

# Intelligent Systems Principles and Programming

Xiaoqing Zheng  
zhengxq@fudan.edu.cn



# ELIZA

---

**User:**

Men are all alike.

They are always bugging us about something or other.

Well, my boyfriend made me come here.

He says I'm depressed much of the time.

It's true, I am unhappy.

I need some help; that much seems certain.

Perhaps I could learn to get along with my mother.

My mother takes care of me.

My father.

You are like my father in some ways.

**ELIZA:**

In what way?

Can you think of a specific example?

Your boyfriend made you come here.

I am sorry to hear you are depressed.

Do you think coming here will help you not to be unhappy?

What would it mean to you if you got some help?

Tell me more about your family.

Who else in your family takes care of you?

Your father.

What resemblance do you see?

# ELIZA

---

Word	Rank	Pattern	Outputs
alike	10	?X	In what way? What resemblance do you see?
are	3	?X are you ?Y	Would you prefer it if I were not ?Y
	3	?X are ?Y	What if they were not ?Y?
always	5	?X	Can you think of a specific example? When Really, always?
what	2	?X	Why do you ask? Does that interest you?

**User:** Necessity is the mother of invention.

**ELIZA:** Tell me more about your family.

**User:** Green the adzabak are the a ran four.

**ELIZA:** What if they were not the a ran four?

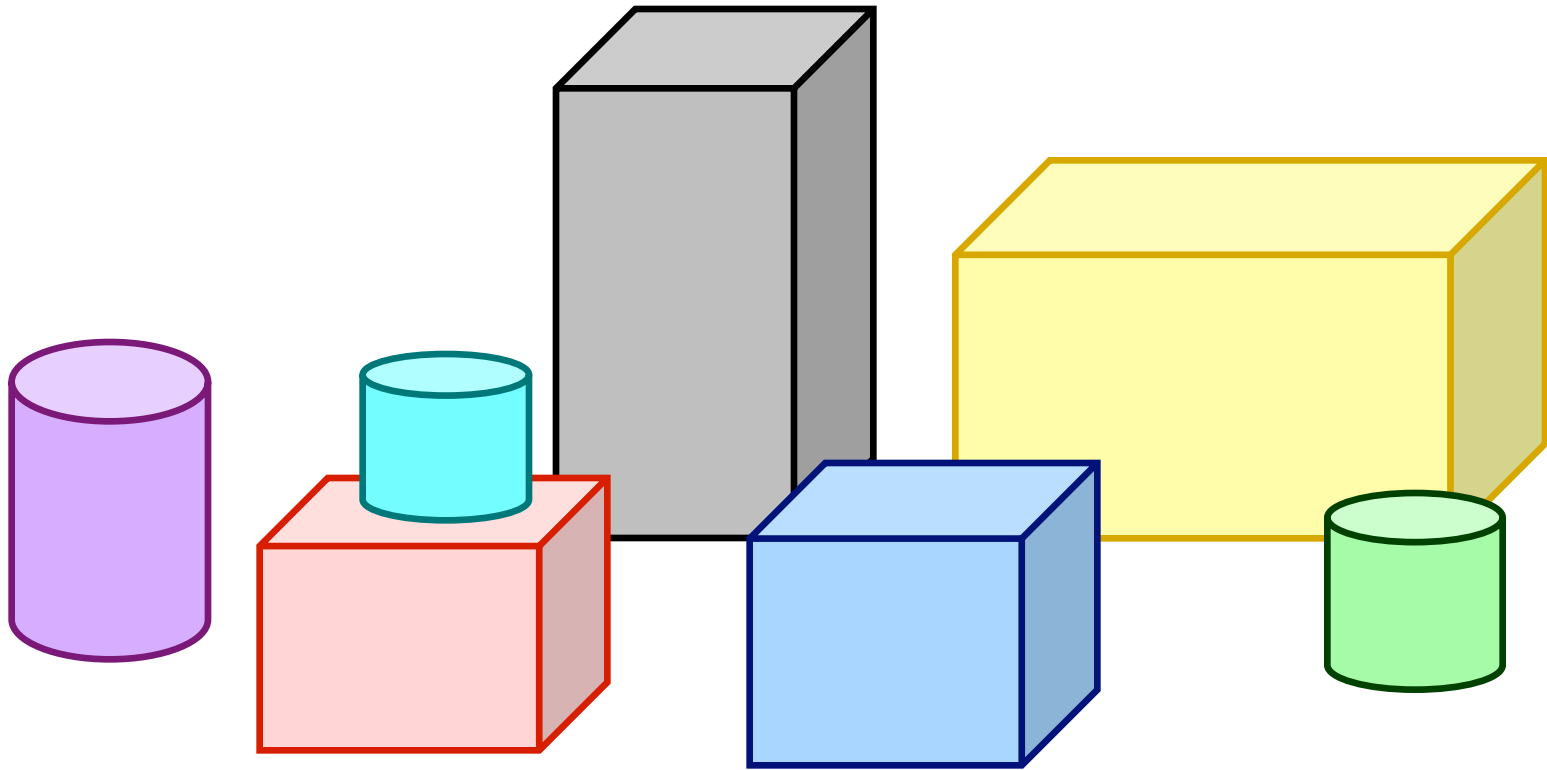
# Three major issues

---

- A large amount of human *knowledge* is assumed.
- Language is *pattern* based.
- Language acts are the product of *agents*, either human or computer.

# SHRDLU

---



**A blocks world**

# Different levels of natural language

---

- *Prosody* deals with the rhythm and intonation of language.
- *Phonology* examines the sounds that are combined to form language.
- *Morphology* is concerned with the components (morphemes) that make up words.
- *Syntax* studies the rules for combining words into legal phrases and sentences, and the use of those rules to parse and generate sentences.

# Different levels of natural language

---

- ***Semantics*** considers the meaning of words, phrases, and sentences and the ways in which meaning is conveyed in natural language expressions.
- ***Pragmatics*** is the study of the ways in which language is used and its effects on the listener.
- ***World knowledge*** includes knowledge of the physical world, the world of human social interaction, and the role of goals and intentions in communication.

Green frogs have large noses.

Green ideas have large noses.

Large have green ideas nose.

