

Seguridad Informatica - Fall 2024

Module IV: Physical security

Lecture 3

Speculative execution attacks

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Module II

- Lecture 1 – Introduction
- Lecture 2 – Cache-based side channel attacks
- Lecture 3 – Speculative execution attacks
- Lecture 4 – Non-interference
- Lecture 5 – Automated detection of speculative leaks

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- Lecture 1 – Introduction
- Lecture 2 – Cache-based side channel attacks
- Lecture 3 – Speculative execution attacks
- Lecture 4 – Non-interference
- Lecture 5 – Automated detection of speculative leaks

Recommended reading

Spectre Attacks: Exploiting Speculative Execution by Paul Kocher,
Jann Horn, Anders Fogh, Daniel Genkin, Daniel Gruss, Werner Haas, Mike Hamburg, Moritz Lipp,
Stefan Mangard, Thomas Prescher, Michael Schwarz, Yuval Yarom

Available at <https://spectreattack.com/spectre.pdf>

Outline

- Motivation
- Recap (covert vs side channels, caches, pipelines)
- Speculative execution and branch predictors
- Attacks (SPECTRE Variant 1)
- Countermeasures (SPECTRE Variant 1)
- Attacks (SPECTRE Variant 2)

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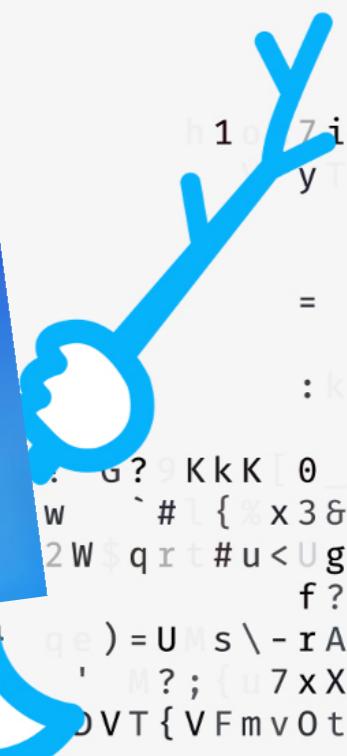
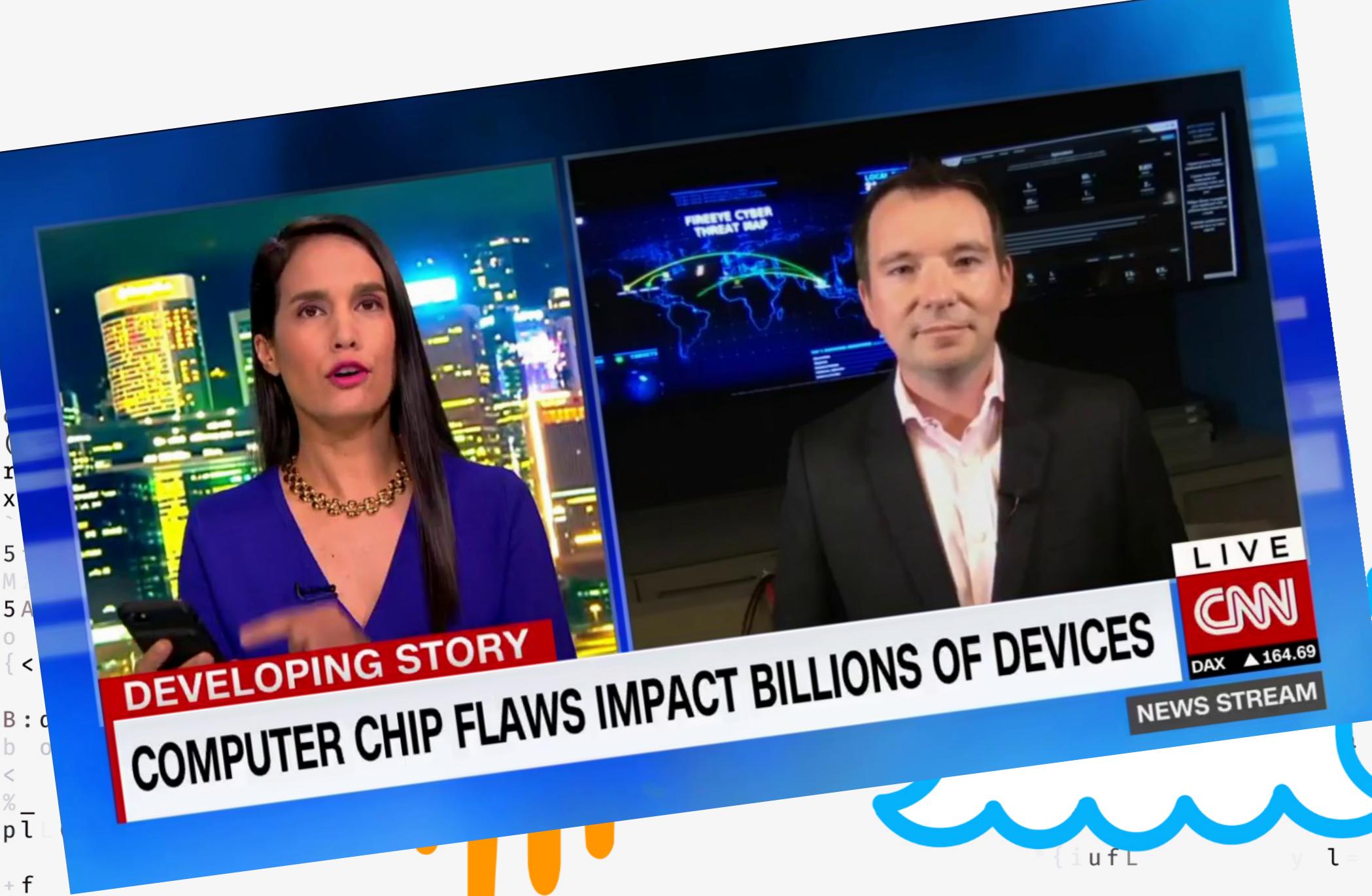


MELTDOWN



SPECTRE

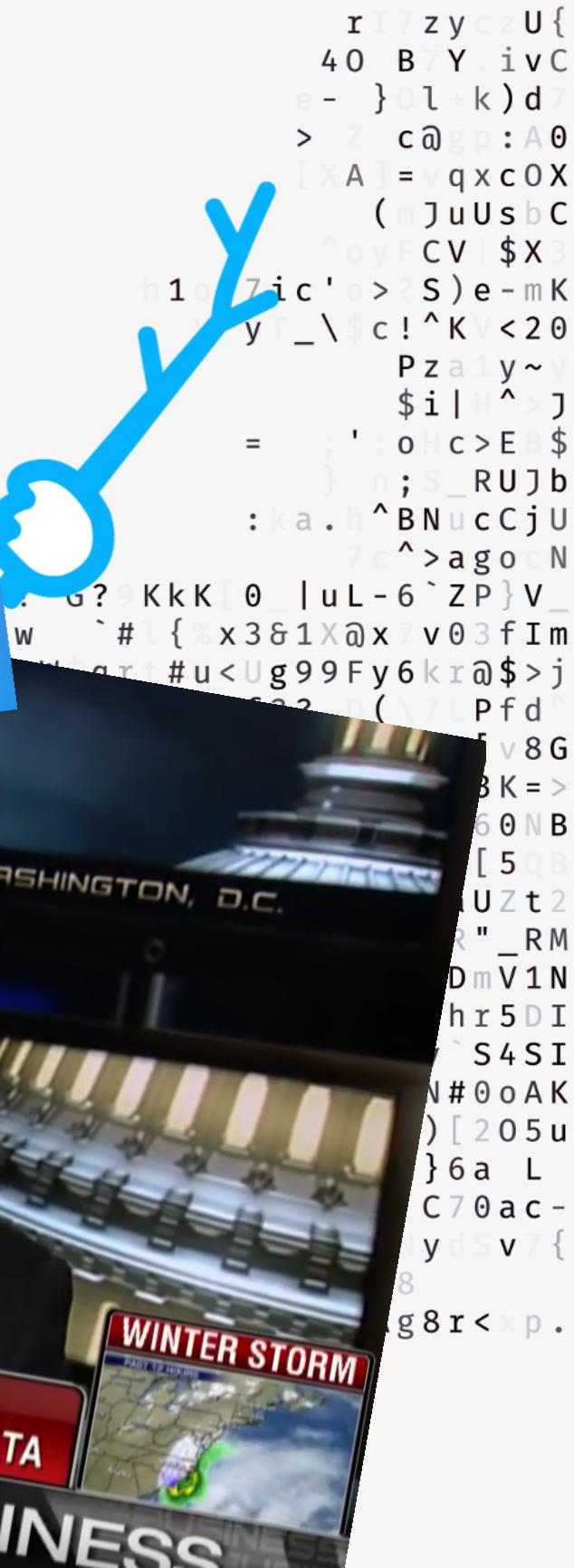
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MELTDOWN SPECTRE

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73A5Y@ZFpw? 6E 8
rX UvAg8r<x p.



DEVELOPING COMPUTER CH

M

THE MELTDOWN AND SPECTRE EXPLOITS USE "SPECULATIVE EXECUTION?" WHAT'S THAT?

YOU KNOW THE TROLLEY PROBLEM? WELL, FOR A WHILE NOW, CPUS HAVE BASICALLY BEEN SENDING TROLLEYS DOWN BOTH PATHS, QUANTUM-STYLE, WHILE AWAITING YOUR CHOICE. THEN THE UNNEEDED "PHANTOM" TROLLEY DISAPPEARS.



THE PHANTOM TROLLEY ISN'T SUPPOSED TO TOUCH ANYONE. BUT IT TURNS OUT YOU CAN STILL USE IT TO DO STUFF.

AND IT CAN DRIVE THROUGH WALLS.



THAT SOUNDS BAD.

HONESTLY, I'VE BEEN ASSUMING WE WERE DOOMED EVER SINCE I LEARNED ABOUT ROWHAMMER.



WHAT'S THAT?

IF YOU TOGGLE A ROW OF MEMORY CELLS ON AND OFF REALLY FAST, YOU CAN USE ELECTRICAL INTERFERENCE TO FLIP NEARBY BITS AND—

DO WE JUST SUCK AT... COMPUTERS?

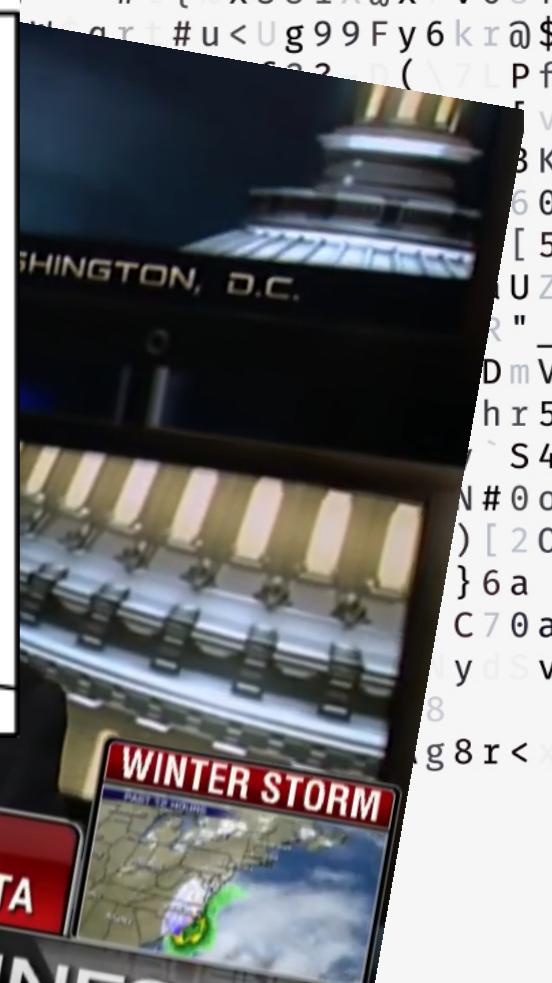
YUP. ESPECIALLY SHARED ONES.



SO YOU'RE SAYING THE CLOUD IS FULL OF PHANTOM TROLLEYS ARMED WITH HAMMERS.

...YES. THAT IS EXACTLY RIGHT. OKAY. I'LL, UH... INSTALL UPDATES?

GOOD IDEA.



BUSINESS
NETWORK

ALERT



INTEL REVEALS DESIGN FLAW THAT COULD ALLOW HACKERS TO ACCESS DATA

@FOXBUSINESS

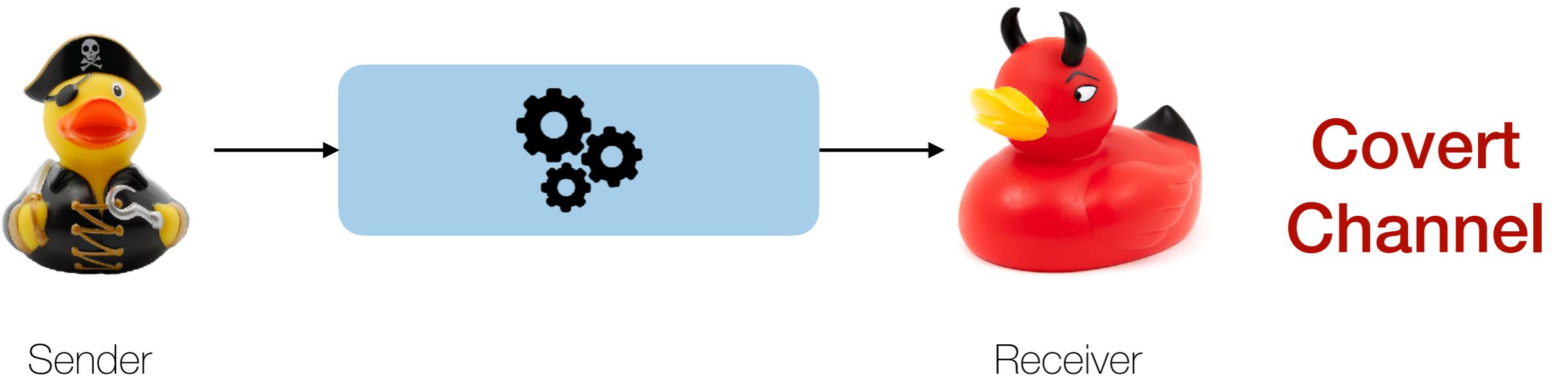
Recap / background

Covert vs side channels

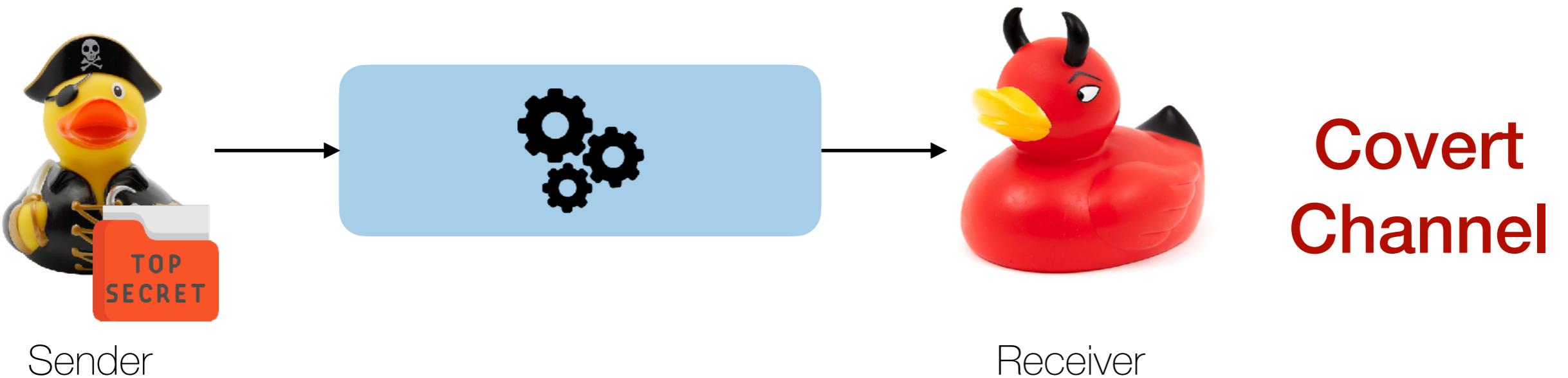
Covert vs side channels

Covert
Channel

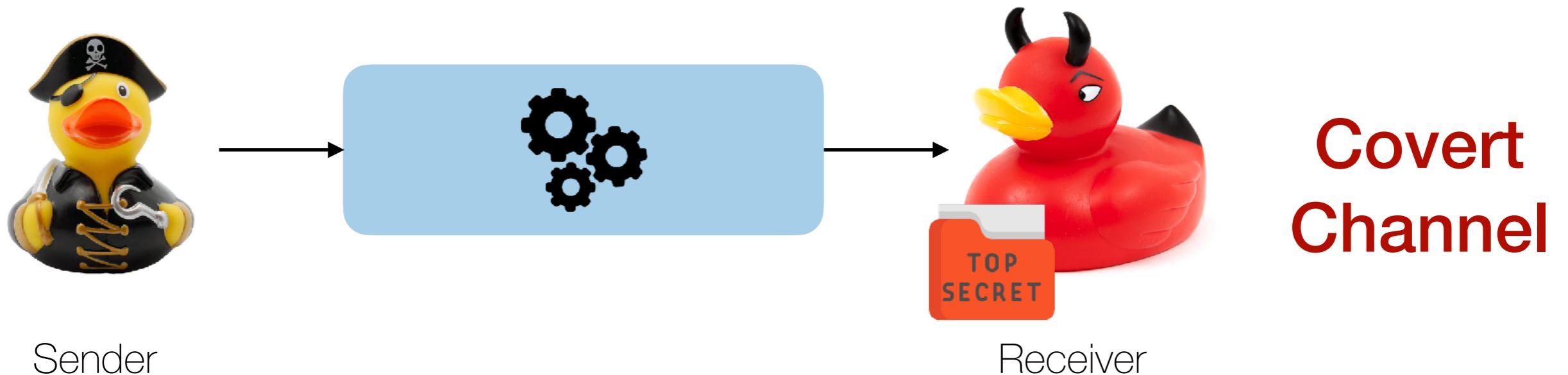
Covert vs side channels



Covert vs side channels



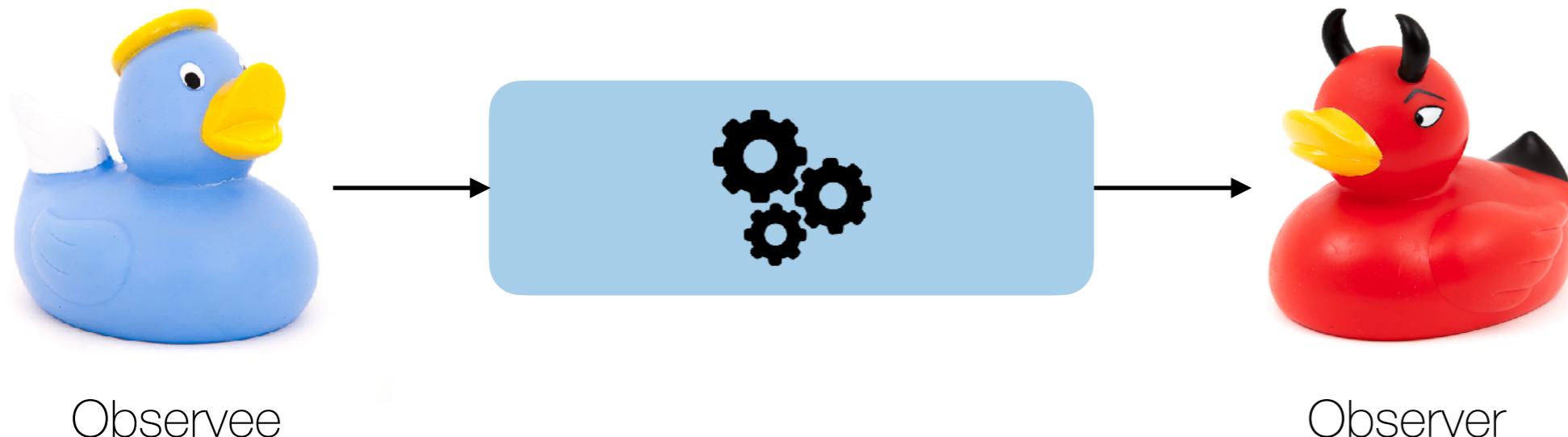
Covert vs side channels



Covert vs side channels

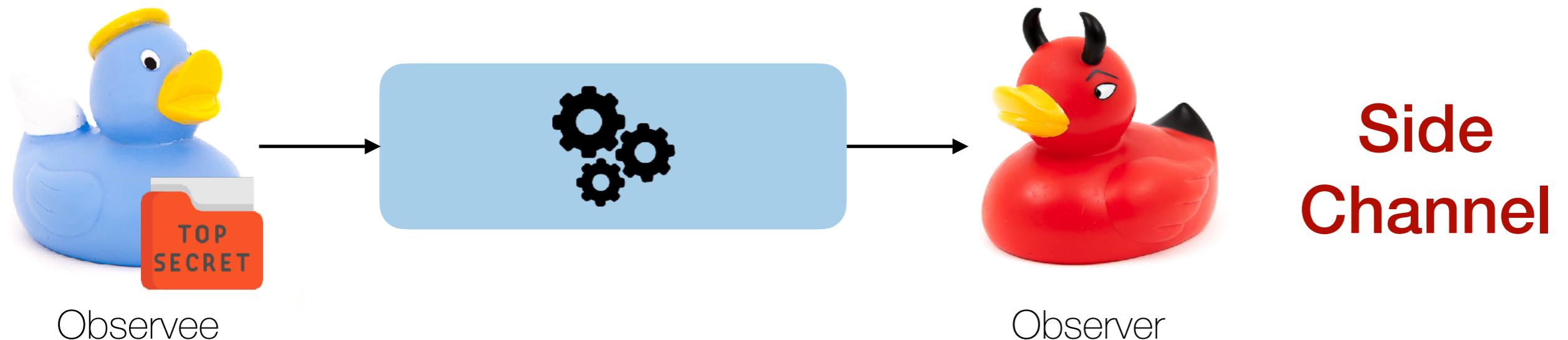
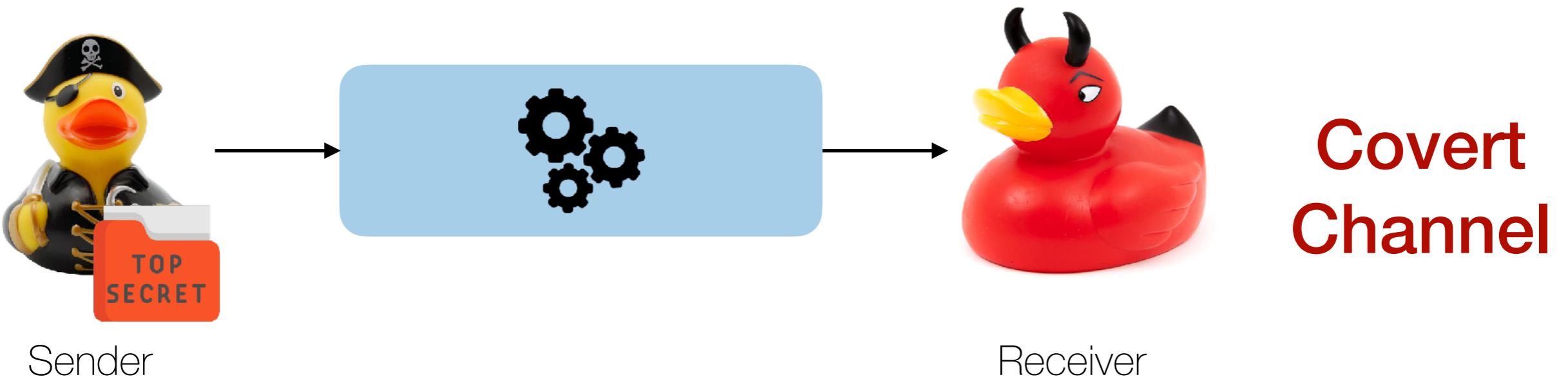


Covert
Channel

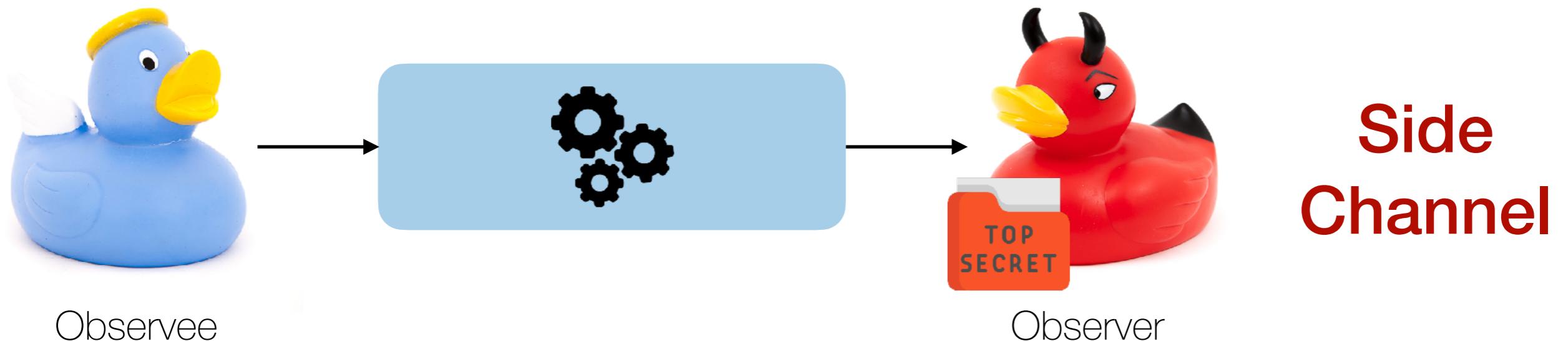
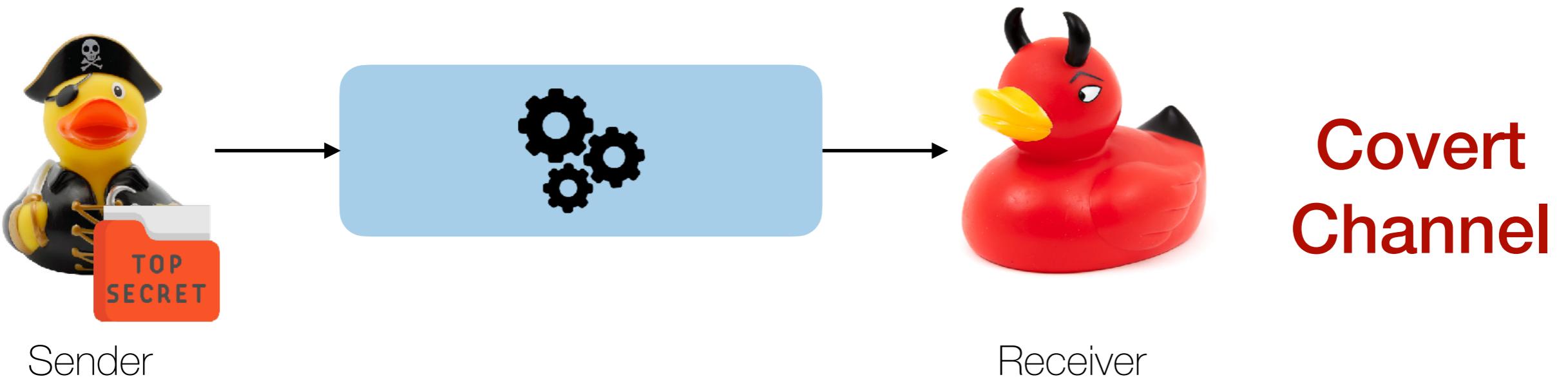


Side
Channel

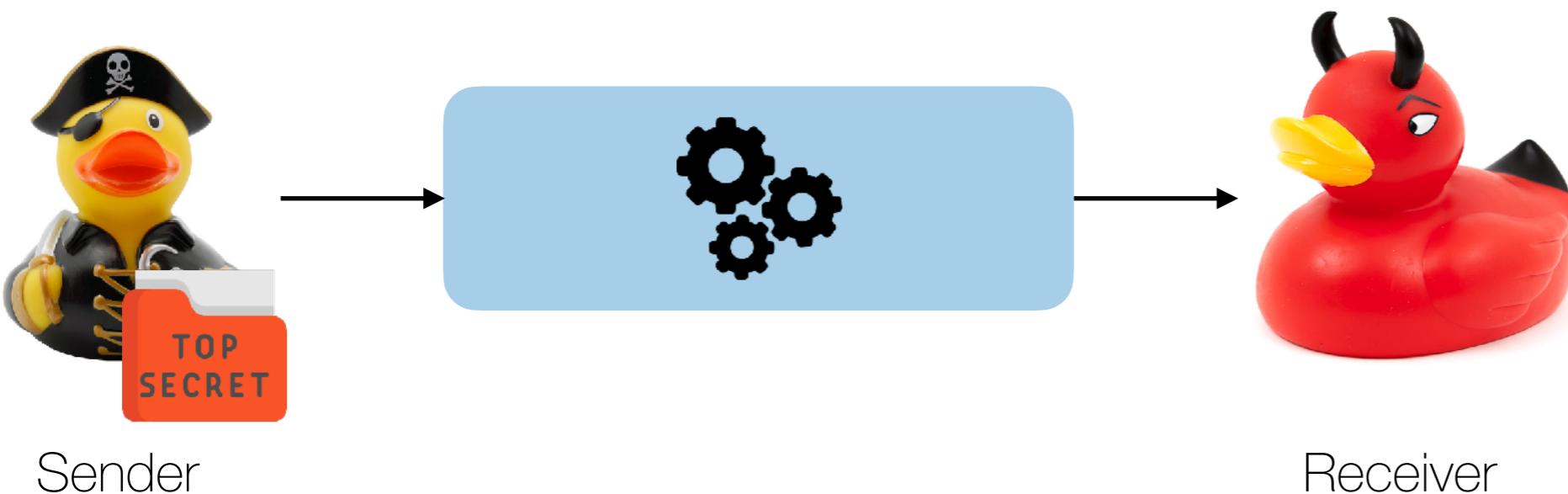
Covert vs side channels



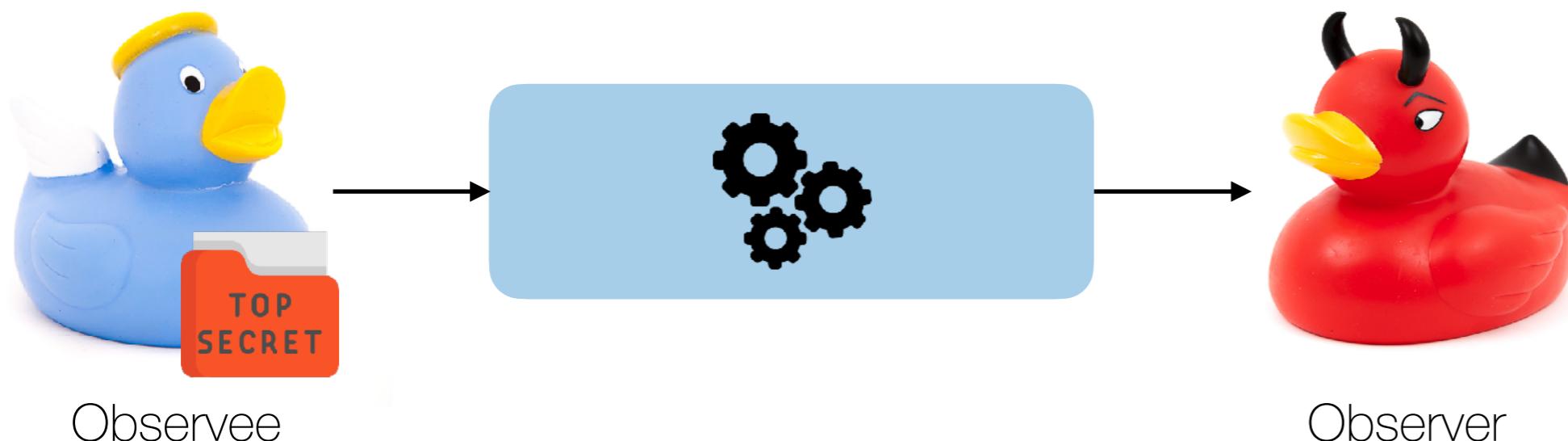
Covert vs side channels



Covert vs side channels

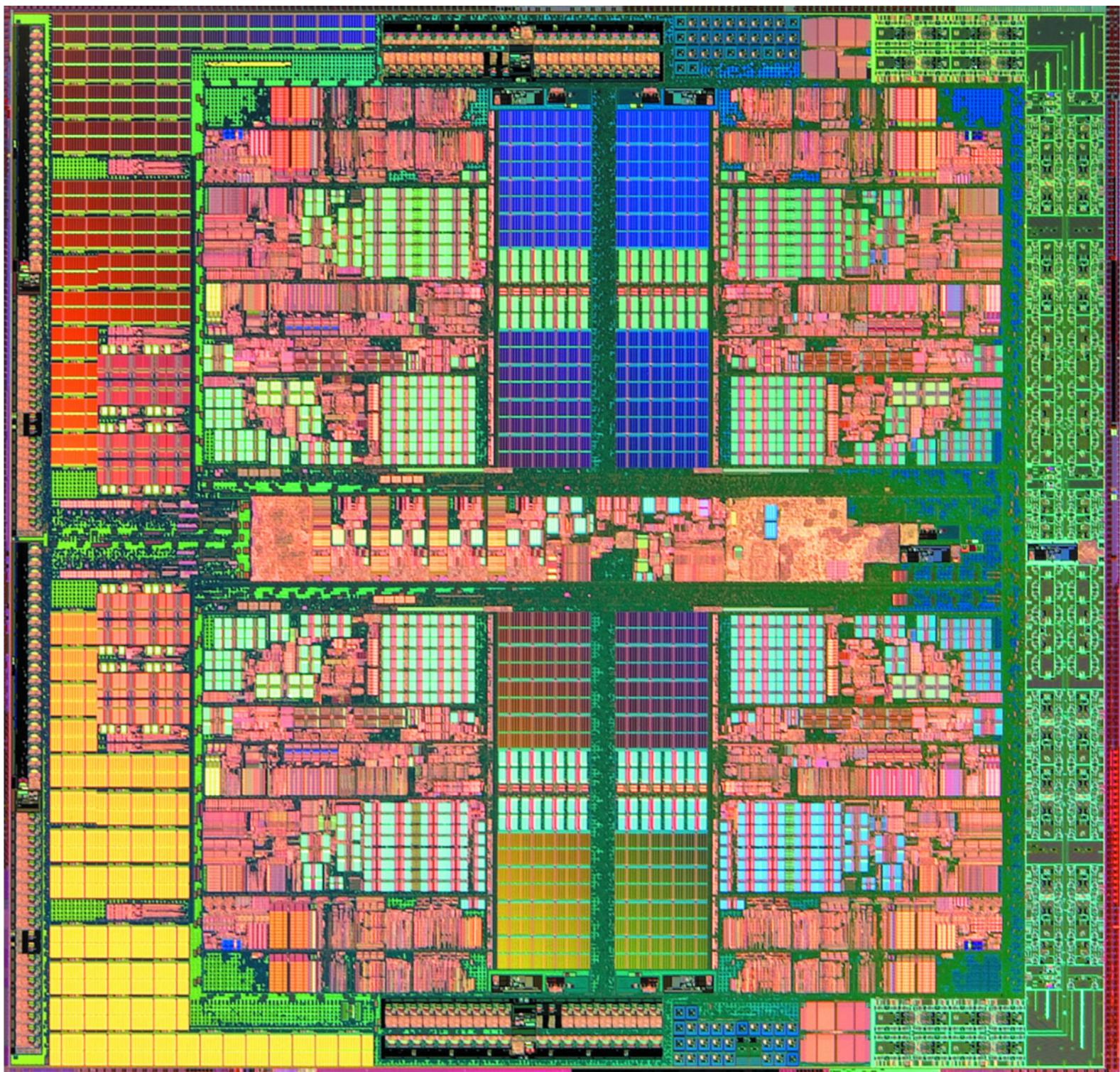


**Covert
Channel**



**Side
Channel**

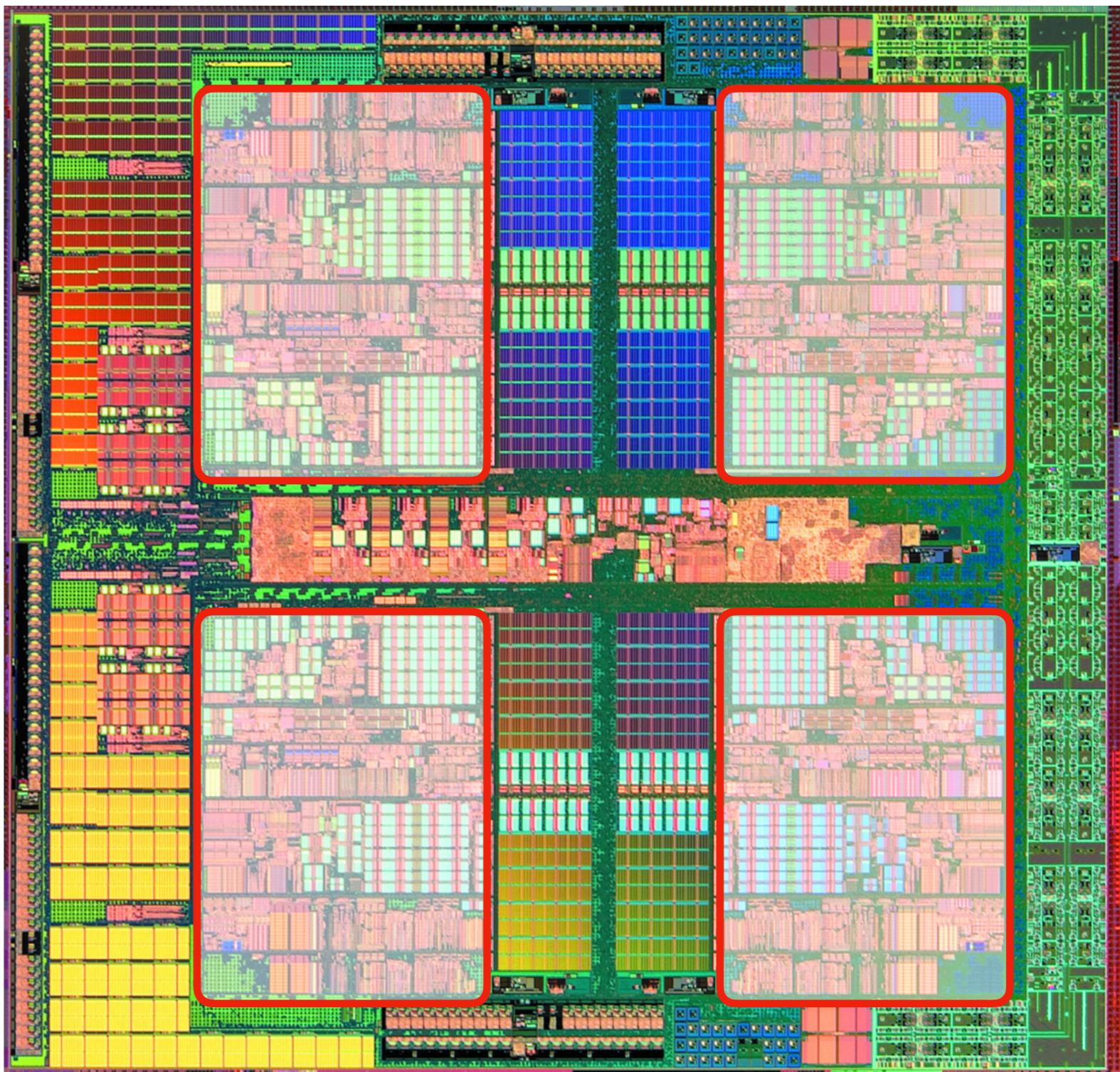
CPU 101



Picture of AMD "Barcelona" Quad Core CPU

CPU 101

CORE
Execute
instructions

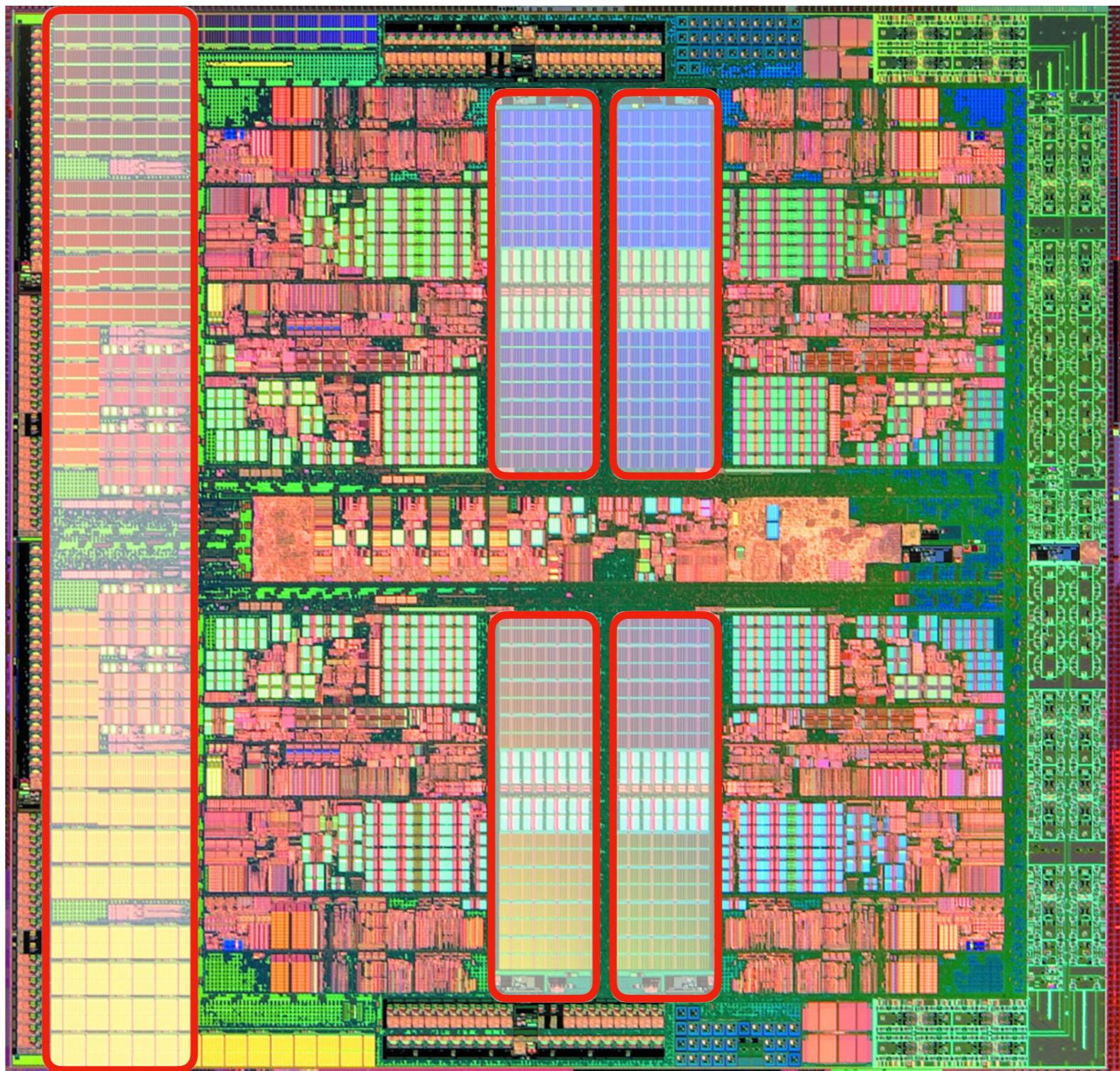


Picture of AMD "Barcelona" Quad Core CPU

CPU 101

CACHE

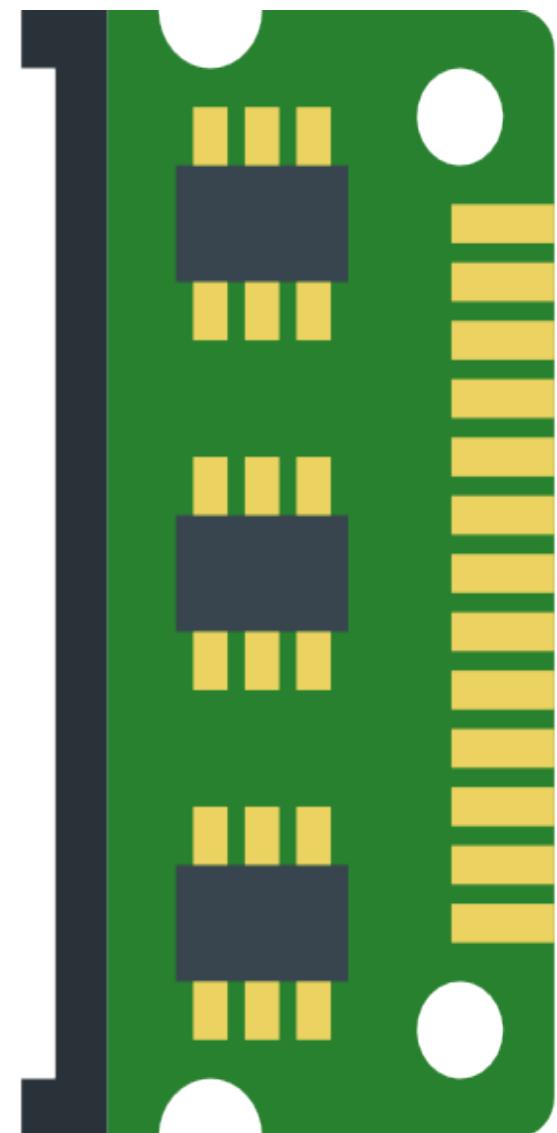
Memory storing
recently
accessed data



Picture of AMD "Barcelona" Quad Core CPU

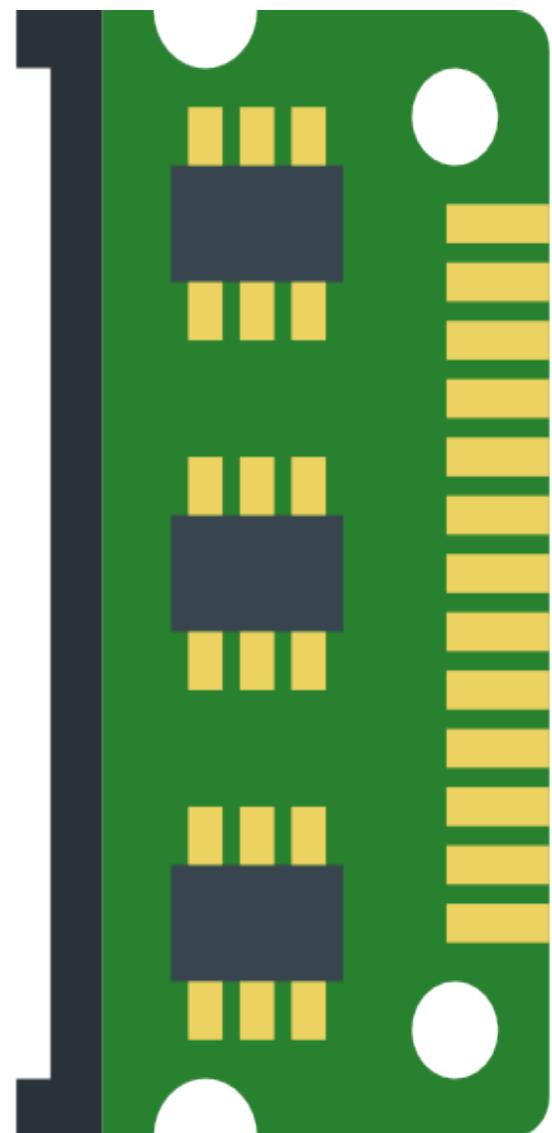
Accessing memory

```
printf ("%d", i );  
printf ("%d", i );
```



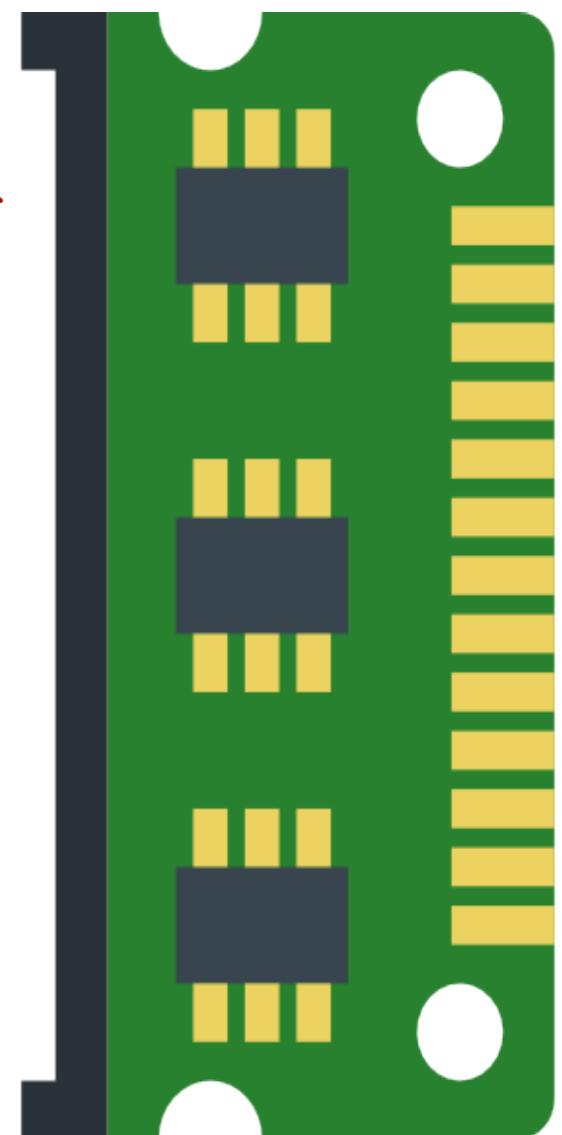
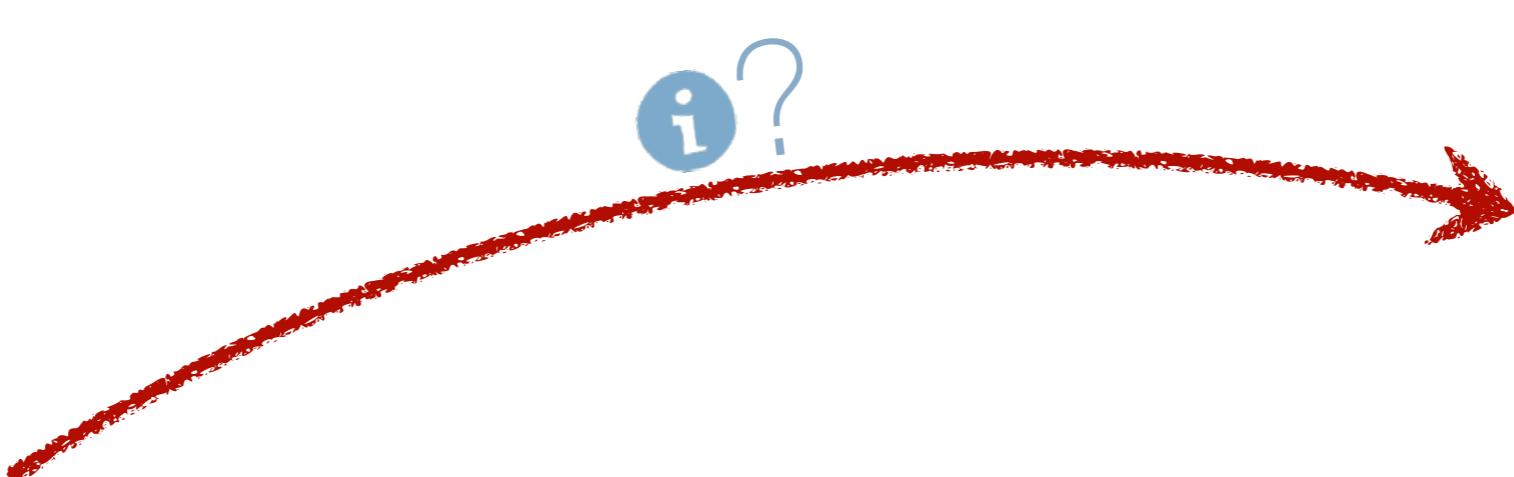
Accessing memory

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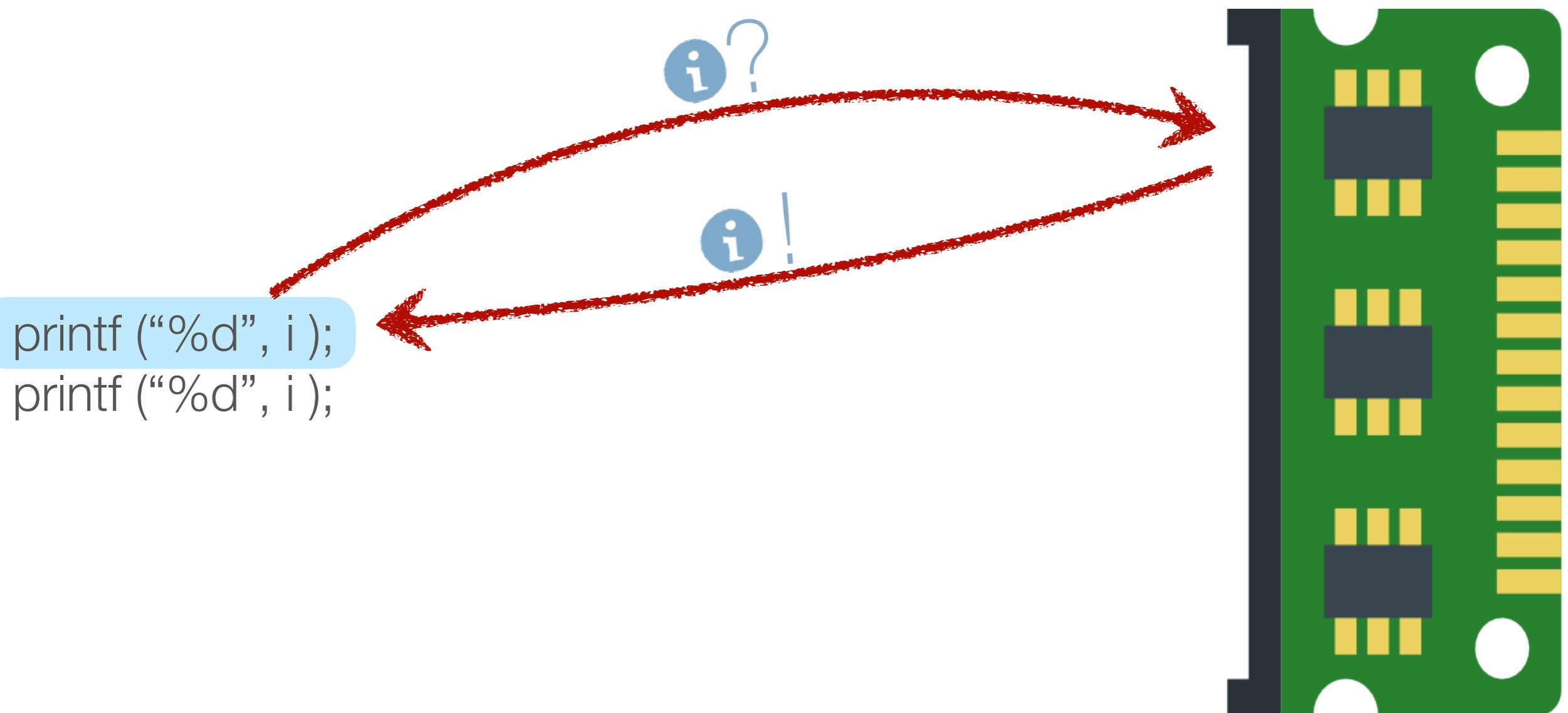


Accessing memory

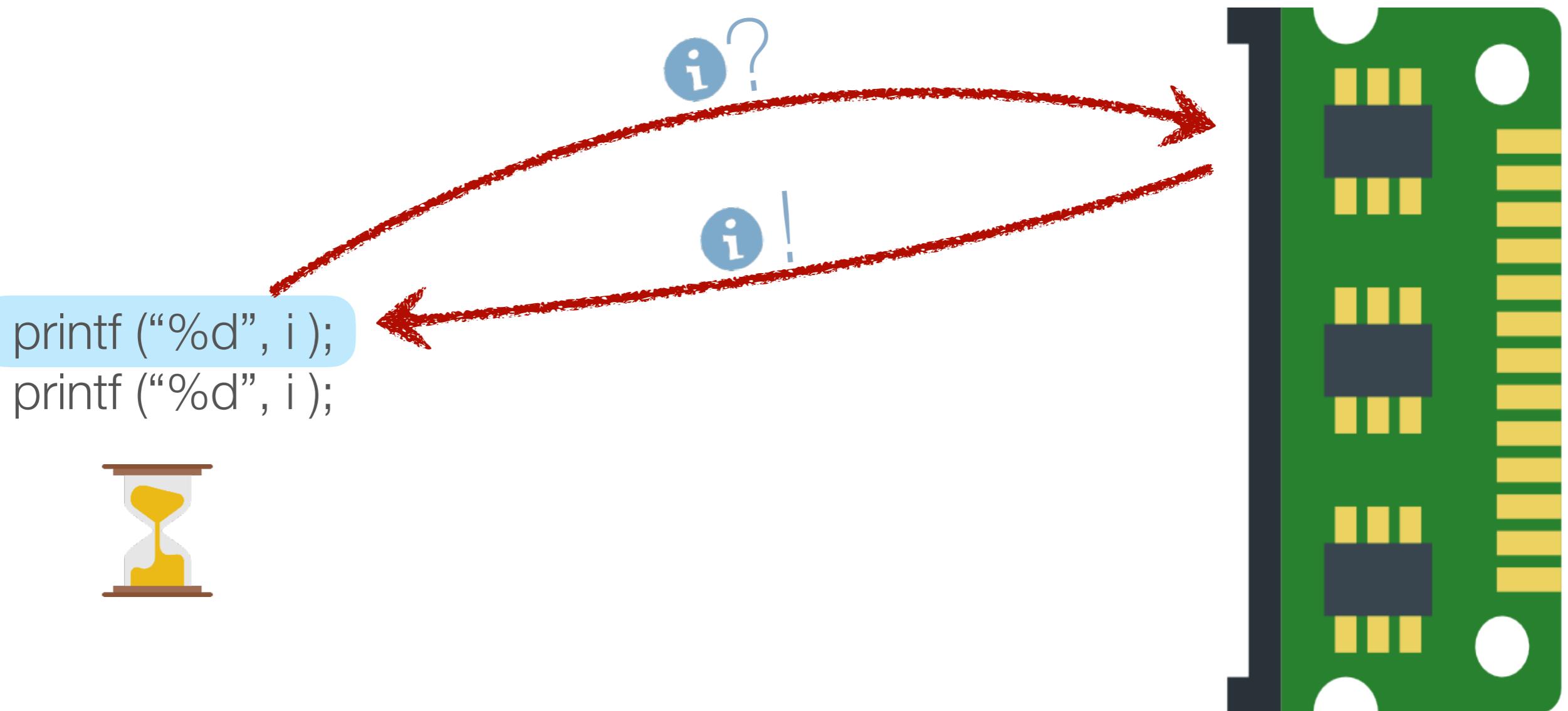
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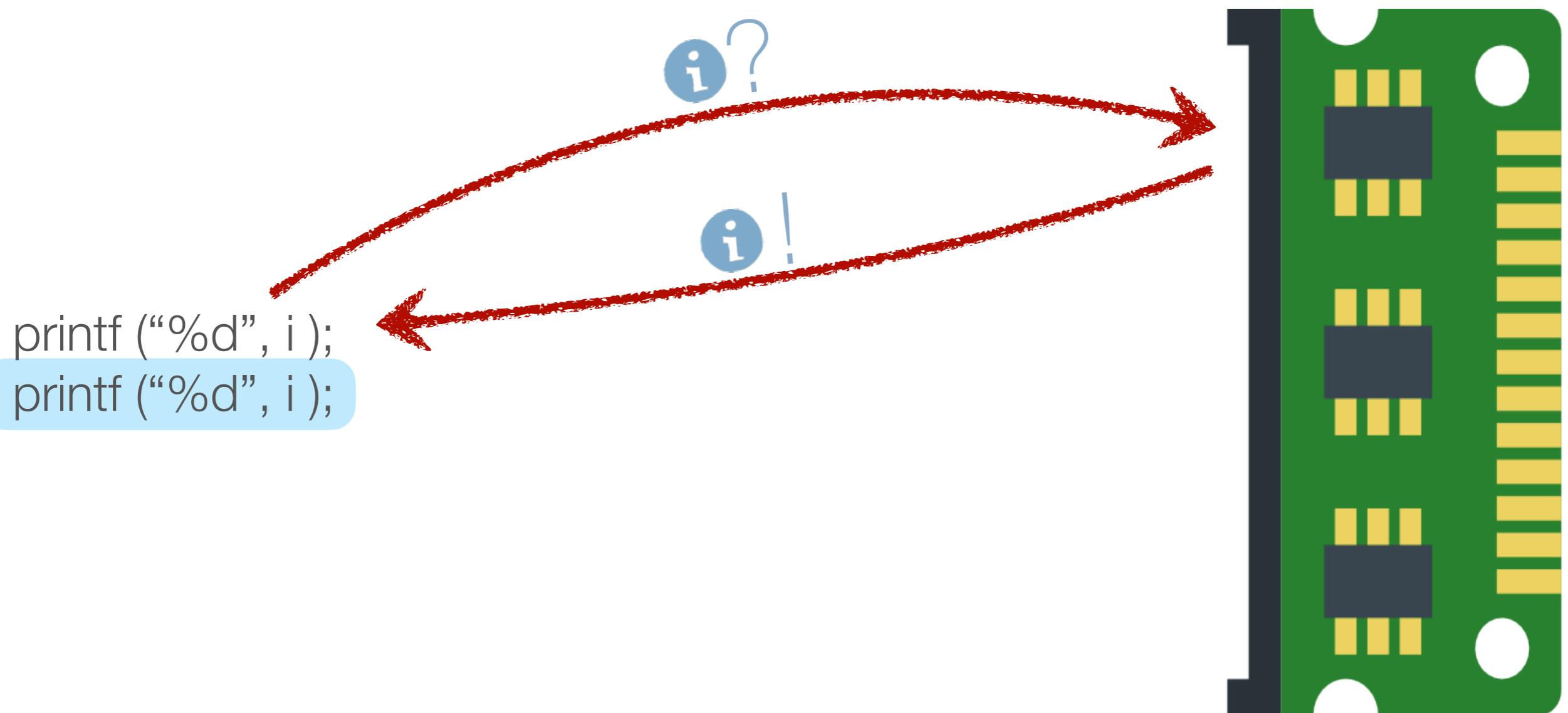
Accessing memory



