

# Priority Queues



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## Priority Queue ADT

- ❑ A priority queue stores a collection of entries
- ❑ Each **entry** is a pair
- ❑ Main methods of the Priority Queue ADT
  - **insert(k, v)** inserts an entry with key k and value v
  - **removeMin()** removes and returns the entry with smallest key, or null if the the priority queue is empty
- ❑ Additional methods
  - **min()** returns, but does not remove, an entry with smallest key, or null if the priority queue is empty
  - **size(), isEmpty()**
- ❑ Applications:
  - Standby flyers
  - Auctions
  - Stock market

# Example

- A sequence of priority queue methods:

Method	Return Value	Priority Queue Contents
insert(5,A)		{ (5,A) }
insert(9,C)		{ (5,A), (9,C) }
insert(3,B)		{ (3,B), (5,A), (9,C) }
min()	(3,B)	{ (3,B), (5,A), (9,C) }
removeMin()	(3,B)	{ (5,A), (9,C) }
insert(7,D)		{ (5,A), (7,D), (9,C) }
removeMin()	(5,A)	{ (7,D), (9,C) }
removeMin()	(7,D)	{ (9,C) }
removeMin()	(9,C)	{ }
removeMin()	null	{ }
isEmpty()	true	{ }

# Total Order Relations

- Keys in a priority queue can be arbitrary objects on which an order is defined
- Two distinct entries in a priority queue can have the same key
- Mathematical concept of total order(r=or linear order) relation  $\leq$ 
  - **Comparability** property: either  $x \leq y$  or  $y \leq x$
  - **Antisymmetric** property:  $x \leq y$  and  $y \leq x \Rightarrow x = y$
  - **Transitive** property:  $x \leq y$  and  $y \leq z \Rightarrow x \leq z$

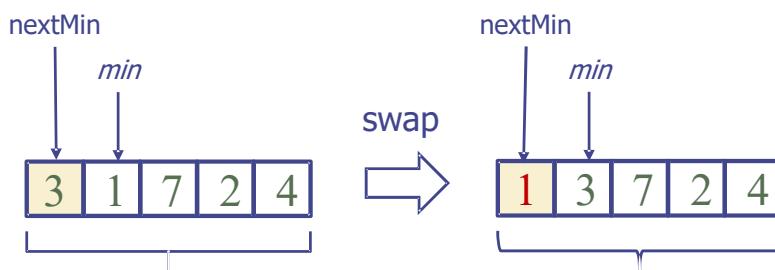
# Entry ADT

- An entry in a priority queue is simply a key-value pair
- Priority queues store entries to allow for efficient insertion and removal based on keys
- Methods:
  - **getKey**: returns the key for this entry
  - **getValue**: returns the value associated with this entry

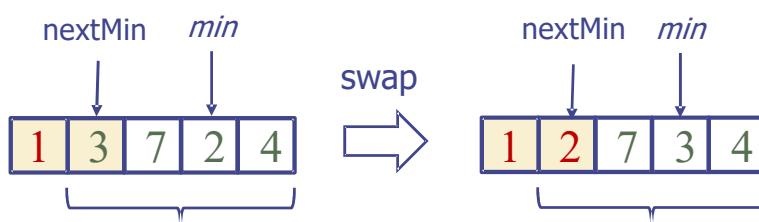
- As a Java interface:

```
/*
 * Interface for a key-value
 * pair entry
 */
public interface Entry<K,V>
{
    K getKey();
    V getValue();
}
```