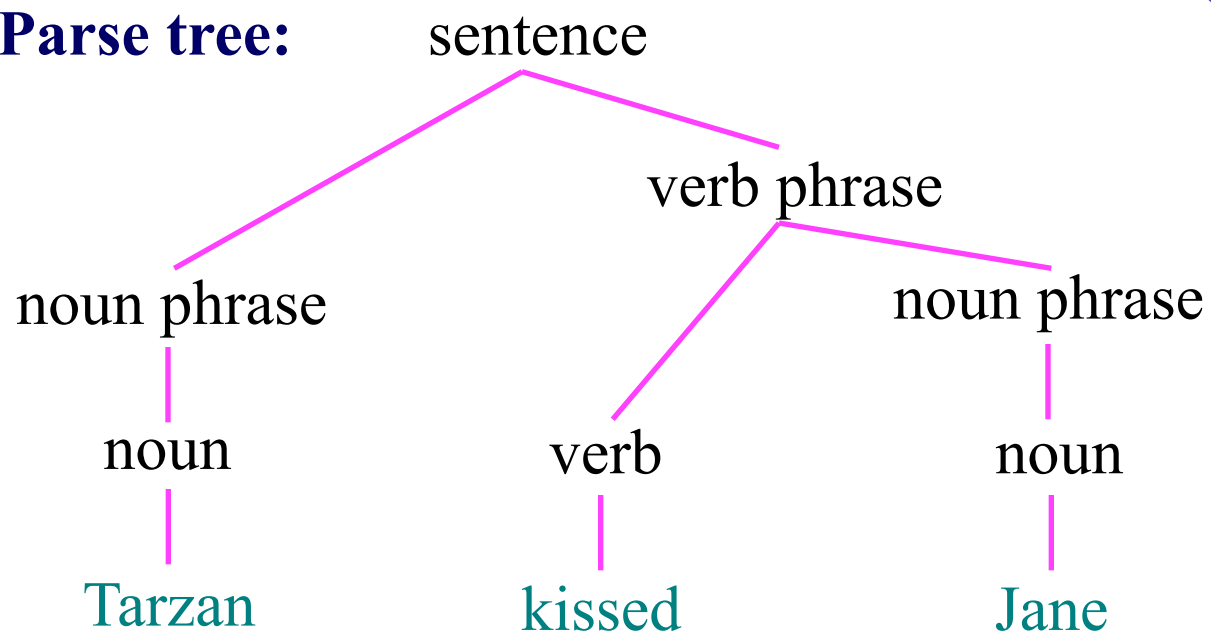
# Stages

---

**Input:** Tarzan kissed Jane.

**Parsing**

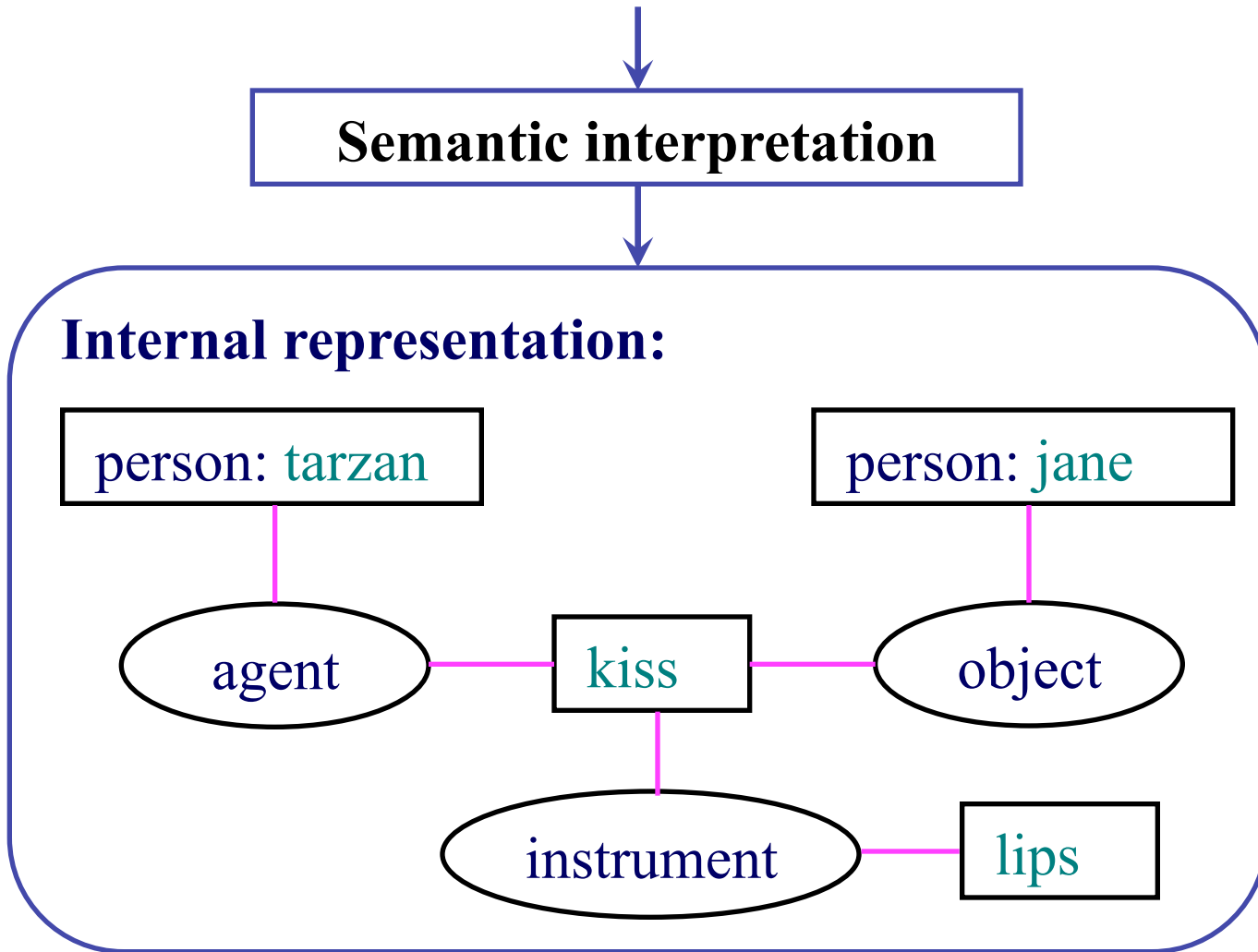
**Parse tree:**





# Stages

---



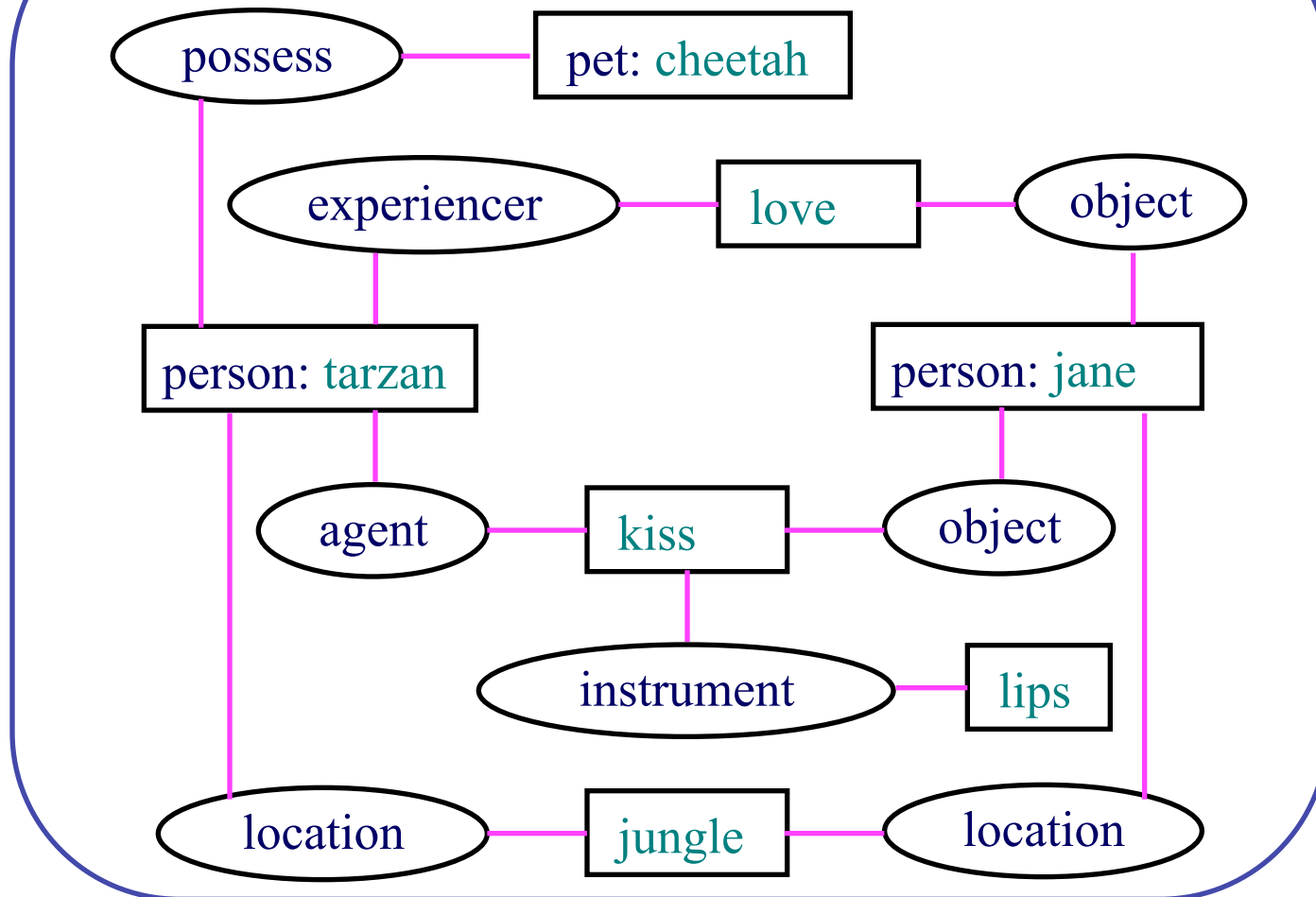
↓

## Contextual / world knowledge interpretation

↓

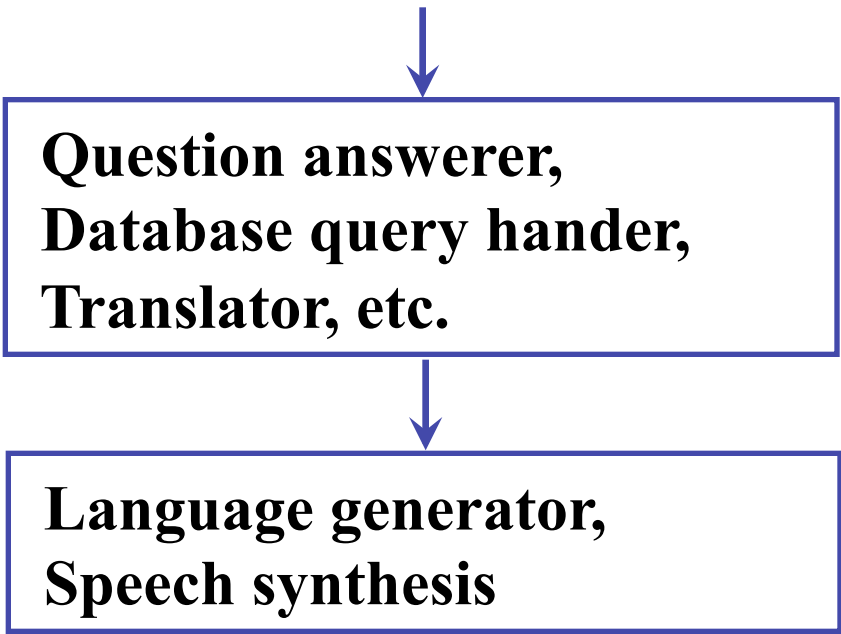
---

### Expanded representation:



# Stages

---

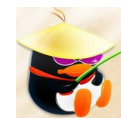


**Question answerer,  
Database query handler,  
Translator, etc.**

**Language generator,  
Speech synthesis**

# Dialog example

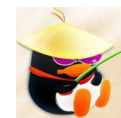
明天下午去看场电影啊？



好呀！去哪里？



我们去学校旁边的万达影城吧。



# Chinese word segmentation

## 步骤一：中文分词

交叉歧义

明天 / 下午 / 去 / 看 / 场 / 电影 / 啊 / ？

好 / 呀 / ！ / 去 / 哪里 / ？

我们 / 去 / 学校 / 旁边 / 的 / 万达 / 影城 / 吧 / 。

命名识别

# Challenges of word segmentation

## 各种歧义

- 组合歧义

以我 / 个人 / 的名义  
他一 / 个 / 人 / 在家

- 交叉歧义

从 / 小学 / 到中学  
从小 / 学 / 计算机

- 理解歧义

美国 / 会 / 采取行动  
美 / 国会 / 采取行动



## 未知词汇

- 时间、数量

2012年5月22日  
一市斤  
356克

- 人名、机构名、地名

李维汉  
阿里巴巴  
上海浦东张江镇

- 外文翻译及缩写

阿诺德·施瓦辛格  
FDA

- 专用名词缩写

中航  
央行

- 新词、不规范词

菜鸟  
菇凉

# Challenges of word segmentation

## 构词方式

---

- 重叠词

AA式：爸爸、宝宝、星星

AABB式：大大咧咧、形形色色、漂漂亮亮

- 派生词

前缀 + 词根：阿姨、老虎、老婆

词根 + 后缀：椅子、木头、鸟儿

词根 + 中缀 + 词根：对得起、来得及

- 词转化为短语

动宾结构式：鞠躬 → 鞠个躬 → 鞠个九十度的躬

偏正或联合结构：同学 → 同过三年学

补充结构式：达到 → 达得/不到

- 短语转化为词

ABCD → AC式：土地改革 → 土改、地下铁道 → 地铁

ABCD → AD式：空中小姐 → 空姐、高等院校 → 高校

截段简缩：中国南极长城站 → 长城站、复旦大学 → 复旦

综合简缩：联合国安全理事会 → 安全理事会 → 安理会

# Part-of-speech tagging

## 步骤二：词性标注

我们 / 去 / 学校 / 旁边 / 的 / 万达 / 影城 / 吧 / 。

<b>PN</b>	<b>VV</b>	<b>NN</b>	<b>LC</b>		<b>NR</b>	<b>NN</b>	<b>PU</b>
Pronoun	Verb	Noun	Localizer		Proper noun	Noun	Punctuation
			<b>DEG</b>			<b>SP</b>	
			Associative marker			Sentence-final particle	

## 兼类现象

- 
- 动名兼类  
动词：报告上级  
名词：一份报告
  - 形名兼类  
形容词：科学价值  
名词：方法不科学
  - 形动兼类  
形容词：学校生活很丰富  
动词：丰富业余生活



