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# **An introduction to computational complexity in algebraic geometry**

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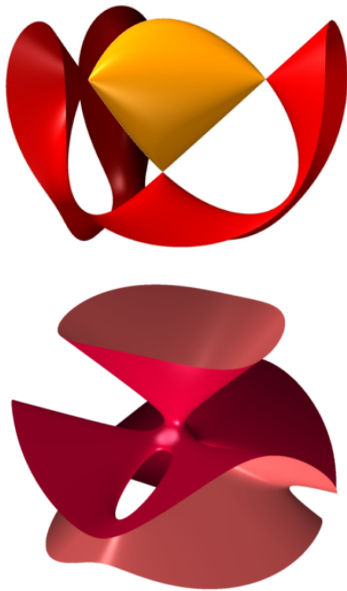
November 13, 2019

$$\begin{cases} x^5 + y^4 + z^3 = 1 \\ x^3 + y^3 + z^2 = 1 \end{cases}$$

$$\begin{cases} xy^3 + 3xyz - 5x - 2 = 0 \\ xy + 13z^9 - y^3z + 18x = 0 \\ 17x^2 - 18y^2 + 5z^3 = 0 \end{cases}$$

$$\begin{cases} 3x + 7y - 5z - 35 = 0 \\ x^2 + y^2 + z^2 - 81 = 0 \end{cases}$$

multivariable  
polynomial systems



algebraic varieties

# Polynomial rings and ideals

$R = K[x_1, \dots, x_n]$ , a **polynomial ring** over some field  $K$ .

Ex.  $R = \mathbb{Q}[x, y]$ , polynomials in  $x$  and  $y$  with rational coefficients.

$I = \langle f_1, \dots, f_k \rangle \subseteq R$ , the **ideal** generated by  $f_1, \dots, f_k \in R$ .

$$I = \langle f_1, \dots, f_k \rangle = \{a_1 f_1 + a_2 f_2 + \dots + a_n f_n : a_i \in R\}$$

" $R$ -linear combinations of the  $f_i$ " or "linear combinations of the  $f_i$  with polynomial coefficients"

$$\text{Ex. } I = \langle x^2 - y^3, xy^2 + x \rangle = \{a(x^2 - y^3) + b(xy^2 + x) : a, b \in \mathbb{Q}[x, y]\}$$

Corresponds to the system 
$$\begin{cases} x^2 - y^3 = 0 \\ xy^2 + x = 0 \end{cases}$$

Are the following two ideals equal?

$$\langle x^2 - y^3, xy^2 + x \rangle \quad \text{and} \quad \langle x^2 - y^3, xy^2 + x, x^5 + x \rangle$$

In other words, are the following two polynomial systems equivalent?

$$\begin{cases} x^2 - y^3 = 0 \\ xy^2 + x = 0 \end{cases} \quad \text{and} \quad \begin{cases} x^2 - y^3 = 0 \\ xy^2 + x = 0 \\ x^5 + x = 0 \end{cases}$$

Put a third way: is  $x^5 + x \in \langle x^2 - y^3, xy^2 + x \rangle$ ?

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Put a third way: is  $x^5 + x \in \langle x^2 - y^3, xy^2 + x \rangle$ ?

Today's talk: this problem is surprisingly hard!!

## Definition.

A **decision problem** in computer science is a class of instances, or specific inputs, on which a true-or-false statement can be evaluated.

For example, the **subset sum problem**: given a set of integers, is there a subset of them which sums to zero?

A particular instance of this problem is:

*Is there a subset of  $\{1, -3, 8, -2, 4, -13, 5\}$  that sums to zero?*

An **algorithm** for a decision problem is not the same thing as the problem itself.

For example, the “brute force” algorithm for the subset sum problem: iterate over every possible subset, sums the elements of the subset, and check if the sum is zero.

There are several ways to evaluate how efficient/practical an algorithm is, including

- ▶ **time complexity**: how much time does it take (how many steps or operations are required)
- ▶ **space complexity**: how much memory is used?

Both kinds of complexity are thought of as **functions of the input size**, and we usually focus on finding an upper bound for the **worst case**.

For example, let's evaluate the time complexity of the brute force algorithm for subset sum.

