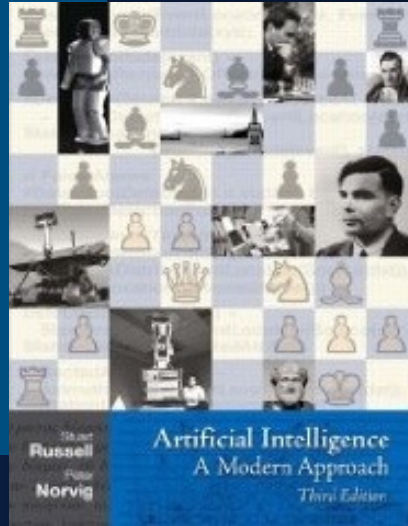


EDWARD TSANG



## Foundations of Constraint Satisfaction

Edited by Thom Fruehwirth



# AI Fundamentals: Constraints Satisfaction Problems

*Maria Simi*



# Constraint graphs

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# Constraint graphs

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A binary CSP, is a CSP with unary and binary constraints only.

A binary CSP may be represented as an undirected graph  $(V, E)$ :

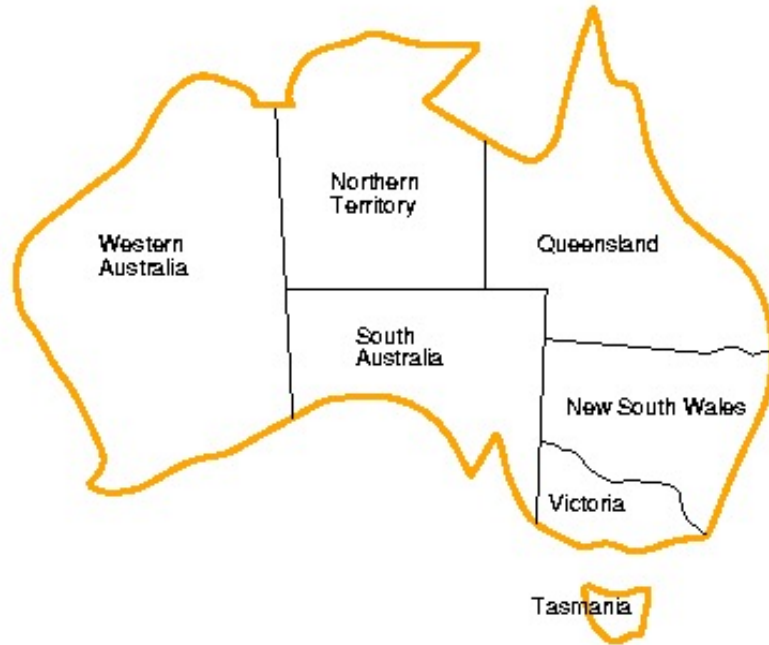
- Nodes correspond to variables ( $V$ )
- Edges correspond to binary constraints between variables ( $E \subseteq V \times V$ )

Note: **edges** are **undirected** arcs; an edge can be seen as a pair of arcs.

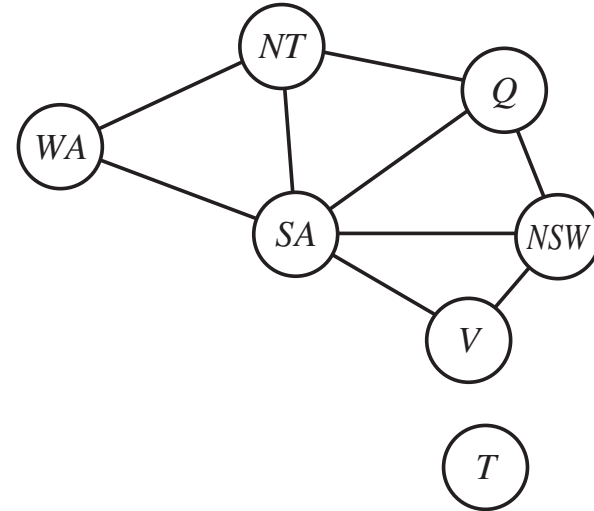
Node  $x$  is **adjacent** to node  $y$  if and only if  $(x, y)$  is in  $E$

A graph is connected if there is a path among any two nodes

# Map coloring: constraint graph



*Binary constraint graph*



# Transformation into binary constraints

All problems can be transformed into binary constraint problems (not always worthwhile).