# Named entity recognition

## 步骤三：命名实体识别

我们 / 去 / 学校 / 旁边 / 的 / 万达 / 影城 / 吧 / 。

PN

VV

NN

LC

DEG

NR

NN

SP

PU

### 七类实体

人名

机构名

地名

时间

日期

货币

百分比

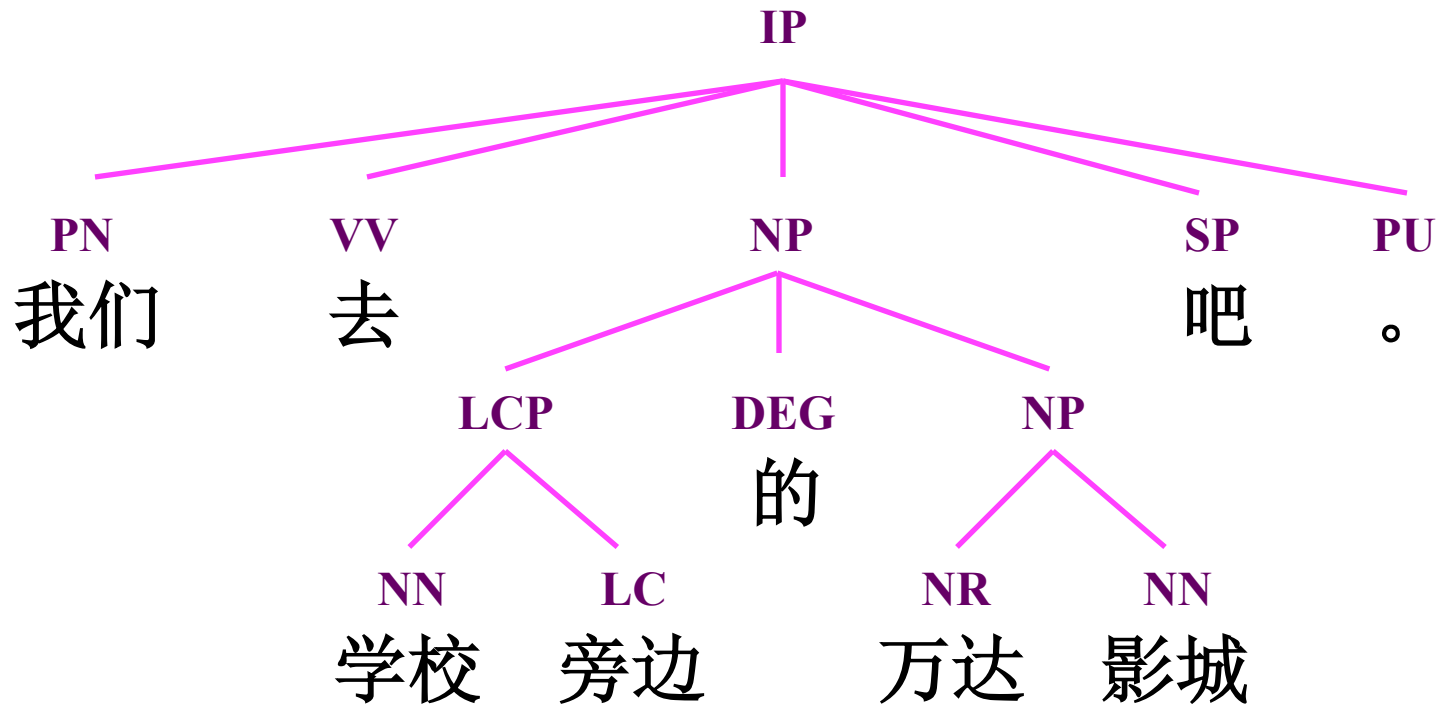
机构：电影院

### 困难原因

- 没有明显标志
- 生成规律复杂
- 普通用字混淆

# Constituent parsing

## 步骤四：语法分析



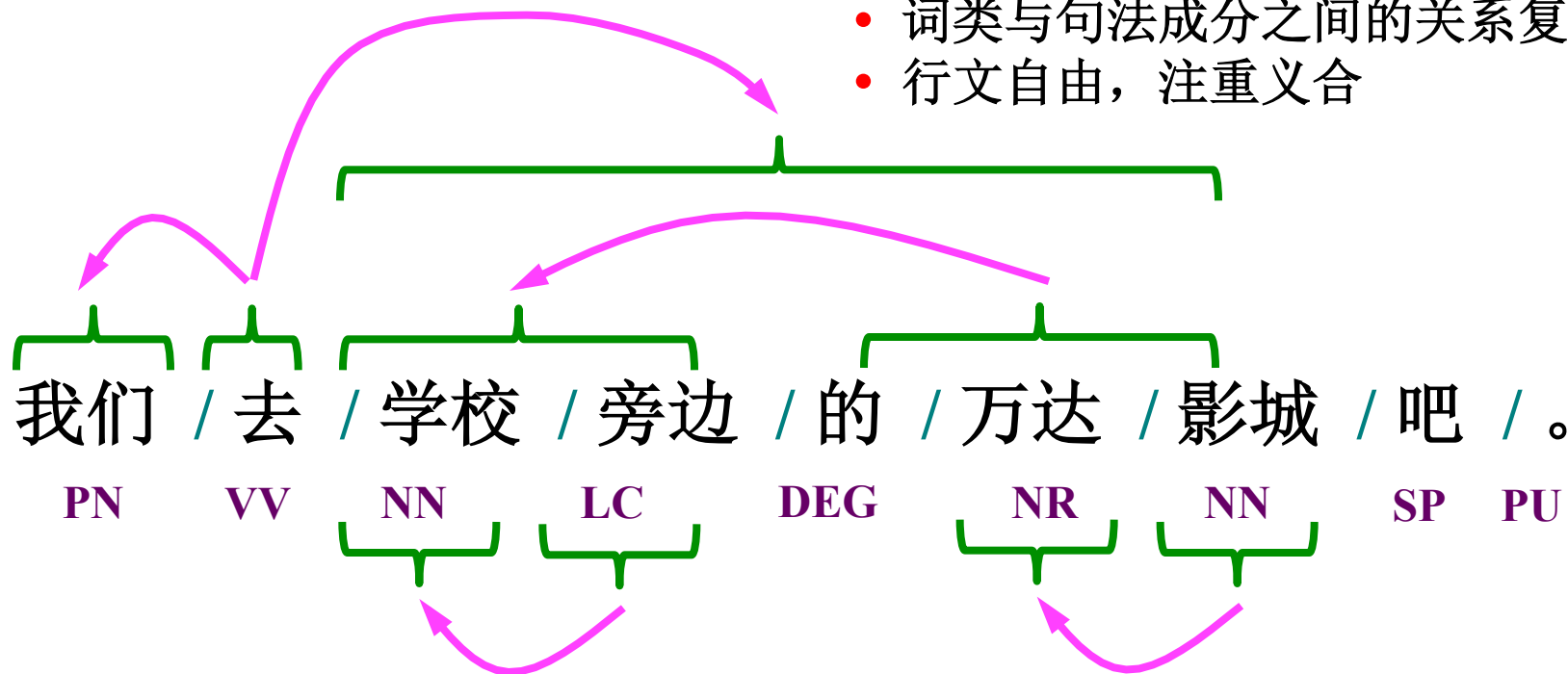
## 成分语法分析

# Dependency parsing

## 步骤四：语法分析

### 主要挑战

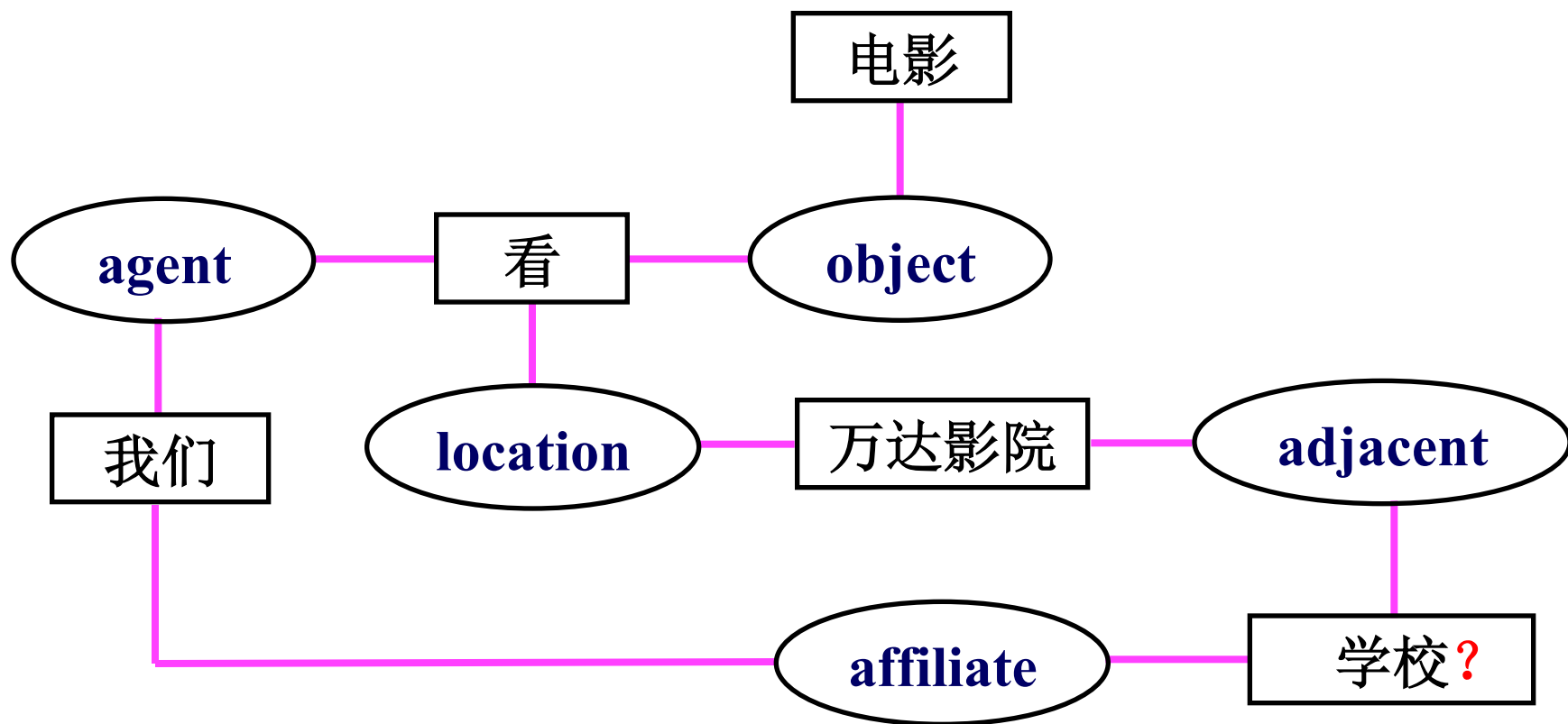
- 不依赖严格的形态变化
- 词类与句法成分之间的关系复杂
- 行文自由，注重义合