- Input size:  $n$ , the number of integers in the set.
- There are  $2^n$  subsets of a set of  $n$  elements.
- In the worst case, we check all  $2^n$  subsets.
- For each subset, we have to add at most  $n$  numbers.
- Total: no more than  $n2^n$  additions
- Complexity of this algorithm is  $\mathcal{O}(n2^n)$  ("grows no faster than a constant times  $n2^n$ ")
- This is an *exponential-time* algorithm



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As a general principle, exponential-time/exponential-space algorithms are bad (impractical, don't work on large instances) while polynomial-time/polynomial-space algorithms are good.

Examples of polynomial complexity:  $\mathcal{O}(n)$ ,  $\mathcal{O}(n^2)$ ,  $\mathcal{O}(n \log n)$ .

Audience challenge: come up with a polynomial-time algorithm for the subset sum problem.

Reward: \$1,000,000

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Alternative audience challenge: prove there is no polynomial-time algorithm for the subset sum problem.

Reward: \$1,000,000

# P=NP?

**P** = class of all decision problems that admit a polynomial-time (in the input size) algorithm.

**NP** = class of all decision problems for which a *proposed* solution can be *verified* in polynomial time.

Example: subset sum problem is in **NP**. We don't know if it's in **P**.

Millennium Prize problem: is **P=NP**?

- **P**  $\subseteq$  **NP**.
- Most computer scientists “believe” that **P**  $\neq$  **NP**.
- To prove **P**  $\neq$  **NP**, just need to prove that some particular problem in **NP**, like subset sum, cannot have a polynomial-time algorithm.
- To prove **P** = **NP**, just need to find a polynomial-time algorithm for any of dozens of **NP**-complete problem.

**P** = class of all decision problems that admit a polynomial-time (in the input size) algorithm.

**NP** = class of all decision problems for which a *proposed* solution can be *verified* in polynomial time.

**EXPTIME** = class of all decision problems which have an exponential-time algorithm

**EXPSPACE** = class of all decision problems which have an exponential-space algorithm

**$P \subseteq NP \subsetneq EXPTIME \subseteq EXPSPACE$**

# The ideal membership problem

## Instance

$R = \mathbb{Q}[x, y]$ , polynomials in  $x$  and  $y$  with rational coefficients.

$$I = \langle x^2 - y^3, xy^2 + x \rangle = \{a(x^2 - y^3) + b(xy^2 + x) : a, b \in R\}$$

Is  $x^5 + x$  an element of  $I$ ?

## General decision problem

$R = K[x_1, \dots, x_n]$ , a **polynomial ring** over some field  $K$ .

$I = \langle f_1, \dots, f_k \rangle \subseteq R$ , the **ideal** generated by  $f_1, \dots, f_k \in R$ .

Given  $f \in R$ , does  $f \in I$ ?

Let  $S$  be the subspace of  $\mathbb{R}^3$  spanned by  $\begin{bmatrix} 3 \\ -2 \\ 4 \end{bmatrix}$  and  $\begin{bmatrix} 6 \\ 2 \\ -1 \end{bmatrix}$ .

Is  $\begin{bmatrix} -3 \\ -10 \\ 14 \end{bmatrix}$  an element of  $S$ ?

Let  $S$  be the subspace of  $\mathbb{R}^3$  spanned by  $\begin{bmatrix} 3 \\ -2 \\ 4 \end{bmatrix}$  and  $\begin{bmatrix} 6 \\ 2 \\ -1 \end{bmatrix}$ .

Is  $\begin{bmatrix} -3 \\ -10 \\ 14 \end{bmatrix}$  an element of  $S$ ?