Example.

$$X = \{x, y, z\}$$

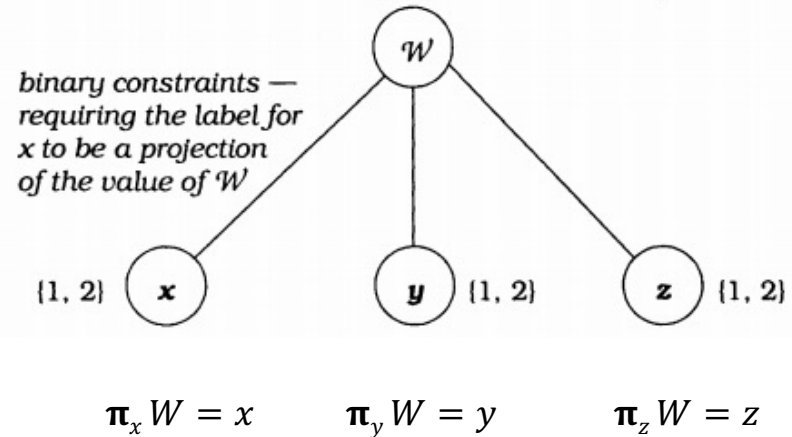
$$D_x = D_y = D_z = \{1, 2\}$$

$$C = \{(\langle x, 1 \rangle, \langle y, 1 \rangle, \langle z, 2 \rangle), (\langle x, 1 \rangle, \langle y, 2 \rangle, \langle z, 2 \rangle), (\langle x, 1 \rangle, \langle y, 2 \rangle, \langle z, 1 \rangle), (\langle x, 2 \rangle, \langle y, 1 \rangle, \langle z, 2 \rangle), (\langle x, 2 \rangle, \langle y, 1 \rangle, \langle z, 1 \rangle), (\langle x, 2 \rangle, \langle y, 2 \rangle, \langle z, 1 \rangle)\}$$

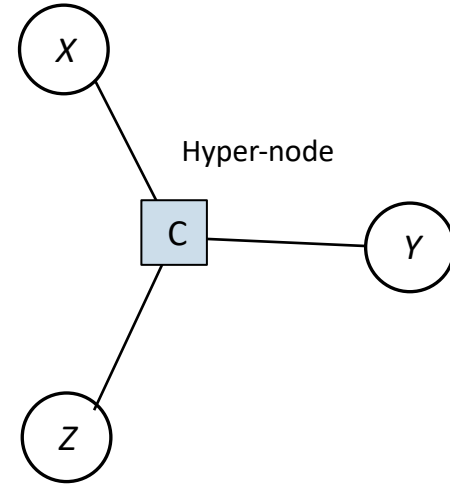
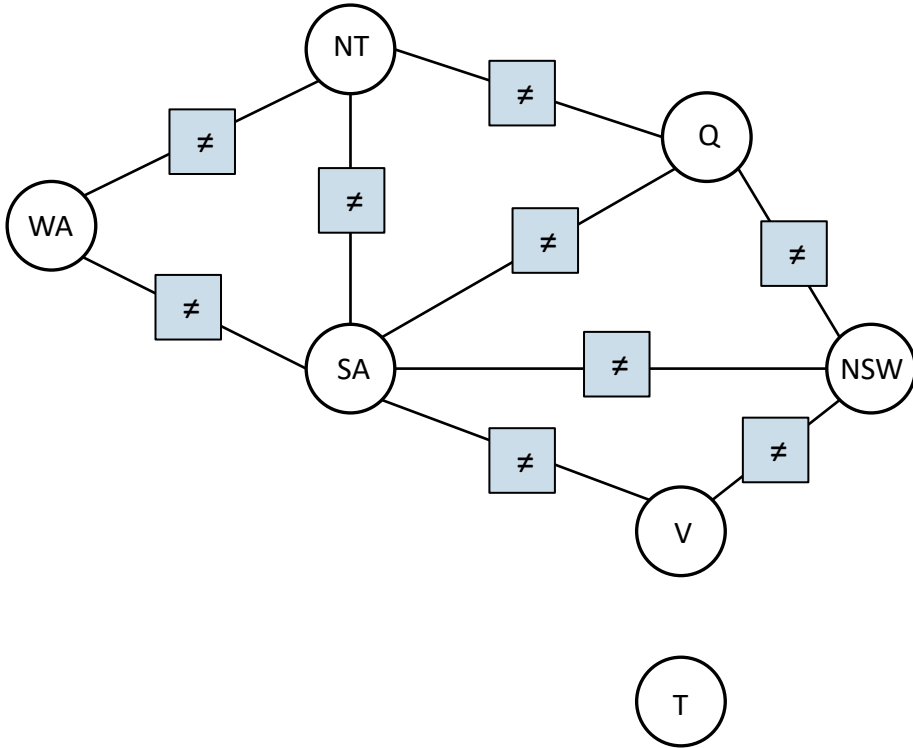
*Ternary constraint: not all three variables have the same values*

new variable, which domain is:

$\{(\langle x, 1 \rangle \langle y, 1 \rangle \langle z, 2 \rangle), (\langle x, 1 \rangle \langle y, 2 \rangle \langle z, 1 \rangle), (\langle x, 1 \rangle \langle y, 2 \rangle \langle z, 2 \rangle), (\langle x, 2 \rangle \langle y, 1 \rangle \langle z, 2 \rangle), (\langle x, 2 \rangle \langle y, 2 \rangle \langle z, 1 \rangle), (\langle x, 2 \rangle \langle y, 1 \rangle \langle z, 1 \rangle)\}$



# Making constraints explicit, *hypergraphs*



A ternary constraint, e.g.  $X + Y = Z$

# Constraints hypergraphs

---

In general, every  $CSP$  is associated with a constraint hypergraph.

Hypergraphs are a generalization of graphs: a hyper-node may connect more than two nodes.

The constraint hypergraph of a  $CSP(X, D, C)$  is a hypergraph in which each node represents a variable in  $X$ , and each hyper-node represents a higher order constraint in  $C$ .

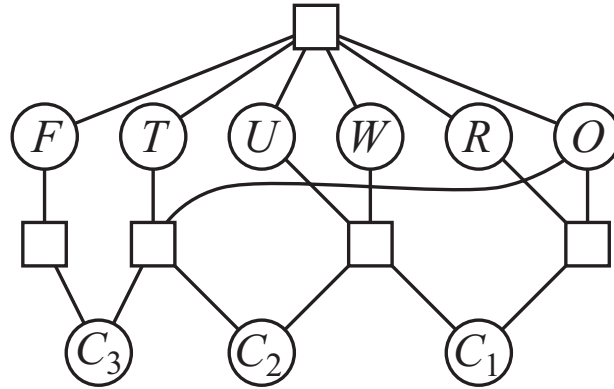
Example: Crypto-arithmetic

*Each letter stands for a distinct digit;  
the goal is to find a substitution of digits  
for letters such that the resulting sum is arithmetically correct*

$$\begin{array}{r} T \ W \ O \\ + \ T \ W \ O \\ \hline F \ O \ U \ R \end{array}$$

# Hypergraph: cryptarithmic example [AIMA]

$$\begin{array}{r}
 C_3 \ C_2 \ C_1 \\
 T \ W \ O \\
 + \ T \ W \ O \\
 \hline
 F \ O \ U \ R
 \end{array}$$



Square nodes are hyper-edges representing  $n$ -ary constraints

The constraint hypergraph for the cryptarithmic problem, shows the **Alldiff** constraint (square box at the top) as well as the column addition constraints (four square boxes in the middle). The variables  $C_1$ ,  $C_2$ , and  $C_3$  represent the carryover digits for the three columns.

Constraints:

$$O + O = R + 10 * C_1$$

$$W + W + C_1 = U + 10 * C_2$$

$$T + T + C_2 = O + 10 * C_3$$

$$F = C_3$$

$$Dom(C_1) = Dom(C_2) = Dom(C_3) = \{0, 1\}$$



# Dual graph transformation

An alternative way to convert an  $n$ -ary CSP to a **binary** one is the **dual graph transformation**:

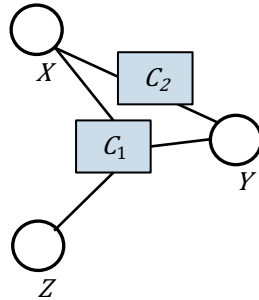
1. Create a new graph in which there is one variable for each constraint in the original graph.
2. If two constraints share variables they are connected by an arc, corresponding to the constraint that the shared variables receive the same value.

## Original CSP (hypergraph)

$$\text{Dom}(x) = \text{Dom}(y) = \text{Dom}(z) = \{1, 2, 3\}$$

$$C_1 = \{\langle x, y, z \rangle, x + y = z\} = \\ = \{(1, 2, 3), (2, 1, 3), (1, 1, 2)\}$$

$$C_2 = \{\langle x, y \rangle, x < y\} = \\ = \{(1, 2), (1, 3), (2, 3)\}$$

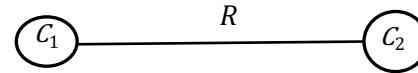


## Dual CSP

$$\text{Dom}(C_1) = \{(1, 2, 3), (2, 1, 3), (1, 1, 2)\}$$

$$\text{Dom}(C_2) = \{(1, 2), (1, 3), (2, 3)\}$$

$R_{x,y}$  = constraint that  $x$  and  $y$  receive the same values



# Problem reduction techniques

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PROBLEM REDUCTION TECHNIQUES – CONSISTENCY PROPERTIES.

