

Post-doctoral Research Fellov

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#### **Research Interests**

Explainable Recommendation, Recommender Systems, Large Language Models, Natural Language Processing

#### **Education**

# **Ph.D. of Computer Science**

Hong Kong Baptist University (HKBU)

Advisor: Prof. Li Chen, Mentors: Dr. Yongfeng Zhang & Dr. Ruihai Dong

August 2017 – July 2022

Thesis: Natural Language Explanation for Recommendations and Beyond

Studied recurrent neural networks (RNN), Transformer, large language models (LLM) for natural language explanation generation for recommender systems, and published 3 papers at CIKM'20, ACL'21 and TOIS Major research outcome integrated into a small eco-system NLG4RS for recommender systems-based natural language generation, which includes benchmark datasets, evaluation metrics and representative models

## **B.Eng. of Computer Science & B.Sc. of Mathematics**

Shenzhen University (SZU)

Advisor: Prof. Weike Pan

September 2013 – June 2017

Research on recommendation algorithms, especially collaborative filtering and matrix factorization

## **Experience**

# **Hong Kong Baptist University**

August 2022 - Present

Post-doctoral Research Fellow Advisor: Prof. Li Chen, Mentor: Dr. Yongfeng Zhang

Hong Kong, China

- Supported by Hong Kong Research Grants Council (RGC)
- Research on large language models (LLM)-based recommendation
- Wrote a survey paper about LLM-based recommendation, where how LLM would shape recommender systems from multi-stage filtering to single-stage filtering is discussed

# **Rutgers University**

February 2023 – June 2023

Visiting Researcher Advisor: Dr. Yongfeng Zhang

New Brunswick, USA

• Published 1 paper about efficient LLM-based recommendation at CIKM'23

# Inspir.ai

June 2019 – August 2019

Intern Mentor: Dr. Peng Peng

Beijing, China

- Research on explaining the decision-making process of reinforcement learning (RL) agents
- Visualization of the replay data of the computer game StarCraft II

### **Hong Kong Baptist University**

March 2017 - June 2017

Research Exchange Student Advisor: Prof. Li Chen

Hong Kong, China

• Research on personality for recommender systems

# **Suishou Technology**

August 2016 – November 2016

Intern Advisor: Prof. Weike Pan

Shenzhen, China

- Utilized machine learning tools (such as Liblinear and XGBoost) to mine potential customers for personalized advertising
- Increased the company's sales of financial products by 4 times during an online test

• Large Language Models for Generative Recommendation: A Survey and Visionary Discussions

**Lei Li**, Yongfeng Zhang, Dugang Liu, Li Chen

**arXiv**: 2023

• Prompt Distillation for Efficient LLM-based Recommendation

Lei Li, Yongfeng Zhang, Li Chen

**CIKM'23**: Proceedings of the 32nd ACM International Conference on Information and Knowledge Management, pages 1348-1357, Birmingham, United Kingdom, October 21–25, 2023

• Personalized Prompt Learning for Explainable Recommendation

Lei Li, Yongfeng Zhang, Li Chen

**TOIS**: ACM Transactions on Information Systems, volume 41 (4), article 103, pages 1-26, March 2023

• On the Relationship between Explanation and Recommendation: Learning to Rank Explanations for Improved Performance

**Lei Li**, Yongfeng Zhang, Li Chen

**TIST**: ACM Transactions on Intelligent Systems and Technology, volume 14 (2), article 21, pages 1-24, February 2023

• TUR: Utilizing Temporal Information to Make Unexpected E-commerce Recommendations Yongxin Ni, Ningxia Wang, Li Chen, Rui Chen, **Lei Li** 

**WISE'22**: 23rd International Conference on Web Information Systems Engineering, pages 553-563, Biarritz, France, November 1–3, 2022

• Augmenting Legal Judgment Prediction with Contrastive Case Relations

Dugang Liu, Weihao Du, Lei Li, Weike Pan, Zhong Ming

**COLING'22**: Proceedings of the 29th International Conference on Computational Linguistics, pages 2658-2667, Gyeongju, Republic of Korea, October 12–17, 2022

• Improving Personalized Explanation Generation through Visualization

Shijie Geng, Zuohui Fu, Yingqiang Ge, Lei Li, Gerard de Melo, Yongfeng Zhang

**ACL'22**: Proceedings of the 60th Annual Meeting of the Association for Computational Linguistics, pages 244-255, Dublin, Ireland, May 22–27, 2022

• Effects of Feature-based Explanation and Its Output Modality on User Satisfaction with Service Recommender Systems

Zhirun Zhang, Li Chen, Tonglin Jiang, Yutong Li, Lei Li

Frontiers in Big Data, volume 5, article 897381, pages 1-17, May 2022

• Personalized Transformer for Explainable Recommendation

Lei Li, Yongfeng Zhang, Li Chen

**ACL'21 (oral)**: Proceedings of the 59th Annual Meeting of the Association for Computational Linguistics and the 11th International Joint Conference on Natural Language Processing, pages 4947-4957, Online, Thailand, August 1–6, 2021

• CAESAR: Context-Aware Explanation based on Supervised Attention for Service Recommendations **Lei Li**, Li Chen, Ruihai Dong

JIIS: Journal of Intelligent Information Systems, volume 57 (1), pages 147-170, August 2021