Gaussian elimination algorithm:

$$\begin{aligned}
 & \left[ \begin{array}{cc|c} 3 & 6 & -3 \\ -2 & 2 & -10 \\ 4 & -1 & 14 \end{array} \right] \rightarrow \left[ \begin{array}{cc|c} 1 & 2 & -1 \\ -2 & 2 & -10 \\ 4 & -1 & 14 \end{array} \right] \rightarrow \left[ \begin{array}{cc|c} 1 & 2 & -1 \\ 0 & 6 & -12 \\ 4 & -1 & 14 \end{array} \right] \\
 \rightarrow & \left[ \begin{array}{cc|c} 1 & 2 & -1 \\ 0 & 6 & -12 \\ 0 & -9 & 18 \end{array} \right] \rightarrow \left[ \begin{array}{cc|c} 1 & 2 & -1 \\ 0 & 1 & -2 \\ 0 & -9 & 18 \end{array} \right] \rightarrow \left[ \begin{array}{cc|c} 1 & 0 & -3 \\ 0 & 1 & -2 \\ 0 & -9 & 18 \end{array} \right] \rightarrow \left[ \begin{array}{cc|c} 1 & 0 & 3 \\ 0 & 1 & -2 \\ 0 & 0 & 0 \end{array} \right]
 \end{aligned}$$



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Gaussian elimination algorithm:

$$\left[ \begin{array}{cc|c} 3 & 6 & -3 \\ -2 & 2 & -10 \\ 4 & -1 & 14 \end{array} \right] \rightarrow \left[ \begin{array}{cc|c} 1 & 0 & 3 \\ 0 & 1 & -2 \\ 0 & 0 & 0 \end{array} \right]$$

Yes,  $\begin{bmatrix} -3 \\ -10 \\ 14 \end{bmatrix} \in S$ , because  $\begin{bmatrix} -3 \\ -10 \\ 14 \end{bmatrix} = 3 \begin{bmatrix} 3 \\ -2 \\ 4 \end{bmatrix} + (-2) \begin{bmatrix} 6 \\ 2 \\ -1 \end{bmatrix}$ .

## Complexity of Gaussian elimination

$$\begin{aligned} & \left[ \begin{array}{cc|c} 3 & 6 & -3 \\ -2 & 2 & -10 \\ 4 & -1 & 14 \end{array} \right] \rightarrow \left[ \begin{array}{cc|c} 1 & 2 & -1 \\ -2 & 2 & -10 \\ 4 & -1 & 14 \end{array} \right] \rightarrow \left[ \begin{array}{cc|c} 1 & 2 & -1 \\ 0 & 6 & -12 \\ 4 & -1 & 14 \end{array} \right] \\ \rightarrow & \left[ \begin{array}{cc|c} 1 & 2 & -1 \\ 0 & 6 & -12 \\ 0 & -9 & 18 \end{array} \right] \rightarrow \left[ \begin{array}{cc|c} 1 & 2 & -1 \\ 0 & 1 & -2 \\ 0 & -9 & 18 \end{array} \right] \rightarrow \left[ \begin{array}{cc|c} 1 & 0 & -3 \\ 0 & 1 & -2 \\ 0 & 0 & 0 \end{array} \right] \end{aligned}$$

Input:  $m$  vectors in  $\mathbb{R}^n$ .

- $m$ =number of columns
- $n$ =number of rows
- Each time we reduce/normalize a row, we do  $m$  multiplications
- Each time we add a multiple of one row to another, we do  $m$  multiplications and  $m$  additions
- For each of the  $n$  rows, we normalize at most once, and then add a multiple of that row to at most  $n - 1$  other rows

Total: at most  $n(m + (n - 1)2m)$  operations

## Complexity of Gaussian elimination

So we found that if the input is  $m$  vectors in  $\mathbb{R}^n$ , this algorithm takes at most  $n(m + (n - 1)2m) = 2n^2m - nm$  operations.

Time complexity of this Gaussian elimination algorithm is  $\mathcal{O}(n^2m)$ .

(grows no faster than a constant times  $n^2m$ )

Corollary: For  $n$  vectors in  $\mathbb{R}^n$ , the time complexity of Gaussian elimination is  $\mathcal{O}(n^3)$ .

The “subspace membership problem” is in **P**.

Consider the ideal  $I = \langle x^2 + x - 2 \rangle$  in the ring  $\mathbb{Q}[x]$ .  
Is  $x^3 + 3x^2 + 5x + 4$  an element of  $I$ ?