依存语法分析

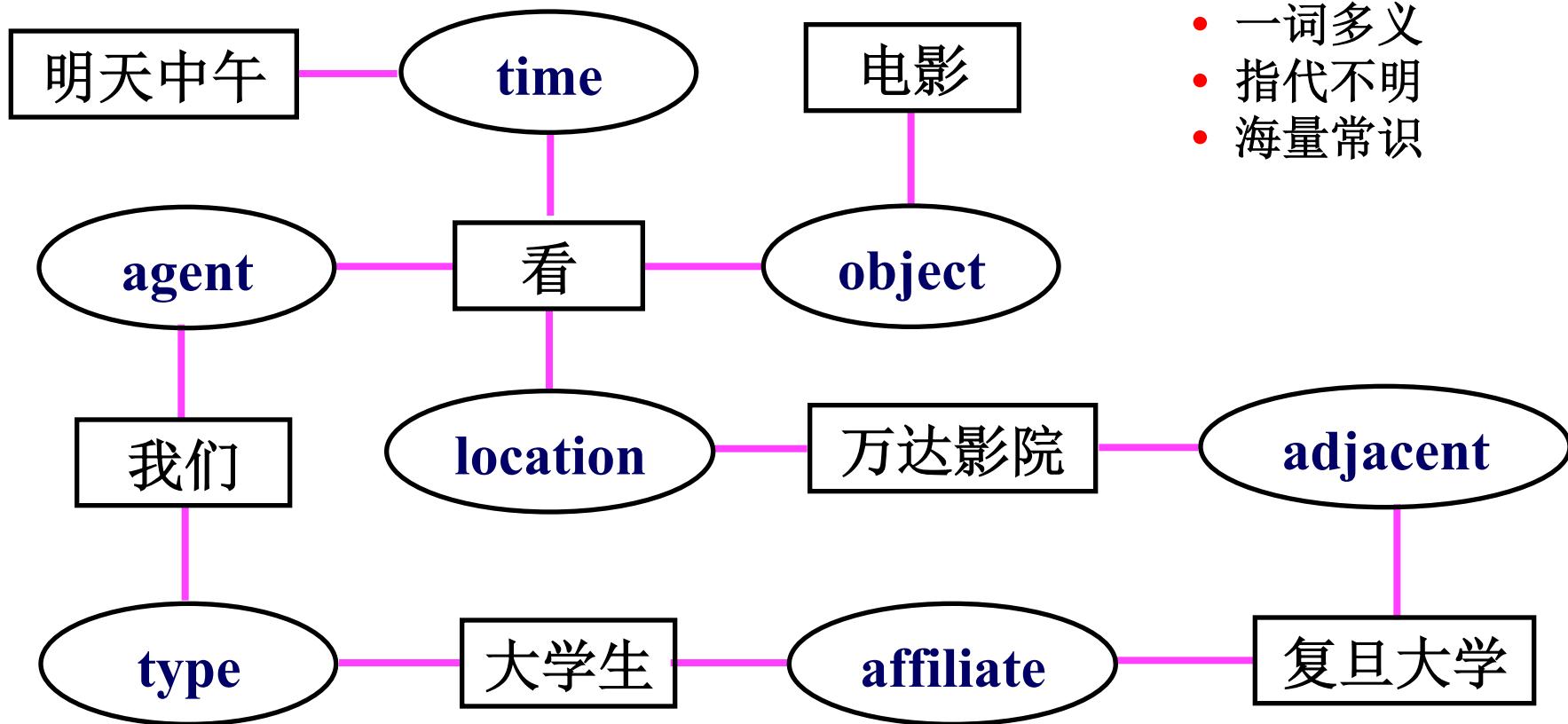
# Semantic interpretation

## 步骤五：语义理解



# Background knowledge

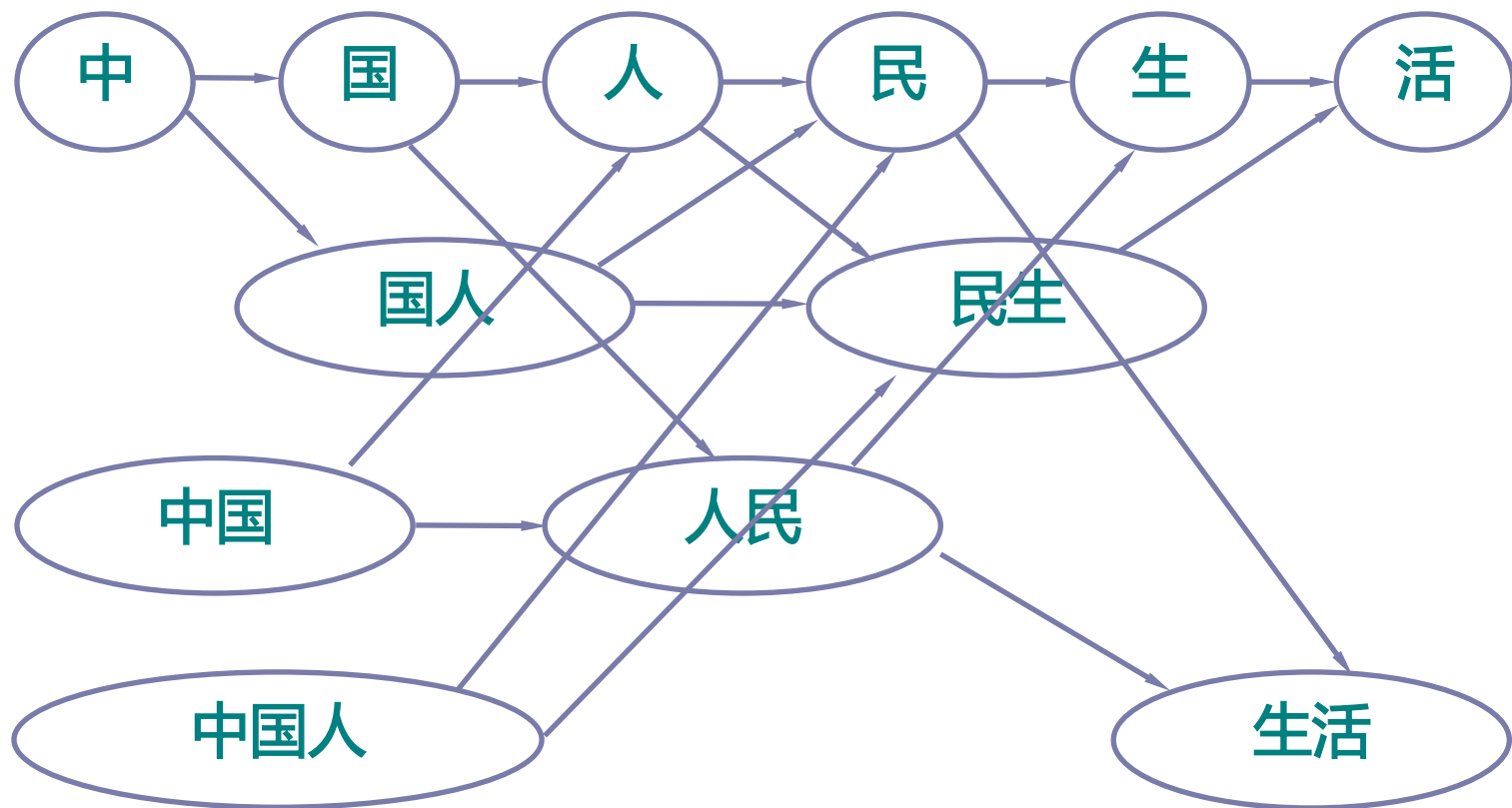
## 步骤六：背景融合



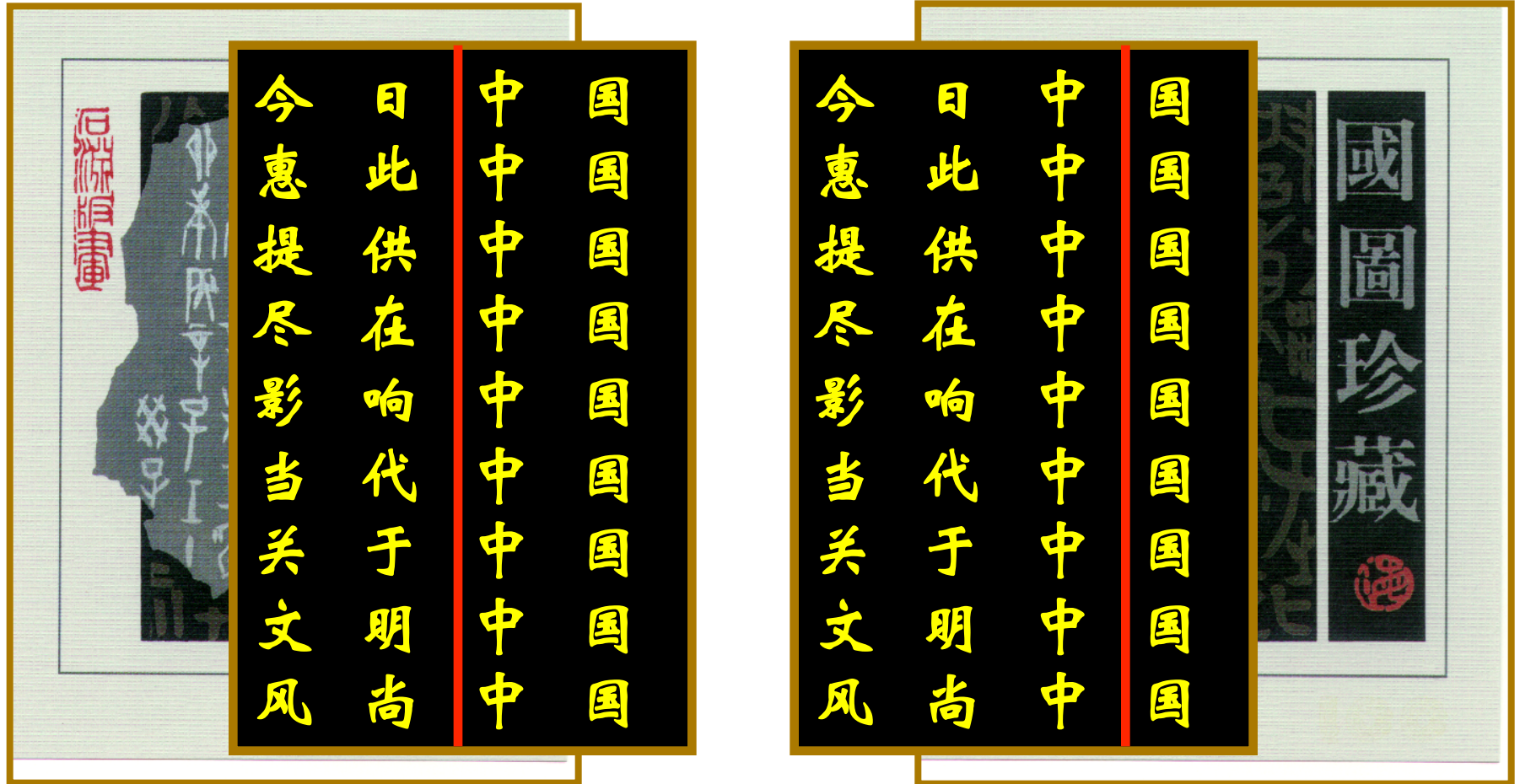
### 主要困难

- 一词多义
- 指代不明
- 海量常识

# Chinese word segmentation



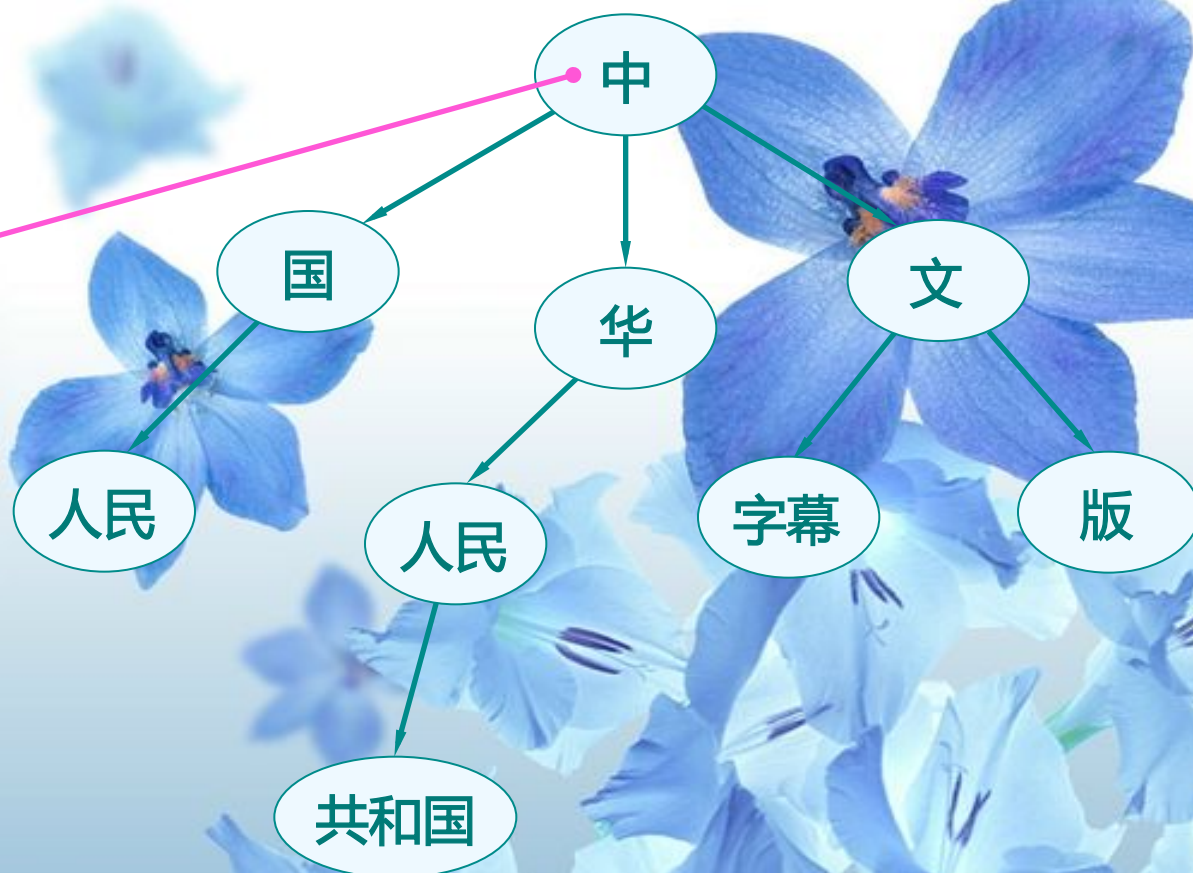
# Unknown word detection



# 树型词库

*Hash Table*

中





# Syntax: context-free grammars

---

1. sentence  $\rightarrow$  noun\_phrase verb\_phrase
2. noun\_phrase  $\rightarrow$  noun
3. noun\_phrase  $\rightarrow$  article noun
4. verb\_phrase  $\rightarrow$  verb
5. verb\_phrase  $\rightarrow$  verb noun\_phrase
6. article  $\rightarrow$  a
7. article  $\rightarrow$  the
8. noun  $\rightarrow$  man
9. noun  $\rightarrow$  dog
10. verb  $\rightarrow$  likes
11. verb  $\rightarrow$  bites

# Derivation

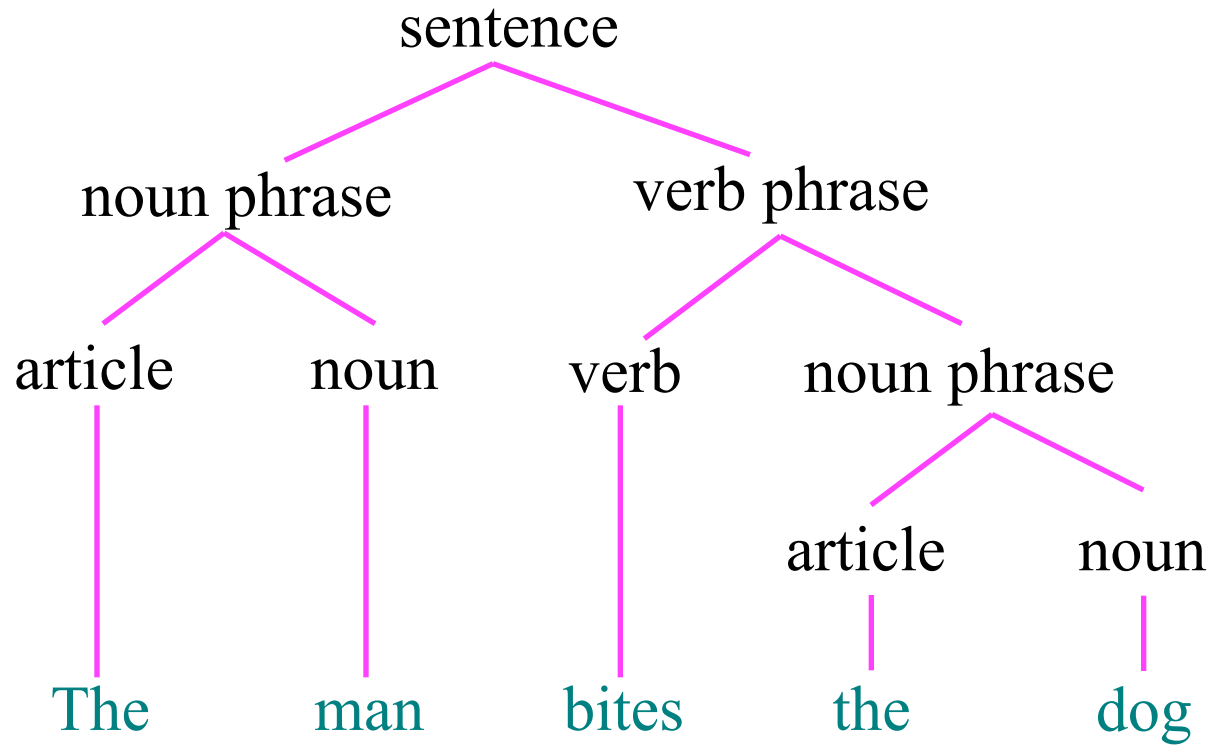
---

The man bites the dog.

String	Apply rule
sentence	1
noun_phrase verb_phrase	3
article noun verb_phrase	7
The noun verb_phrase	8
The man verb_phrase	5
The man verb noun_phrase	11
The man bites noun_phrase	3
The man bites article noun	7
The man bites the noun	9
The man bites the dog	