• EXTRA: Explanation Ranking Datasets for Explainable Recommendation

Lei Li, Yongfeng Zhang, Li Chen

**SIGIR'21**: Proceedings of the 44th International ACM SIGIR Conference on Research and Development in Information Retrieval, pages 2463-2469, Virtual Event, Canada, July 11–15, 2021

• Generate Neural Template Explanations for Recommendation

Lei Li, Yongfeng Zhang, Li Chen

**CIKM'20**: Proceedings of the 29th ACM International Conference on Information & Knowledge Management, pages 755-764, Virtual Event, Ireland, October 19–23, 2020

• Towards Controllable Explanation Generation for Recommender Systems via Neural Template **Lei Li**, Li Chen, Yongfeng Zhang

**WWW'20 Demo**: Companion Proceedings of the Web Conference 2020, pages 198-202, Taipei, Taiwan, April 20–24, 2020

• Context-aware Co-Attention Neural Network for Service Recommendations

Lei Li, Ruihai Dong, Li Chen

**ICDE'19 Workshop**: 2019 IEEE 35th International Conference on Data Engineering Workshops, pages 201-208, Macao, China, April 8–12, 2019

RLT: Residual-Loop Training in Collaborative Filtering for Combining Factorization and Global-Local Neighborhood

Lei Li, Weike Pan, Li Chen, Zhong Ming

**ICWS'18**: 25th International Conference on Web Services, pages 326-336, Seattle, USA, June 25–30, 2018

## Research Proposal

- Causal Inference for Natural Language Processing in Recommender Systems
   Awarded RGC Postdoctoral Fellowship Scheme (PDFS) in 2022
- Research on Generating Explainable Serendipity-Oriented Recommendations based on Knowledge Graph Partly involved Principal Investigator: Prof. Li Chen Funded by General Research Fund (GRF) in 2020
- Engaging the Audience with AI-powered News Chatbot Partly involved Principal Investigator: Prof. Li Chen Funded by HKBU IRCMS Project in 2019

### Talk & Tutorial

### Large Language Models for Recommendation

September 2023

Tutorial at RecSys'23

Data Science Lab

Singapore

Attracted hundreds of audience

Generating Recommendation Explanations with Transformer and Pre-trained Model

April 2023

COMP4135 & COMP7240 Recommender Systems

Hong Kong Baptist University (Online)

Korea Advanced Institute of Science & Technology (Online)

Generating Recommendation Explanations with Transformer and Pre-trained Model

March 2023

Improving Personalized Explanation Generation through Visualization

December 2022

Department of Computer Science

University of Luxembourg (Online)

How to Come up with Ideas and Do Research: Experience Sharing

October 2021

COMP7160 Research Methods in Computer Science

Hong Kong Baptist University

#### **Academic Services**

- **Guest Editor**: ACM Transactions on Recommender Systems (TORS) special issue on "Large Language Models for Recommender Systems", 2023
- **Topic Coordinator**: Frontiers in Big Data special issue on "Natural Language Processing for Recommender Systems", 2023

- **Session Chair**: CIKM'23 (Recommendation 9), WWW'23 (Multi-behavior Recommendation & Self-supervised Learning)
- Program Committee Member: WWW'23, RecSys'22
- Invited Reviewer:
  - IEEE Transactions on Knowledge and Data Engineering (TKDE), 2023, 2024
  - ACM Transactions on Information Systems (TOIS), 2020, 2022
  - ACM Transactions on Recommender Systems (TORS), 2023
  - IEEE Transactions on Big Data (TBD), 2022
  - ACM Transactions on Interactive Intelligent Systems (TiiS), 2021
  - Neurocomputing (NEUCOM), 2018
  - Knowledge-based Systems (KNOSYS), 2018
  - Journal of Intelligent Information Systems (JIIS), 2021

• External Reviewer: SIGIR'21, WWW'21, WWW'19

• Student Volunteer: EMNLP'19

### **Awards & Honors**

Postdoctoral Fellowship Scheme	Hong Kong RG0	C August 2022 – Present
RPg Performance Award	HKBU	September 2021
Research Postgraduate Studentship	HKBU	August 2017 – August 2021
Student Travel Grant (Virtual)	SIGIR'21	July 2021
Research Excellence Award	PG Day, HKBU	June 2021
Student Travel Grant (Virtual)	CIKM'20	October 2020
Best Presentation Award	PG Day, HKBU	June 2020
<b>Excellent Teaching Assistant Performance Award</b>	HKBU	June 2018, 2019, 2020
Teaching Assistant Performance Award	HKBU	February 2020
Outstanding Graduate	SZU	June 2017
Excellent Student of Academic Performance (2nd Prize)	SZU	October 2016
Excellent Student Leader (2nd Prize)	SZU	October 2015
Song Shan Hu Scholarship	SZU	October 2014
National Motivational Scholarship	SZU	October 2014

#### Technical Skill

**Programming Language** Python, Java, Matlab, C++ (ordered by proficiency)

Platform and Tool Linux, PyTorch, TensorFlow, Lary, Scikit-learn, MongoDB, Django, XGBoost, Li-

blinear, Git

# Language

Mandarin Native

**English** Working proficiency

**Cantonese** Elementary

Last update: December 19, 2023