

Intelligent Systems Principles and Programming

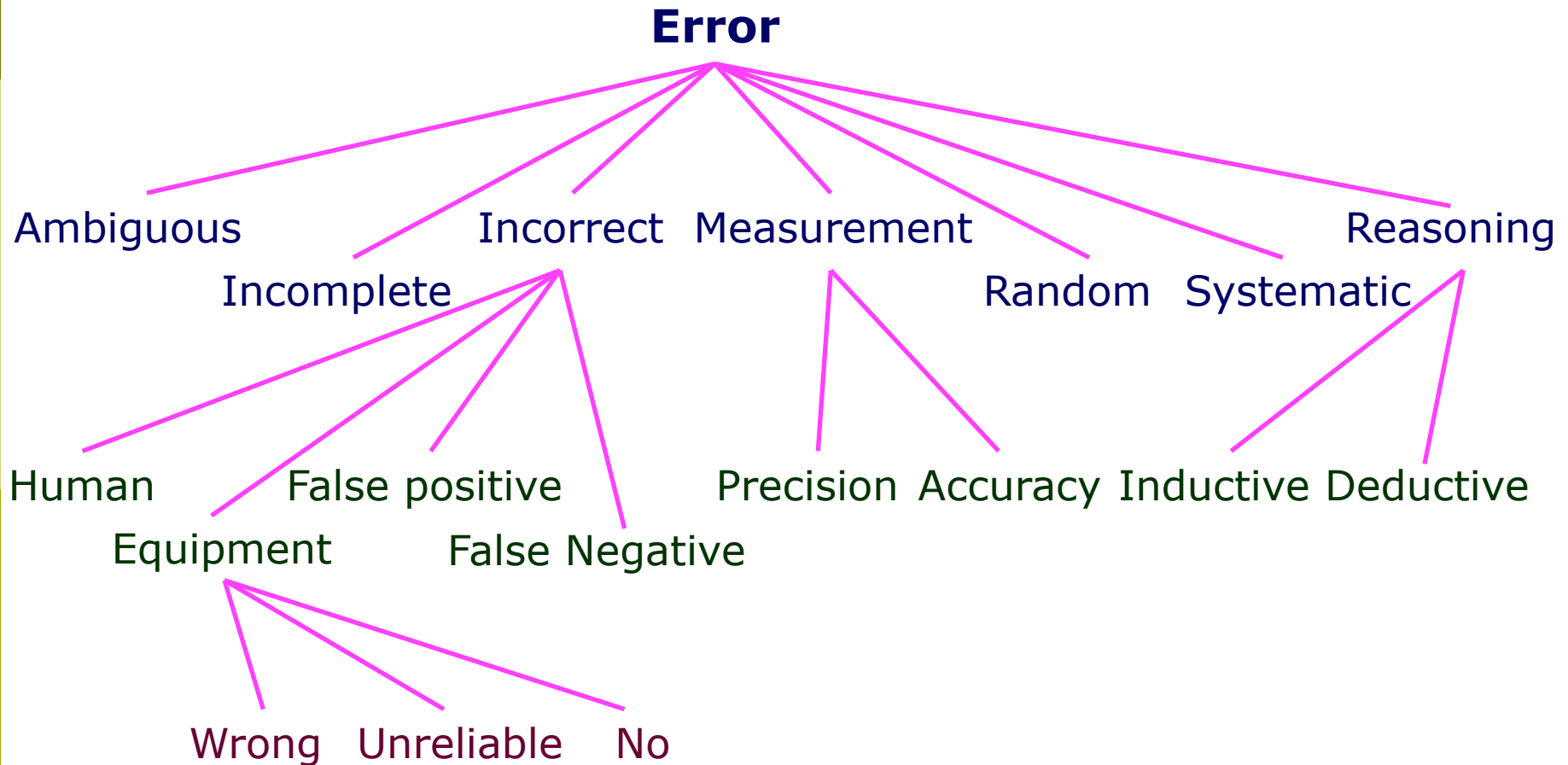
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Uncertainty

- Uncertainty can be considered as the *lack of adequate* information to make a decision.
- Uncertainty is a problem because it may prevent us from making the *best* decision and may even cause a *bad* decision to be made.
- All living creatures are *experts* at dealing with uncertainty or they could not survive in the real world.

Types of error



Examples of common type of error

Example	Error	Reason
Turn the valve off	Ambiguous	What valve?
Turn valve-1	incomplete	Which way?
Turn valve-1 off	Incorrect	Correct is on
Valve is stuck	False positive (Type I)	Valve is not stuck
Valve is not stuck	False negative (Type II)	Valve is stuck
Turn valve-1 to 5	Imprecise	Correct is 5.4
Turn valve-1 to 5.4	Inaccurate	Correct is 9.2
Turn valve-1 to 5.4 or 6 or 0	Unreliable	Equipment error
Valve-1 setting is 5.4 or 5.5 or 5.1	Random error	Statistical fluctuation
Valve-1 is not stuck because it's never been stuck before	Invalid induction	Valve is stuck
Output is normal and so valve-1 is in good condition	Invalid deduction	Valve is stuck in open position

Errors and induction

All men are mortal

Socrates is man

Socrates is mortal

Deduction

Always right

My disk has never crashed

My disk will never crash

Induction

degree of confidence

演绎推理 (Deduction) 从知识推导出事实

归纳推理 (Induction) 从事实推导出知识

Inductive argument

Rules 1

The fire alarm goes off

There is a fire

Rules 2

The fire alarm goes off

I smell smoke

There is a fire

Rules 3

The fire alarm goes off

I smell smoke

My clothes are burning

There is a fire

Fallacy

$p \rightarrow q$

q

p

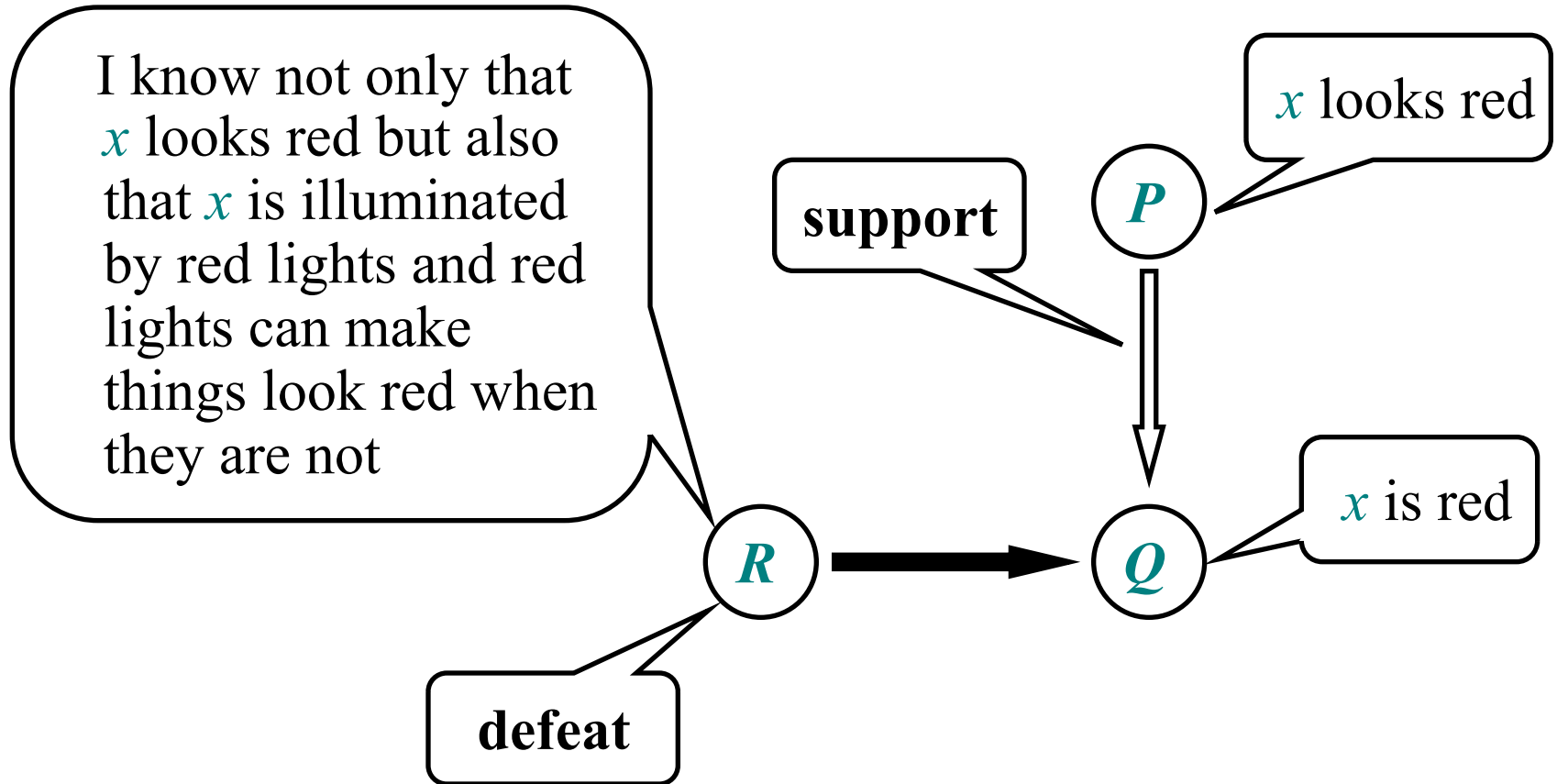
If *the value is in good condition,*
then *the output is normal*