# Parse tree

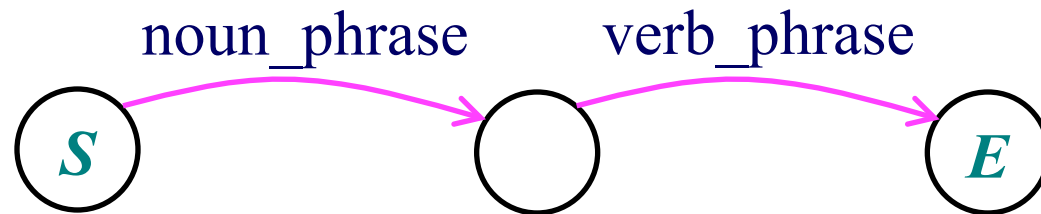
---



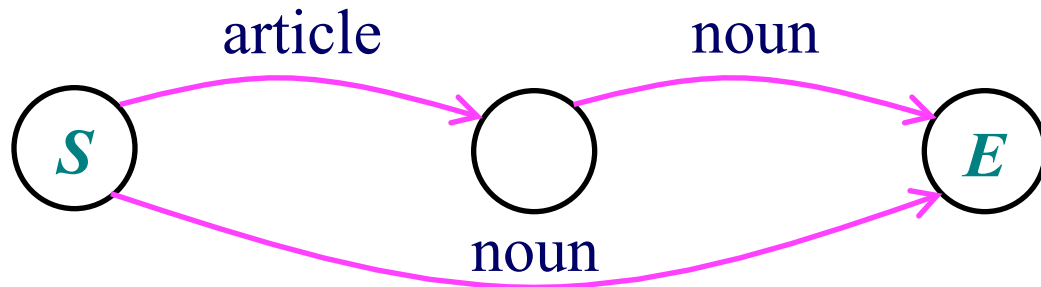
# Transition network parsers

---

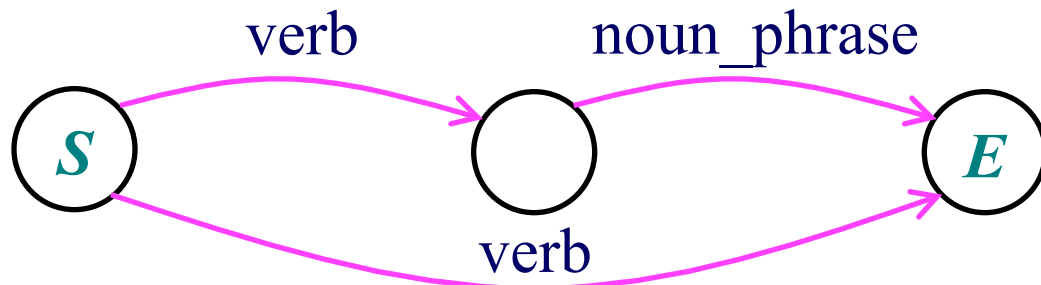
**sentence**



**noun\_phrase**



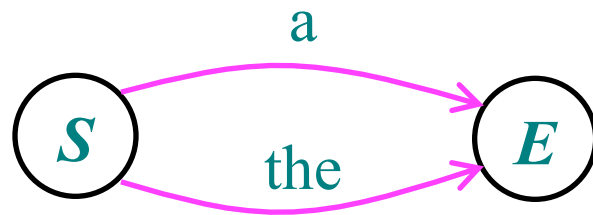
**verb\_phrase**



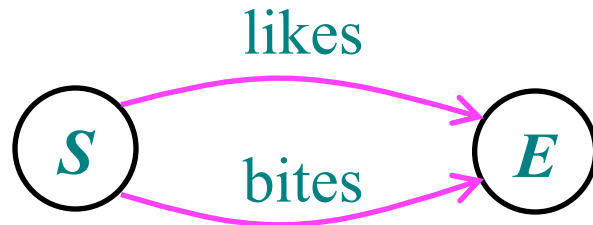
# Transition network parsers

---

**article**



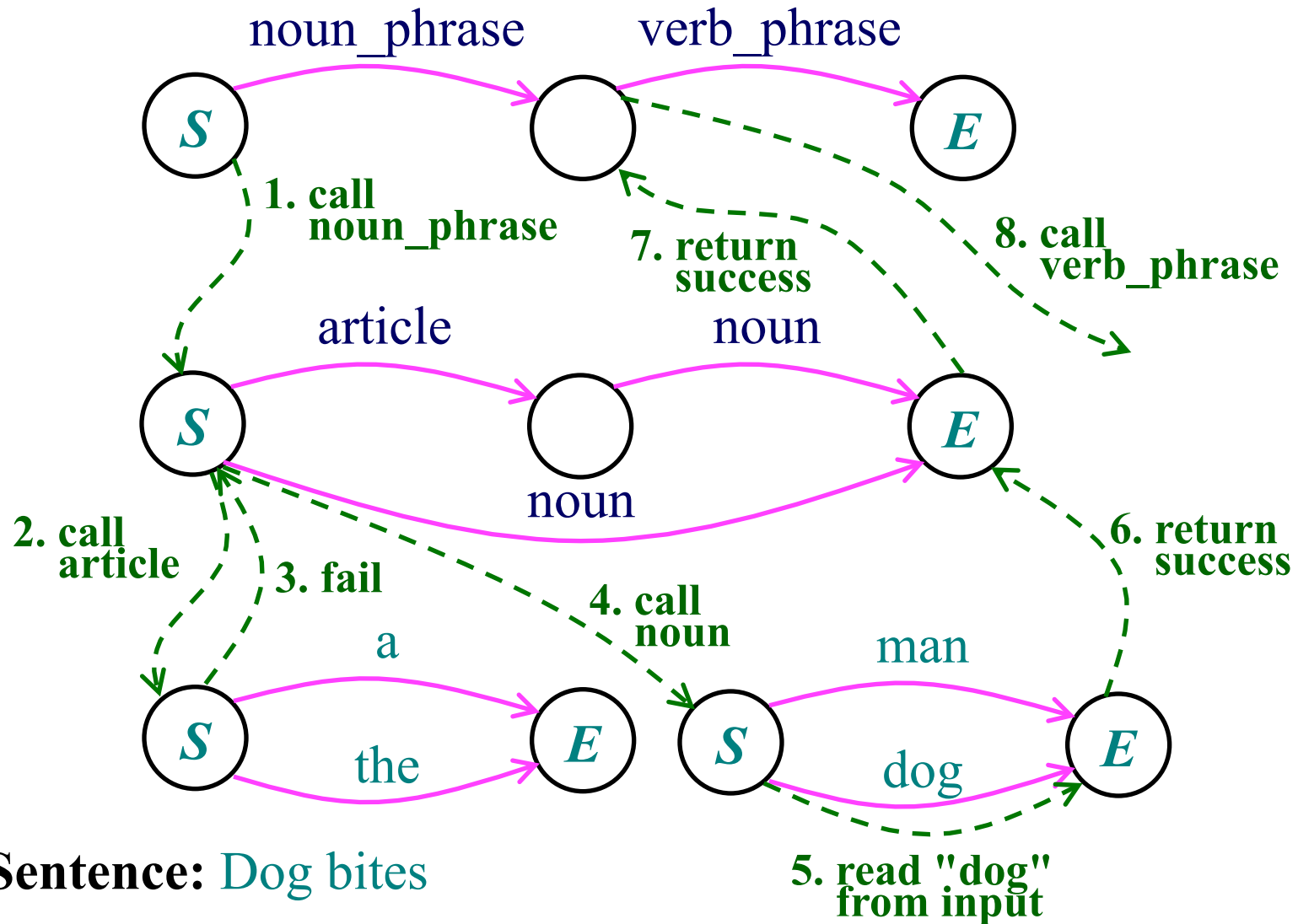
**verb**



**noun**



# Trace of a transition network parse



# Context-sensitive grammars

---

- A *context-free grammar* allows rules to have only a *single* nonterminal on their left-hand side.

*It will accept sentences such as:*

*A men likes a dogs.*

- The parser will accept these sentences because the current rules cannot use *context* to determine when the singular or plural forms must be coordinated.
- The *context-sensitive languages* form a proper superset of the context-free languages. They are defined using context-sensitive grammars which allow more than one symbol on the left-hand side of a rule and make it possible to define a *context* in which that rule can be applied.

# Context-sensitive grammars

---

1. sentence  $\rightarrow$  noun\_phrase verb\_phrase
2. noun\_phrase  $\rightarrow$  article number noun
3. noun\_phrase  $\rightarrow$  number noun
4. number  $\rightarrow$  singular
5. number  $\rightarrow$  plural
6. article singular  $\rightarrow$  a singular
7. article singular  $\rightarrow$  the singular
8. article plural  $\rightarrow$  some plural
9. article plural  $\rightarrow$  the plural
10. singular noun  $\rightarrow$  dog singular
11. singular noun  $\rightarrow$  man singular
12. plural noun  $\rightarrow$  dogs plural
13. plural noun  $\rightarrow$  men plural



# Context-sensitive grammars

---

- 14. singular verb\_phrase  $\rightarrow$  singular verb
- 15. plural verb\_phrase  $\rightarrow$  plural verb
- 16. singular verb  $\rightarrow$  bites
- 17. singular verb  $\rightarrow$  likes
- 18. plural verb  $\rightarrow$  bite
- 19. plural verb  $\rightarrow$  like

Sentence

noun\_phrase verb\_phrase

article plural noun verb\_phrase

The plural noun verb\_phrase

The dogs plural verb\_phrase

The dogs plural verb

The dogs bite

# Disadvantages

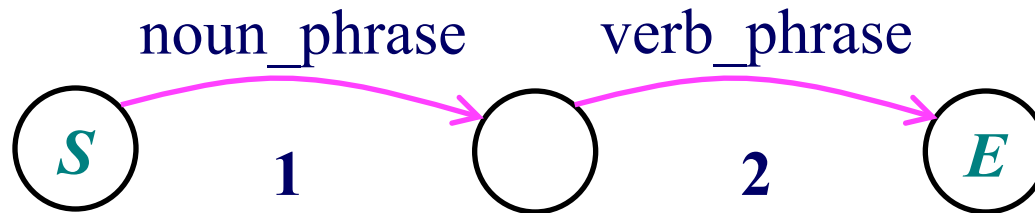
---

- Context-sensitive grammars increase drastically the *number of rules* and nonterminals in the grammar.
- They obscure the *phrase structure* of the language that is so *clearly* represented in the context-free rules.
- By attempting to handle more complicated checks for agreement and *semantic consistency* in the grammar itself, they lose many of the benefits of *separating* the syntactic and semantic components of language.
- Context-sensitive grammars do not address the problem of building a *semantic representation* of the meaning of the text.

# Augmented transition network

---

**sentence**



**function sentence-1:**

**begin**

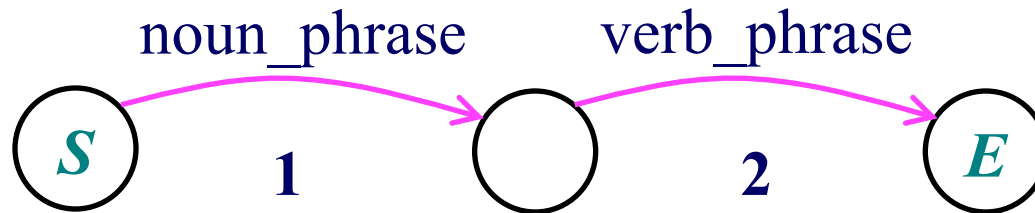
*noun\_phrase* := structure returned by noun\_phrase networks;  
*sentence.subject* := *noun\_phrase*;

**end**

# Augmented transition network

---

**sentence**



**function sentence-2:**

**begin**

**verb\_phrase** := structure returned by verb\_phrase networks;

**if** noun\_phrase.number = verb\_phrase.number

**then begin**

**sentence.verb\_phrases** := verb\_phrase;

**return** sentence

**end**

**else fail**

**end**

# Grammars and logic programming

---

## Grammar

$S \rightarrow NP VP$

$s(P1, P3) :- np(P1, P2), vp(P2, P3)$

## Sentence

John ate the cat

$word(john, 1, 2)$

$word(ate, 2, 3)$

$word(the, 3, 4)$

$word(cat, 4, 5)$

# Grammars and logic programming

---

## **Lexicon**

*isart(the)*

*isname(john)*

*isverb(ate)*

*isnoun(cat)*

## **Syntactic category**

*n(I, O) :- word(Word, I, O), isnoun(Word)*

*art(I, O) :- word(Word, I, O), isart(Word)*

*v(I, O) :- word(Word, I, O), isverb(Word)*

*name(I, O) :- word(Word, I, O), isname(Word)*

# Grammars and logic programming

---

## Prolog-based representation of grammar

1.  $s(P1, P3) :- np(P1, P2), vp(P2, P3)$
2.  $np(P1, P3) :- art(P1, P2), n(P2, P3)$
3.  $np(P1, P2) :- name(P1, P2)$
4.  $pp(P1, P3) :- p(P1, P2), np(P2, P3)$
5.  $vp(P1, P2) :- v(P1, P2)$
6.  $vp(P1, P3) :- v(P1, P2), np(P2, P3)$
7.  $vp(P1, P3) :- v(P1, P2), pp(P2, P3)$

## Question

$s(1, 5)$

# A trace of Prolog-based parse

---

## Current State

1.  $s(1, 5)$
2.  $np(1, P2), vp(P2, 5)$
3.  $art(1, P2'), n(P2', P2), vp(P2, 5)$
4.  $name(1, P2), vp(P2, 5)$
5.  $vp(2, 5)$
6.  $v(2, 5)$
7.  $v(2, P2), np(P2, 5)$
8.  $np(3, 5)$
9.  $art(3, P2), n(P2, 5)$
10.  $n(4, 5)$
11.  $nil$

John ate the cat

## Backup States

$name(1, P2), vp(P2, 5)$

$v(2, P2), np(P2, 5)$

$v(2, P2), pp(P2, 5)$

$v(2, P2), pp(P2, 5)$

$v(2, P2), pp(P2, 5)$

$name(3, 5)$

$v(2, P2), pp(P2, 5)$

$name(3, 5)$

$v(2, P2), pp(P2, 5)$

$name(3, 5)$

$v(2, P2), pp(P2, 5)$



# Top-down and bottom-up

---

*The can holds the water*

*can* may be an *AUX*, *V*, or *N*

$S \rightarrow NP \ VP$

$NP \rightarrow ART \ ADJ \ N \ VP$

$NP \rightarrow ART \ N \ VP$

$NP \rightarrow ADJ \ N \ VP$

# Top-down chart parser

---

**the      large      can      can      hold      the      water**



$S \rightarrow \| NP \ VP$   
 $NP \rightarrow \| ADJ \ N$   
 $NP \rightarrow \| ART \ N$   
 $NP \rightarrow \| ART \ ADJ \ N$

