

# **CS 190I**

# **Deep Learning**

# **Introduction**

Lei Li ([leili@cs](mailto:leili@cs))  
UCSB

Acknowledgement: Slides borrowed from Bhiksha Raj's 11485 and  
Mu Li & Alex Smola's 157 courses on Deep Learning, with  
modification

# About the Course

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- This course focus on a sub-field of machine learning -- Deep Learning, with moderate introduction to general learning concepts and methods.
- This course will teach models, algorithms, and implementation practice
- If you want to take a broader ML course, please elect 165B instead.

# Your Instructor

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## Lei Li

Co-Director, [UCSB NLP Group](#)

Assistant Professor

[Computer Science Department](#)  
[University of California Santa Barbara](#)

Research area: natural language processing, machine learning, data mining.

Topics:

- Machine translation, speech translation, multilingual NLP.
- Text generation and summarization.
- Reasoning and question answering.
- Information extraction.
- AI for drug discovery
- Green and Efficient ML
- Time series mining and prediction
- Probabilistic inference, Bayesian sampling methods

Career path: CMU -> UCB -> Baidu -> Bytedance (Tiktok) -> UCSB

# TA

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- Krushna Chirag Shah (Office Hour: Tuesday 2-3pm, Trailer 936)
- Zoey Song (Office Hour: Thursday 5-6pm, HH 2014)
- Danqing Wang (Office Hour: Wednesday 11-12am, HH 2014)

# Prerequisite

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- You should have taken the following courses:
  - Calculus: Math 3A, 3B, 6A
    - Integration and derivative
    - Calculate gradients for multiple variables
  - Linear algebra: Math 4A, 4B
    - Vector, Matrix, norm, linear independence
  - Probability: Pstat 120A & 120B
    - Bayes Rule, likelihood, MLE
  - Algorithm & coding: CS 130A & 130B
    - Python, numpy, notebook

# Logistics

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- Course website:
  - <https://www.cs.ucsb.edu/~leili/course/dl23w/>
- Text
  - Dive into Deep Learning, Aston Zhang, Zachary Lipton, Mu Li, Alexander Smola. (available [online](#))
    - ▶ You are **required** to read the chapters of the book for each lecture listed in the syllabus.
  - (Optional) Mathematics for Machine Learning.

# Lecture

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- Required to attend
- M/W 2pm-3:15pm, CHEM 1171
- If you have legitimate reason to be absent (approval from DSP or Student Health or Department), please email me and TA
- In-class quiz/poll/discussion at random times
  - Please respond to all during the class. We will mark your participation (but not the correctness)
  - 5% in-class quiz
  - 5% for forum discussion
- Final exam: 30%

# Discussion Forum

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- Ed platform
  - <https://edstem.org/us/join/DEfrrn>
  - Post questions or discussion on topics related to course material, assignments
  - Message can be private if only send to instructor & TA
  - We will use the same platform for in-class quiz

# Homework

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- 3 Writing Assignments
  - 10% each
- 2 Machine Problems
  - 15% each
  - Building real DL models
  - Compete on realtime leaderboards on Kaggle
  - please start as early as possible
- Submission on Gradescope
  - **You should already be added, let me know if not.**
- Deadline: midnight on the due date
- Late days
  - a total of 3 days (for all HW, based on days), no penalty.
  - Solution submitted after late days will be graded 0.

# Academic integrity is absolutely required

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- Allowed:
  - Discussion of lecture and textbook materials
  - Discussion of how to approach assignments, what techniques to consider, what textbook or lecture material is relevant
- Not allowed:
  - Sharing ideas in the form of code, pseudocode, or solutions
  - Turning in someone else's work as your own, even with that person's permission.
  - Allowing someone else to turn in your work as his or her own.
  - Turning in work without proper acknowledgment of the sources of the content (including ideas) contained within the work.
- We will use software to detect plagiarism.
  - It will detect even if change of variables

# Recitation Sessions

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- Fridays:
  - 9-10am, PHELP 1444
  - 10-11am, PHELP 1440
  - 11-12, PHELP 1440
- Encourage to attend
- TA will cover
  - Background materials
  - Additional explanation and examples
  - Coding assistance
  - Q/A

# Computing Resources

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- UCSB supercomputing center
  - <http://csc.cnsi.ucsb.edu/acct>
- ECE ML computing lab
  - instruction to use will be sent later
- CS Computing Lab
- Google Colab
  - <https://colab.research.google.com/>
  - Free

# Discussion

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- Why you are taking the course?

# Deep Learning

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- Deep Learning have become one of *the* main approaches to AI
- They have been successfully applied to various pattern recognition, prediction, and analysis problems
- In many problems they have established the state of the art
  - Often exceeding previous benchmarks by large margins
  - Sometimes solving problems you couldn't solve using earlier ML methods

# Breakthroughs with Deep Learning

www.technewsworld.com/story/84013.html

40 maps that explain Amazon Web Services Primers | Math | Proc deeplearning.net/tutor Deep Learning Tutorial deep learning PHILIPS - Golden Ears Language Technology MyIDCare - Dashboard Other bookmarks

## TECHNEWSWORLD EMERGING TECH

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### Microsoft AI Beats Humans at Speech Recognition

By Richard Adhikari Oct 20, 2016 11:40 AM PT

G+ 5  
Tweet 25  
Share 45  
Share 11  
Share 0  
share 104



Image: Adobe Stock

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**How do you feel about Black Friday and Cyber Monday?**

- They're great -- I get a lot of bargains!
- The deals are too spread out -- I'd prefer just one day.
- They're a fun way to kick off the holiday season.
- I don't like the commercialization of Thanksgiving Day.
- They're crucial for the retail industry and the economy.
- The deals typically aren't that good.

[Vote to See Results](#)