# Selection Sort

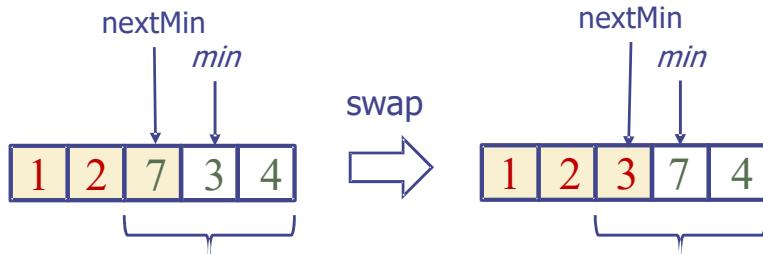


Find index of the smallest

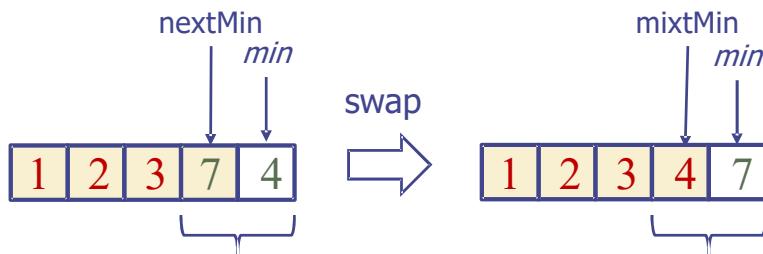


Find index of the smallest

# Selection Sort



Find index of the smallest



Find index of the smallest

# Selection Sort

```

public static void sort(int[] xs) {
    for (int nextMin = 0; nextMin < xs.length - 1; nextMin++) {
        int min = nextMin;
        for (int i = nextMin + 1; i < xs.length; i++) {
            if (xs[i] < xs[min]) {
                min = i;
            }
        }
        swap(xs, nextMin, min);
    }
}

private static void swap(int[] xs, int i, int j) {
    int temp = xs[i];
    xs[i] = xs[j];
    xs[j] = temp;
}

```

# Java.lang.Comparable

```
public interface Comparable<T> {  
    int compareTo(T o)  
}
```

- **compareTo(o):** returns an integer *i* such that
  - *i < 0* if *this < o*,
  - *i = 0* if *this = o*
  - *i > 0* if *this > o*
  - An error occurs if “this” and “o” cannot be compared.

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```
public class SelectionComparableSort {  
    public static <T extends Comparable<T>> void sort(T[] xs) {  
        for (int nextMin = 0; nextMin < xs.length - 1; nextMin++) {  
            int min = nextMin;  
            for (int i = nextMin + 1; i < xs.length; i++) {  
                if (xs[i].compareTo(xs[min]) < 0){  
                    min = i;  
                }  
            }  
            swap(xs, nextMin, min);  
        }  
    }  
    private static <T> void swap(T[] xs, int i, int j){  
        T temp = xs[i]; xs[i] = xs[j]; xs[j] = temp;  
    }  
    public static void main(String[] args) {  
        Integer[] xs = {4, 5, 7, 9, 3, 8, 2};  
        sort(xs);  
        System.out.println(Arrays.toString(xs));  
    }  
}
```

# Point2D

```

/**
 * Class representing a point in the plane with integer coordinates
 */
class Point2D {
    private int xc, yc; // coordinates

    public Point2D(int x, int y) {
        xc = x;
        yc = y;
    }

    public int getX() {
        return xc;
    }

    public int getY() {
        return yc;
    }
}

```

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# Solution 1.

`static <T extends Comparable<T>> void sort(T[] xs)`

```

class Point2D implements Comparable<Point2D> {
    private int x, y; // coordinates

    public Point2D(int x, int y) {
        this.x = x; this.y = y;
    }

    public int getX() { return x; }
    public int getY() { return y; }

    /**
     * Compare Point2Ds under the standard lexicographic order
     */
    @Override
    public int compareTo(Point2D other) {
        if (getX() != other.getX())
            return getX() - other.getX();
        else
            return getY() - other.getY();
    }
}

```

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# Comparator ADT

- ❑ A comparator encapsulates the action of comparing two objects according to a given total order relation
- ❑ A generic priority queue uses an auxiliary comparator
- ❑ The comparator is external to the keys being compared
- ❑ When the priority queue needs to compare two keys, it uses its comparator
- ❑ Primary method of the Comparator ADT
- ❑ **compare(x, y)**: returns an integer i such that
  - $i < 0$  if  $a < b$ ,
  - $i = 0$  if  $a = b$
  - $i > 0$  if  $a > b$
  - An error occurs if a and b cannot be compared.

## Java.util.Comparator

```
public interface Comparator<T> {  
    int compare(T o1, T o2)  
}
```

- ❑ **compare(x, y)**: returns an integer i such that
  - $i < 0$  if  $a < b$ ,
  - $i = 0$  if  $a = b$
  - $i > 0$  if  $a > b$
  - An error occurs if a and b cannot be compared.

# Selection Sort using Comparator

```

static <T> void sort(T[] xs, Comparator<T> comp) {
    for (int nextMin = 0; nextMin < xs.length - 1; nextMin++) {
        int min = nextMin;
        for (int i = nextMin + 1; i < xs.length; i++) {
            if (comp.compare(xs[i], xs[min]) < 0) {
                min = i;
            }
        }
        swap(xs, nextMin, min);
    }
}

static <T> void swap(T[] xs, int i, int j) {
    T temp = xs[i];
    xs[i] = xs[j];
    xs[j] = temp;
}

```

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## Solution 2.