# Top-down chart parser

NP1 (rule2)		
ART1	ADJ1	N1

**the          large          can          can          hold          the          water**

$NP \rightarrow ART \| N$



$NP \rightarrow ART \| ADJ \ N$



$NP \rightarrow ART \ ADJ \| N$

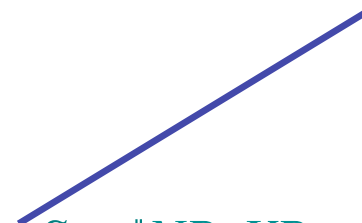


$S \rightarrow NP \| VP$



$VP \rightarrow \| AUX \ VP$

$VP \rightarrow \| V \ NP$



$S \rightarrow \| NP \ VP$

$NP \rightarrow \| ADJ \ N$

$NP \rightarrow \| ART \ N$

$NP \rightarrow \| ART \ ADJ \ N$

# Top-down chart parser

<i>NP1</i> (rule2)			<i>V2</i>	
<i>ART1</i>	<i>ADJ1</i>	<i>N1</i>	<i>AUX2</i>	<i>V3</i>

the

large

can

can

hold

the

water

$S \rightarrow NP \parallel VP$

$VP \rightarrow AUX \parallel VP$

$VP \rightarrow V \parallel NP$

$VP \rightarrow V \parallel NP$

$VP \rightarrow \parallel AUX \quad VP$

$VP \rightarrow \parallel V \quad NP$

$NP \rightarrow \parallel ART \quad ADJ \quad N$

$NP \rightarrow \parallel ART \quad N$

$NP \rightarrow \parallel ADJ \quad N$

$VP \rightarrow \parallel AUX \quad VP$

$VP \rightarrow \parallel V \quad NP$

$NP \rightarrow \parallel ART \quad ADJ \quad N$

$NP \rightarrow \parallel ART \quad N$

$NP \rightarrow \parallel ADJ \quad N$

# Top-down chart parser

<i>S1</i> (rule 1 with <i>NP1</i> & <i>VP2</i> )						
				<i>VP2</i> (rule 5 with <i>AUX2</i> and <i>VP1</i> )		
					<i>VP1</i> (rule 6 with <i>V3</i> and <i>NP2</i> )	
<i>NP1</i> (rule2)			<i>V2</i>		<i>NP2</i> (rule3)	
<i>ART1</i>	<i>ADJ1</i>	<i>N1</i>	<i>AUX2</i>	<i>V3</i>	<i>ART2</i>	<i>N4</i>
<b>the</b>	<b>large</b>	<b>can</b>	<b>can</b>	<b>hold</b>	<b>the</b>	<b>water</b>