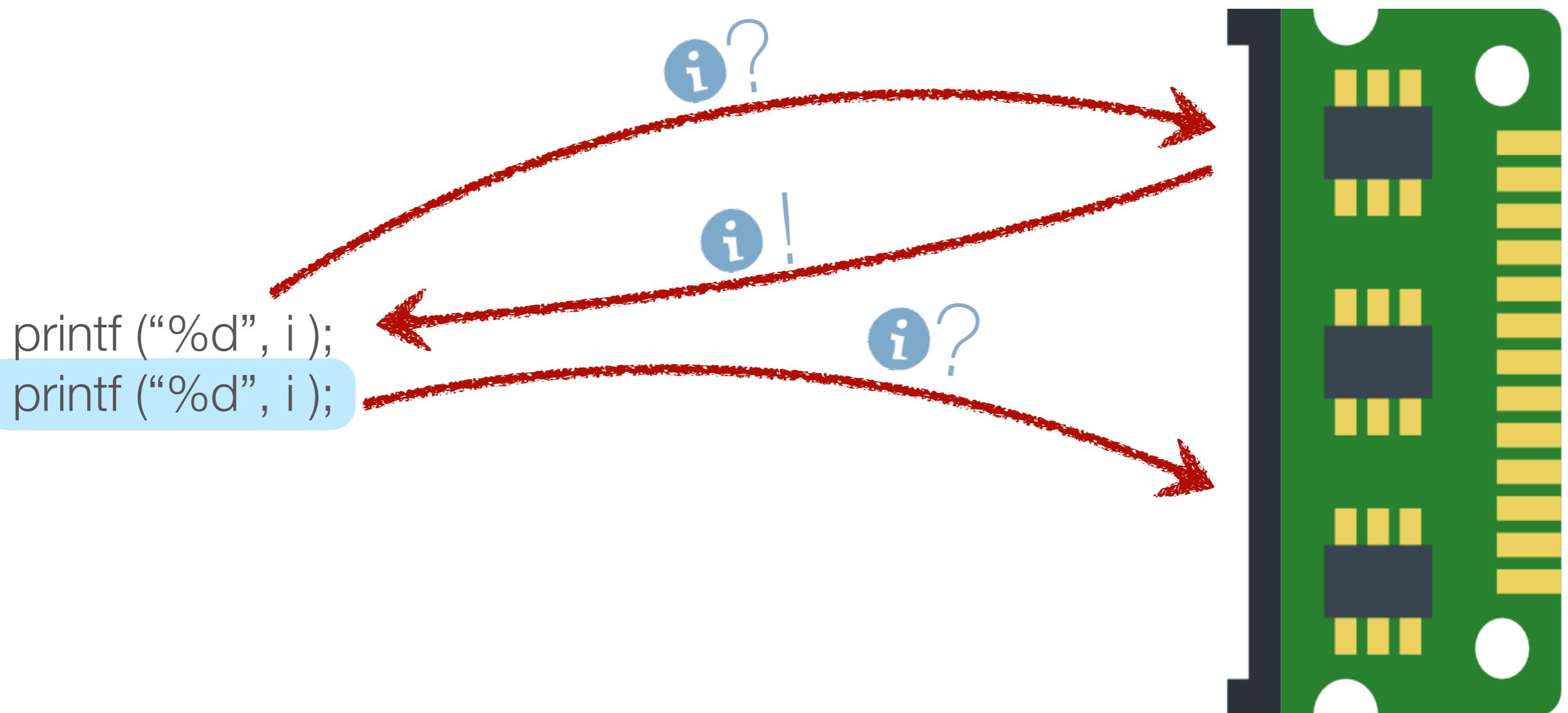
Accessing memory



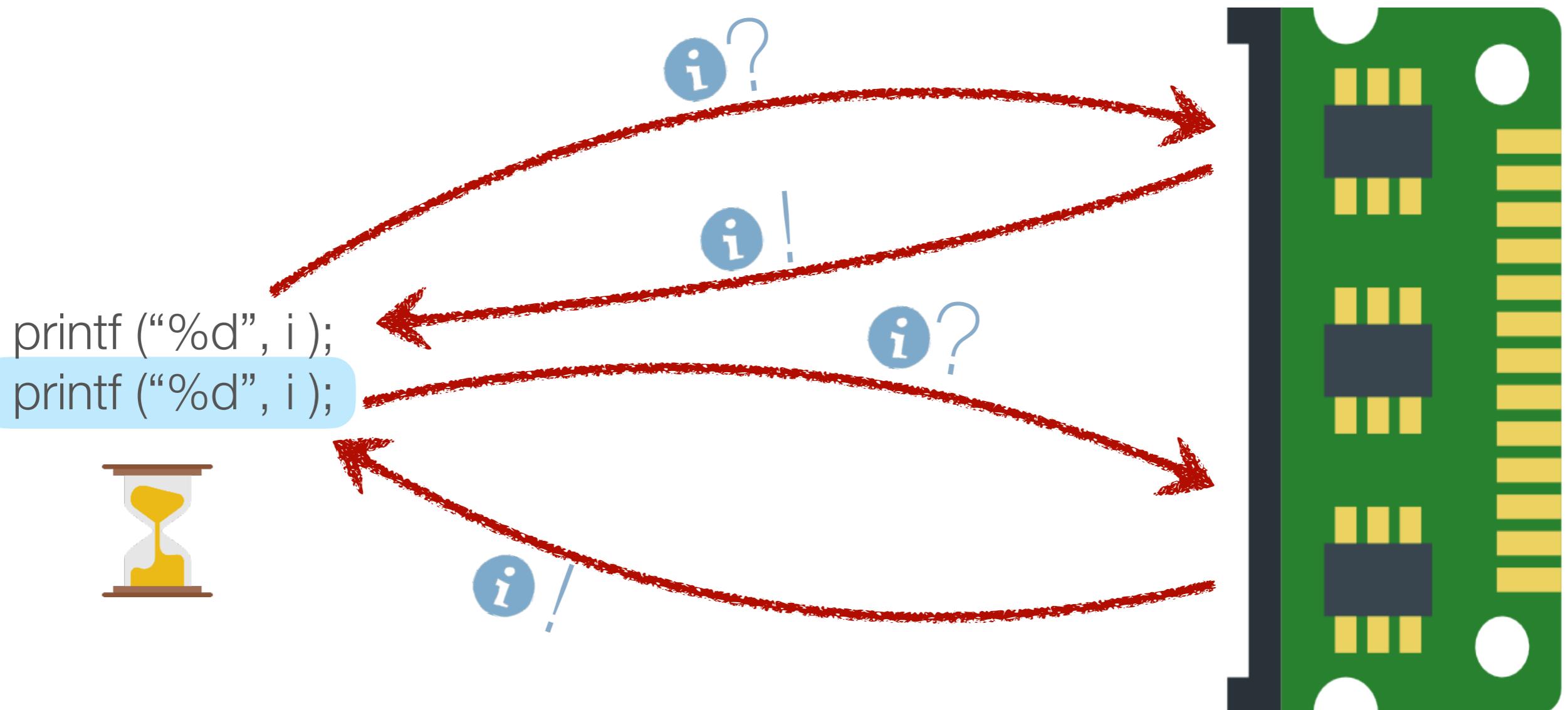
Accessing memory



Accessing memory

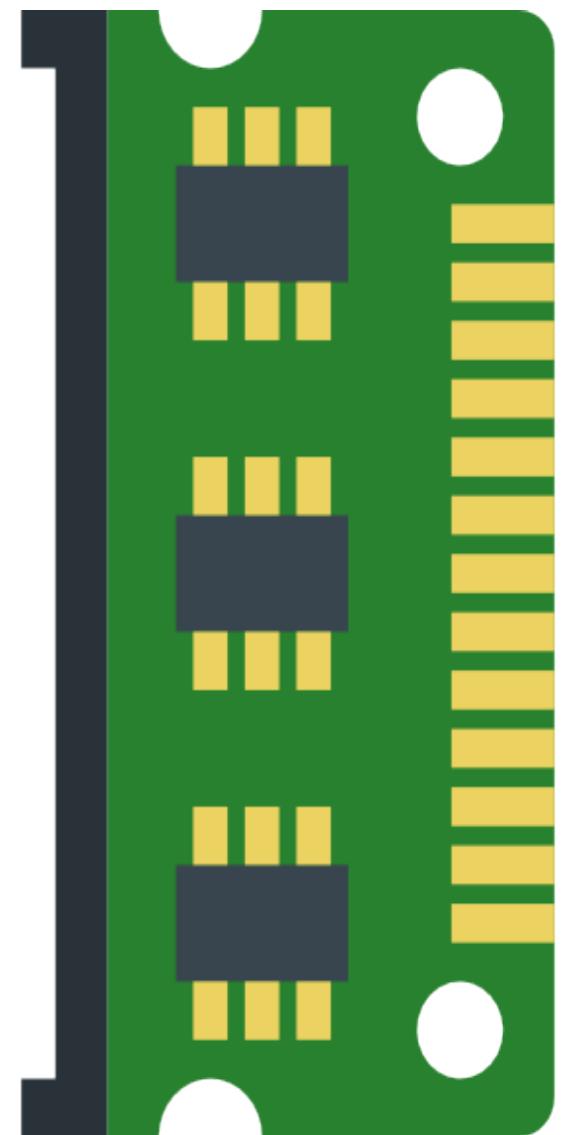
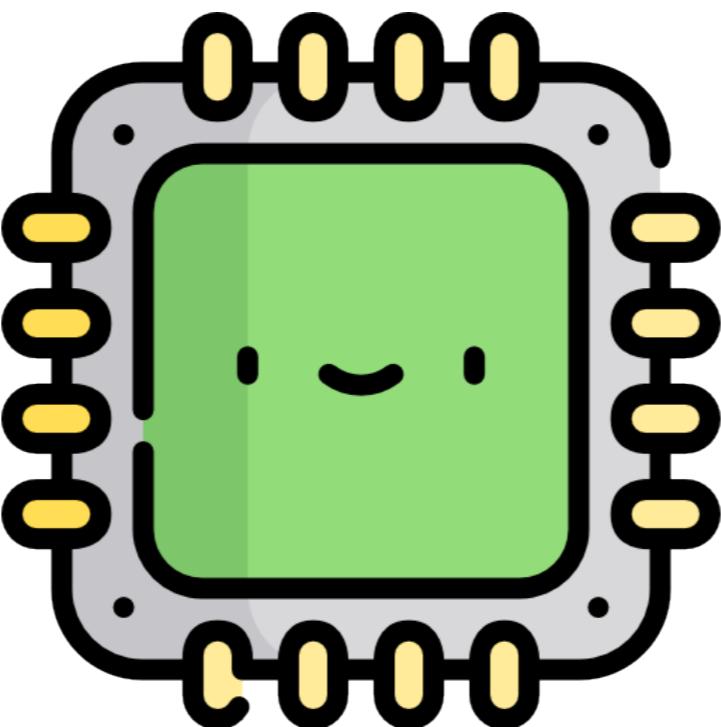


Accessing memory



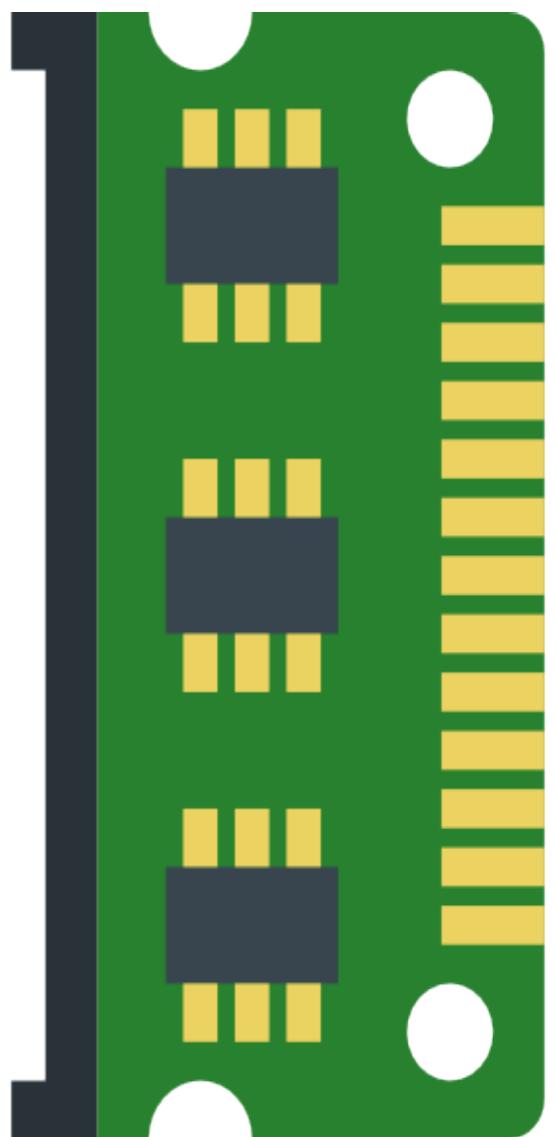
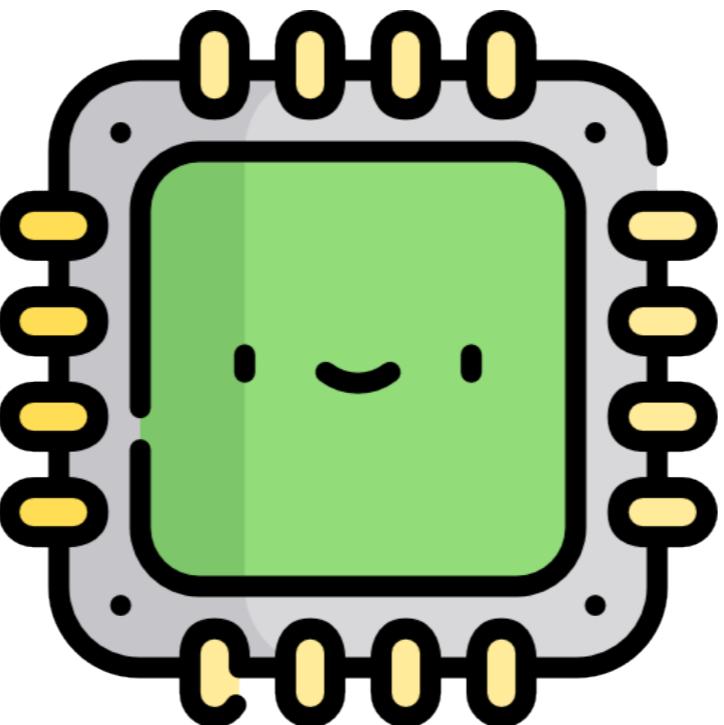
Caches to the rescue!

```
printf ("%d", i );  
printf ("%d", i );
```

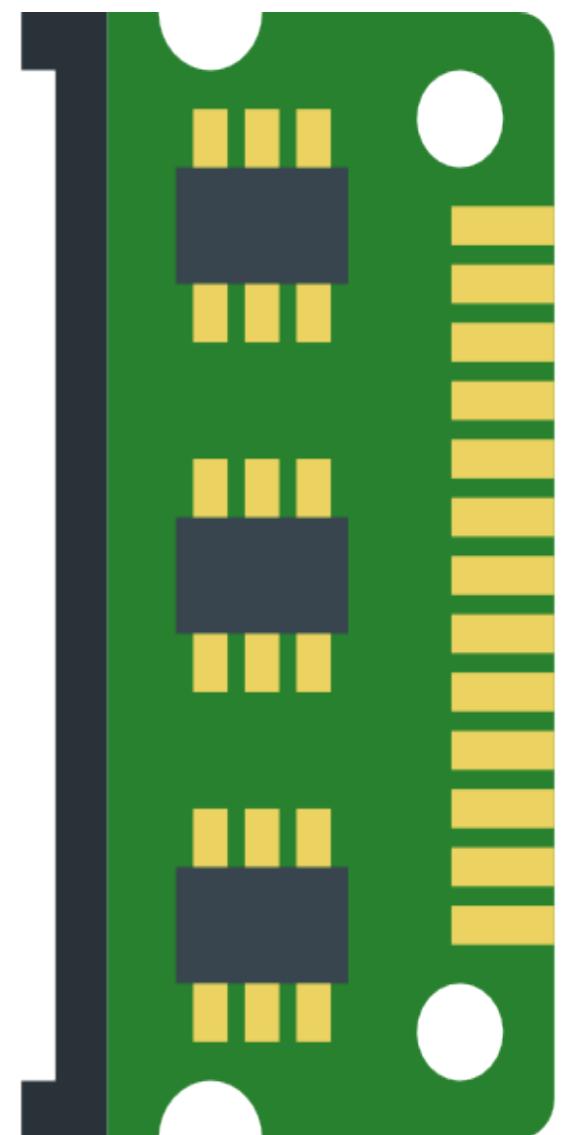
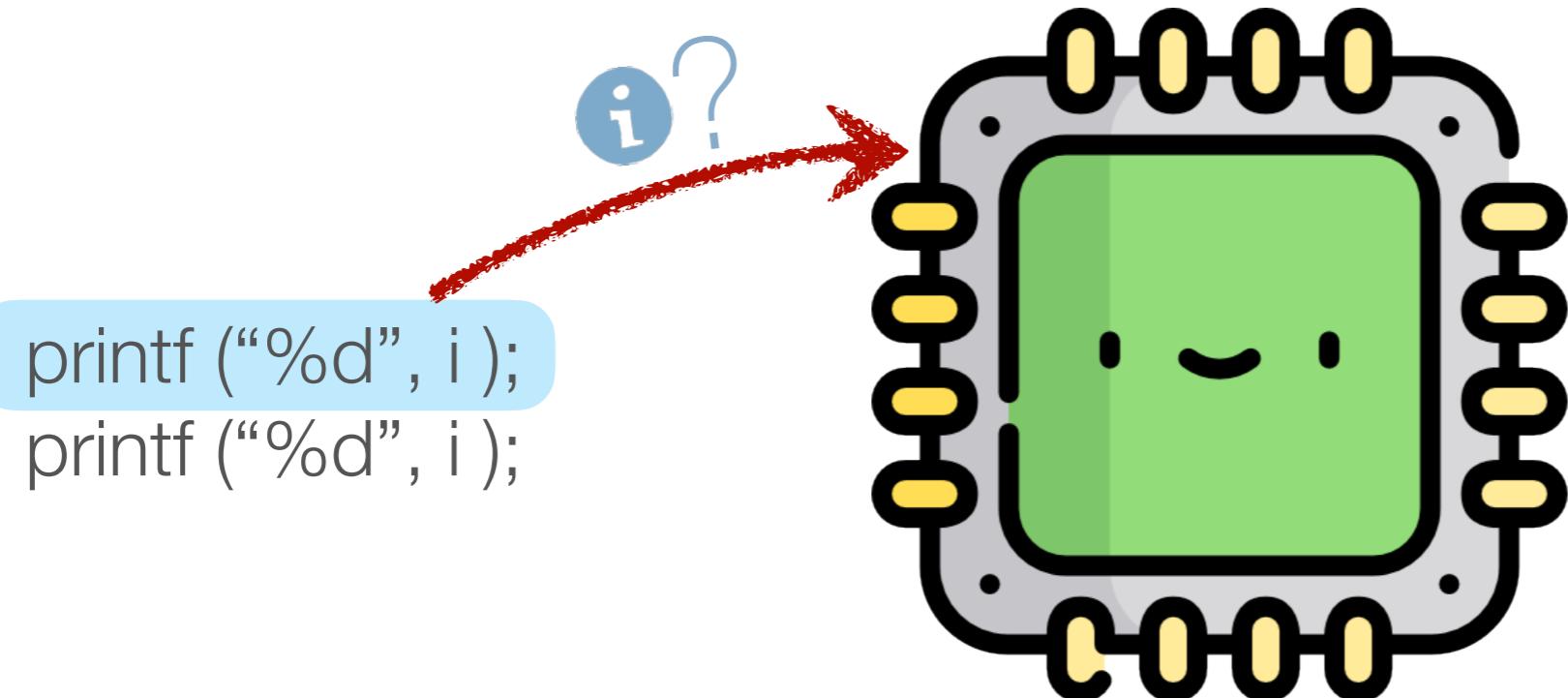


Caches to the rescue!

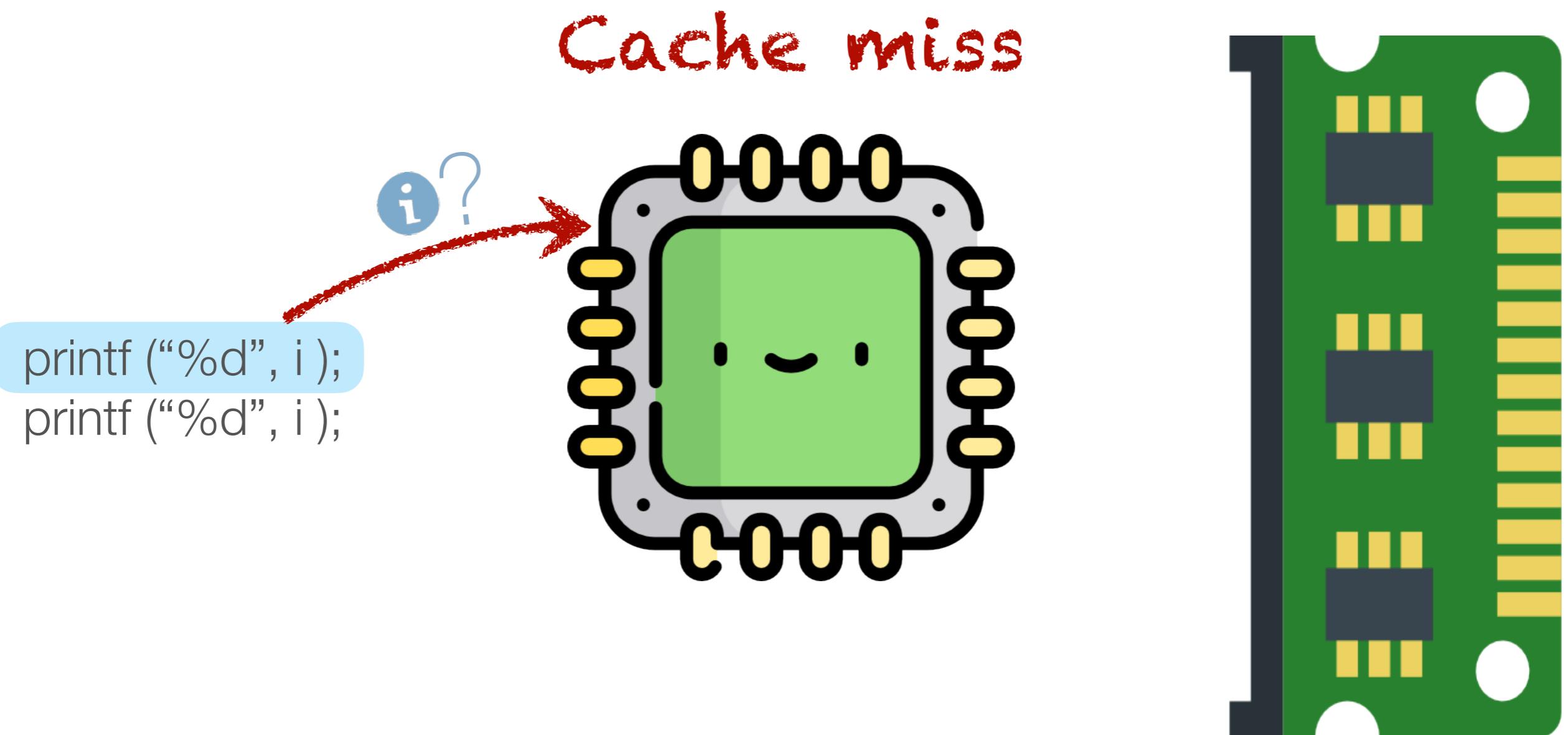
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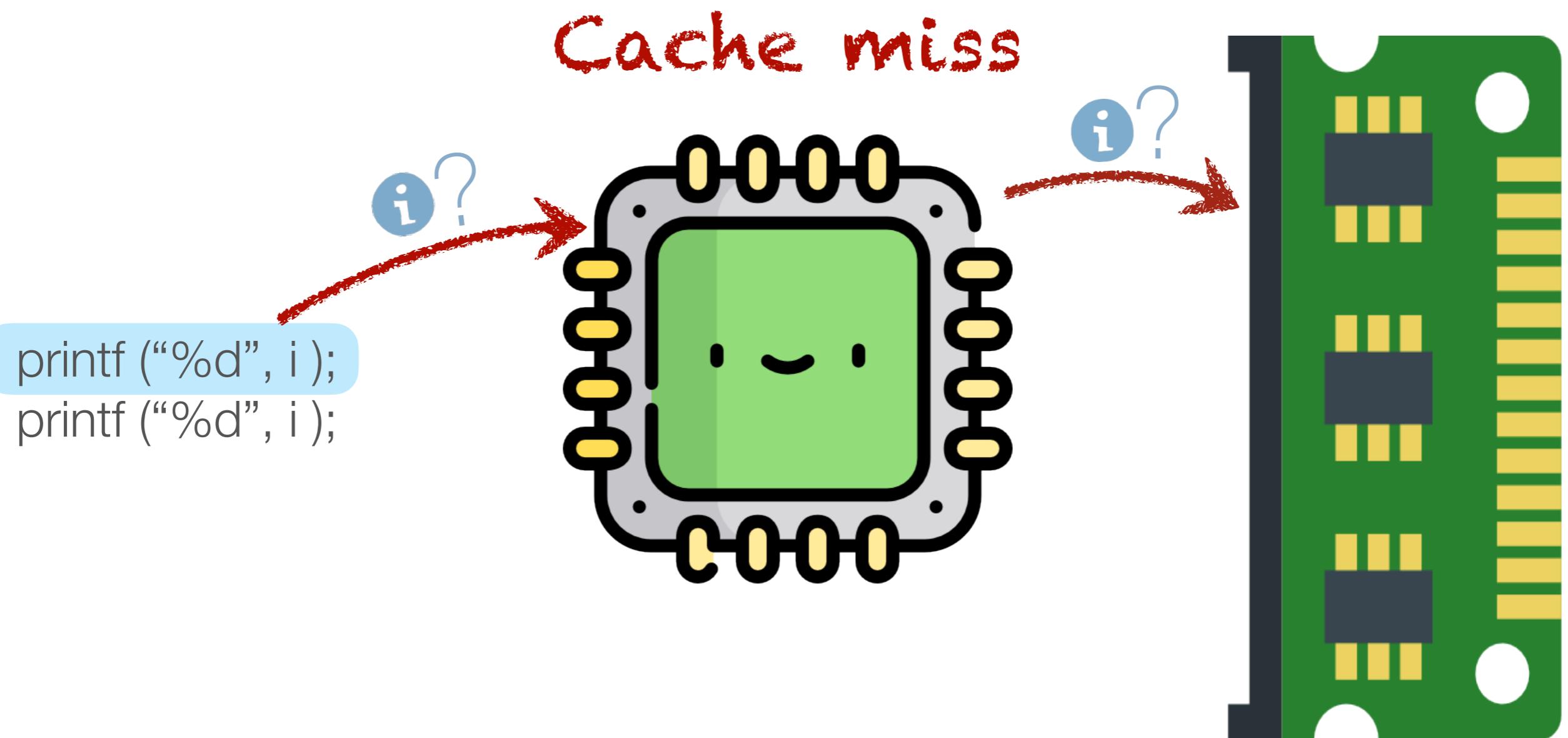
Caches to the rescue!



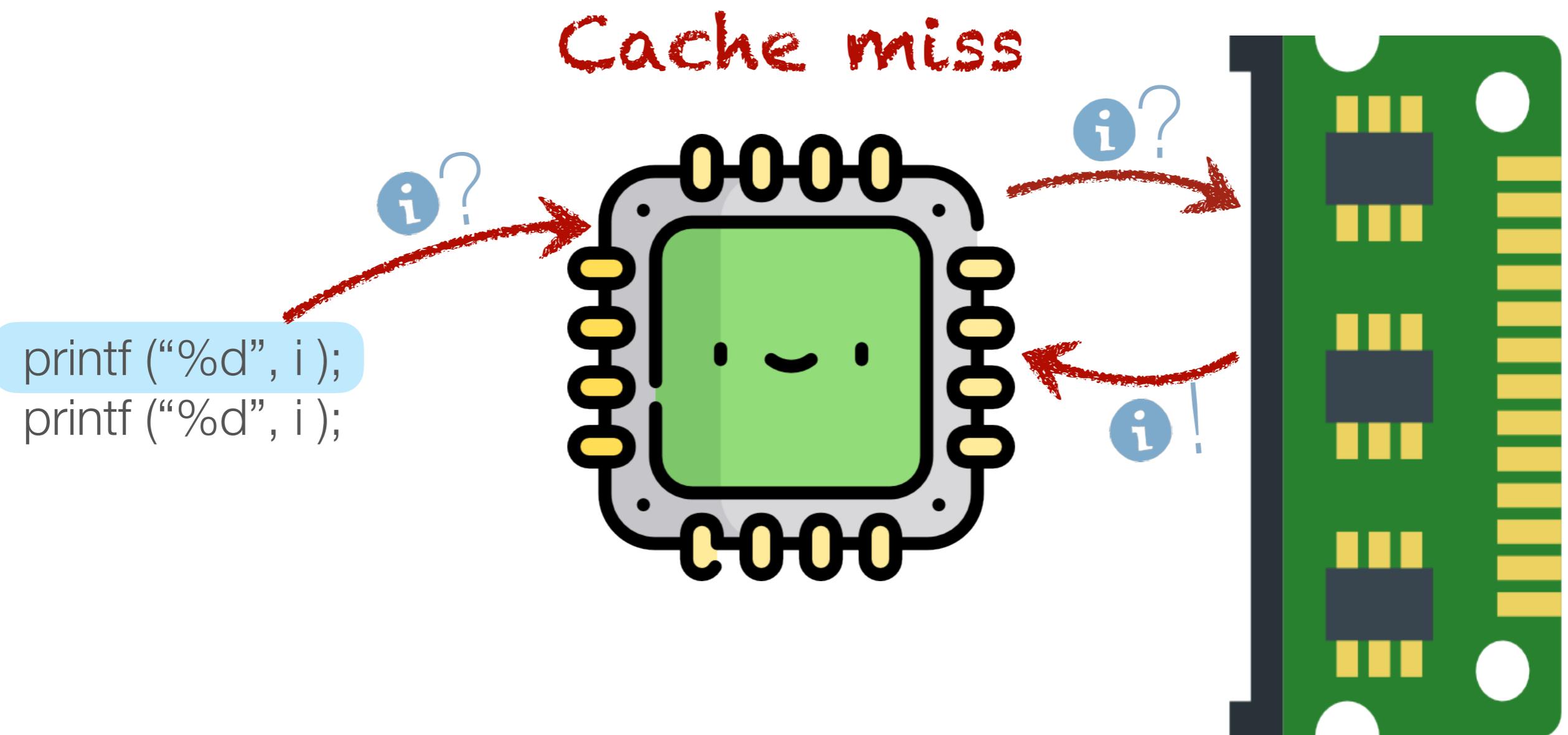
Caches to the rescue!



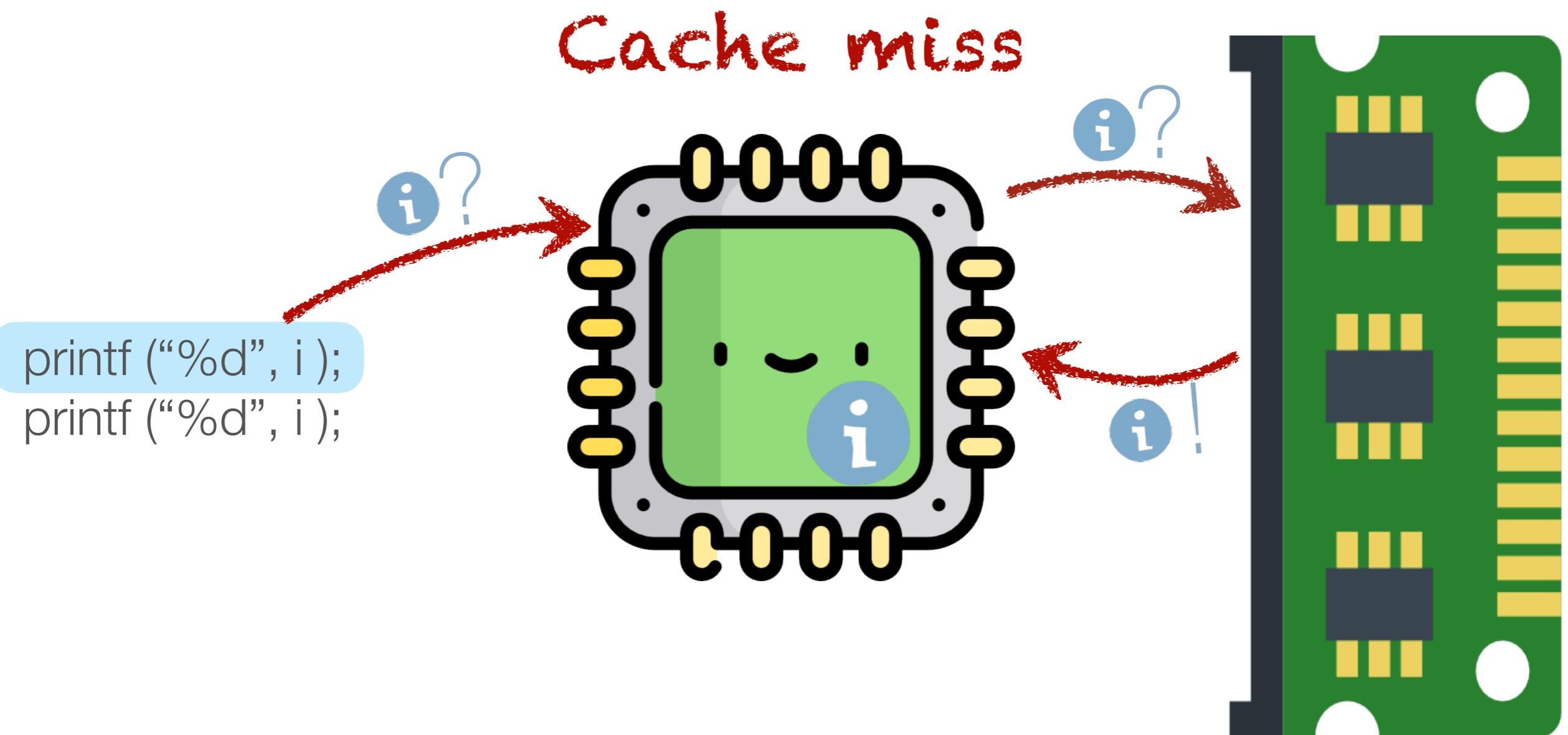
Caches to the rescue!



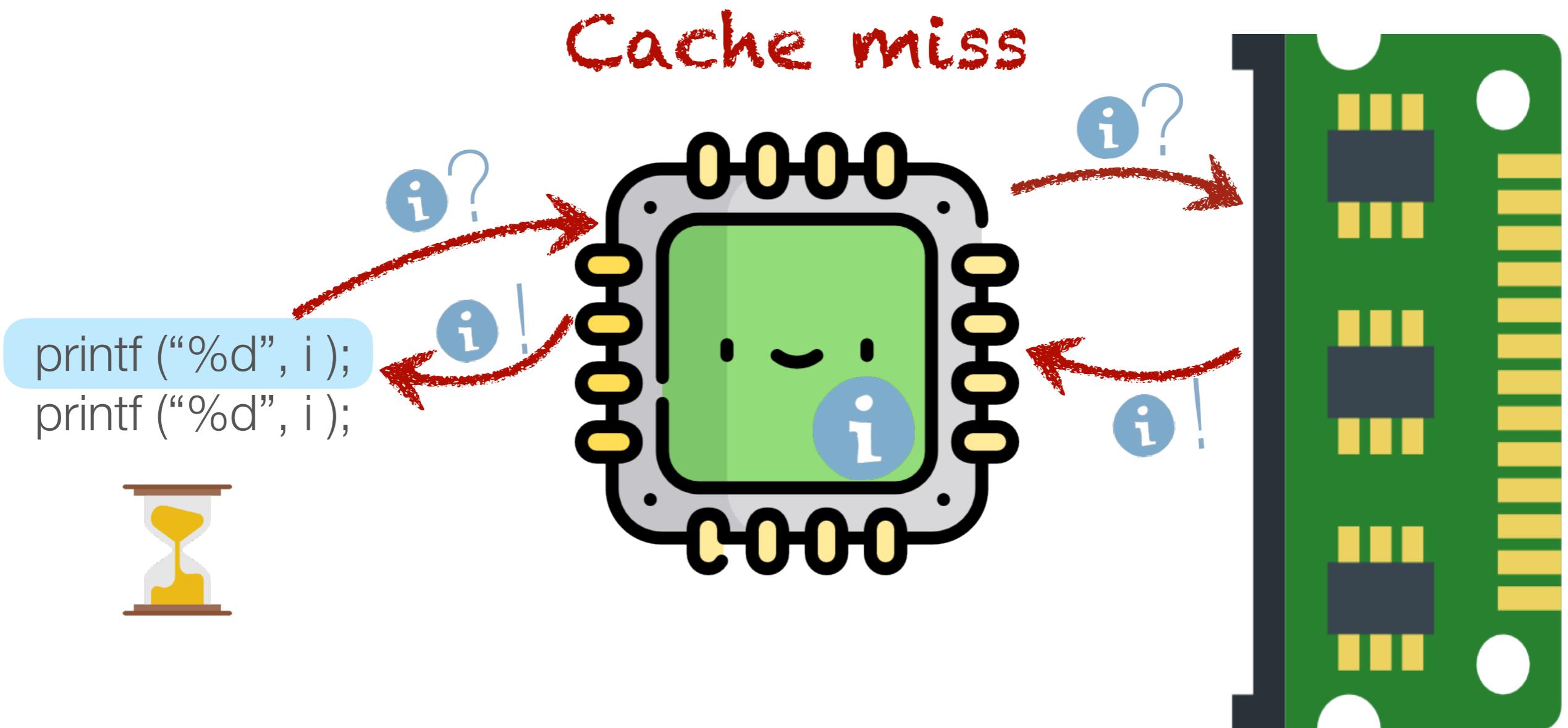
Caches to the rescue!



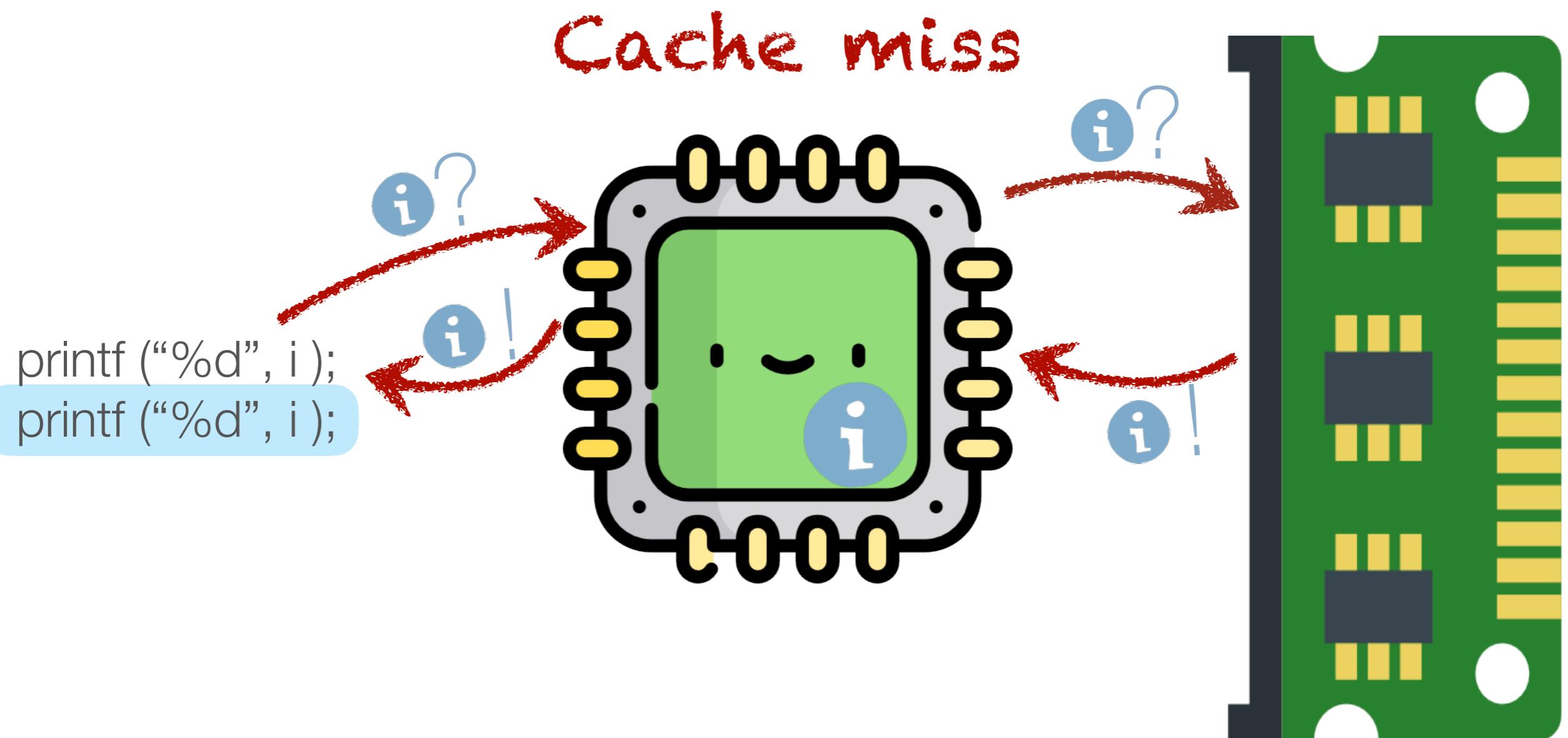
Caches to the rescue!



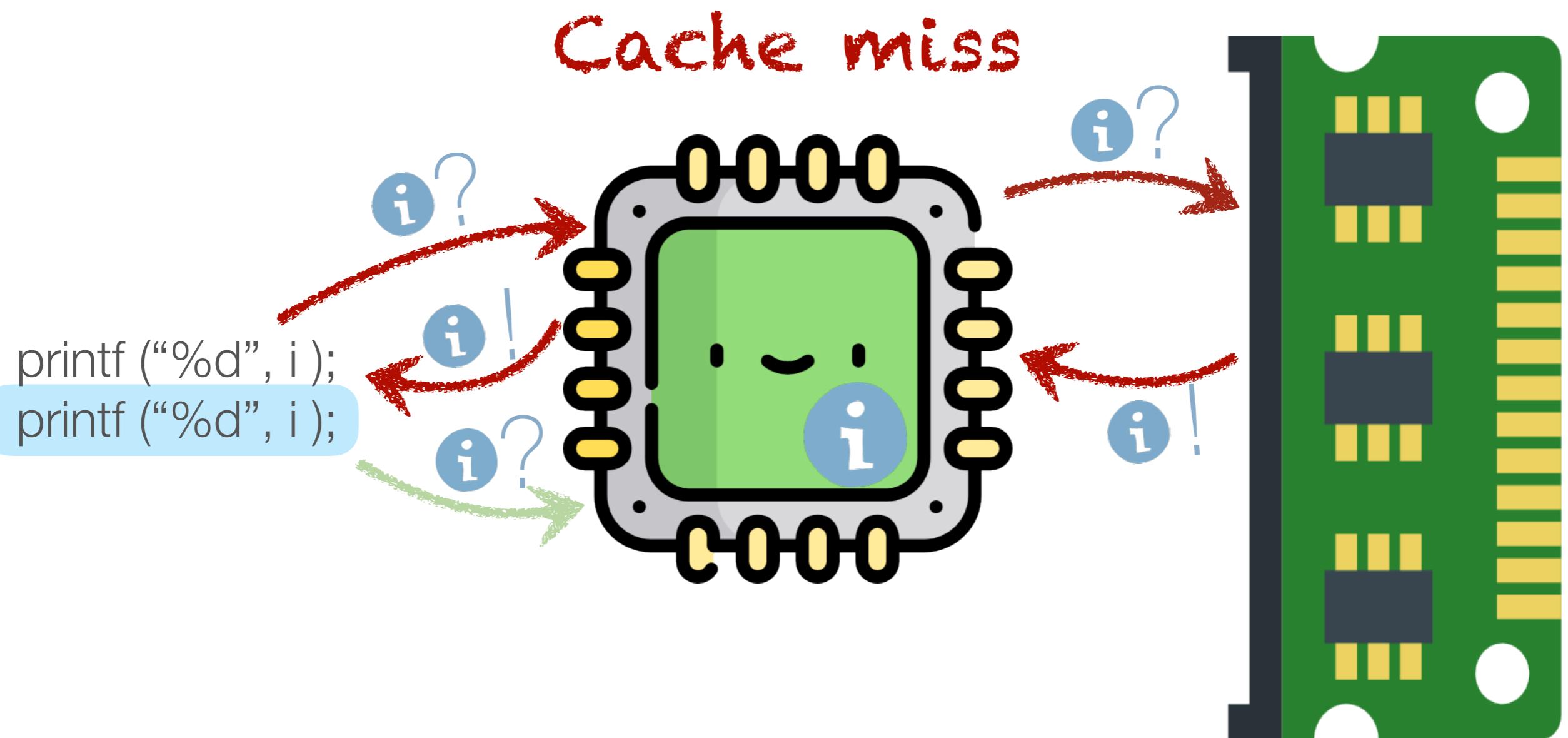
Caches to the rescue!



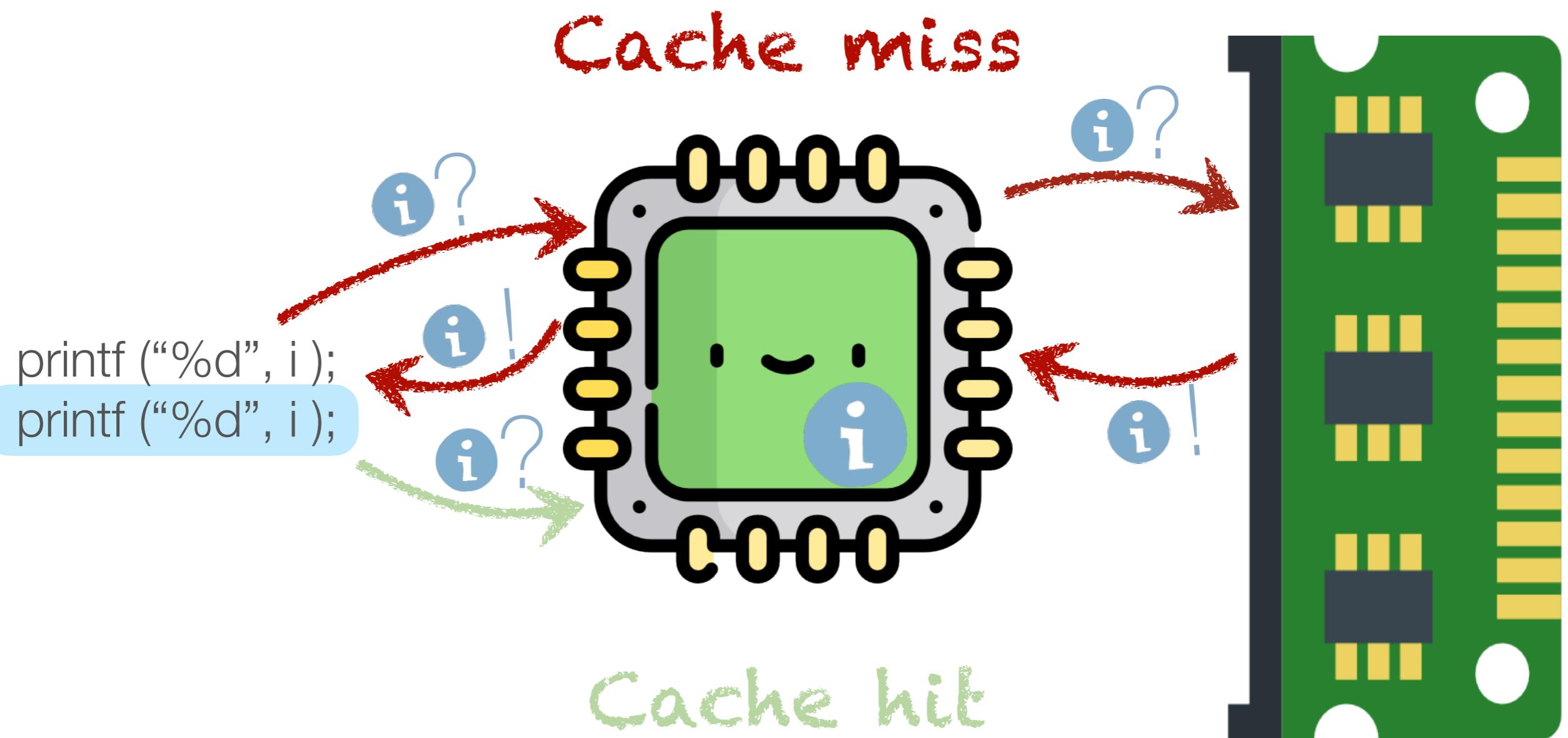
Caches to the rescue!



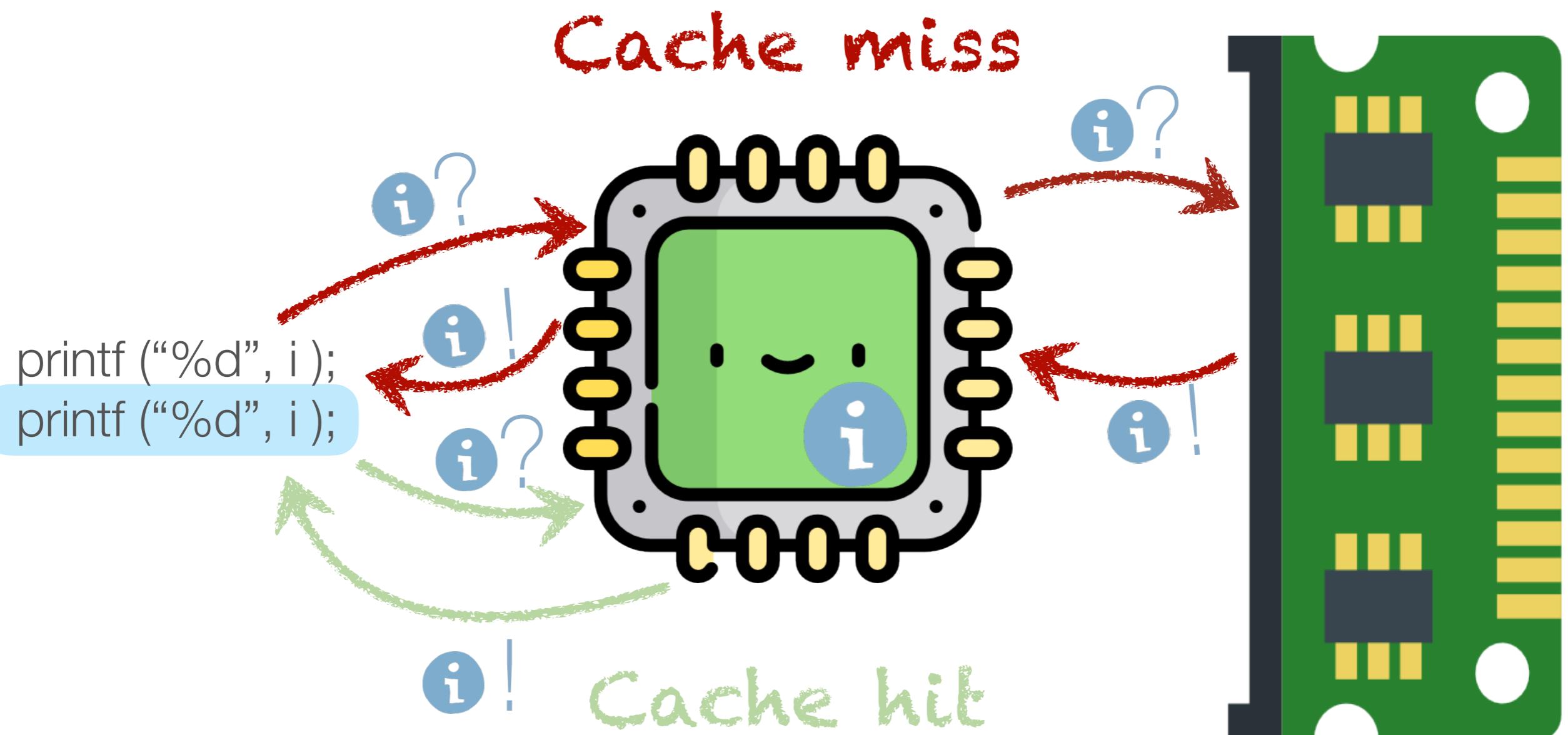
Caches to the rescue!



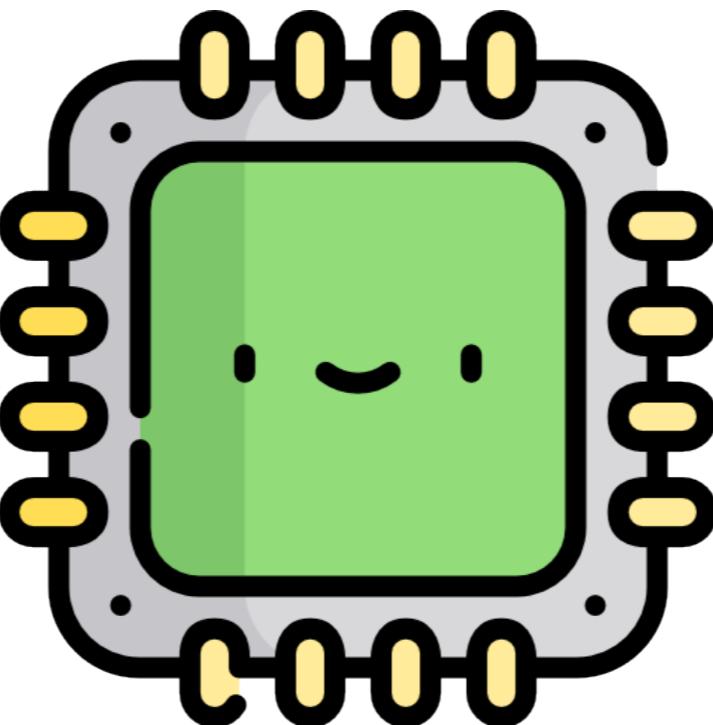
Caches to the rescue!



Caches to the rescue!