#### E-Commerce Times

Black Friday Shoppers Hungry for New Experiences, New Tech

Pay TV's Newest Innovation: Giving Users Control

Apple Celebrates Itself in \$300 Coffee Table Tome

AWS Enjoys Top Perch in IaaS, PaaS Markets

US Comptroller Gears Up for Blockchain and

# Breakthrough with Deep Learning

The Keyword   Latest Stories   Product News   Topics

TRANSLATE   NOV 15, 2016

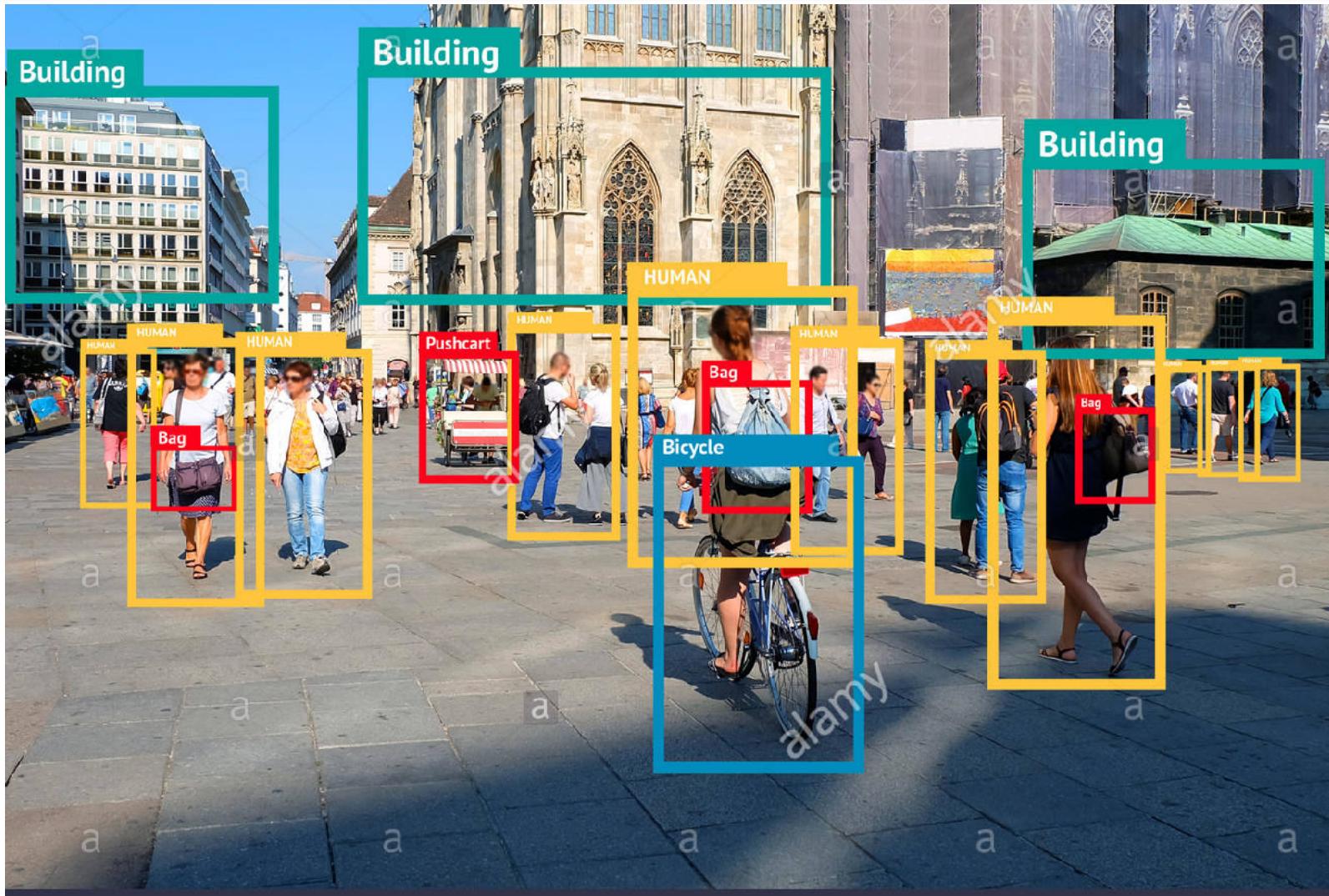
## Found in translation: More accurate, fluent sentences in Google Translate

Barak Turovsky  
PRODUCT LEAD, GOOGLE TRANSLATE

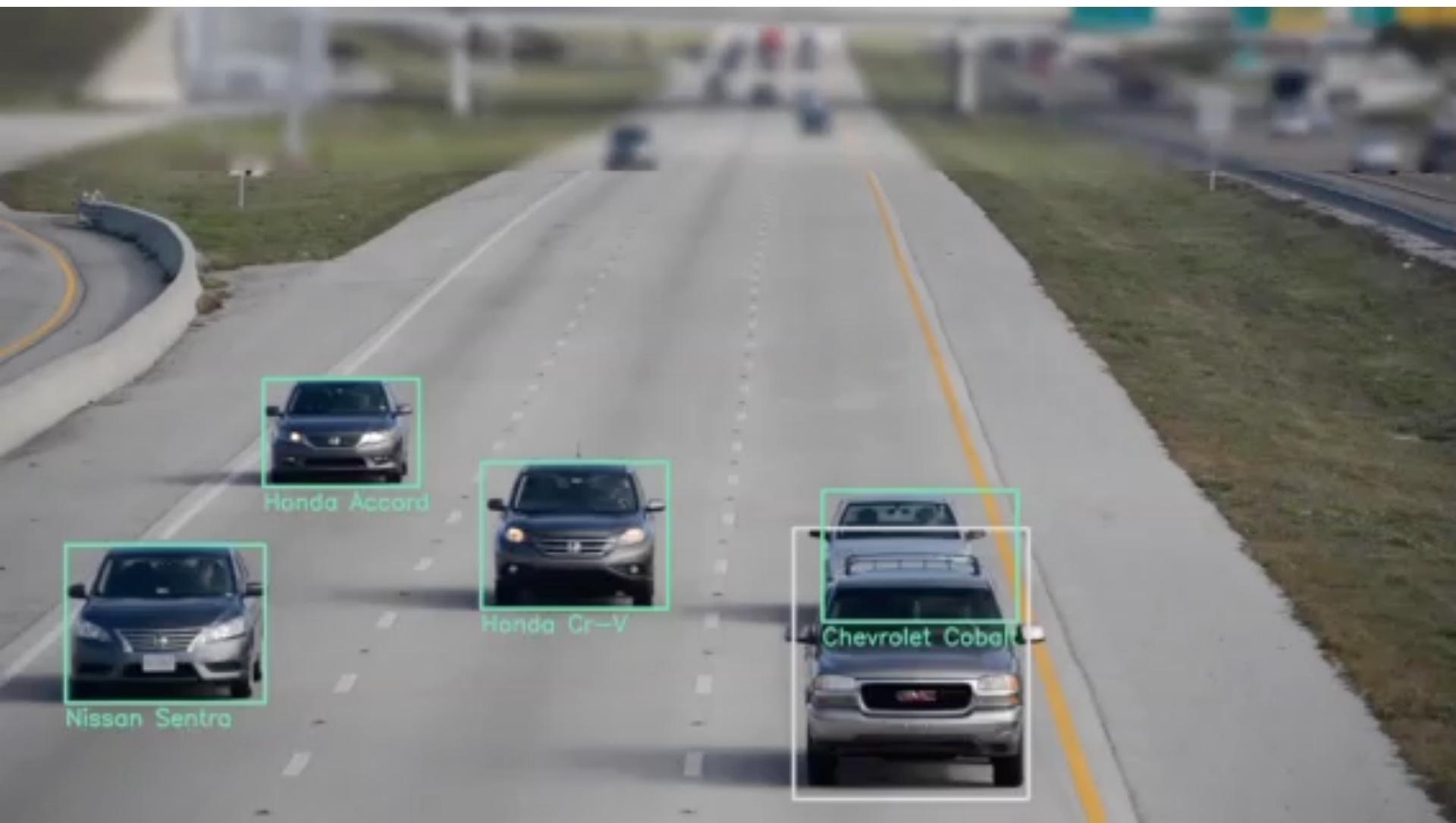
In 10 years, Google Translate has gone from supporting just a few languages to 103, connecting strangers, reaching across language barriers and even helping



