

# Improved low count quantitative SPECT reconstruction with a trained deep-learning based regularizer

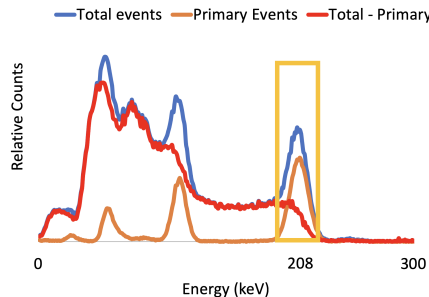
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# Benefits of improving low-count SPECT

- 1 Reduce scan duration: shorter scans
- 2 Enable pre-therapy theranostic imaging with radionuclides:
  - Y-90 (low bremsstrahlung yield)
  - Lu-177 (208 keV gamma: 10%)
- 3 Enable Whole Body SPECT. Currently not practical because of the long scan time.



LU-177 Energy Spectra

# Approaches to low-count imaging

- Post-reconstruction filtering (used in clinic):  
Clinical choice is 3D 5-8mm FWHM Gaussian filter<sup>1</sup>.
- Add regularization term to cost function:

$$\hat{\mathbf{x}} = \arg \min_{\mathbf{x} \geq 0} f(\mathbf{x}) + R(\mathbf{x}),$$

where  $R(\mathbf{x})$  is a regularization term.

- Two families of  $R(\mathbf{x})$ :
  - 1 Mathematically designed regularizer
  - 2 Learned (trained) regularizer

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<sup>1</sup>Carlier, Thomas, et al. "90YPET imaging: Exploring limitations and accuracy under conditions of low counts and high random fraction." Medical physics 42.7 (2015): 4295-4309.

# BCD-Net problem formulation

- BCD-Net<sup>2</sup> is inspired by following **sparsity**-based regularization with **trained convolutional** filters:

$$\hat{\mathbf{x}} = \arg \min_{\mathbf{x}} \min_{\mathbf{z}} f(\mathbf{x}) + \mathbf{R}(\mathbf{x}, \mathbf{z}) = \arg \min_{\mathbf{x}} \min_{\mathbf{z}} f(\mathbf{x}) + \beta \left( \sum_{k=1}^K \|\mathbf{c}_k * \mathbf{x} - \mathbf{z}_k\|_2^2 + \alpha_k \|\mathbf{z}_k\|_1 \right),$$

- Block Coordinate Descent (BCD) algorithm alternatively updates  $\{\mathbf{z}_k : \mathbf{z}_1, \dots, \mathbf{z}_K\}$  and  $\mathbf{x}$ . Then variable  $\{\mathbf{z}_k\}$  and  $\mathbf{x}$  updates become equivalent to following variable  $\mathbf{u}$  and  $\mathbf{x}$  updates with trained encoding, decoding filters  $\{\mathbf{c}_k\}, \{\mathbf{d}_k\}$  and soft-thresholding values  $\{\alpha_k\}$ :

$$\mathbf{u}^{(n+1)} = \sum_{k=1}^K \mathbf{d}_k^{(n+1)} * \left( \mathcal{T}(\mathbf{c}_k^{(n+1)} * \mathbf{x}^{(n)}, \alpha_k^{(n+1)}) \right)$$

$$\mathbf{x}^{(n+1)} = \arg \min_{\mathbf{x}} f(\mathbf{x}) + \beta \left\| \mathbf{x} - \mathbf{u}^{(n+1)} \right\|_2^2.$$

<sup>2</sup>Lim, H., Chun, I. Y., Dewaraja, Y. K., Fessler, J. A. (2019). Improved low-count quantitative PET reconstruction with a variational neural network. arXiv preprint arXiv:1906.02327.

# Adaptive BCD-Net: 1. Normalization and scaling

- It is important for trained methods to be able to generalize to a wide range of count levels.
- We propose normalization and scaling scheme:

$$\mathbf{u}^{(n+1)} = \sum_{k=1}^K \mathbf{d}_k^{(n+1)} * \left( \mathcal{T}_{\alpha_k^{(n+1)}} \left( \mathbf{c}_k^{(n+1)} * \mathbf{g}_1(\mathbf{x}^{(n)}) \right) \right)$$

$$\mathbf{x}^{(n+1)} = \arg \min_{\mathbf{x} \geq \mathbf{0}} f(\mathbf{x}) + \beta \left\| \mathbf{x} - \mathbf{g}_2(\mathbf{u}^{(n+1)}) \right\|_2^2,$$

where the normalization function  $g_1(\cdot)$  is defined by  $g_1(\mathbf{v}) := \frac{1}{\sum_j \bar{v}_j} \bar{\mathbf{v}}$  ( $\mathbf{1}^T g_1(\mathbf{v}) = 1$ ), and the scaling function  $g_2(\cdot)$  is defined by  $g_2(\mathbf{v}) := \hat{s} \mathbf{v}$  with  $\hat{s} = \arg \min_s f(s \cdot \mathbf{v})$ .