The output is normal

The valve is in good condition

The valve may be stuck in the open position. If it is necessary to close the valve, the problem will show up.

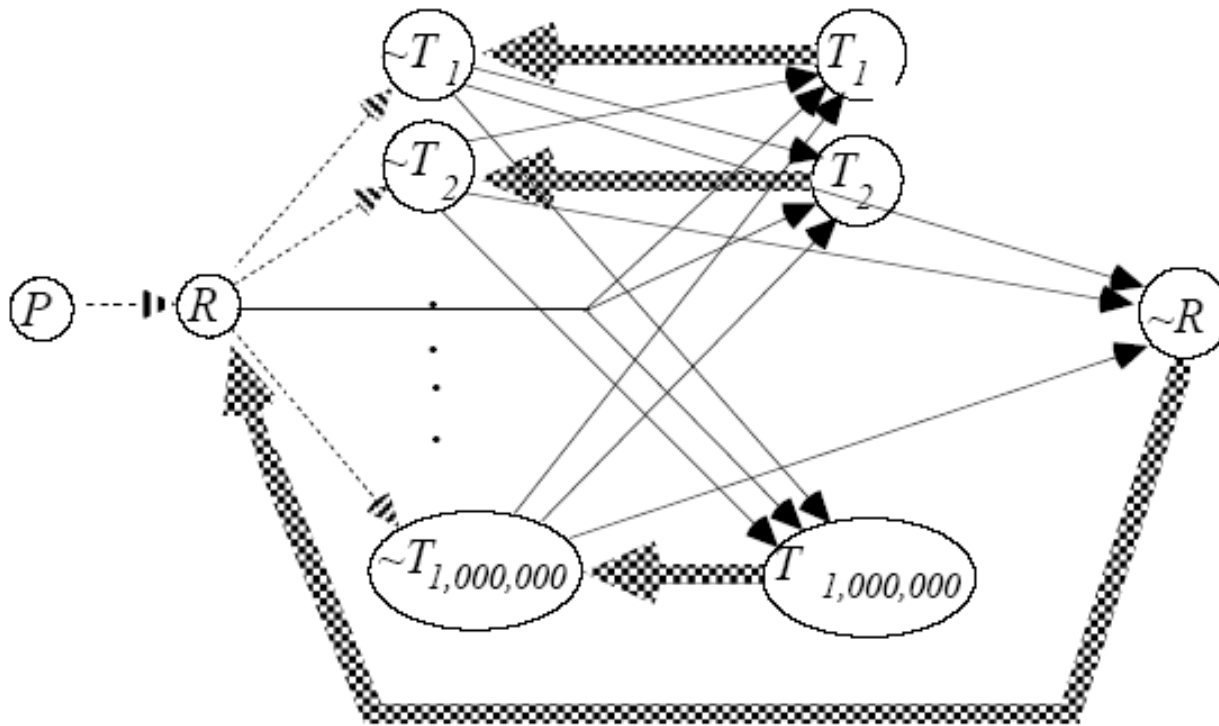
Nonmonotonic Reasoning



Problems

- Buridan's ass and performing medical diagnosis.
- Paradox of the lottery and preface (collective defeat).

Paradox of the Lottery



Should I buy the lottery?

Paradox of the Preface

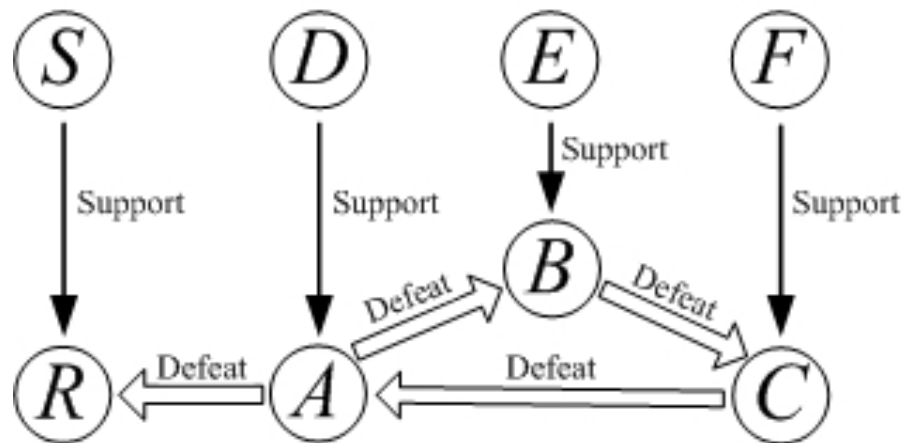
- There once was a man who wrote a book. He was very careful in his reasoning, and was confident of each claim that he made.
- He showed the book to a friend (who happened to be a probability theorist). He was dismayed when the friend observed that any book that long was almost certain to contain at least one falsehood.
- There was no way to pick out some of the claims as being more problematic than others, there could be no reasonable way of withholding assent to some but not others.
- Therefore, concluded his friend, "you are not justified in believing anything you asserted in the book."

Problems

- Buridan's ass and performing medical diagnosis.
- Paradox of the lottery and preface (collective defeat).
- Defeat cycle.

Defeat cycle

We might let *D* be "Jones says that Smith is unreliable", *A* be "Smith is unreliable", *E* be "Smith says that Peter is unreliable", *B* be "Peter is unreliable", *F* be "Peter says that Jones is unreliable", *C* be "Jones is unreliable", and let *S* be "Smith says that it is raining" and *R* be "It is raining".



D : Jones says that Smith is unreliable.
A : Smith is unreliable.
E : Smith says that Peter is unreliable.
B : Peter is unreliable.
F : Peter says that Jones is unreliable.
C : Jones is unreliable.
S : Smith says that it is raining.
R : It is raining.

Classical probability

- Experimental and subjective probabilities.
- Compound and conditional probabilities.
- Hypothesis test.

Three Statistical Experiments

- A lady, who adds milk to her tea, claims to be able to tell whether the tea or the milk was poured into the cup first. In all of ten trials conducted to test this, she correctly determines which was poured first.
- A music expert claims to be able to distinguish a page of Haydn score from a page of Mozart score. In ten trials conducted to test this, he makes a correct determination each time.
- A drunken friend says he can predict the outcome of a flip of a fair coin. In ten trials conducted to test this, he is correct each time.

Three Statistical Experiments

- In all three situations, the unknown quantity θ is the probability of the person answering correctly.
- A classical significance test of the various claims consider the null hypotheses (H_0) that $\theta = 0.5$
- In all three situations this hypothesis would be rejected with a (one-tailed) significance level 2^{-10} .

Problems of hypothesis test

- **A point null hypothesis**

The point null hypothesis is almost certainly not exactly true, and that this will always be confirmed by a large enough sample.

- **Tests of fit**

It is virtually certain that the model is not exactly correct, so a large enough sample will almost always reject the model.

Conclusion

- A theory should be proposed to solve all above problems.
- A link should be established between epistemic reasoning (reasoning about what to believe) and practical reasoning (reasoning about what to do).
- Individual preferences should be considered properly when dealing with the above issues.