# Image segmentation and Object recognition



# Autonomous Driving



18

# Achieving Master Level in GO

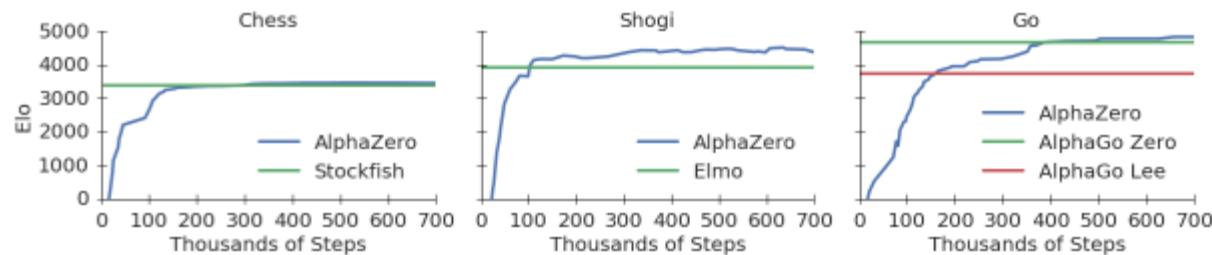
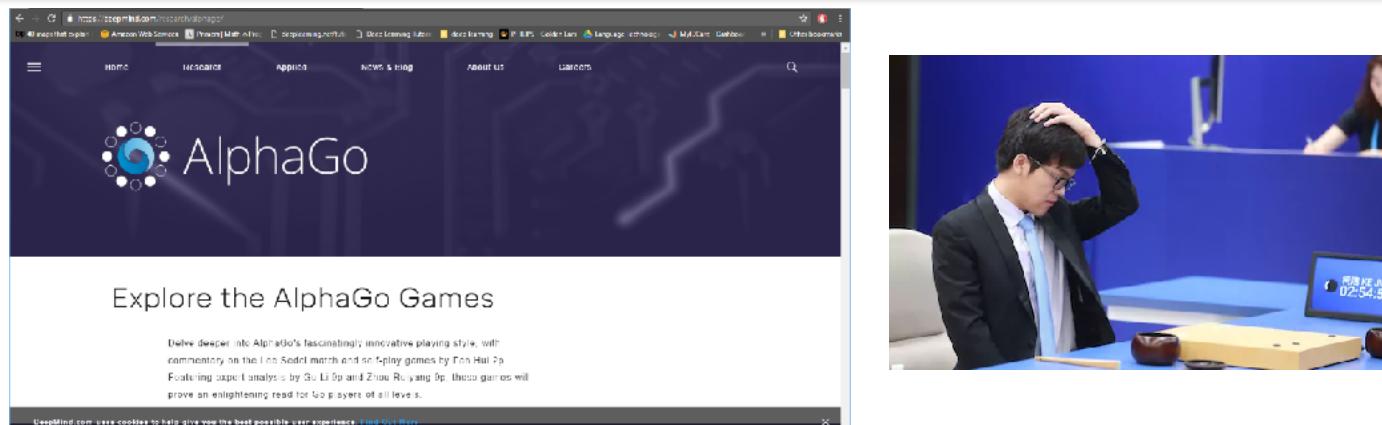


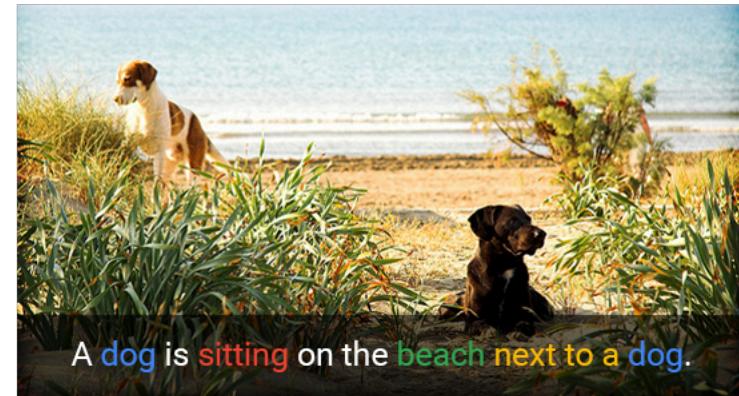
Figure 1: Training *AlphaZero* for 700,000 steps. Elo ratings were computed from evaluation games between different players when given one second per move. **a** Performance of *AlphaZero* in chess, compared to 2016 TCEC world-champion program *Stockfish*. **b** Performance of *AlphaZero* in shogi, compared to 2017 CSA world-champion program *Elmo*. **c** Performance of *AlphaZero* in Go, compared to *AlphaGo Lee* and *AlphaGo Zero* (20 block / 3 day) (29).

# Image Captioning

Human captions from the training set



Automatically captioned



# DL is Transforming the Industries

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- Search engine, with neural ranking
- Recommendation systems, Youtube, Tiktok, Facebook
- Speech Recognition
- Machine Translation
- Healthcare
- Finance
- ...

# So what are neural networks??

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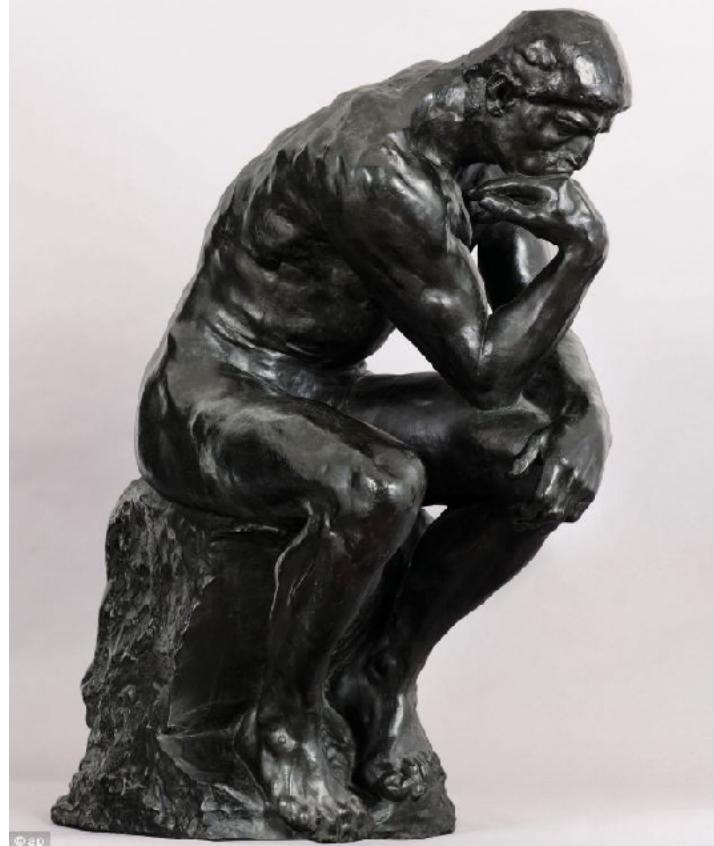
- It begins with this..



