AdvNLP/E Lecture 3

Language Modelling 1

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Language models

PREVIOUSLY

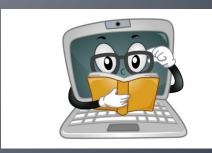
- Lexical and distributional semantics
 - semantic relationships
 - WordNet
 - distributional hypothesis
 - vector spaces and word representations
 - sparsity and Zipf's Law
 - dimensionality reduction
 - word embeddings

THIS TIME

- Probabilistic language models
 - n-gram modelling
 - evaluation and perplexity
 - generation
 - generalization and smoothing

N-gram models

Lecture 2, Part 1



Why do we want to be able to assign a probability to a sentence?

Machine translation

```
P(high winds tonight) > P(large winds tonight)
```

Spelling correction

```
P(The office is about 15 minutes from my house) > P(The office is about 15 minuets from my house)
```

Speech recognition

```
P(I saw a van) > P(eyes awe of an)
```

Probabilistic language modelling

 Goal: compute the probability of a sentence of sequence of words

$$P(W) = P(W_1, W_2, ..., W_n)$$

Related task: probability of an upcoming word

$$P(W_5|W_1, W_2, W_3, W_4)$$

 A model that computes either of these is called a language model (LM)

The Chain Rule for Probabilities

The definition of conditional probabilities give us:

$$P(B|A) = \frac{P(A,B)}{P(A)} \qquad P(A,B) = P(A)P(B|A)$$

More variables

$$P(A, B, C, D) = P(A)P(B|A)P(C|A, B)P(D|A, B, C)$$

The general case (chain rule):

$$P(x_1, x_2, x_3, ..., x_n) = P(x_1)P(x_2|x_1)P(x_3|x_1, x_2) ... P(x_n|x_1, ..., x_{n-1})$$

Applying the chain rule to words

$$P(w_1, w_2, w_3, ..., w_k) = P(w_1)P(w_2|w_1)P(w_3|w_1, w_2) ... P(w_k|w_1, ..., w_{k-1})$$



- *P*("Then he hovered over the hill")
- $= P("Then") \times P("he" \mid "Then") \times P("hovered" \mid "Then he")$
- $\times P("over" \mid "Then he hovered")$
- $\times P("the" \mid "Then he hovered over")$
- $\times P("hill" \mid "Then he hovered over the")$

Estimating probabilities

Can we just count and divide?

```
P("hill" \mid "Then he hovered over the") = \frac{freq("Then he hovered over the hill")}{freq("Then he hovered over the")}
```

Markov Assumptions

First order

 $P("hill" \mid "Then he hovered over the") \approx P("hill" \mid "the")$



Second order

 $P("hill" \mid "Then he hovered over the") \approx P("hill" \mid "over the")$

N-gram language model

$$P(w_1, w_2, w_3, \dots, w_k) = \prod_{i=1}^k P(w_i | w_{i-(n-1)}, \dots, w_{i-1})$$

Considers only *n* words at a time, the current word and the previous *n*-1 words

- approximates each component in the product
- these approximations can be estimated using maximum likelihood estimation (MLE) on a training corpus

$$P(w_i | w_{i-(n-1)}, \dots, w_{i-1}) = \frac{freq(w_{i-(n-1)}, \dots, w_{i-1}, w_i)}{freq(w_{i-(n-1)}, \dots, w_{i-1})}$$

Unigram model

$$P(w_1, w_2, w_3, ..., w_k) = \prod_{i=1}^k P(w_i)$$



P("Then he hovered over the hill")= $P("Then") \times P("he") \times P("hovered") \times P("over") \times P("the") \times P("hill")$

Bigram model

n=2

$$P(w_1, w_2, w_3, ..., w_k) = \prod_{i=1}^k P(w_i | w_{i-1})$$



```
P("Then he hovered over the hill")
= P("Then") \times P("he" | "Then") \times P("hovered" | "he")
\times P("over" | "hovered") \times P("the" | "over") \times P("hill" | "the")
```

