



Guidelines compliance table

Guidelines on complex debts instruments and structured deposits (ESMA/2015/1787)

The following competent authorities have informed us that they comply, do not comply or intend to comply with the ESMA's guidelines on complex debt instruments and structured deposits:

Member State		Competent authority	Complies	Comments
BE	Belgium	Financial Services and Markets Authority (FSMA)	Intends to comply	3 January 2018
BG	Bulgaria	Financial Supervision Commission (FSC)	Intends to comply	3 January 2018
CZ	Czech Republic	Czech National Bank (CNB)	Intends to comply	3 January 2018
DK	Denmark	Finanstilsynet	Intends to comply	3 January 2018
DE	Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin)	Intends to comply	3 January 2018
EE	Estonia	Estonian Financial Supervision Authority	Intends to comply	3 January 2018
IE	Ireland	Central Bank of Ireland	Intends to comply	3 January 2018
EL	Greece	Hellenic Capital Market Commission (HCMC)	Intends to comply	3 January 2018
ES	Spain	Comisión Nacional del Mercado de Valores (CNMV)	Intends to comply	3 January 2018
FR	France	Autorité des Marchés Financiers (AMF)	Intends to comply	3 January 2018
HR	Croatia	Hrvatska agencija za nadzor financijskih usluga (HANFA)	Intends to comply	3 January 2018
IT	Italy	Commissione Nazionale per le Società e la Borsa (CONSOB)	Intends to comply	3 January 2018
CY	Cyprus	Cyprus Securities and Exchange Commission (CySEC)	Intends to comply	3 January 2018
LV	Latvia	Financial and Capital Market Commission (FCMC)	Intends to comply	3 January 2018
LT	Lithuania	Bank of Lithuania	Intends to comply	3 January 2018
LU	Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)	Intends to comply	3 January 2018
HU	Hungary	Magyar Nemzeti Bank (MNB)	Intends to comply	3 January 2018
MT	Malta	Malta Financial Services Authority (MFSA)	Intends to comply	3 January 2018

NL	Netherlands	Netherlands Authority for the Financial Markets (AFM)	Intends to comply	3 January 2018
AT	Austria	Financial Market Authority (FMA)	Intends to comply	3 January 2018
PL	Poland	Komisja Nadzoru Finansowego (KNF)	Intends to comply	3 January 2018
PT	Portugal	Comissão do Mercado de Valores Mobiliários (CMVM)	Intends to comply	3 January 2018
RO	Romania	Romanian Financial Supervisory Authority (CNVM)	Intends to comply	3 January 2018
SI	Slovenia	Securities Market Agency (SMA)	Intends to comply	3 January 2018
SK	Slovakia	National Bank of Slovakia (NBS)	Intends to comply	3 January 2018
FI	Finland	Finanssivalvonta	Intends to comply	3 January 2018
SE	Sweden	Finansinspektionen	Intends to comply	3 January 2018
UK	United Kingdom	Financial Conduct Authority	Intends to comply	3 January 2018

European Territories under Article 355(3) TFEU		Competent authority	Complies	Comments
GI	Gibraltar ¹	Financial Services Commission (Gibraltar)	No response	No notification of compliance was received by ESMA within the two-month time limit

EEA EFTA State²		Competent authority	Complies
NO	Norway	Finanstilsynet	Intends to comply

Notes

Article 16(3) of the ESMA Regulation requires national competent authorities to inform us whether they comply or intend to comply with each guideline or recommendation we issue that applies to them within two months of the guideline or recommendation being issued. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish these reasons.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from our website (www.esma.europa.eu).

¹ Gibraltar is a European territory for whose external relations the UK is responsible

² The EEA States other than the Member States of the European Union are not currently required to notify their compliance with ESMA's Guidelines. This table is based on information provided from the authorities listed in those EEA States on a voluntary basis.