#### **Transformer Model**

 Original paper: Vaswani et al. Attention Is All You Need. In NIPS, 2017.

#### **Attention Is All You Need**

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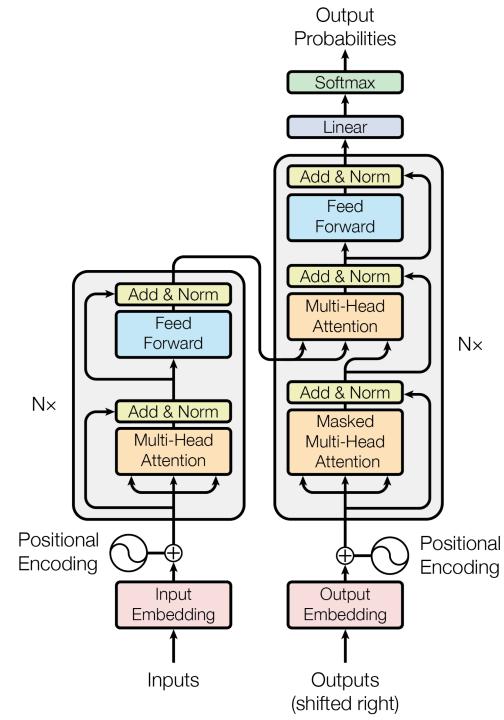
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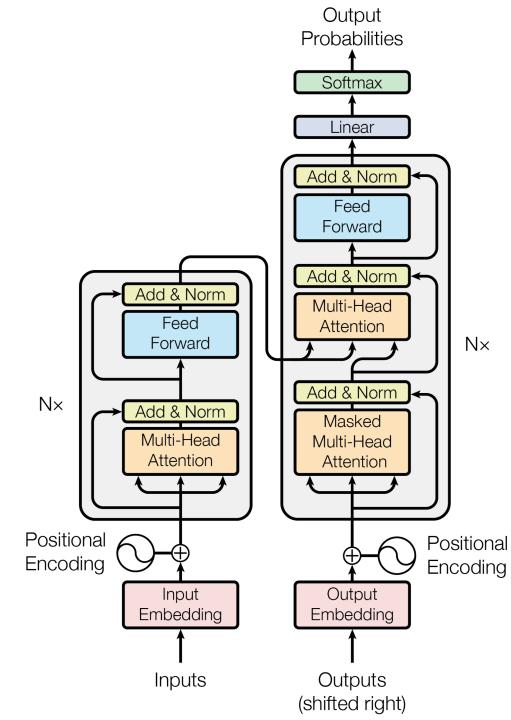
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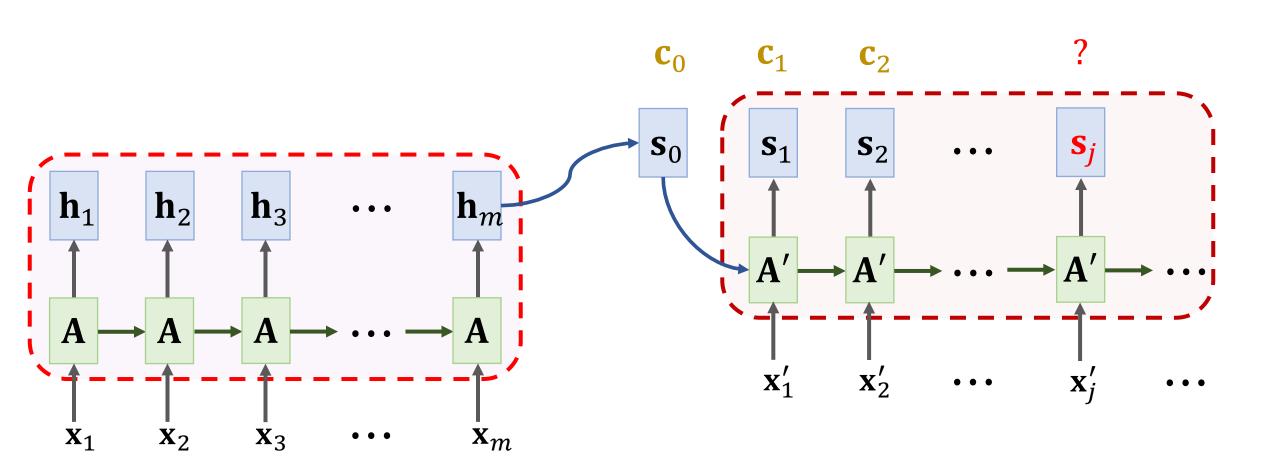
#### **Transformer Model**

- Transformer is a Seq2Seq model.
- Transformer is not RNN.
- Purely based attention and dense layers.