Bayes' theorem

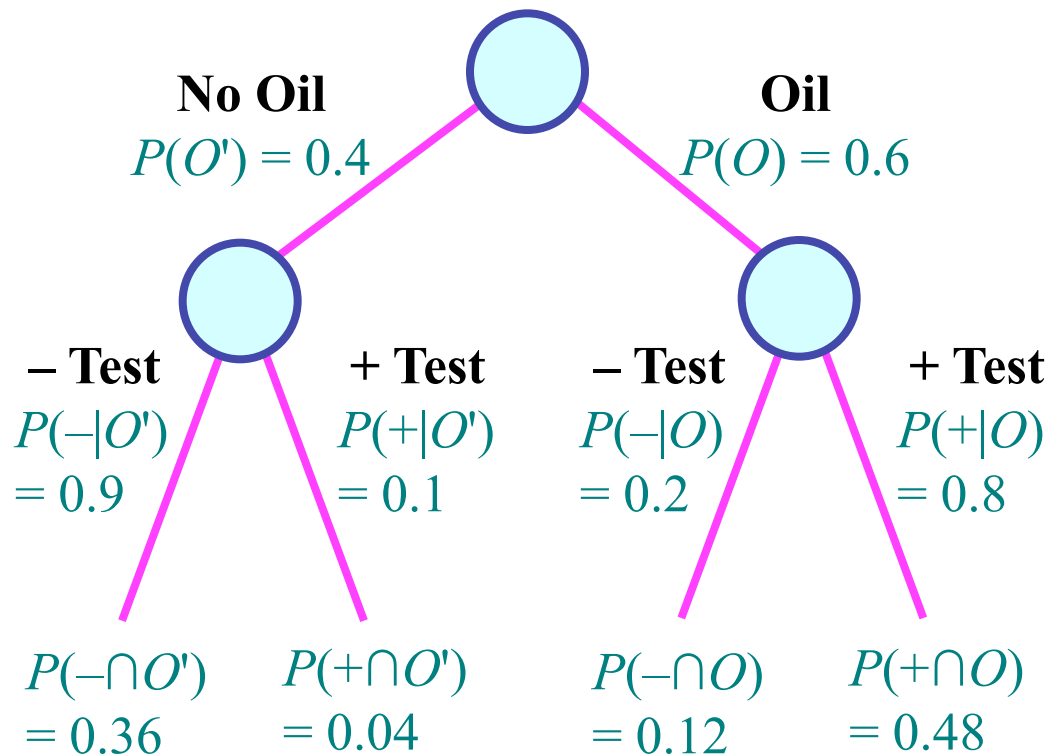
The method of Bayesian decision making is used in the PROSPECTOR experts system to decide favorable sites for mineral exploration.