# So what are neural networks??

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- Or even earlier.. with this..



"The Thinker!"  
by Augustin Rodin

# The magical capacity of humans

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- Humans can
  - Learn
  - Solve problems
  - Recognize patterns
  - Create
  - Cogitate
  - ...
- Worthy of emulation
- But how do humans “work”?



Dante!

# Cognition and the brain..

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- “If the brain was simple enough to be understood - we would be too simple to understand it!”
  - Marvin Minsky

# Early Models of Human Cognition

- Associationism
  - Humans learn through association
- 400BC-1900AD: Plato, David Hume, Ivan Pavlov..

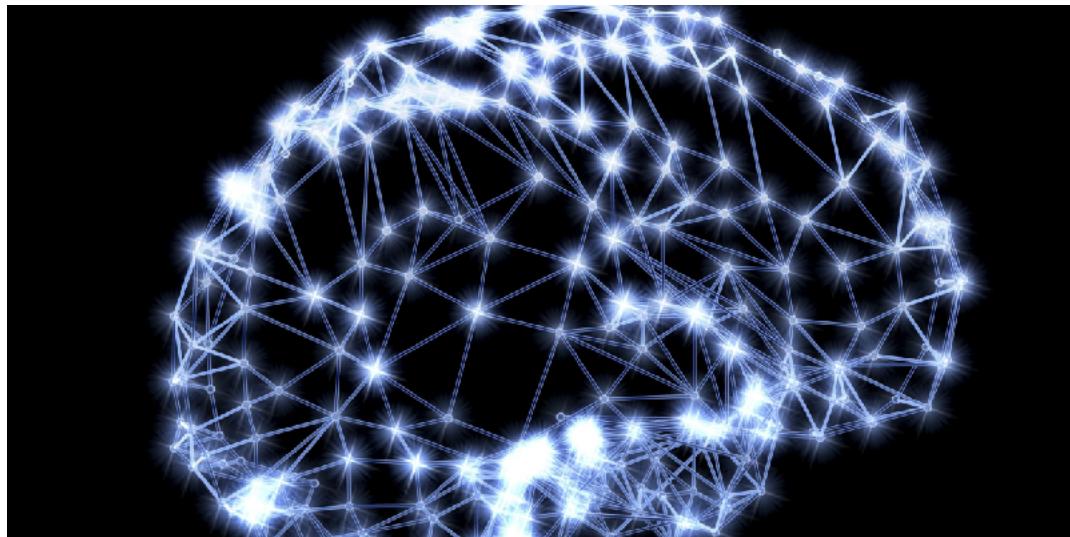


- **But where are the associations stored??**
- **And how?**

# Observation: *The Brain*

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- Mid 1800s: The brain is a mass of interconnected neurons



# Brain: Interconnected Neurons

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- Many neurons connect *in* to each neuron
- Each neuron connects *out* to many neurons



# Enter *Connectionism*

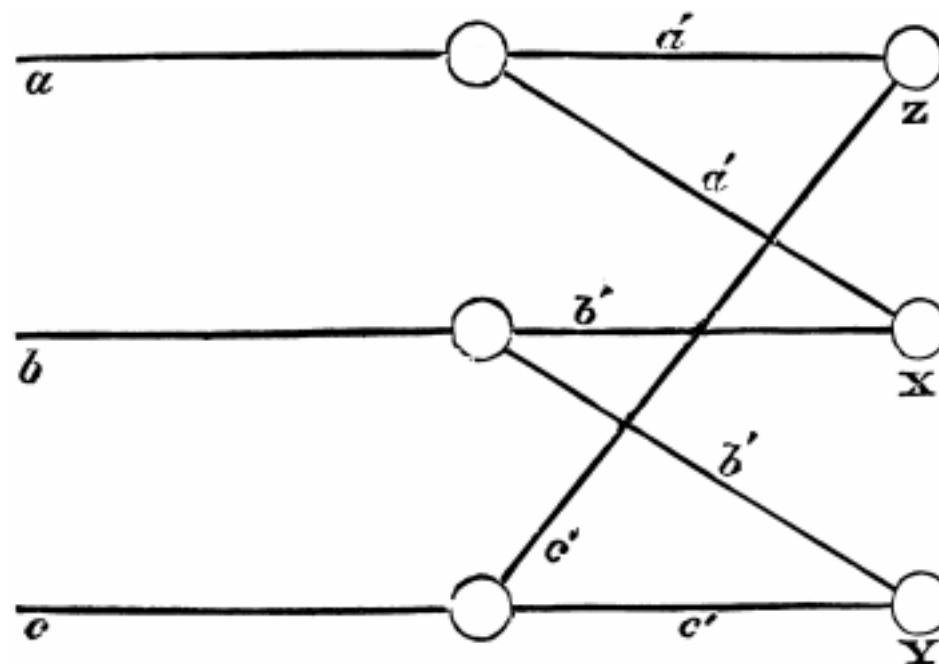
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- Alexander Bain, philosopher, psychologist, mathematician, logician, linguist, professor
- 1873: The information is in the *connections*
  - *Mind and body* (1873)



# Bain's Idea 1: Neural Groupings

- Neurons excite and stimulate each other
- Different combinations of inputs can result in different outputs



# Bain's Idea 2: Making Memories

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- “when two impressions concur, or closely succeed one another, the nerve-currents find some bridge or place of continuity, better or worse, according to the abundance of nerve-matter available for the transition.”
- Predicts “Hebbian” learning (three quarters of a century before Hebb!)

# Connectionism lives on..

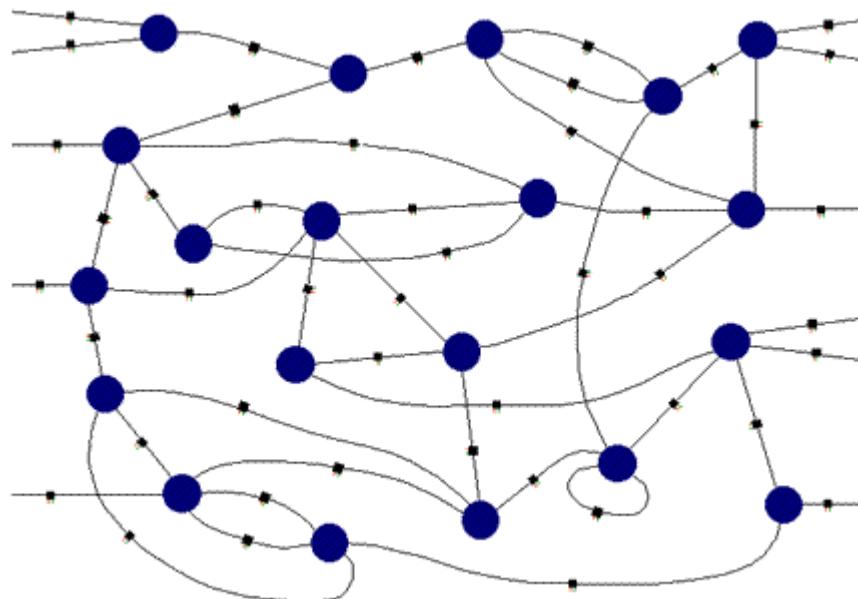
- The human brain is a connectionist machine
  - Bain, A. (1873). *Mind and body. The theories of their relation.* London: Henry King.
  - Ferrier, D. (1876). *The Functions of the Brain.* London: Smith, Elder and Co
- Neurons connect to other neurons.  
The processing/capacity of the brain  
is a function of these connections
- Connectionist machines emulate this structure



