

**Com S 435/535 Programming Assignment 4**  
**600 Points**

Due: Dec 7, 11:59PM

No Late Submissions Please

This programming assignment is on information retrieval. Given a collection of documents you will build a positional index and when a query arrives, you will retrieve top  $k$  documents.

Note that the description of a programming assignment is not a linear narrative and may require multiple readings before things start to click. You are encouraged to consult instructor/Teaching Assistant for any questions/clarifications regarding the assignment.

For this assignment, you may work in groups of two.

## 1 Term Proximity Score

Recall the positional index has a dictionary and a postings list corresponding to each term in the dictionary. Each entry of the dictionary is of the form  $\langle t, df_t \rangle$ , where  $t$  is a term and  $df_t$  is number of documents in which  $t$  appears. The postings list for a term is of the following form:

$$[\langle DocID_1 : pos_1, pos_2, \dots \rangle, \langle DocID_2 : pos_1, pos_2, \dots \rangle \dots]$$

Let  $q$  be a query and  $d$  be a document. In the lectures, we have seen how to assign  $score(q, d)$  that measures the relevance of query  $q$  to document  $d$ . This score is based on the vector space model. Using positional index, we could arrive at a different way of scoring called *term-proximity score*. For this, we need to first define the notion of distance between two terms in a document. Let  $t_1$  and  $t_2$  be two terms and  $d$  be a document. If neither of the terms appear on the document or only one term appear in the document, then  $Dist_d(t_1, t_2)$  is 17. If  $t_2$  does not appear after  $t_1$  in  $d$ , then  $Dist_d(t_1, t_2) = 17^1$ . Otherwise, look at  $postings(t_1)$  and  $postings(t_2)$ . Both these lists will have tuples of form  $\langle d : p_1, p_2, \dots \rangle$ . Let  $\langle d, p_1, p_2, \dots, p_\ell \rangle \in postings(t_1)$  and let  $\langle d, r_1, r_2, \dots, r_k \rangle \in postings(t_2)$ .

$$dist_d(t_1, t_2) = \min\{\min\{r_i - p_j \mid r_i > p_j, 1 \leq i \leq k, 1 \leq j \leq \ell\}, 17\}$$

For example, if  $\langle d, 6, 18, 21, 46 \rangle \in postings(t_1)$  and  $\langle d, 5, 9, 11, 20, 34 \rangle \in posting(t_2)$ , then  $dist_d(t_1, t_2) = 2$ . Note the function  $dist_d$  is not symmetric, i.e.,  $dist_d(t_1, t_2)$  may not be equal to  $dist_d(t_2, t_1)$ .

Let  $q = t_1, t_2, \dots, t_\ell$  be a query and  $d$  be a document. Then the term-proximity score of  $q$  with respect to  $d$

$$TPScore(q, d) = \frac{\ell}{\sum_{i=1}^{\ell-1} dist_d(t_i, t_{i+1})}$$

If  $q$  has exactly one term, then  $TPScore(q, d) = 0$ .

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<sup>1</sup>17 is an arbitrary choice

## 2 Vector Space Model Score

Recall that in the vector space model, every document is represented as a vector.

Given a collection of documents  $D_1, D_2, \dots, D_N$ , first preprocess the documents to extract all terms. Let  $T = \{t_1, \dots, t_M\}$  be the collection of all terms in the collection.

In lectures, we talked about weight of a term with respect to a document. For this assignment, use the following

$$w(t_i, d_j) = \log_2(1 + TF_{ij}) \times \log_{10}(N/df_{t_i})$$

where  $TF_{ij}$  is the frequency of  $t_i$  in  $d_j$  and  $df_{t_i}$  is the number of documents in which  $t_i$  appears.

Now, every document  $d_j$  corresponds to the following vector:

$$v_j = \langle w(t_1, d_j), w(t_2, d_j), \dots, w(t_M, d_j) \rangle$$

Given a query  $q$ , we can view it as a (very short) document represent it as a vector  $v_q$ .

Given a query  $q$ , form a vector corresponding to  $q$

$$v_q = \langle w(t_1, q), \dots, w(t_M, q) \rangle$$

Now, the vector space score of  $q$  with respect to  $d$  is

$$VSScore(q, d) = CosineSim(V_q, V_d)$$

Note that if we have access to positional index, then we can compute  $VSScore$ .

## 3 Your Task

You will build a program that pre-processes a collection of documents, and when a query arrives it will output top 10 documents that are relevant to the query. The relevance is calculated by using the following combination of  $TSScore$  and  $VSScore$ .

$$Relevance(q, d) = 0.6 \times TPScore(q, d) + 0.4 \times VSScore(q, d)$$

Your program will consist of following classes and methods.

### 3.1 PositionalIndex

This class should have following constructor and methods. This class build an index for single words.

**PositionalIndex** Gets the name of a folder containing document collection as parameter.

**termFrequency(String term, String Doc)** Returns the number of times **term** appears in **doc**.

**docFrequency(String term)** returns the number of documents in which **term** appears.

**postingsList(String t)** Returns string representation of the *postings(t)*. The returned String **must** be in following format.

$$[\langle DocName_1 : pos_1, pos_2, \dots \rangle, \langle DocName_2 : pos_1, pos_2, \dots \rangle \dots]$$

`weight(String t, String d)` Returns the weight of term  $t$  in document  $d$  (as per the weighing mechanism described above).

`TPScore(String query, String doc)` Returns  $TPScore(query, doc)$ .

`VSScore(String query, String doc)` Returns  $VSScore(query, doc)$ .

`Relevance(String query, String doc)` Returns  $0.6 \times TSScore(query, doc) + 0.4 \times VSScore(query, doc)$ .

This class may have additional methods.

## 4 QueryProcessor

This program will have a method named `topKDocs` that gets a query and an integer  $k$  as parameter and returns an arraylist consisting of top  $k$  documents that are relevant to the query.

## 5 Pre Processing

Do not remove any STOP words. Every word is a term convert every word into lowercase. Remove ONLY the following symbols from the text:

. , " " ? [ ] ' { } : ; ( )

However, do not remove period if it is part of a decimal number, i.e, for example do not remove period from 9.23.

## 6 Data

`IR.zip` contains around 11, 000 files crawled from wiki about Baseball.

## 7 Report

Include the following in your report. Run your program on files from `IR.zip`. Pick 5 distinct queries so that

- One query with exactly one word
- One query with exactly two words
- One query with exactly 3 words
- Two queries with more than 3 words.

Please ensure that at least one of the (3 or more word) queries have propositions such as `of`, `for`, `to` etc.

For each query, list top 10 files along with `TPScore`, `VSScore`, and `Relevance Score`.

## 8 What to Submit

- PositionalIndex
- QueryProcessor
- Source files of additional classes that you used.
- Report

Only one submission per group please.