## Adaptive BCD-Net: 2. Adaptive regularization parameter selection

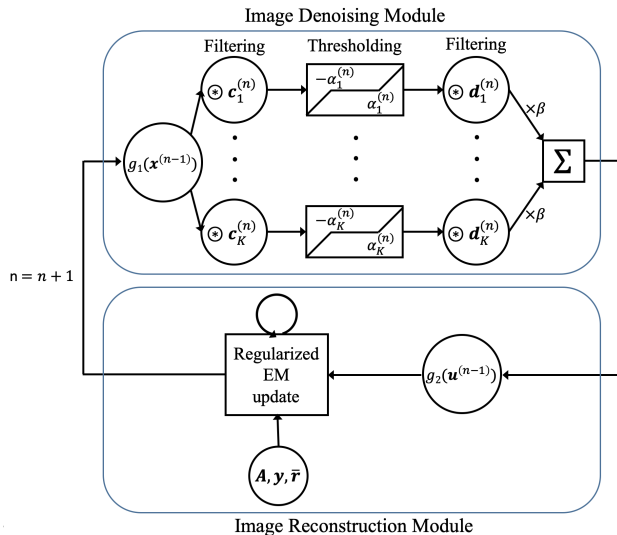
- We set the  $\beta$  value based on evaluation on current gradients of data-fidelity term and regularization term:

$$\beta^{(n')} = \frac{\|\nabla_{\mathbf{x}} f(\mathbf{x}^{(n')})\|_2}{\|\nabla_{\mathbf{x}} R(\mathbf{x}^{(n')})\|_2} \cdot c$$

where  $c$  is a constant specifying how we balance between the data-fidelity term and regularization term and  $n'$  denotes  $n'$ th iteration in  $\mathbf{x}$ -update.

→ Regularization parameter value is adaptively chosen for each patient data.

# Architecture of BCD-Net



## Related works

- Mathematically designed regularizer **TV (Total Variation)** improved low-count SPECT<sup>3</sup>.
- Many related works use single image denoising (deep) neural network (e.g., **U-Net**) as a **post-reconstruction processing** (input: noisy image, output: clean image).

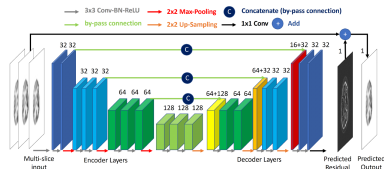


Fig. 2. Overall architecture of our proposed network.

## U-Net architecture<sup>4</sup>

- We implemented non-recurrent (single forward pass) **3-D version of U-Net**.

<sup>3</sup>Wolf, Paul A., et al. "Few-view single photon emission computed tomography (SPECT) reconstruction based on a blurred piecewise constant object model." *Physics in Medicine Biology* 58.16 (2013): 5629.

<sup>4</sup>Xu, Junshen, et al. "200x low-dose pet reconstruction using deep learning." *arXiv preprint arXiv:1712.04119* (2017).



## Experimental setting: Training data

- We used three Lu-177 patient studies with multiple acquisitions on a Symbia SPECT/CT.
- We **resampled**<sup>5</sup> the high-count (**25-minutes scan**) measurement data with Poisson resampling factor of **1/9** and **1/25** to generate **3-minutes** and **1-minute** equivalent scans.
- Total number of high-count (25 minutes) scans: 10
- Total number of low-count realizations: 20 (10 scans  $\times$  2 count-level).

**Table:** Primary counts range in training dataset: 3 patients (patient A, B, C) studies with multiple acquisition time points

Data	Count-level	Day0	Day5
Patient A,B,C	High (25 minutes scan)	12.3M - 26.5M	2.7M - 6.1M
	Low (3 minutes scan)	2.2M - 2.9M	410K - 680K
	Low (1 minute scan)	800K - 1.1M	150K - 250K

<sup>5</sup>White, Duncan, and Richard S. Lawson. "A Poisson resampling method for simulating reduced counts in nuclear medicine images." Physics in Medicine Biology 60.9 (2015): N167.