# Connectionist Machines

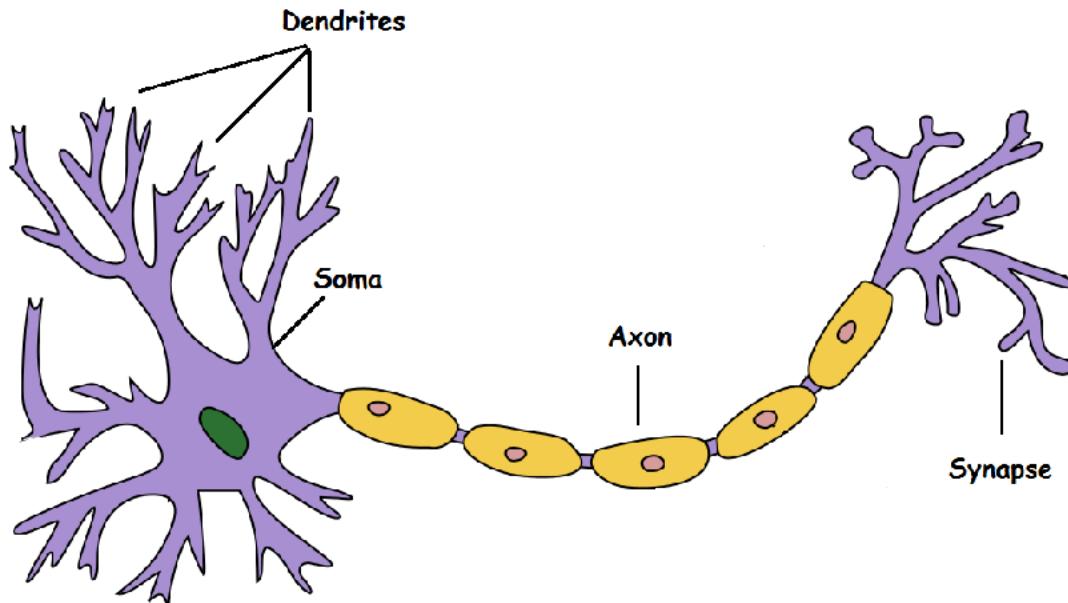
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- Network of processing elements
  - All world knowledge is stored in the *connections* between the elements
- *But what are the individual elements?*



# Modelling the brain

- A neuron:

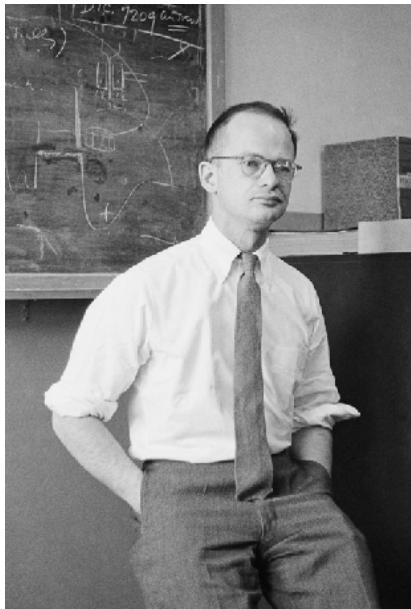


- Signals come in through the dendrites into the Soma
- A signal goes out via the axon to other neurons
  - Only one axon per neuron
- Factoid that may only interest me: Neurons do not undergo cell division
  - Neurogenesis occurs from neuronal stem cells, and is minimal after birth

# McCulloch and Pitts

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- The Doctor and the Hobo..
  - Warren McCulloch: Neurophysiologist
  - Walter Pitts: Homeless wannabe logician who arrived at his door

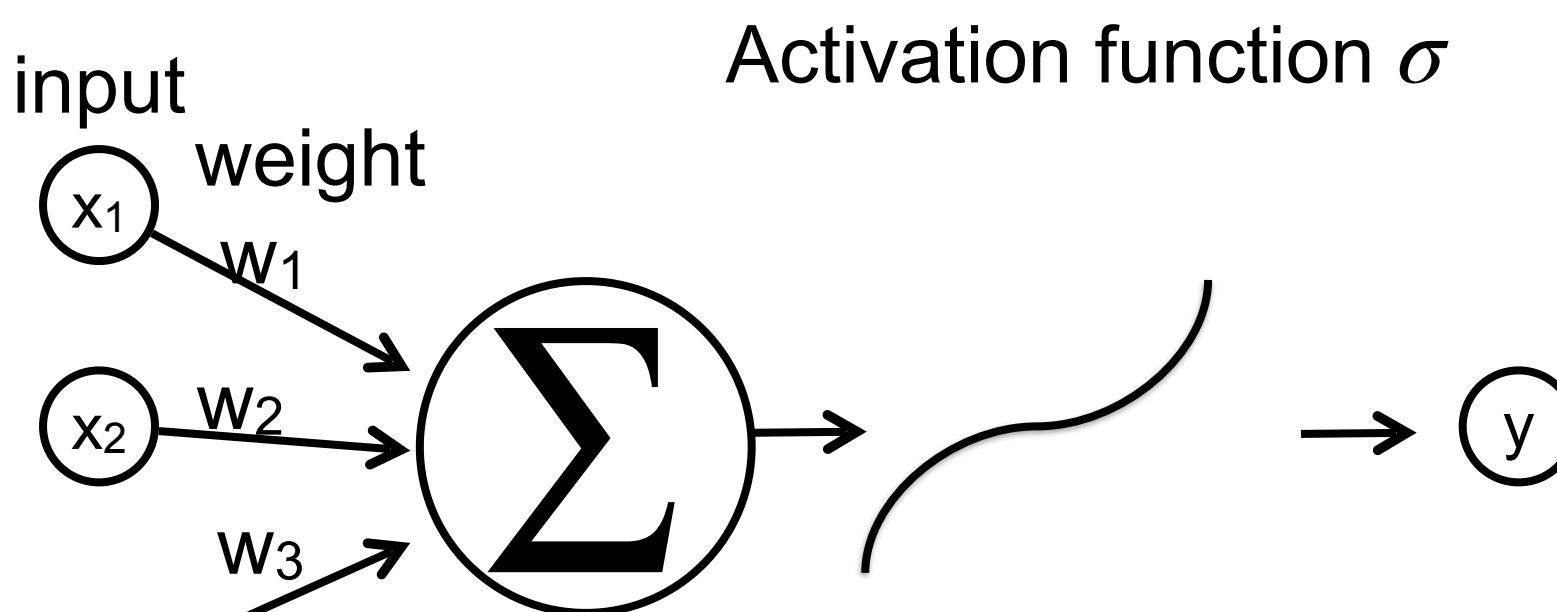


# The McCulloch and Pitts model

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- A mathematical model of a neuron
  - McCulloch, W.S. & Pitts, W.H. (1943). A Logical Calculus of the Ideas Immanent in Nervous Activity, Bulletin of Mathematical Biophysics, 5:115-137, 1943
    - Pitts was only 20 years old at this time