$$P(O) = 0.6 \quad P(O') = 0.4$$

$$P(+|O) = 0.8 \quad P(-|O) = 0.2$$

$$P(+|O') = 0.1 \quad P(-|O') = 0.9$$

Bayesian decision making



Probabilities

Prior

Subjective Opinion of site

$$P(H_i)$$

Conditional

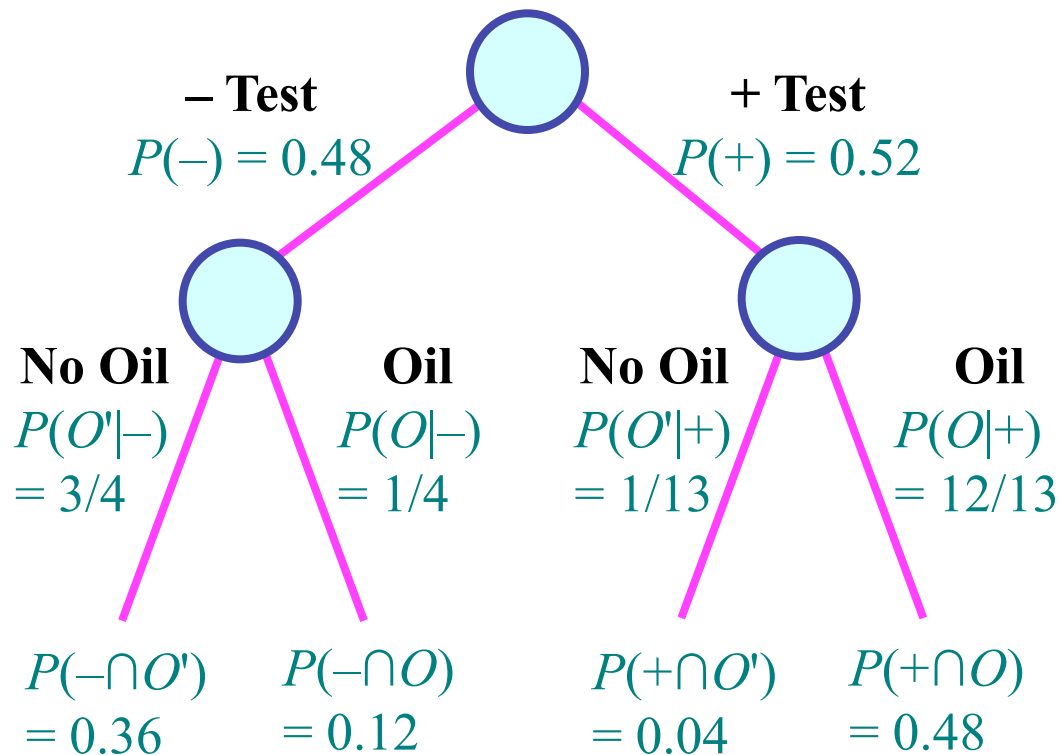
Seismic Test Result

$$P(E|H_i)$$

Joint

$$P(E \cap H_i) = P(E|H_i)P(H_i)$$

Bayesian decision making



Probabilities

Unconditional

$$P(E)$$

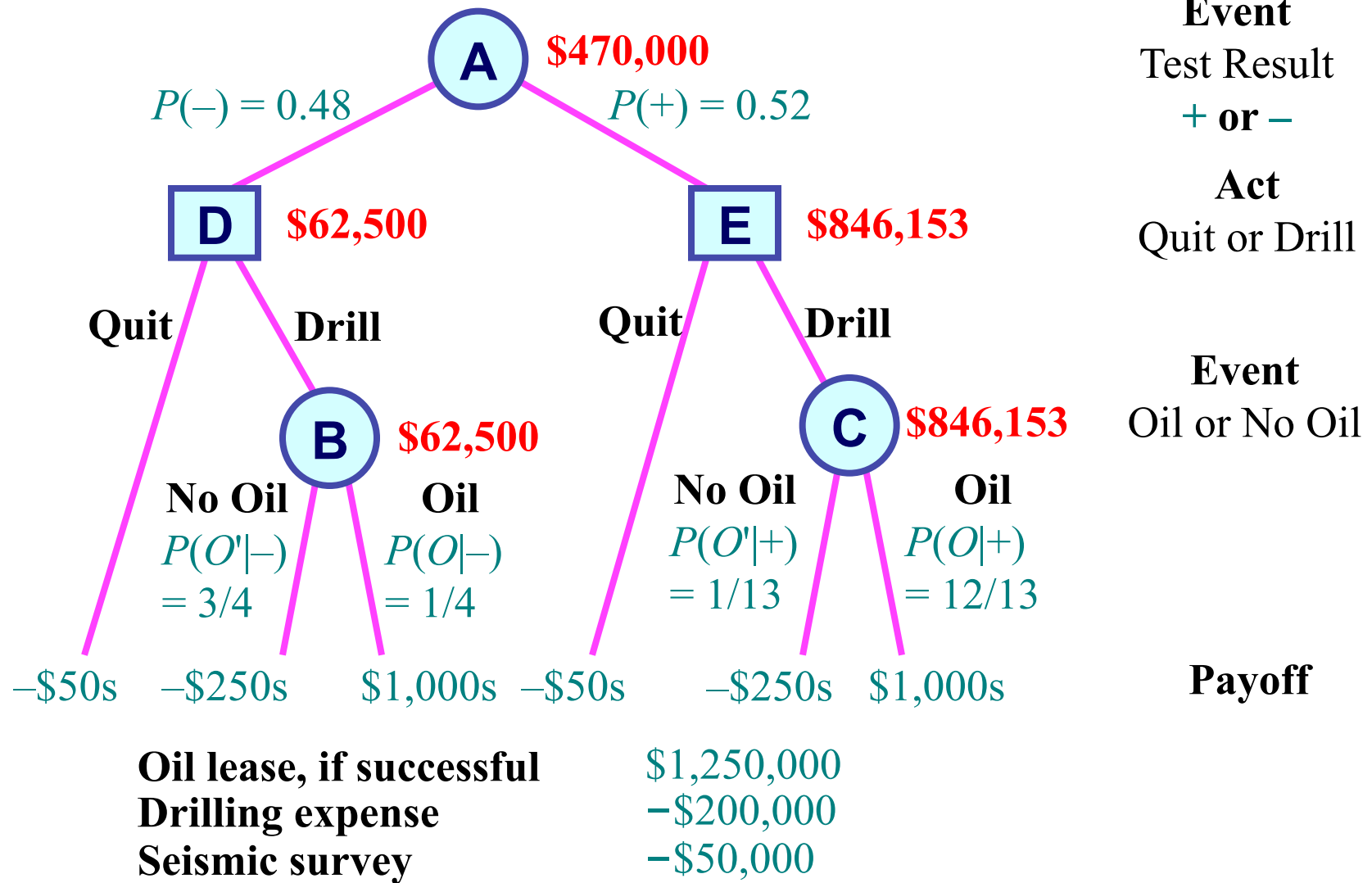
Posterior

$$P(H_i|E) \\ = P(E|H_i) \cdot P(H_i)/P(E)$$

Joint

$$P(E \cap H) = P(H_i|E)P(E)$$

Bayesian decision making



Bayesian analysis

A farmer try to decide which crop to grow next year.

a_1 : drought-resistant crop

a_2 : high-yielding crop

θ : the precipitation of next year

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Loss function

$$l(\theta, a) = \begin{cases} 200 - 2\theta, & \text{if } a = a_1 \\ 3000 - 10\theta, & \text{if } a = a_2 \end{cases}$$

Bayesian analysis

A farmer collects the information about *precipitation* by listening to *weather forecast*. If next year's precipitation is less than 400mm, he will grow *drought-resistant crop*, otherwise, he will choose to grow *high-yielding crop*.

Is it wise to make the decision like this?

Bayesian analysis

According to history record, the accuracy of weather forecast has a $C(400, \theta)$ density.

$$f(x | \theta) = \begin{cases} \frac{1}{\pi} \frac{400}{400^2 + (x - \theta)^2}, & \text{if } x \geq 0 \\ 0, & \text{if } x < 0 \end{cases}$$

Bayesian analysis

The risk of decision is:

$$\begin{aligned} R(\theta, \delta) &= \int_0^{400} \frac{1}{\pi} \frac{400}{400^2 + (x - \theta)^2} (200 - 2\theta) dx \\ &\quad + \int_{400}^{\infty} \frac{1}{\pi} \frac{400}{400^2 + (x - \theta)^2} (3000 - 10\theta) dx \\ &= \frac{200 - 2\theta}{\pi} \left[\arctg\left(\frac{400 - \theta}{400}\right) - \arctg\left(\frac{-\theta}{400}\right) \right] \\ &\quad + \frac{3000 - 10\theta}{\pi} \left[\frac{\pi}{2} - \arctg\left(\frac{400 - \theta}{400}\right) \right] \end{aligned}$$

Bayesian analysis

According to the farmer's experience, the next year's precipitation has a prior density as follows.

θ	0	100	200	300	400	500	600	700	800
$\pi(\theta)$	0	0.052	0.104	0.153	0.178	0.204	0.153	0.104	0.052

Bayesian analysis

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The Bayes risk of decision is:

$$r(\pi, \delta) = \sum R(\theta, \delta) \pi(\theta) = -1176$$

The farmer is smart!

Difficulties with the Bayesian method

Bayes' Theorem is used to determine the probability of a specific disease, given certain symptoms as

$$P(D_i | E) = \frac{P(E | D_i)P(D_i)}{P(E)} = \frac{P(E | D_i)P(D_i)}{\sum_j P(E | D_j)P(D_j)}$$

D_i the i th disease,

E the evidence,

$P(E)$ the prior probability of the patient having the disease before any evidence is known

$P(E|D_i)$ the conditional probability that the patient will exhibit E , given that disease D_i is present.

Difficulties with the Bayesian method

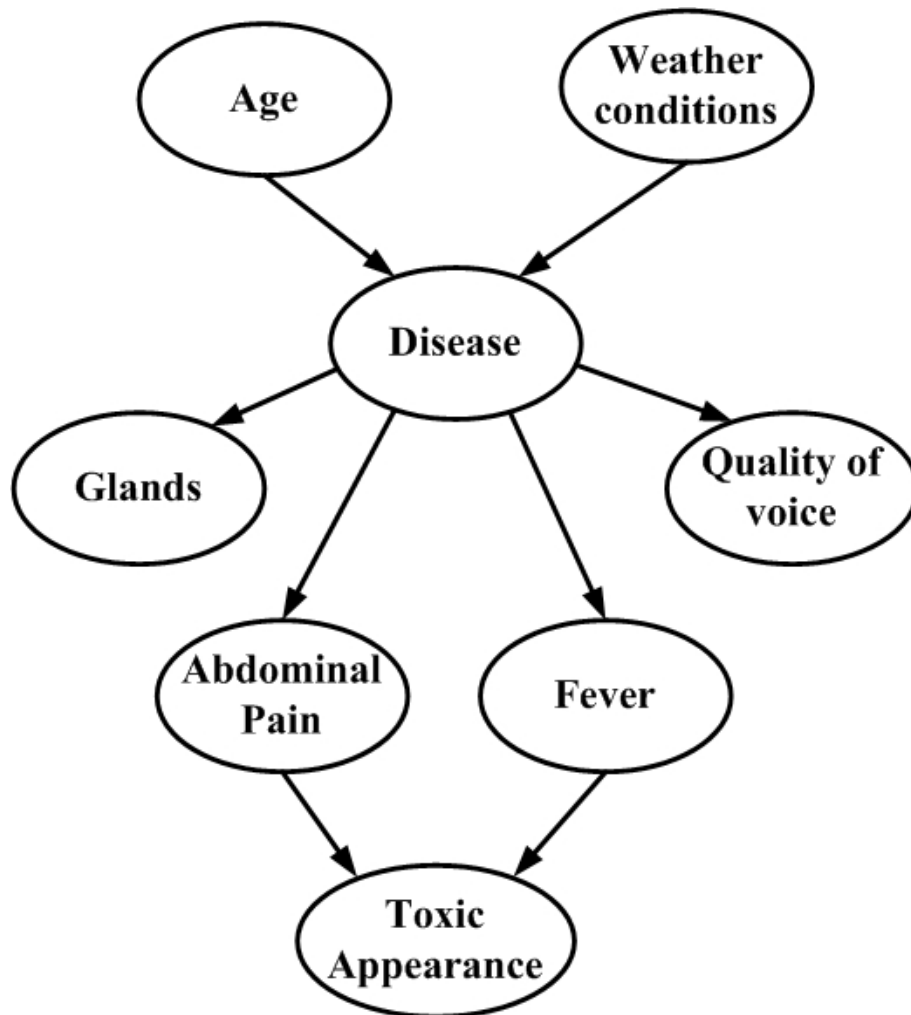
A convenient form of Bayes' Theorem that expresses the accumulation of incremental evidence is:

$$P(D_i | E) = \frac{P(E_2 | D_i \cap E_1)P(D_i | E_1)}{\sum_j P(E_2 | D_j \cap E_1)P(D_j | E_1)}$$

where E_2 is the new evidence added to the existing body of evidence, E_1 , to yield the new evidence.

The situation grows worse as more pieces of evidence accumulate and thus more probabilities are required.

Bayesian networks



Medical diagnosis

Integrate probabilistic approaches with neurocomputing; practical balance can be established between computational needs and the accuracy of conclusions.

MYCIN rule

IF The stain of the organism is gram positive, and
The morphology of the organism is coccus, and
The growth conformation of the organism is chains
THEN There is suggestive evidence (0.7) that the
identity of the organism is streptococcus.