```

class LexicoGraphic implements Comparator<Point2D> {
    @Override
    public int compare(Point2D o1, Point2D o2) {
        if (o1.getX() != o2.getX())
            return o1.getX() - o2.getX();
        else
            return o1.getY() - o2.getY();
    }
}

public static void main(String[] args) {
    Point2D[] xs = new Point2D[] {
        new Point2D(3, 5), new Point2D(5, 2),
        new Point2D(3, 3), new Point2D(7, 3)
    };
    sort(xs, new LexicoGraphic());
    System.out.println(Arrays.toString(xs));
}

class Point2D {
    private int xc, yc;
    public Point2D(int x, int y) {
        xc = x; yc = y;
    }
    public int getX() { return xc; }
    public int getY() { return yc; }
}

```

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# More on Comparators

```
Integer[] xs = {4, 5, 7, 9, 3, 8, 2};
sort(xs, Comparator.naturalOrder());           // xs must be Comparable
sort(xs, Comparator.reverseOrder());           // ditto
```

```
Point2D[] xs = new Point2D[] {
    new Point2D(3, 5), new Point2D(5, 2),
    new Point2D(2, 8), new Point2D(3, 3),
};

sort(xs, Comparator.naturalOrder());           // xs must be Comparable
sort(xs, Comparator.reverseOrder());           // ditto
```

안됨, 따로 comparator를 extends해서 implement 해주지 않으면 컴파일 에러 발생함

```
sort(xs, new LexicoGraphic().reversed());
sort(xs, comparingInt(Point2D::getY));
sort(xs, comparing(Point2D::getX).thenComparing(Point2D::getY));

sort(xs, comparing(Point2D::getX).thenComparing(Point2D::getY).reverse());
```

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## Sequence-based Priority Queue

- Implementation with an unsorted list



- Performance:

- **insert** takes  $O(1)$  time since we can insert the item at the beginning or end of the sequence
- **removeMin** and **min** take  $O(n)$  time since we have to traverse the entire sequence to find the smallest key

- Implementation with a sorted list



- Performance:

- **insert** takes  $O(n)$  time since we have to find the place where to insert the item
- **removeMin** and **min** take  $O(1)$  time, since the smallest key is at the beginning

# Unsorted List Implementation

```

1  /** An implementation of a priority queue with an unsorted list. */
2  public class UnsortedPriorityQueue<K,V> extends AbstractPriorityQueue<K,V> {
3      /** primary collection of priority queue entries */
4      private PositionList<Entry<K,V>> list = new LinkedPositionalList<>();
5
6      /** Creates an empty priority queue based on the natural ordering of its keys. */
7      public UnsortedPriorityQueue() { super(); }
8      /** Creates an empty priority queue using the given comparator to order keys. */
9      public UnsortedPriorityQueue(Comparator<K> comp) { super(comp); }
10
11     /** Returns the Position of an entry having minimal key. */
12     private Position<Entry<K,V>> findMin() {    // only called when nonempty
13         Position<Entry<K,V>> small = list.first();
14         for (Position<Entry<K,V>> walk : list.positions())
15             if (compare(walk.getElement(), small.getElement()) < 0)
16                 small = walk;        // found an even smaller key
17         return small;
18     }
19 }
```

# Unsorted List Implementation, 2

```

20     /** Inserts a key-value pair and returns the entry created. */
21     public Entry<K,V> insert(K key, V value) throws IllegalArgumentException {
22         checkKey(key);    // auxiliary key-checking method (could throw exception)
23         Entry<K,V> newest = new PQEntry<>(key, value);
24         list.addLast(newest);
25         return newest;
26     }
27
28     /** Returns (but does not remove) an entry with minimal key. */
29     public Entry<K,V> min() {
30         if (list.isEmpty()) return null;
31         return findMin().getElement();
32     }
33
34     /** Removes and returns an entry with minimal key. */
35     public Entry<K,V> removeMin() {
36         if (list.isEmpty()) return null;
37         return list.remove(findMin());
38     }
39
40     /** Returns the number of items in the priority queue. */
41     public int size() { return list.size(); }
42 }
```

# Sorted List Implementation

```

1  /** An implementation of a priority queue with a sorted list. */
2  public class SortedPriorityQueue<K,V> extends AbstractPriorityQueue<K,V> {
3      /** primary collection of priority queue entries */
4      private PositionalList<Entry<K,V>> list = new LinkedPositionalList<>();
5
6      /** Creates an empty priority queue based on the natural ordering of its keys. */
7      public SortedPriorityQueue() { super(); }
8      /** Creates an empty priority queue using the given comparator to order keys. */
9      public SortedPriorityQueue(Comparator<K> comp) { super(comp); }
10
11     /** Inserts a key-value pair and returns the entry created. */
12     public Entry<K,V> insert(K key, V value) throws IllegalArgumentException {
13         checkKey(key);           // auxiliary key-checking method (could throw exception)
14         Entry<K,V> newest = new PQEntry<>(key, value);
15         Position<Entry<K,V>> walk = list.last();
16         // walk backward, looking for smaller key
17         while (walk != null && compare(newest, walk.getElement()) < 0)
18             walk = list.before(walk);
19         if (walk == null)
20             list.addFirst(newest);           // new key is smallest
21         else
22             list.addAfter(walk, newest);    // newest goes after walk
23         return newest;
24     }
25 
```

# Sorted List Implementation, 2