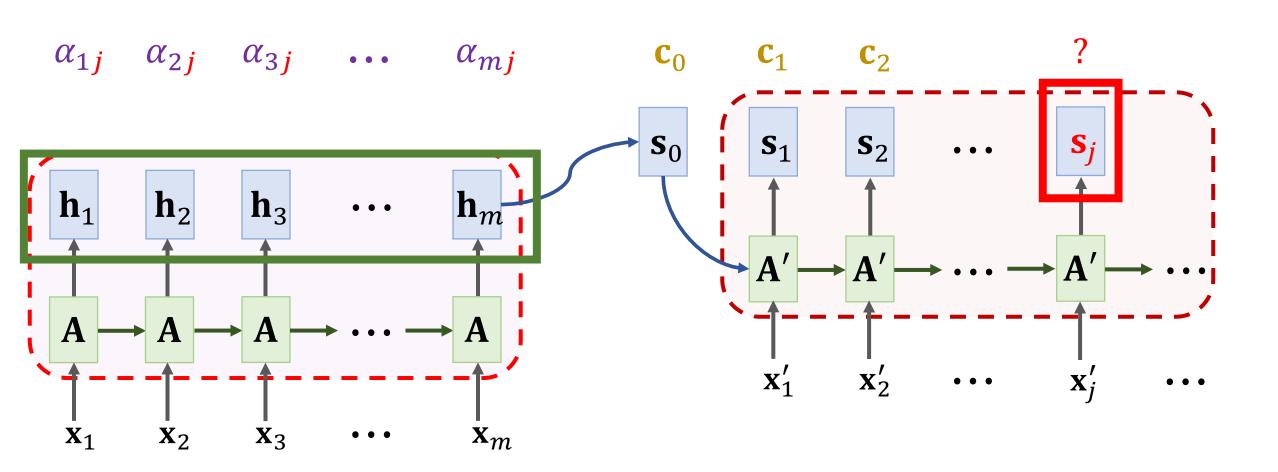
Higher accuracy than RNNs on large datasets.