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This can be written in terms of posterior probability as
$$P(H | E_1 \cap E_2 \cap E_3) = 0.7$$

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The experts would agree to above equation, they became uneasy and refused to agree with the probabilistic result

$$P(H' | E_1 \cap E_2 \cap E_3) = 0.3$$

Belief and disbelief

Assume your grad point average (GPA) has not been too good and you need an **A** in this course to bring up your GPA.

$$P(\text{graduating} \mid \text{A in this course}) = 0.70$$

It somehow seems intuitively wrong by

$$P(\text{not graduating} \mid \text{A in this course}) = 0.30$$

Belief and disbelief

There could be problems due to a number of reasons that would still prevent your graduation, such as

- School catalog changes so that not all your courses counted toward the degree.
- You forgot to take a required course
- Rejection of transfer courses.
- Rejection of some elective courses you took.
- Tuition and library fines that you owe and were hoping would be forgotten weren't
- Your GPA was lower than you thought and an A still won't raise it up.
- "They" are out to get you.

Certainty factors

CF the certainty factor in the hypothesis *H* due to evidence *E*

MB the measure of increased belief in *H* due to *E*

MD the measure of increased disbelief in *H* due to *E*

$$CF(H, E) = MB(H, E) - MD(H, E)$$

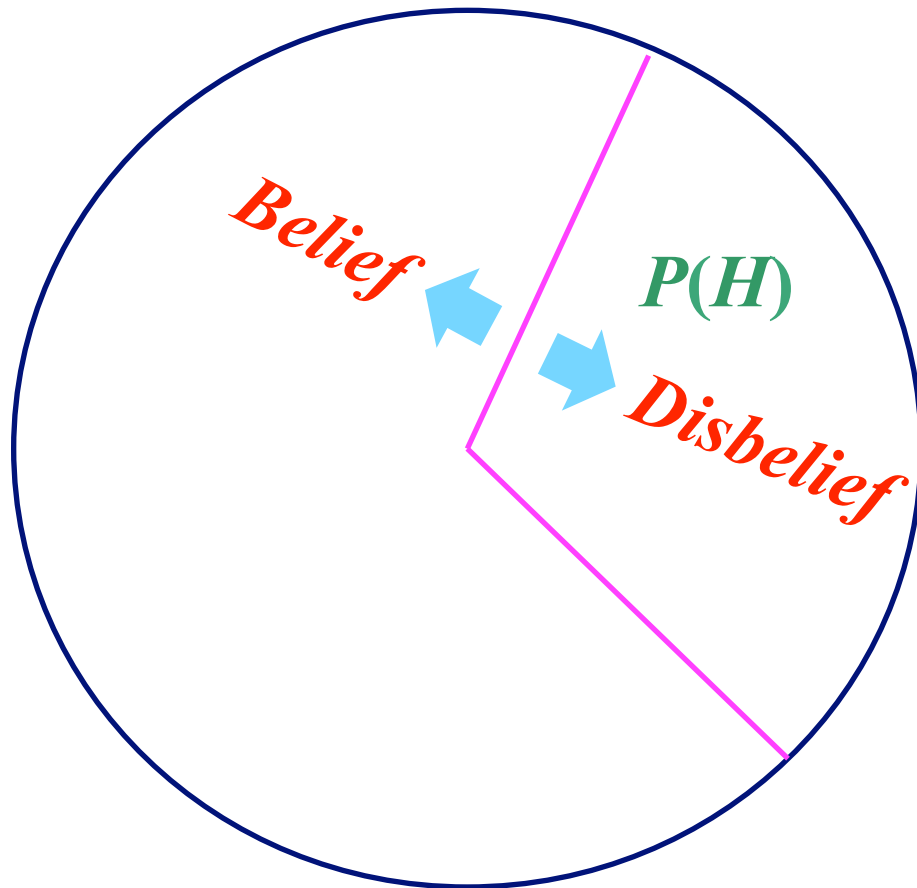
MB and MD

The measures of belief and disbelief were defined in terms of probabilities by

$$MB(H, E) = \begin{cases} 1 & \text{if } P(H) = 1 \\ \frac{\max[P(H | E), P(H)] - P(H)}{\max[1, 0] - P(H)} & \text{otherwise} \end{cases}$$

$$MD(H, E) = \begin{cases} 1 & \text{if } P(H) = 0 \\ \frac{\min[P(H | E), P(H)] - P(H)}{\min[1, 0] - P(H)} & \text{otherwise} \end{cases}$$

MB and MD



Characteristics of MB, MD and CF

Characteristics	Values
Ranges	$0 \leq MB \leq 1$ $0 \leq MD \leq 1$ $-1 \leq CF \leq 1$
Certain True Hypothesis $P(H E) = 1$	$MB = 1$ $MD = 0$ $CF = 1$
Certain False Hypothesis $P(H E) = 0$	$MB = 0$ $MD = 1$ $CF = -1$
Lack of Evidence $P(H E) = P(H)$	$MB = 0$ $MD = 0$ $CF = 0$

Combine evidence

Evidence	Antecedent Certainty
E_1 AND E_2	$\min[CF(E_1, e), CF(E_2, e)]$
E_1 OR E_2	$\max[CF(E_1, e), CF(E_2, e)]$
NOT E	$- CF(E, e)$

For example, given a logical expression for combining evidence such as

$$\begin{aligned} E &= (E_1 \text{ AND } E_2 \text{ AND } E_3) \text{ OR } (E_4 \text{ AND NOT } E_5) \\ &= \max[\min(E_1, E_2, E_3), \min(E_4, -E_5)] \end{aligned}$$

CF for the streptococcus rule

IF The stain of the organism is gram positive, and
The morphology of the organism is coccus, and
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CF for the streptococcus rule

IF The stain of the organism is gram positive, and
The morphology of the organism is coccus, and
The growth conformation of the organism is chains

THEN There is suggestive evidence (0.7) that the
identity of the organism is streptococcus.

where the certainty factor of the hypothesis under
certain evidence is

$$CF(H, E) = CF(H, E_1 \cap E_2 \cap E_3) = 0.7$$

CF for the streptococcus rule

Assuming

$$CF(E_1, e) = 0.5, CF(E_2, e) = 0.6, CF(E_3, e) = 0.3$$

then

$$\begin{aligned} CF(E, e) &= CF(E_1 \cap E_2 \cap E_3, e) \\ &= \min[CF(E_1, e), CF(E_2, e), CF(E_3, e)] \\ &= \min[0.5, 0.6, 0.3] \end{aligned}$$

The certainty factor of the conclusion is

$$\begin{aligned} CF(H, e) &= CF(E, e) CF(H, E) \\ &= 0.3 \times 0.7 \\ &= 0.21 \end{aligned}$$