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Algorithm: polynomial long division.

$$\begin{array}{r}
 x^2 + x - 2 \quad | \quad \begin{array}{r}
 x^3 + 3x^2 + 5x + 4 \\
 - (x^3 + x^2 - 2x) \\
 \hline
 2x^2 + 7x + 4 \\
 - (2x^2 + 2x - 4) \\
 \hline
 5x + 8
 \end{array}
 \end{array}$$

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 \hline
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 - (x^3 + x^2 - 2x) \\
 \hline
 2x^2 + 7x + 4 \\
 - (2x^2 + 2x - 4) \\
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$$x^3 + 3x^2 + 5x + 4 = (x + 2)(x^2 + x - 2) + (5x + 8)$$

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 \end{array}$$

$$x^3 + 3x^2 + 5x + 4 = (x + 2)(x^2 + x - 2) + (5x + 8)$$

$$\Rightarrow x^3 + 3x^2 + 5x + 4 \notin \langle x^2 + x - 2 \rangle$$



## Complexity of this algorithm?

In this case, it makes sense to think of the input size as the **degree**.

$$\begin{array}{r|rrrrrr} & x & + & 1 & & & \\ x^2 & + & x & - & 2 & & \\ \hline & x^3 & + & 3x^2 & + & 5x & + & 4 \\ - & (x^3 & + & x^2 & - & 2x) & & \\ \hline & & & 2x^2 & + & 7x & + & 4 \\ - & & & (2x^2 & + & 2x & - & 4) \\ \hline & & & & & 5x & + & 8 \end{array}$$

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Polynomial long division in one variable is in **P**.

Goal: generalize the division algorithm so it works with polynomials in multiple variables.

The essential features that make the division algorithm work are:

- ▶ always knowing what the leading term of a polynomial is
- ▶ every time we subtract to get rid of the leading term, we are left with a polynomial of strictly smaller degree
- ▶ this process has to terminate because degrees are well-ordered

### Definition.

Let  $x^\alpha = x_1^{\alpha_1} x_2^{\alpha_2} \cdots x_n^{\alpha_n}$  denote an arbitrary monomial where  $\alpha$  is the vector of exponents. A *monomial order* on  $R = k[x_1, \dots, x_n]$  is a relation  $>$  on the monomials of  $R$  such that

1.  $>$  is a total ordering
2.  $>$  is a well-ordering
3. if  $x^\alpha > x^\beta$  then  $x^\gamma x^\alpha > x^\gamma x^\beta$  for any  $x^\gamma$  (i.e.,  $>$  respects multiplication).

### Example.

Lexicographic (lex) order is defined by  $\alpha > \beta$  if the leftmost nonzero component of  $\alpha - \beta$  is positive. For example, with lex order on  $\mathbb{R}[x, y, z]$ ,  $x > y > z$ ,  $xy > y^4$ , and  $xz > y^2$ .

Divide  $x^5 + x$  by the generators  $x^2 - y^3$  and  $xy^2 + x$ .

$$\begin{array}{r}
 q_1 : \quad x^3 \quad - \quad xy \\
 q_2 : \quad x^2y \quad - \quad y^2 \quad + \quad 1 \\
 \hline
 \begin{array}{r}
 x^2 \quad - \quad y^3 \\
 xy^2 \quad + \quad x
 \end{array}
 \begin{array}{r}
 x^5 \quad + \quad x \\
 (x^5 \quad - \quad x^3y^3) \\
 \hline
 x^3y^3 \quad + \quad x \\
 - \quad (x^3y^3 \quad + \quad x^3y) \\
 \hline
 -x^3y \quad + \quad x \\
 - \quad (-x^3y \quad + \quad xy^4) \\
 \hline
 -xy^4 \quad + \quad x \\
 - \quad (-xy^4 \quad - \quad xy^2) \\
 \hline
 xy^2 \quad + \quad x \\
 - \quad (xy^2 \quad + \quad x) \\
 \hline
 0
 \end{array}
 \end{array}$$

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$$\begin{array}{r}
 \begin{array}{ccccc}
 x^2 & - & y^3 & & \\
 xy^2 & + & x & & 
 \end{array}
 \begin{array}{r}
 q_1 : \quad x^3 \quad - \quad xy \\
 q_2 : \quad x^2y \quad - \quad y^2 \quad + \quad 1 \\
 \hline
 \begin{array}{r}
 x^5 \quad + \quad x \\
 (x^5 \quad - \quad x^3y^3) \\
 \hline
 x^3y^3 \quad + \quad x \\
 (x^3y^3 \quad + \quad x^3y) \\
 \hline
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 - \quad (-x^3y \quad + \quad xy^4) \\
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 xy^2 \quad + \quad x \\
 - \quad (xy^2 \quad + \quad x) \\
 \hline
 0
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 \end{array}$$

$$x^5 + x = (x^3 - xy)(x^2 - y^3) + (x^2y - y^2 + 1)(xy^2 + x)$$

Divide  $x^5 + x$  by the generators  $x^2 - y^3$  and  $xy^2 + x$ .