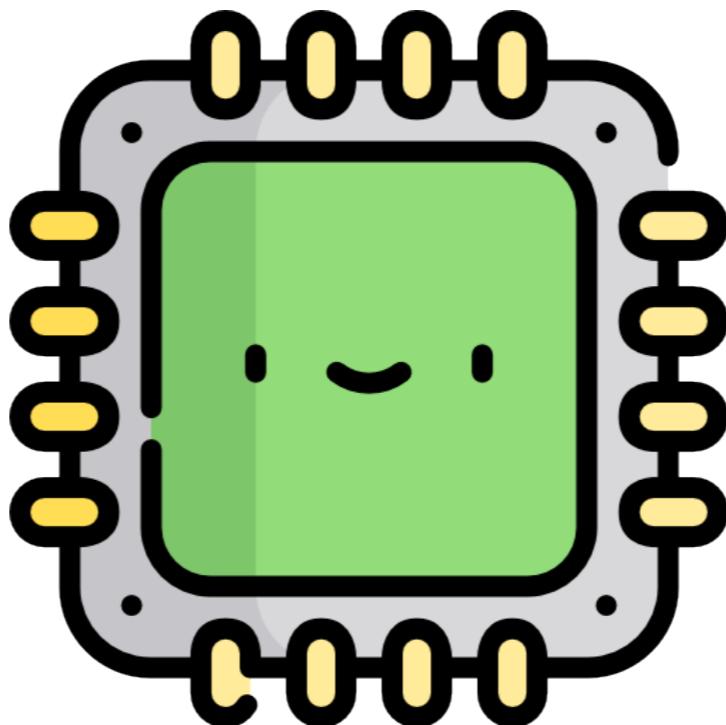


CPU Caches



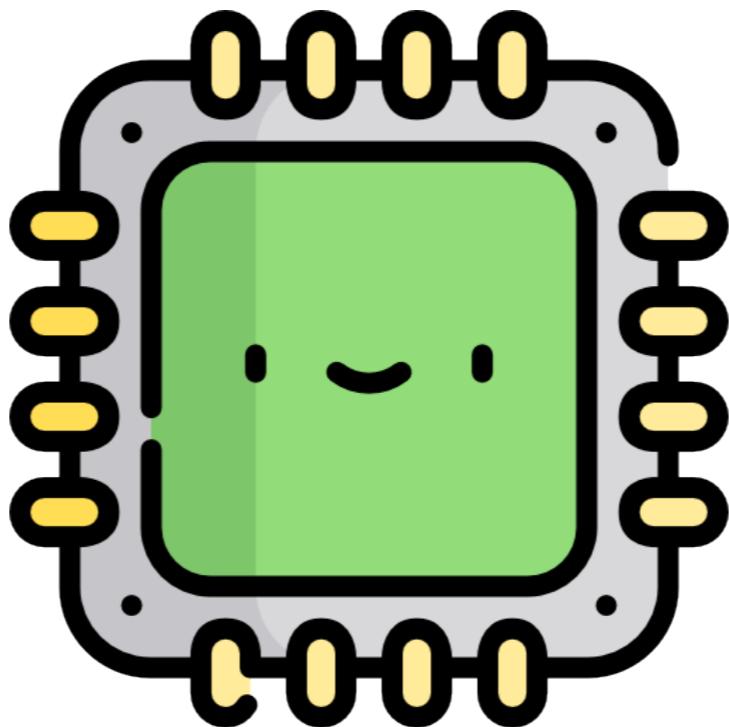
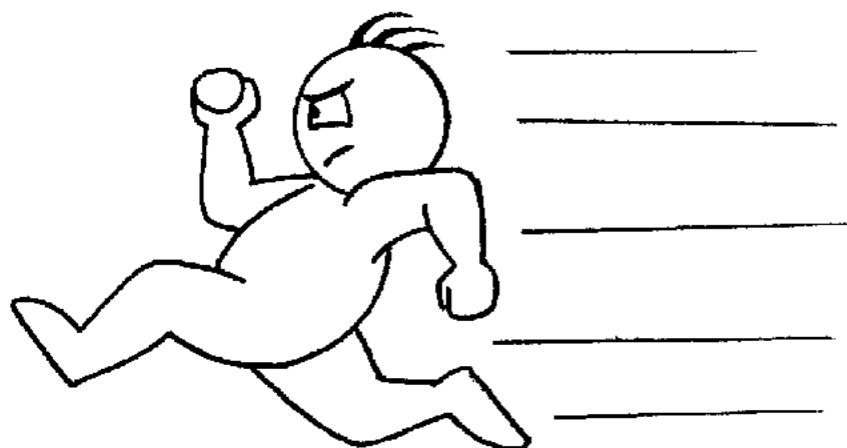
CPU Caches

Advantages



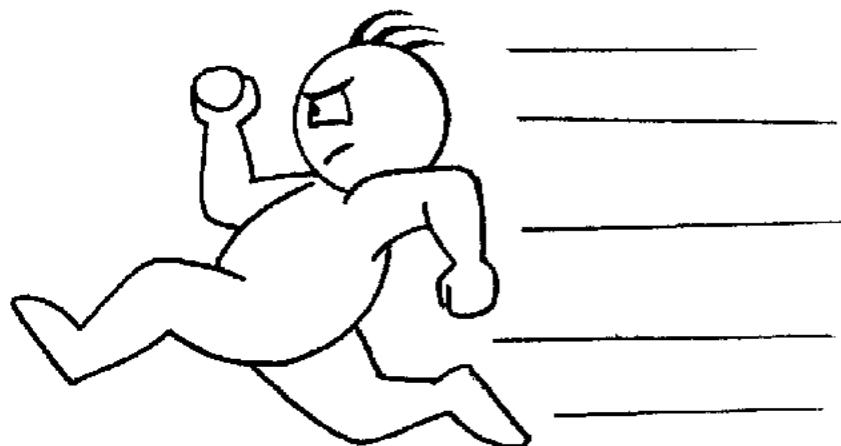
CPU Caches

Advantages

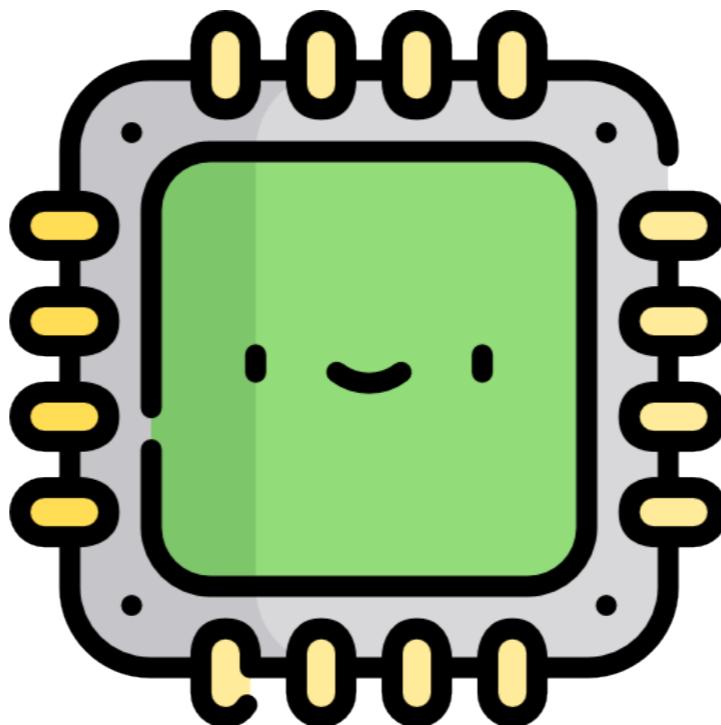


CPU Caches

Advantages

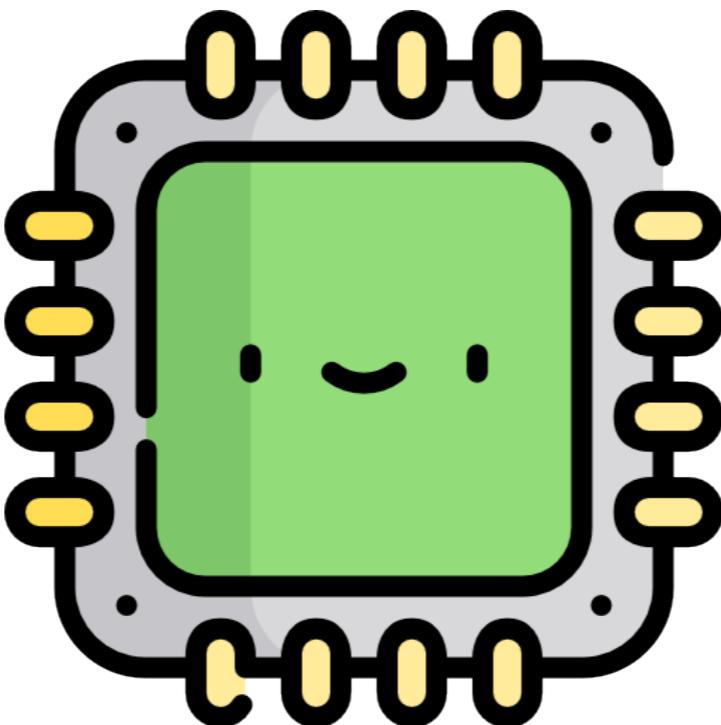
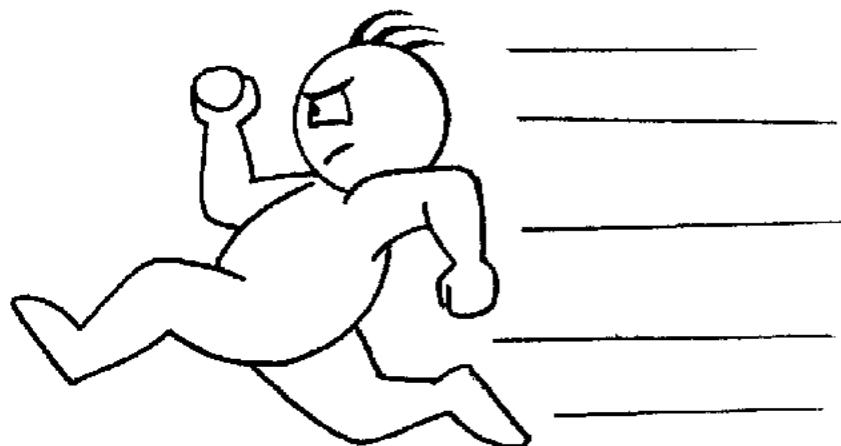


Drawbacks



CPU Caches

Advantages



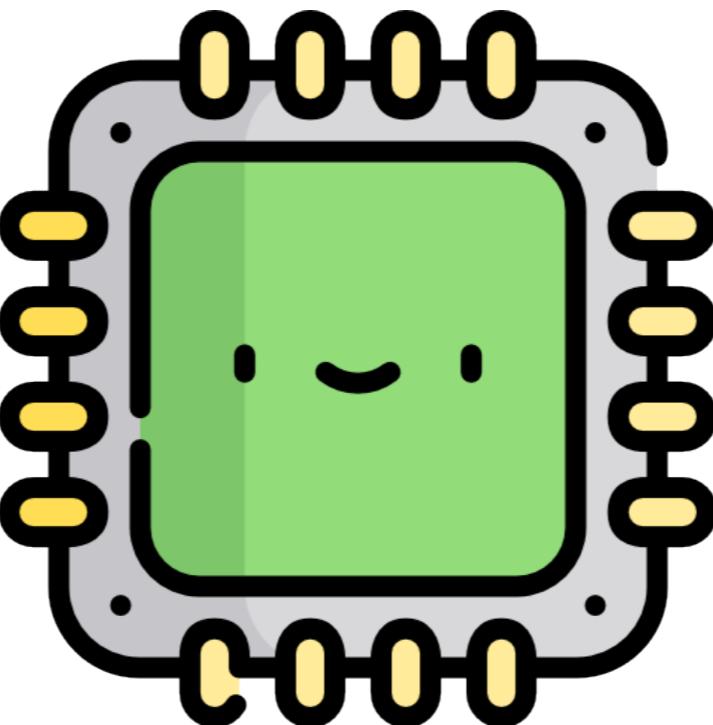
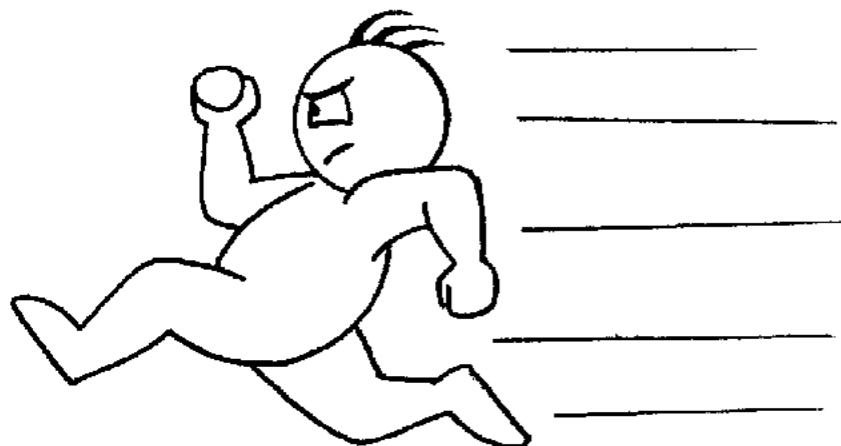
Drawbacks

- Cache hits and misses leak information



CPU Caches

Advantages

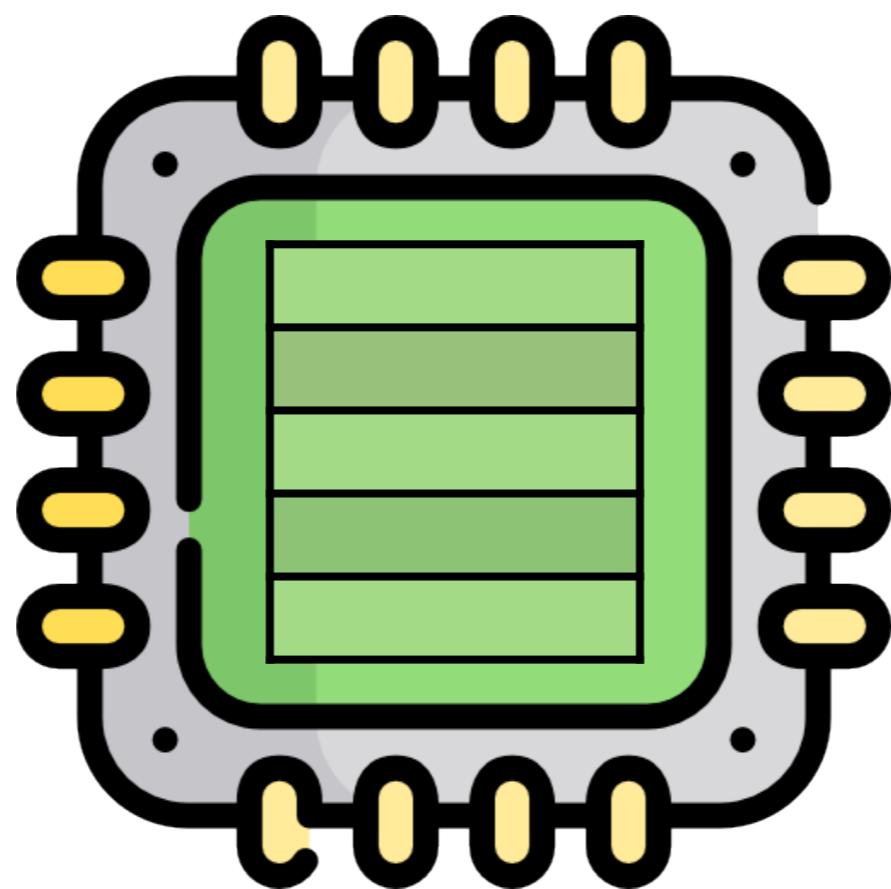


Drawbacks

- Cache hits and misses leak information
- Attacks
 - Prime+Probe
 - Flush+reload
 - ...

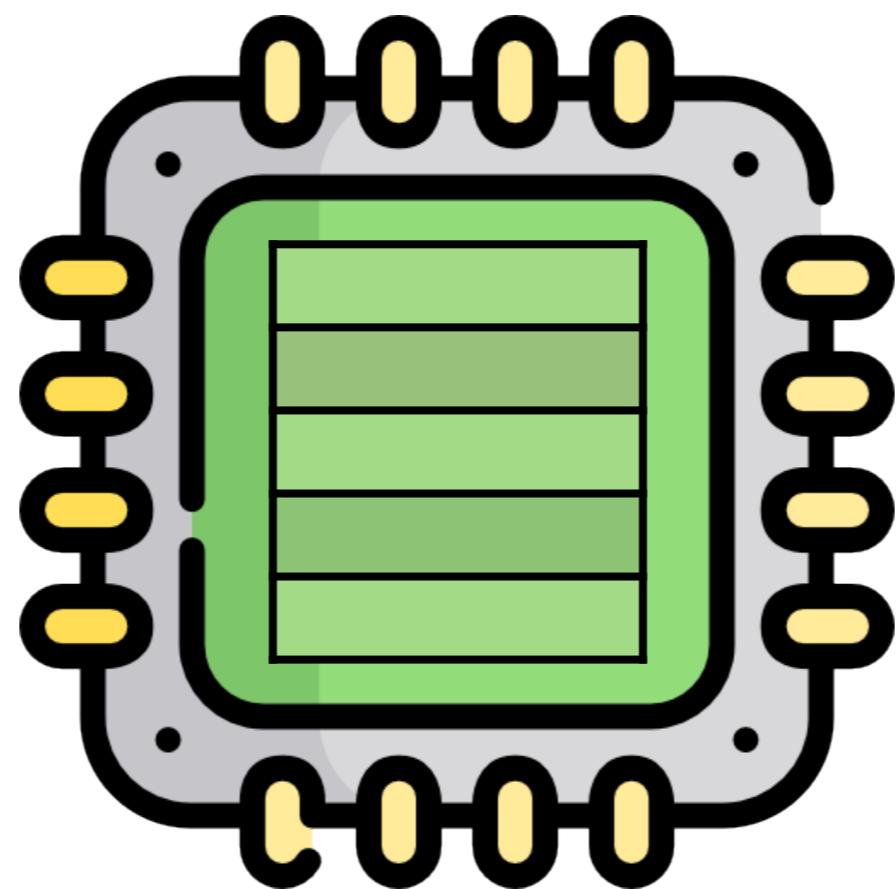


Prime + Probe



Prime + Probe

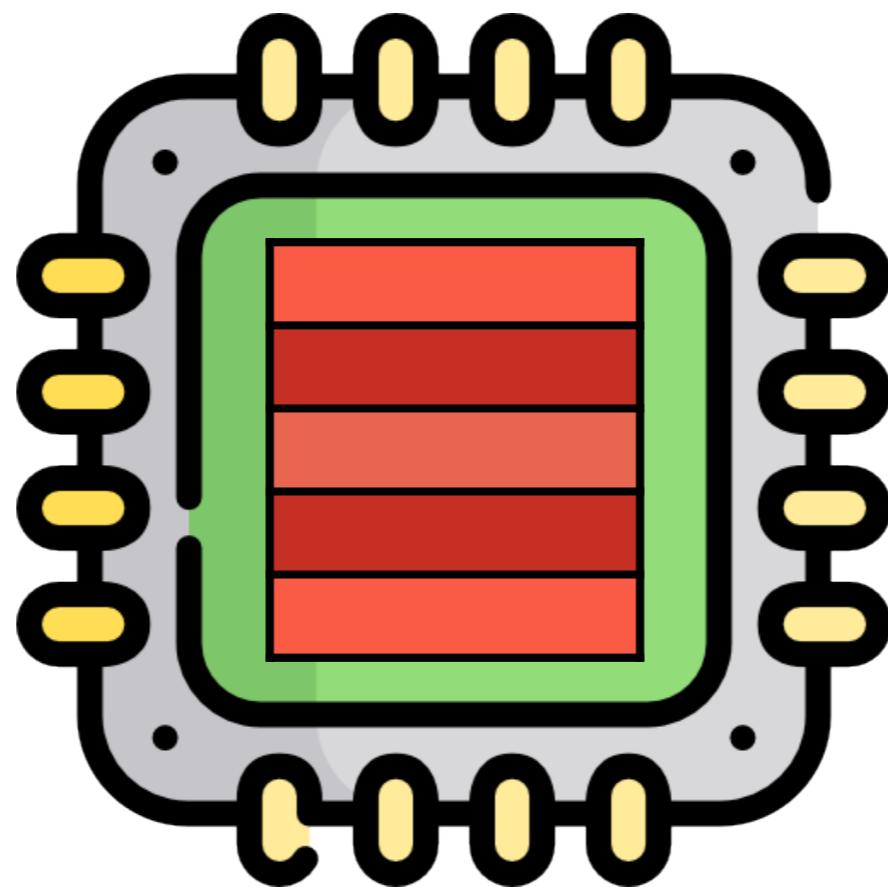
Prime



Prime + Probe

Prime

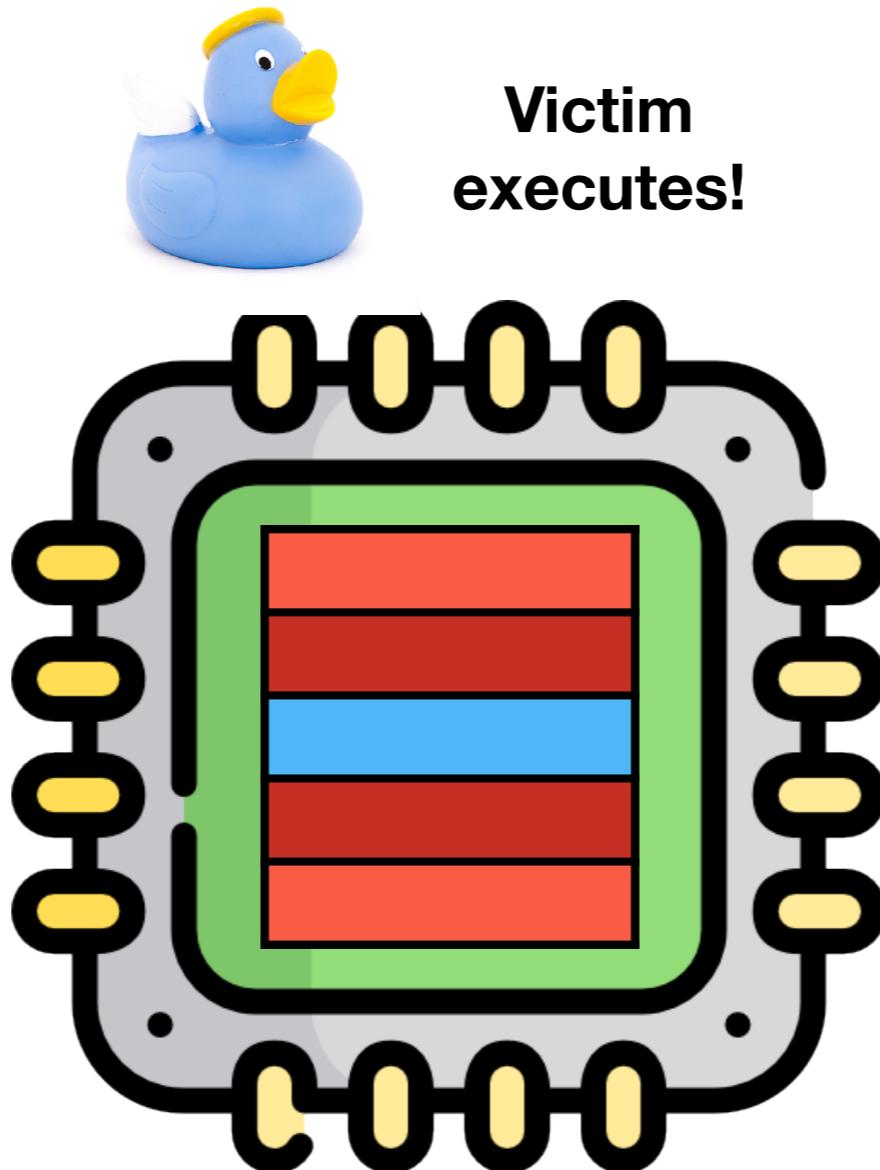
- Fill the cache with attacker's data



Prime + Probe

Prime

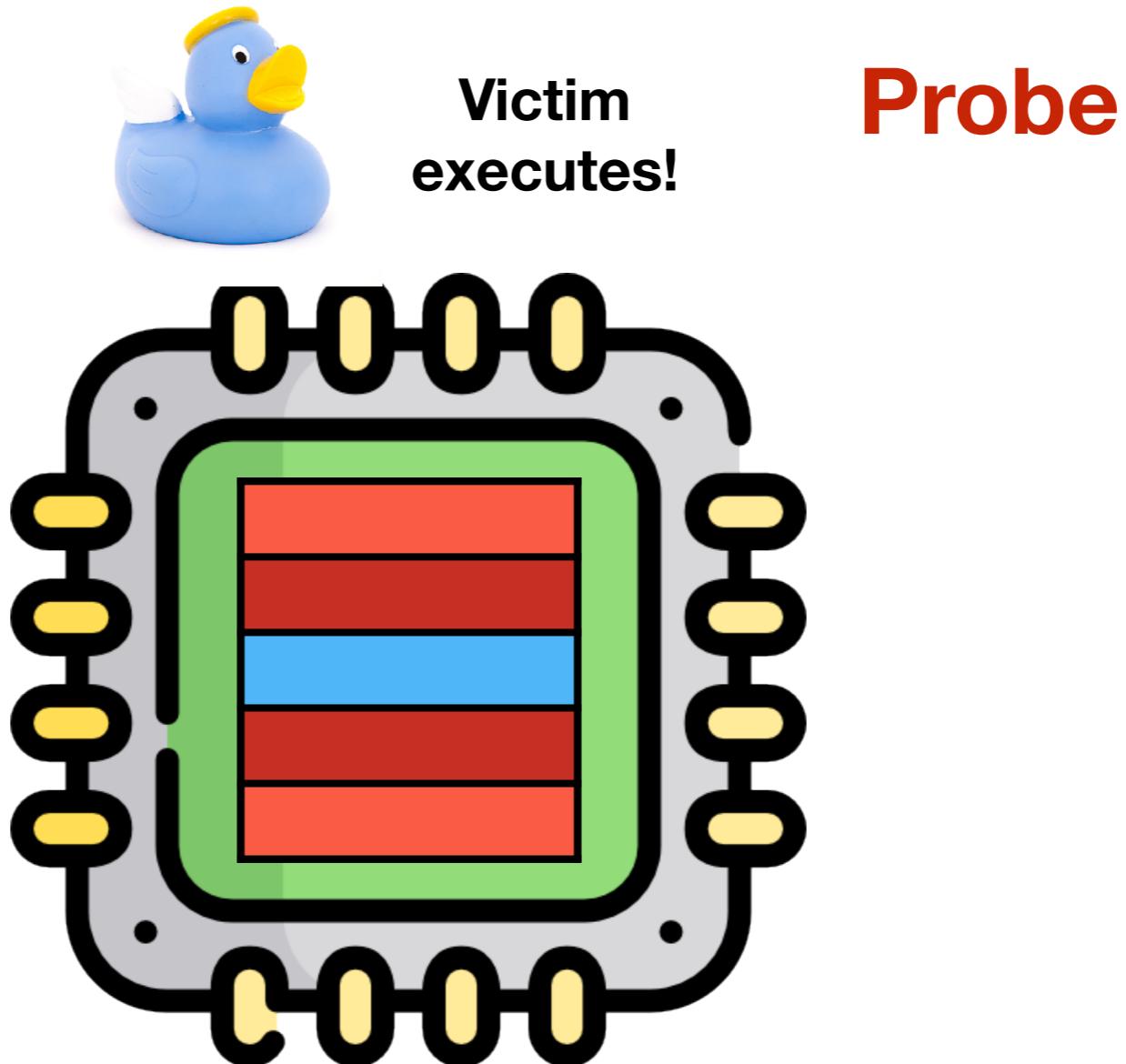
- Fill the cache with attacker's data



Prime + Probe

Prime

- Fill the cache with attacker's data

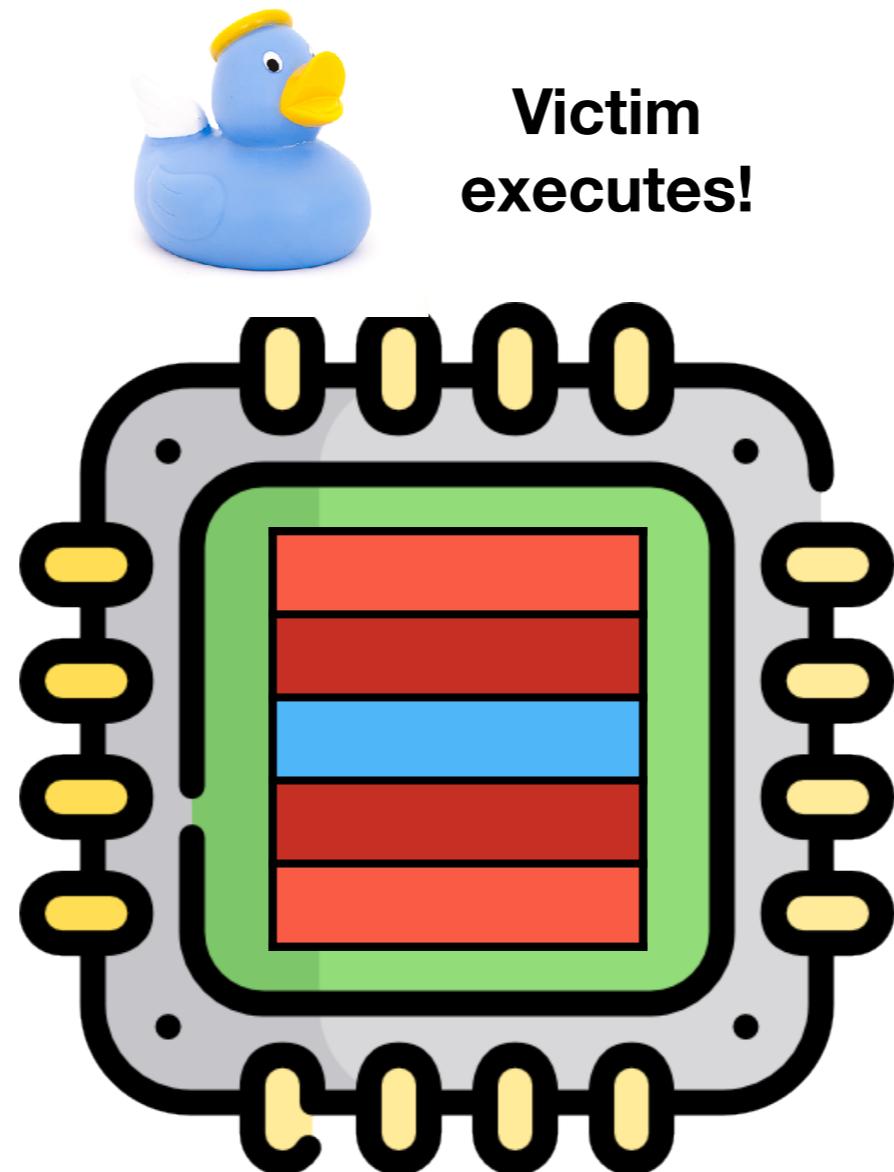


Probe

Prime + Probe

Prime

- Fill the cache with attacker's data



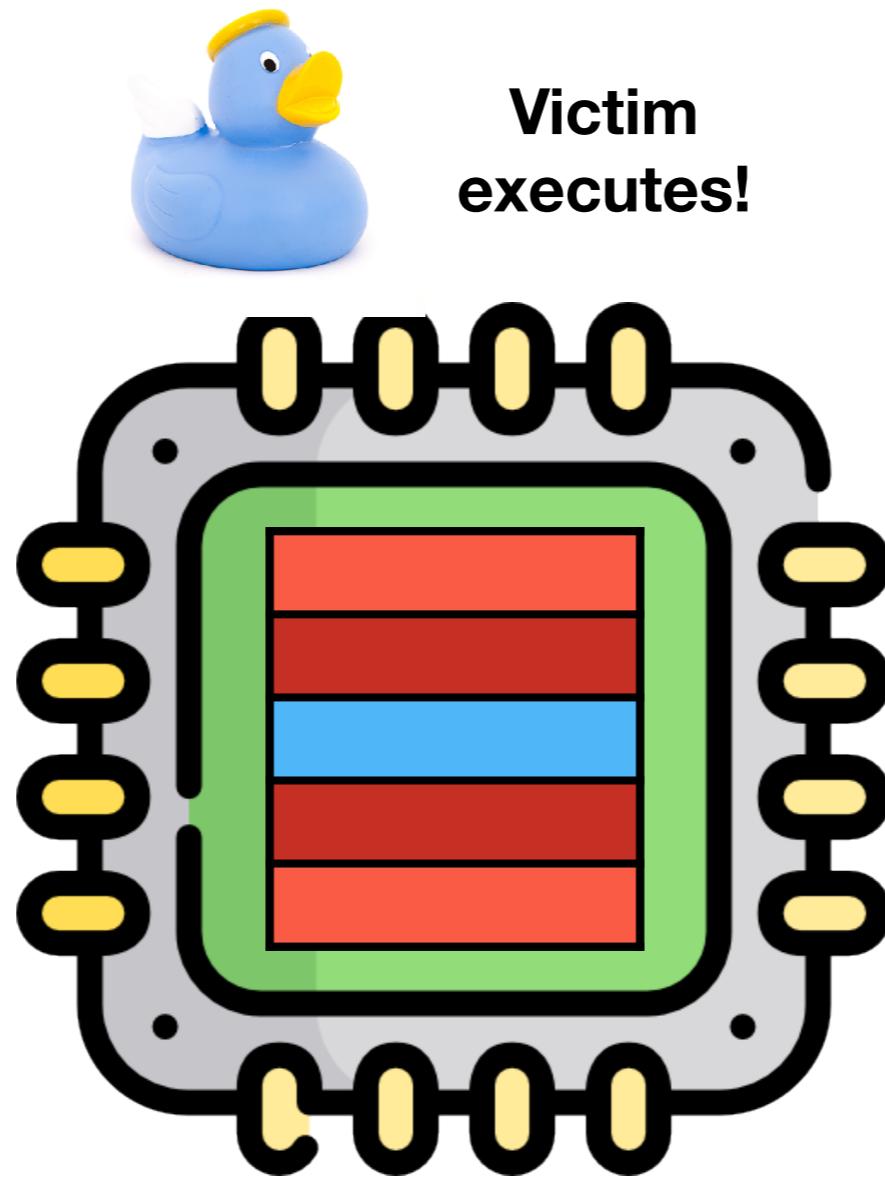
Probe

- Attacker accesses the data again

Prime + Probe

Prime

- Fill the cache with attacker's data



Probe

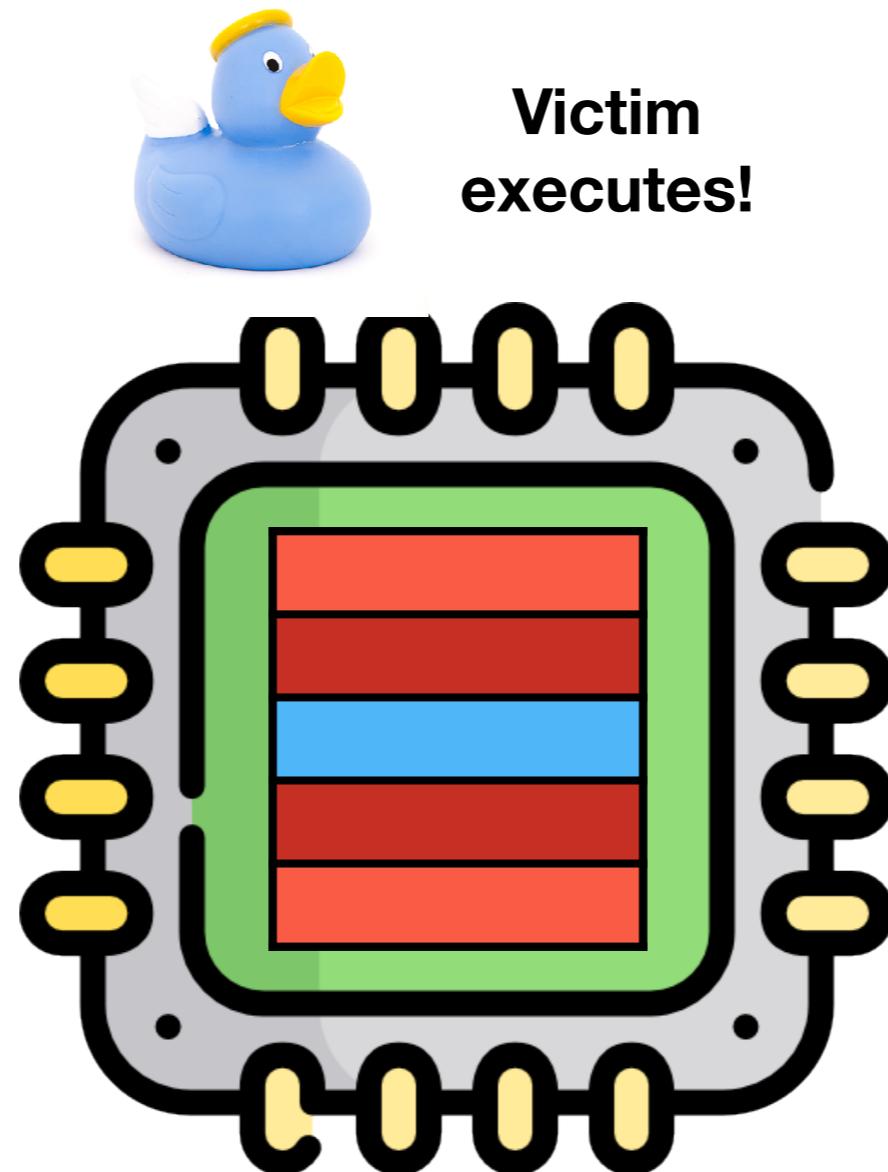
- Attacker accesses the data again
- Hits and misses indicate what has been access by program

Prime + Probe

Prime

- Fill the cache with attacker's data

Eviction set needed!



Probe

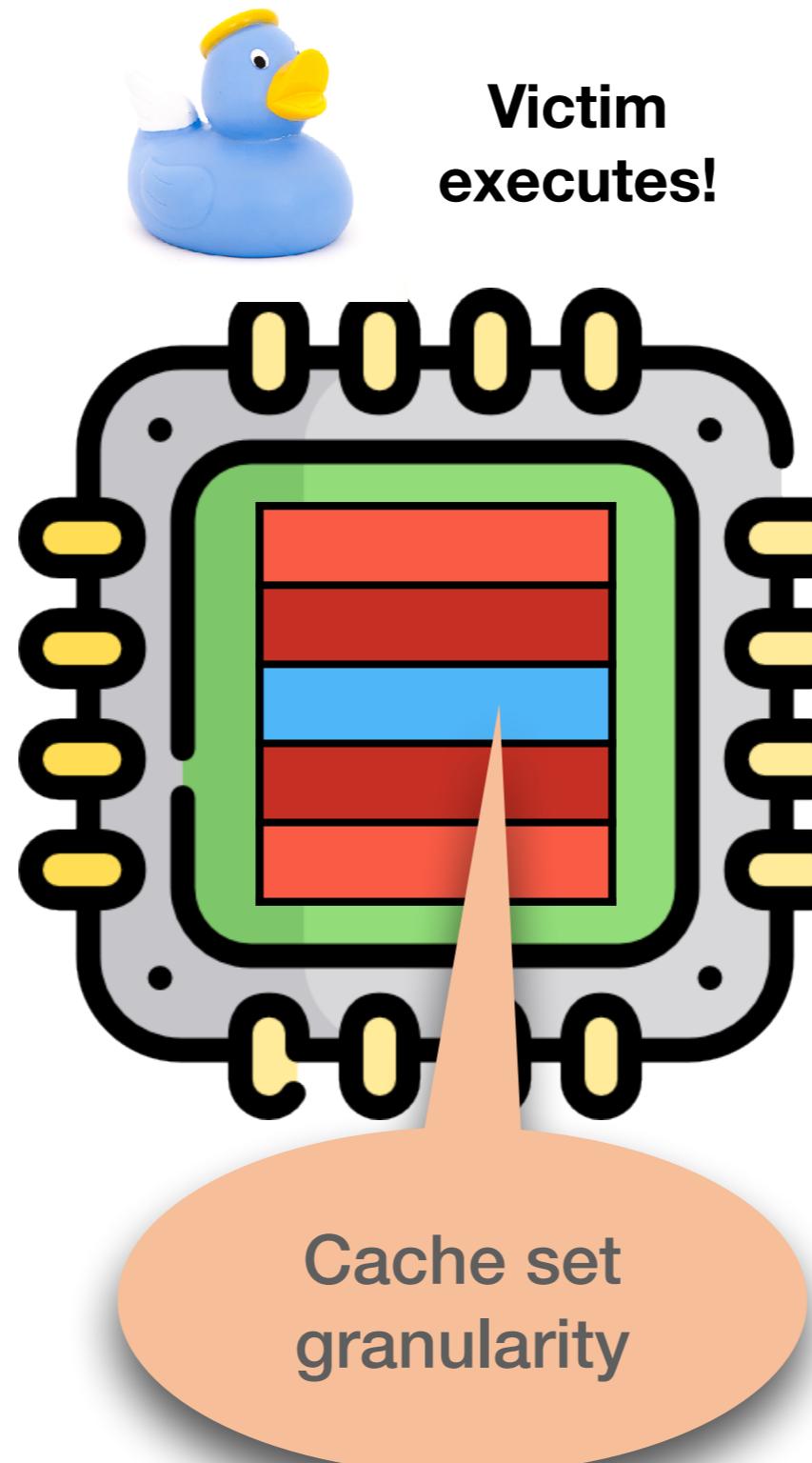
- Attacker accesses the data again
- Hits and misses indicate what has been access by program

Prime + Probe

Prime

- Fill the cache with attacker's data

Eviction set needed!



Probe

- Attacker accesses the data again
- Hits and misses indicate what has been access by program

Cache set granularity

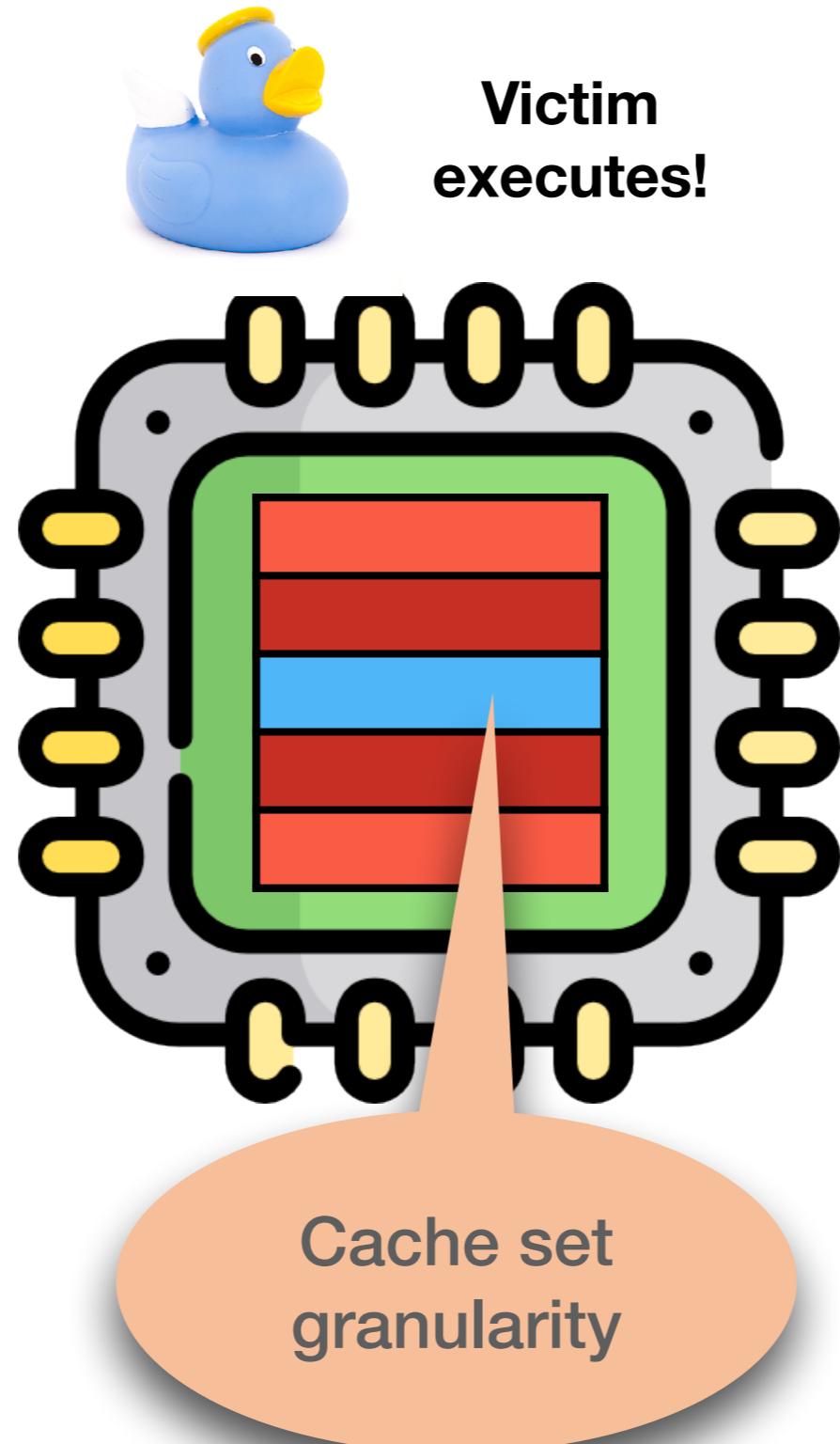
Prime + Probe

No privileges
needed

Prime

- Fill the cache with attacker's data

Eviction set
needed!



Probe

- Attacker accesses the data again
- Hits and misses indicate what has been access by program

Cache set
granularity

Instruction pipelining

Instruction pipelining

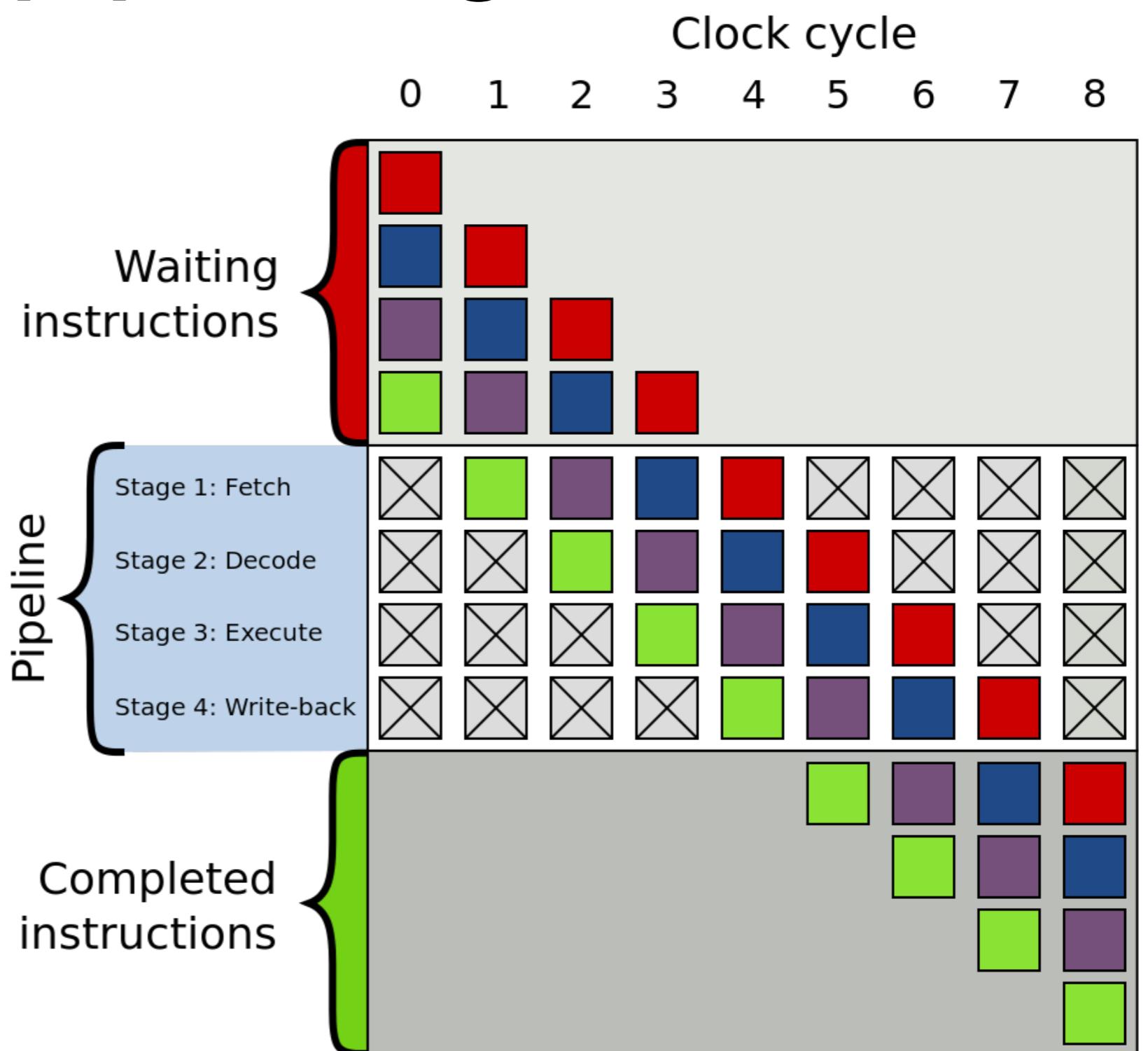


Figure from https://en.wikipedia.org/wiki/Instruction_pipelining

Instruction pipelining

- Instruction-level parallelism on single processors

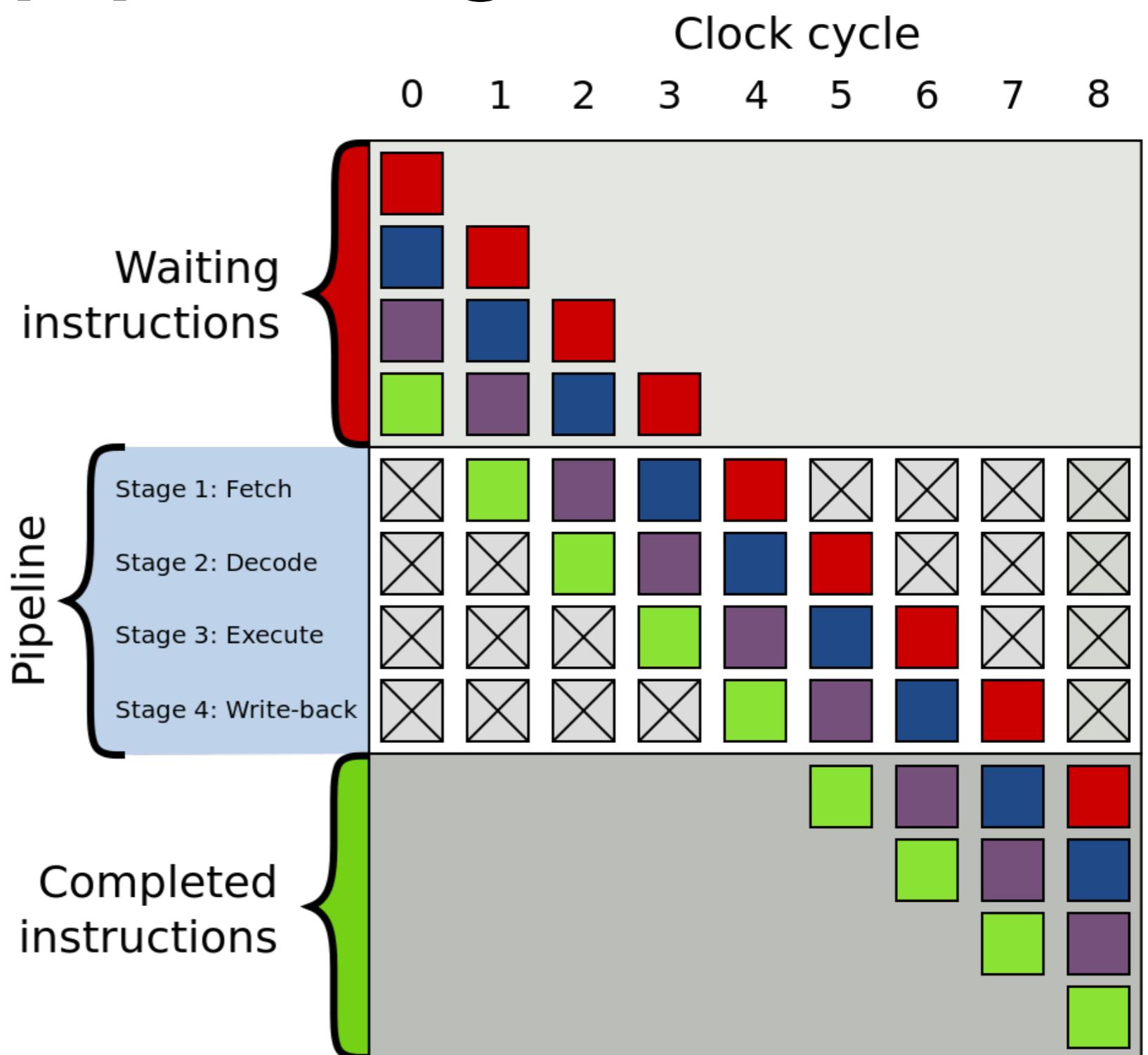


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Instruction pipelining

- Instruction-level parallelism on single processors
- Each instruction is executed in steps

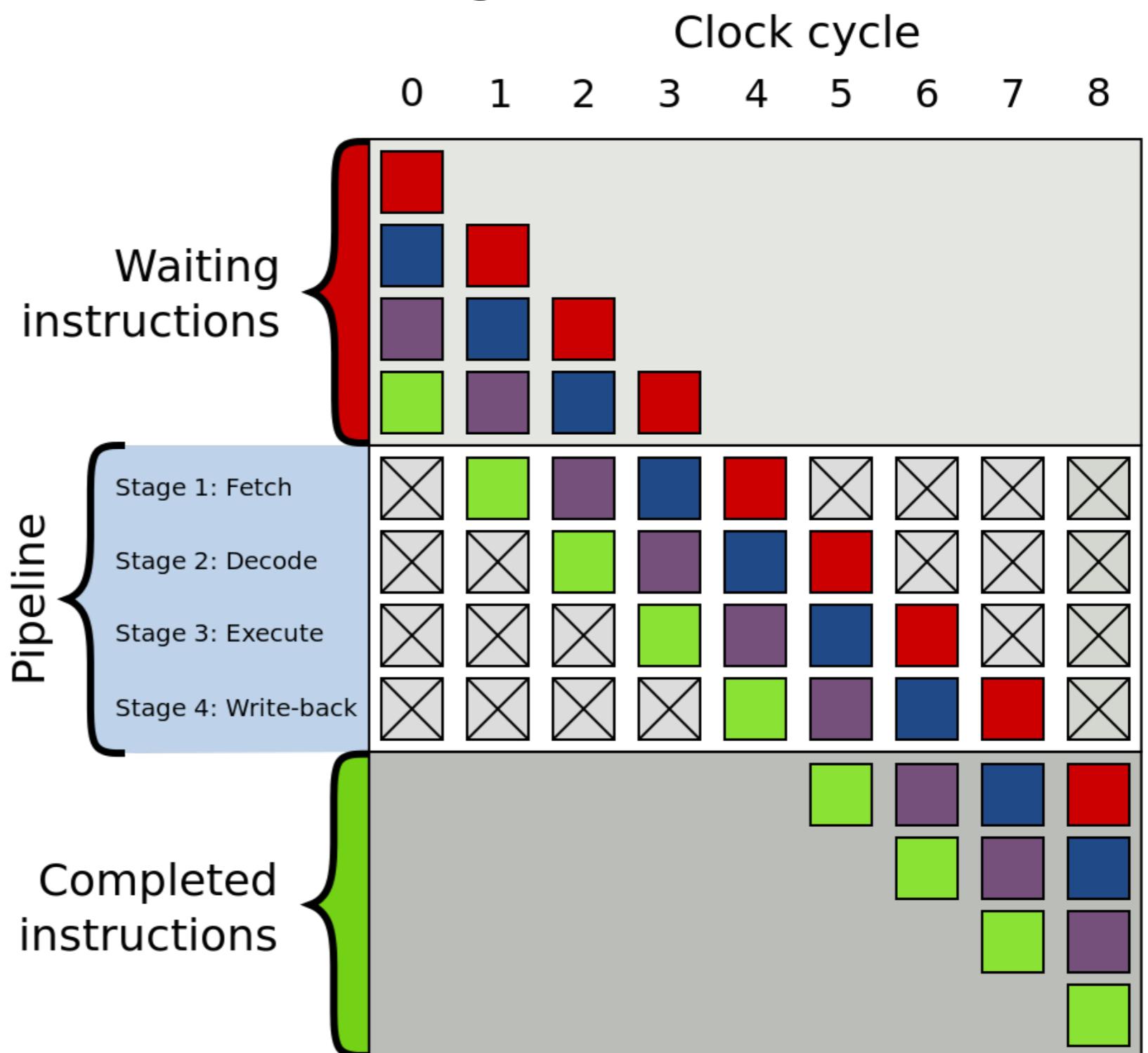


Figure from https://en.wikipedia.org/wiki/Instruction_pipelining

Instruction pipelining

- Instruction-level parallelism on single processors
- Each instruction is executed in steps
- Execute multiple instructions at the same time (in different steps)

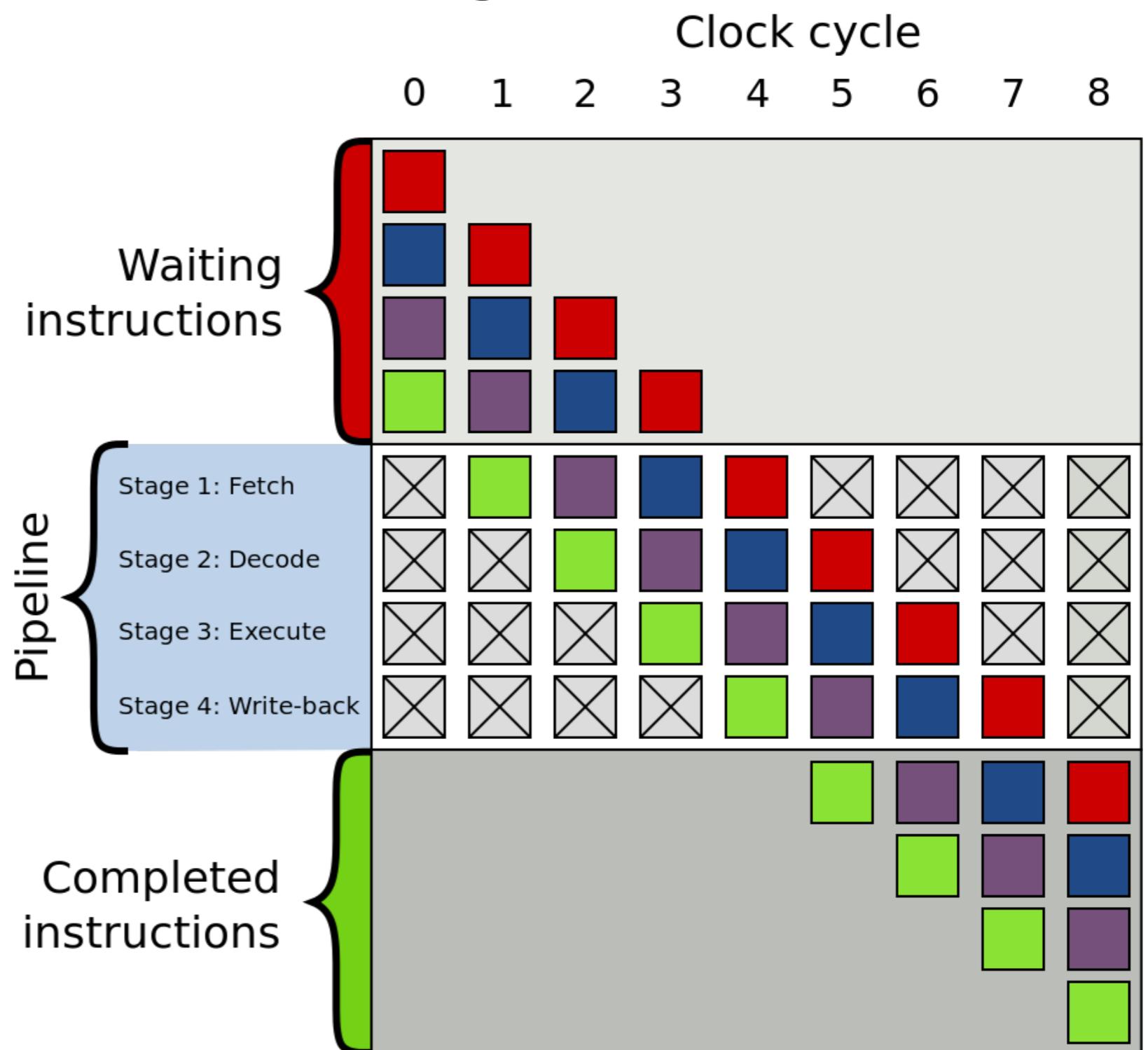


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Instruction pipelining

Clock cycle
8

Dependencies
between instructions
stall the pipeline



Instruction pipelining

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```
if( x > y )
    branch1
else
    branch2
```

Instruction pipelining

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```
if( x > y )  
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else  
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```



Instruction pipelining



Speculative execution

Speculative execution

**SAFETY
FIRST**

**THINK
BEFORE YOU ACT**

Speculative execution



Speculative execution



Speculative execution



Speculative execution

- Optimization technique



Speculative execution

- Optimization technique
- Instead of waiting for operations to complete, speculate on their results (i.e., guess) and continue the execution



Speculative execution

- Optimization technique
- Instead of waiting for operations to complete, speculate on their results (i.e., guess) and continue the execution
- When the results are available, check if the guess was correct. If not, abort the speculative execution and discard changes



Speculative execution

A meme featuring Woody and Buzz Lightyear from Toy Story. Woody is on the left, looking concerned with his hands on his hips. Buzz is on the right, looking excited with his arms raised and purple energy spheres on his fingers. The background is a dark space-themed setting.

SPECULATION

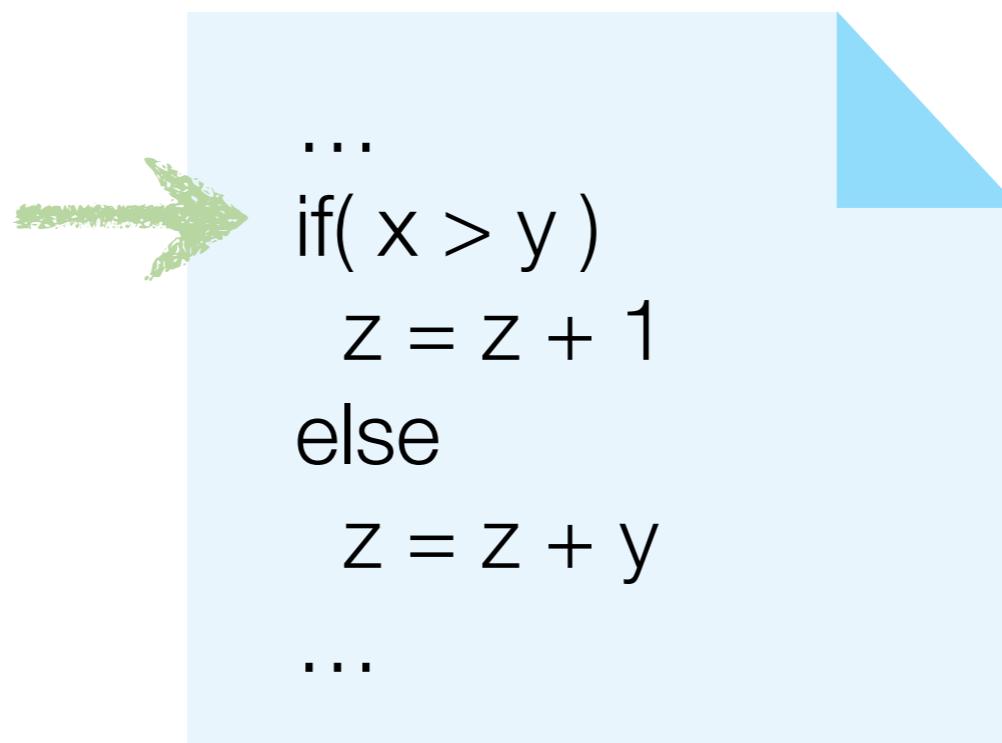
SPECULATION EVERYWHERE

Branching speculatively