# A single Artificial Neuron



Input:  $x \in \mathbb{R}^d$

Weight:

$w \in \mathbb{R}^d, b \in \mathbb{R}$

# Criticisms

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- They claimed that their nets
  - Should be able to compute a small class of functions
  - Also, if tape is provided their nets can compute a richer class of functions.
    - Additionally they will be equivalent to Turing machines
    - Dubious claim that they're Turing complete
  - They didn't prove any results themselves
- Didn't provide a learning mechanism..

# Donald Hebb

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Novelist, farmer,  
hobo, schoolteacher  
psychologist

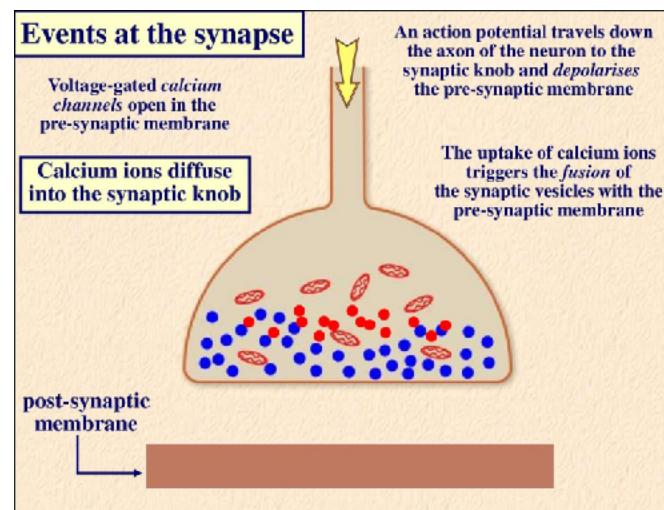
- “Organization of behavior”, 1949
- A learning mechanism:
  - “When an axon of cell *A* is near enough to excite a cell *B* and repeatedly or persistently takes part in firing it, some growth process or metabolic change takes place in one or both cells such that *A*'s efficiency, as one of the cells firing *B*, is increased.”
    - As *A* repeatedly excites *B*, its *ability* to excite *B* improves
  - *Neurons that fire together wire together*

# Hebbian Learning

- If neuron  $x$  repeatedly triggers neuron  $y$ , the synaptic knob connecting  $x$  to  $y$  gets larger
- In a mathematical model:  
$$w_{xy} = w_{xy} + \eta xy$$
  - Weight of the connection from input neuron  $x$  to output neuron  $y$
- This simple formula is actually the basis of many learning algorithms in ML

Axonal connection from neuron X

Dendrite of neuron Y



# Hebbian Learning

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- **Fundamentally unstable**
  - Stronger connections will enforce themselves
  - No notion of “competition”
  - No *reduction* in weights
  - Learning is unbounded
- Number of later modifications, allowing for weight normalization, forgetting etc.
  - E.g. Generalized Hebbian learning, aka Sanger’s rule

$$w_{ij} = w_{ij} + \eta y_j \left( x_i - \sum_{k=1}^j w_{ik} y_k \right)$$

- The contribution of an input is incrementally *distributed* over multiple outputs..

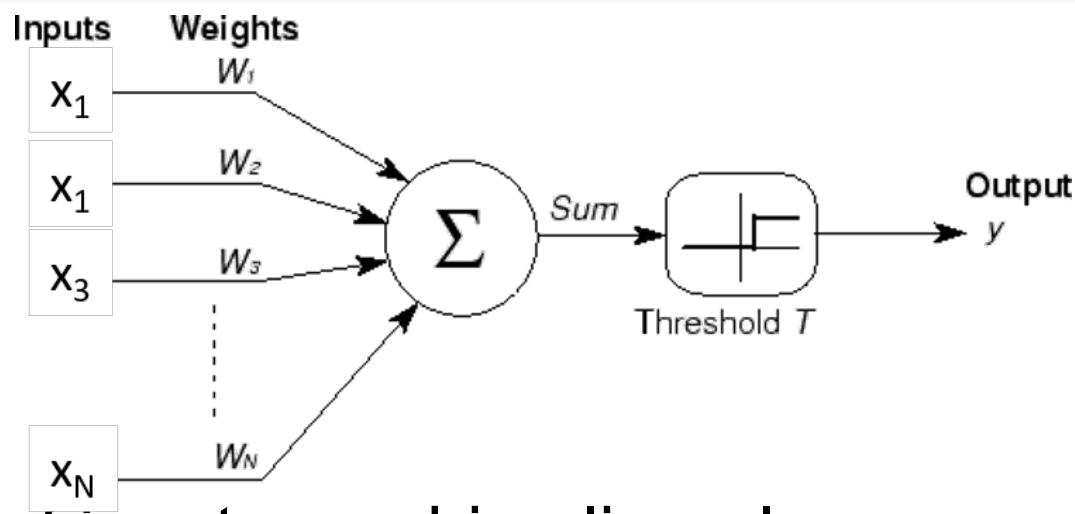
# A better model

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- Frank Rosenblatt
  - Psychologist, Logician
  - Inventor of the solution to everything, aka the Perceptron (1958)