# Three related concepts

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## **Problem reduction techniques**

- Techniques for transforming a CSP into an equivalent problems which is easier to solve or recognizable as unsolvable.

## **Enforcing local consistency**

- The process of enforcing **local consistency** properties in a constraint graph causes inconsistent values to be eliminated
- Different types of local consistency properties have been studied

## **Constraint propagation/inference**

- Constraints are used to reduce the number of legal values for a variable, which in turn can reduce the legal values for another variable, and so on ...

# Problem reduction

---

Reducing a problem means removing from the constraints (legal assignments) those assignments which appear in no solution tuples.

Two CSP problems are **equivalent** if they have identical sets of variables and solutions.

A CSP problem  $\mathcal{P}_1$  is **reduced** to a problem  $\mathcal{P}_2$  when

1.  $\mathcal{P}_1$  is equivalent to  $\mathcal{P}_2$
2. Domains of variables in  $\mathcal{P}_2$  are subsets of those in  $\mathcal{P}_1$
3. The constraints in  $\mathcal{P}_2$  are at least as restrictive than in  $\mathcal{P}_1$

These conditions guarantee that a solution to  $\mathcal{P}_2$  is also a solution to  $\mathcal{P}_1$

Only **redundant** values and assignments are removed (no solution is lost).

The problem is easier to solve.

# Problem reduction strategies

---

Problem reduction involves two possible tasks:

1. removing redundant values from the domains of the variables
2. tightening the constraints so that fewer compound labels satisfy them

Example: if  $x < y$  is a constraint and  $D_x = \{3, 4, 5\}$  and  $D_y = \{1, 2, 4\}$  domains can be safely reduced to  $\{3\}$  and  $\{4\}$ .

Constraints are sets, then this means removing redundant compound labels from the set. If the domain of any variable or any constraint is reduced to an **empty set**, then one can conclude that the problem is unsolvable.

Problem reduction is also called *consistency checking/maintenance* since it relies on establishing **local consistency properties**.

# Local consistency properties

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- Node consistency
- Arc consistency
- [Directional arc consistency]
- Generalized arc consistency
- Path consistency
- K-consistency
- Forward Checking

*All these operations do not change the set of the solutions, do not necessarily solve a problem but, used in conjunction with search, make the search more efficient by pruning the search tree.*

# Node consistency / domain consistency

---

A node is **consistent** if all the values in its domain satisfy unary constraints on the associated variable. A constraint network is **node-consistent** if all its nodes are consistent

Given a unary constraint on  $x_i$  :  $C_i = \langle (x_i), R_i \rangle$

*Node consistency*:  $D_i \subseteq R_i$

Node consistency can be enforced by reducing the domains of variables as follows:

$$D_i \leftarrow D_i \cap R_i$$

The algorithm, called NC-1, is  $O(d \cdot n)$ ,

Example: in the map coloring problem of Australia

- Suppose South Australia dislikes green:  $(SA \neq \text{green})$  is a unary constraint.
- SA starts with domain  $\{\text{red}, \text{green}, \text{blue}\}$ , and we can make it *node-consistent* by eliminating *green*, leaving SA with the reduced domain  $\{\text{red}, \text{blue}\}$

# Arc consistency (for binary constraints)

---

A variable in a CSP is **arc-consistent** if every value in its domain satisfies the binary constraints of this variable with other variables.

$x_i$  is **arc-consistent** with respect to another variable  $x_j$  if for every value in its domain  $D_i$  there is some value in the domain  $D_j$  that satisfies the binary constraint on the arc  $(x_i, x_j)$ .

Example:  $X = \{x, y\}$                        $D_X = D_Y = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

Constraint:  $\langle (x, y), x = y^2 \rangle$       considering arc       $x \rightarrow y$

To make arc  $x \rightarrow y$  consistent, we reduce the domain of  $x$  to  $\{0, 1, 4, 9\}$ .

If we also make arc  $y \rightarrow x$  consistent, then  $y$ 's domain becomes  $\{0, 1, 2, 3\}$  and the whole **edge** is consistent.



# A relational algebra view

---

We assume a constraint between  $x_i$  and  $x_j$  expressed by relation  $R_{i,j}$ .