```
...  
if( x > y )  
    z = z + 1  
else  
    z = z + y  
...
```

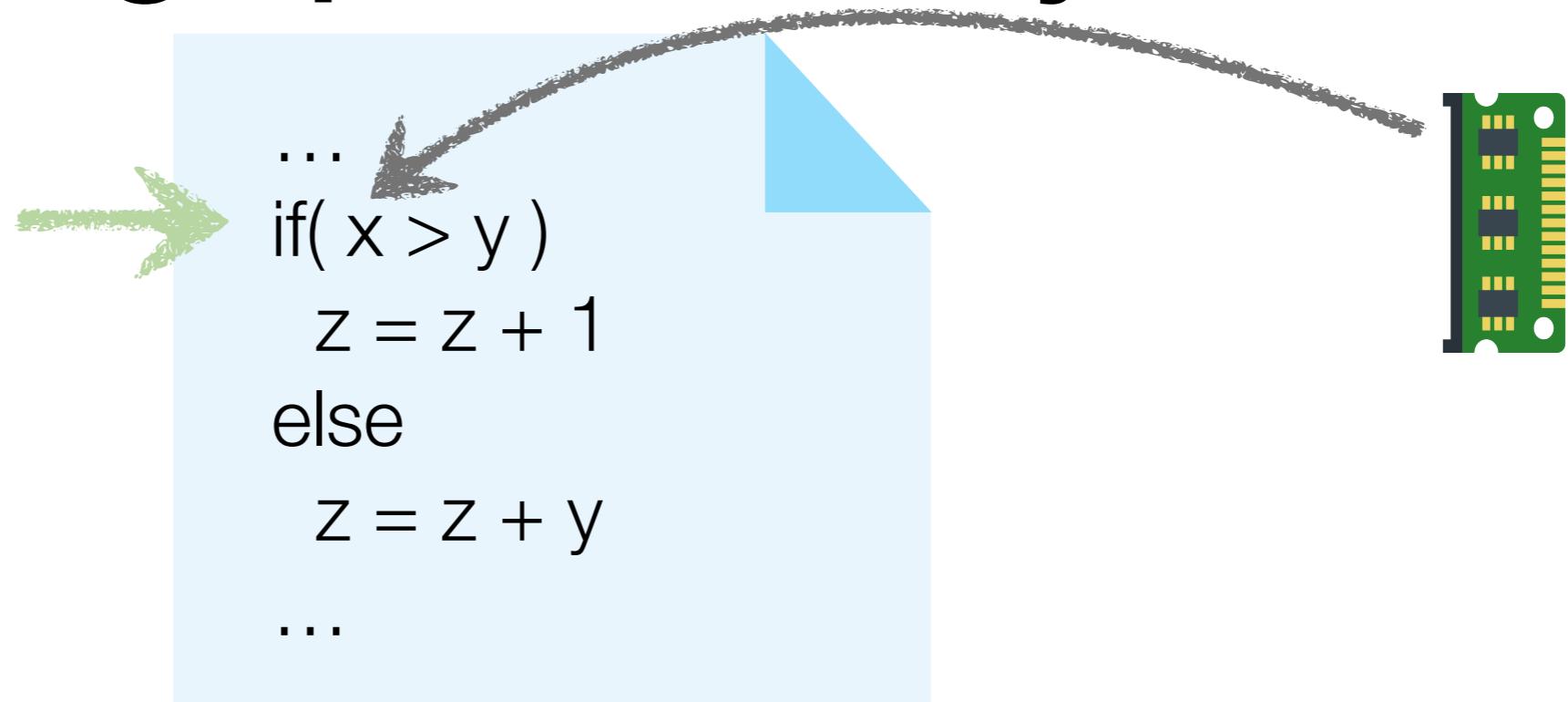
X	10	Y	20	Z	20
---	----	---	----	---	----

Branching speculatively



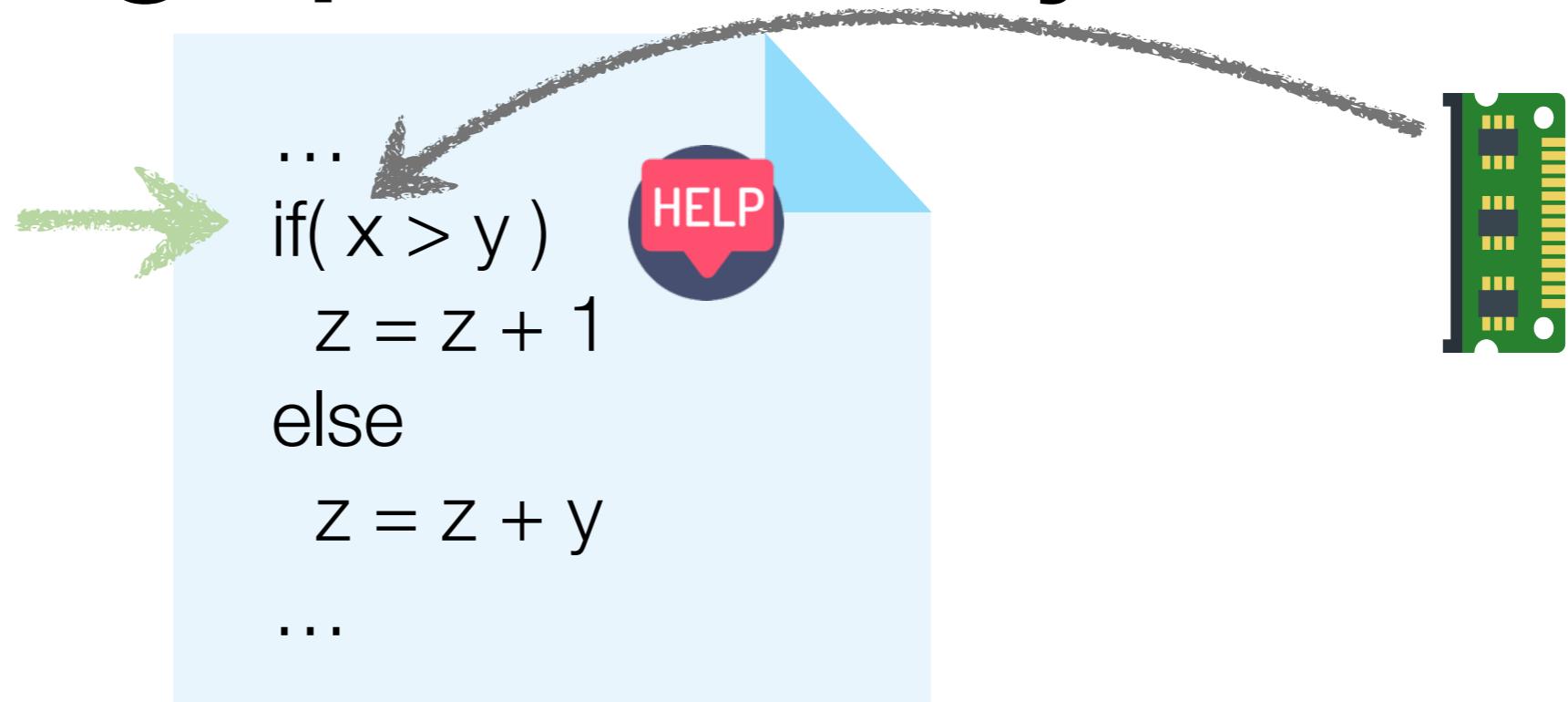
X	10	Y	20	Z	20
---	----	---	----	---	----

Branching speculatively



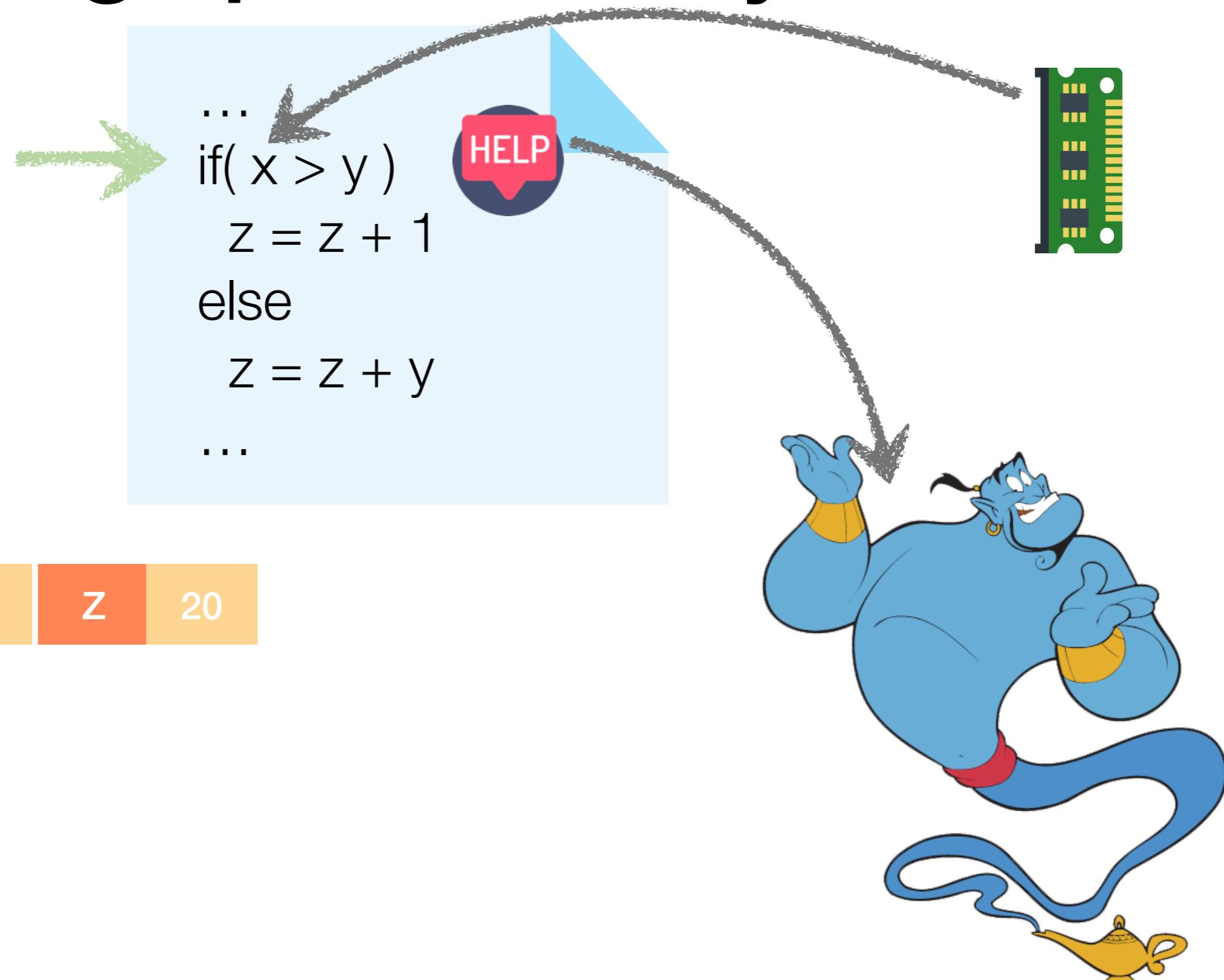
X	10	Y	20	Z	20
---	----	---	----	---	----

Branching speculatively



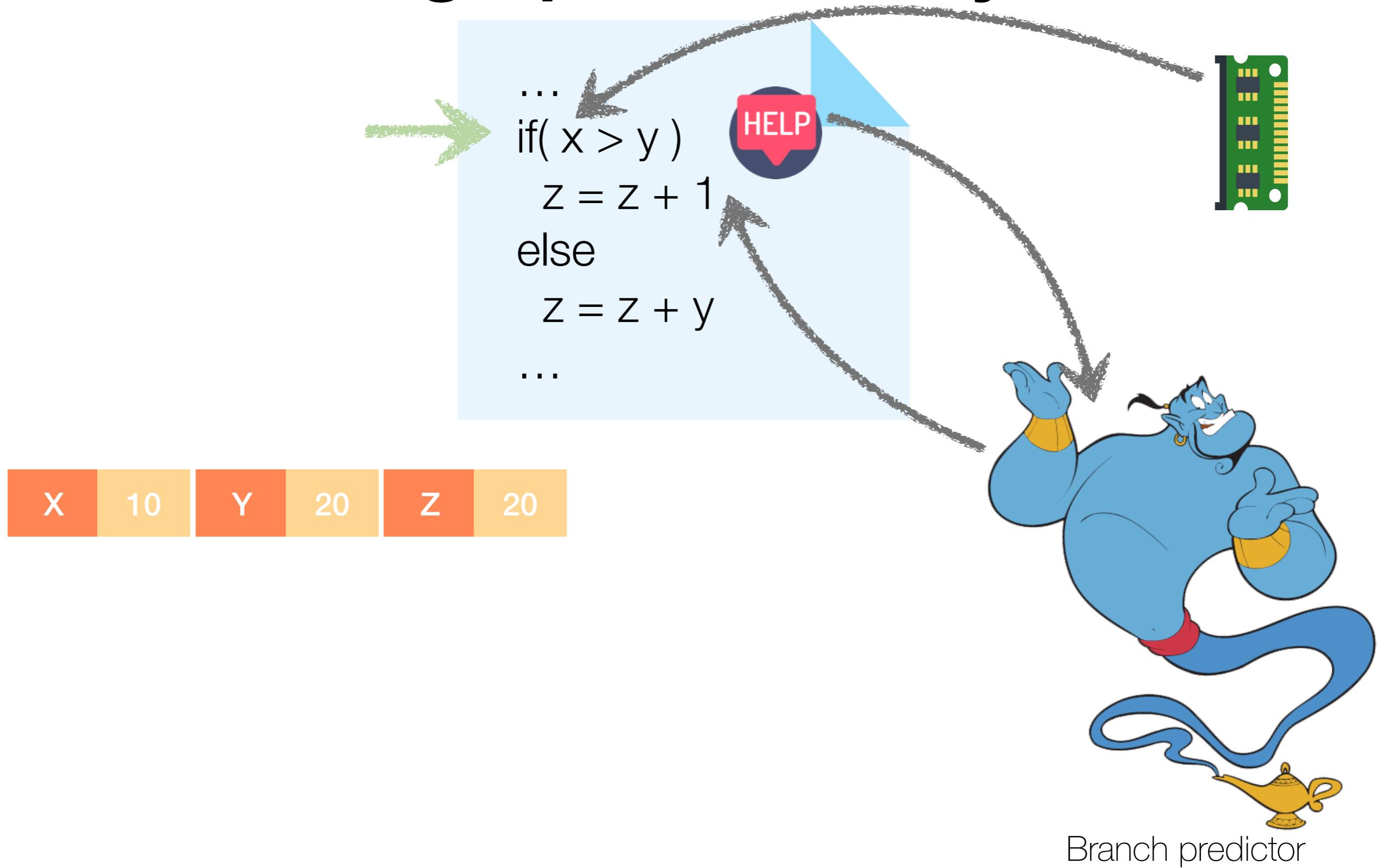
X	10	Y	20	Z	20
---	----	---	----	---	----

Branching speculatively



Branch predictor

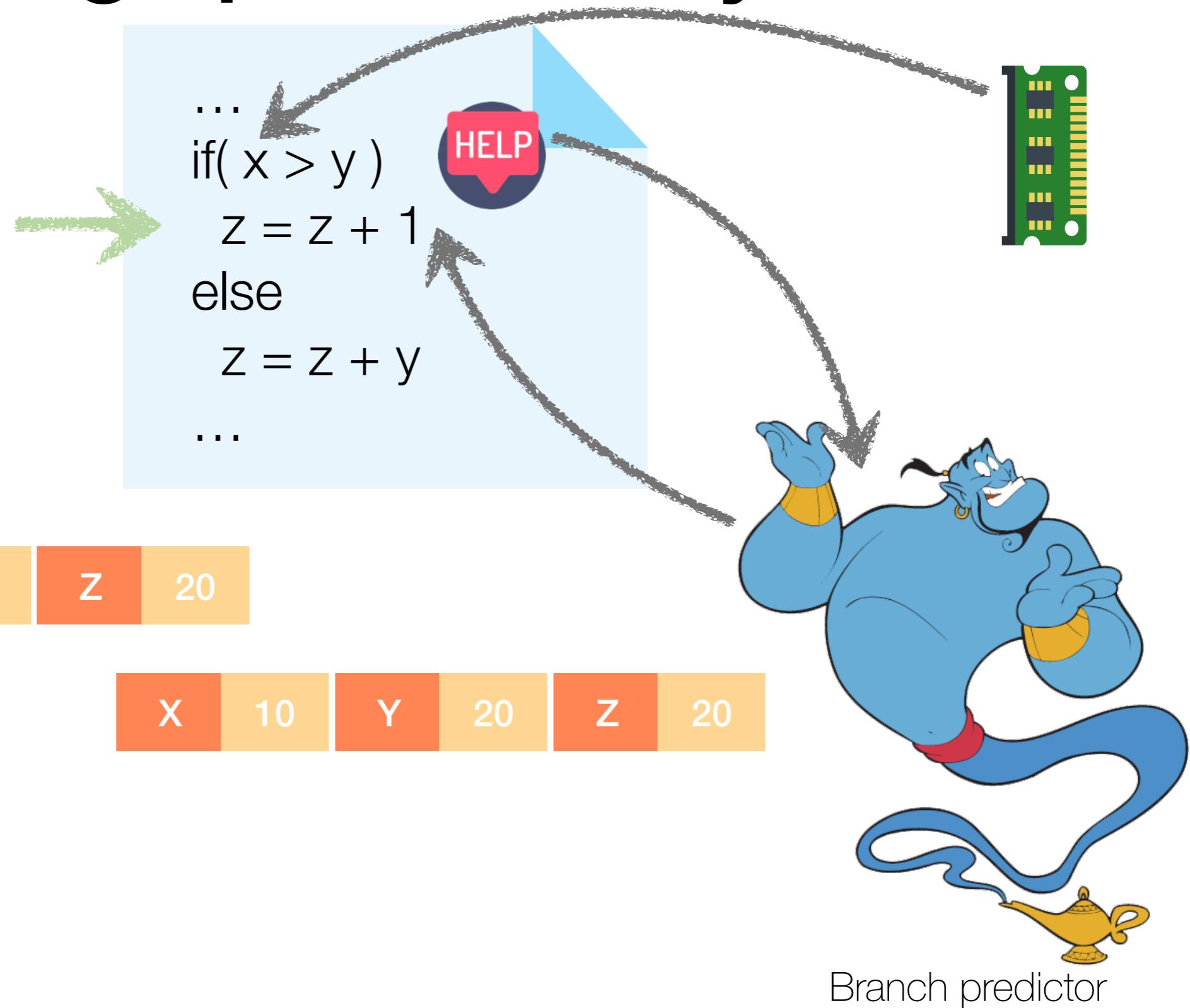
Branching speculatively



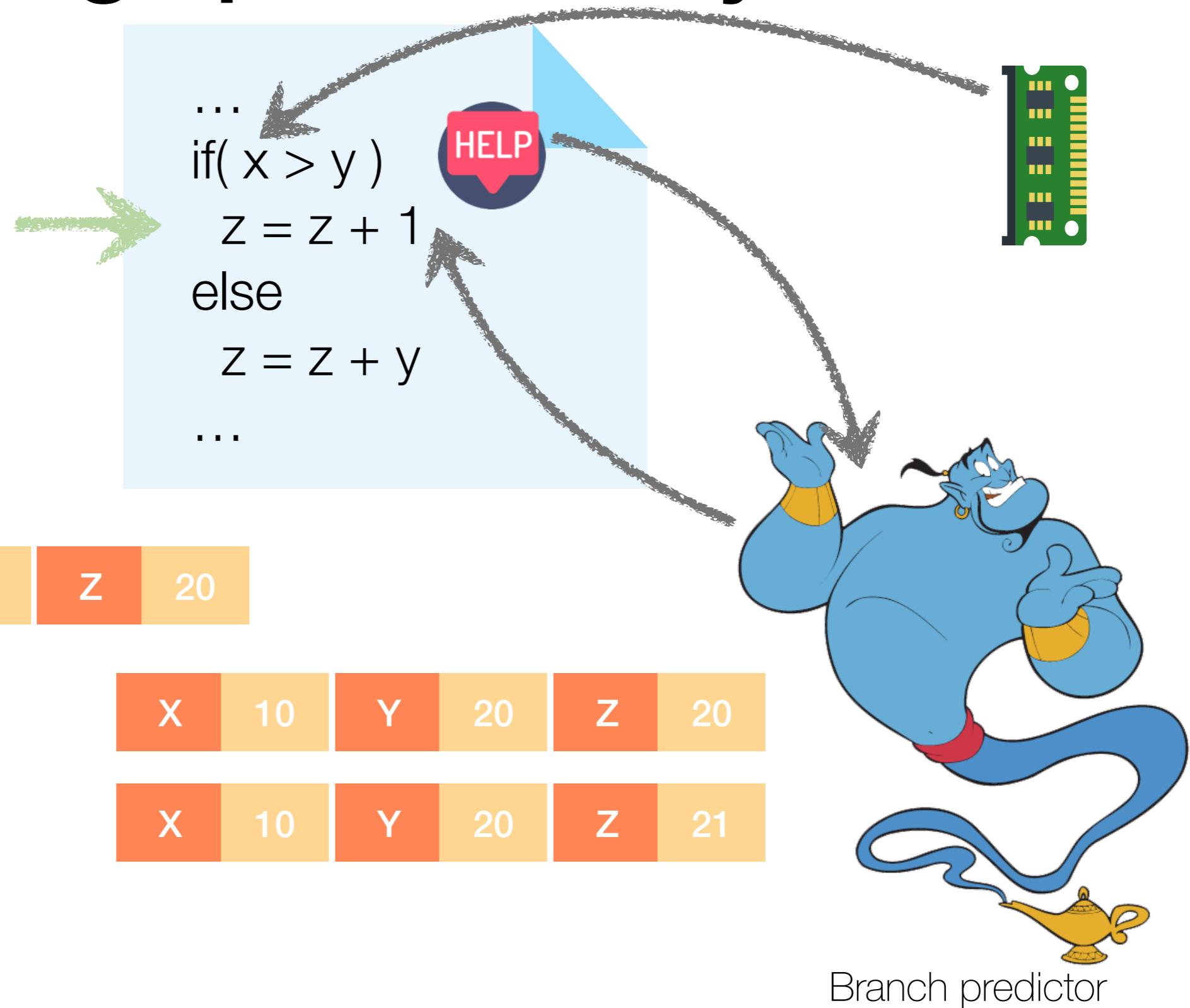
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---	----	---	----	---	----

Branch predictor

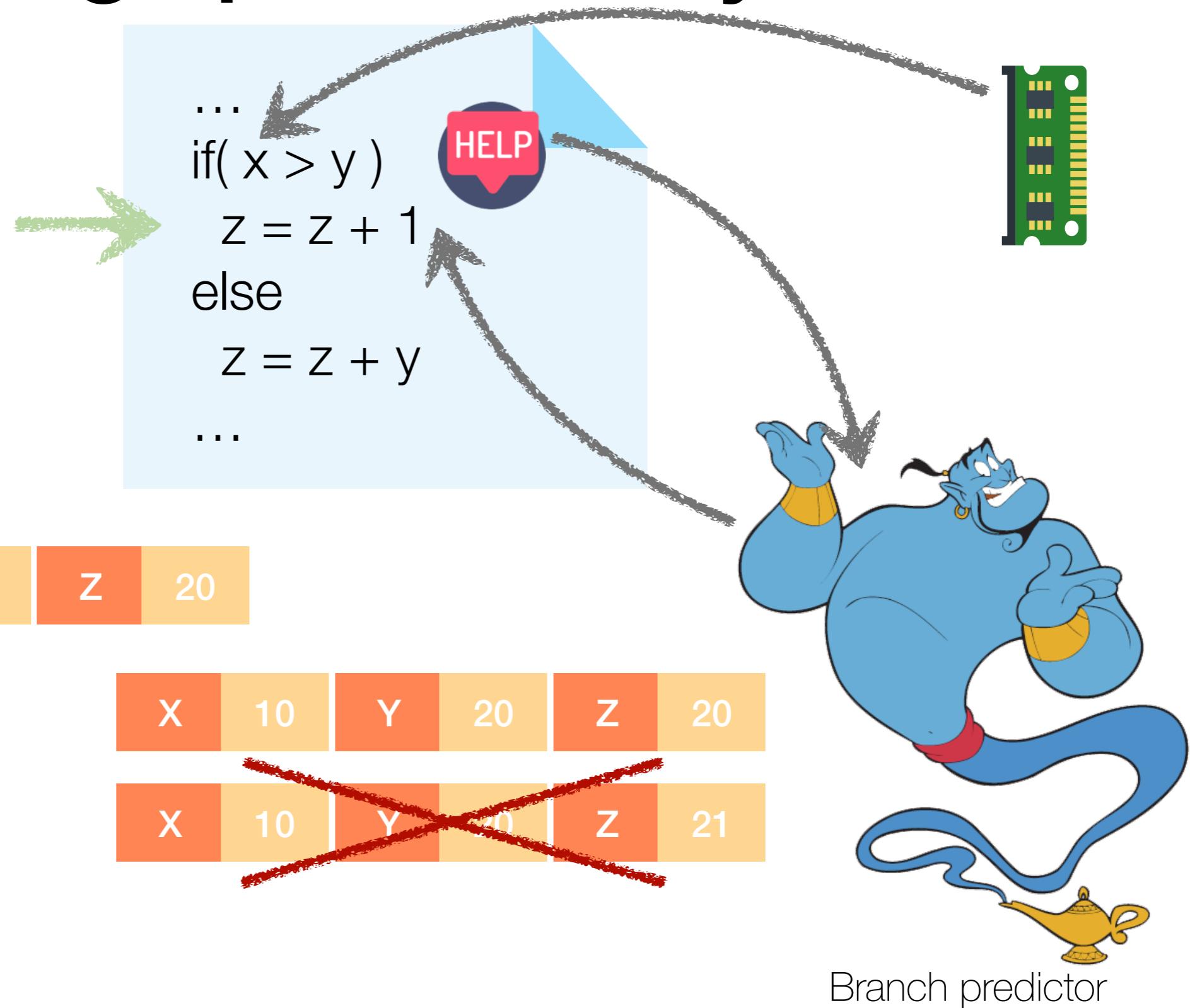
Branching speculatively



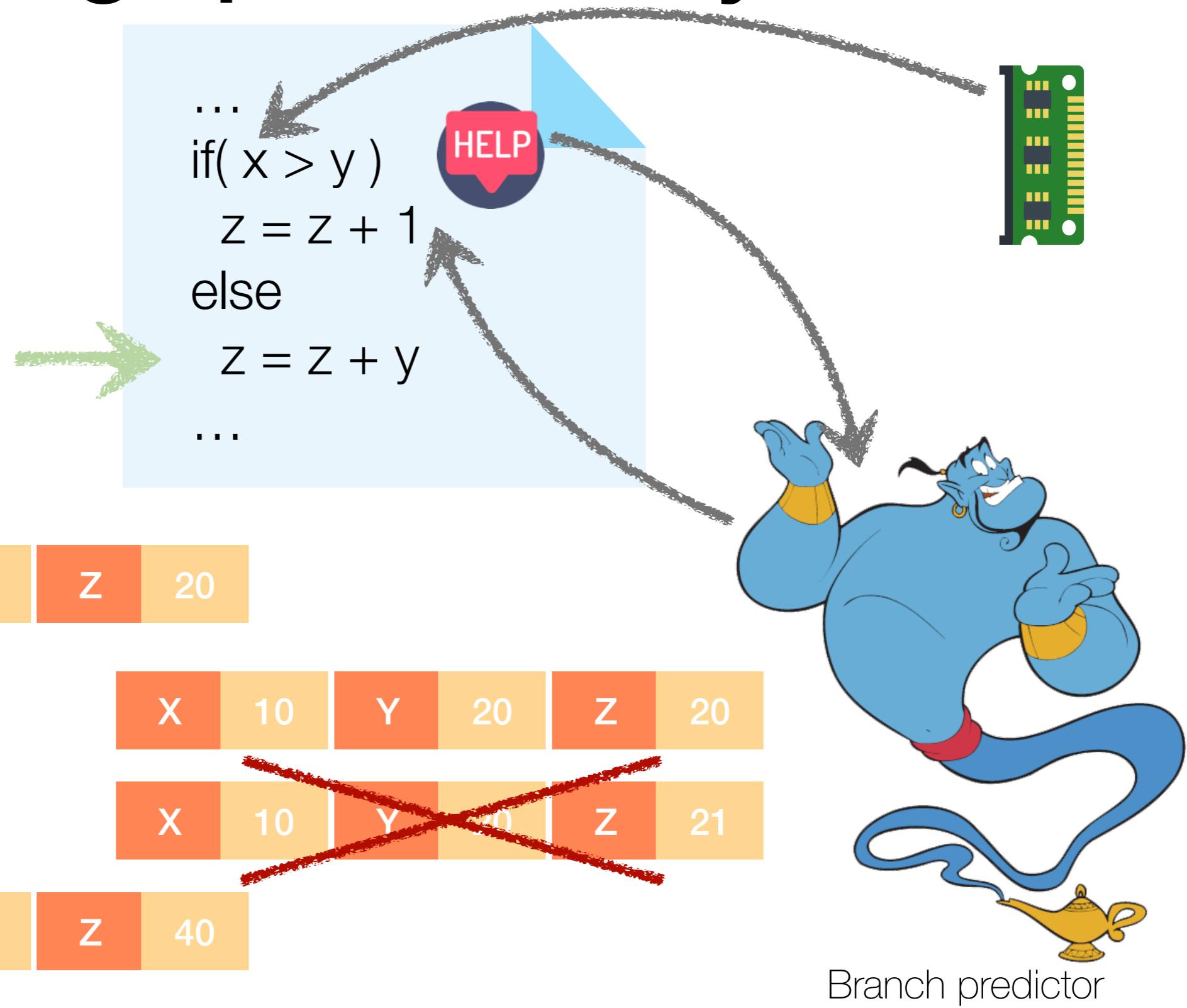
Branching speculatively



Branching speculatively



Branching speculatively



Aborting speculative execution

Aborting speculative execution

Architectural state

- Registers
- Memory
- CPU flags
- ...

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It may affect the program's semantics

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Micro-architectural state

- Cache content
- Activation status of CPU units
- ...

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It doesn't affect the program's semantics

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- Memory
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Saved and restored during speculative execution

Micro-architectural state

- Cache content
- Activation status of CPU units
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Aborting speculative execution

Architectural state

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Saved and restored during speculative execution

Micro-architectural state

- Cache content
- Activation status of CPU units
- ...

It doesn't affect the program's semantics

Ignored during speculative execution

Branch predictors (BP)



Branch predictors (BP)



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Loops (backward jumps) are often taken more than once, and the first case of conditionals is more likely to be taken

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```
Lbl1: ...  
      cmp r1, r2  
      je Lbl1  
      mov r2, 5  
      ...
```

```
Lbl2: ...
```

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A diagram illustrating a loop structure. It shows a sequence of assembly-like instructions: a label 'Lbl1', a comparison instruction 'cmp r1, r2', a jump instruction 'je Lbl1', a move instruction 'mov r2, 5', an ellipsis '...', and another label 'Lbl2'. A blue triangle points from the 'je Lbl1' instruction to a circular 'HELP' button. The 'HELP' button has a red border and contains the word 'HELP' in white.

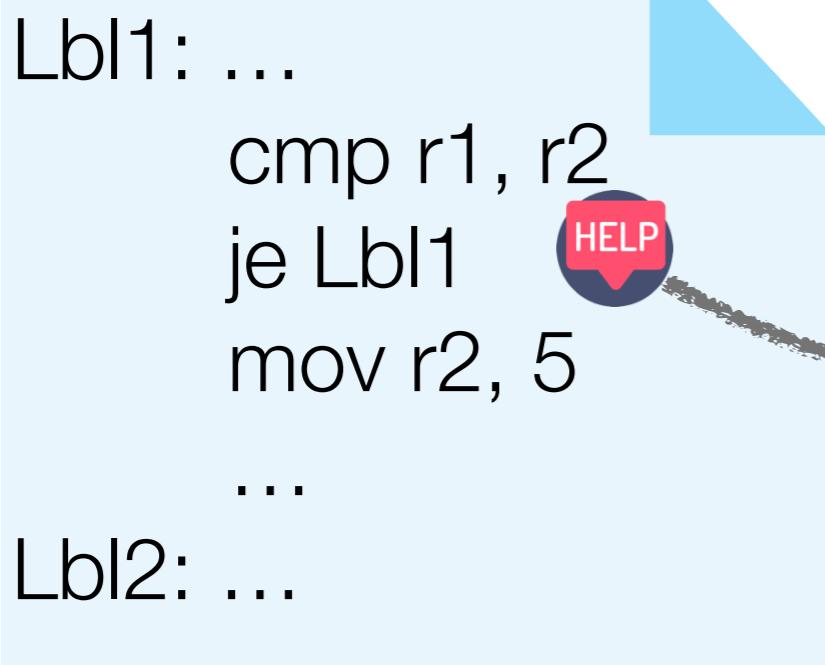
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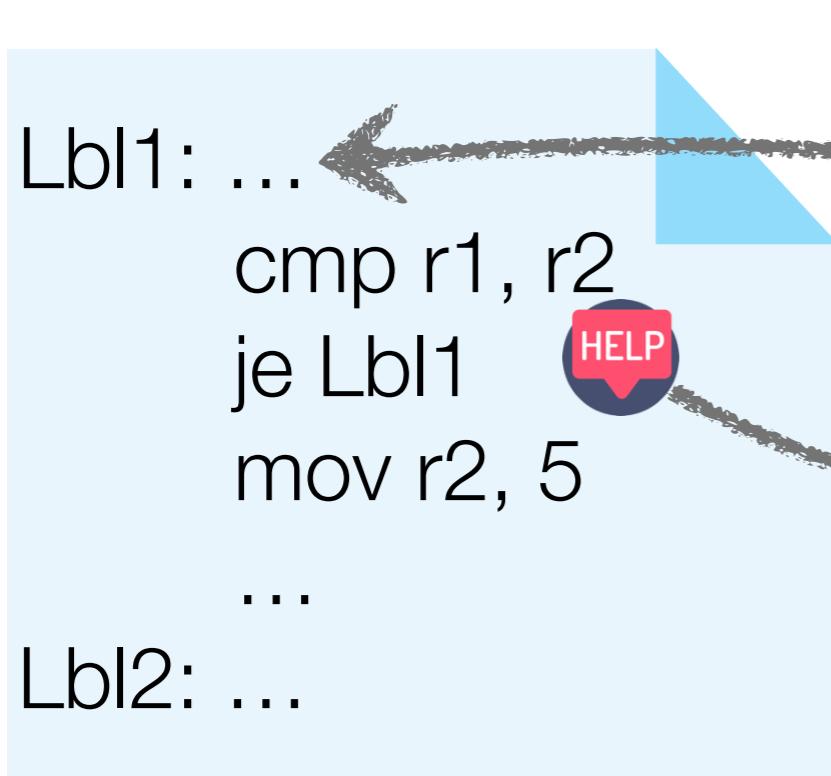
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      je Lbl2  
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      ...
```

```
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```

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      je Lbl2  
      mov r2, 5  
      ...  
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```

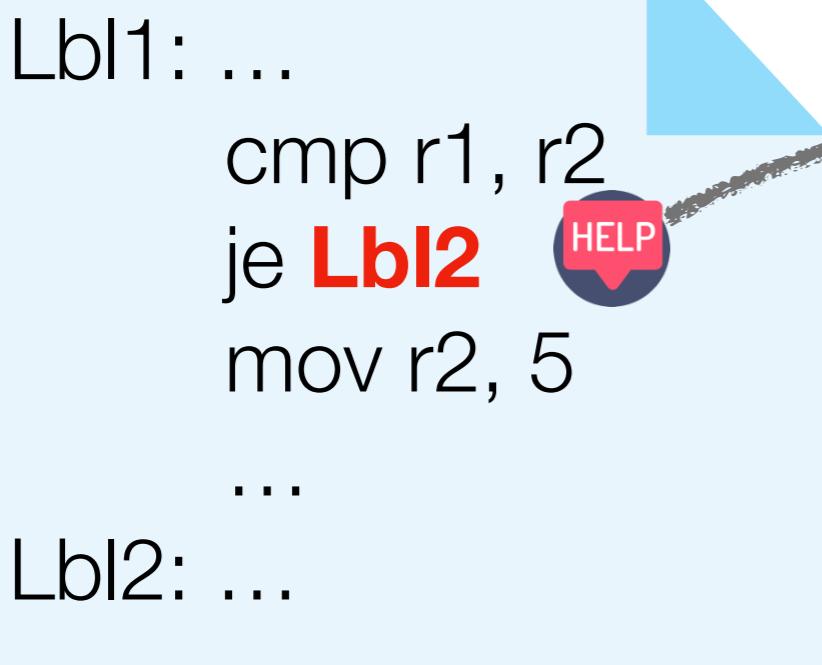
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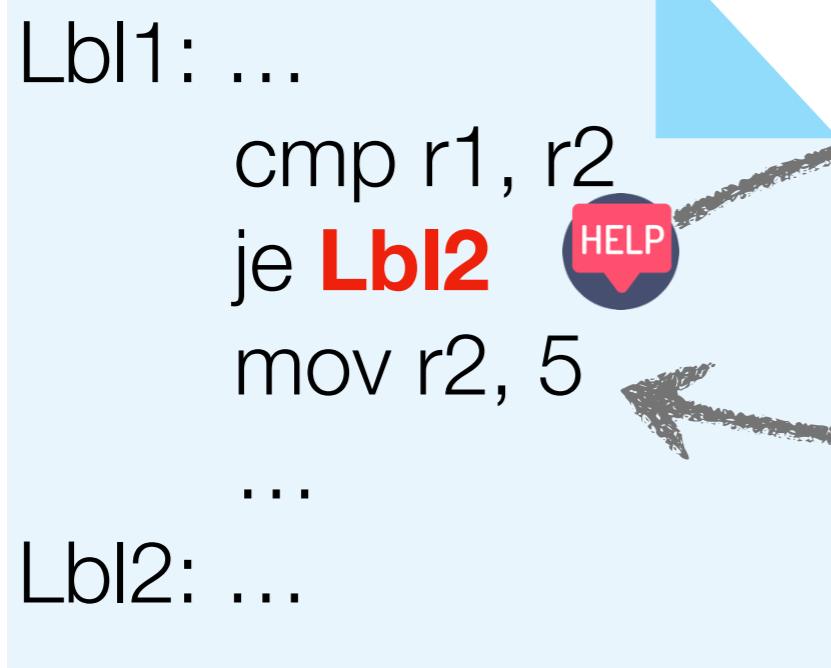
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Branch predictors (BP)



Branch predictors (BP)

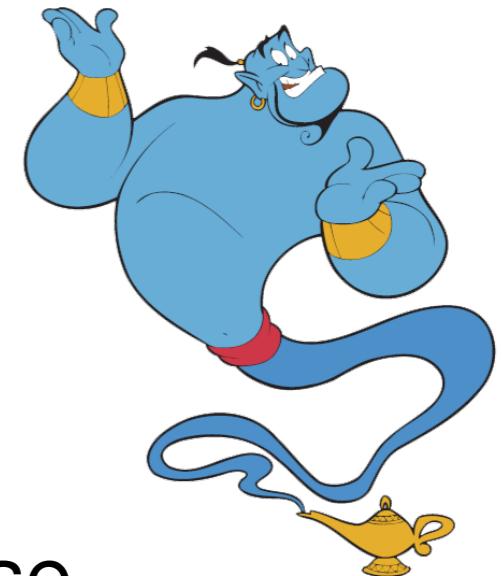


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Branch predictors (BP)



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 - 1-bit counter ***ctr*** : prediction based on branch's last outcome



Branch predictors (BP)

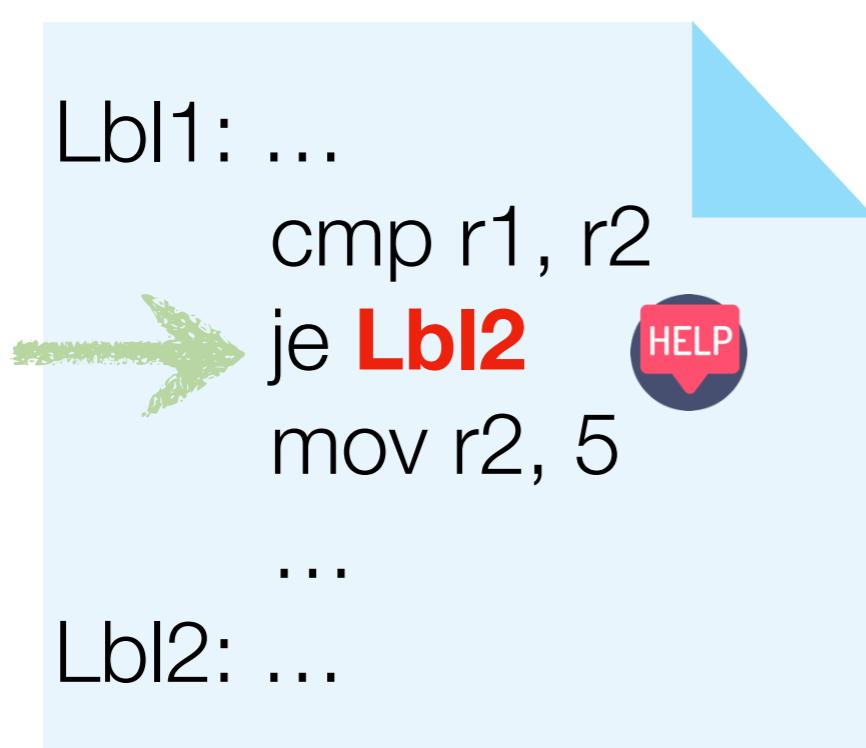
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Lbl1: ...
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    mov r2, 5
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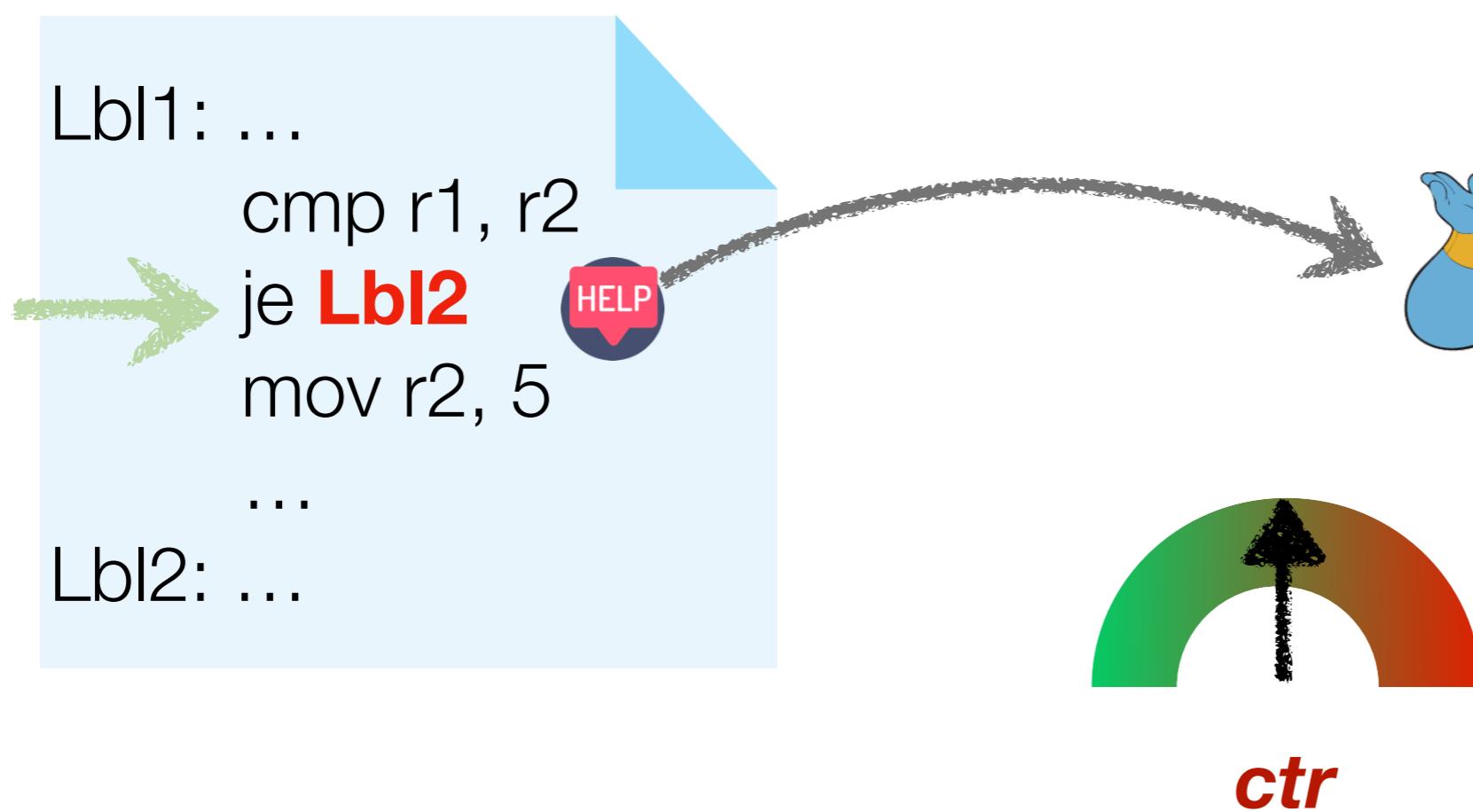
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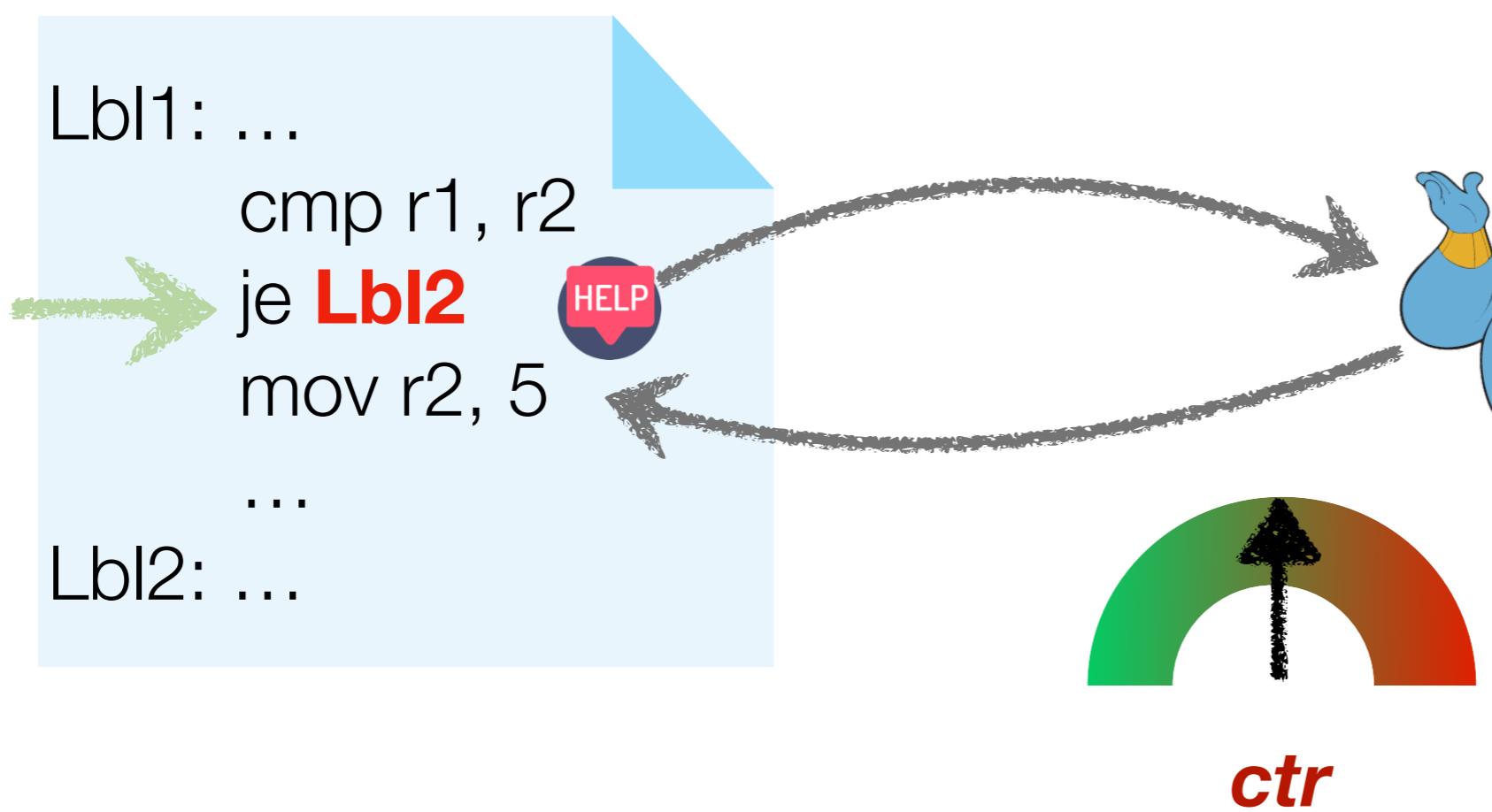
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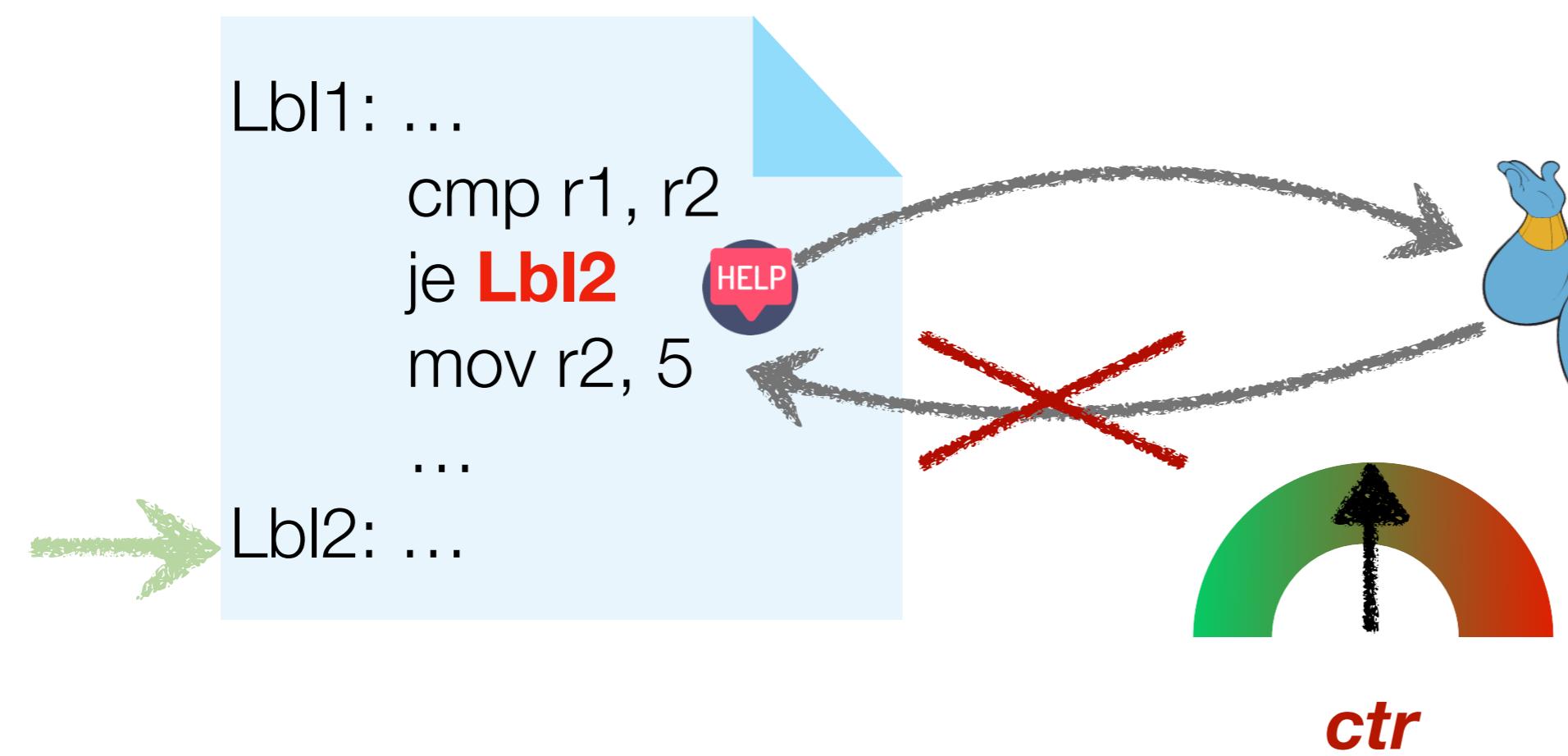
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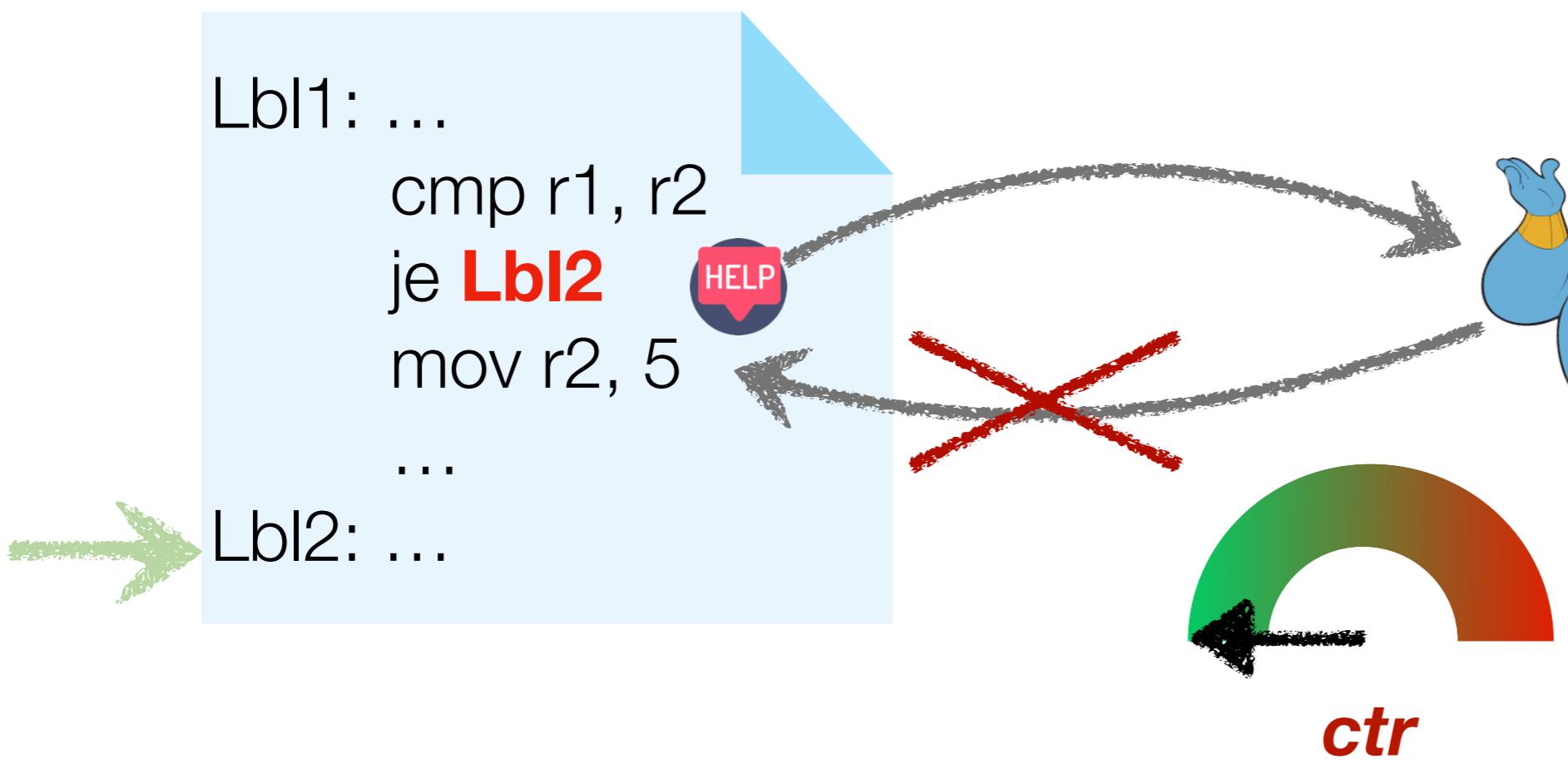
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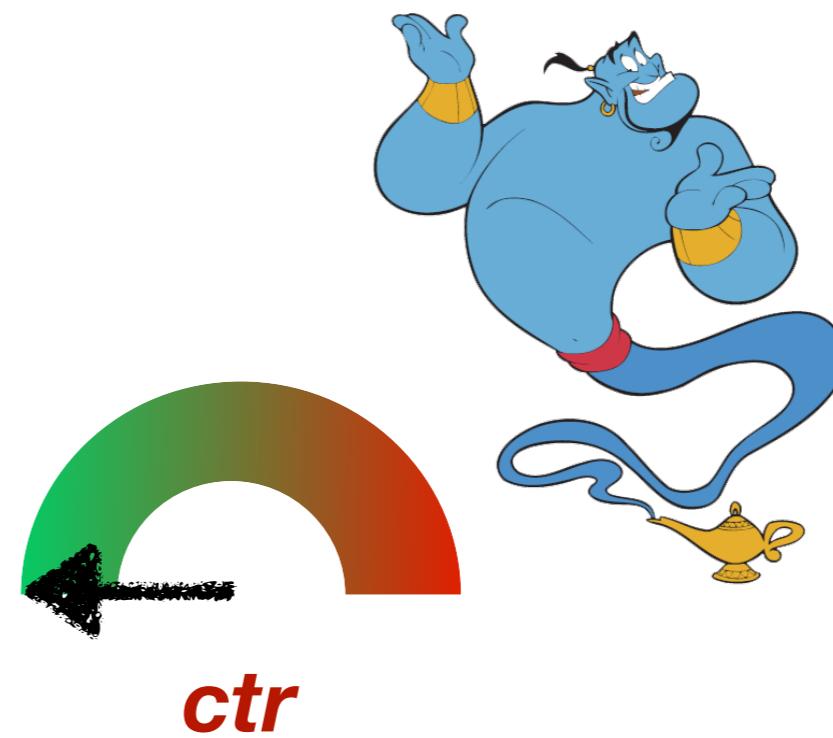
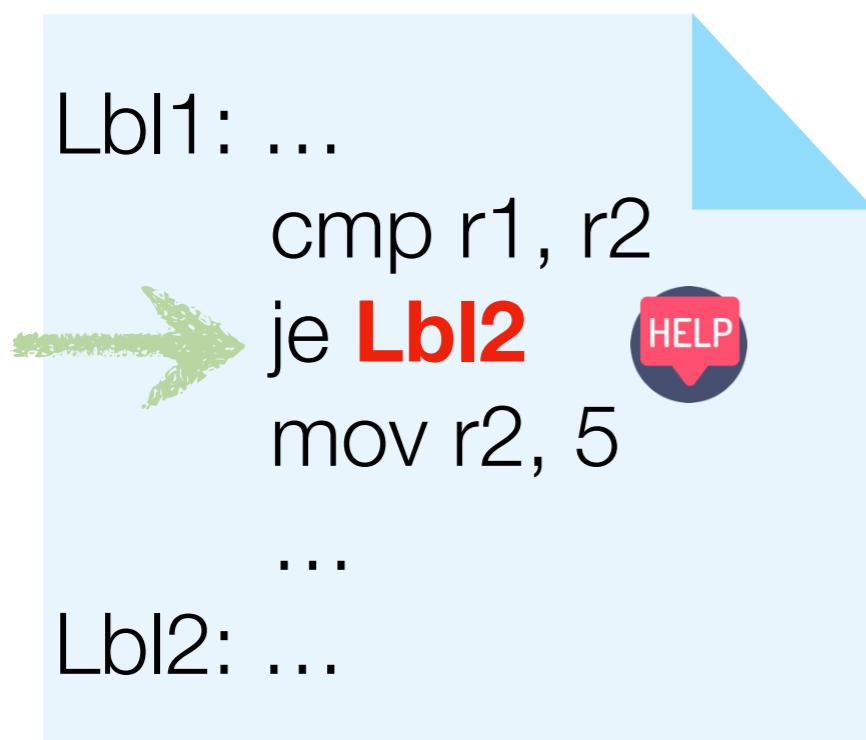
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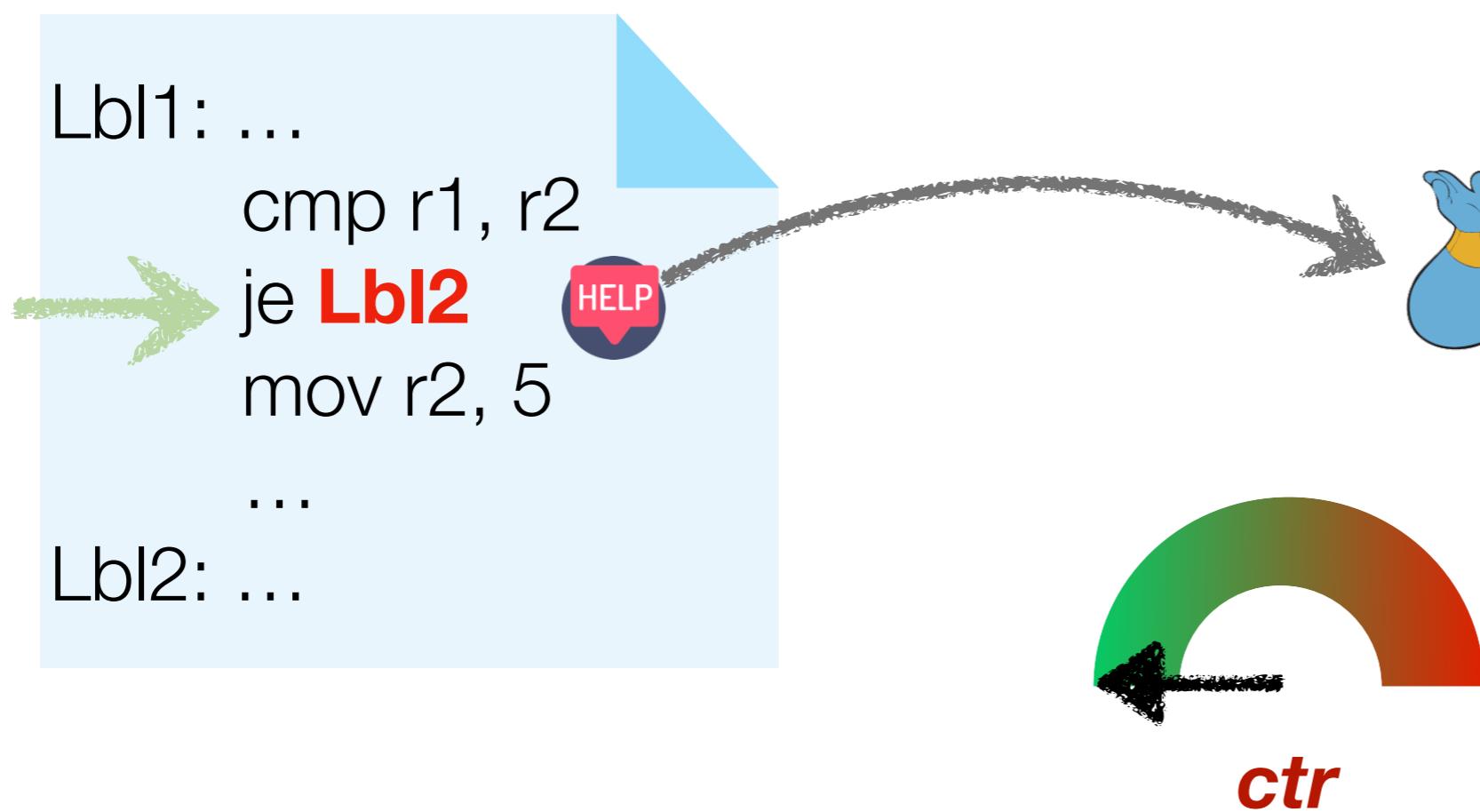
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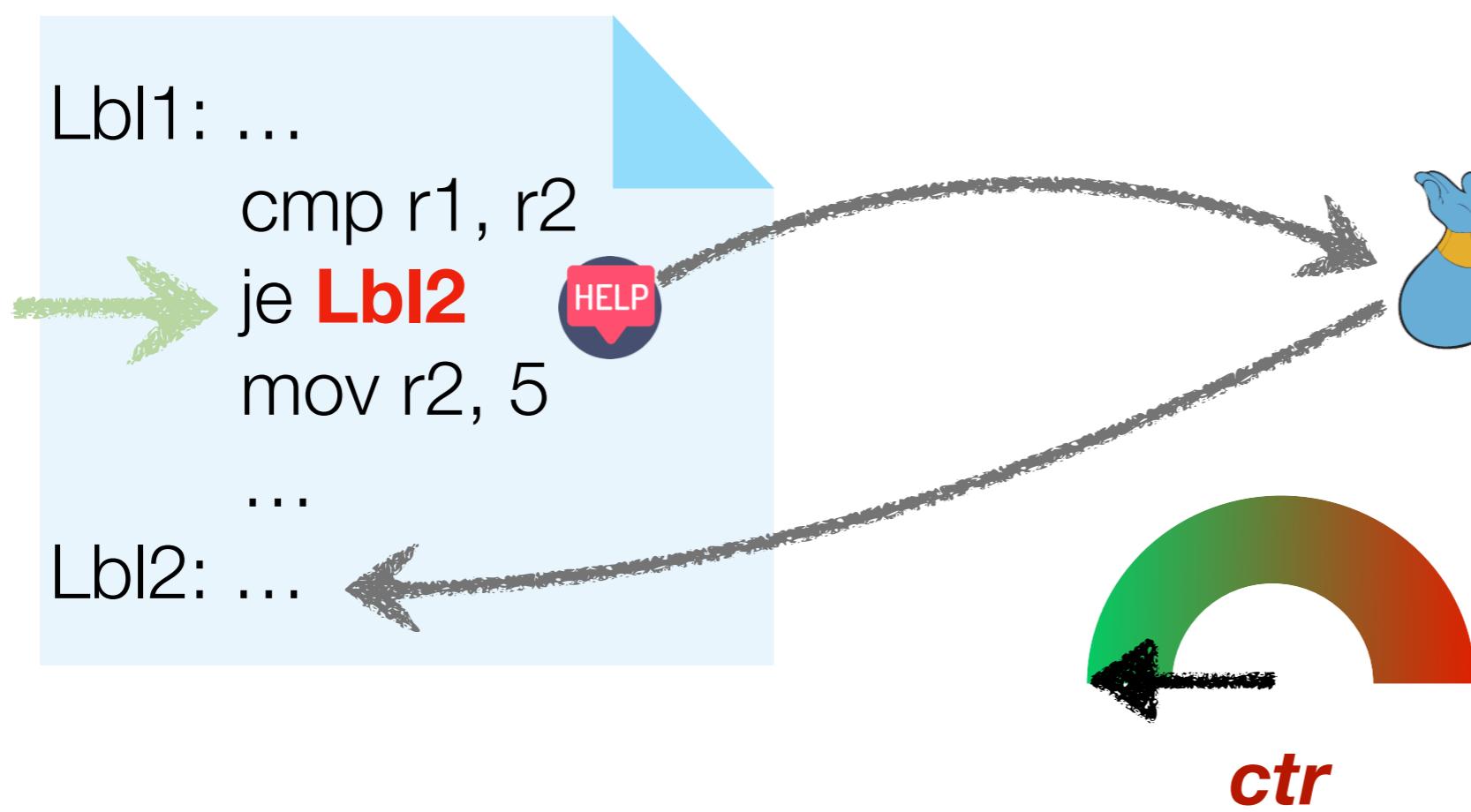
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Branch predictors (BP)