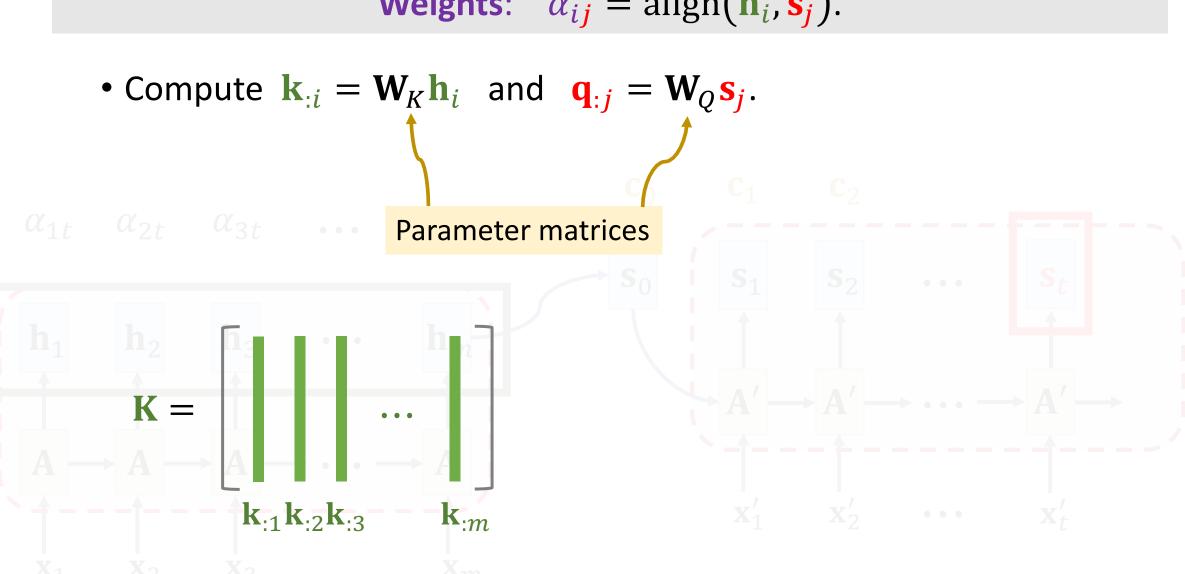
## **Revisiting Attention for RNN**



Weights: 
$$\alpha_{ij} = \operatorname{align}(\mathbf{h}_i, \mathbf{s}_j)$$
.

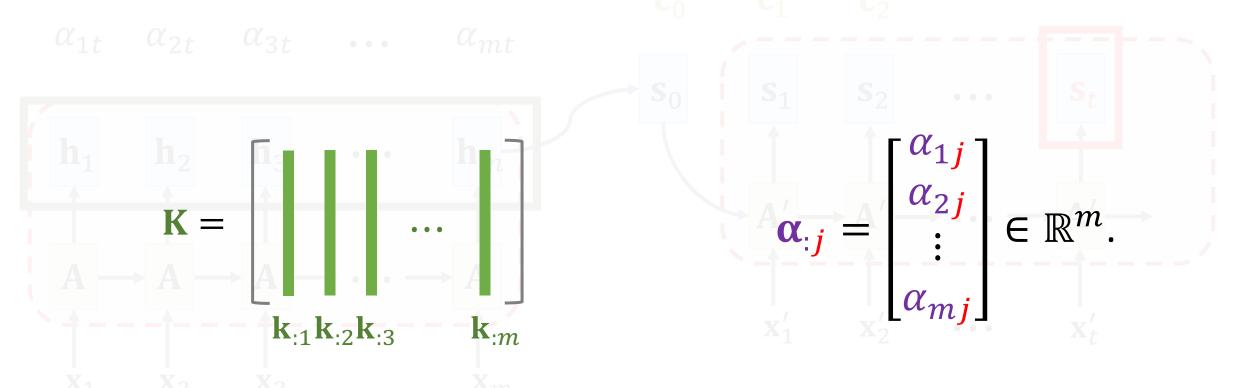


Weights: 
$$\alpha_{ij} = \operatorname{align}(\mathbf{h}_i, \mathbf{s}_j)$$
.



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.

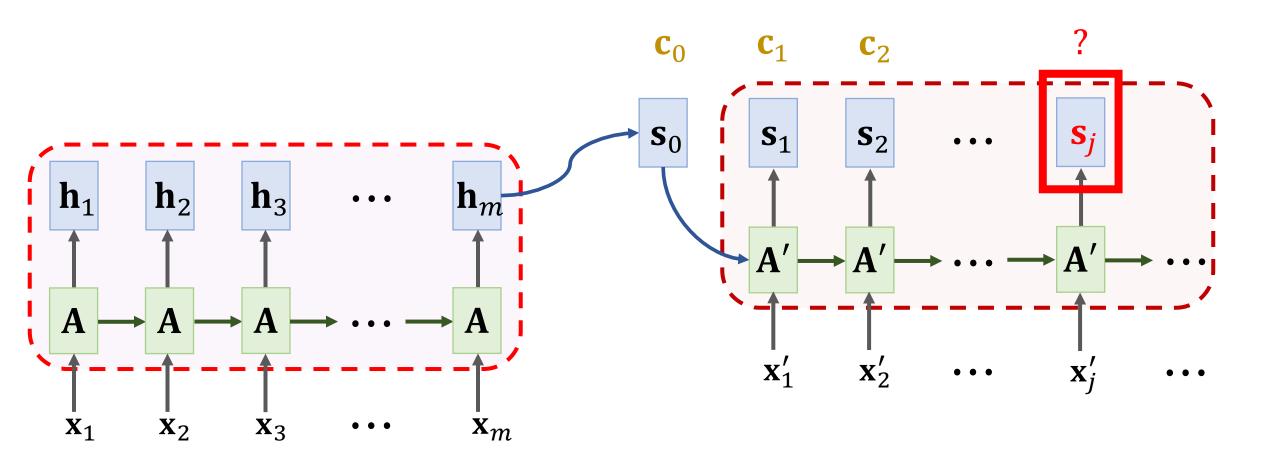
- Compute  $\mathbf{k}_{:i} = \mathbf{W}_K \mathbf{h}_i$  and  $\mathbf{q}_{:j} = \mathbf{W}_Q \mathbf{s}_j$ .
- Compute weights:  $\alpha_{:j} = \text{Softmax}(\mathbf{K}^T \mathbf{q}_{:j}) \in \mathbb{R}^m$ .