# Perceptron: Simplified model

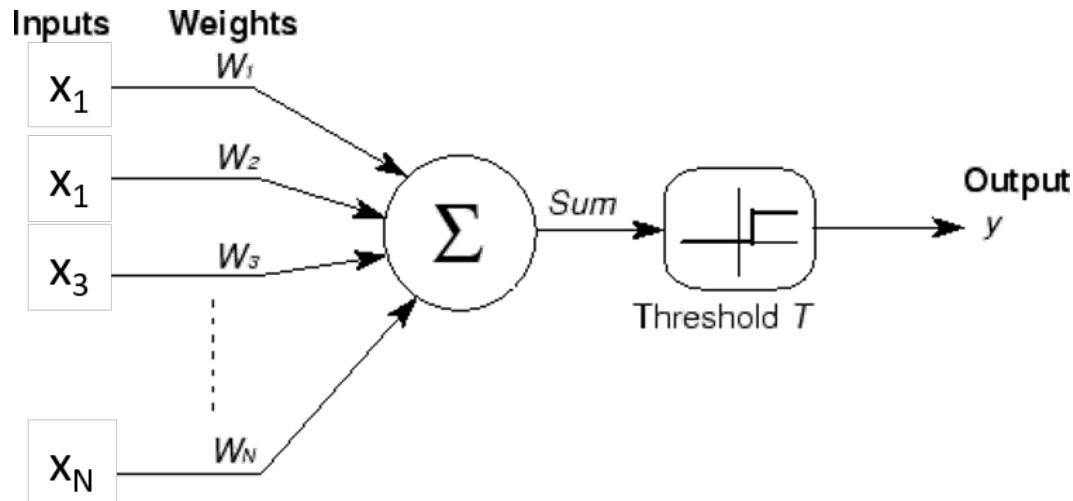


- Number of inputs combine linearly
  - Threshold logic: Fire if combined input exceeds threshold

$$Y = \begin{cases} 1 & \text{if } \sum_i w_i x_i - T \geq 0 \\ 0 & \text{else} \end{cases}$$

# The Universal Model

- Originally assumed could represent *any* Boolean circuit and perform any logic
  - “*the embryo of an electronic computer that [the Navy] expects will be able to walk, talk, see, write, reproduce itself and be conscious of its existence,*” New York Times (8 July) 1958
  - “*Frankenstein Monster Designed by Navy That Thinks,*” Tulsa, Oklahoma Times 1958



# Also provided a learning algorithm

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- Boolean tasks
- Update the weights whenever the perceptron output is wrong
  - Update the weight by the product of the input and the *error* between the desired and actual outputs
- Proved convergence for linearly separable classes

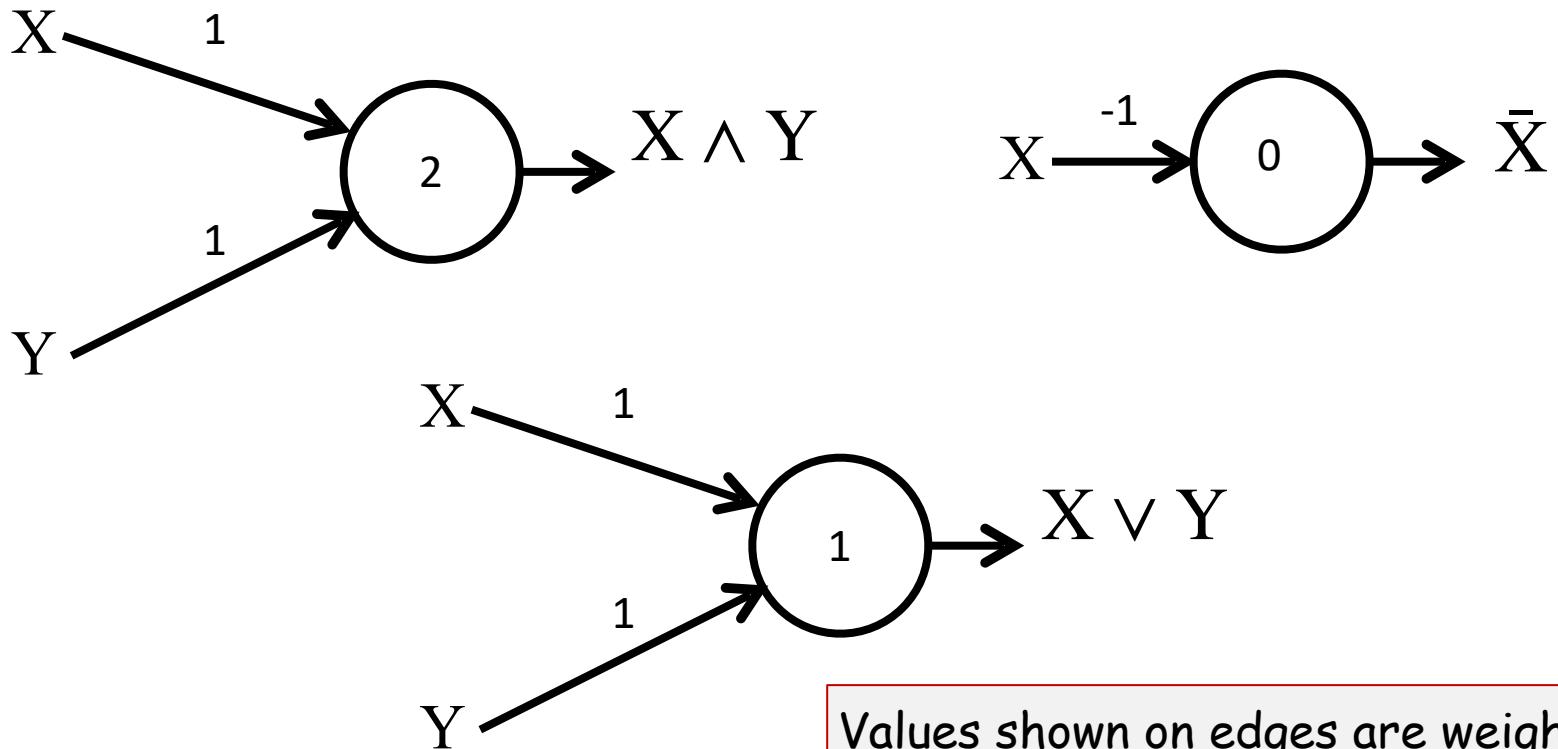
$$\mathbf{w} = \mathbf{w} + \eta(d(\mathbf{x}) - y(\mathbf{x}))\mathbf{x}$$

Sequential Learning:

$d(x)$  is the desired output in response to input  $\mathbf{x}$   
 $y(x)$  is the actual output in response to  $\mathbf{x}$

# Perceptron

- Easily shown to mimic any Boolean gate



Values shown on edges are weights,  
numbers in the circles are thresholds

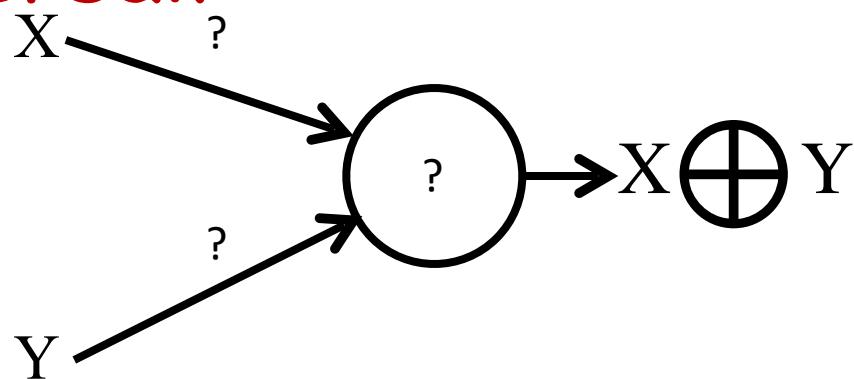
- But...