$$\begin{array}{r}
 \begin{array}{c} x^2 \\ xy^2 \end{array} \begin{array}{c} - \\ + \end{array} \begin{array}{c} y^3 \\ x \end{array} \\
 \hline
 \begin{array}{r}
 q_1 : \quad x^3 \quad - \quad xy \\
 q_2 : \quad x^2y \quad - \quad y^2 \quad + \quad 1 \\
 \hline
 - \quad x^5 \quad + \quad x \\
 \quad \quad (x^5 \quad - \quad x^3y^3) \\
 \hline
 \quad \quad \quad x^3y^3 \quad + \quad x \\
 \quad \quad \quad (x^3y^3 \quad + \quad x^3y) \\
 \hline
 \quad \quad \quad \quad -x^3y \quad + \quad x \\
 \quad \quad \quad \quad (-x^3y \quad + \quad xy^4) \\
 \hline
 \quad \quad \quad \quad \quad -xy^4 \quad + \quad x \\
 \quad \quad \quad \quad \quad (-xy^4 \quad - \quad xy^2) \\
 \hline
 \quad \quad \quad \quad \quad \quad xy^2 \quad + \quad x \\
 \quad \quad \quad \quad \quad \quad (xy^2 \quad + \quad x) \\
 \hline
 \quad \quad \quad \quad \quad \quad \quad \quad 0
 \end{array}
 \end{array}$$

$$x^5 + x = (x^3 - xy)(x^2 - y^3) + (x^2y - y^2 + 1)(xy^2 + x)$$

$$\Rightarrow x^5 + x \in \langle x^2 - y^3, xy^2 + x \rangle$$

### Definition.

When  $F$  is a set of polynomials and dividing  $h$  by the  $f_i \in F$  using the division algorithm leads to the remainder  $r$ , we write  $h^F \rightarrow r$  and say  $h$  reduces to  $r$ .



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### Lemma.

If  $h^F \rightarrow 0$  then  $h$  is in the ideal generated by  $F$ .

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### Lemma.

If  $h^F \rightarrow 0$  then  $h$  is in the ideal generated by  $F$ .

Unfortunately, the converse is **false**.

Using the same ideal  $I = \langle x^2 - y^3, xy^2 + x \rangle$ , note that  $(-y^2 - 1)(x^2 - y^3) + x(xy^2 + x) = y^5 + y^3$ , so  $y^5 + y^3 \in I$ . However, long division produces the nonzero remainder  $y^5 + y^3$ .

## Definition

After fixing a monomial order, every multivariate polynomial  $f$  has a well-defined leading term,  $\text{LT}_{>}(f)$ .

For an ideal  $I$ , we define  $\text{LT}_{>}(I) = \langle \text{LT}_{>}(f) : f \in I \rangle$ , the ideal generated by all leading terms of polynomials in  $I$ .

## Definition

Given a monomial order, a *Gröbner basis*  $G$  of a nonzero ideal  $I$  is a subset  $\{g_1, g_2, \dots, g_s\} \subseteq I$  such that either of the following equivalent conditions hold:

- (i)  $f^G \rightarrow 0 \iff f \in I$
- (ii)  $\langle \text{LT}_{>}(g_1), \text{LT}_{>}(g_2), \dots, \text{LT}_{>}(g_s) \rangle = \text{LT}_{>}(I)$

So to solve the ideal membership problem, we first need a Gröbner basis for our ideal.

- ▶ Good news: a finite Gröbner basis always exists.
- ▶ More good news: [Buchberger's algorithm](#) is guaranteed to correctly compute a Gröbner basis in finite time.

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- ▶ Bad news: a finite Gröbner basis can be very, very large.
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- ▶ More bad news: “finite time” can be a very, very long time!