- **Dynamic BP** : considers history, more precise

Branch predictors (BP)



- **Dynamic BP** : considers history, more precise
 - 2-bit counter ***ctr*** : prediction based on last 2 outcomes



Branch predictors (BP)

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 - Branch taken ***ctr ++***, branch not taken ***ctr --***

Branch predictors (BP)



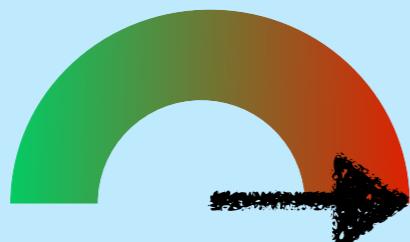
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0 → strongly not taken

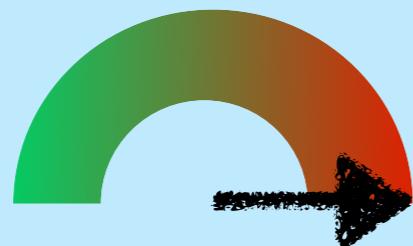


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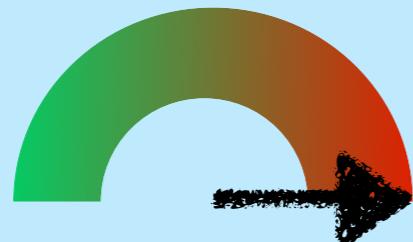


Branch predictors (BP)

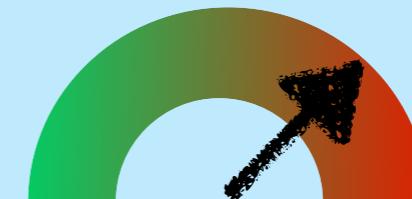


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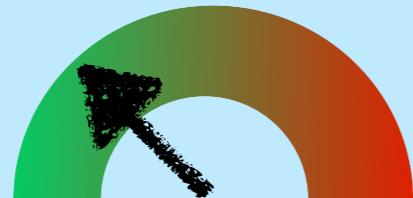
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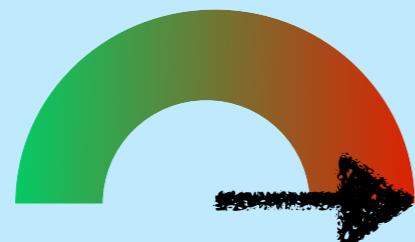


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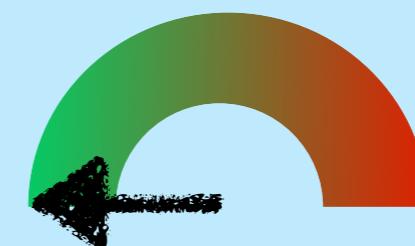
1 → weakly not taken



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3 → strongly taken



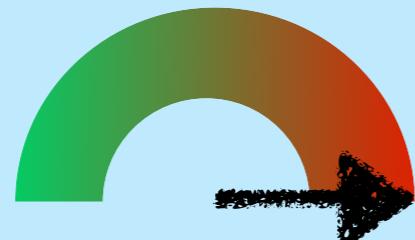


Combines affordable costs with good predictions

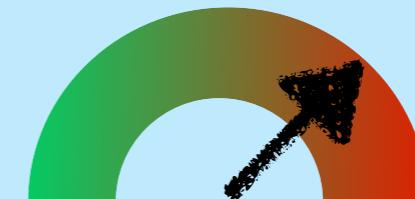
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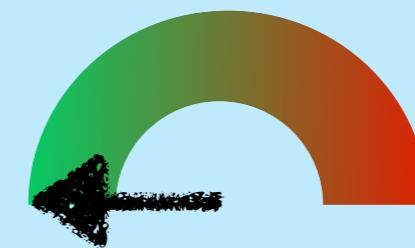
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Attacks

(SPECTRE V1)

Speculative execution attacks



Speculative execution attacks



Speculative execution attacks

Trigger speculation



Speculative execution attacks

Trigger speculation

Target micro-
architectural component



Speculative execution attacks

Trigger speculation

Target micro-
architectural component

Recover data from
micro-architecture



Speculative execution attacks

Trigger speculation

Target micro-
architectural component

Encode data into
micro-architecture

Recover data from
micro-architecture



Speculative execution attacks

Influence the speculation target

Target micro-architectural component

Encode data into micro-architecture

Recover data from micro-architecture

Trigger speculation



Setting



Attacker



Victim

Setting

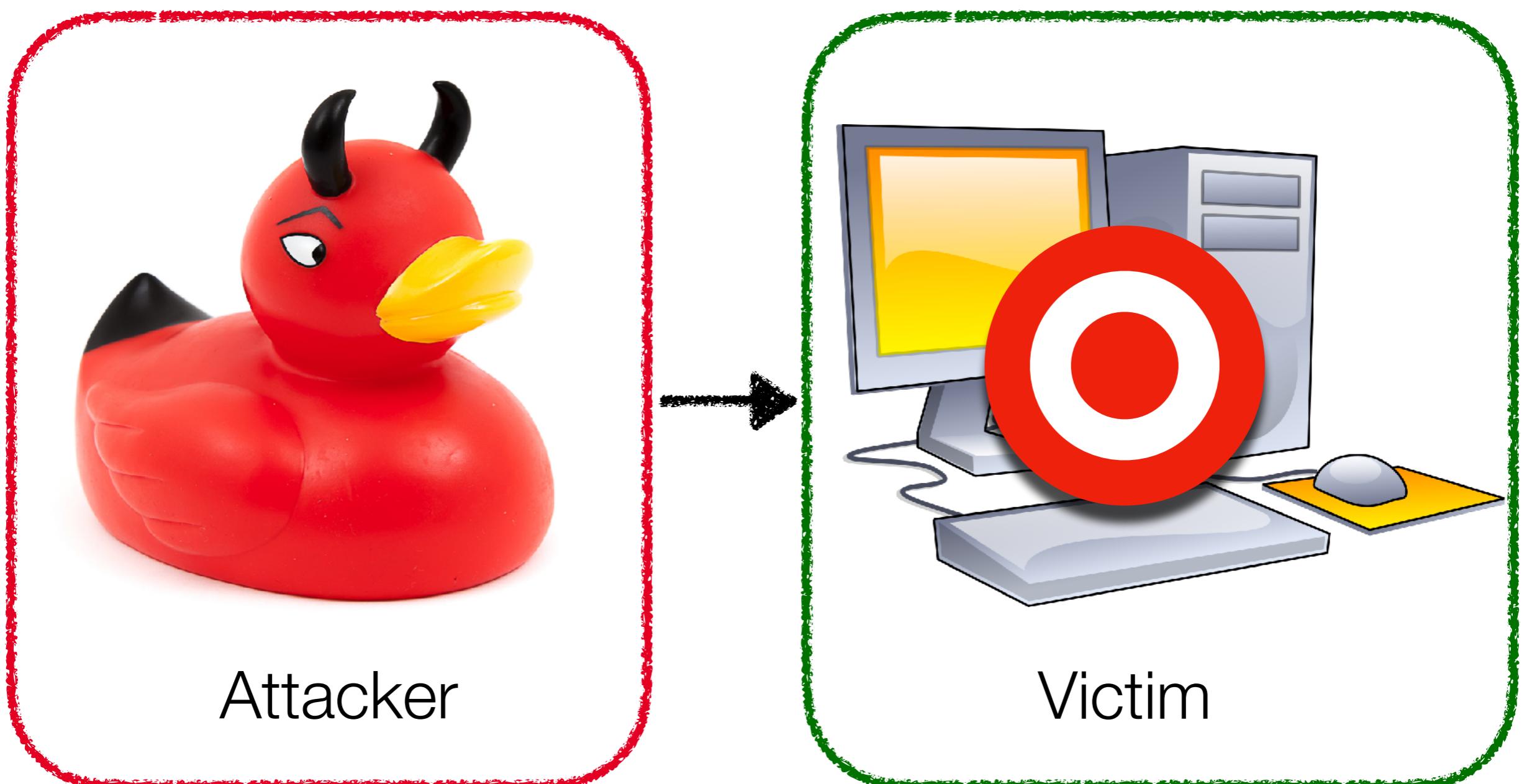


Attacker

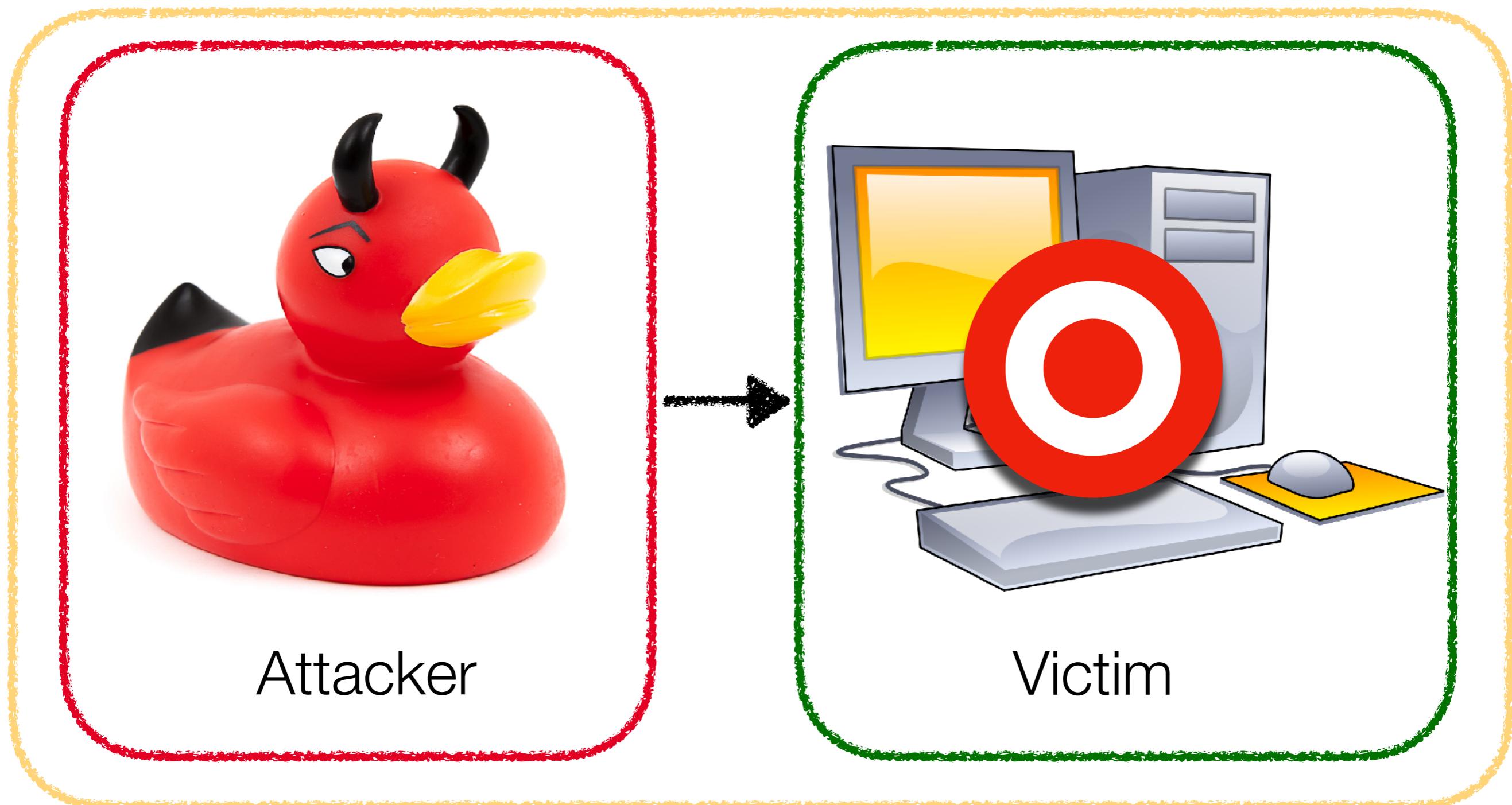


Victim

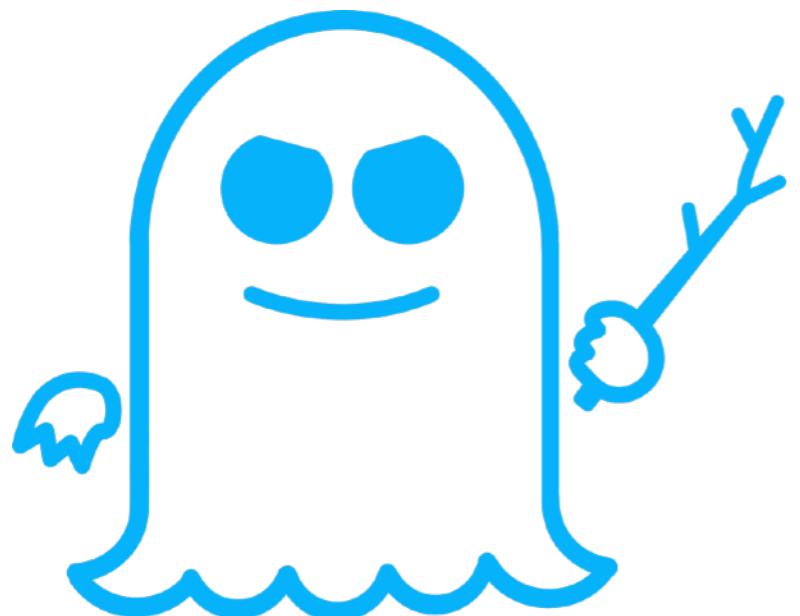
Setting



Setting



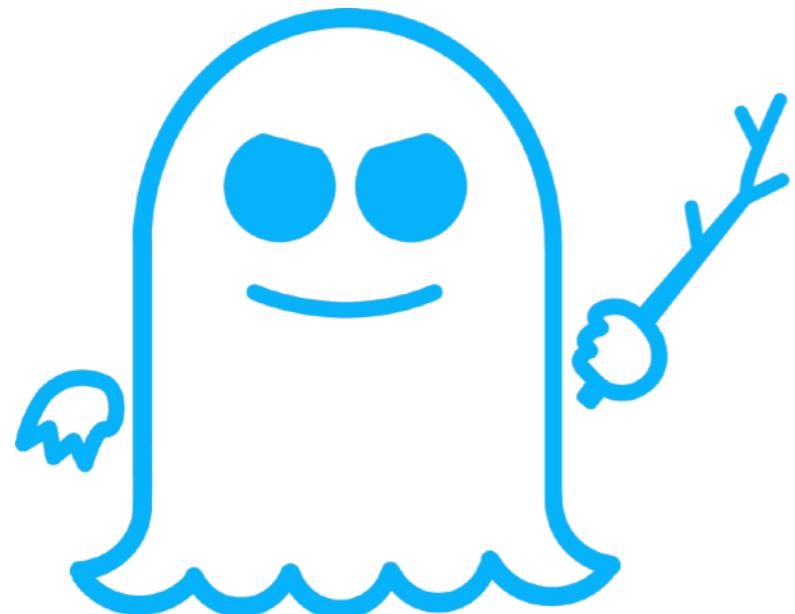
SPECTRE (Variant 1)



SPECTRE

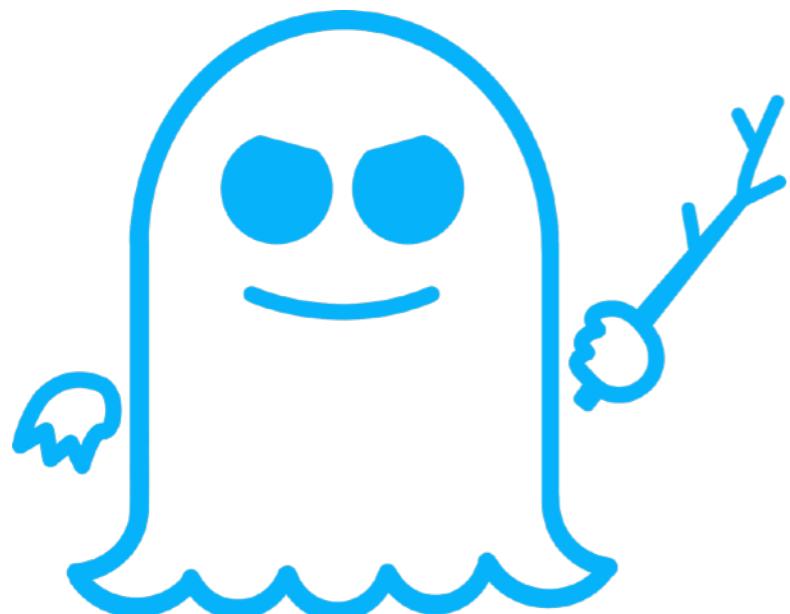
SPECTRE (Variant 1)

- **Speculation** : using branch commands and BPs



SPECTRE

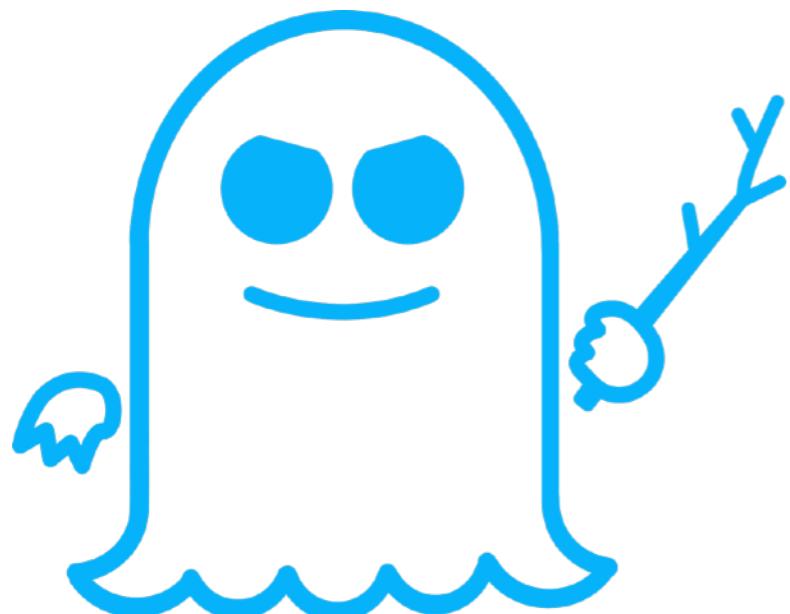
SPECTRE (Variant 1)



SPECTRE

- **Speculation** : using branch commands and BPs
- **Micro-architectural state** : caches
 - **Encode** data through memory accesses
 - **Recover** data using Prime+Probe, Flush+reload, ...

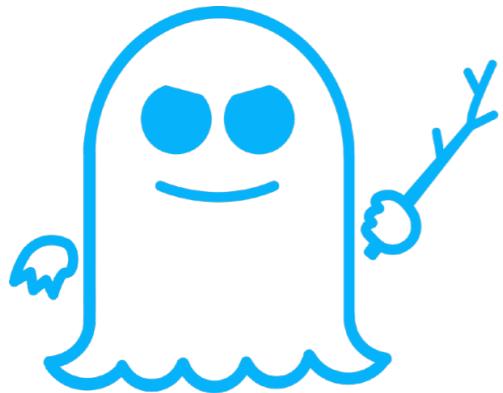
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- **Influence speculation target** : exploit dynamic BP

SPECTRE (Variant 1)

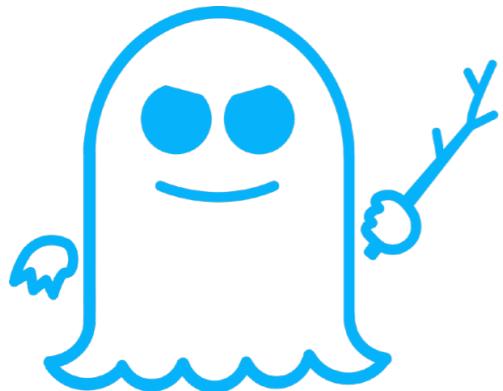


SPECTRE

```
void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
```

Victim's code

SPECTRE (Variant 1)



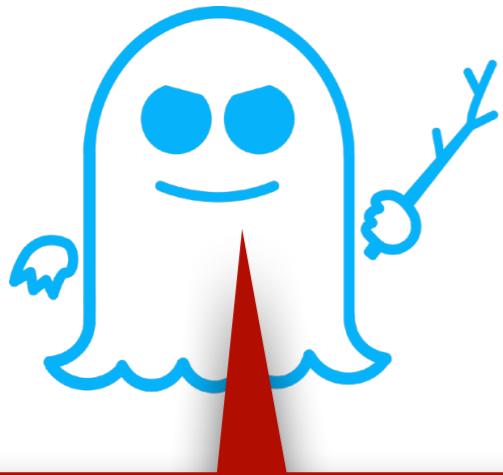
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Victim's code

4

SPECTRE (Variant 1)



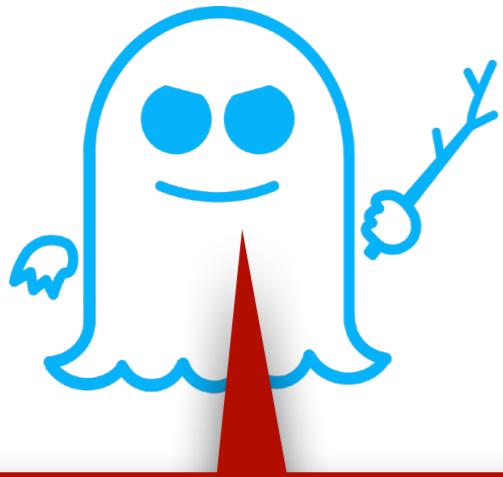
What is in A[5]?

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Victim's code

4

SPECTRE (Variant 1)



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Victim's code

4

1) Training



SPECTRE (Variant 1)



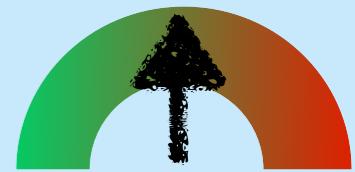
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Victim's code

4

1) Training



SPECTRE (Variant 1)



What is in A[5]?

```
void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
```

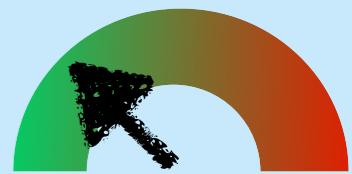
Victim's code

4

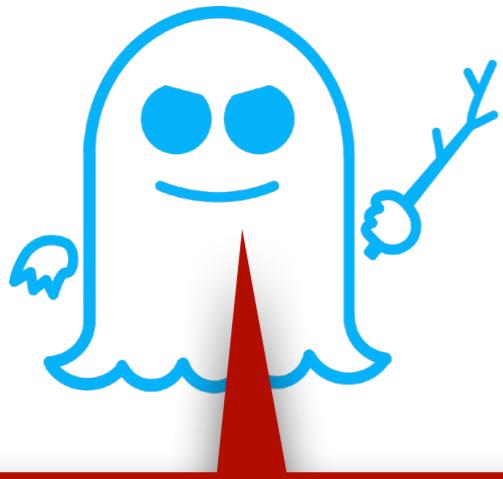
1) Training



f(0);



SPECTRE (Variant 1)



What is in A[5]?

```
void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
```

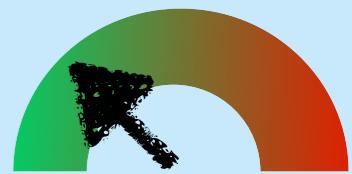
Victim's code

4

1) Training



f(0); f(1); f(2);



SPECTRE (Variant 1)



What is in A[5]?

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void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
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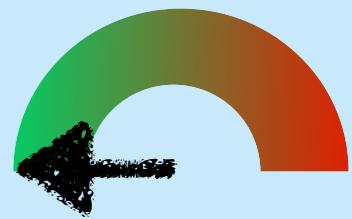
Victim's code

4

1) Training



f(0); f(1); f(2);



SPECTRE (Variant 1)



What is in A[5]?

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    if (x < A_size)  
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```

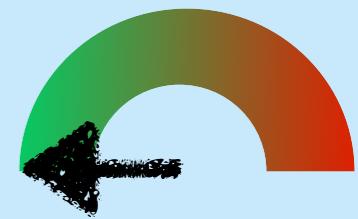
Victim's code

4

1) Training



f(0); f(1); f(2);



2) Prepare

& Prime + Probe

SPECTRE (Variant 1)



What is in A[5]?

```
void f(x) {  
    if (x < A_size)  
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}
```

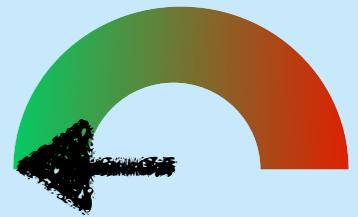
Victim's code

4

1) Training



f(0); f(1); f(2);



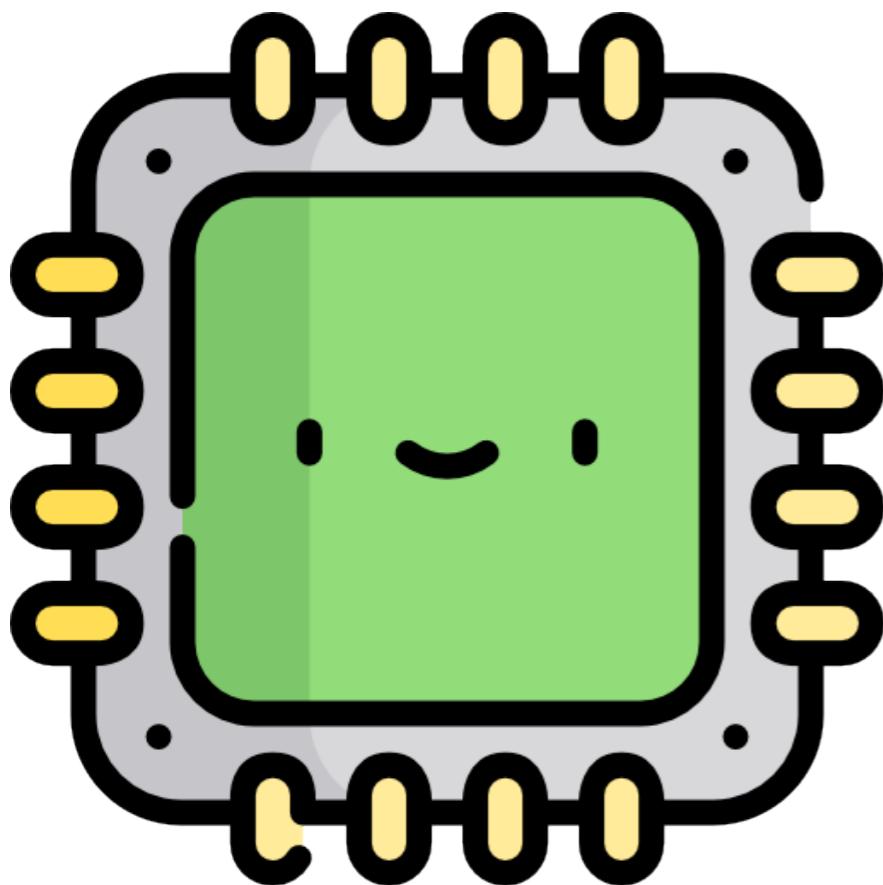
2) Prepare

& Prime + Probe

SPECTRE (Variant 1)



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```
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```

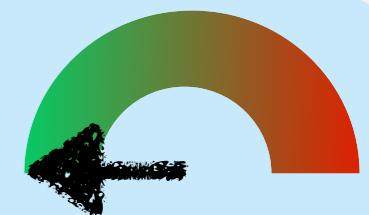
Victim's code

4

1) Training



f(0); f(1); f(2);



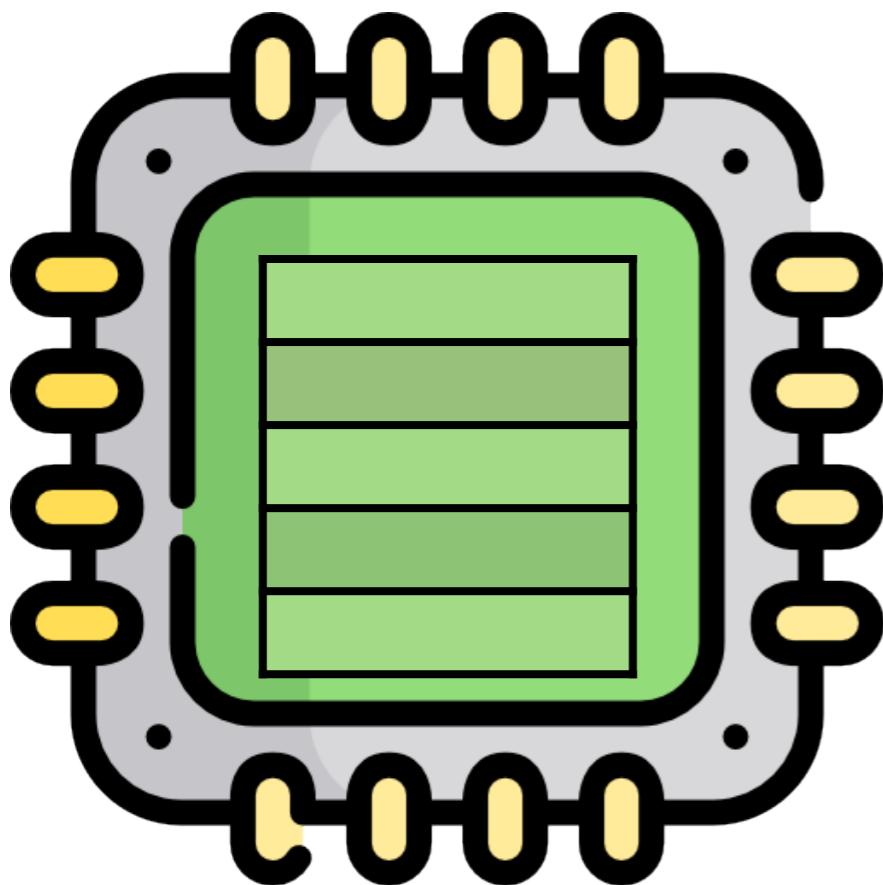
2) Prepare

& Prime + Probe

SPECTRE (Variant 1)



What is in A[5]?



```
void f(x) {  
    if (x < A_size)  
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}
```

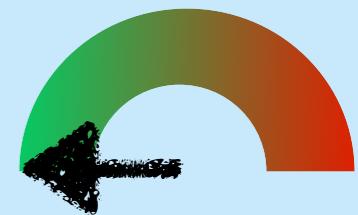
Victim's code

4

1) Training



f(0); f(1); f(2);



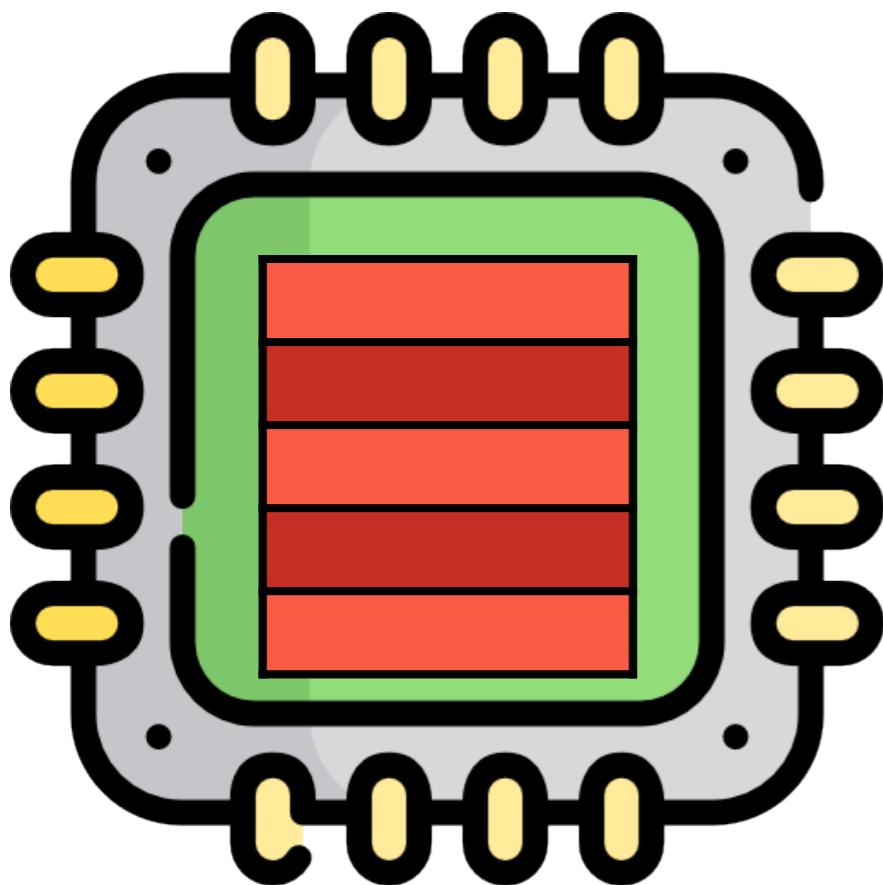
2) Prepare

& Prime + Probe

SPECTRE (Variant 1)



What is in A[5]?



```
void f(x) {  
    if (x < A_size)  
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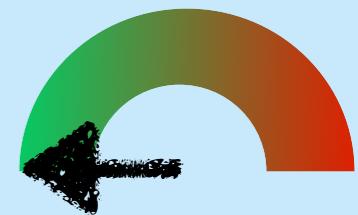
Victim's code

4

1) Training



f(0); f(1); f(2);

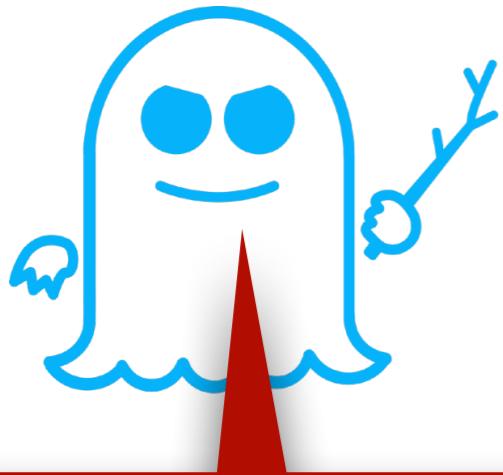


2) Prepare

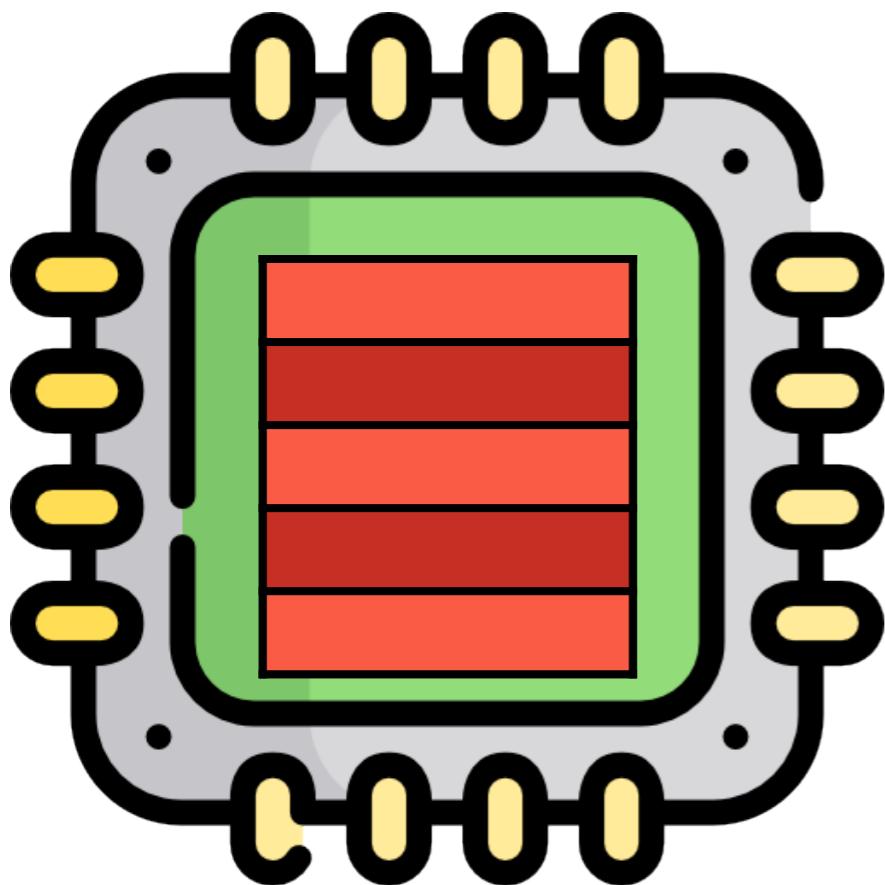
Fill cache with attacker's data

& Prime + Probe

SPECTRE (Variant 1)



What is in A[5]?



```
void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
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```

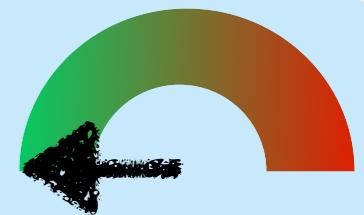
Victim's code

4

1) Training



f(0); f(1); f(2);

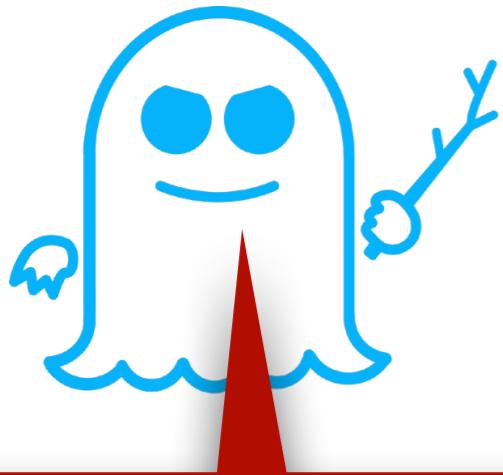


2) Prepare Fill cache with attacker's data

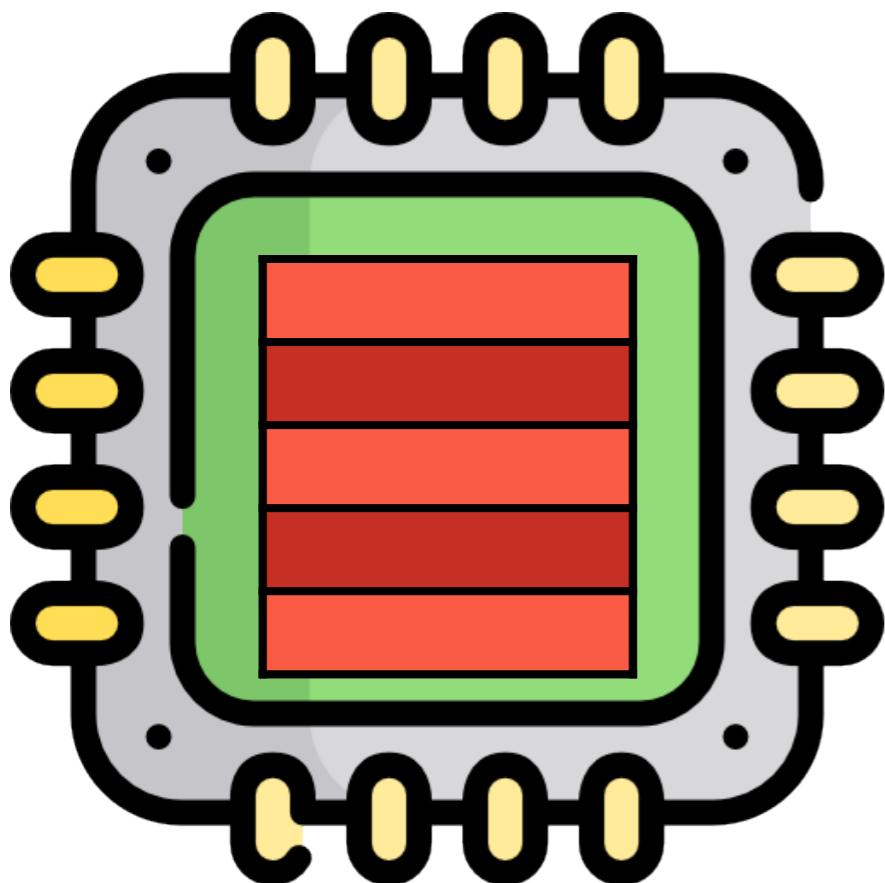
3) Attack

& Prime + Probe

SPECTRE (Variant 1)



What is in A[5]?



```
void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
```

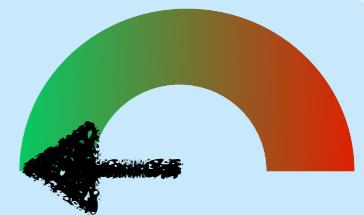
Victim's code

4

1) Training



f(0); f(1); f(2);



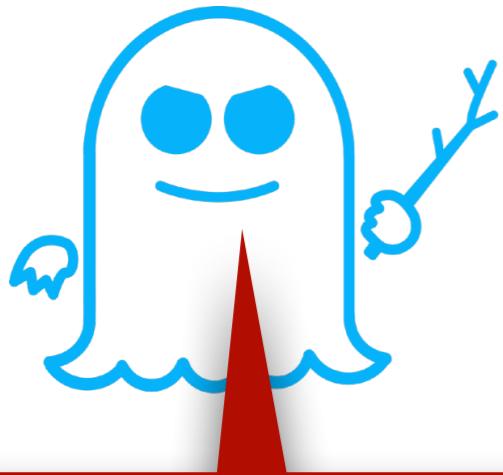
2) Prepare Fill cache with attacker's data

3) Attack

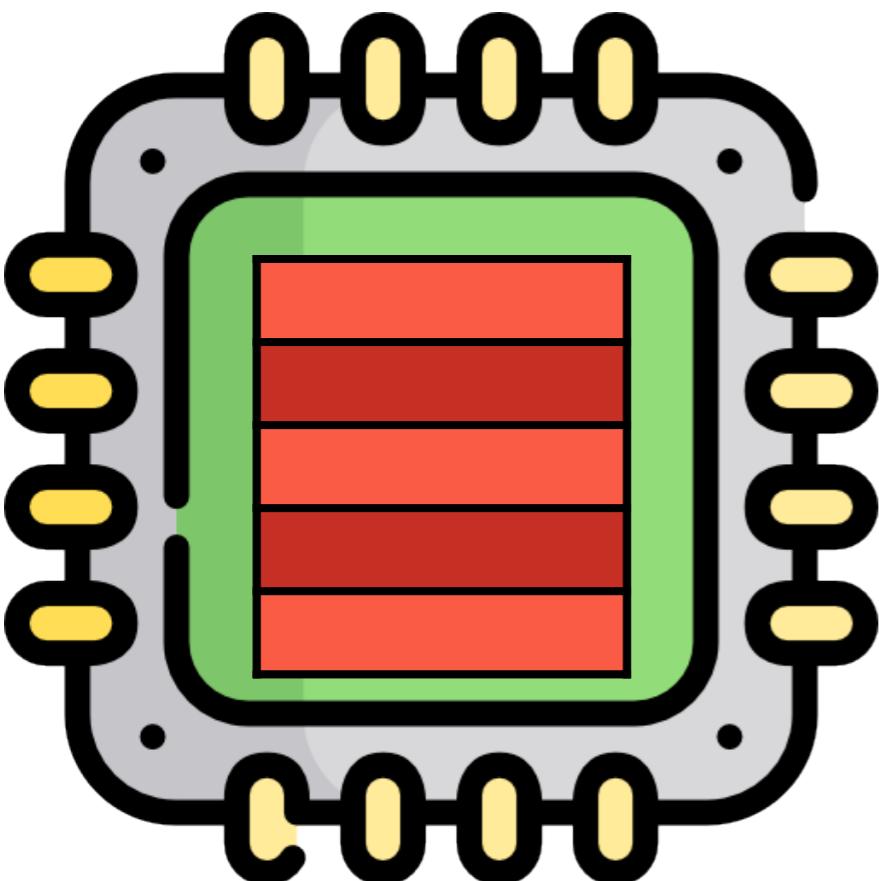
f(5);

& Prime + Probe

SPECTRE (Variant 1)



What is in A[5]?



```
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    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
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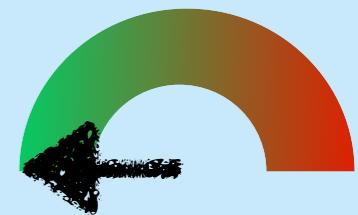
Victim's code

4

1) Training



f(0); f(1); f(2);



2) Prepare Fill cache with attacker's data

3) Attack

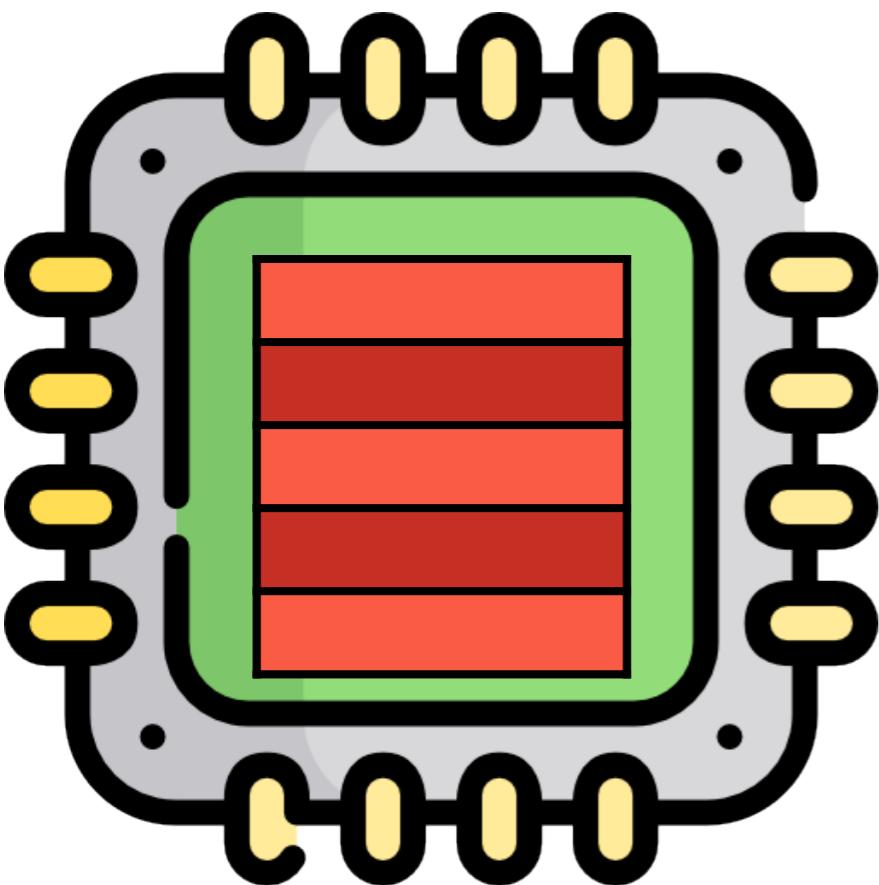
f(5);

& Prime + Probe

SPECTRE (Variant 1)



What is in $A[5]$?



```
void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
```

Victim's code

4

1) Training



$f(0); f(1); f(2);$



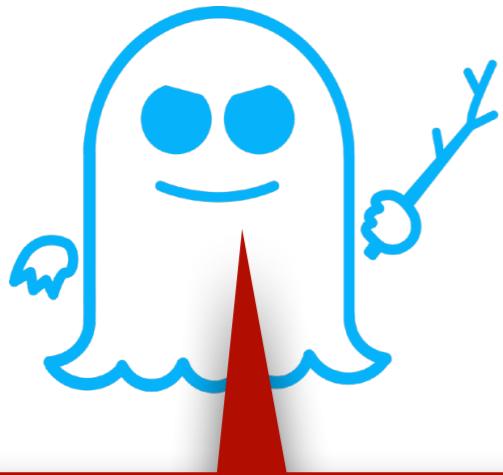
2) Prepare Fill cache with attacker's data

3) Attack

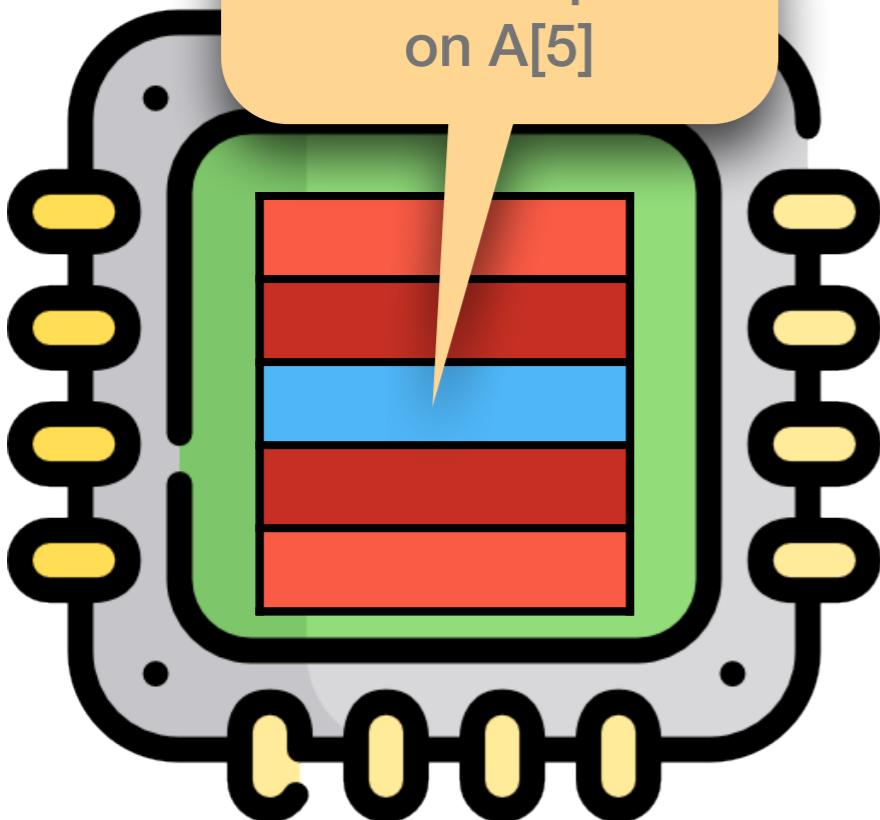
$f(5);$

& Prime + Probe

SPECTRE (Variant 1)



What is in A[5]?



```
void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
```

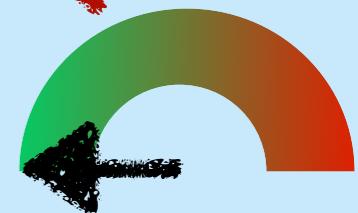
Victim's code

4

1) Training



f(0); f(1); f(2);



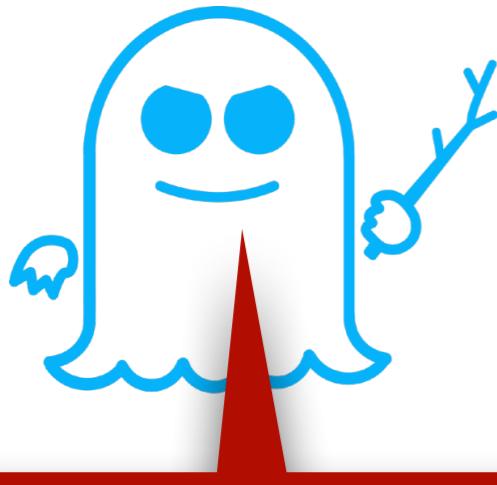
2) Prepare Fill cache with attacker's data

3) Attack

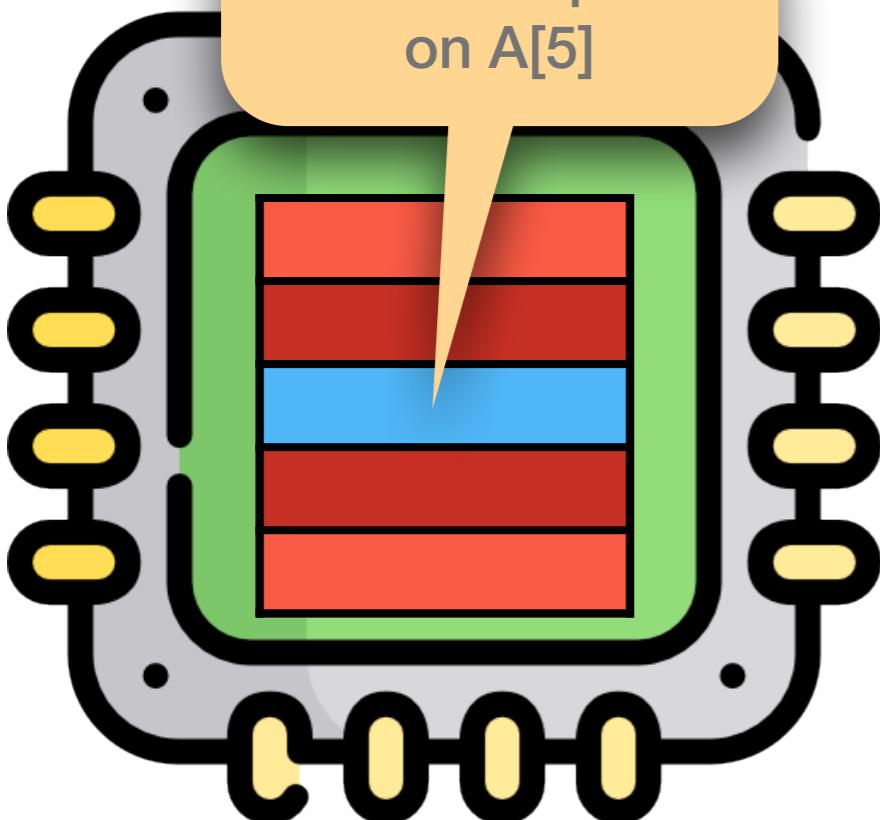
f(5);

& Prime + Probe

SPECTRE (Variant 1)



What is in $A[5]$?