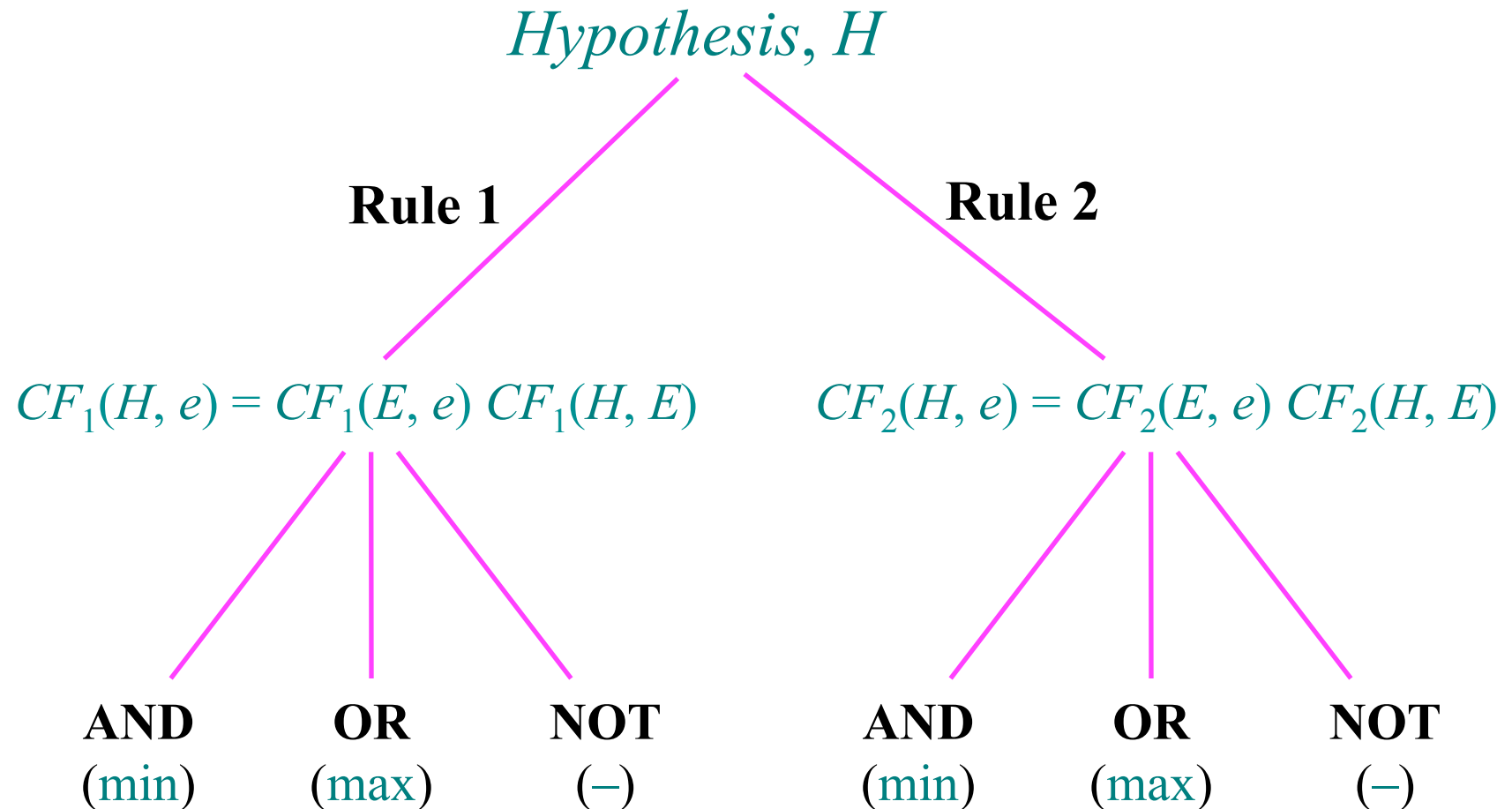
Combining function

The certainty factors of rules concluding the same hypothesis are calculated from the combining function for certainty factors defined as

$$CF_{COMB}(CF_1, CF_2) = \begin{cases} CF_1 + CF_2 (1 - CF_1) & \text{both} > 0 \\ \frac{CF_1 + CF_2}{1 - \min(|CF_1|, |CF_2|)} & \text{one} < 0 \\ CF_1 + CF_2 (1 + CF_1) & \text{both} < 0 \end{cases}$$

Note: $CF_{COMB}(X, Y) = CF_{COMB}(Y, X)$

Computing CF of two rules



Difficulties with certainty factors

One problem was that the CF values could be the opposite of conditional probabilities.

$$P(H_1) = 0.8$$

$$P(H_2) = 0.2$$

$$P(H_1|E) = 0.9$$

$$P(H_2|E) = 0.8$$

Then

$$CF(H_1, E) = 0.5, CF(H_2, E) = 0.75$$

Since one purpose of CF is to rank hypotheses in terms of likely diagnosis, it is a contradiction for a disease to have a higher conditional probability $P(H|E)$ and yet have a lower certainty factor, $CF(H, E)$

Difficulties with certainty factors

Second major problem with CF is that in general

$$P(H|e) \neq P(H|i) P(i|e)$$

where i is some intermediate hypothesis based on evidence e .

Certainty factor of two rules in an inference chain is calculated as independent probabilities by

$$CF(H, e) = CF(E, e) CF(H, E)$$

Temporal reasoning

- Reasoning about events that depend on time is called *temporal reasoning*.
- Expert systems that reason about temporal events such as aircraft traffic control could be very useful.
- Expert systems that reason over time have been developed in medicine.

Stochastic process 马可夫过程

Transition matrix

$$\begin{array}{c} \text{Present} \\ \begin{matrix} S_1 \\ S_2 \end{matrix} \end{array} \begin{array}{c} \text{Future} \\ \begin{matrix} S_1 & S_2 \end{matrix} \end{array} \left[\begin{array}{cc} P_{11} & P_{12} \\ P_{21} & P_{22} \end{array} \right]$$

$$\begin{array}{c} \text{Present} \\ \begin{matrix} C_1 \\ C_2 \end{matrix} \end{array} \begin{array}{c} \text{Future} \\ \begin{matrix} C_1 & C_2 \end{matrix} \end{array} \left[\begin{array}{cc} 0.1 & 0.9 \\ 0.6 & 0.4 \end{array} \right]$$

Where P_{mn} is the probability of a transition from state m to n .

C_1 : buy **Lenovo** computer.

C_2 : buy **Apple** computer.

State diagram interpretation

Present	Future	
	C_1	C_2
	$C_1 \begin{bmatrix} 0.1 & 0.9 \\ 0.6 & 0.4 \end{bmatrix}$	

C_1 : buy **Lenovo** computer.

C_2 : buy **Apple** computer.



State diagram

State matrix

$$S = [P_1, P_2, \dots P_n]$$

Initially, with 80 percent of the people owning lenovo, with 20 percent owing apple

$$S_1 = [0.8, 0.2]$$

$$S_2 = S_1 \cdot \text{Transition matrix}$$

$$= [0.8, 0.2] \begin{bmatrix} 0.1 & 0.9 \\ 0.6 & 0.4 \end{bmatrix}$$

$$= [0.2, 0.8]$$

Equilibrium

$$S_3 = [0.5, 0.5]$$

$$S_6 = [0.3875, 0.6125]$$

$$S_4 = [0.35, 0.65]$$

$$S_7 = [0.40625, 0.59375]$$

$$S_5 = [0.425, 0.575]$$

$$S_8 = [0.396875, 0.602125]$$

Notice that the states are *converging* on 收敛到[0.4,0.6]

$$S_n = [0.4, 0.6]$$

If transition matrix is a regular, which has some power with only positive elements, then a unique steady-state S_n exists.

如果transition matrix的幂是正的，就会收敛

Markov chain process

- A *finite number* of possible states.
- The process can be in *one and only one* state at a time.
- The process moves or *steps* successively from one state to another over time.
- The probability of a move depends only on the *immediately preceding state*.

Solve steady-state matrix

$$[P_1 \ P_2] \begin{bmatrix} 0.1 & 0.9 \\ 0.6 & 0.4 \end{bmatrix} = [P_1 \ P_2]$$

We have

$$\left. \begin{array}{l} 0.1P_1 + 0.6P_2 = P_1 \\ 0.9P_1 + 0.4P_2 = P_2 \end{array} \right\} \Rightarrow P_1 = 2/3 \cdot P_2$$

$$\left. \begin{array}{l} P_1 = 2/3 \cdot P_2 \\ P_1 + P_2 = 1 \end{array} \right\} \Rightarrow$$