Arc  $x_i \rightarrow x_j$  is arc-consistent iff  $D_i \subseteq \pi_i(R_{i,j} \bowtie D_j)$

Where  $\bowtie$  and  $\pi$  are the join and projection operator of relational algebra. The operation is a *left semijoin* ( $\bowtie$ )

Arc  $x_i \rightarrow x_j$  can be made *arc-consistent* by computing:

$$D_i \leftarrow D_i \cap \pi_i(R_{i,j} \bowtie D_j)$$

Example: considering again arc  $x \rightarrow y$  and constraint  $\langle (x, y), x=y^2 \rangle$

$$\begin{aligned}\pi_x(R_{x,y} \bowtie D_y) &= \pi_x(\{(0, 0), (1, 1), (4, 2), (9, 3)\} \bowtie \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}) \\ &= \pi_x(\{(0, 0), (1, 1), (4, 2), (9, 3)\}) = \{0, 1, 4, 9\}\end{aligned}$$

$$D_x \leftarrow D_x \cap \{0, 1, 4, 9\} = \{0, 1, 4, 9\}$$

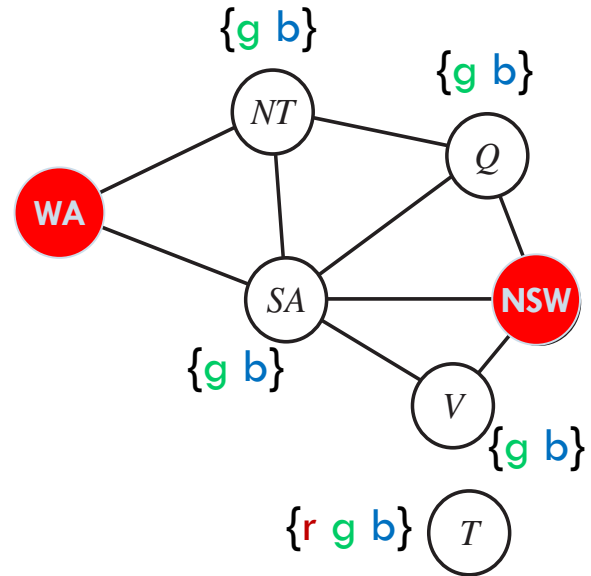
# Arc consistent but no solutions

Arc consistency does not guarantee a solution.

In this case all the arcs are consistent but there is no solution

$NT \neq Q$ ,  $Q \neq SA$ ,  $SA \neq NT$

Impossible to color three fully connected nodes with two colors



# Algorithm for arc consistency (AC-3)

---

The most popular algorithm for arc consistency is called AC-3 [Mackworth, 1977]

AC-3(*csp*) maintains a queue of arcs to consider; initially all the arcs in *csp*. Each **edge** produces two arcs.

AC-3 pops off an arc  $(x_i, x_j)$  from the queue and makes  $x_i$  **arc-consistent** with respect to  $x_j$

1. If this step leaves  $D_i$  unchanged, the algorithm just moves on to the next arc.
2. If  $D_i$  is made smaller, then we need to add to the queue all arcs  $(x_k, x_j)$  where  $x_k$  is a neighbor of  $x_i$  different from  $x_j$
3. If  $D_i$  becomes empty, then we conclude that the whole CSP has no solution.

When there are no more arcs to consider, we are left with a CSP that is equivalent to the original CSP, but simpler.

# AC-3: AIMA pseudo-code

**function** AC-3(*csp*) **returns** false if an inconsistency is found and true otherwise

**inputs:** *csp*, a binary CSP with components ( $X$ ,  $D$ ,  $C$ )

**local variables:** *queue*, a queue of arcs, initially all the arcs in *csp*

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$

**if** REVISE(*csp*,  $X_i$ ,  $X_j$ ) **then**

**if** size of  $D_i = 0$  **then return** false

**for each**  $X_k$  **in**  $X_i.\text{NEIGHBORS} - \{X_j\}$  **do**

            add  $(X_k, X_i)$  to *queue*

**return** true

---

**function** REVISE(*csp*,  $X_i$ ,  $X_j$ ) **returns** true iff we revise the domain of  $X_i$

*revised*  $\leftarrow$  false

**for each**  $x$  **in**  $D_i$  **do**

**if** no value  $y$  in  $D_j$  allows  $(x, y)$  to satisfy the constraint between  $X_i$  and  $X_j$  **then**

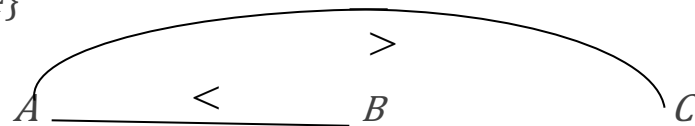
            delete  $x$  from  $D_i$

*revised*  $\leftarrow$  true

**return** *revised*

# Arc consistency: an example

- Variables  $A \{1, 2, 3, 4\}$   $B \{1, 2, 3, 4\}$   $C \{1, 2, 3, 4\}$
- Constraints  $A < B$ ;  $A > C$