```
void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
```

Victim's code

4

1) Training



$f(0); f(1); f(2);$



2) Prepare Fill cache with attacker's data

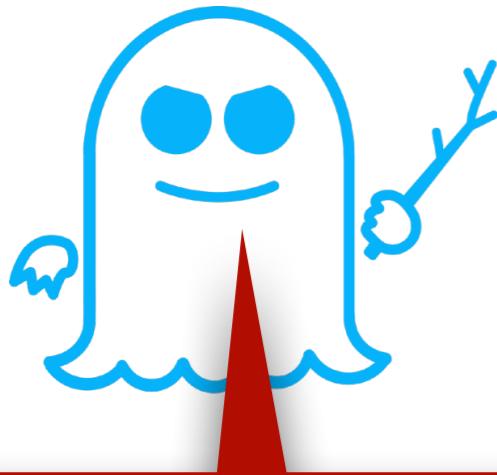
3) Attack

$f(5);$

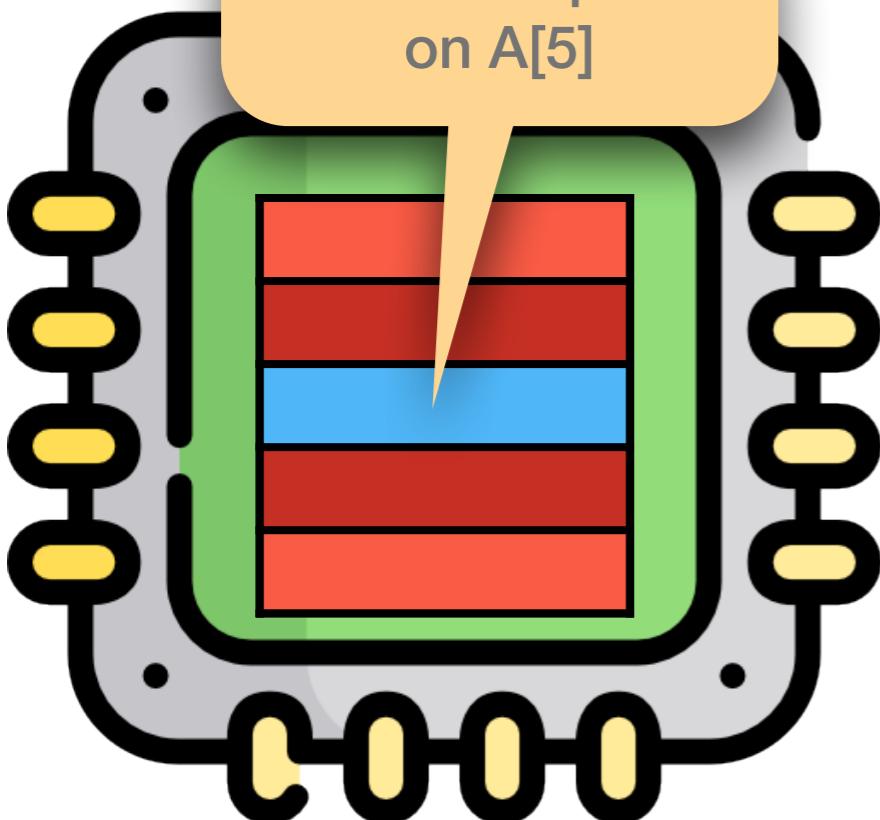
4) Extract

& Prime + Probe

SPECTRE (Variant 1)



What is in $A[5]$?



```
void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
```

Victim's code

4

1) Training



$f(0); f(1); f(2);$



2) Prepare Fill cache with attacker's data

3) Attack

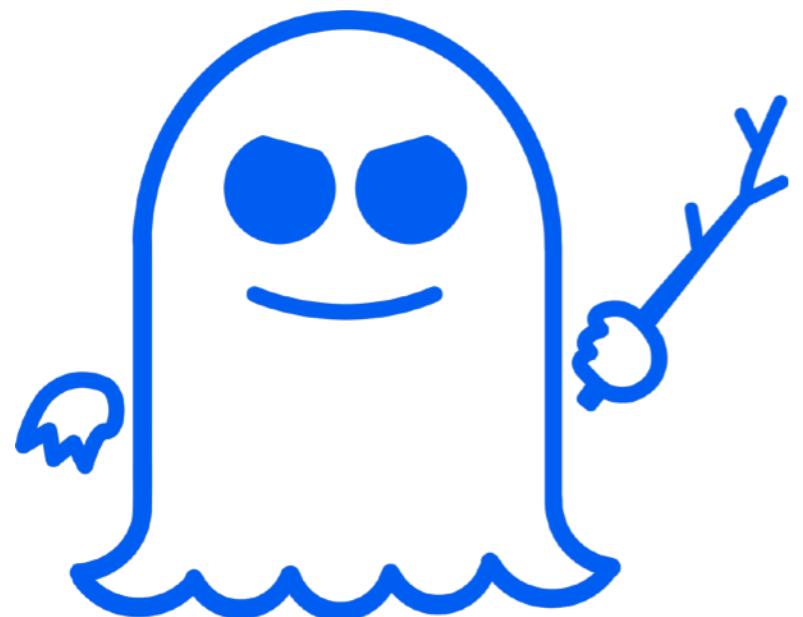
$f(5);$

4) Extract

"K-th block causes cache miss only if $A[5] = Z$ "

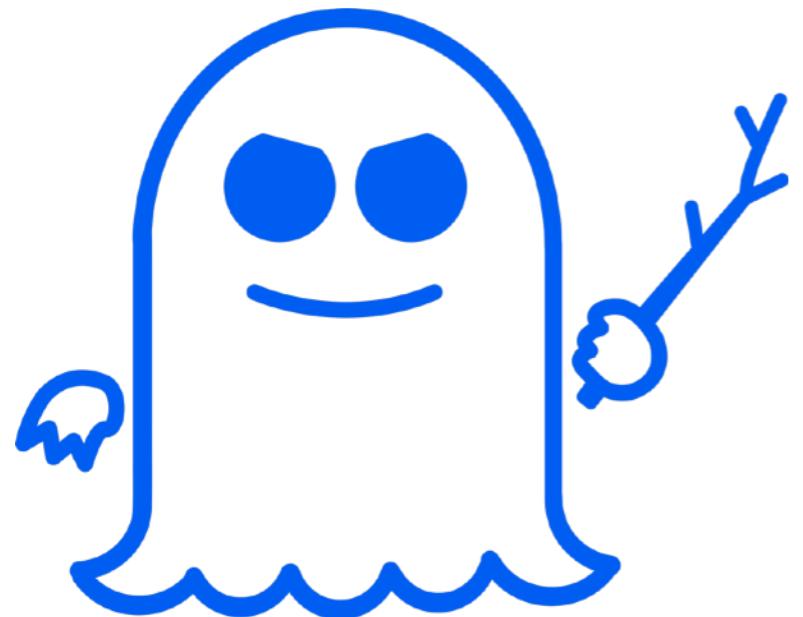
... and his brothers

... and his brothers



Spectre V2

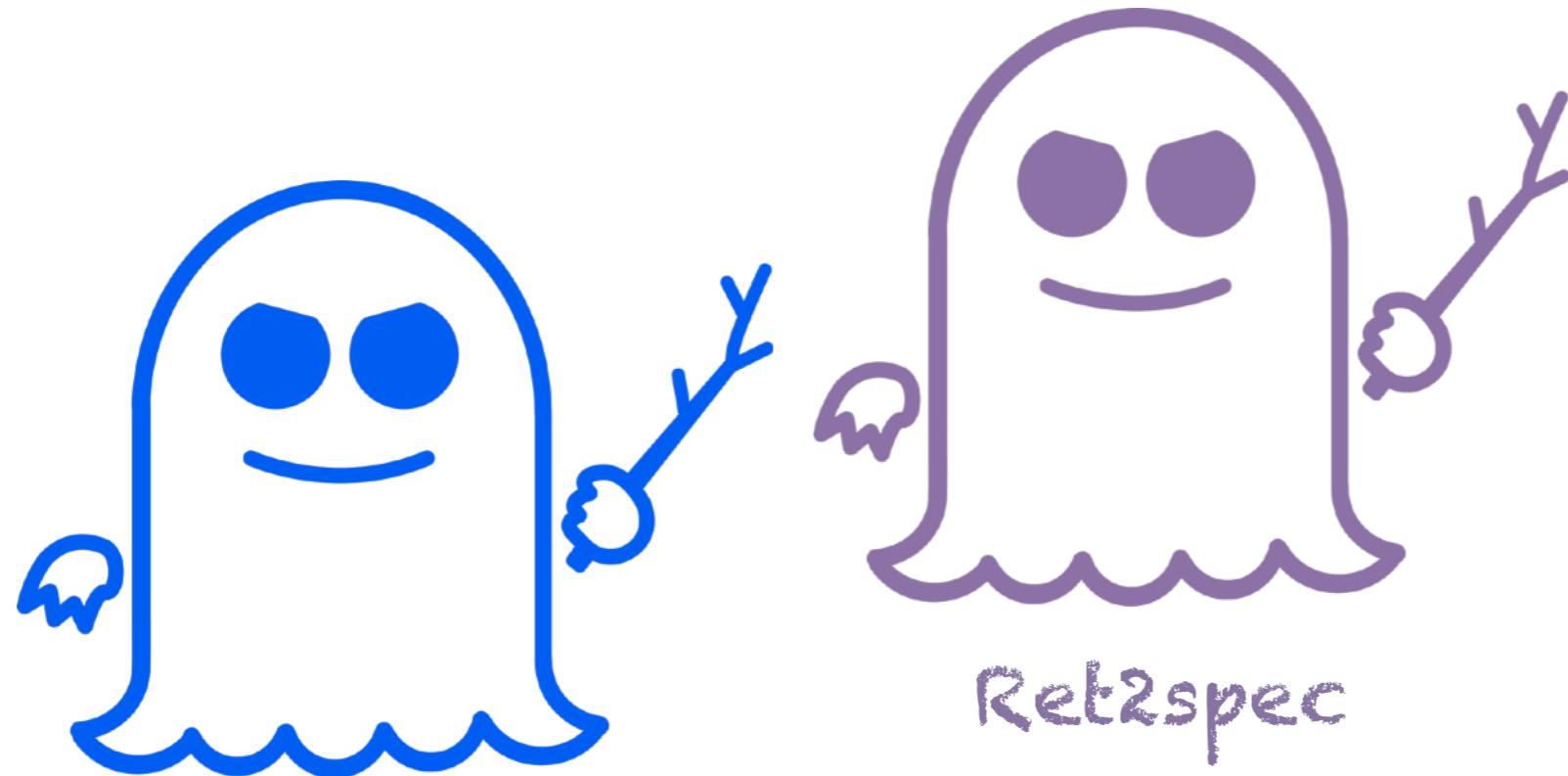
... and his brothers



Spectre V2

Speculation induced
through indirect branch
prediction

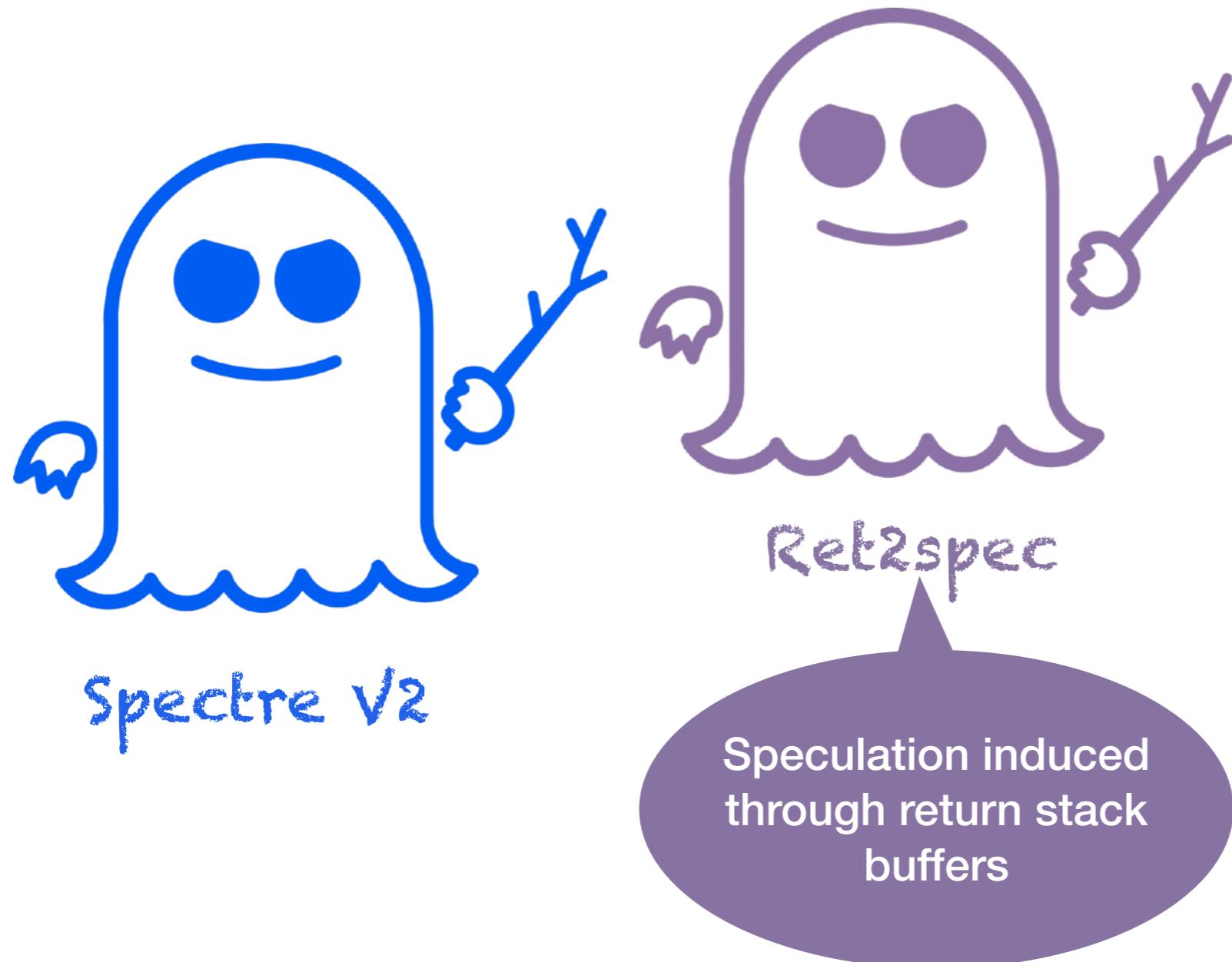
... and his brothers



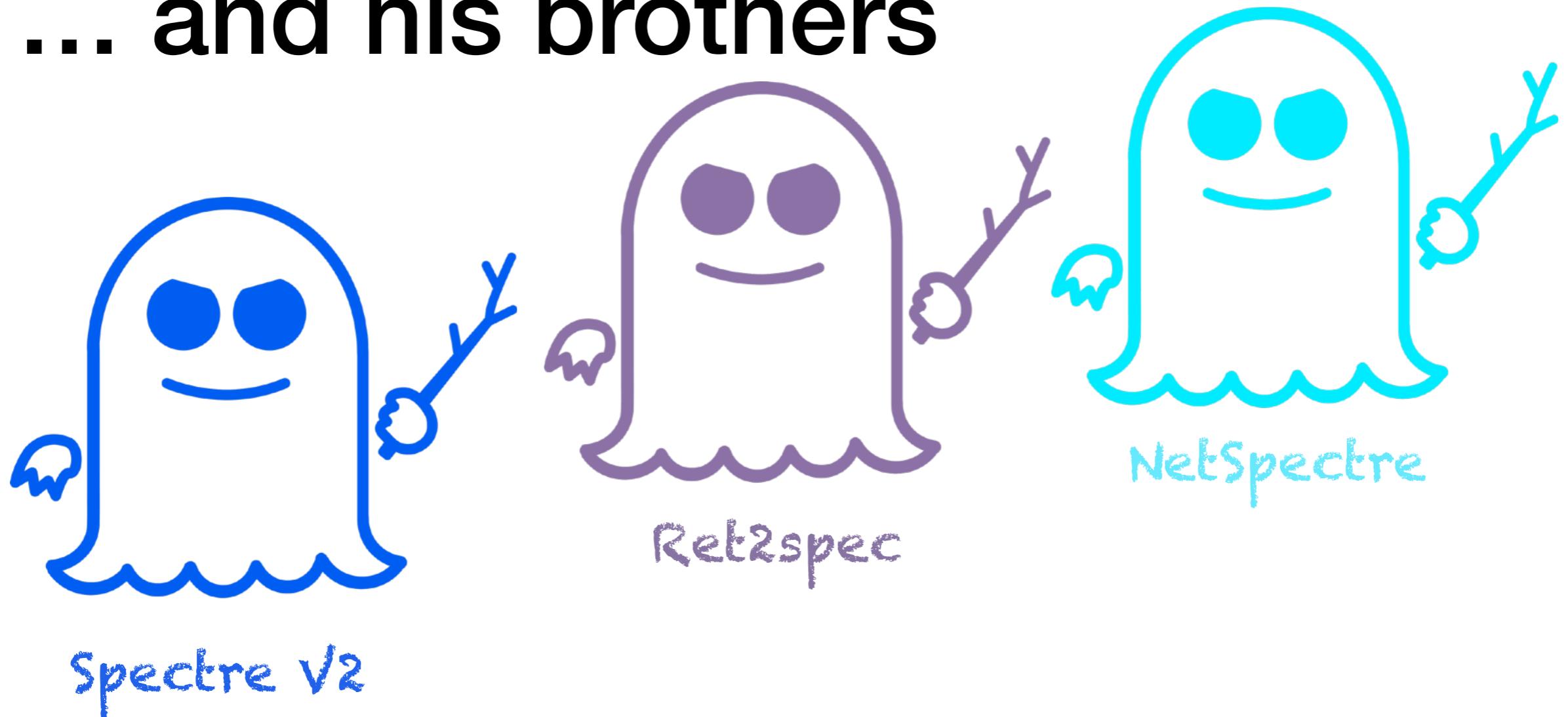
Ret2spec

Spectre V2

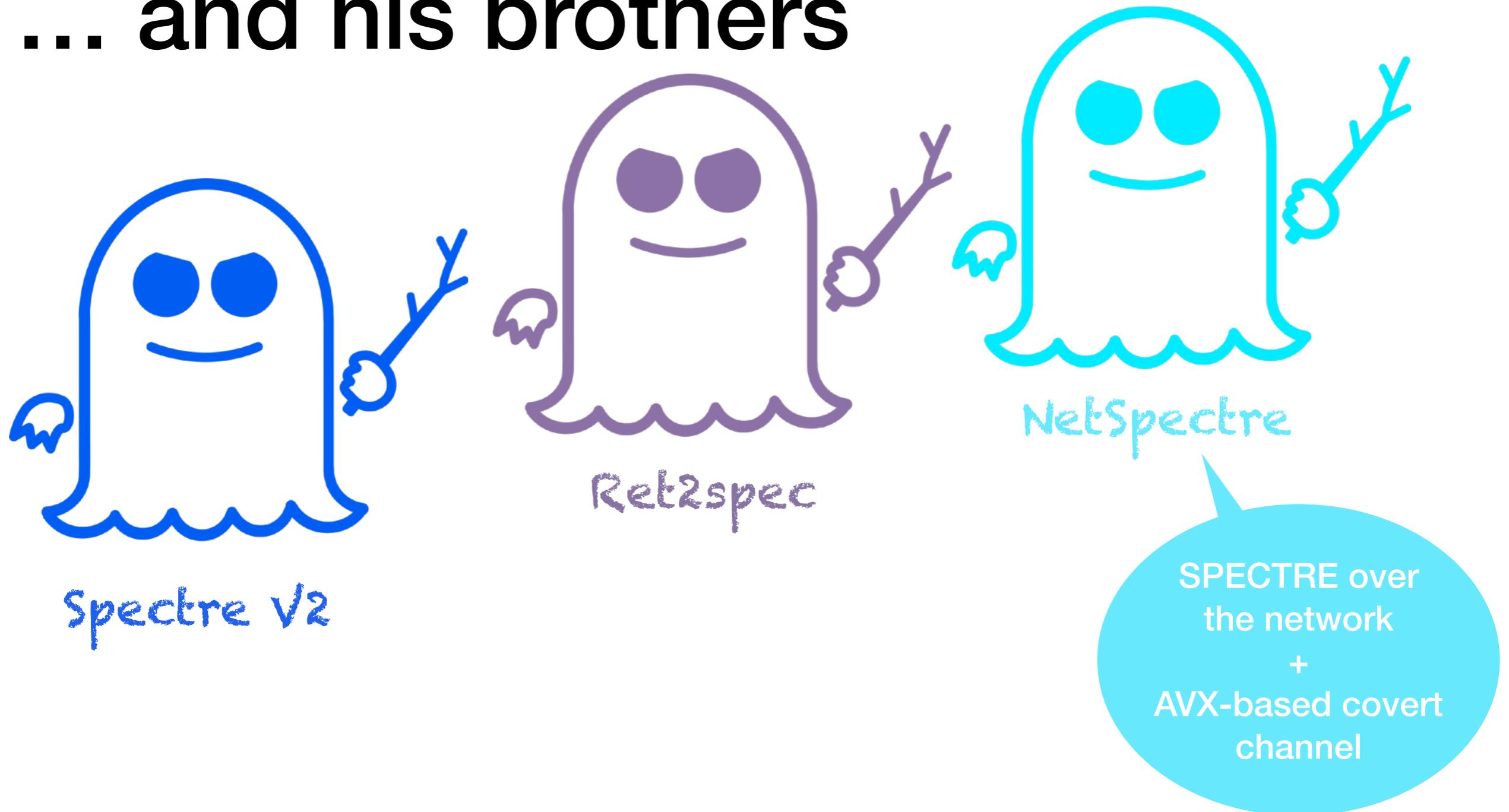
... and his brothers



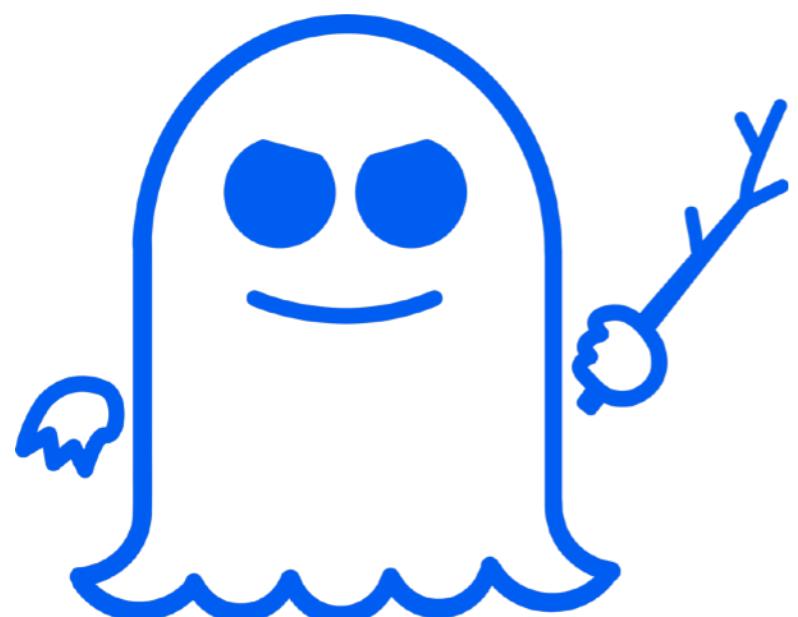
... and his brothers



... and his brothers



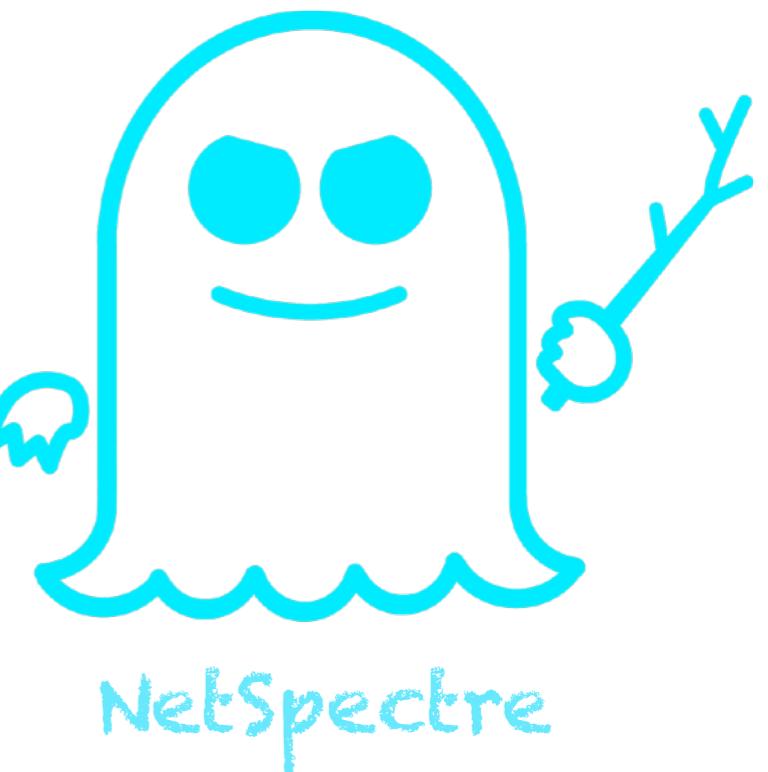
... and his brothers



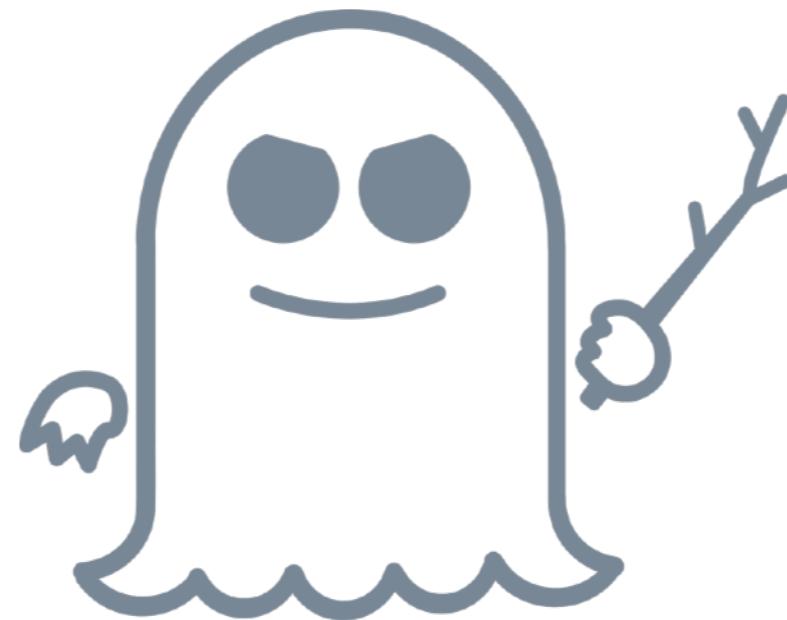
Spectre V2



Ret2spec



NetSpectre



Spectre V4

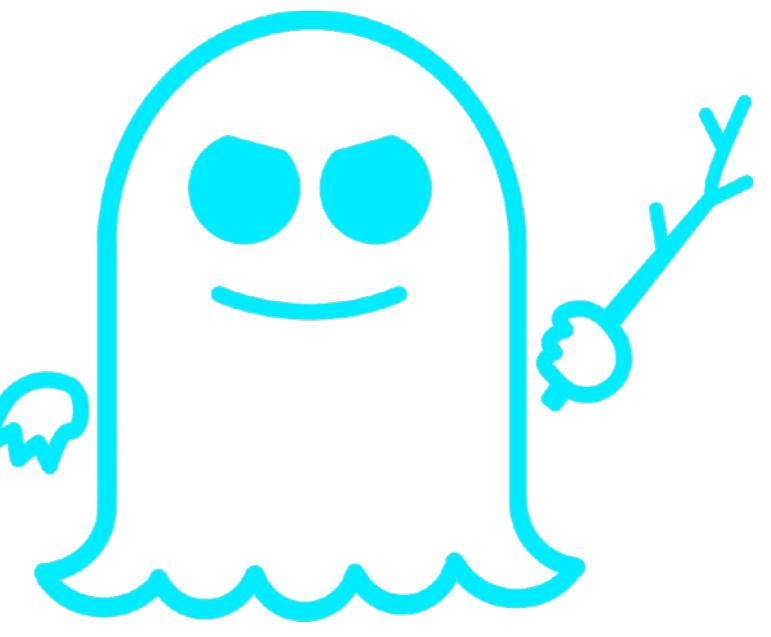
... and his brothers



Spectre V2



Ret2spec



NetSpectre



Speculating over
preceding loads
(memory disambiguation
predictors)

Spectre V4

... and his brothers



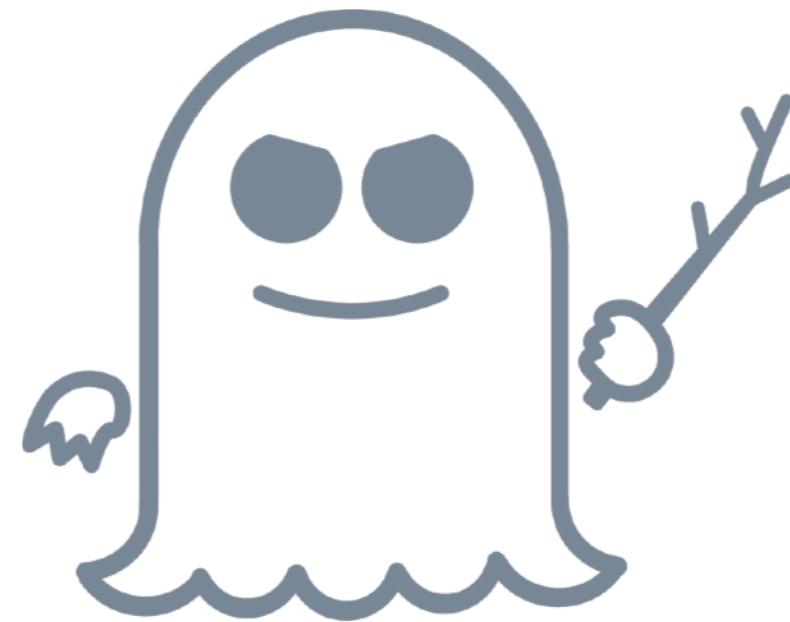
Spectre V2



Ret2spec



NetSpectre



Spectre V4



Countermeasures (SPECTRE V1)

Countermeasures SPECTRE v1

Countermeasures SPECTRE v1

- Disable speculative execution in CPU

Countermeasures SPECTRE v1

- Disable speculative execution in CPU

```
void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
```

Victim's code

4

Countermeasures SPECTRE v1

- Disable speculative execution in CPU

```
void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
```

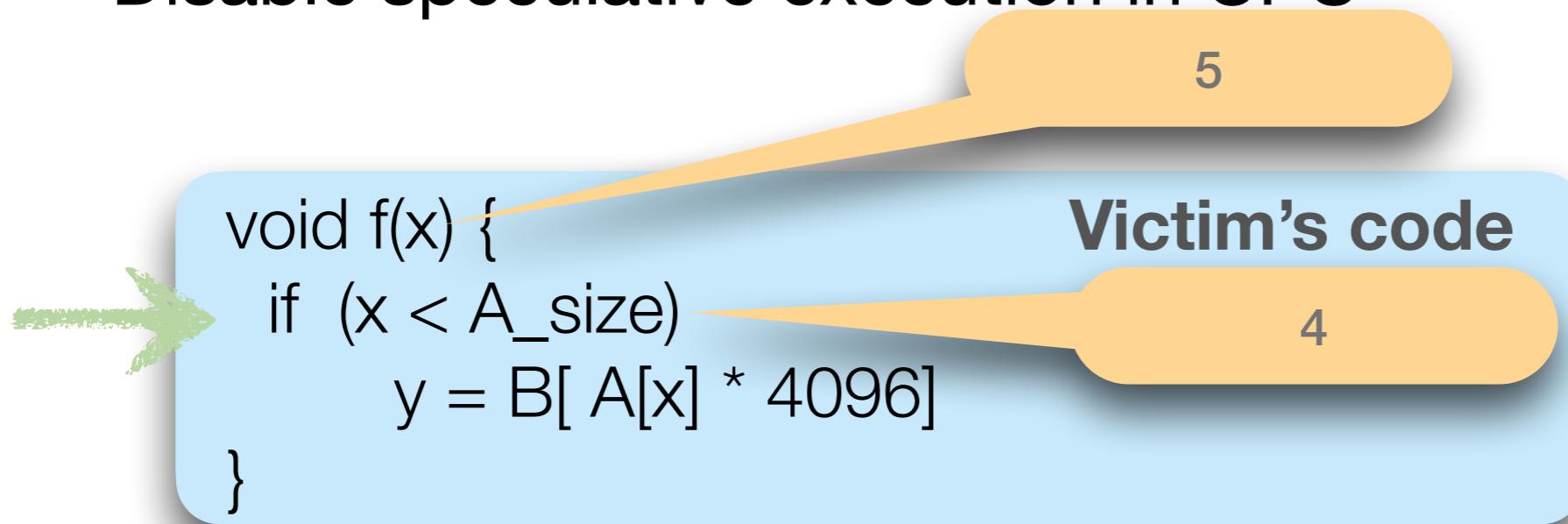
Victim's code

5

4

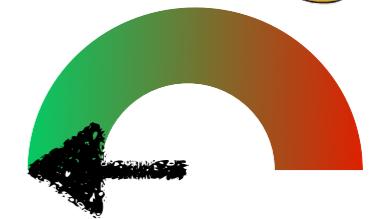
Countermeasures SPECTRE v1

- Disable speculative execution in CPU



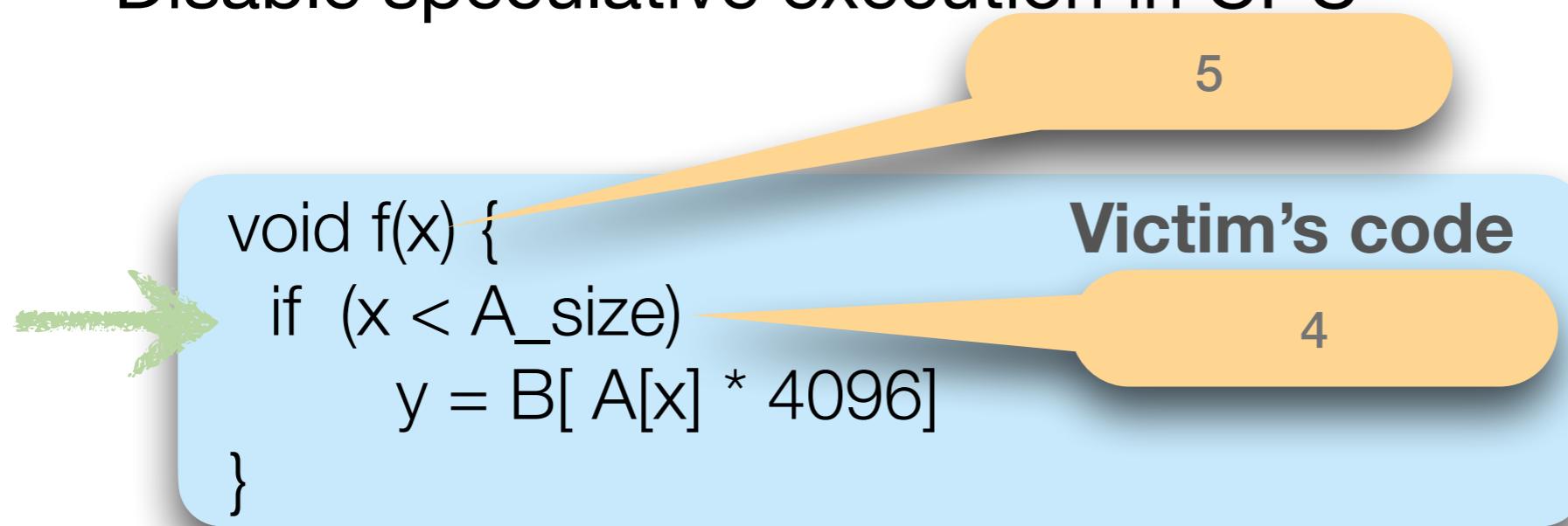
Countermeasures SPECTRE v1

- Disable speculative execution in CPU



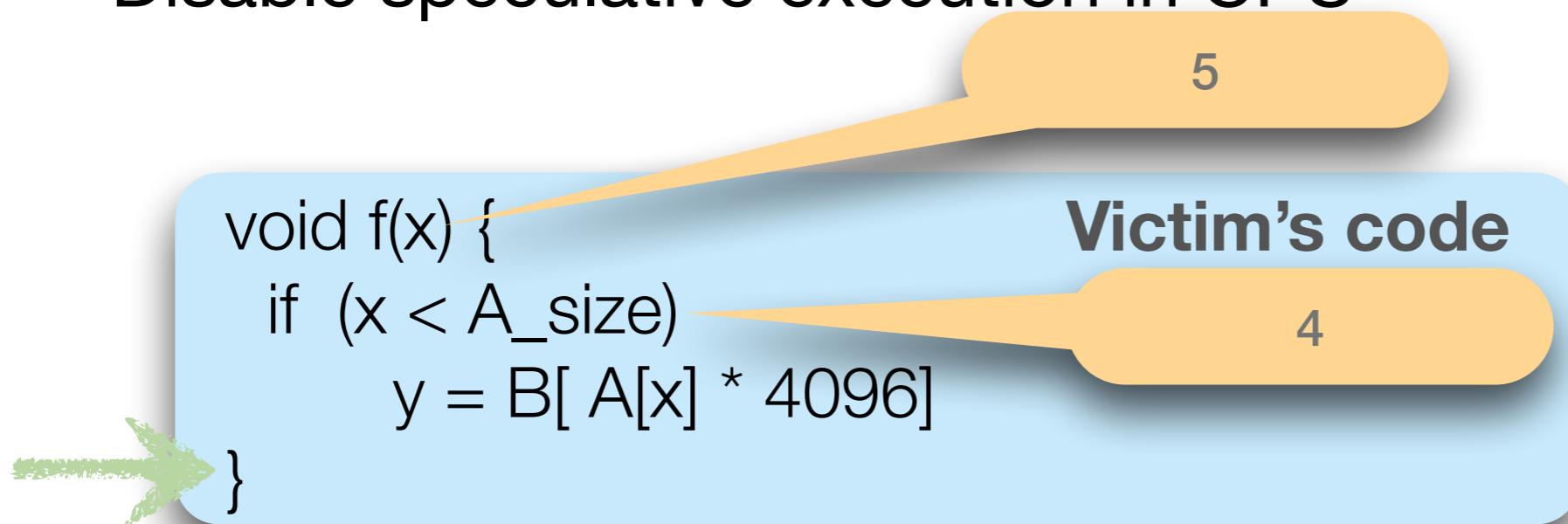
Countermeasures SPECTRE v1

- Disable speculative execution in CPU



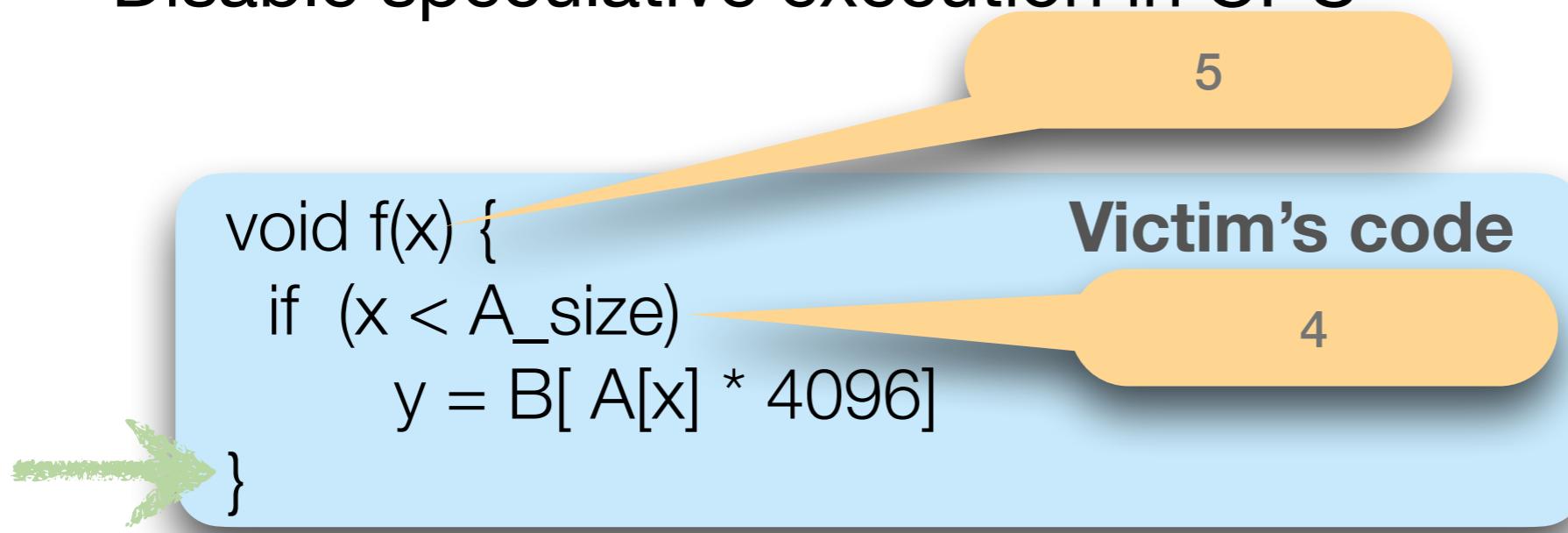
Countermeasures SPECTRE v1

- Disable speculative execution in CPU



Countermeasures SPECTRE v1

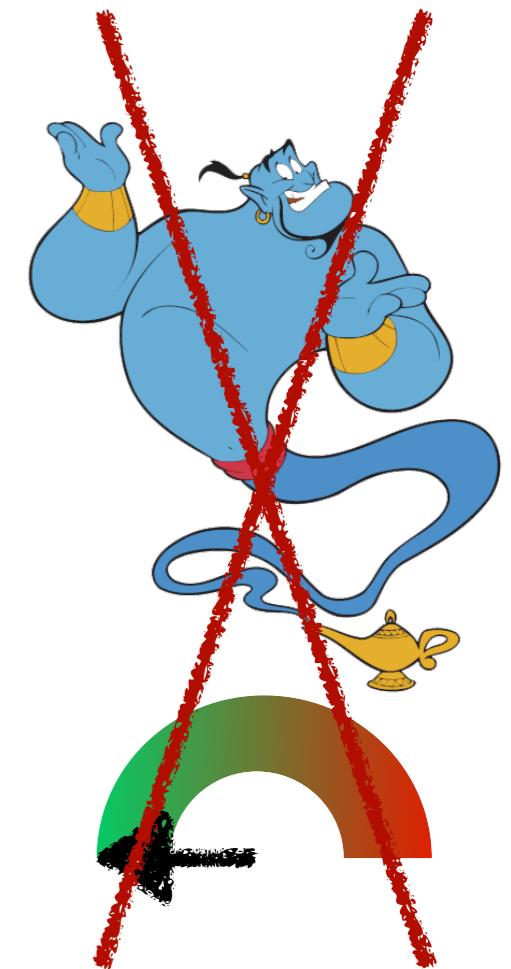
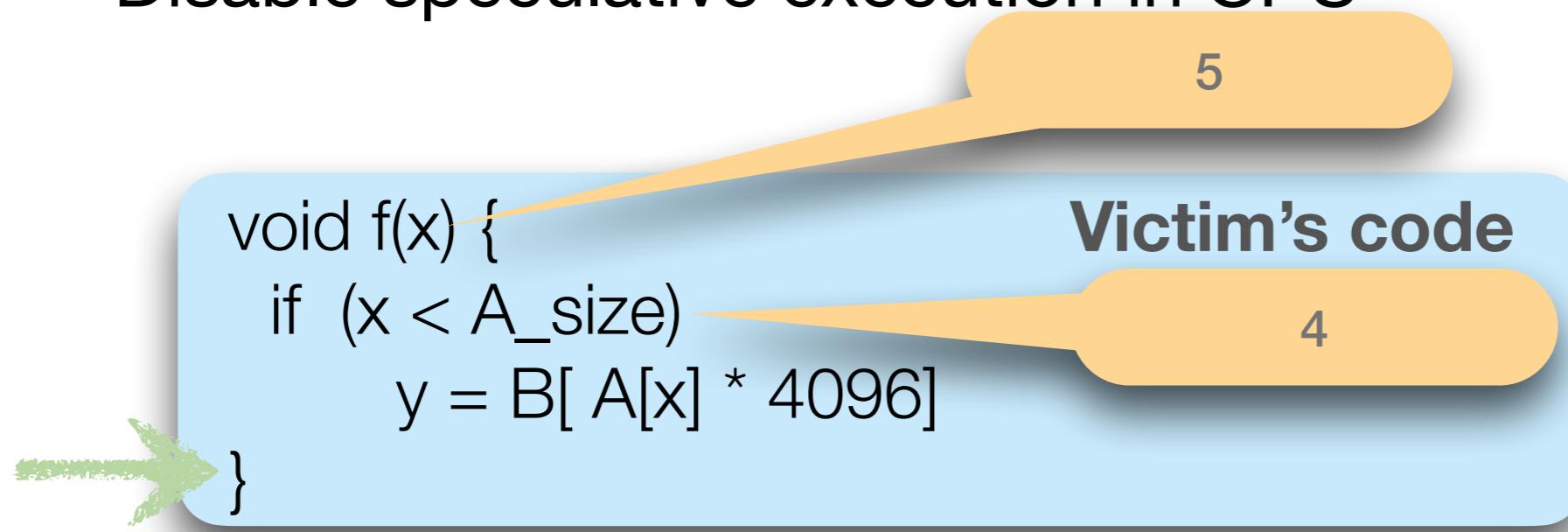
- Disable speculative execution in CPU



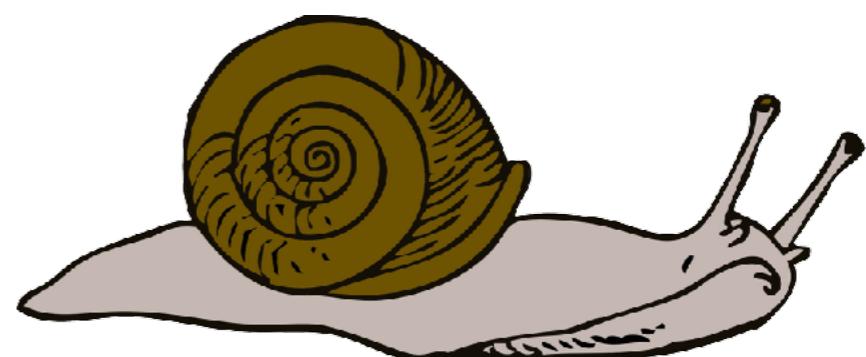
- **SECURE**

Countermeasures SPECTRE v1

- Disable speculative execution in CPU



- **SECURE**
- **PROBLEM:** Performance



Countermeasures SPECTRE v1

Countermeasures SPECTRE v1

- Speculation barriers

Countermeasures SPECTRE v1

- Speculation barriers

```
void f(x) {  
    if (x < A_size)  
        barrier  
    y = B[ A[x] * 4096]  
}
```

Victim's code

4

Countermeasures SPECTRE v1

- Speculation barriers



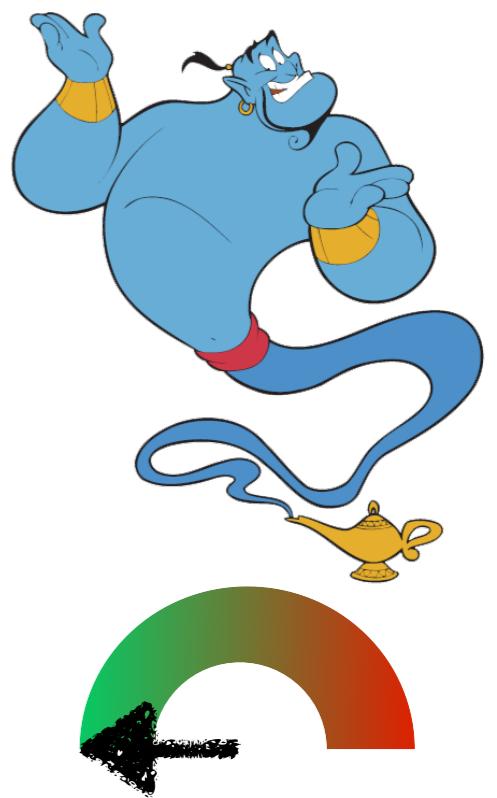
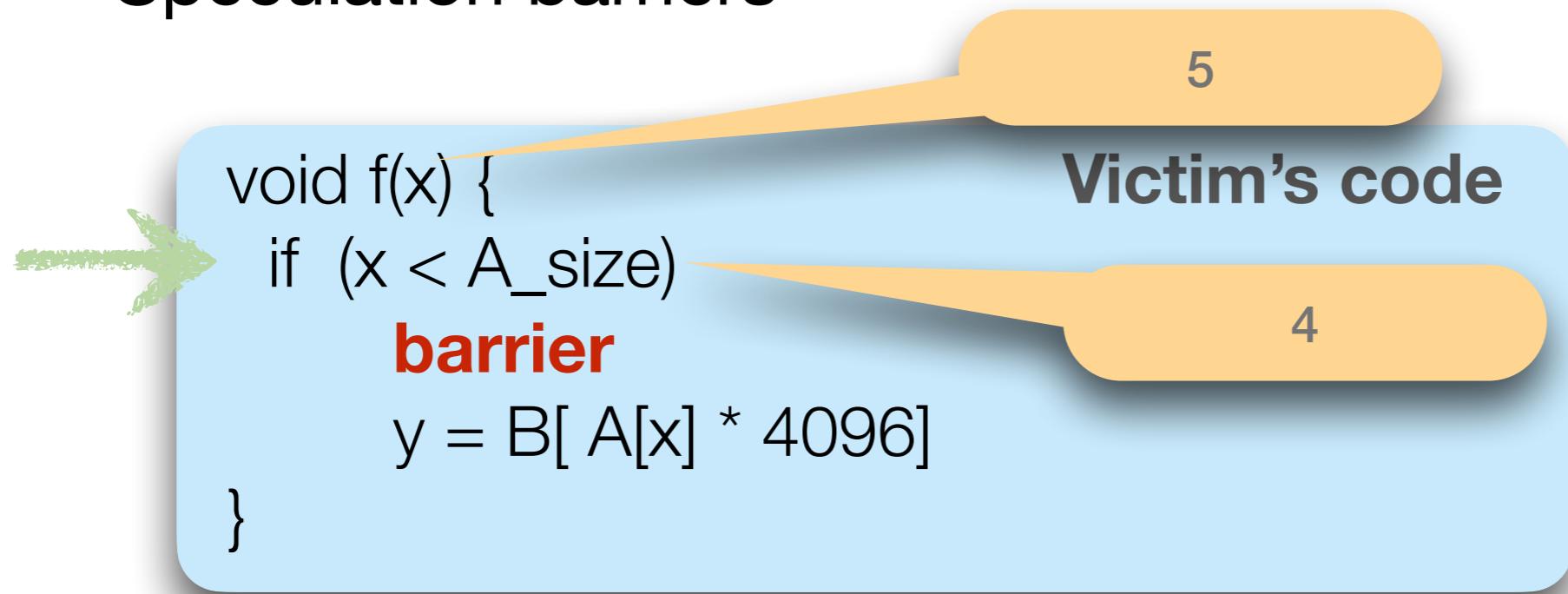
Countermeasures SPECTRE v1

- Speculation barriers



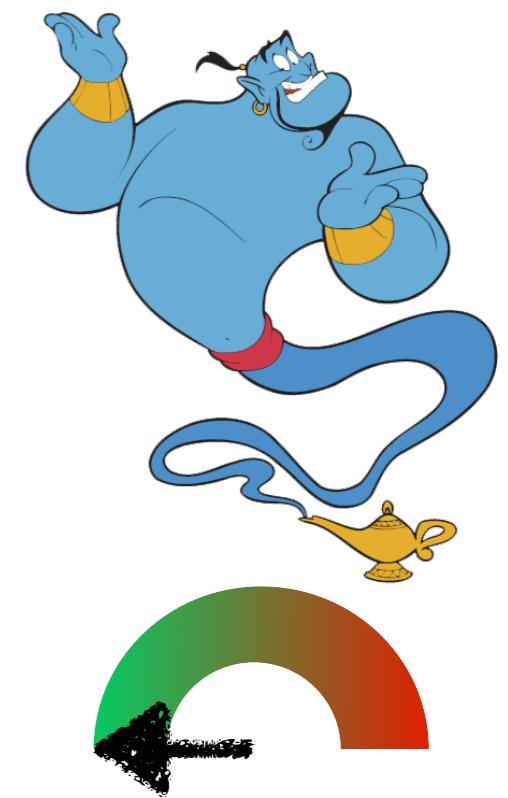
Countermeasures SPECTRE v1

- Speculation barriers



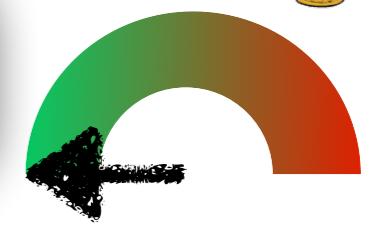
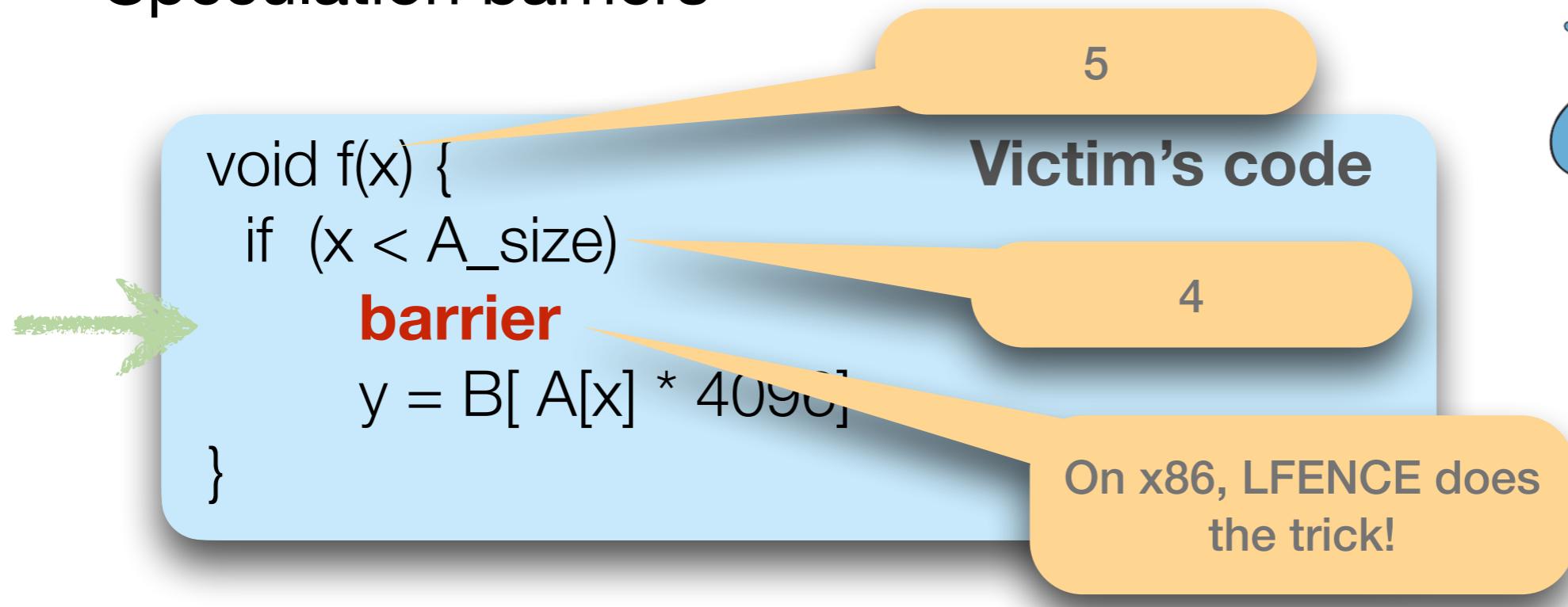
Countermeasures SPECTRE v1

- Speculation barriers



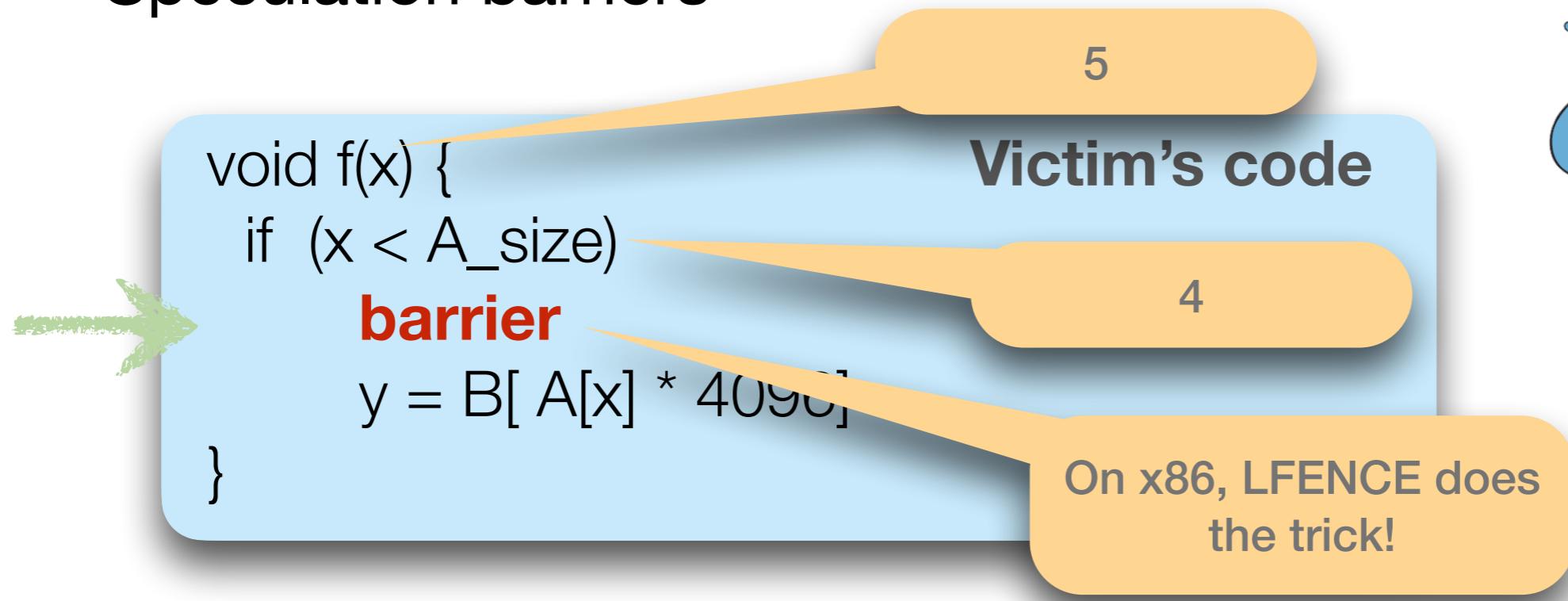
Countermeasures SPECTRE v1

- Speculation barriers



Countermeasures SPECTRE v1

- Speculation barriers



- **PROBLEM:** How do we place barriers?

Countermeasures SPECTRE v1

- Speculation barriers



- **PROBLEM:** How do we place barriers?
 - Trade-off between security and performance

Countermeasures SPECTRE v1

Countermeasures SPECTRE v1

- Speculative load hardening

Countermeasures SPECTRE v1

- Speculative load hardening

```
void f(x) {  
    mask = 0xFF..FF  
    if (x < A_size)  
        mask = x >= A_size ? 0x0 : mask;  
    y = B[(A[x] * 4096) & mask]  
}
```

Victim's code

4

Countermeasures SPECTRE v1

- Speculative load hardening



void f(x) {
 mask = 0xFF..FF
 if (x < A_size)
 mask = x >= A_size ? 0x0 : mask;
 y = B[(A[x] * 4096) **& mask**]
}

Victim's code



4

Countermeasures SPECTRE v1

- Speculative load hardening



```
void f(x) {  
    mask = 0xFF..FF  
    if (x < A_size)  
        mask = x >= A_size ? 0x0 : mask;  
    y = B[(A[x] * 4096) & mask]  
}
```

Victim's code

5

4

Countermeasures SPECTRE v1

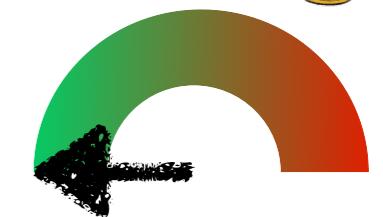
- Speculative load hardening

```
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    mask = 0xFF..FF  
    if (x < A_size)  
        mask = x >= A_size ? 0x0 : mask;  
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}
```

Victim's code

5

4



Countermeasures SPECTRE v1

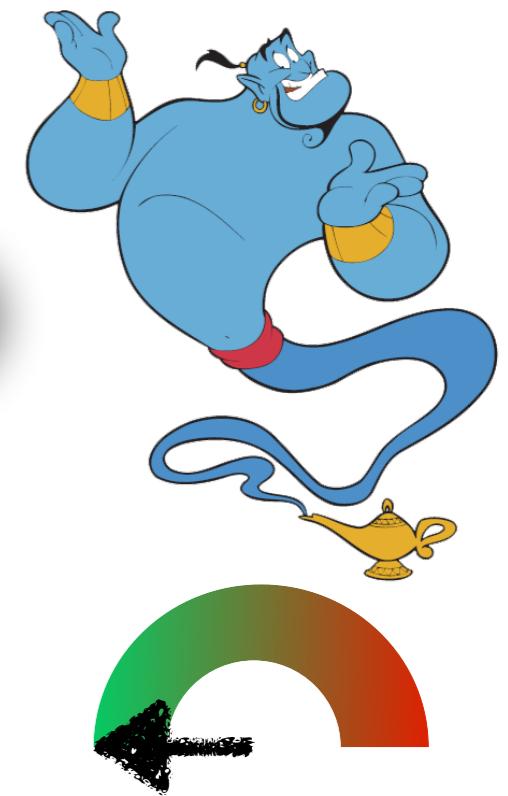
- Speculative load hardening

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    y = B[(A[x] * 4096) & mask]  
}
```

Victim's code

5

4



Countermeasures SPECTRE v1

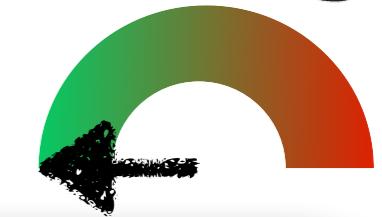
- Speculative load hardening

```
void f(x) {  
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    if (x < A_size)  
        mask = x >= A_size ? 0x0 : mask;  
    y = B[(A[x] * 4096) & mask]  
}
```

Victim's code

5

4



Blocks the leak into
cache

Countermeasures SPECTRE v1

- Speculative load hardening

```
void f(x) {  
    mask = 0xFF..FF  
    if (x < A_size)  
        mask = x >= A_size ? 0x0 : mask;  
    y = B[(A[x] * 4096) & mask]  
}
```

Victim's code

5

4

cache



- **PROBLEM:**
 - How can we find the code to harden?