# Dictionary

---

**word: a**

part\_of\_speech: article

root: a

number: singular

**word: like**

part\_of\_speech: verb

root: like

number: plural

**word: bite**

part\_of\_speech: verb

root: bite

number: plural

**word: likes**

part\_of\_speech: verb

root: like

number: singular

**word: bites**

part\_of\_speech: verb

root: bite

number: singular

**word: man**

part\_of\_speech: noun

root: man

number: singular

# Dictionary

---

**word:** dog

part\_of\_speech: noun

root: dog

number: singular

**word:** men

part\_of\_speech: noun

root: man

number: plural

**word:** dogs

part\_of\_speech: noun

root: dog

number: plural

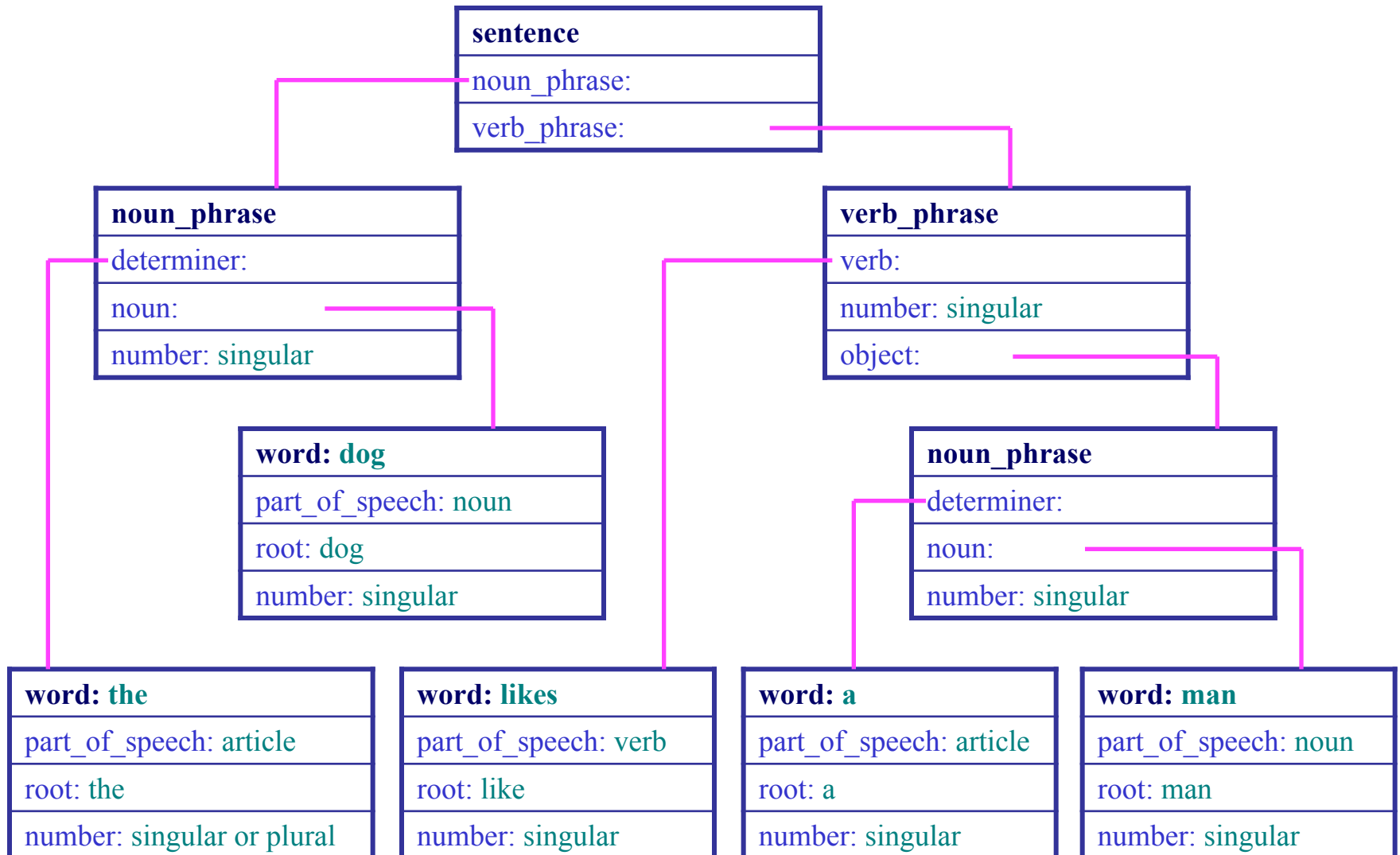
**word:** the

part\_of\_speech: article

root: the

number: singular or plural

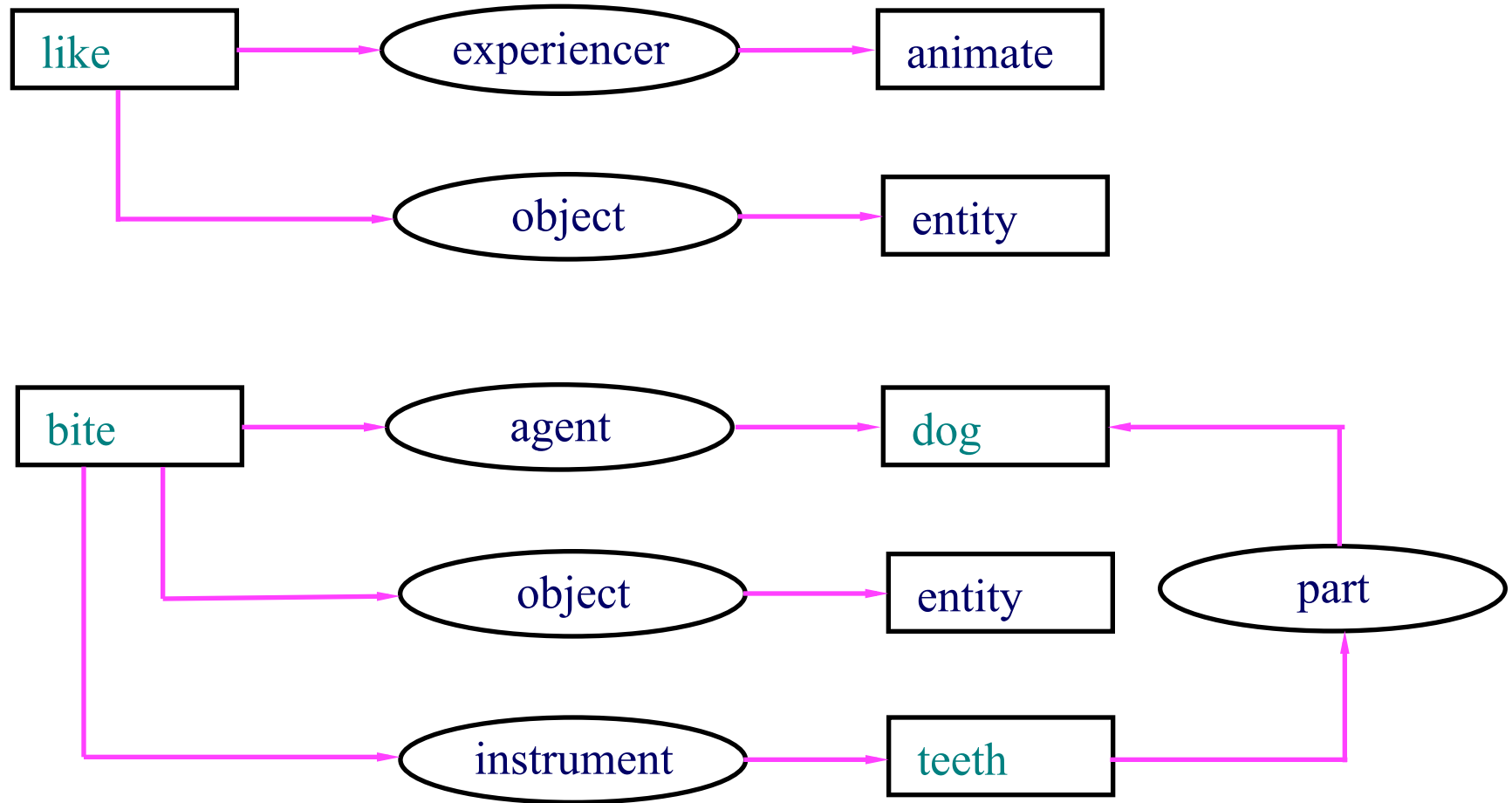
# Parse tree





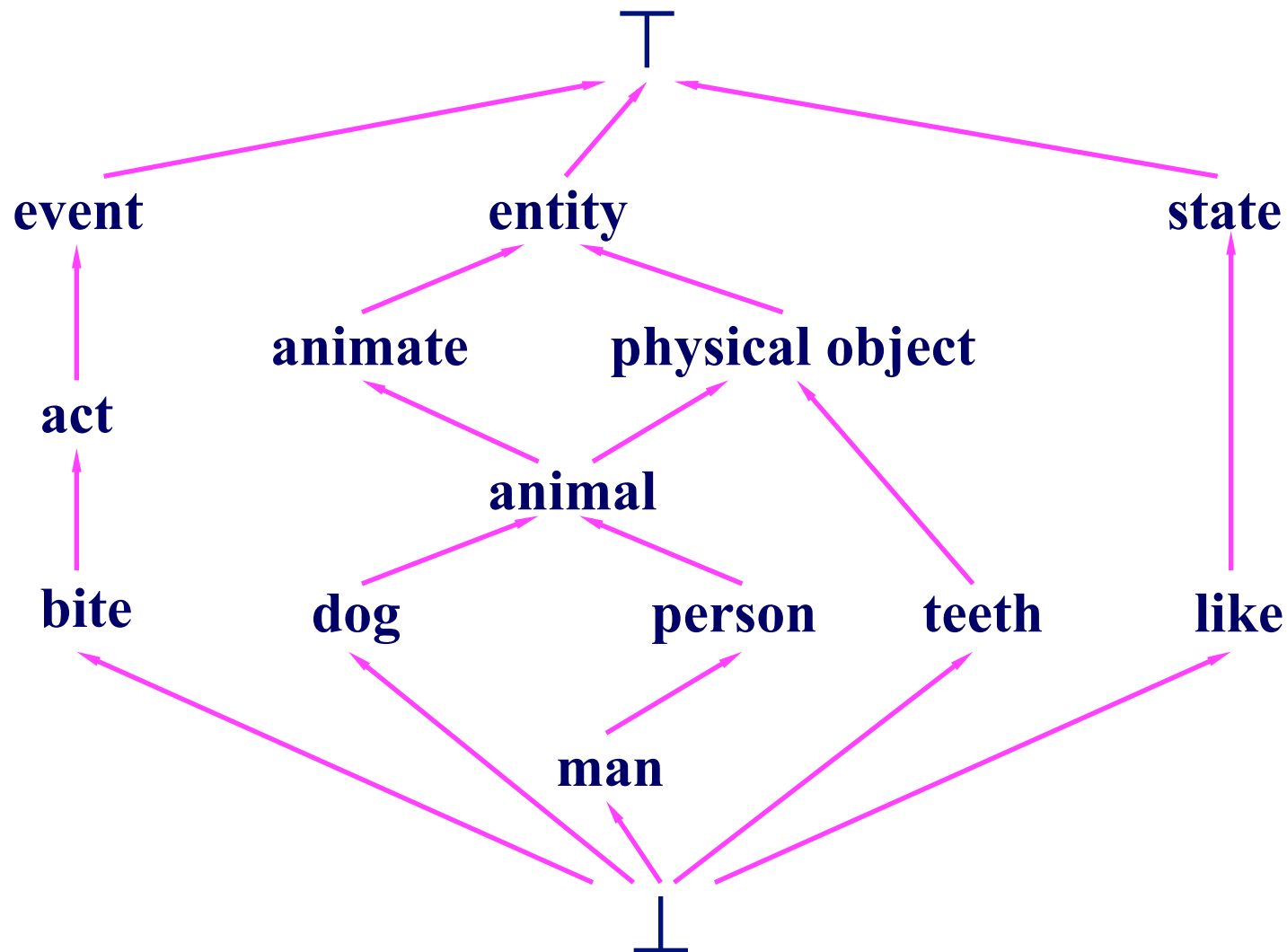
# Case frames for the verbs

---

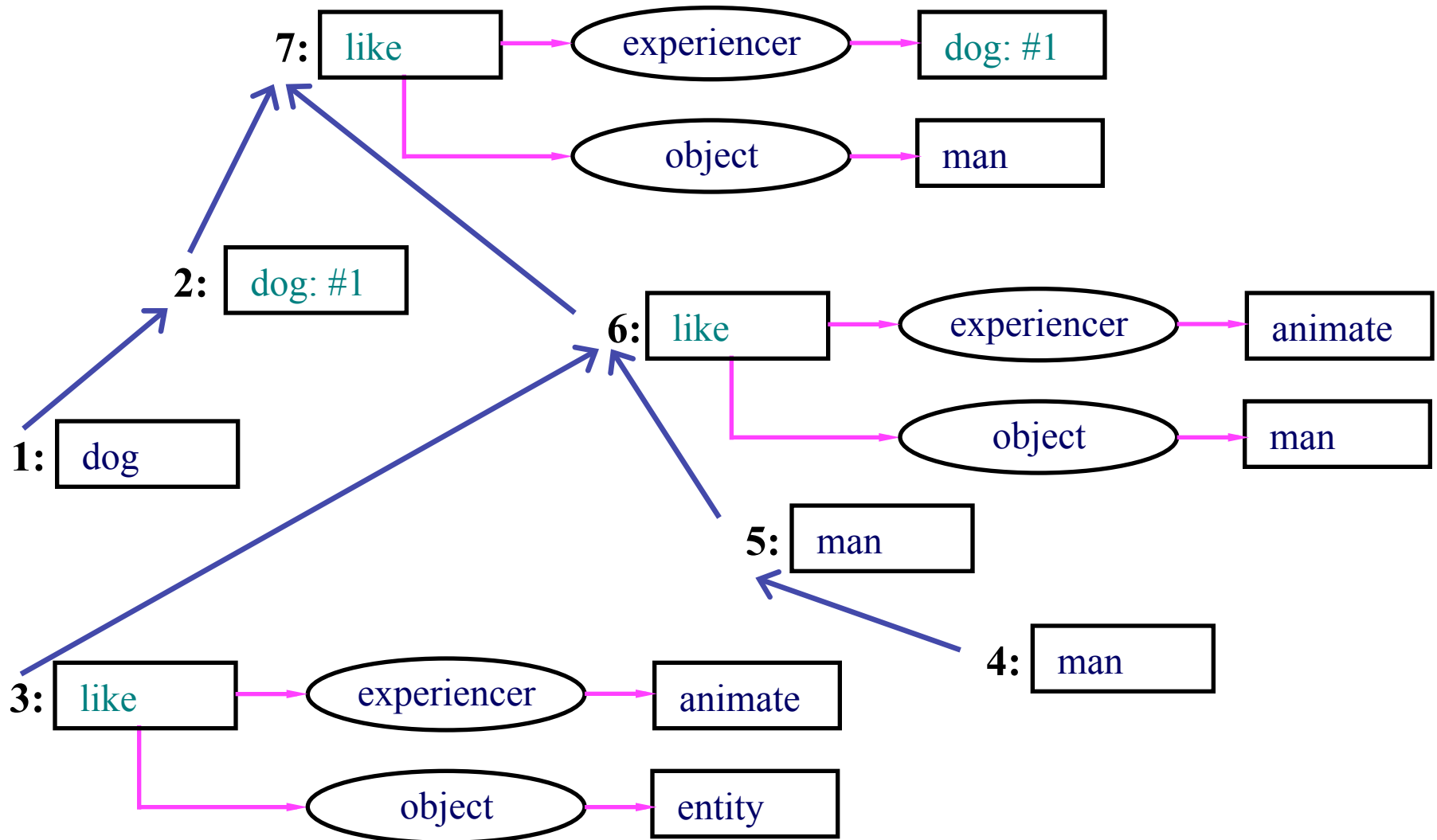


# Type hierarchy

---



# Construct semantic representation



# Rationalist and empiricist

---

**All grammars leak**

(Sapir 1921)

**You shall know a word by the company it keeps**

(Firth 1957)

# What kinds of things do people say

---

- a.* John I believe Sally said Bill believed Sue saw.
- b.* What did Sally whisper that she had secretly read?
- c.* Johan wants very much for himself to win.
- d.* (those are) the books you should read before it becomes difficult to talk about.
- e.* (those are) the books you should read before talking about becomes difficult.
- f.* Who did Jo think said John saw him?
- g.* That a serious discussion could arise here of this topic was quite unexpected.
- h.* The boys read Mary's stories about each other.

# Stochastic tools

---

Art is a lie that lets us see the truth  
Noun Verb Article Noun Pronoun Verb Pronoun Verb Article Noun

## **We have:**

A set of words in our language  $S_w = \{ w_1, \dots, w_n \}$

A set of parts of speech or tags  $S_t = \{ t_1, \dots, t_m \}$

**Formally, we want to pick to  $t_1, \dots, t_n$  maximize:**

$$P( T_1 = t_1, \dots, T_n = t_n \mid W_1 = w_1, \dots, W_n = w_n )$$

# Stochastic tools

---

$P( T_1 = t_1, \dots, T_n = t_n \mid W_1 = w_1, \dots, W_n = w_n )$  equal to

$$P( t_1, \dots, t_n \mid w_1, \dots, w_n ) = P( t_1, \dots, t_n, w_1, \dots, w_n ) / P( w_1, \dots, w_n )$$

Since we maximize this by choosing  $t_1, \dots, t_n$ , we can simplify to:

$$P( t_1, \dots, t_n \mid w_1, \dots, w_n ) =$$

$$P( t_1 ) P( w_1 \mid t_1 ) P( t_2 \mid t_1, w_1 ) \dots P( t_n \mid w_1, \dots, w_n, t_1, \dots, t_{n-1} ) =$$

$$\prod_{i=1}^n P( t_i \mid t_1, \dots, t_{i-1}, w_1, \dots, w_{i-1} ) P( w_i \mid t_1, \dots, t_{i-1}, w_1, \dots, w_{i-1} )$$

# Stochastic tools

---

$$\prod_{i=1}^n P(t_i | t_1, \dots, t_{i-1}, w_1, \dots, w_{i-1}) P(w_i | t_1, \dots, t_{i-1}, w_1, \dots, w_{i-1})$$

We need to make some useful approximations

$P(t_i | t_1, \dots, t_{i-1}, w_1, \dots, w_{i-1})$  approaches  $P(t_i | t_{i-1})$

$P(w_i | t_1, \dots, t_{i-1}, w_1, \dots, w_{i-1})$  approaches  $P(w_i | t_i)$

Plugging these approximations back into the above equation, we get

$$\prod_{i=1}^n P(t_i | t_{i-1}) P(w_i | t_i) \quad \text{\textit{Viterbi algorithm}}$$



# Vector space model

---

We have a vocabulary  $V$ ,  $w_i \in V$  and  $|V| = n$ .

A document  $d_j$  can be represented as a vector  $(tf_{1,j}, tf_{1,j}, \dots, tf_{n,j})$ , where  $tf_{i,j}$ , called **term frequency**, is number of occurrences of word  $w_i$  in document  $d_j$ .

The information that is captured by term frequency is how salient a word is within a given document. The higher the **term frequency** (the more often the word occurs) the more likely it is that the word is a good **description** of the content of the document.

# Vector space model

---

Because more occurrences of a word indicate higher importance, but not as much relative importance as the undampened count would suggest, term frequency is usually dampened by a function as follows

$$weight(i, j) = \begin{cases} (1 + \log(tf_{i,j})) \log \frac{N}{df_i} & \text{if } tf_{i,j} \geq 1 \\ 0 & \text{if } tf_{i,j} = 0 \end{cases}$$

where  $N$  is the total number of documents, and  $df_i$  is number of occurrences of  $w_i$  in  $d_j$ .

# Vector similarity

---

To do retrieval in the vector space model, documents are ranked according to similarity with the query as measured by *the cosine measure* or *normalized correlation coefficient*.

$$\cos(\vec{q}, \vec{d}) = \frac{\sum_{i=1}^n q_i d_i}{\sqrt{\sum_{i=1}^n q_i^2} \sqrt{\sum_{i=1}^n d_i^2}}$$

# Research area

---

- Speech recognition
- Natural language generation
- Speech synthesis
- Information retrieval
- Information extraction
- Spelling correction
- Grammar checking
- Machine translation

*Any question?*



Xiaoqing Zheng  
Fudan University