## Experimental setting: Testing data

- We used the following Lu-177 phantom and patient studies:
  - Measurement with hot spheres (lesions) in the warm liver of a torso-phantom
  - Measurement with six hot spheres (2,4,8,16,30 and 113 mL) in a warm background
  - Two patient studies not used for training
- We resampled the high-count measurement data with Poisson resampling factor of  $1/9$  and  $1/25$  to generate low-count measurements:
  - Generates 5 realizations with each measurement to assess the noise across realizations.

**Table:** Primary counts range in testing dataset: 2 phantom studies and 1 patient (patient D, E) study

Data	Primary counts
Patient D	110 - 303K
Patient E	81K - 224K
Liver phantom	370K - 1.0M
Sphere phantom	1.3M - 3.7M

## BCD-Net training details

- $\mathbf{x}^{(0)}$ : An image estimated with EM algorithm using 50 iterations
- 5 outer loop
- 10 inner-iterations in  $\mathbf{x}$ -update
- 3D Filters and soft-thresholding values are trained with
  - **Pytorch** deep-learning library
  - ADAM optimization
  - epoch number: 500, mini-batch size: one 3-D image ( $128 \times 128 \times 81$ )
  - Learning rate: Encoding( $1e3$ ), Decoding( $1e0$ ), Thresholding( $1e5$ )
  - Learning rate decay method is used ( $lr = lr \times 0.9$  every 20 epoch)
  - Filter size:  $3 \times 3 \times 3$ , Filter number (K):  $3^5$

# Evaluation metrics

- Activity Recovery:

$$AR = \frac{\text{Estimated } C_{VOI}}{\text{True } C_{VOI}} \times 100(\%),$$

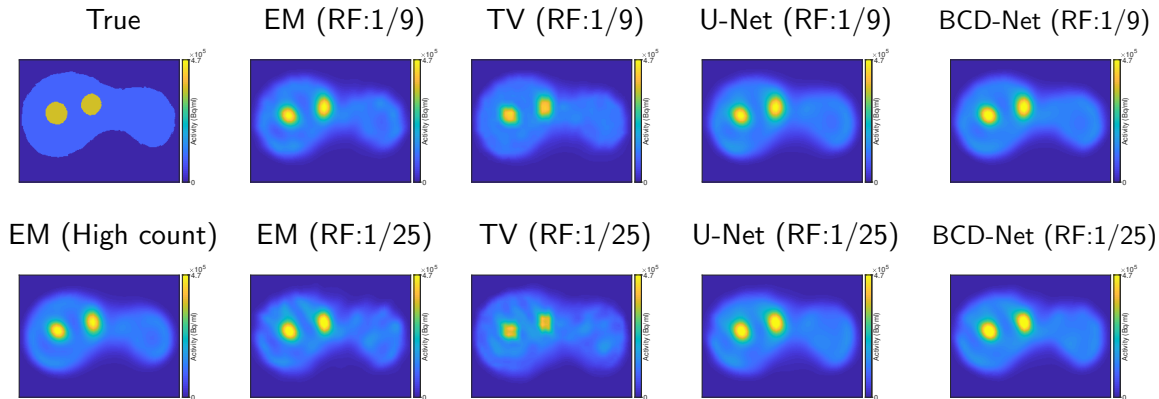
where  $C_{VOI}$  is mean counts in the volume of interest (VOI)

- Image-ensemble-noise across realizations:

$$\text{Noise} = \frac{\sqrt{\frac{1}{J_{BKG}} \sum_{j \in BKG} \left( \frac{1}{M-1} \sum_{m=1}^M (\hat{x}_m[j] - \frac{1}{M} \sum_{m'=1}^M \hat{x}_{m'}[j])^2 \right)}}{\frac{1}{J_{BKG}} \sum_{j \in BKG} \frac{1}{M} \sum_{m=1}^M \hat{x}_m[j]} \times 100 \, \%.$$

where  $M$  is total number of realizations and  $J_{BKG}$  is the total number of voxels in uniform background region.