Blocks the leak into cache

Countermeasures SPECTRE v1

Countermeasures SPECTRE v1

- Roll-back micro-architectural state when speculative execution aborts

Countermeasures SPECTRE v1

- Roll-back micro-architectural state when speculative execution aborts

```
void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
```

Victim's code

4

Countermeasures SPECTRE v1

- Roll-back micro-architectural state when speculative execution aborts

```
void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
```

Victim's code

5

4

Countermeasures SPECTRE v1

- Roll-back micro-architectural state when speculative execution aborts

```
void f(x) {  
    if (x < A_size)  
        y = B[ A[x] * 4096]  
}
```

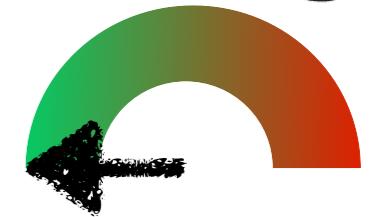
Victim's code

5

4

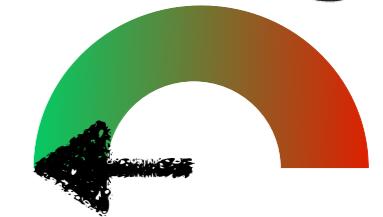
Countermeasures SPECTRE v1

- Roll-back micro-architectural state when speculative execution aborts



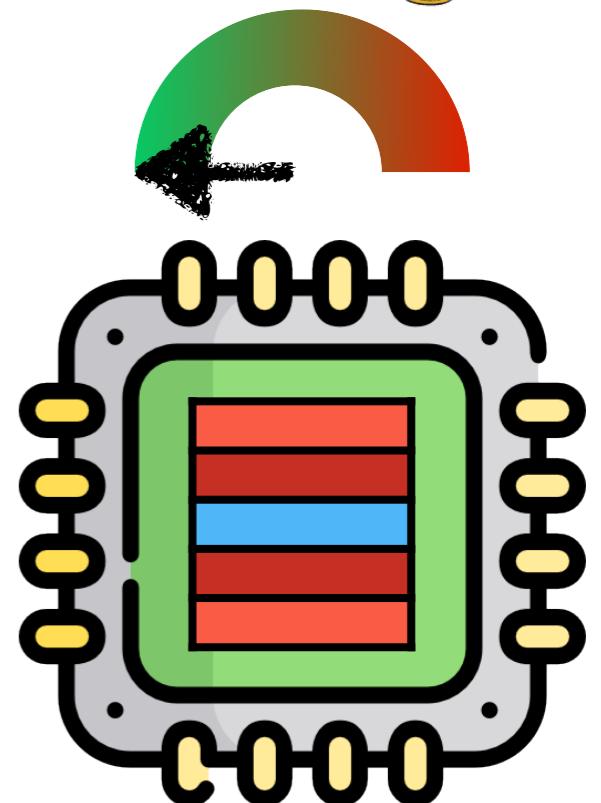
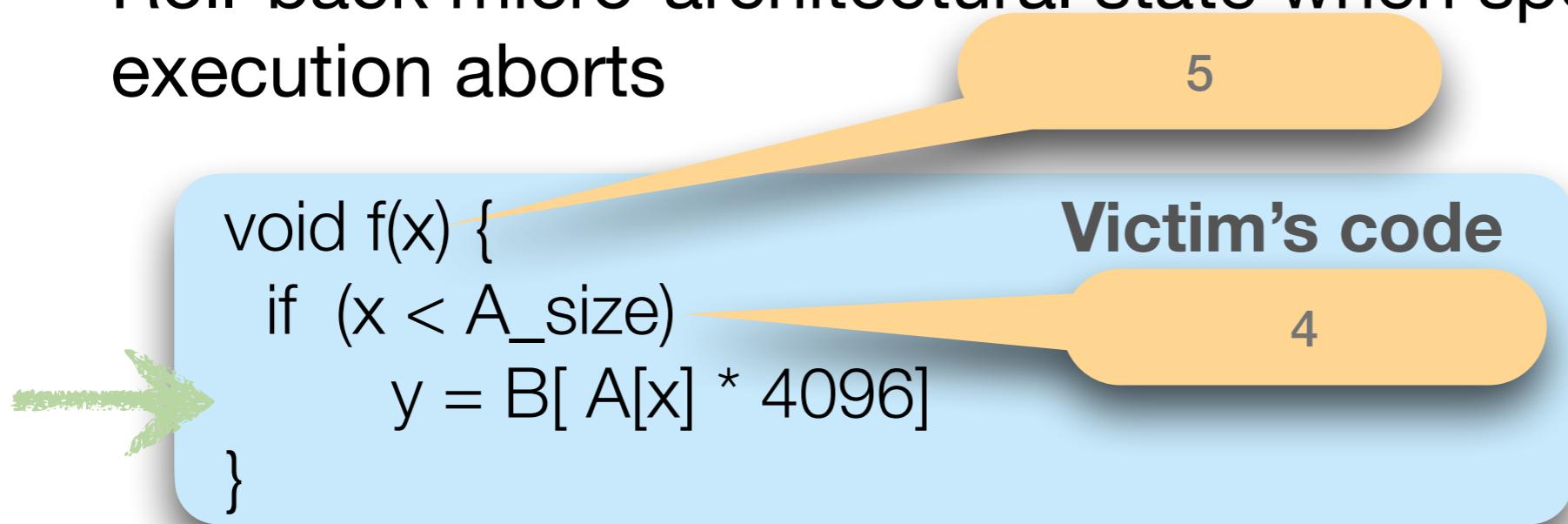
Countermeasures SPECTRE v1

- Roll-back micro-architectural state when speculative execution aborts



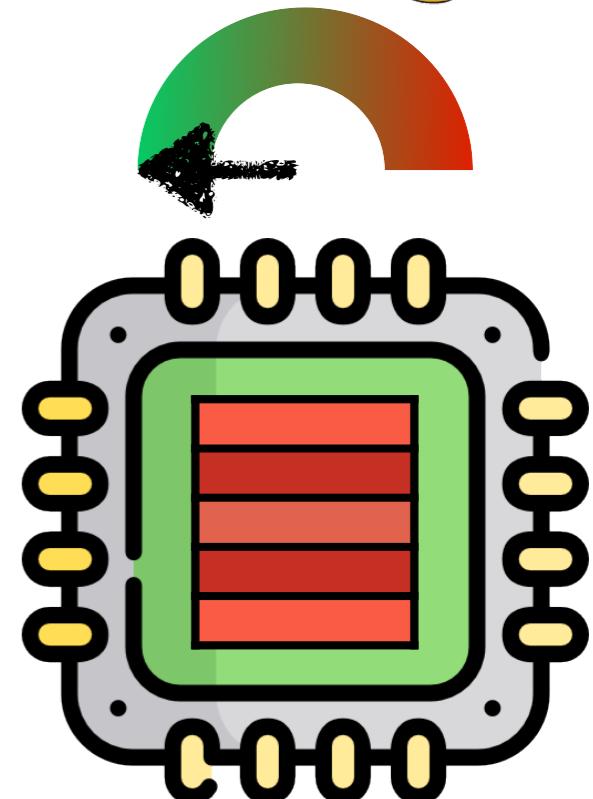
Countermeasures SPECTRE v1

- Roll-back micro-architectural state when speculative execution aborts



Countermeasures SPECTRE v1

- Roll-back micro-architectural state when speculative execution aborts

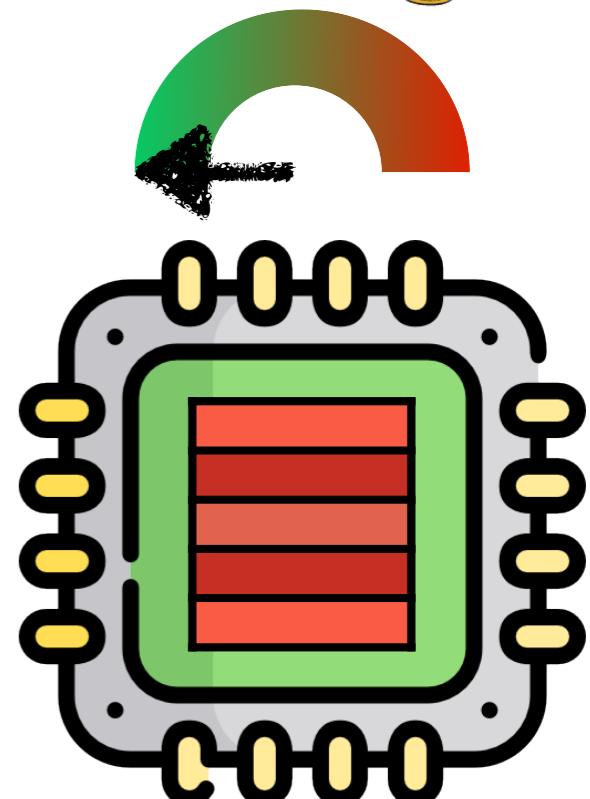


Countermeasures SPECTRE v1

- Roll-back micro-architectural state when speculative execution aborts



- **PROBLEMS**
 - Requires changes in HW



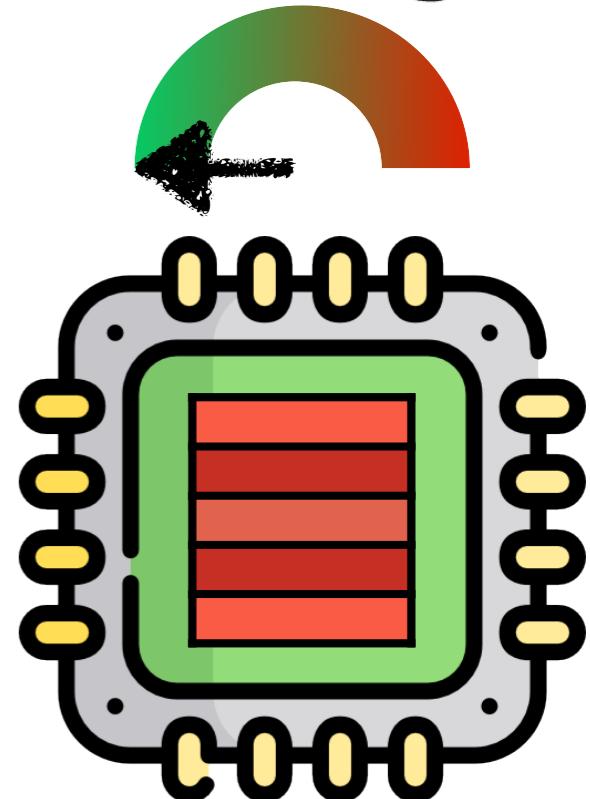
Countermeasures SPECTRE v1

- Roll-back micro-architectural state when speculative execution aborts



- **PROBLEMS**

- Requires changes in HW
- Attacker may observe micro-architectural state during speculation



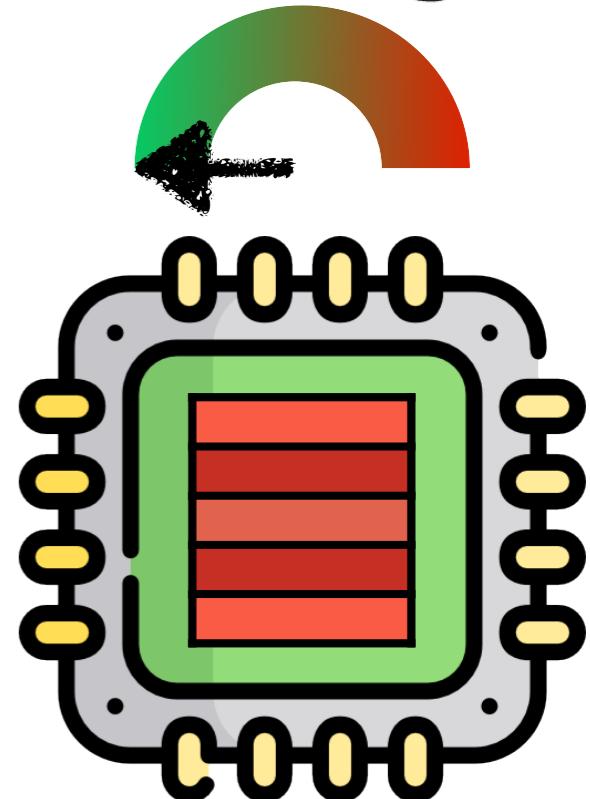
Countermeasures SPECTRE v1

- Roll-back micro-architectural state when speculative execution aborts



- **PROBLEMS**

- Requires changes in HW
- Attacker may observe micro-architectural state during speculation
- What is micro-architectural state?



**SPECTRE again
(Variant 2)**

Return of the branch predictor

- Branch history buffer
- Branch target buffer
- Pattern history table
- Global history counter



Branch history buffer



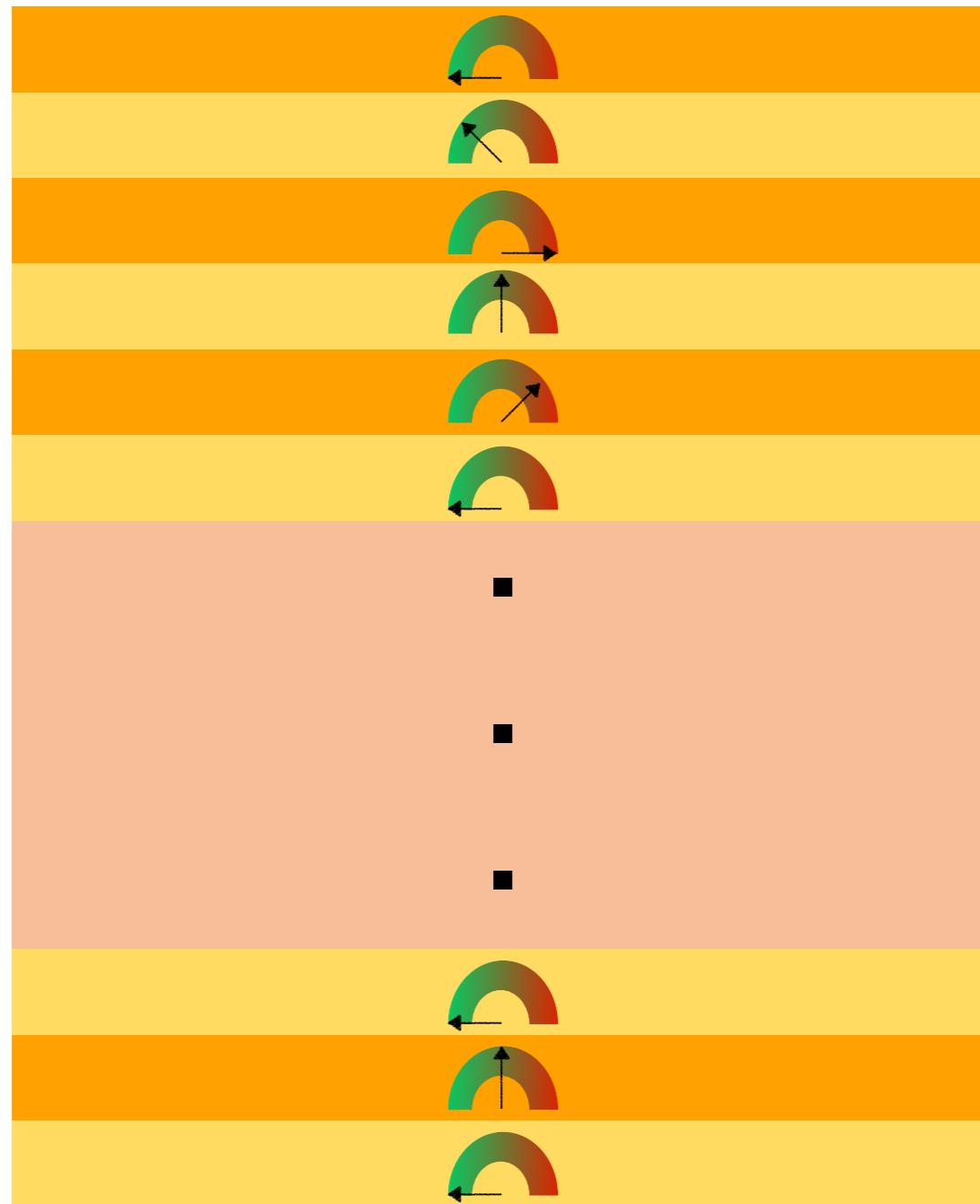
Branch history buffer

How do we handle multiple branch instructions with dynamic BP?



Branch history buffer

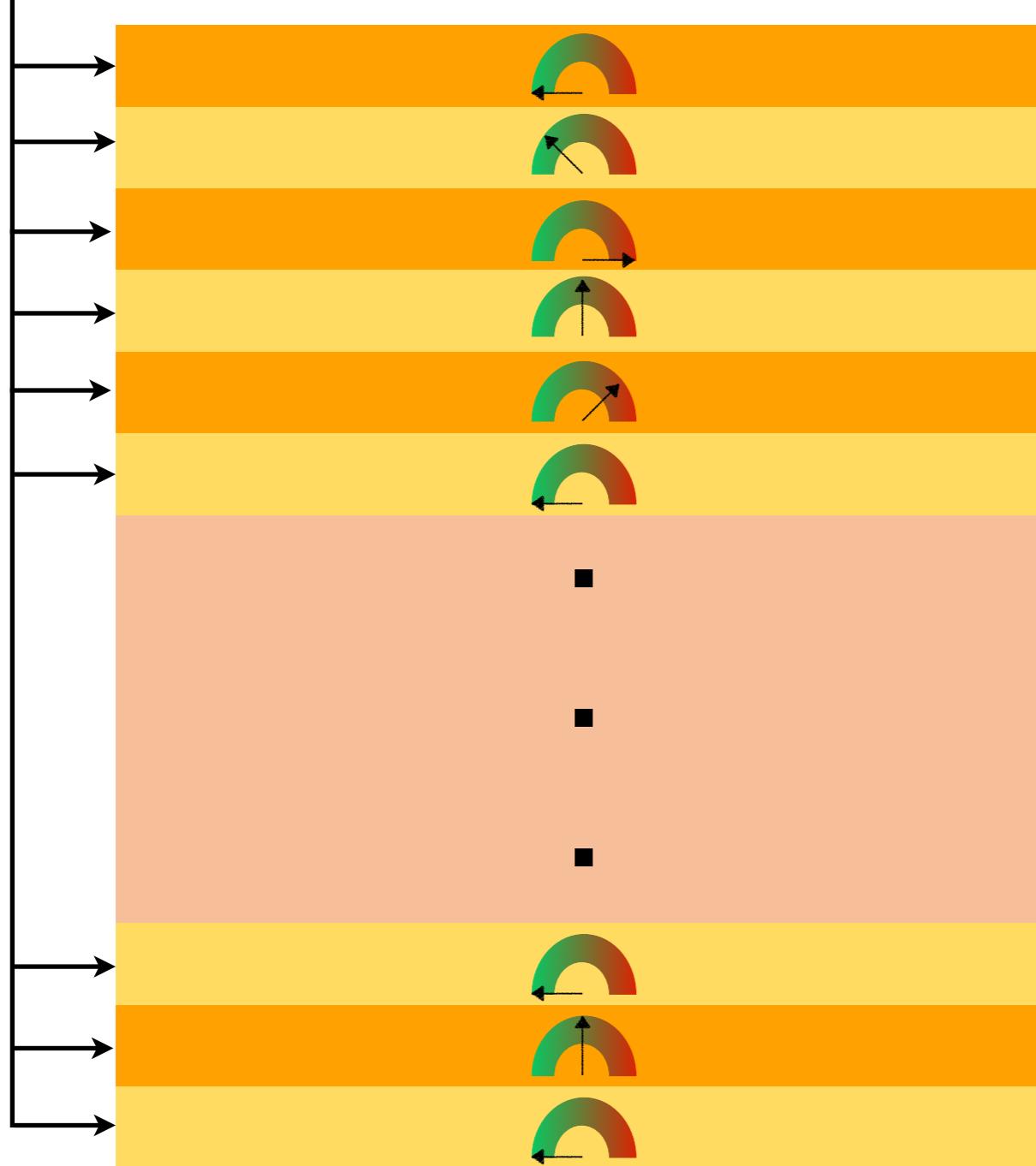
How do we handle multiple branch instructions with dynamic BP?



Branch history buffer

How do we handle multiple branch instructions with dynamic BP?

N lower bits of the
branch instr. address



Branch target buffer



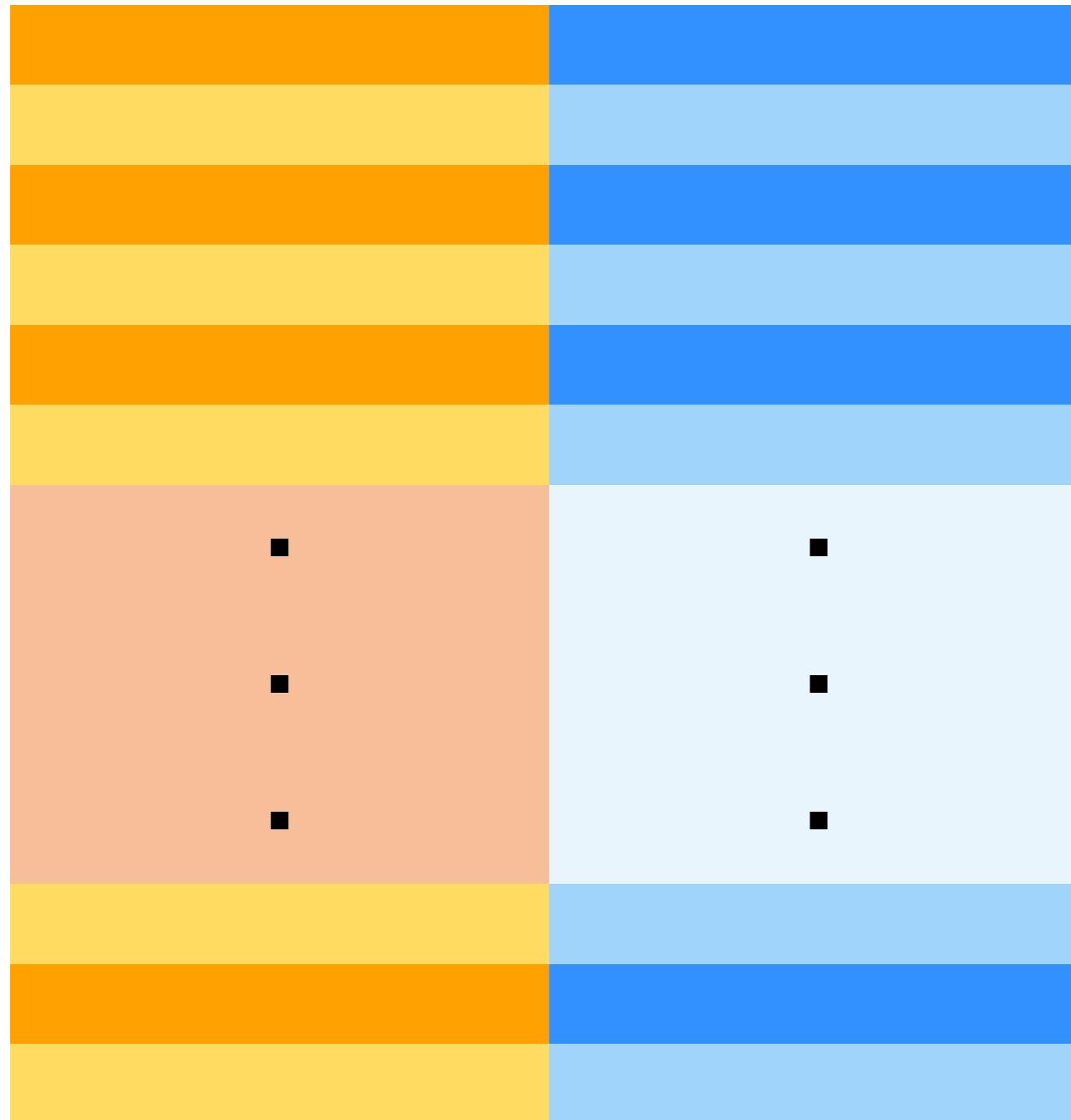
Branch target buffer

Can we predict the target address?
(or how do we handle indirect jumps?)



Branch target buffer

Can we predict the target address?
(or how do we handle indirect jumps?)

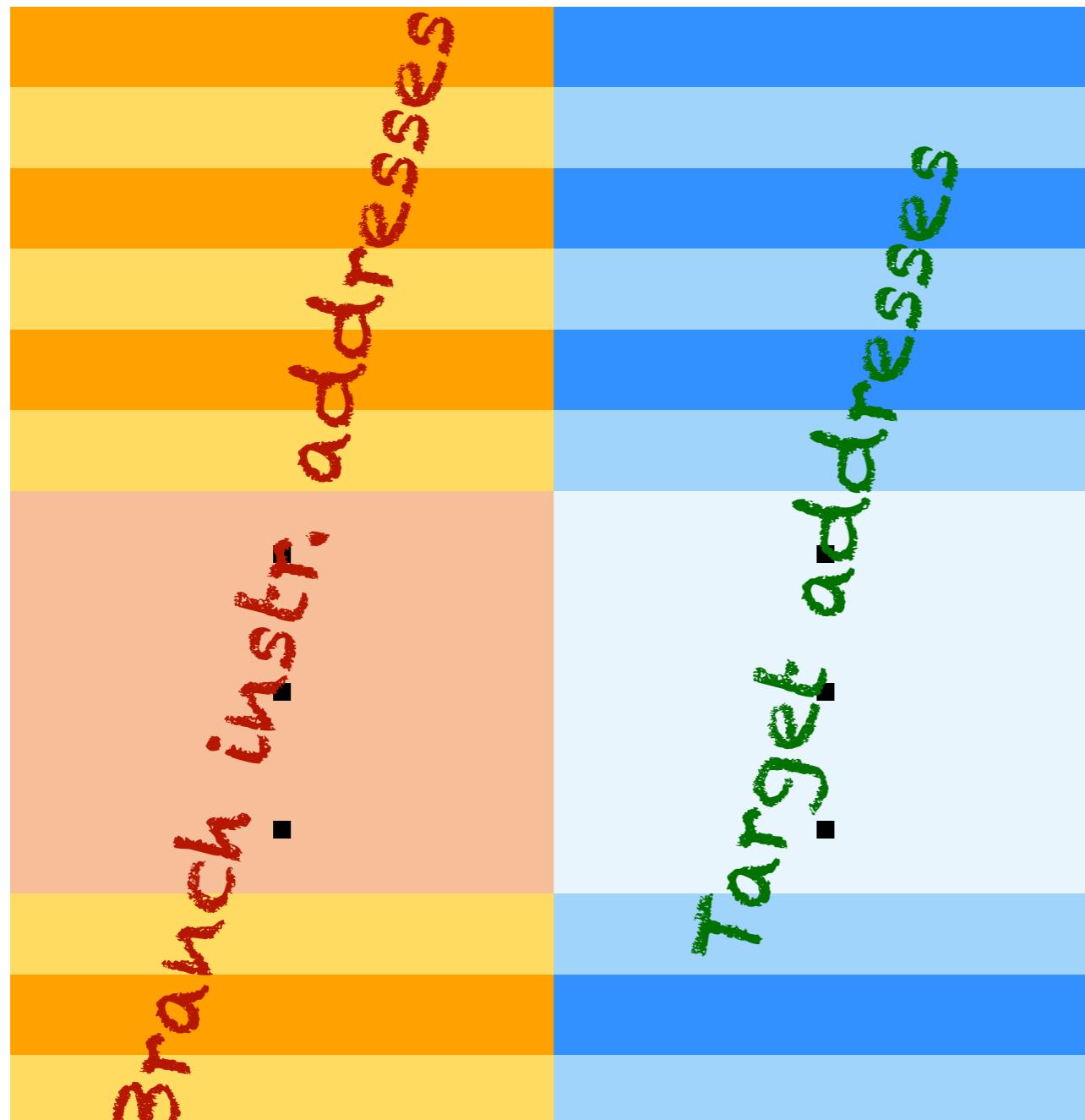


Associative memory



Branch target buffer

Can we predict the target address?
(or how do we handle indirect jumps?)

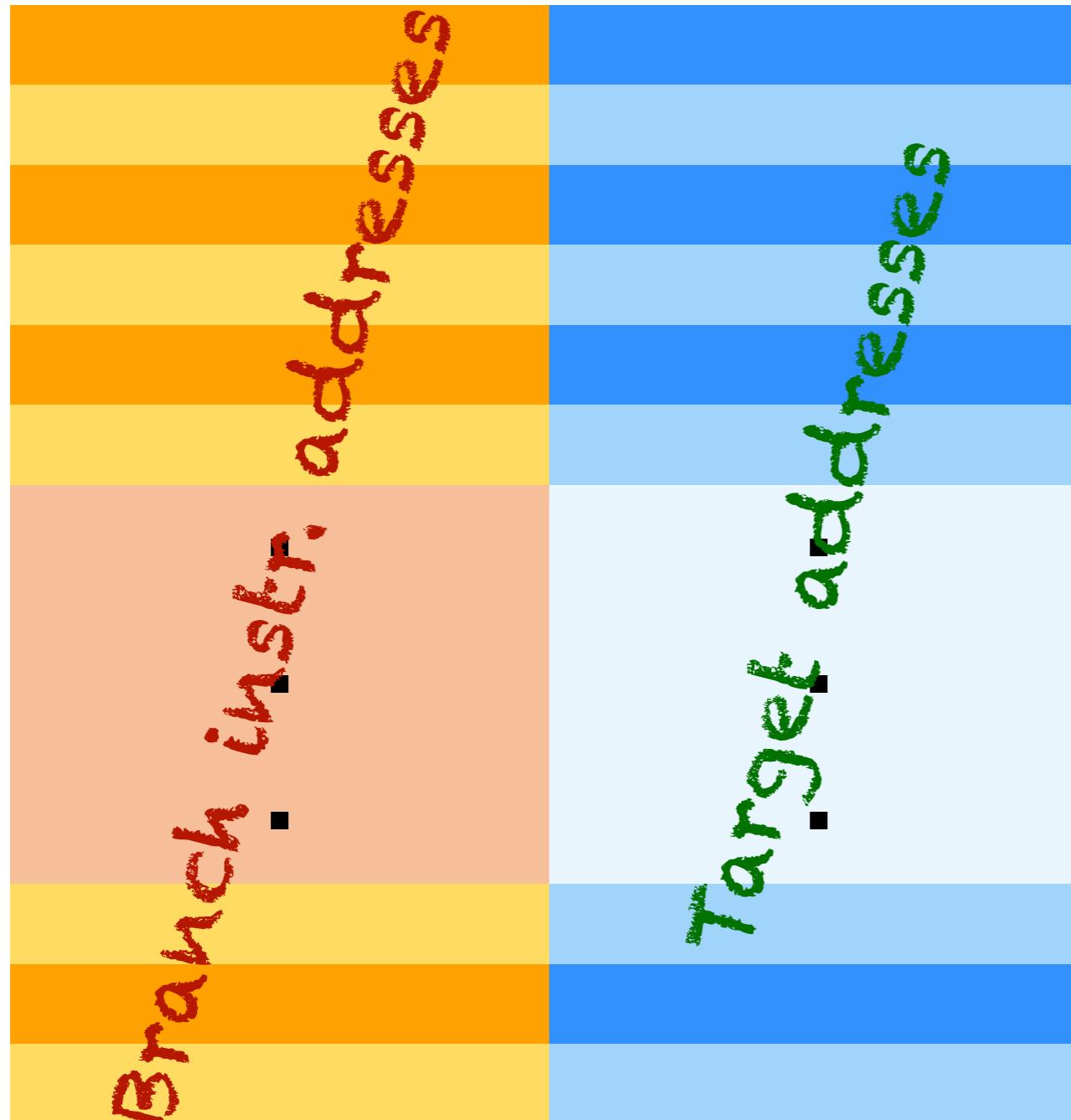


Associative memory



Branch target buffer

Can we predict the target address?
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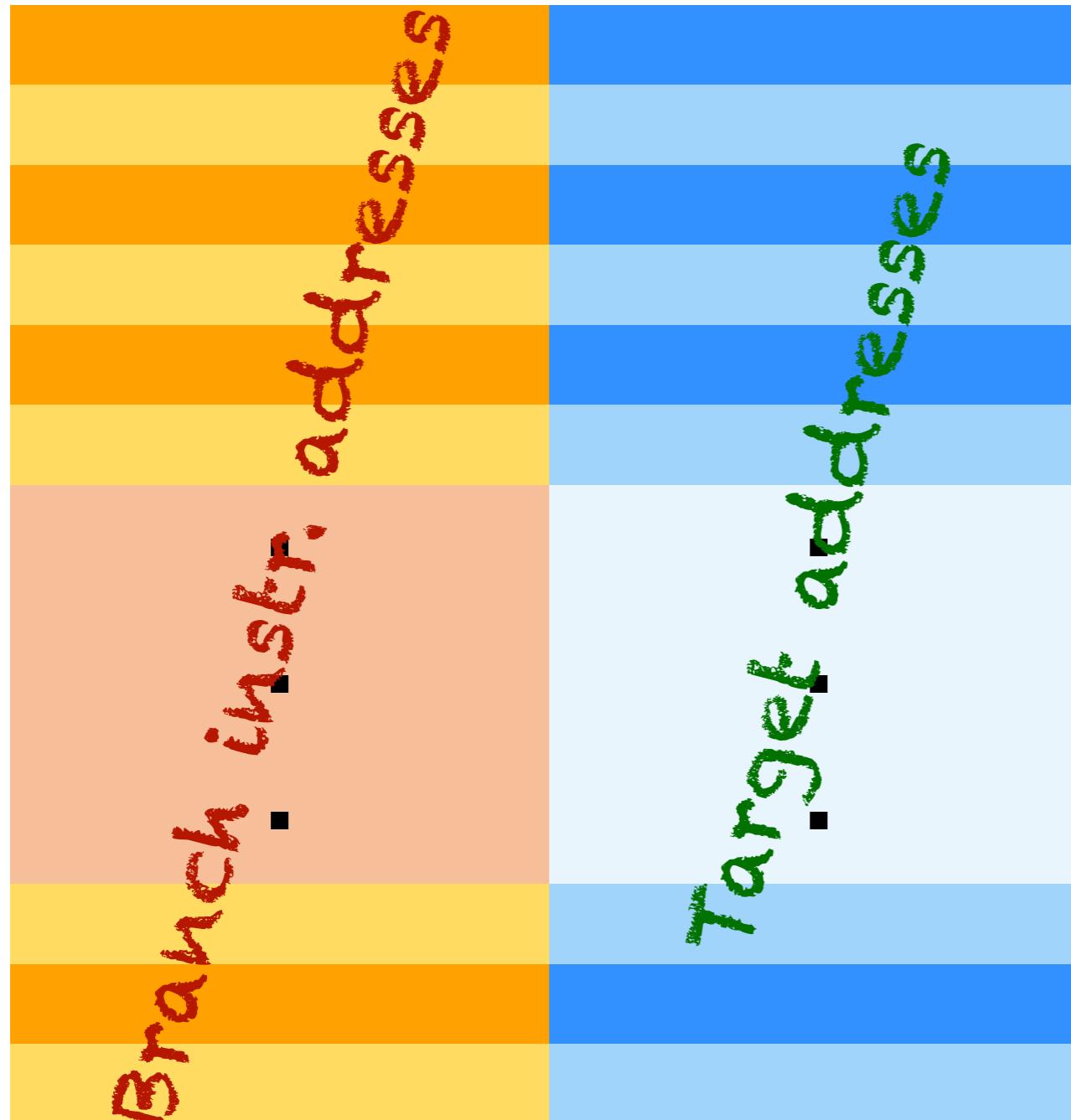


Associative memory



Branch target buffer

Can we predict the target address?
(or how do we handle indirect jumps?)



Associative memory



Whenever a branch/jump instruction is predicted as taken from BHT, we query BTB for its target

Update the target whenever the branch target is resolved

Pattern history table



Pattern history table

How do we handle recurring patterns?

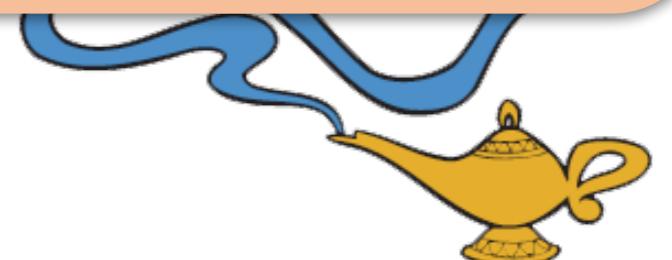


Pattern history table

How do we handle recurring patterns?



Remember the outcomes of the last N occurrences of the branch inside branch history register (BHR)

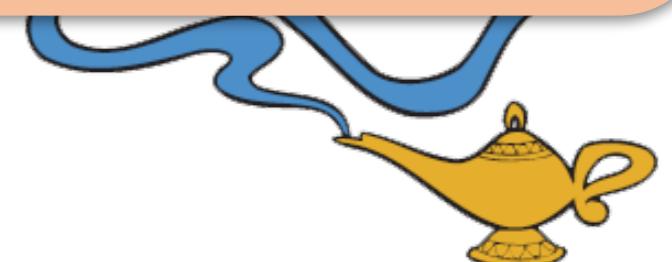


Pattern history table

How do we handle recurring patterns?



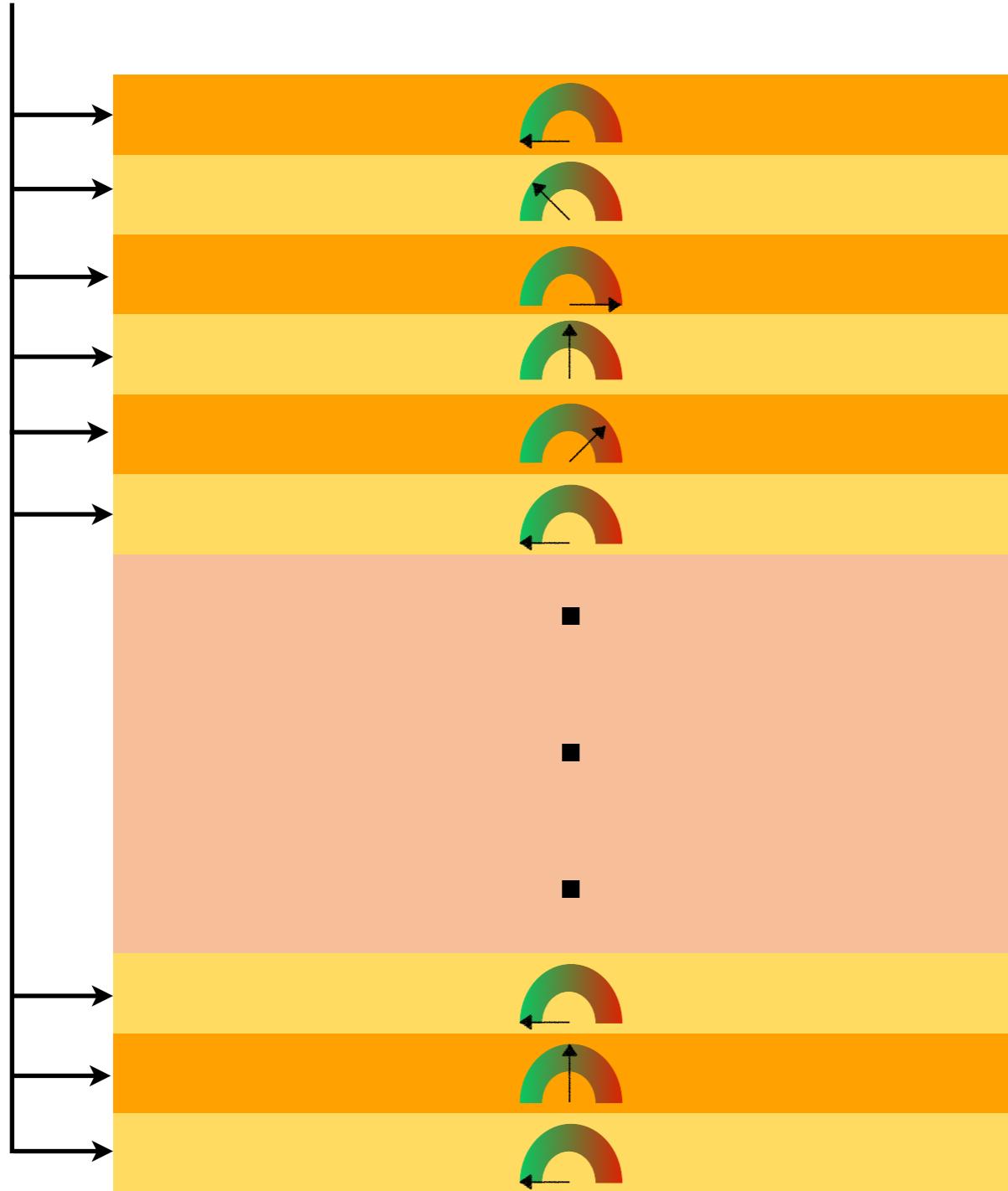
Remember the outcomes of
the last N occurrences of the
branch inside
branch history register (BHR)



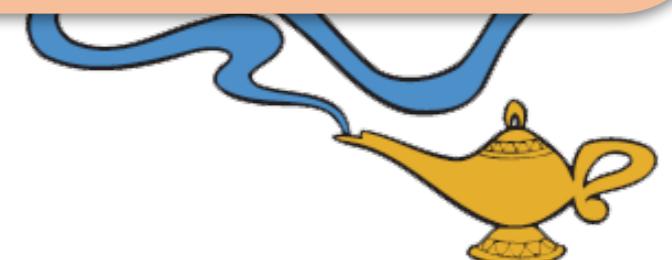
Pattern history table

How do we handle recurring patterns?

Last N outcomes



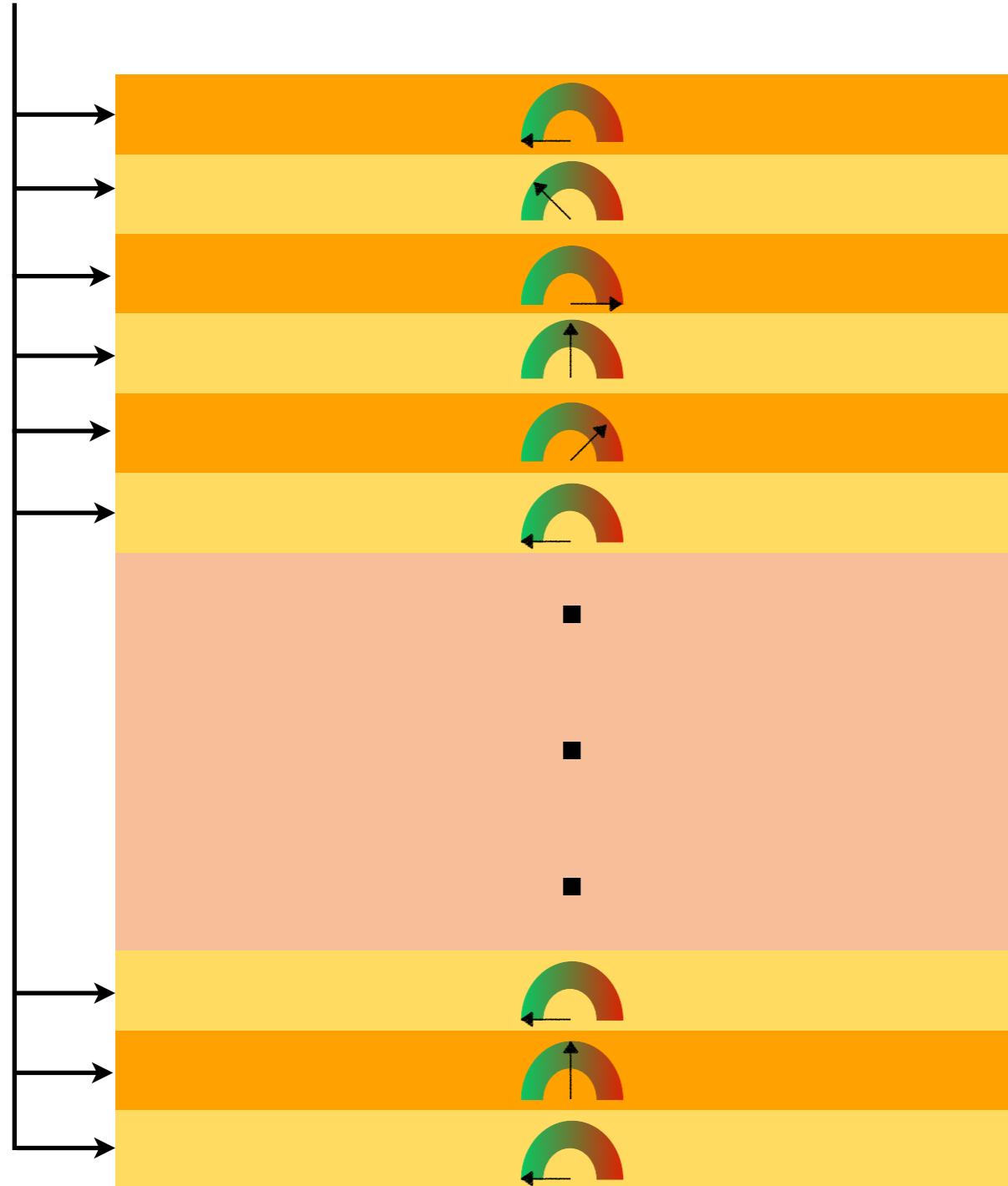
Remember the outcomes of
the last N occurrences of the
branch inside
branch history register (BHR)



Pattern history table

How do we handle recurring patterns?

Last N outcomes



Remember the outcomes of the last N occurrences of the branch inside branch history register (BHR)

For each branch, we need N bits for BHR + $2^N * |\text{ctr}|$ bits

Global history buffer



Global history buffer

PHT grows exponentially in the size of BHR

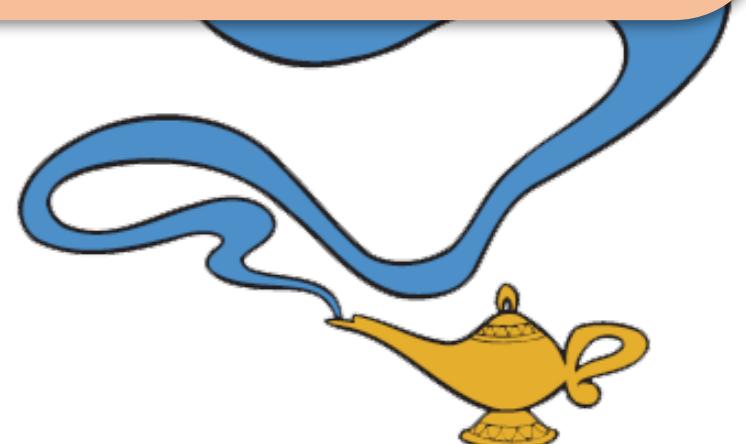


Global history buffer

PHT grows exponentially in the size of BHR



We'll share PHT and BHR among all branch instructions

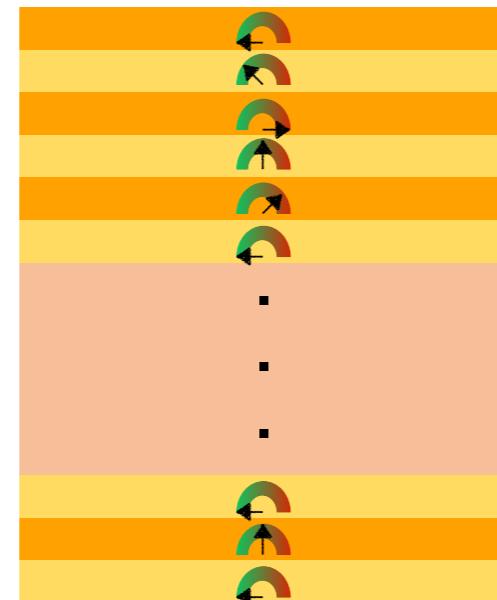


Global history buffer

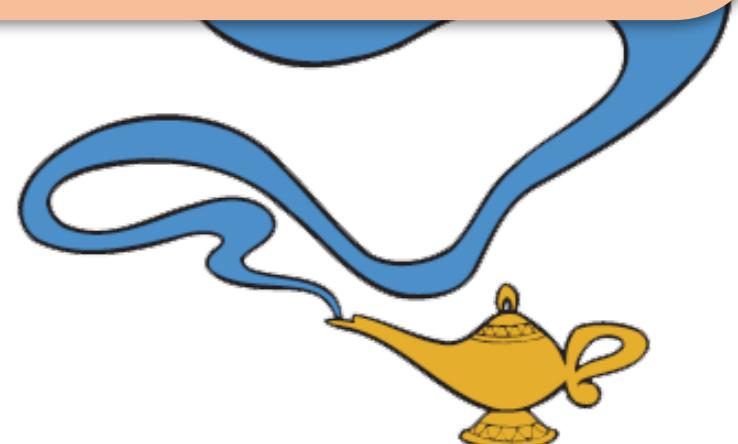
PHT grows exponentially in the size of BHR

Global
BHR
(m bits)

Global PHT (2^m entries)



We'll share PHT and BHR among all branch instructions



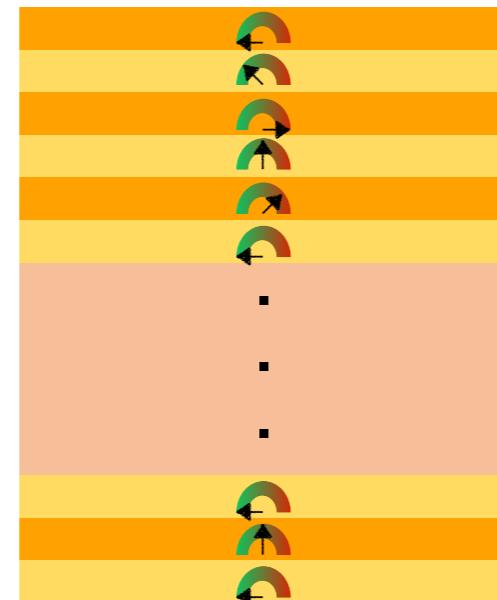
Global history buffer

PHT grows exponentially in the size of BHR

Global
BHR
(m bits)

Branch
address

Global PHT (2^m entries)

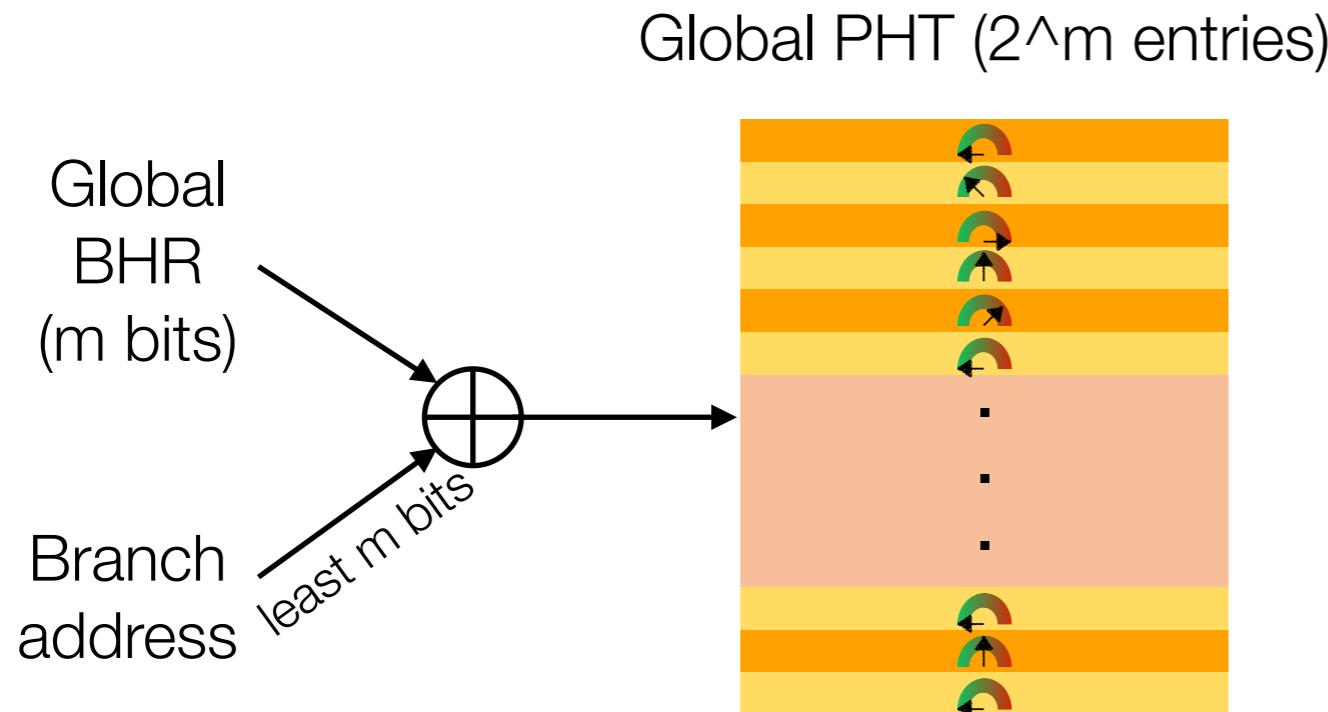


We'll share PHT and BHR among all branch instructions

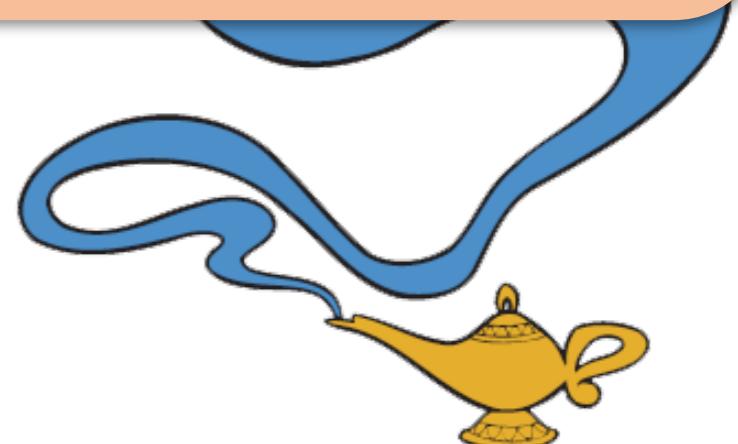


Global history buffer

PHT grows exponentially in the size of BHR

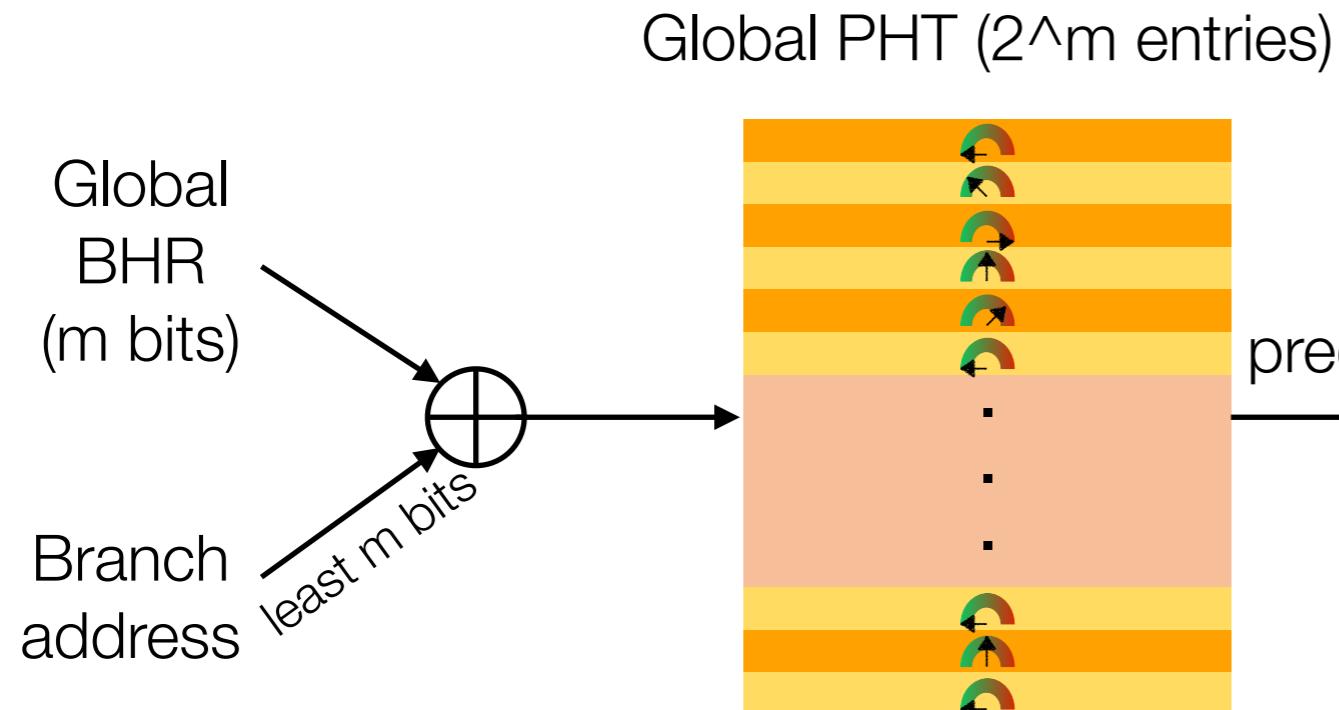


We'll share PHT and BHR among all branch instructions



Global history buffer

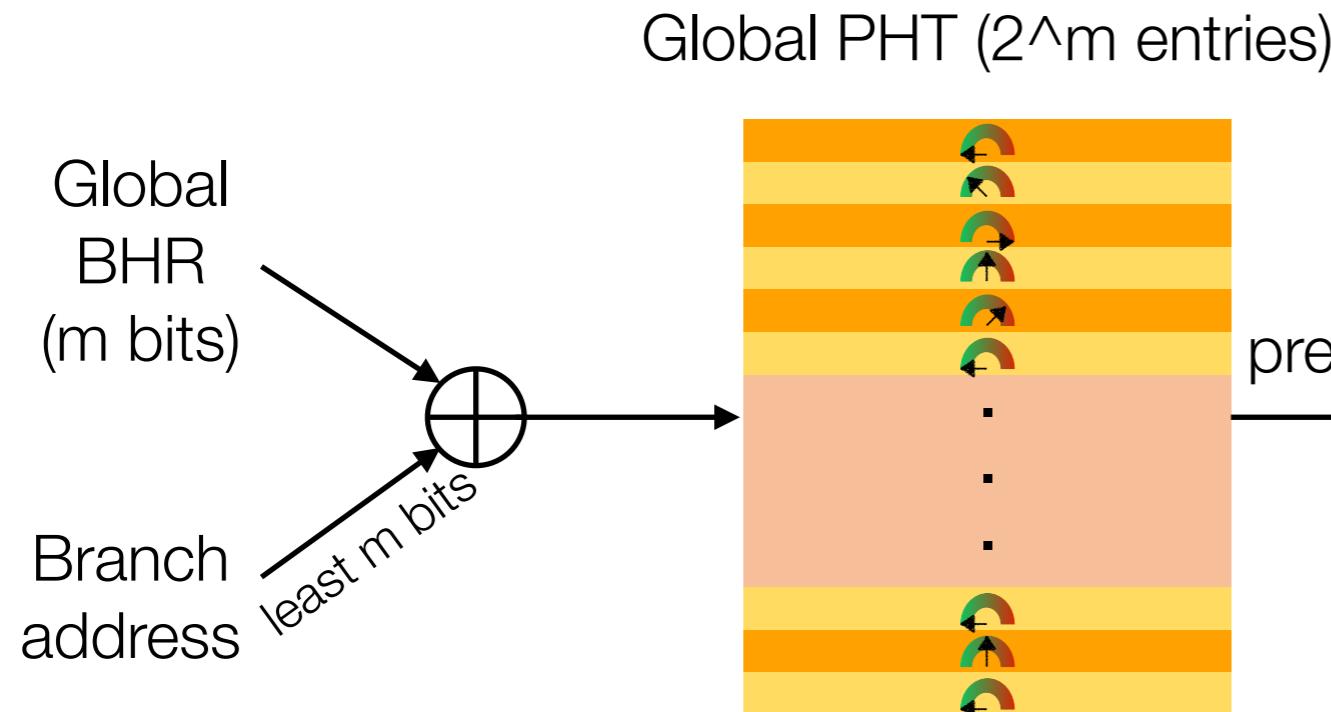
PHT grows exponentially in the size of BHR