Let's see a small example. Let  $R = \mathbb{Q}[x, y, z]$ , using lex order, and let  $I = \langle x^5 + y^4 + z^3 - 1, x^3 + y^3 + z^2 - 1 \rangle$ .

The (reduced) Gröbner basis of  $I$  has 8 polynomials. One of these 8 polynomials is on the next slide.

$$\begin{aligned}
& 69984xyz^2 - 139968xyz + 69984xy - 44425xz^{20} - 327070xz^{19} - 1278214xz^{18} - 2698855xz^{17} - 2572042xz^{16} + 2619449xz^{15} \\
& + 10650408xz^{14} + 11493837xz^{13} - 2616810xz^{12} - 16744470xz^{11} - 12049440xz^{10} + 4801407xz^9 + 8854766xz^8 + 1892306xz^7 - \\
& 1980847xz^6 + 17496xz^5 - 34992xz^4 + 17496xz^3 - 69984xz^2 + 139968xz - 69984x - 44425y^{14}z^{11} + 339305y^{14}z^{10} + \\
& 1628711y^{14}z^9 + 3000105y^{14}z^8 + 2743338y^{14}z^7 + 437586y^{14}z^6 - 3228714y^{14}z^5 - 6067476y^{14}z^4 - 5393667y^{14}z^3 - \\
& 1569800y^{14}z^2 + 632812y^{14}z + 501226y^{14} + 444250y^{13}z^{11} + 1671400y^{13}z^{10} + 3140020y^{13}z^9 + 4046756y^{13}z^8 + 3960482y^{13}z^7 + \\
& 1782242y^{13}z^6 - 2836264y^{13}z^5 - 6324094y^{13}z^4 - 5404156y^{13}z^3 - 1661654y^{13}z^2 + 685300y^{13}z + 474982y^{13} \\
& 266550y^{12}z^{12} + 718520y^{12}z^{11} + 910274y^{12}z^{10} + 1263518y^{12}z^9 + 3116408y^{12}z^8 + 5071808y^{12}z^7 + 3440132y^{12}z^6 - \\
& 2194496y^{12}z^5 - 6620078y^{12}z^4 - 5499938y^{12}z^3 - 1622288y^{12}z^2 + 632812y^{12}z + 501226y^{12} - 88850y^{11}z^{13} + 1744810y^{11}z^{12} + \\
& 7686377y^{11}z^{11} + 11626231y^{11}z^{10} + 4404103y^{11}z^9 - 11359143y^{11}z^8 - 25510554y^{11}z^7 - 28425462y^{11}z^6 - 9426528y^{11}z^5 + \\
& 21880364y^{11}z^4 + 29810057y^{11}z^3 + 10350756y^{11}z^2 - 3181556y^{11}z - 2497382y^{11} + 44425y^{10}z^{14} + 1881945y^{10}z^{13} + \\
& 6728289y^{10}z^{12} + 9990070y^{10}z^{11} + 7801347y^{10}z^{10} + 2153415y^{10}z^9 - 9140507y^{10}z^8 - 29133398y^{10}z^7 - 36482669y^{10}z^6 - \\
& 11662110y^{10}z^5 + 22936006y^{10}z^4 + 29956543y^{10}z^3 + 10775034y^{10}z^2 - 3478988y^{10}z - 2348666y^{10} + 888500y^9z^{14} + \\
& 1921200y^9z^{13} - 187950y^9z^{12} - 1596036y^9z^{11} + 7831314y^9z^{10} + 19135710y^9z^9 + 5385232y^9z^8 - 31118752y^9z^7 - \\
& 46554784y^9z^6 - 15507324y^9z^5 + 24599634y^9z^4 + 30284550y^9z^3 + 10578204y^9z^2 - 3111572y^9z - 2532374y^9 - 44425y^8z^{15} + \\
& 2915955y^8z^{14} + 11847046y^8z^{13} + 15235952y^8z^{12} - 1342215y^8z^{11} - 26096155y^8z^{10} - 40336258y^8z^9 - 38148698y^8z^8 - \\
& 1947773y^8z^7 + 58365386y^8z^6 + 63744949y^8z^5 + 662692y^8z^4 - 35221464y^8z^3 - 15349894y^8z^2 + 3269036y^8z + 2453642y^8 + \\