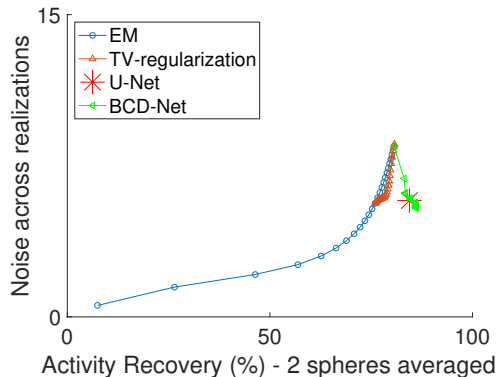
# Visual comparison: Liver phantom study



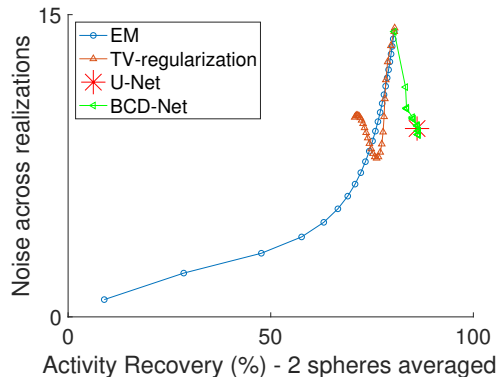
\* RF: Resampling factor

## Quantitative evaluation result: Liver phantom study

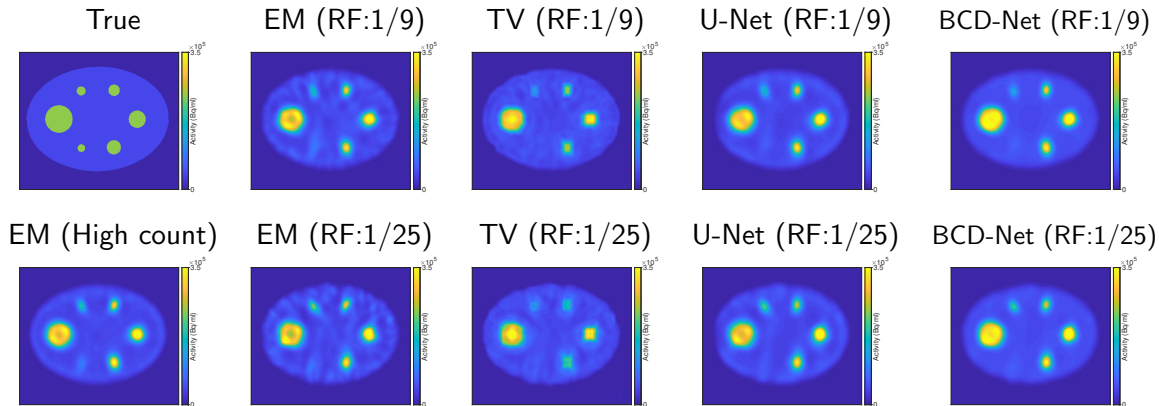
Resampling factor: 1/9



Resampling factor: 1/25



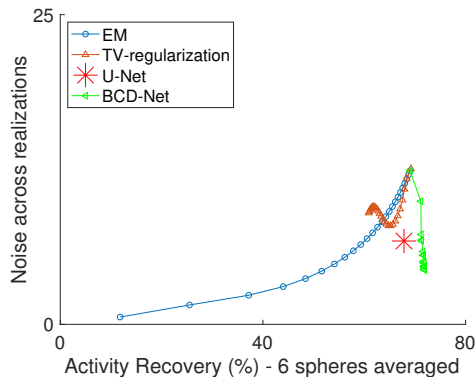
# Visual comparison: Sphere phantom study



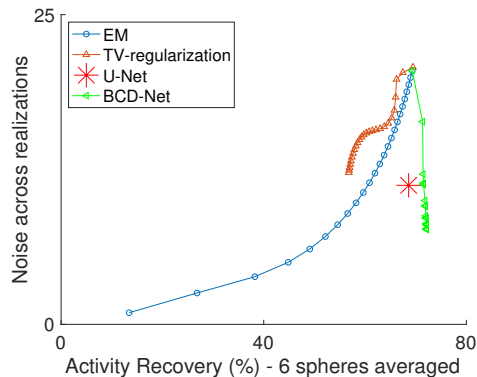
\* RF: Resampling factor

## Quantitative evaluation result: Sphere phantom study

Resampling factor: 1/9



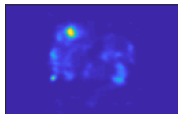
Resampling factor: 1/25



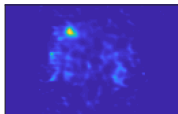


## Visual comparison (coronal view): Patient D day5 study

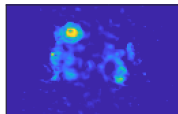
EM (High count)



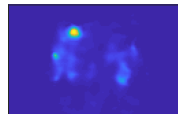
EM (RF:1/9)



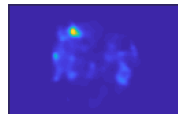
TV (RF:1/9)



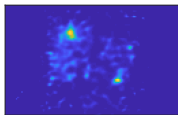
U-Net (RF:1/9)