# Perceptron

- Minsky and Papert, 1968

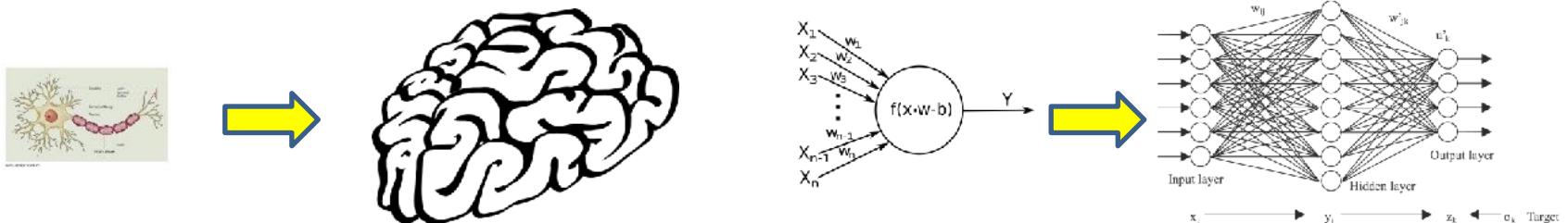
No solution for XOR!

Not universal!



# A single neuron is not enough

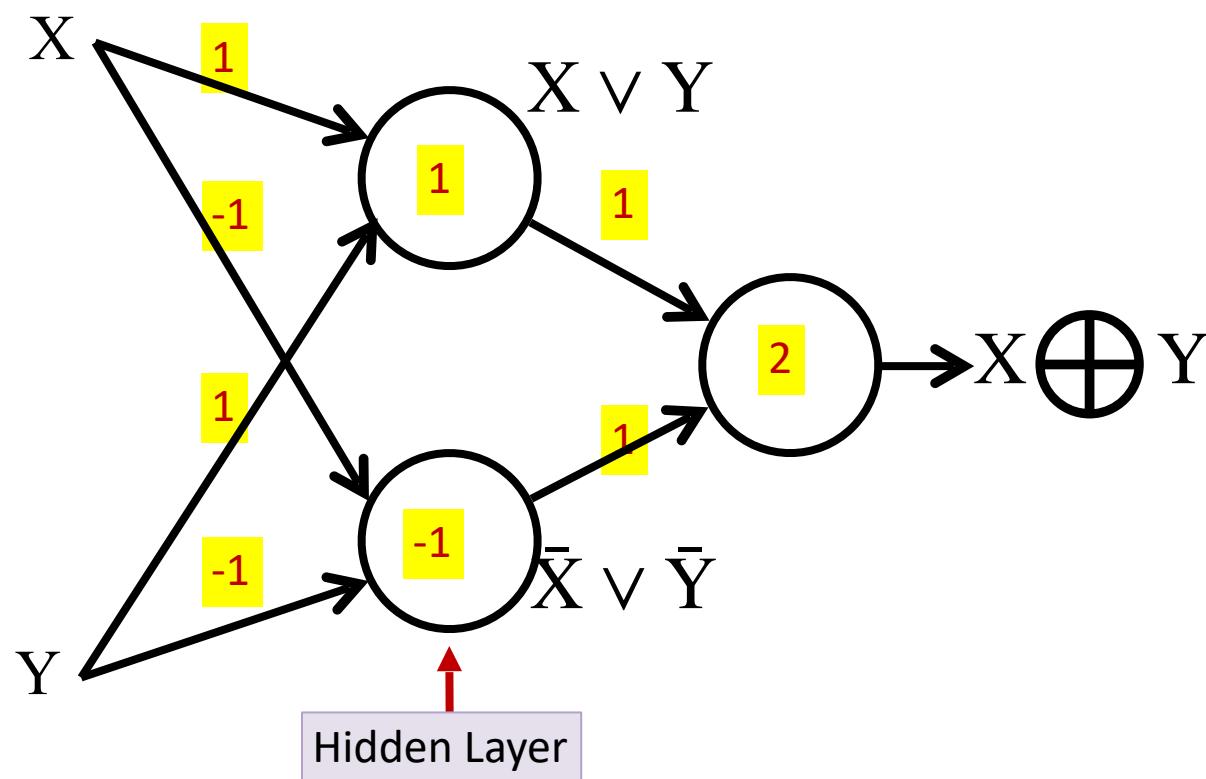
- Individual elements are weak computational elements
  - Marvin Minsky and Seymour Papert, 1969, *Perceptrons: An Introduction to Computational Geometry*
- Networked elements are required



# Multi-layer Perceptron!

- XOR

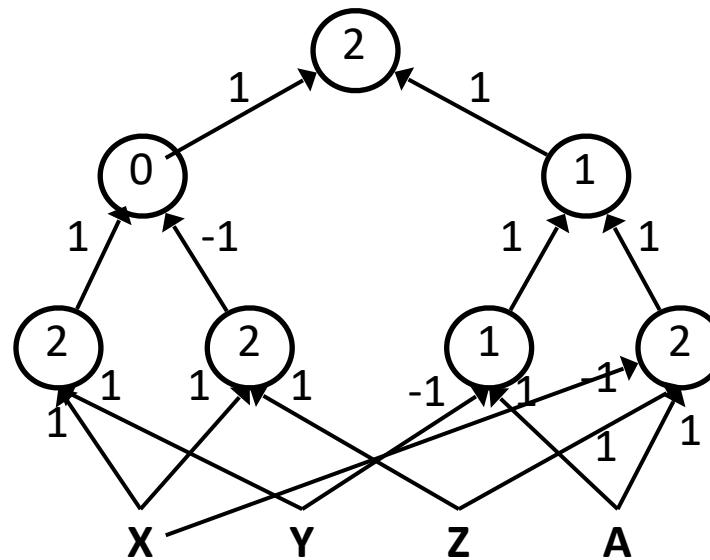
- The first layer is a “hidden” layer
- Also originally suggested by Minsky and Papert  
1968



# A more generic model

- A “multi-layer” perceptron
- Can compose arbitrarily complicated Boolean functions!
  - In cognitive terms: Can compute arbitrary Boolean functions over sensory input
  - More on this in the next class

$$((A\bar{X}Z) | (A\bar{Y}))((X \ Y) | (X\bar{Z}))$$



# Story so far

- Neural networks began as computational models of the brain
- Neural network models are *connectionist machines*
  - The comprise networks of neural units
- McCullough and Pitt model: Neurons as Boolean threshold units
  - Models the brain as performing propositional logic
  - But no learning rule
- Hebb's learning rule: Neurons that fire together wire together
  - Unstable
- Rosenblatt's perceptron : A variant of the McCulloch and Pitt neuron with a provably convergent learning rule
  - But individual perceptrons are limited in their capacity (Minsky and Papert)
- Multi-layer perceptrons can model arbitrarily complex Boolean functions

# Next Up

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- What is Machine learning
- Linear Models
- More on neural networks as universal approximators
  - And the issue of depth in networks
  - How to train neural network from data