QUEUE	ARC	ARC DOMAIN
$\{(A, B), (B, A), (A, C), (C, A)\}$	$(A, B)$	$A = \{1, 2, 3, 4\}$
$\{(B, A), (A, C), (C, A)\}$	$(B, A)$	$B = \{1, 2, 3, 4\}$
$\{(A, C), (C, A)\}$	$(A, C)$	$A = \{1, 2, 3\}$
$\{(C, A)\}$	<i>add <math>(B, A)</math> for checking</i>	
$\{(B, A), (C, A)\}$	$(B, A)$	$B = \{2, 3, 4\}$
$\{(C, A)\}$	$(C, A)$	$C = \{1, 2, 3, 4\}$
$\{\}$		

At the end:  $A = \{2, 3\}$        $B = \{3, 4\}$        $C = \{1, 2\}$

# Complexity of AC-3

---

Assume a CSP with  $n$  variables, each with domain size at most  $d$ , and with  $c$  binary constraints (arcs).

- Checking consistency of an arc can be done in  $O(d^2)$  time
- Each arc  $(x_i, x_j)$  can be inserted in the queue only  $d$  times because  $x_i$  has at most  $d$  values to delete.
- We have  $c$  arcs to consider
- Complexity:  $O(cd^3)$  ... polynomial time

The algorithm AC-4 is an improved version of AC-3, based on the notion of **support**, that doesn't need to consider all the incoming arcs. Some more information must be kept.  $O(cd^2)$ .

# Directional Arc Consistency

---

Directional Arc Consistency (DAC) is defined wrt a **total ordering of the variables**.

A CSP is **directional arc consistent** (DAC) under an ordering of the variables if and only if for every label  $\langle x, a \rangle$  which satisfies the constraints on  $x$ , there exists a compatible label  $\langle y, b \rangle$  for every variable  $y$ , **which is after**  $x$  according to the ordering.

In the algorithm for establishing DAC (DAC-1), each arc is examined exactly once, by proceedings from the last in the ordering, so the complexity is  $O(cd^2)$ .

We will see later the use of this property.

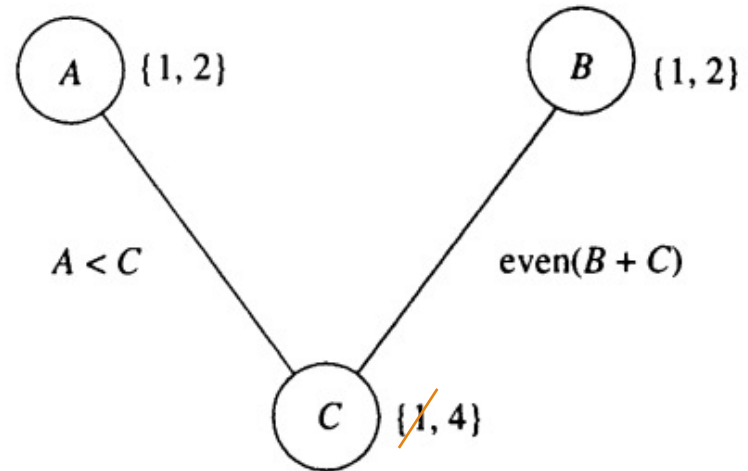
**Warning:** AC cannot always be achieved by running DAC-1 in both directions.

# DAC in both directions weaker than AC

After achieving DAC with orderings (A, B, C) and (C, B, A), the only effect is to delete 1 from the C domain.

However, the resulting graph is not arc consistent.

In fact, arc BC is not consistent: the value 1 should be deleted from the domain of B to make it consistent.





# Generalized Arc Consistency (GAC)

---

An extension of the notion of arc consistency to handle  $n$ -ary rather than just binary constraints (also called *hyper-arc* consistency).

A variable  $x_i$  is **generalized arc consistent** with respect to a  $n$ -ary constraint if for every value  $v$  in the domain of  $x_i$  there exists a tuple of values that is a member of the constraint and has its  $x_i$  component equal to  $v$ .

For example, if all variables have the domain  $\{0, 1, 2, 3\}$ , then to make the variable  $X$  consistent with the ternary constraint  $X < Y < Z$ , we would have to eliminate 2 and 3 from the domain of  $X$  because the constraint cannot be satisfied when  $X = 2$  or  $X = 3$ .