Trigrams and beyond

- We can extend to:
 - trigrams (n=3)
 - quadrigrams (n=4)
 - 5-grams(*n*=5)
- The higher n is, the more long range dependencies can be captured ... but the models will also become more sparse and unreliable



Products of probabilities

- Use logs
- Avoid underflow
- Computationally more efficient (adding is easier than multiplying)
- Convert back into probability at the end (if necessary!)

$$\log(p_1 \times p_2 \times \dots \times p_n) = \log(p_1) + \log(p_2) + \dots + \log(p_n)$$

Evaluation

- How good is a language model?
- Does it prefer "good" sentences to "bad" ones?
- Does it assign higher probabilities to "real" sentences rather than

"ungrammatical" or "implausible" sentences?

Extrinsic evaluation

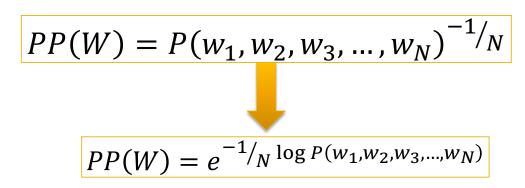
- Put each model in a task which requires a language model
 - spelling correction
 - machine translation
 - speech recognition
- Run the task and get an accuracy for each model
 - how many misspelt words corrected properly?
 - how many words translated correctly?
- Problems:
 - time-consuming
 - other factors affecting performance

Intrinsic evaluation

- Does the model assign higher probabilities to seen sentences than to unseen sentences?
- We trained the model's parameters on a training set
- We must test it on data that was not used to train the model
 - a test set
 - if we test on the training set, sentences will have artificially high probabilities
 - and it would be cheating!

Perplexity

- The best language model is one that best predicts an unseen test set
 - returns the highest P(sentences)
- Perplexity is the inverse probability of the test set, normalised by the number of words



- this assumes that we have calculated probability as a sum of logs
- multiplying by -1/N first and then raising e to this power, makes the computation possible with floating point numbers

Minimising perplexity

- Example:
 - training 38 million words, testing 1.5 million words (WSJ text)

| | unigram | bigram | trigram |
|------------|---------|--------|---------|
| Perplexity | 962 | 170 | 109 |

Maximising probability is the same as minimising perplexity

 Perplexity should only really be compared for the same training and testing corpora

Generalisation in N-gram Language Models

Lecture 2, Part 2



A Toy Bigram Model

- I like to cook Chinese food.
- I want to eat dinner.
- They want to eat Indian food.

 W_2

| $P(w_2 w_1)$ | _ST. | 1 | They | like | want | to | cook | eat | Chinese | dinner | Indian | food | _EN D |
|--------------|------|-----|------|------|------|----|------|-----|---------|--------|--------|------|----------|
| _ST. | 0 | 2/3 | 1/3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 | 0 | 0 | 0 | 1/2 | 1/2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| They | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| like | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| want | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| to | 0 | 0 | 0 | 0 | 0 | 0 | 1/3 | 2/3 | 0 | 0 | 0 | 0 | 0 |
| cook | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| eat | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1/2 | 1/2 | 0 | 0 |
| Chinese | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| dinner | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Indian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| food | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |

 W_1

A Toy Bigram Model

- I like to cook Chinese food.
- I want to eat dinner.
- They want to eat Indian food.
- They eat
 Chinese dinner.

 W_2

| | P(w ₂ w ₁) | _ST | I . | They | like | want | to | cook | eat | Chinese | dinner | Indian | food | _EN D |
|---------|------------------------------------|-----|-----|------|------|------|----|------|-----|---------|--------|--------|------|----------|
| | _ST | 0 | 1/2 | 1/2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | T. | 0 | 0 | 0 | 1/2 | 1/2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | They | 0 | 0 | 0 | 0 | 1/2 | 0 | 0 | 1/2 | 0 | 0 | 0 | 0 | 0 |
| | like | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | want | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | to | 0 | 0 | 0 | 0 | 0 | 0 | 1/3 | 2/3 | 0 | 0 | 0 | 0 | 0 |
| | cook | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| W_{1} | eat | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1/3 | 1/3 | 1/3 | 0 | 0 |
| | Chinese | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1/2 | 0 | 1/2 | 0 |
| | dinner | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| | Indian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| | food | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |

Generation

- The Shannon-Visualisation Method
 - Choose a random bigram (_ST.,w) according to its probability
 - Now choose another random bigram (w,x) according to its probability
 - And so on until we choose _END
 - Then string the words together

| _ST. | I | | | | | |
|------|---|------|----|-----|---------|------|
| | İ | want | | | | |
| | | want | to | | | |
| | | | to | eat | | |
| | | | | eat | Chinese | |
| | | | | | Chinese | food |

I want to eat Chinese food

Approximating Shakespeare

| 1 gram | -To him swallowed confess hear both. Which. Of save on train for are ay device and rote life have |
|--------|--|
| 2 gram | - What means, sir. I confess she? then all sorts, he is trim, captain. |
| 3 gram | - This shall forbid it should be branded, if renown made it empty. |
| 4 gram | - King Henry. What! I will go seek the traitor Gloucester. Exeunt some of the watch. A great banquet serv'd in; - It cannot be but so. |

- N = 884, 647 tokens. V = 29, 066
- Shakespeare produced 300,000 bigram types out of V² possible bigrams (840 million)
 - so 99.96% of the possible bigrams will have o probabilities
- Quadrigrams even worse
- What's coming out looks like Shakespeare because it is Shakespeare

Overfitting

- N-grams only work well for word prediction if the test corpus looks like the training corpus
- It often doesn't
- If Shakespeare had written one more play ... new possibilities for quadrigrams, trigrams, bigrams and even unigrams
- Models need to be robust they need to generalise to unseen data

Zeros

TRAINING SET

- ... denied the allegations
- ... denied the reports
- ... denied the claims
- ... denied the request

 $P(\text{"offer"} \mid \text{"denied the"}) = 0$

TEST SET

- ... denied the loan
- ... denied the offer

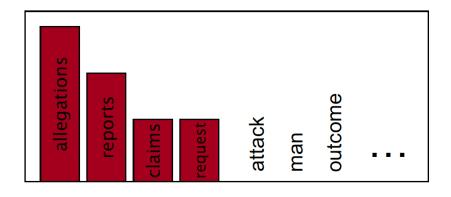
Trigrams (or even bigrams or unigrams) with zero probability in the training set mean that we

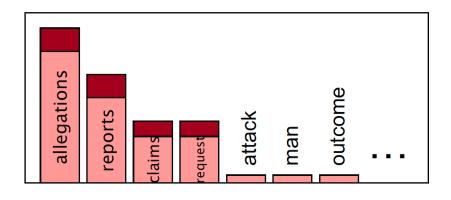
- assign zero probability to test set
- cannot calculate perplexity

Smoothing intuition

 When we have sparse statistics, steal probability mass from observed events to generalise to unobserved events

| count (w "denied the") | smoothed count |
|----------------------------|-----------------|
| allegations 3 | allegations 2.5 |
| reports 2 | reports 1.5 |
| claims 1 | claims 0.5 |
| request 1 | request o.5 |
| | OTHER 2 |
| total 7 | total 7 |





Add-one estimation

- Also called Laplace smoothing
- Pretend we saw each word one more time than we did
- Just add on one to each count!
- Can be very effective for some problems
 - where number of zeros isn't so huge
 - e.g., text classification
- But for n-grams, rarely used
 - assigns too much mass to unseen co-occurrences (even add-k)
 - and leads to massive, unwieldy models

Unknown words

- Test corpus contains words that the training corpus doesn't
- Training corpus also contains words that the test corpus doesn't
- Which training corpus words are least likely to be in the test corpus?
- Fix the vocabulary (top N words in training corpus or all words which occur f or more times)
- Create a **<UNK>** token which captures probabilities for *Out-Of-Vocabulary (OOV)* words.

