posterior underconnectivity in the autistic brain.

The areas of the brain that showed the highest anticorrelation for ASD subjects were: Paracingulate Gyrus (Fig. 3a), Supramarginal Gyrus (Fig. 3b), and Middle Temporal Gyrus (Fig. 3c). The anticorrelation patterns of these areas were the most relevant features for our deep

R z = -27z = 40x = -4x = 34x = 49

**Fig. 3.** Anti-correlated (underconnected) areas for ASD subjects. (For interpretation of the references to color in this figure, the reader is referred to the web version of this article.)

Table 4
Anti-correlated areas in the brain.

Fig.	Source area (green)	Red areas	Blue areas	Yellow areas
3 a	Paracingulate Gyrus	Middle Temporal Gyrus; posterior division	Precuneous Cortex	Temporal Fusiform Cortex; posterior division
3 b	Supramarginal Gyrus	Inferior Frontal Gyrus	Superior Temporal Gyrus	Frontal Orbital Cortex
3 c	Middle Temporal Gyrus	Paracingulate Gyrus	Precuneous Cortex, Cingulate Gyrus	Lateral Occipital Cortex

learning classification. Table 4 summarizes the anticorrelated areas. The areas of the brain that showed the highest correlation for ASD subjects are shown in Fig. 4. The regions with the highest correlation were all in posterior regions of the brain: Occipital Pole (Fig. 4a), and Lateral Occipital Cortex; superior division (Fig. 4b). The correlation patterns of these areas were the most relevant features to the deep learning classification after the anticorrelated areas. Table 5 summarizes the correlated areas.

Anterior-posterior disruption in the connectivity (correlation between time series of activation) has been shown in task-related (Just, 2004; Kana et al., 2009; Schipul et al., 2012) and rs-fMRI studies of ASD patients (Cherkassky et al., 2006). The characteristic of the brain function of autism patients replicated across previous studies are decreased anterior-posterior connectivity and increased local connectivity between posterior regions relative to the connectivity in the brain of

controls. These studies are the basis for a brain-imaging data-driven theory of underconnectivity in autism (Just, 2004). The anterior-posterior underconnectivity theory has also been associated with indices of brain structure, more specifically, corpus callosum morphometry (Just et al., 2006).

Previous studies of ASD brain function have suggested a disruption in anterior-posterior brain connectivity in ASD, together with increased posterior, or local, connectivity. The results for the present study suggest that there was anticorrelation in the function of anterior (paracingulate gyrus) and more posterior regions (supramarginal gyrus) and of frontal-temporal areas (e.g. middle temporal and inferior frontal; fusiform gyrus and orbital cortex) (see Table 4 for description). The interpretation we propose is that the anticorrelation reflects underconnectivity between the anterior and posterior areas of the ASD brains that contributed the most to the present classification. The trait of

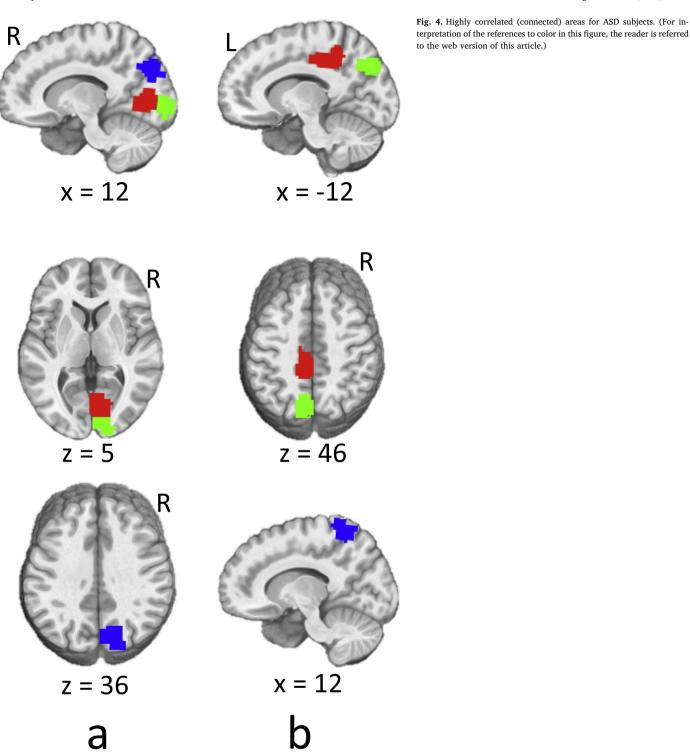


Table 5 Correlated areas in the brain.

Fig.	Source area (green)	Red areas	Blue areas
4 a	Occipital Pole	Intracalcarine Cortex	Lateral Occipital Cortex; superior division
4 b	Lateral Occipital Cortex; superior division	Cingulate Gyrus; posterior division	Postcentral Gyrus

anterior-posterior underconnectivity underpins autistic brain function and helped discriminate between the two groups. We suggest the anterior-posterior anticorrelation result corroborates the atypical ASD brain function described in other studies.

terpretation of the references to color in this figure, the reader is referred

to the web version of this article.)

We computed the correlation of the networks with ADOS (Autism Diagnostic Observation Schedule) results from phenotype data provided by each site to identify patterns of ASD connectivity. ADOS (Akshoomoff et al., 2006) is an assessment instrument for autism. It provides a series of social and communication tasks that are relevant to the diagnosis of ASD. The ABIDE project compiles data from 17 sites without prior coordination. Thus, ADOS data was available for 351 of

the ABIDE I subjects. The analysis shows that the networks from Tables 4 and 5 did not correlate with the ADOS score.

In conclusion, the results suggest that deep learning methods may reliably classify big multi-site datasets. Classification across multiple sites has to accommodate additional sources of variance in subjects, scanning procedures and equipment in comparison to single-site datasets (Nielsen et al., 2013). Such variation adds noise to the brain imaging data that challenges the ability to draw signatures from the brain activation that can classify disease states; yet the achievement of a reliable classification accuracy despite such noise generated from different equipment and demographics shows promise for machine learning applications to clinical datasets, and for future application of machine learning in the assistance of identification of mental disorders. Plitt et al. (2015) stated that the overall assessment of classification of ASD using resting-state fMRI data thus far falls short of biomarker standards; such obstacle is not overcome in the present study. Yet, we suggest a step in the direction of more reliable results has been taken.

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# Appendix A. Supplementary data

Supplementary data to this article can be found online at http://dx. doi.org/10.1016/j.nicl.2017.08.017.

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