# GAC algorithm

The GAC algorithm is a generalization of AC-3. It uses hypergraphs [see Poole & Macworth]

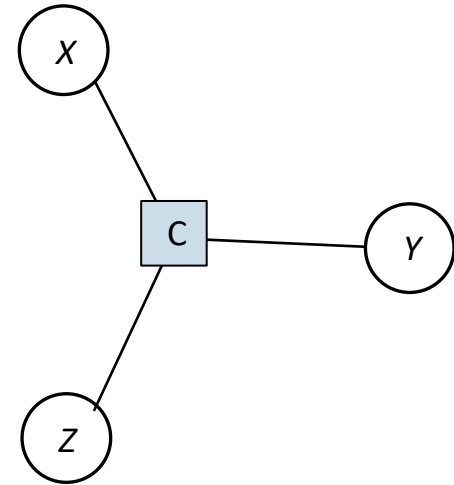
The arcs considered are:

$\langle X, C \rangle$

$\langle Y, C \rangle$

$\langle Z, C \rangle$

In general, if constraint  $c$  has scope  $\{X, Y_1, \dots, Y_k\}$ , arc  $\langle X, c \rangle$  is arc-consistent when for each value  $x$  in  $D_x$  there are values  $y_1, \dots, y_k$  in  $D_{y1} \dots D_{yk}$  such that  $(x, y_1, \dots, y_k)$  satisfies  $c$ .



C is the ternary constraint  $X < Y < Z$

# Path consistency [Montanari]

---

Arc consistency tightens down the domains using the arcs (binary constraints).

**Path consistency** is a stronger notion: it tightens the binary constraints by using implicit constraints that are inferred by looking at triples of variables.

A path of length 2 between variables  $\{x_i, x_j\}$  is **path-consistent** with respect to a third intermediate variable  $x_m$  if, for every consistent assignment  $\{x_i = a, x_j = b\}$ , there is an assignment to  $x_m$  that satisfies the constraints on  $\{x_i, x_m\}$  and  $\{x_m, x_j\}$ .

In relational algebra:

$$R_{i,j} \subseteq \pi_{i,j}(R_{i,m} \bowtie D_m \bowtie R_{m,j})$$

# Path consistency algorithm and properties

---

To achieve path consistency:

$$R_{ij} \leftarrow R_{ij} \cap \pi_{ij}(R_{i,m} \bowtie D_m \bowtie R_{m,j})$$

The algorithm is called PC-2.

If all path of length 2 are made consistent, then all path of any length are consistent [Montanari 1974], so longer path need not be considered.

This is called **path consistency** because one can think of it as looking at a path from  $x_i$  to  $x_j$  with  $x_m$  in the middle.

# Path consistency: example

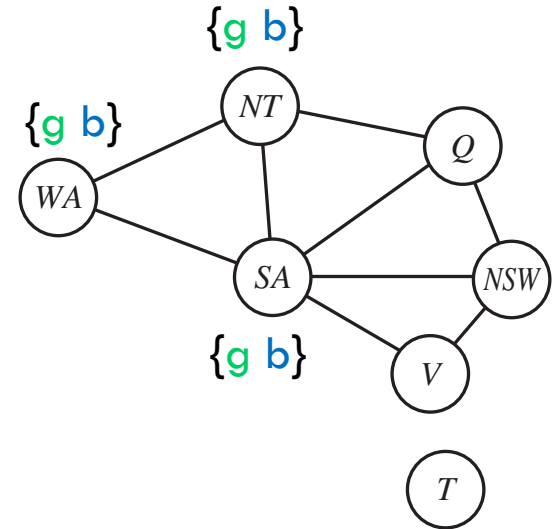
Coloring the Australia map with two colors is impossible, but arc-consistency is not able to discover it.

If we try to make the set  $\{WA, SA\}$  *path consistent* with respect to NT.

The consistent assignments for WA and SA are only two:

1.  $\{WA = \text{green}, SA = \text{blue}\}$
2.  $\{WA = \text{blue}, SA = \text{green}\}$

Neither of them is compatible with  $NT = \text{green}$  nor  $NT = \text{blue}$ , so the domains of WA and SA become empty and we can conclude that there are no solutions.



# $k$ -consistency

---

Stronger forms of consistency can be defined with the notion of  **$k$ -consistency**, a generalization of the other properties.

A CSP is  *$k$ -consistent* if, for any set of  $k - 1$  variables and for any consistent assignment to those variables, a consistent value can always be assigned to any  $k^{th}$  variable.

*1-consistency* says that, given the empty set, we can make any set of one variable consistent: this is what we called node consistency.

*2-consistency* is the same as arc consistency. For binary constraint networks.

*3-consistency* is the same as path consistency.

# Domain splitting / case analysis

---

Split a problem into a number of disjoint cases and solve each case separately. The set of solutions to the initial problem is the union of the solutions to each case.

**Example 1:** Boolean variable  $X$  with domain  $\{t, f\}$ . Solve with  $X = f$  and with  $X = t$ . Combine solutions of simpler problems or stop as soon as a solution is found.