We'll share PHT and BHR among all branch instructions

Global history buffer

PHT grows exponentially in the size of BHR

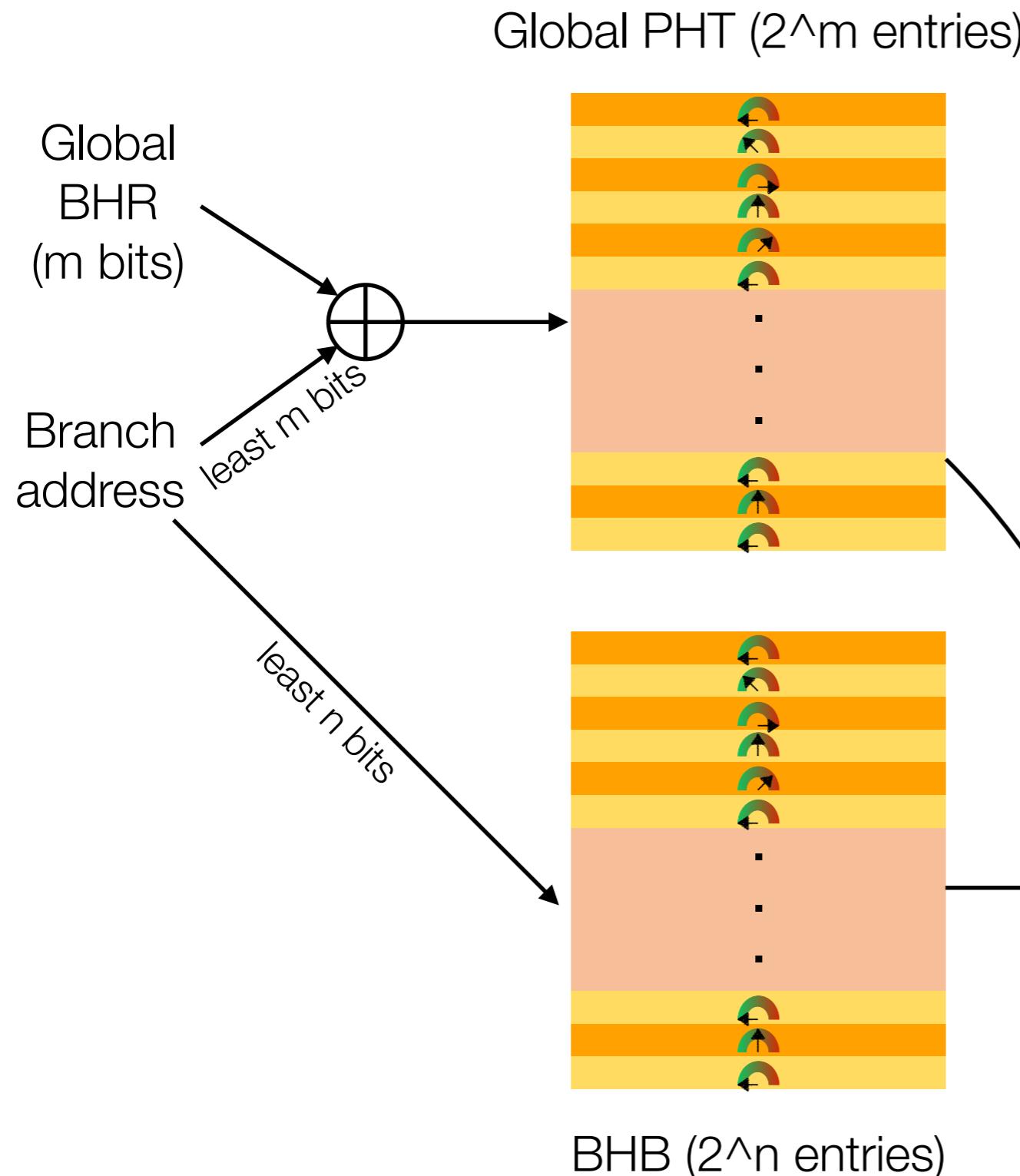


We'll share PHT and BHR among all branch instructions



Global history buffer

PHT grows exponentially in the size of BHR

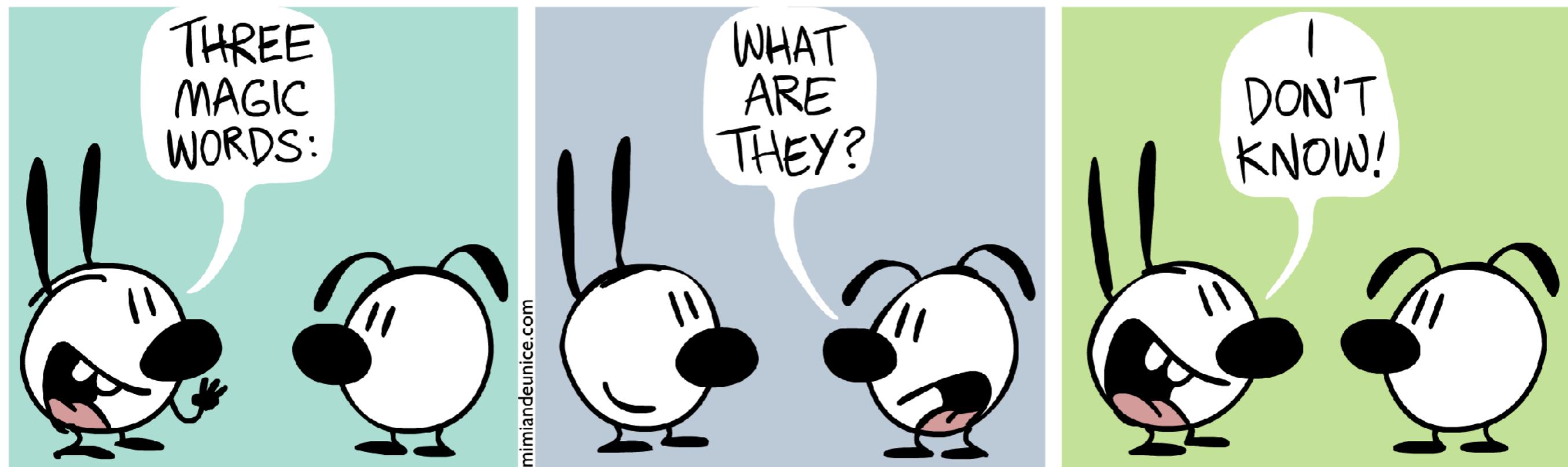
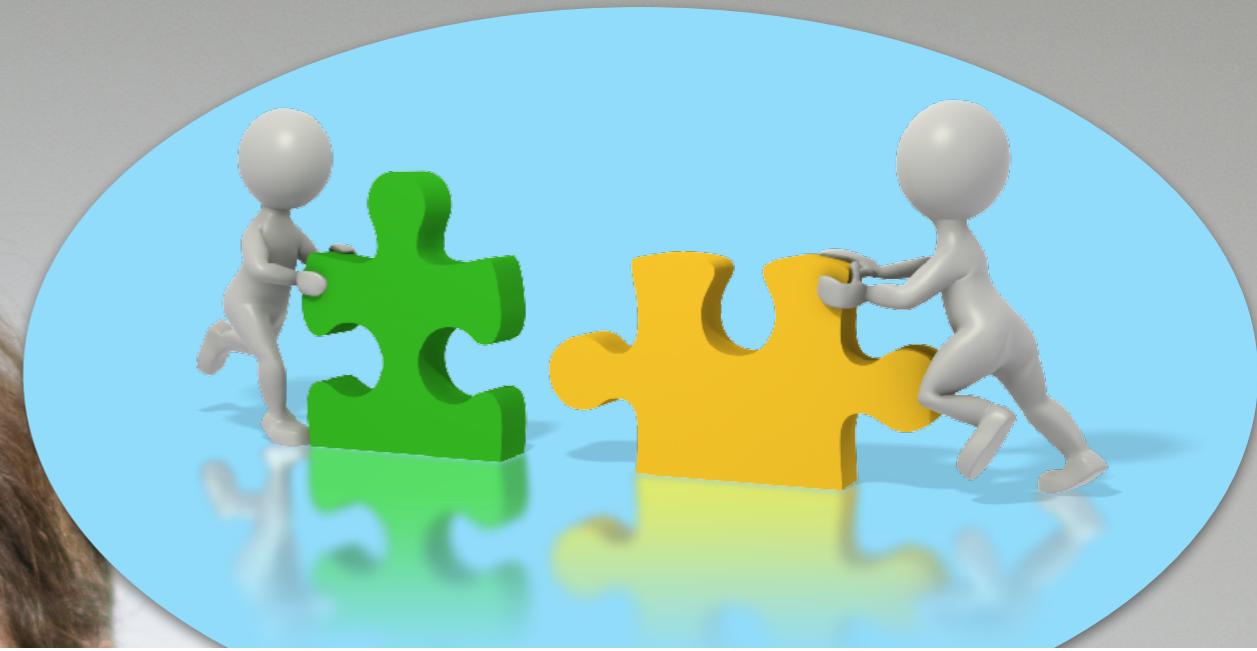


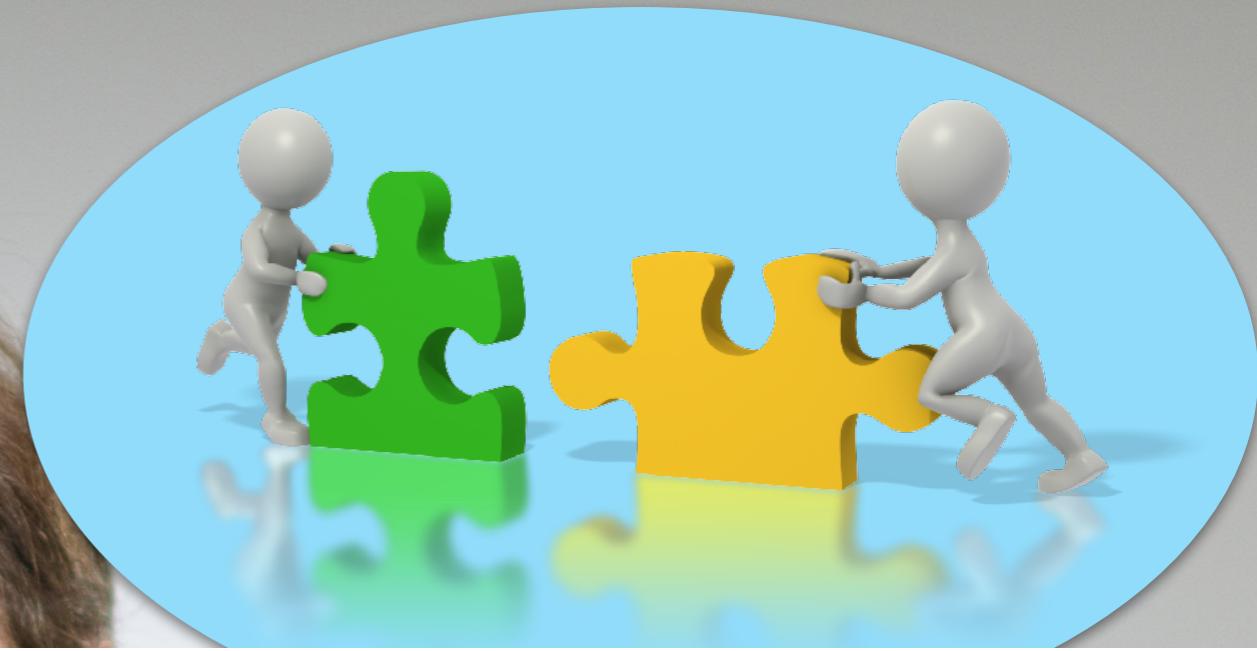
We'll share PHT and BHR among all branch instructions





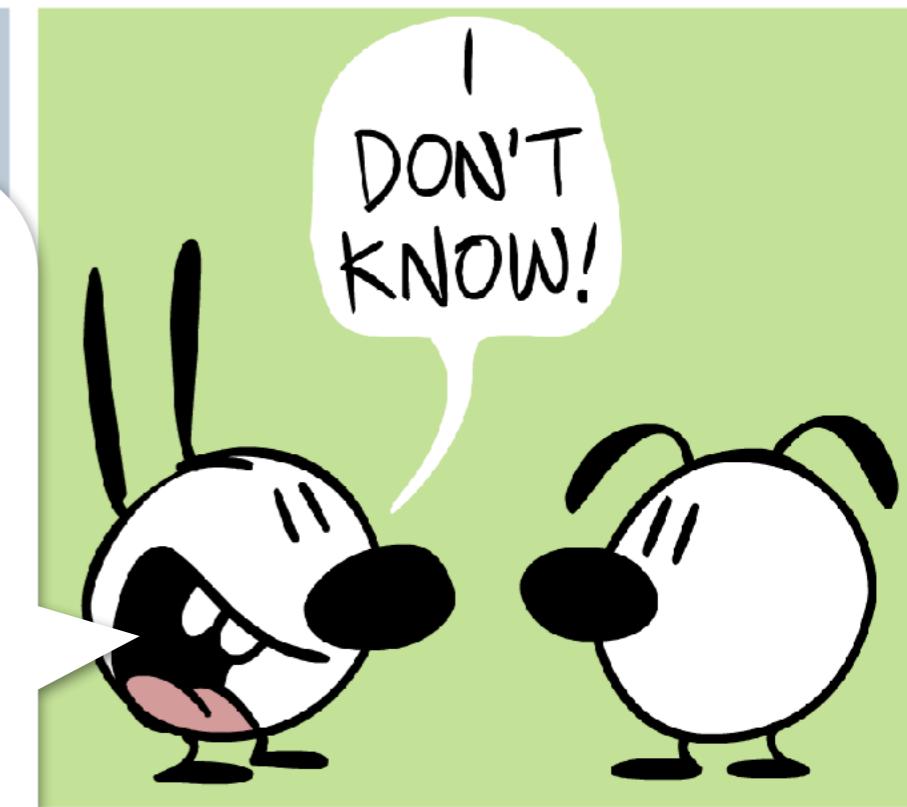
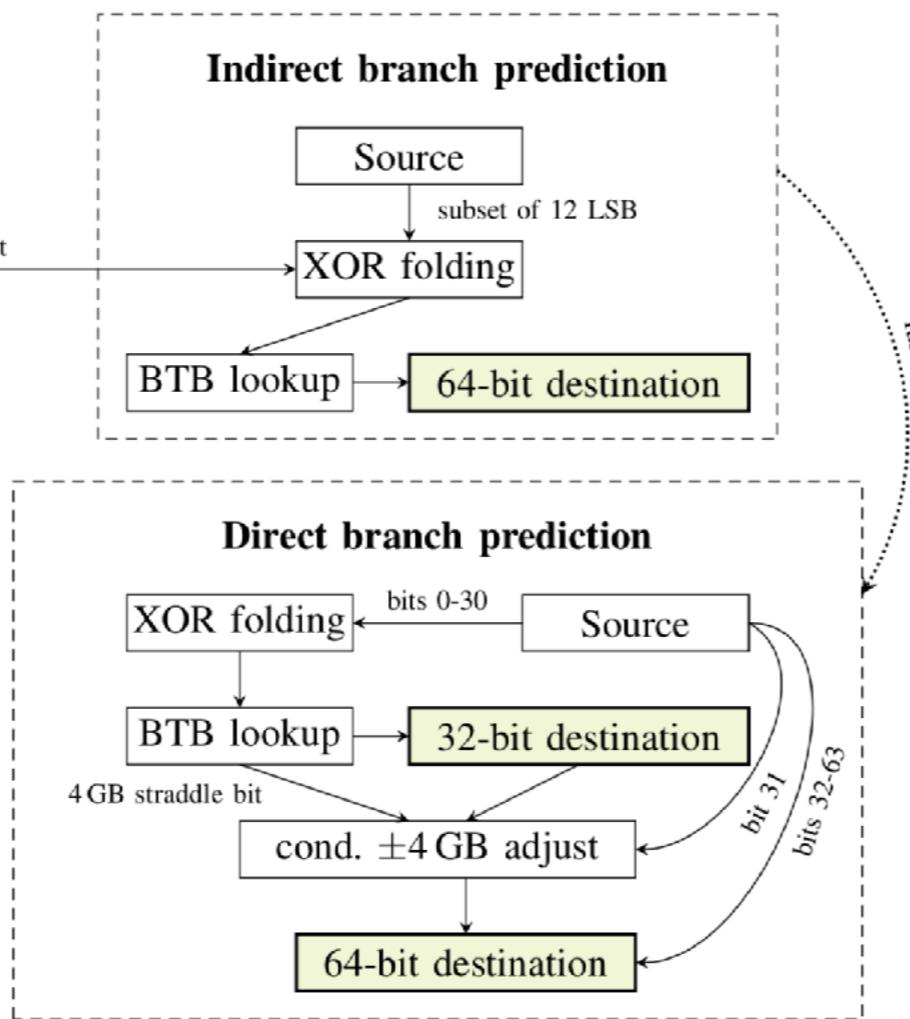
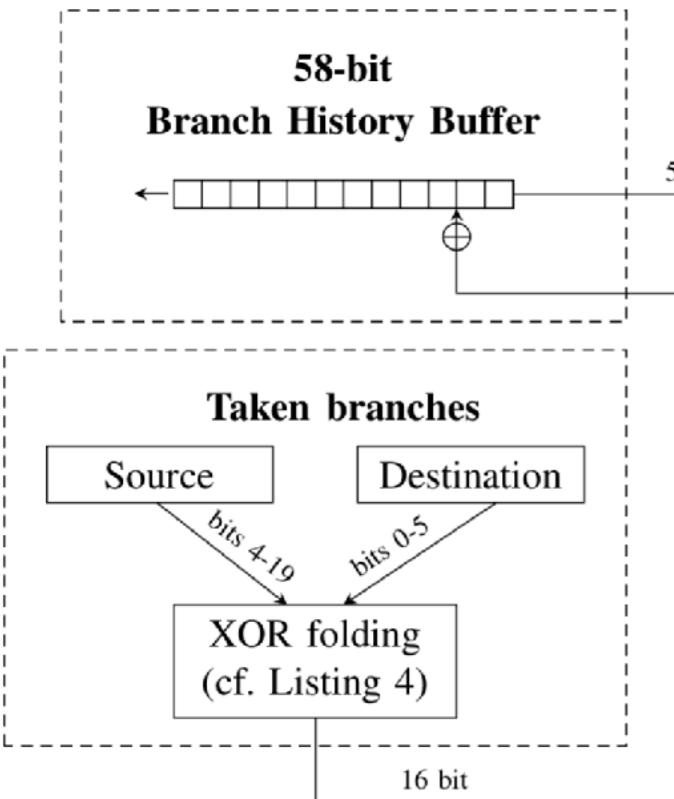






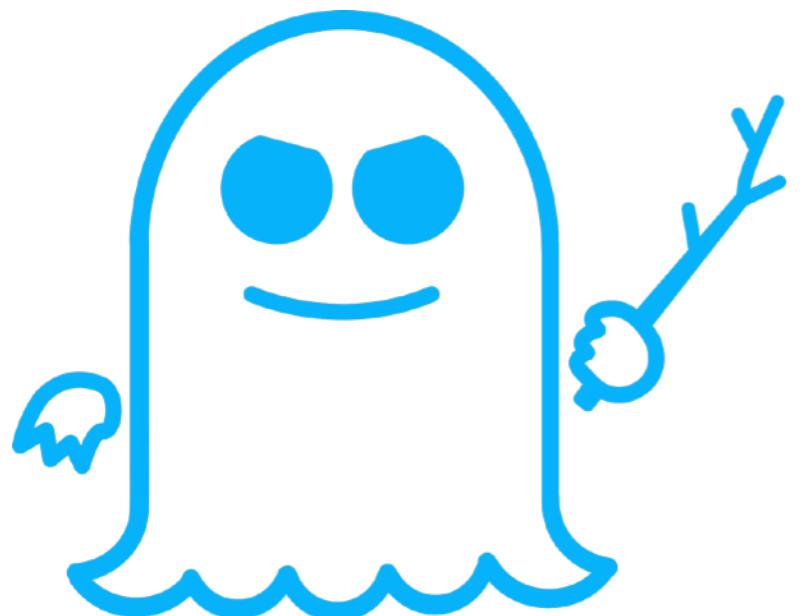
THREE
MAGIC

WHAT
ARE



See Spectre paper for details

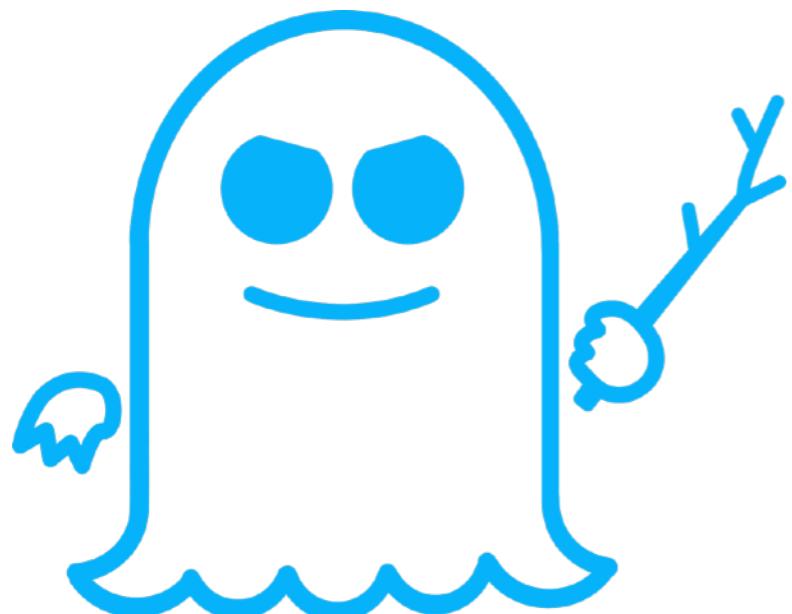
SPECTRE (Variant 2)



SPECTRE

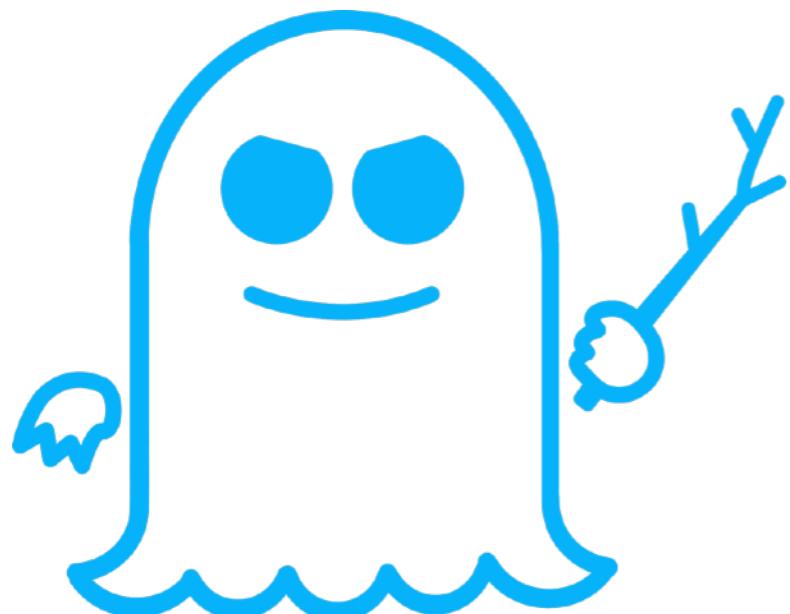
SPECTRE (Variant 2)

- **Speculation** : using indirect jump commands (`jmp [expr]`, `call [fnct]`, ...)



SPECTRE

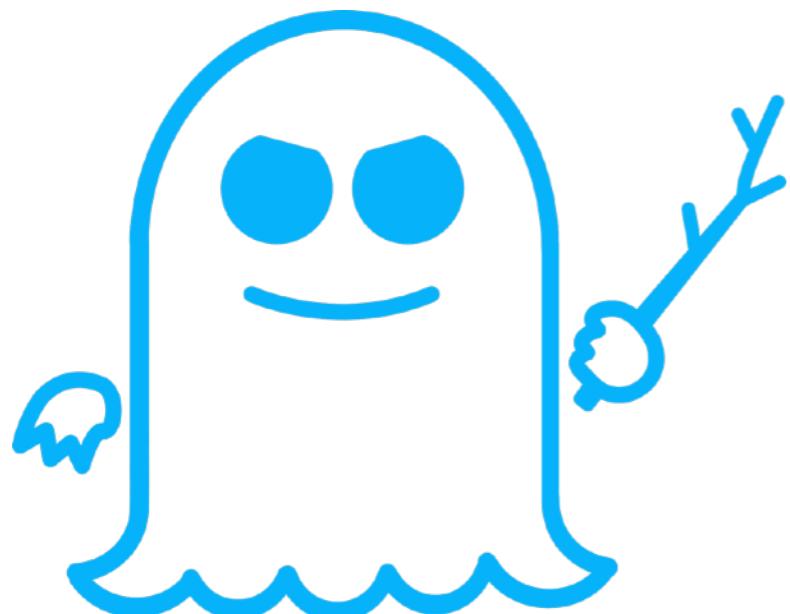
SPECTRE (Variant 2)



SPECTRE

- **Speculation** : using indirect jump commands (`jmp [expr]`, `call [fnct]`, ...)
- **Micro-architectural state** : caches
 - **Encode** data through memory accesses
 - **Recover** data using Prime+Probe, Flush+reload, ...

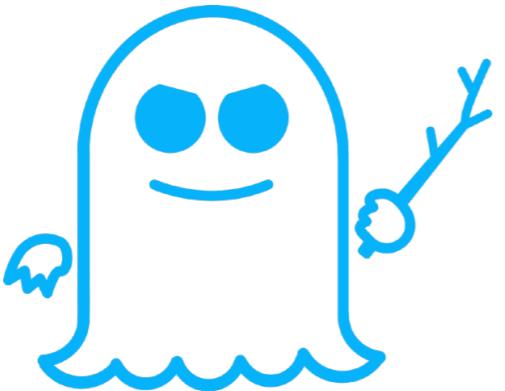
SPECTRE (Variant 2)



SPECTRE

- **Speculation** : using indirect jump commands (`jmp [expr]`, `call [fnct]`, ...)
- **Micro-architectural state** : caches
 - **Encode** data through memory accesses
 - **Recover** data using Prime+Probe, Flush+reload, ...
- **Influence speculation target** : exploit dynamic BP (BTB)

SPECTRE (Variant 2)



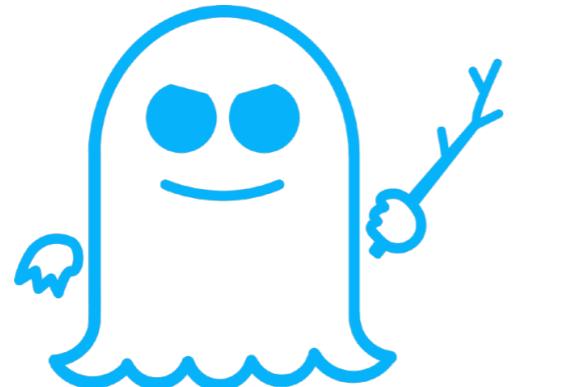
SPECTRE

SPECTRE (Variant 2)



& Prime + Probe

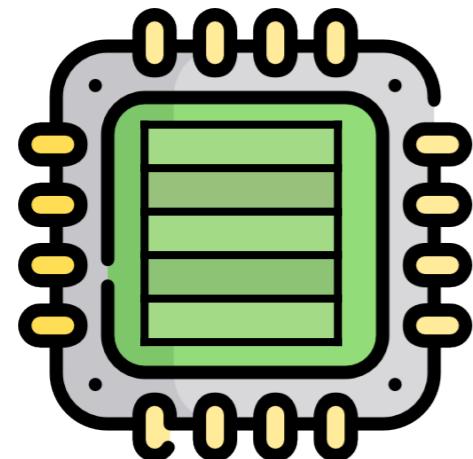
SPECTRE (Variant 2)



SPECTRE

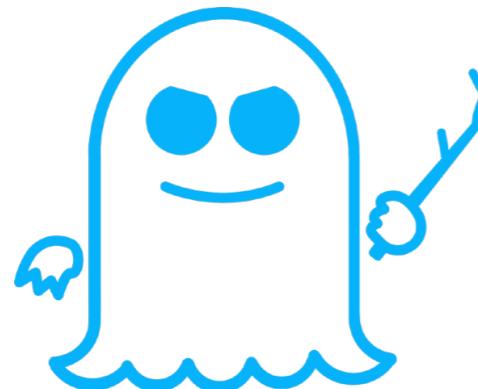


Victim program



& Prime + Probe

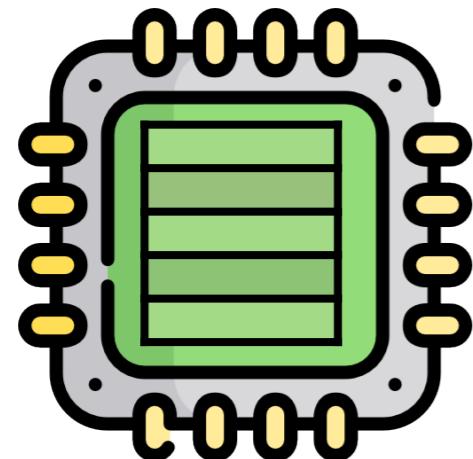
SPECTRE (Variant 2)



SPECTRE



Victim program



1) Find SPECTRE gadget



& Prime + Probe

SPECTRE (Variant 2)

Locate a gadget in victim's executable memory to leak into the micro-architecture

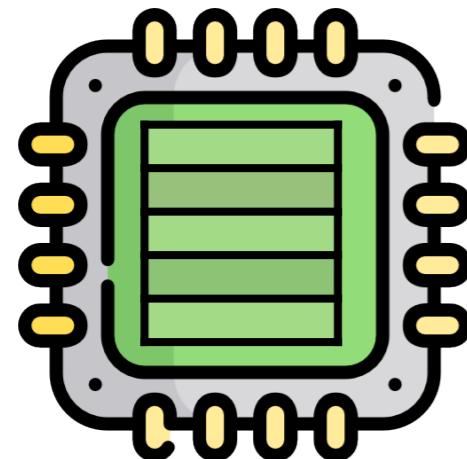
1) Find SPECTRE gadget



Victim



Victim program



& Prime + Probe

SPECTRE (Variant 2)

Locate a gadget in
victim's executable
memory to leak into the
micro-architecture

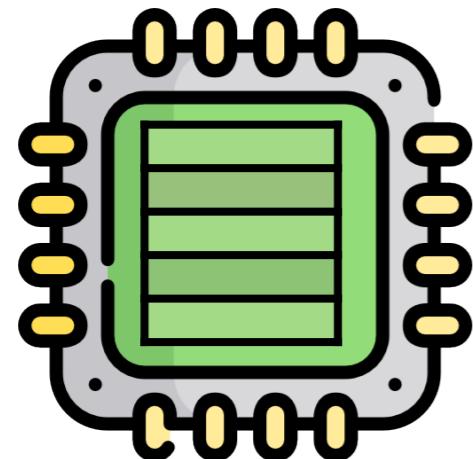


$$y = B[A[\text{x}] * 4096]$$

ORACLE



Victim program



1) Find SPECTRE gadget



& Prime + Probe

SPECTRE (Variant 2)

Locate a gadget in
victim's executable
memory to leak into the
micro-architecture



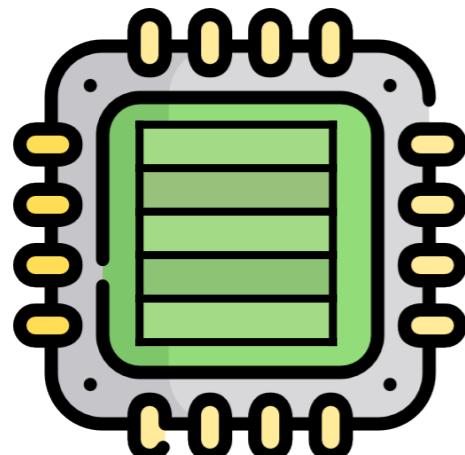
load R2, **R1**
load R3, R2

OR ELSE

1) Find SPECTRE gadget

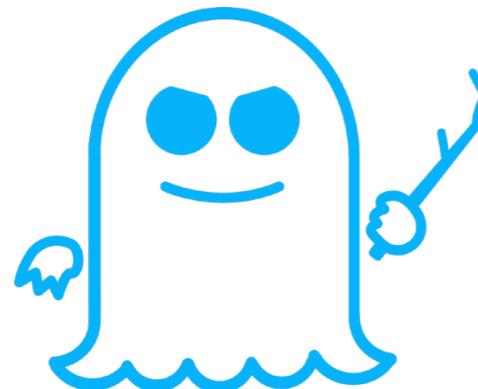


Victim program



& Prime + Probe

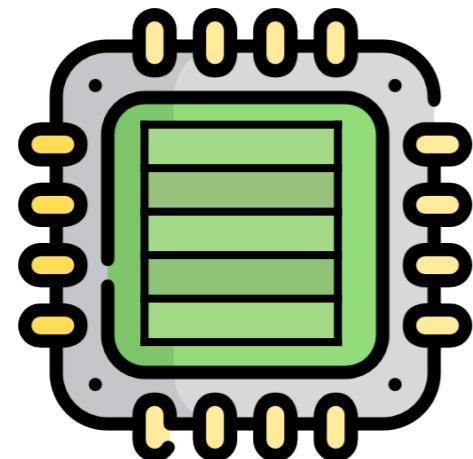
SPECTRE (Variant 2)



SPECTRE



Victim program



1) Find SPECTRE gadget



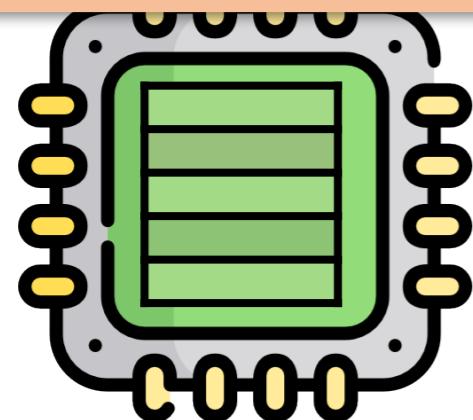
2) Training



& Prime + Probe

SPECTRE (Variant 2)

1. Identify an **indirect jump** (e.g., `jmp [expr]` or `call [fnct]`) in victim's program



1) Find SPECTRE gadget



2) Training

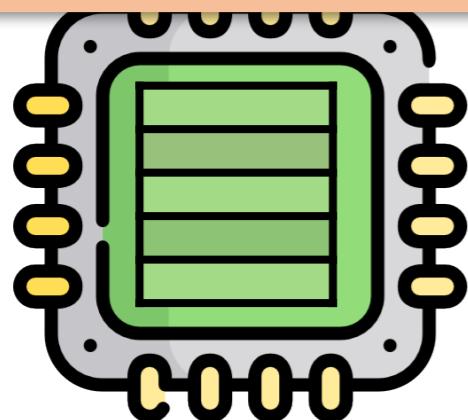


& Prime + Probe

SPECTRE (Variant 2)



1. Identify an **indirect jump** (e.g., `jmp [expr]` or `call [fnct]`) in victim's program
2. **Copy** victim's program and **replace** `jmp [expr]` with `jmp [A]`



1) Find SPECTRE gadget



2) Training

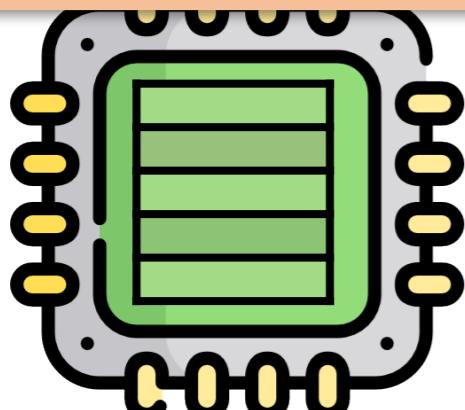


& Prime + Probe

SPECTRE (Variant 2)



1. Identify an **indirect jump** (e.g., `jmp [expr]` or `call [fnct]`) in victim's program
2. **Copy** victim's program and **replace** `jmp [expr]` with `jmp [A]`
3. **Mimic** victim's program **branch history** up to `jmp [A]`



1) Find SPECTRE gadget



2) Training

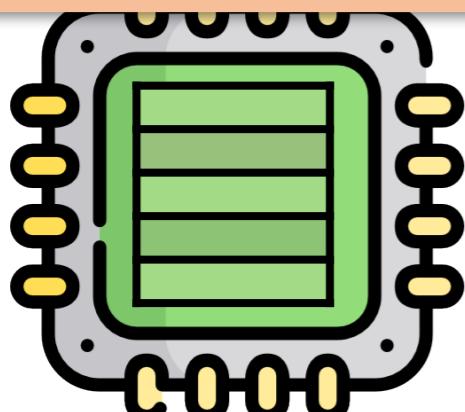


& Prime + Probe

SPECTRE (Variant 2)



1. Identify an **indirect jump** (e.g., `jmp [expr]` or `call [fnct]`) in victim's program
2. **Copy** victim's program and **replace** `jmp [expr]` with `jmp [A]`
3. **Mimic** victim's program **branch history** up to `jmp [A]`
4. **Repeat** 3 several times



1) Find SPECTRE gadget

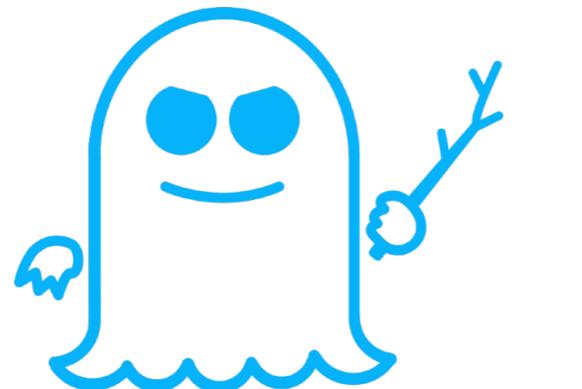


2) Training



& Prime + Probe

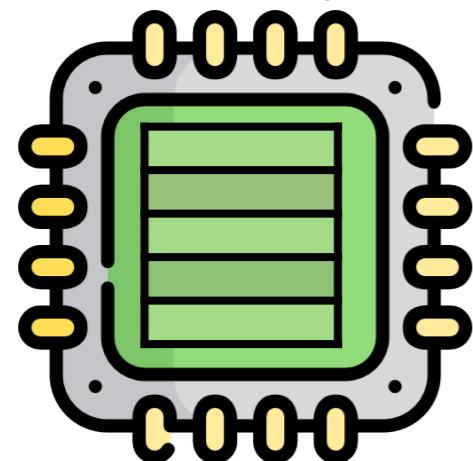
SPECTRE (Variant 2)



SPECTRE



Victim program



1) Find SPECTRE gadget



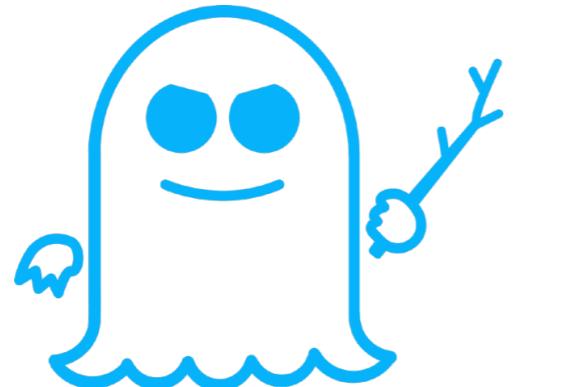
2) Training



3) Prepare

& Prime + Probe

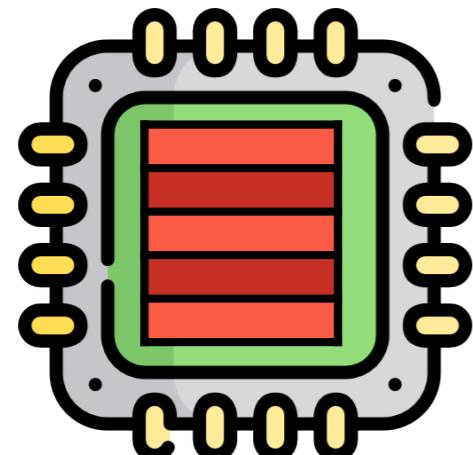
SPECTRE (Variant 2)



SPECTRE



Victim program



1) Find SPECTRE gadget



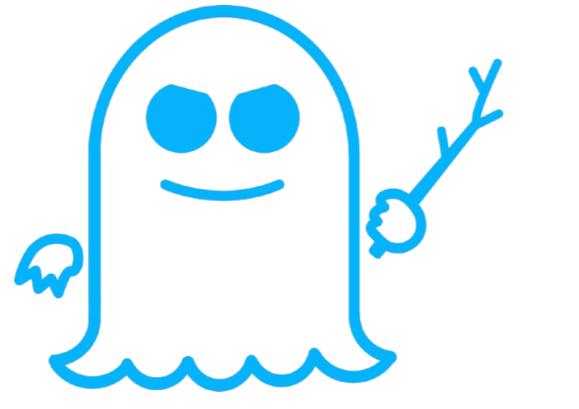
2) Training



3) Prepare Fill cache with attacker's data

& Prime + Probe

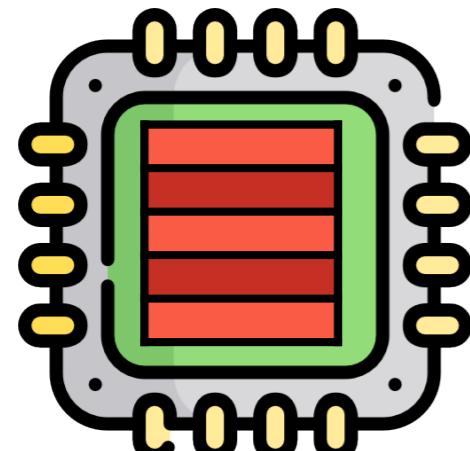
SPECTRE (Variant 2)



SPECTRE



Victim program



1) Find SPECTRE gadget



2) Training

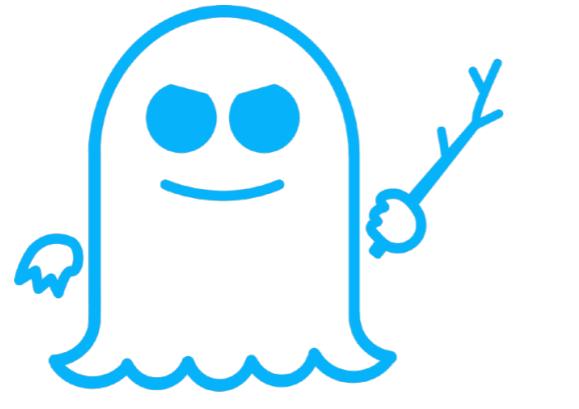


3) Prepare Fill cache with attacker's data

4) Attack

& Prime + Probe

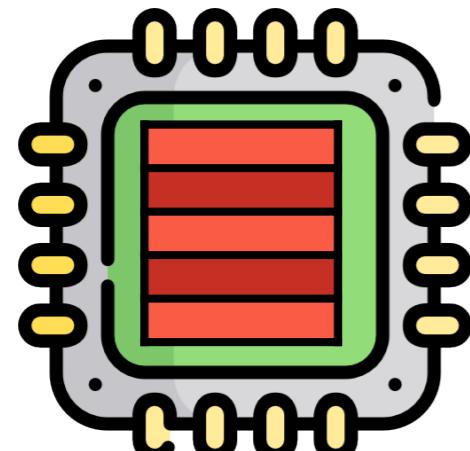
SPECTRE (Variant 2)



SPECTRE



Victim program



1) Find SPECTRE gadget



2) Training



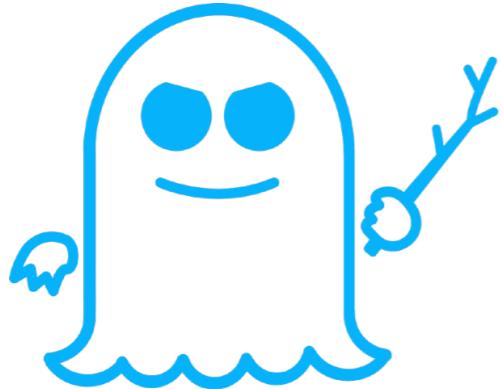
3) Prepare Fill cache with attacker's data

4) Attack Run



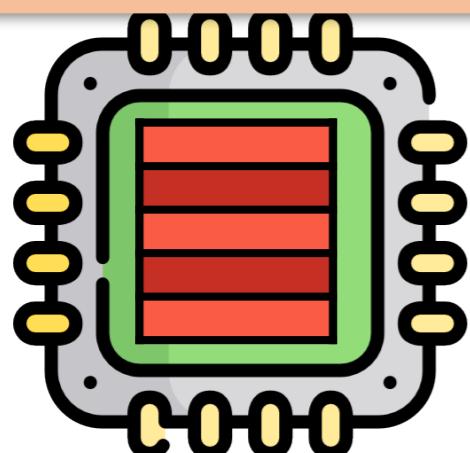
& Prime + Probe

SPECTRE (Variant 2)



SPECTRE

1. Ensure that `jmp [expr]` triggers spec. ex. (e.g., `[expr]` not in cache)



1) Find SPECTRE gadget



2) Training



3) Prepare Fill cache with attacker's data

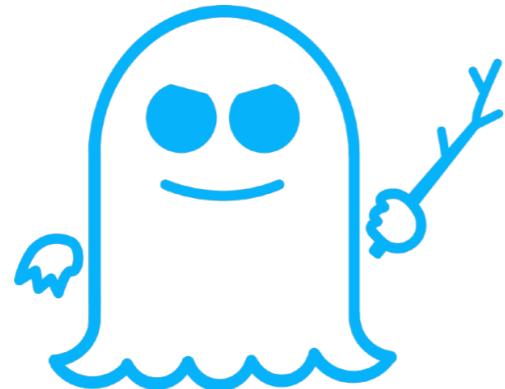
4) Attack

Run



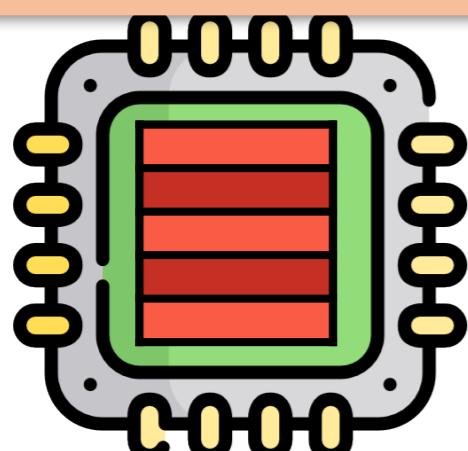
& Prime + Probe

SPECTRE (Variant 2)



SPECTRE

1. Ensure that `jmp [expr]` triggers spec. ex. (e.g., `[expr]` not in cache)
2. Run A small icon showing a computer monitor displaying a yellow screen with a red bullseye target in the center, positioned next to a keyboard and mouse.



1) Find SPECTRE gadget



2) Training



3) Prepare Fill cache with attacker's data

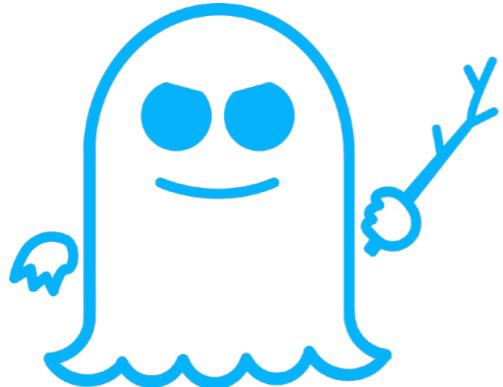
4) Attack

Run



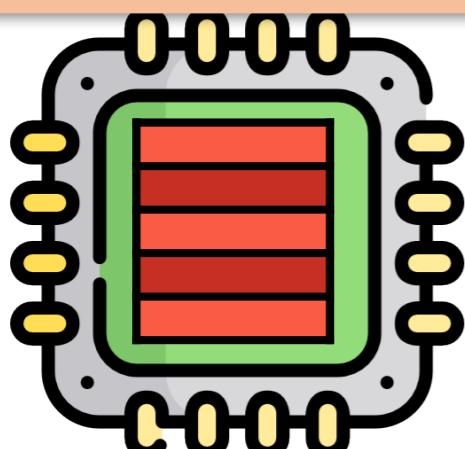
& Prime + Probe

SPECTRE (Variant 2)



SPECTRE

1. Ensure that `jmp [expr]` triggers spec. ex. (e.g., `[expr]` not in cache)
2. Run A small icon of a computer monitor displaying a yellow screen with a red bullseye target in the center.
3. Now `jmp [expr]` spec. executes A small icon of a hand holding a key.



1) Find SPECTRE gadget



2) Training



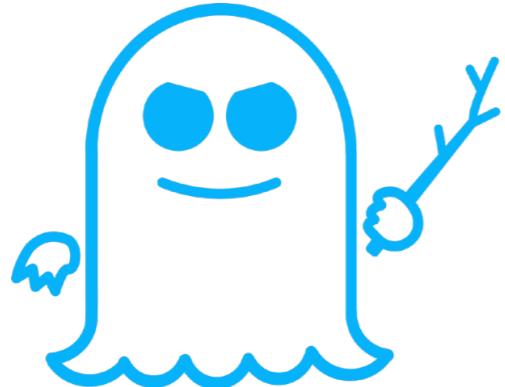
3) Prepare Fill cache with attacker's data

4) Attack



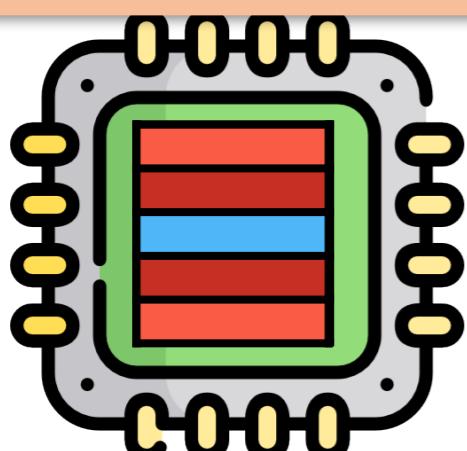
& Prime + Probe

SPECTRE (Variant 2)



SPECTRE

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1) Find SPECTRE gadget



2) Training



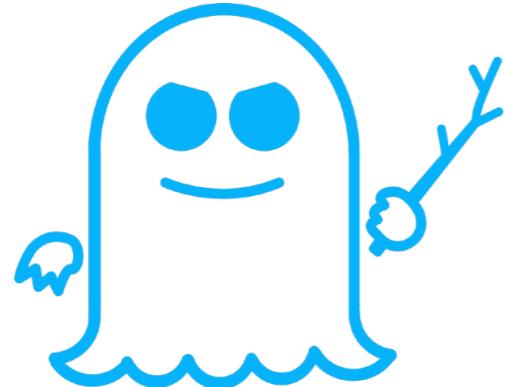
3) Prepare Fill cache with attacker's data

4) Attack



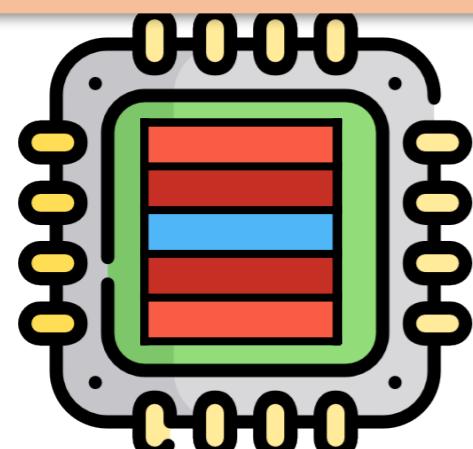
& Prime + Probe

SPECTRE (Variant 2)



SPECTRE

1. Ensure that `jmp [expr]` triggers spec. ex. (e.g., `[expr]` not in cache)
2. Run A small icon of a computer monitor displaying a yellow screen with a red bullseye target in the center.
3. Now `jmp [expr]` spec. executes A small icon of a hand holding a key.



1) Find SPECTRE gadget



2) Training



3) Prepare Fill cache with attacker's data

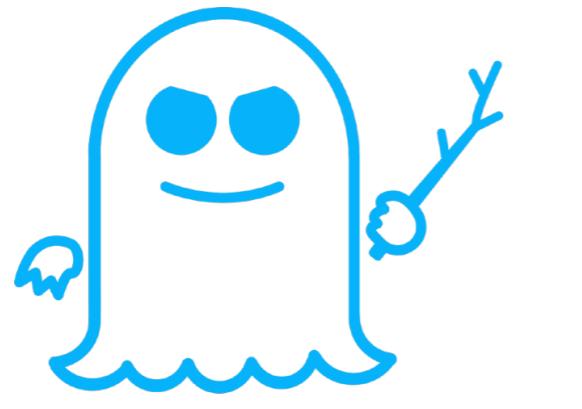
4) Attack



5) Extract

& Prime + Probe

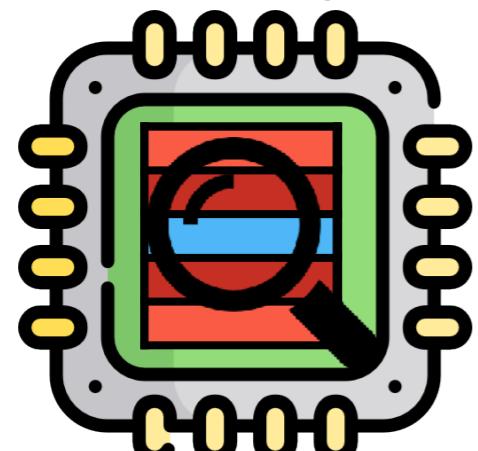
SPECTRE (Variant 2)



SPECTRE



Victim program



1) Find SPECTRE gadget



2) Training



3) Prepare Fill cache with attacker's data

4) Attack Run



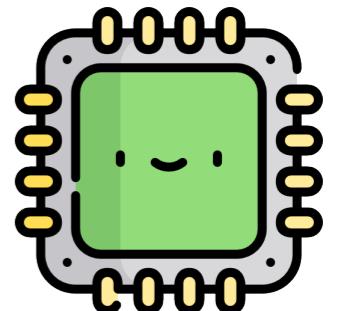
5) Extract Probe values in cache

Conclusions

Conclusions

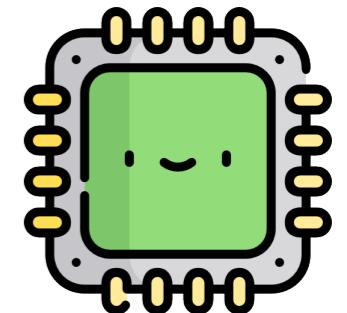
Conclusions

- Speculative execution key part of modern CPUs



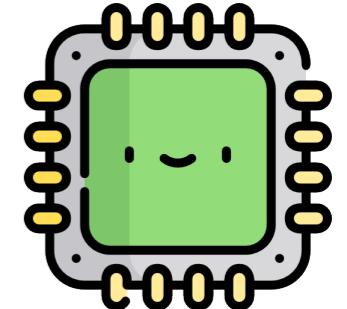
Conclusions

- Speculative execution key part of modern CPUs
- Rolling back only the architectural state is not enough to avoid attacks!

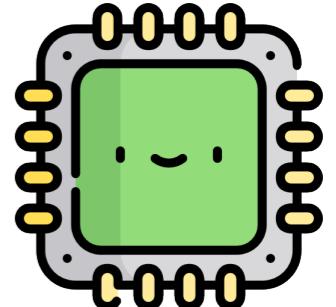


Conclusions

- Speculative execution key part of modern CPUs
- Rolling back only the architectural state is not enough to avoid attacks!
- We cannot simply get rid of speculative execution



Conclusions

- Speculative execution key part of modern CPUs 
- Rolling back only the architectural state is not enough to avoid attacks!
- We cannot simply get rid of speculative execution 
- Complex problem to solve
 - Promising solutions involve HW/SW co-design

Further reading

- Kocher *et al.*, Spectre attacks: exploiting speculative execution
- Maisuradze and Rossow, Speculose: analyzing the security implications of speculative execution in CPUs
- Schwarz *et al.*, NetSpectre: Read arbitrary memory over the network
- Maisuradze and Rossow, ret2spec: speculative execution using return stack buffers