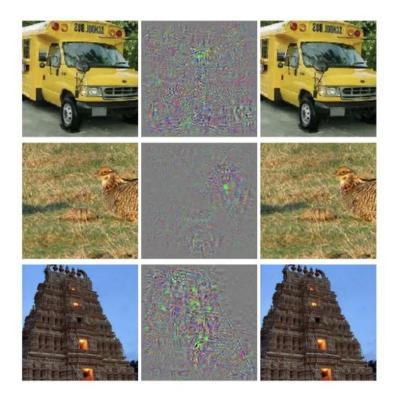
# Advanced Machine Learning

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## Adversarial Examples



Szegedy, Christian, et al. "Intriguing properties of neural networks." arXiv preprint arXiv:1312.6199 (2013).

## Adversarial Examples

#### **Assumption in neural network training:**

For a small enough radius r in the vicinity of a given input x, an input x + r satisfying  $||r|| < \epsilon$  ( $\epsilon > 0$ ) will predict the correct class

#### **Disproved:**

The assumption does not hold true, and it is proved by generating adversarial samples with imperceptibly small perturbations that make the model mispredict classes.

## Adversarial Examples

Consider a neural network classifier f that maps an input image  $x \in \mathbb{R}^m$  to a set of labels  $\{1 \dots k\} - f : \mathbb{R}^m \to \{1 \dots k\}$ 

## Minimize $||r||_2$ subject to:

- 1. f(x+r) = l
- 2.  $x+r \in [0,1]^m$

## Cross-model generalization

	FC10(10 <sup>-4</sup> )	FC10(10 <sup>-2</sup> )	FC10(1)	FC100-100-10	FC200-200-10	AE400-10	Av. distortion
FC10(10 <sup>-4</sup> )	100%	11.7%	22.7%	2%	3.9%	2.7%	0.062
FC10(10 <sup>-2</sup> )	87.1%	100%	35.2%	35.9%	27.3%	9.8%	0.1
FC10(1)	71.9%	76.2%	100%	48.1%	47%	34.4%	0.14
FC100-100-10	28.9%	13.7%	21.1%	100%	6.6%	2%	0.058
FC200-200-10	38.2%	14%	23.8%	20.3%	100%	2.7%	0.065
AE400-10	23.4%	16%	24.8%	9.4%	6.6%	100%	0.086
Gaussian noise, stddev=0.1	5.0%	10.1%	18.3%	0%	0%	0.8%	0.1
Gaussian noise, stddev=0.3	15.6%	11.3%	22.7%	5%	4.3%	3.1%	0.3

## Cross-training generalization

	FC100-100-10	FC123-456-10	FC100-100-10'
Distorted for FC100-100-10 (av. stddev=0.062)	100%	26.2%	5.9%
Distorted for FC123-456-10 (av. stddev=0.059)	6.25%	100%	5.1%
Distorted for FC100-100-10' (av. stddev=0.058)	8.2%	8.2%	100%
Gaussian noise with stddev=0.06	2.2%	2.6%	2.4%

If minor perturbations to the inputs change the model's output to the point that it becomes incorrect, then the model is not stable.

#### **Lipschitz continuity:**

Any function where the slope of the line joining any two points on this function is not greater than a real number is called a **Lipschitz continuous function**. This real number is called the **Lipschitz constant**.

$$|f(x_1)-f(x_2)| \leq K|x_1-x_2|$$

The unstability of a neural network can be understood by looking at the Lipschitz constant of each layer  $k = 1 \dots K$ , as given by:

$$\forall x, r \ \|\varphi_k(x; W_k) - \varphi_k(x + r; W_k)\| \le L_k \|r\|$$

where  $\varphi_k$  is the output of the  $k^{th}$  layer, and  $L \square$  is the Lipschitz constant for the  $k^{th}$  layer. So for the entire network of K layers,

$$\|\varphi(x) - \varphi(x+r)\| \le L\|r\|$$
 where  $L = \prod_{k=1}^{N} L_k$ 

Layer	Size	Stride	Upper bound	
Conv. 1	$3 \times 11 \times 11 \times 96$	4	2.75	
Conv. 2	$96 \times 5 \times 5 \times 256$	1	10	
Conv. 3	$256 \times 3 \times 3 \times 384$	1	7	
Conv. 4	$384 \times 3 \times 3 \times 384$	1	7.5	
Conv. 5	$384 \times 3 \times 3 \times 256$	1	11	
FC. 1	$9216 \times 4096$	N/A	3.12	
FC. 2	$4096 \times 4096$	N/A	4	
FC. 3	$4096 \times 1000$	N/A	4	

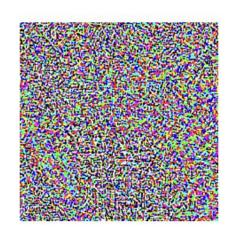
#### Properties of Lipschitz constant for a neural network:

- Small bounds guarantee that no such adversarial examples can appear.
  - This suggests a simple regularization of the parameters, consisting in penalizing each upper Lipschitz bound, which might help improve the generalisation error of the networks
- Large bounds do not automatically translate into existence of adversarial examples
- They don't explain why adversarial examples generalize across different hyperparameters or training sets.

## **Adversarial Training**



x
"panda"
57.7% confidence



 $+.007 \times$ 

 $sign(\nabla_{\boldsymbol{x}}J(\boldsymbol{\theta},\boldsymbol{x},y))$  "nematode" 8.2% confidence



 $x + \epsilon sign(\nabla_x J(\theta, x, y))$ "gibbon"

99.3 % confidence

## Adversarial Training - Loss function

- Adversarial examples are a result of models being too linear, rather than too nonlinear.
- Adversarial training can result in regularization; even further regularization than dropout.
- RBF networks are resistant to adversarial examples.

The new loss (or objective) function has a regularizer term denoted by the fast gradient sign method:

$$\tilde{J}(\boldsymbol{\theta}, \boldsymbol{x}, y) = \alpha J(\boldsymbol{\theta}, \boldsymbol{x}, y) + (1 - \alpha)J(\boldsymbol{\theta}, \boldsymbol{x} + \epsilon \text{sign}(\nabla_{\boldsymbol{x}}J(\boldsymbol{\theta}, \boldsymbol{x}, y))$$

## Generative Adversarial Networks (GANs)