**Example 2:**  $Dom(A) = \{1, 2, 3, 4\}$

1. A case for each value:  $A = 1, A = 2, A = 3, A = 4$  (like searching)
2. Two disjoint subsets:  $A \in \{1, 2\}$  and  $A \in \{3, 4\}$

This strategy can be combined with arc consistency.

# Variable elimination

---

The Variable Elimination (VE) strategy simplifies the network **by removing variables** (not values).

You can eliminate  $x$ , having taken into account constraints of  $x$  with other variables and obtain a simpler network.

Best understood with relational algebra.

- Consider variable  $X$  and all the constraints involving  $X$
- Compute the join of the relations expressing constraints on  $x$  and  $Y$  (the neighboring variables) then project into  $Y$ .

Continue to eliminate variables until only one variable is left.

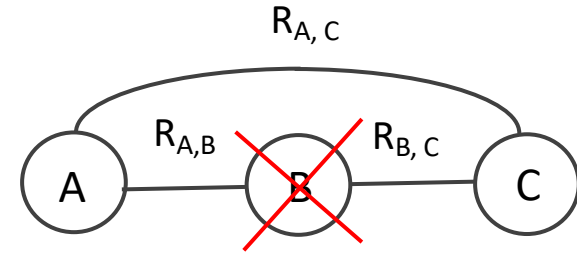
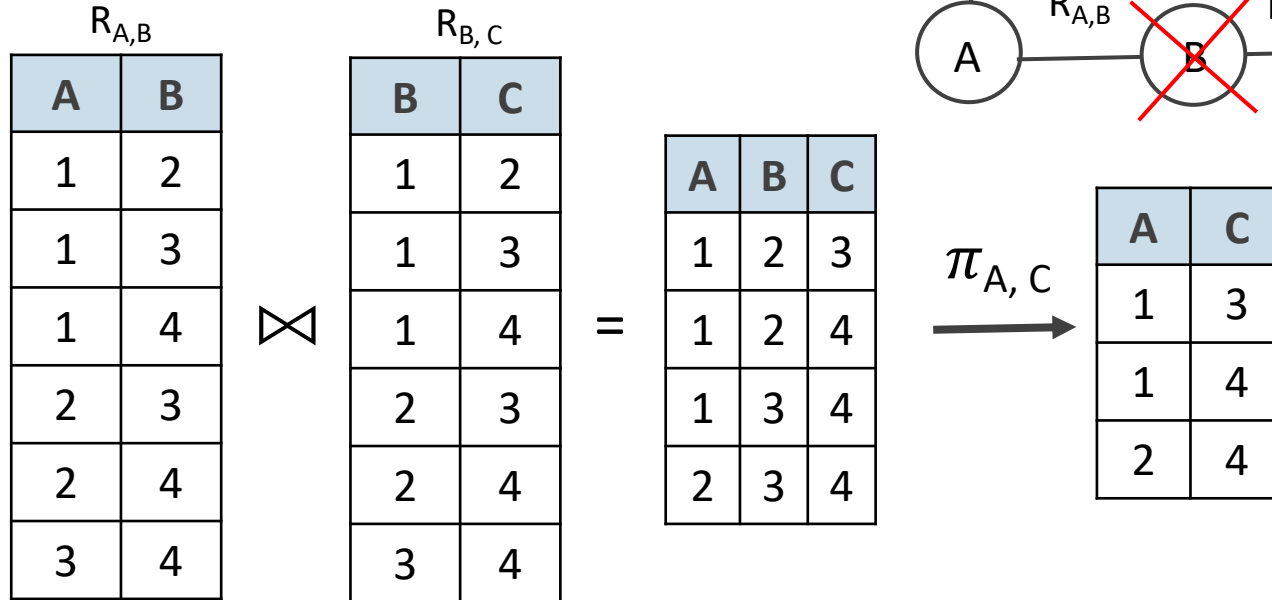
The algorithm is further described in [AI-FCA, Ch. 4.6]



# Example of Variable Elimination

Example:  $D_A = D_B = D_C = \{1, 2, 3, 4\}$

Constraints:  $A < B$  and  $B < C$ .



# Conclusions

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- ✓ We have looked at problem reduction techniques which work by enforcing local consistency properties of different strength and complexity.
- ✓ These are properties that make the problem simpler: the more effort you put, the simpler the problem becomes.
- ✓ These techniques will be used in connection with search algorithms which is the topic of the next lecture.

# References

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Stuart J. Russell and Peter Norvig. *Artificial Intelligence: A Modern Approach* (3<sup>rd</sup> edition). Pearson Education 2010 [Cap 6 – CSP]

*Handbook of Constraint Programming*, Edited by F. Rossi, P. van Beek and T. Walsh. Elsevier 2006.

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