$$P_1 = 0.4, P_2 = 0.6$$

Fuzzy set

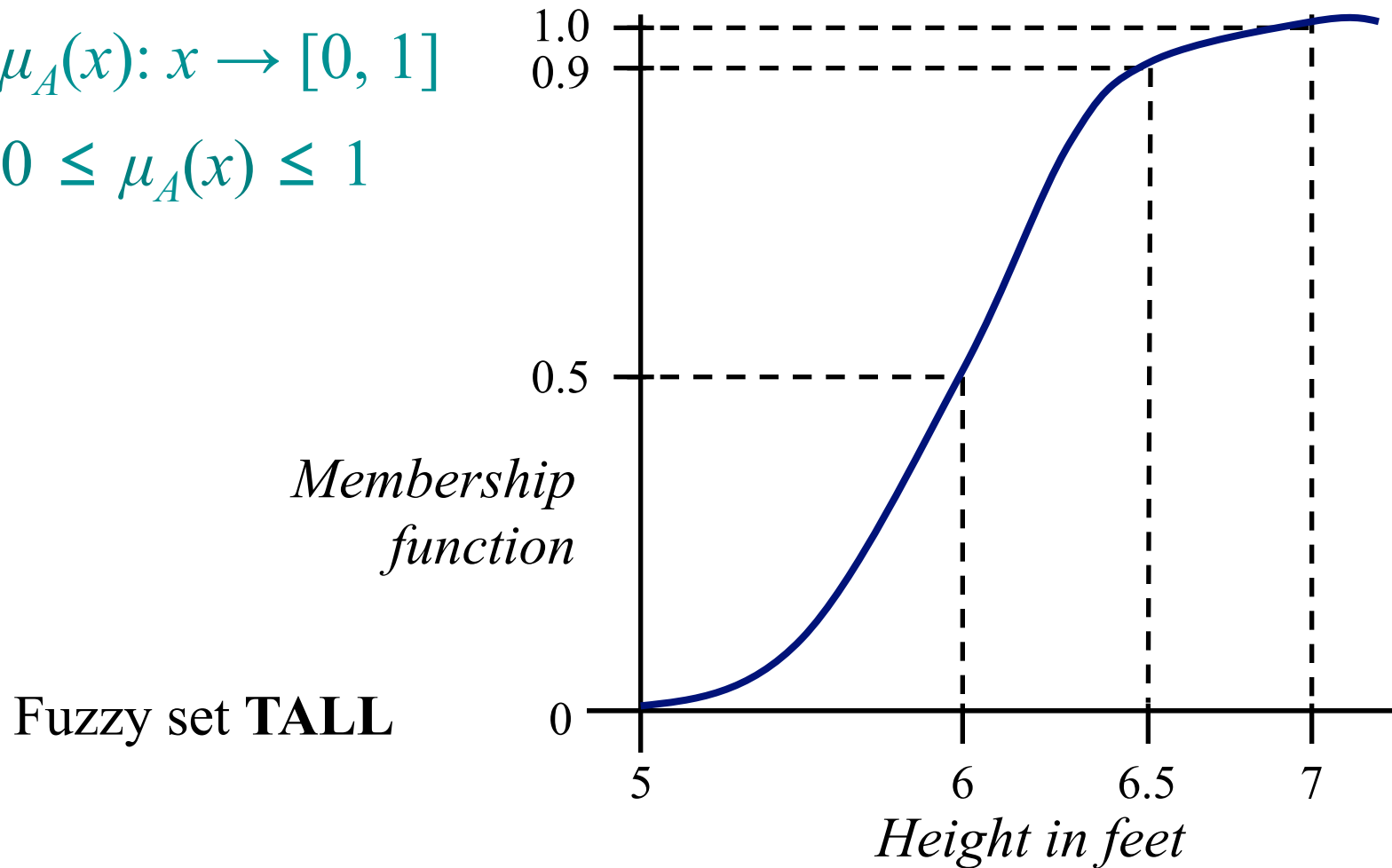
- Fuzzy set is primarily concerned with quantifying and reasoning using *natural language* in which many words have ambiguous meanings such as *tall*, *hot*, *dangerous*, *a little*, and so on. 二元的或者二值的，要么是，要么不是
- Classical concept that an object is either in a set or not in a set dates from the Aristotelian view of *bivalent* or *two-valued logic*.
- The problem with this bivalent logic is that we live in an *analog*, not a digital world.
- The development of analog theories of computation such as *artificial neural systems* and *fuzzy theory* more accurately represents the real world.

Membership function

Membership or compatibility function

$$\mu_A(x): x \rightarrow [0, 1]$$

$$0 \leq \mu_A(x) \leq 1$$



语言的

Linguistic variable and typical value

Linguistic variable	Typical Values
height	dwarf, short, average, tall, giant
number	almost, none, several, few, many
stage of life	infant, toddler, child, teenager, adult
color	red, blue, green, yellow, orange
light	dim, faint, normal, bright, intense
dessert	pie, cake, ice cream, baked alaska

Fuzzy rules

IF the TV is too dim **THEN** turn up the brightness

IF it is too hot **THEN** add some cold

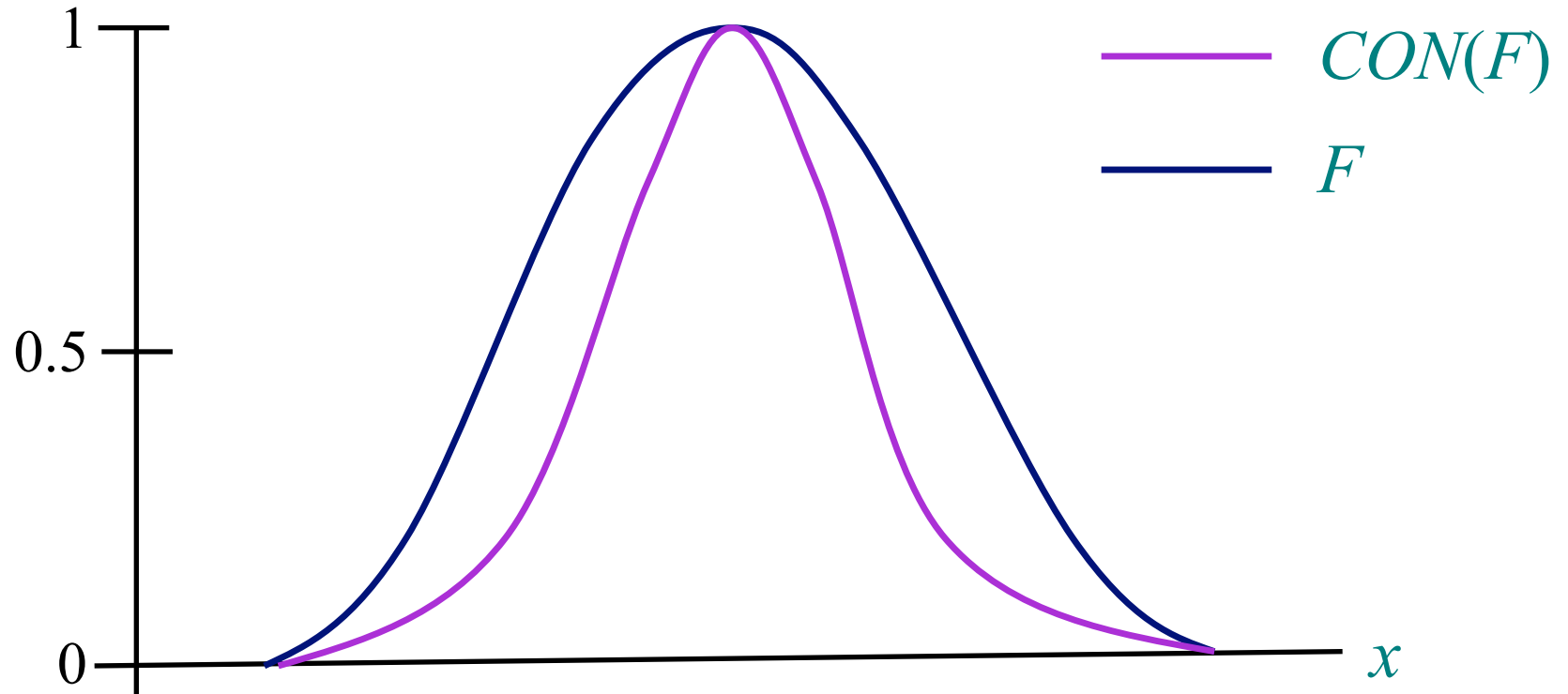
IF the pressure is too high **THEN** open the relief valve