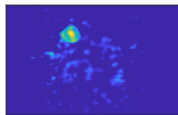
BCD-Net (RF:1/9)



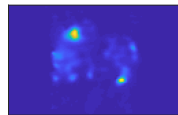
EM (RF:1/25)



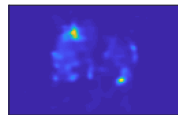
TV (RF:1/25)



U-Net (RF:1/25)



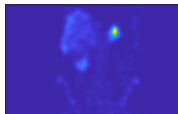
BCD-Net (RF:1/25)



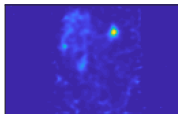
\* RF: Resampling factor

## Visual comparison (coronal view): Patient E day8 study

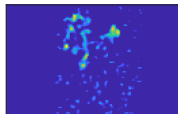
EM (High count)



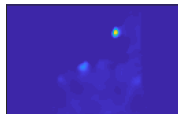
EM (RF:1/9)



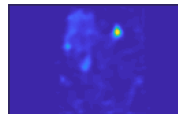
TV (RF:1/9)



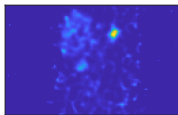
U-Net (RF:1/9)



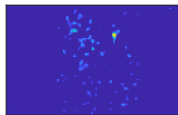
BCD-Net (RF:1/9)



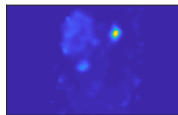
EM (RF:1/25)



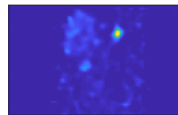
TV (RF:1/25)



U-Net (RF:1/25)



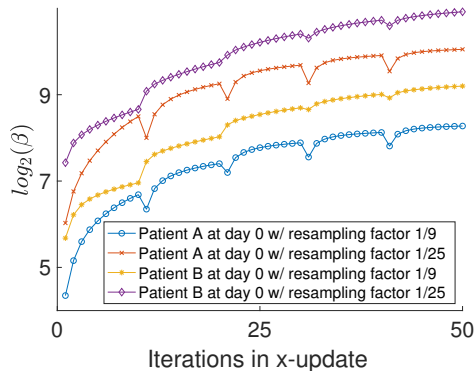
BCD-Net (RF:1/25)



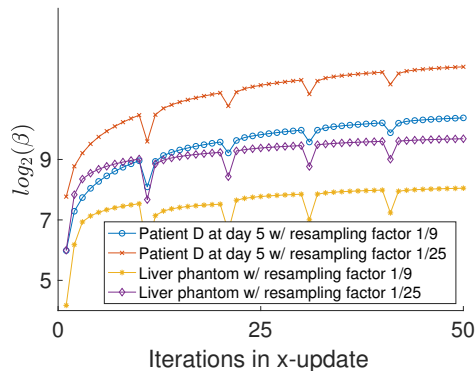
\* RF: Resampling factor

# Efficacy of adaptive regularization parameter selection scheme

Training



Testing



- We set  $c = 0.07$  and regularization parameter value is adaptively chosen based on the count-level (more regularization when count-level is lower).

## Summary & Acknowledgement

- BCD-Net improved **activity recovery** for a hot sphere and reduced **noise at the same time**.
- **BCD-Net** showed **robustness** to noise-level (count-level).
- BCD-Net has 67K  $((3 \times 3 \times 3 \times 243 \times 2 + 243) \times 5)$  trainable parameters whereas U-Net has 40M trainable parameters ( $\sim 600 \times$  BCD-Net).
- The performance of U-Net was slightly worse than that of BCD-Net in quantitative evaluation on phantom studies. Moreover, **U-Net** had a test case (patient E study with RF 1/9) showing **non-robust generalization** performance.
- Imaging with **1/9** reduction in counts will enable a **3-4 min** SPECT acquisition compared to current standard 25-30 min scan, considerably reducing imaging burden to patient and clinic. Also possibility for **whole body** SPECT with 3 acquisitions.
- Imaging with **1/25** reduction in counts will enable **pre-therapy diagnostic** Lu-177 SPECT with tracer quantities (5-10 mCi).
- We acknowledge Jeremy Niedbala, Gerrid Rosebush for all help in measurements
- This work is supported by NIH(NIBIB) grant R01EB022075

# Thank You

- Slides are here:  
<https://limhongki.github.io>
- Derivation details:  
Hongki Lim, et al. (2019). Improved low-count quantitative PET reconstruction with a variational neural network. arXiv preprint arXiv:1906.02327.