& 88850y^7z^{16} + 2697690y^7z^{15} + 9160898y^7z^{14} + 9782204y^7z^{13} - 505866y^7z^{12} - 8841150y^7z^{11} - 16474014y^7z^{10} - \\
& 39769140y^7z^9 - 41664378y^7z^8 + 21330064y^7z^7 + 86946000y^7z^6 + 61819454y^7z^5 - 20296984y^7z^4 - 53124800y^7z^3 - \\
& 20474824y^7z^2 + 5569880y^7z + 3756116y^7 - 44425y^6z^{17} + 783555y^6z^{16} + 456911y^6z^{15} - 7506655y^6z^{14} - 12668822y^6z^{13} + \\
& 10778914y^6z^{12} + 40955979y^6z^{11} + 11243399y^6z^{10} - 68036522y^6z^9 - 80668677y^6z^8 + 18392944y^6z^7 + 105699982y^6z^6 + \\
& 68420946y^6z^5 - 23355948y^6z^4 - 52987825y^6z^3 - 20457328y^6z^2 + 4905032y^6z + 4088540y^6 + 1954700y^5z^{16} + \\
& 7105380y^5z^{15} + 5800536y^5z^{14} - 10997536y^5z^{13} - 25975004y^5z^{12} - 24957052y^5z^{11} - 17808216y^5z^{10} + 12529572y^5z^9 + \\
& 73310048y^5z^8 + 73580532y^5z^7 - 21170564y^5z^6 - 74140892y^5z^5 - 25675184y^5z^4 + 18268092y^5z^3 + 10504900y^5z^2 - \\
& 1388096y^5z - 941216y^5 + 44425y^4z^{18} + 1526545y^4z^{17} + 4467129y^4z^{16} + 2647468y^4z^{15} - 3245086y^4z^{14} + 1090138y^4z^{13} + \\
& 899768y^4z^{12} - 36144372y^4z^{11} - 61462426y^4z^{10} + 7525711y^4z^9 + 103064435y^4z^8 + 82980083y^4z^7 - 28598633y^4z^6 - \\
& 75995106y^4z^5 - 25336908y^4z^4 + 18247517y^4z^3 + 10793584y^4z^2 - 1738016y^4z - 766256y^4 - 88850y^3z^{19} - 321908y^3z^{18} - \\
& 1842423y^3z^{17} - 9605029y^3z^{16} - 10602997y^3z^{15} + 20587543y^3z^{14} + 54430174y^3z^{13} + 13274582y^3z^{12} - 82735585y^3z^{11} - \\
& 89174777y^3z^{10} + 37559804y^3z^9 + 127272309y^3z^8 + 49867042y^3z^7 - 74430398y^3z^6 - 79475920y^3z^5 - 1372204y^3z^4 + \\
& 36291181y^3z^3 + 15564220y^3z^2 - 2796644y^3z - 2689838y^3 + 444250y^2z^{18} + 1227150y^2z^{17} - 752630y^2z^{16} - \\
& 7006014y^2z^{15} - 8783974y^2z^{14} - 1436450y^2z^{13} + 4950830y^2z^{12} + 13378064y^2z^{11} + 30957924y^2z^{10} + 21952328y^2z^9 - \\
& 30170622y^2z^8 - 52627432y^2z^7 - 9384858y^2z^6 + 26513908y^2z^5 + 15113990y^2z^4 - 2001554y^2z^3 - 2374910y^2z^2 + \\
& 266550y^2z + 451970y^2 - 1140996y^2z^{18} - 2972806y^2z^{17} + 1218640y^2z^{16} + 3837574y^2z^{15} - 11018460y^2z^{14} - 21334144y^2z^{13} + \\
& 12799086y^{11} + 55234262y^{10} + 29962348y^9 - 39724286y^8 - 56881602y^7 - 8218528y^6 + 26664768y^5 + 15232088y^4 - \\
& 2010302y^3 - 2436146y^2 + 139968y - 69984y - 44425z^{21} - 193795z^{20} - 1274354z^{19} - 3527528z^{18} - 1109995z^{17} + \\
& 13565093z^{16} + 22302653z^{15} - 7954033z^{14} - 5603067z^{13} - 42908546z^{12} + 42041860z^{11} + 91874913z^{10} + 31327296z^9 - \\
& 57432520z^8 - 63266461z^7 - 5375344z^6 + 27268337z^5 + 14641598z^4 - 1214234z^3 - 2619854z^2 - 139968z + 69984
\end{aligned}$$