IF interest rates are going up **THEN** buy bonds

IF interest rates are going down **THEN** buy stocks

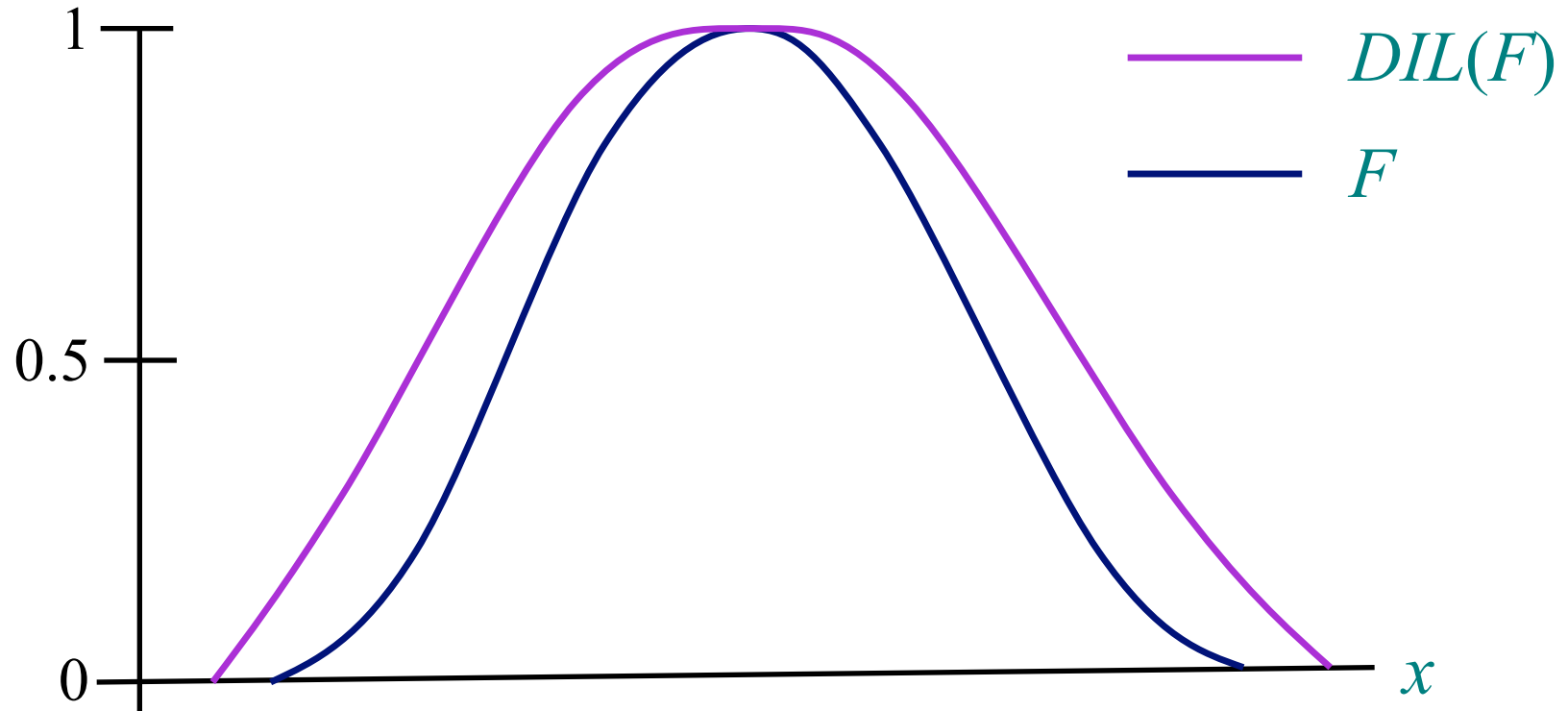
Concentration operation

Very $F = F^2$



Dilation operation

More or Less $F = F^{0.5}$



Linguistic hedges and operation

Hedge	Operator definition
Very F	$CON(F) = F^2$
More or Less F	$DIL(F) = F^{0.5}$
Plus F	$F^{1.25}$
Not F	$1 - F$
Not Very F	$1 - CON(F)$

TALL = { 0.125/5.5, 0.5/6, 0.875/6.5, 1/7 }

VERY TALL = { 0.0156/5.5, 0.25/6, 0.7656/6.5, 1/7 }

NOT TALL = { 0.875/5.5, 0.5/6, 0.125/6.5, 0/7 }

Fuzzy relation

x	y				
	120	130	140	150	160
120	1.0	0.7	0.4	0.2	0.0
130	0.7	1.0	0.6	0.5	0.2
140	0.4	0.6	1.0	0.8	0.5
150	0.2	0.5	0.8	1.0	0.8
160	0.0	0.2	0.5	0.8	1.0

$R(x, y) = \text{APPROXIMATELY EQUAL}$
on the binary relation of people's weights.

Composition operator

$$R_1(x) = \mathbf{HEAVY} = \{ 0.6/140, 0.8/150, 1/160 \}$$

$$R_2(x, y) = \mathbf{APPROXIMATELY EQUAL}$$

$$R_3(y) = \mathbf{MORE OR LESS HEAVY}$$

$$= R_1(x) \circ R_2(x, y) \qquad \max \min_x (R_1(x), R_2(x, y))$$

$$= [0.0 \quad 0.0 \quad 0.6 \quad 0.8 \quad 1.0] \circ$$

$$\begin{pmatrix} 1.0 & 0.7 & 0.4 & 0.2 & 0.0 \\ 0.7 & 1.0 & 0.6 & 0.5 & 0.2 \\ 0.4 & 0.6 & 1.0 & 0.8 & 0.5 \\ 0.2 & 0.5 & 0.8 & 1.0 & 0.8 \\ 0.0 & 0.2 & 0.5 & 0.8 & 1.0 \end{pmatrix}$$

$$= \{ 0.4/120, 0.6/130, 0.8/140, 0.8/150, 1/160 \}$$

Membership grades for images

Image	Membership Grade		
	Missile	Fighter	Airliner
1	1.0	0.0	0.0
2	0.9	0.0	0.1
3	0.4	0.3	0.2
4	0.2	0.3	0.2
5	0.1	0.2	0.7
6	0.1	0.6	0.4
7	0.0	0.7	0.2
8	0.0	0.0	1.0
9	0.0	0.8	0.2
10	0.0	1.0	0.0

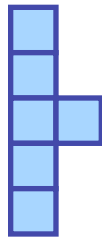
这些是隶属度不是概率

Fuzzy sets for Aircraft identification



$$1/M$$

1



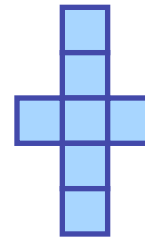
$$.9/M + .1/A$$

2



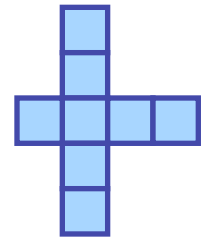
$$.4/M + .3/F + .2/A$$

3



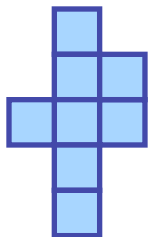
$$.2/M + .3/F + .5/A$$

4



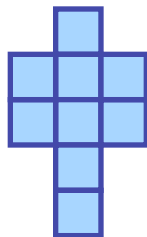
$$.1/M + .2/F + .7/A$$

5



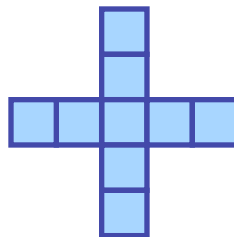
$$.1/M + .6/F + .4/A$$

6



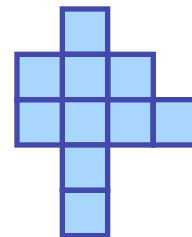
$$.7/F + .2/A$$

7



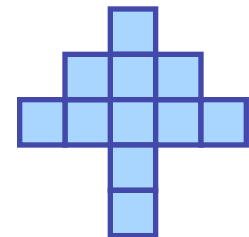
$$.1/A$$

8



$$.8/F + .2/A$$

9



$$.1/F$$

10

Fuzzy rules

IF IMAGE4 THEN TARET4 $(.2/M + .3/F + .5/A)$

IF IMAGE6 THEN TARGET6 $(.1/M + .6/F + .4/A)$

TARGET = **TARGET4** + **TARGET6**

$$= .2/M + .3/F + .5/A + .1/M + .6/F + .4/A$$

$$= .2/M + .6/F + .5/A$$

where only the *maximum membership grades* for each element are retained in the **TARGET** fuzzy set.

Fuzzy inference

In general, given n observations and rules

IF E_1 THEN H_1

IF E_2 THEN H_2

\vdots

IF E_n THEN H_n

$$\begin{aligned}\mu_H &= \max(\mu_{H_1}, \mu_{H_2}, \dots, \mu_{H_n}) \\ &= \max[\min(\mu_{E_1}), \min(\mu_{E_2}), \dots, \min(\mu_{E_n})]\end{aligned}$$

where each E_i may be some fuzzy expression.

For example, $E_1 = E_A \text{ AND } (E_B \text{ OR NOT } E_C)$

Then, $\mu_{E_1} = \min(\mu_{E_A}, \max(\mu_{E_B}, 1 - \mu_{E_C}))$

Any question?



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