```

26     /** Returns (but does not remove) an entry with minimal key. */
27     public Entry<K,V> min() {
28         if (list.isEmpty()) return null;
29         return list.first().getElement();
30     }
31
32     /** Removes and returns an entry with minimal key. */
33     public Entry<K,V> removeMin() {
34         if (list.isEmpty()) return null;
35         return list.remove(list.first());
36     }
37
38     /** Returns the number of items in the priority queue. */
39     public int size() { return list.size(); }
40 } 
```

# Priority Queue Sorting

- We can use a priority queue to sort a list of comparable elements
  1. Insert the elements one by one with a series of **insert** operations
  2. Remove the elements in sorted order with a series of **removeMin** operations
- The running time of this sorting method depends on the priority queue implementation

## Algorithm **PQ-Sort( $S, C$ )**

```

Input list  $S$ , comparator  $C$  for the elements of  $S$ 
Output list  $S$  sorted in increasing order according to  $C$ 
 $P \leftarrow$  priority queue with comparator  $C$ 
while  $\neg S.isEmpty()$ 
     $e \leftarrow S.remove(S.first())$ 
     $P.insert(e, \emptyset)$ 
while  $\neg P.isEmpty()$ 
     $e \leftarrow P.removeMin().getKey()$ 
     $S.addLast(e)$ 

```

# Selection-Sort

- Selection-sort is the variation of PQ-sort where the priority queue is implemented with an unsorted sequence
- Running time of Selection-sort:
  1. Inserting the elements into the priority queue with  $n$  **insert** operations takes \_\_\_\_\_ time
  2. Removing the elements in sorted order from the priority queue with  $n$  **removeMin** operations takes time proportional to

---

- Selection-sort runs in  $O(n^2)$  time

# Selection-Sort Example

Input:	Sequence S	Priority Queue P
	(7,4,8,2,5,3,9)	()
Phase 1		
(a)	(4,8,2,5,3,9)	(7)
(b)	(8,2,5,3,9)	(7,4)
..	..	..
(g)	()	(7,4,8,2,5,3,9)
Phase 2		
(a)	(2)	(7,4,8,5,3,9)
(b)	(2,3)	(7,4,8,5,9)
(c)	(2,3,4)	(7,8,5,9)
(d)	(2,3,4,5)	(7,8,9)
(e)	(2,3,4,5,7)	(8,9)
(f)	(2,3,4,5,7,8)	(9)
(g)	(2,3,4,5,7,8,9)	()

# Insertion-Sort

- Insertion-sort is the variation of PQ-sort where the priority queue is implemented with a sorted sequence
- Running time of Insertion-sort:
  1. Inserting the elements into the priority queue with  $n$  **insert** operations takes time proportional to \_\_\_\_\_ time
  2. Removing the elements in sorted order from the priority queue with a series of  $n$  **removeMin** operations takes \_\_\_\_\_ time
- Insertion-sort runs in \_\_\_\_\_ time

# Insertion-Sort Example

Input:	Sequence S	Priority queue P
	(7,4,8,2,5,3,9)	()
Phase 1		
(a)	(4,8,2,5,3,9)	(7)
(b)	(8,2,5,3,9)	(4,7)
(c)	(2,5,3,9)	(4,7,8)
(d)	(5,3,9)	(2,4,7,8)
(e)	(3,9)	(2,4,5,7,8)
(f)	(9)	(2,3,4,5,7,8)
(g)	()	(2,3,4,5,7,8,9)
Phase 2		
(a)	(2)	(3,4,5,7,8,9)
(b)	(2,3)	(4,5,7,8,9)
..	..	..
(g)	(2,3,4,5,7,8,9)	()

## In-place Insertion-Sort

- ❑ Instead of using an external data structure, we can implement selection-sort and insertion-sort in-place
- ❑ A portion of the input sequence itself serves as the priority queue
- ❑ For in-place insertion-sort
  - We keep sorted the initial portion of the sequence
  - We can use **swaps** instead of modifying the sequence