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**Where do we go from here?**

- ▶ Refine Gröbner basis algorithms
  - ▶ Faugère's F4 and F5 algorithms, FGLM
  - ▶ Specialized architecture for specific applications (e.g. Zuzana Kúkelová in computer vision)
  - ▶ Machine learning used to train a computer to pick  $S$ -pairs in an optimal way (Dylan Peifer and Mike Stillman)
- ▶ Beyond the worst case
  - ▶ Average case complexity of G.B. for polynomials with generic coefficients (Faugère et al)
  - ▶ Algorithms for polynomial systems with special combinatorial structure (e.g. Diego Cifuentes and Pablo Parrilo)
  - ▶ Probabilistic analysis of monomial ideals (Daniel Erman and Jay Yang, De Loera-Hoşten-Krone-Silverstein, De Loera-Petrović-Stasi-Silverstein-Wilburne, Silverstein-Yang-Wilburne)
- ▶ Ask different questions
  - ▶ e.g., find the dimension, projective dimension, regularity, Hilbert series, etc. of a variety rather than a complete description (all still **NP**-hard)
  - ▶ Machine learning used to train a computer to compute Hilbert series in an optimal way (De Loera-Krone-Silverstein-Zhao)

★ Huge acknowledgment to Dylan Peifer for letting me use a few of his slides (especially the long division ones!)



## Definition

Let  $S(f, g) = \frac{x^\gamma}{\text{LT}_{>}(f)}f - \frac{x^\gamma}{\text{LT}_{>}(g)}g$  where  $x^\gamma$  is the least common multiple of the leading terms of  $f$  and  $g$ . This is the *S-polynomial* of  $f$  and  $g$ , where  $S$  stands for syzygy.

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## Example

$$\begin{aligned} S(x^2 - y^3, xy^2 + x) &= \frac{x^2y^2}{x^2}(x^2 - y^3) - \frac{x^2y^2}{xy^2}(xy^2 + x) \\ &= y^2(x^2 - y^3) - x(xy^2 + x) \\ &= -x^2 - y^5 \end{aligned}$$

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## Theorem (Buchberger's Criterion)

Let  $G = \{g_1, g_2, \dots, g_s\}$  generate some ideal  $I$ . If  $S(g_i, g_j)^G \rightarrow 0$  for all pairs  $g_i, g_j$  then  $G$  is a Gröbner basis of  $I$ .

## Algorithm 1 Buchberger's Algorithm

---

**input** a set of polynomials  $\{f_1, \dots, f_k\}$

**output** a Gröbner basis  $G$  of  $I = \langle f_1, \dots, f_k \rangle$

**procedure** BUCHBERGER( $\{f_1, \dots, f_k\}$ )

$G \leftarrow \{f_1, \dots, f_k\}$

▷ the current basis

$P \leftarrow \{(f_i, f_j) \mid 1 \leq i < j \leq k\}$

▷ the remaining pairs

**while**  $|P| > 0$  **do**

$(f_i, f_j) \leftarrow \text{select}(P)$

$P \leftarrow P \setminus \{(f_i, f_j)\}$

$r \leftarrow S(f_i, f_j)^G$

**if**  $r \neq 0$  **then**

$P \leftarrow P \cup \{(f, r) : f \in G\}$

$G \leftarrow G \cup \{r\}$

**end if**

**end while**

**return**  $G$

**end procedure**

---



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*initialize  $P$  to  $\{(x^2 - y^3, xy^2 + x)\}$*

*select  $(x^2 - y^3, xy^2 + x)$  and compute  $S(x^2 - y^3, xy^2 + x)^G \rightarrow -y^5 - y^3$*

*update  $G$  to  $\{x^2 - y^3, xy^2 + x, -y^5 - y^3\}$*

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*return  $G = \{x^2 - y^3, xy^2 + x, -y^5 - y^3\}$*