Unseen bigrams

- The <UNK> token allows us to estimate the probability of seeing an OOV word
- It even lets us estimate the probability of seeing two OOV words together or an
 OOV word with an in-vocabulary word
- But it does not allow us to estimate the probability of two in-vocabulary words which have not been seen together before
- What to do?

Absolute discounting

- Subtract a little from each bigram count in order to save probability mass for unseen events.
- How much?
- Church and Gale (1991)
 - Divided 22 million words of newswire text into training and testing sets
 - for each bigram count in the training set, what is its average bigram count in the test set?

| Training count | Testing count |
|----------------|---------------|
| 0 | 0.0000270 |
| 1 | 0.448 |
| 2 | 1.25 |
| 3 | 2.24 |
| 4 | 3.23 |
| 5 | 4.21 |
| 6 | 5.23 |
| 7 | 6.21 |
| 8 | 7.21 |
| 9 | 8.26 |

Absolute discounting interpolation

- If we subtract d from each bigram count, how much probability mass do we save for unobserved bigrams?
- We need to keep track of the discounts made for each word
 - each time we discount a bigram $c(w_2|w_1)$, we add that discount to a dummy token lambda for that word $c(\lambda|w_1)$
 - normalise counts as probability distributions as before
 - For a smoothed probability estimate of any bigram, interpolate
 - sum the observed (discounted) probability and a proportion of reserved probability mass (according to the unigram probability of w₂)

$$P_e(w_2|w_1) = P_d(w_2|w_1) + P_d(\lambda|w_1) \times P(w_2)$$

The San Francisco problem

- The absolute discounting interpolation method divides up the reserved probability mass according to the unigram probability of the target word
- Assumption: Higher probability words are more likely to be seen in novel word combinations
- Not always true
- "Francisco" is a high frequency word but only in the context of "San"
- In fact, high frequency means we have more evidence that a novel combination is unlikely

Kneser-Ney smoothing

- Don't assign the reserved probability mass according to the unigram probability
- Calculate a separate probability for each word which is its likelihood of being seen in novel word combinations

$$P_{KN}(w) = \frac{|\{w_j | c(w_j, w) > 0\}|}{\sum_i |\{w_j | c(w_j, w_i) > 0\}|}$$

$$P_e(w_2|w_1) = P_d(w_2|w_1) + P_d(\lambda|w_1) \times P_{KN}(w_2)$$

Web-scale language models

- Google n-gram corpus
 - 1 billion five-word sequences over 13 million unique word types

| 4-gram | Count |
|--------------------------|-------|
| serve as the incoming | 92 |
| serve as the incubator | 99 |
| serve as the independent | 794 |
| serve as the index | 223 |
| serve as the indication | 72 |
| serve as the indicator | 120 |
| serve as the indicators | 45 |

Efficiency considerations:

- words stored as 64-bit hash number
- probabilities quantized using 4-8 bits (rather than 8-byte floats)
- n-grams stored in reverse tries
- n-grams shrunk by pruning

Stupid backoff

- Can apply full Kneser-Ney smoothing to web-scale language models
- But Brants et al. (2007) showed that a much simpler algorithm might be sufficient at this
 scale
- Stupid backoff gives up on the idea of making it a true probability distribution
- No discounting of higher order probabilities
- If a higher-order n-gram has a zero count, simply "backoff" to a lower order n-gram, with a fixed weight ($\lambda=0.4$)

$$S(w_i|w_{i-k+1}^{i-1}) = \begin{cases} \frac{\text{count}(w_{i-k+1}^i)}{\text{count}(w_{i-k+1}^{i-1})} & \text{if count}(w_{i-k+1}^i) > 0\\ \lambda S(w_i|w_{i-k+2}^{i-1}) & \text{otherwise} \end{cases}$$

Coming up

- Neural language models (week 4)
 - feed-forward
 - RNNs and LSTMs
 - character-based

References

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