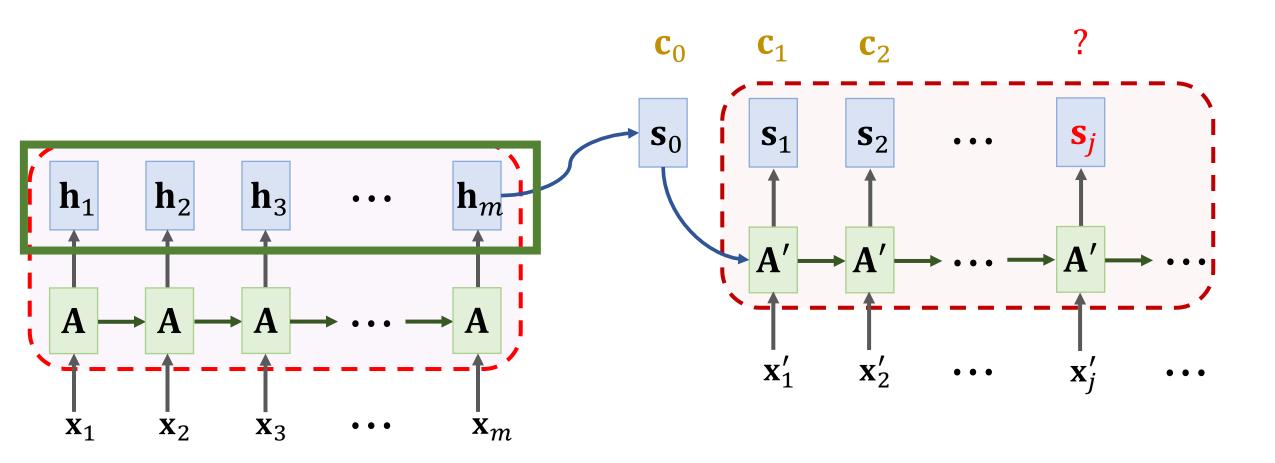
Weights: 
$$\alpha_{ij} = \operatorname{align}(\mathbf{h}_i, \mathbf{s}_j)$$
.

- Compute  $\mathbf{k}_{:i} = \mathbf{W}_K \mathbf{h}_i$  and  $\mathbf{q}_{:j} = \mathbf{W}_Q \mathbf{s}_j$ .
- Compute weights:  $\alpha_{:j} = \text{Softmax}(\mathbf{K}^T \mathbf{q}_{:j}) \in \mathbb{R}^m$ .
- Query:  $\mathbf{q}_{:i} = \mathbf{W}_O \mathbf{s}_i$ . (To match others.)
- Key:  $\mathbf{k}_{:i} = \mathbf{W}_K \mathbf{h}_i$ . (To be matched.)
- Value:  $\mathbf{v}_{:i} = \mathbf{W}_V \mathbf{h}_i$ . (To be weighted averaged.)

Query:  $\mathbf{q}_{:j} = \mathbf{W}_Q \mathbf{s}_j$ , Key:  $\mathbf{k}_{:i} = \mathbf{W}_K \mathbf{h}_i$ , Value:  $\mathbf{v}_{:i} = \mathbf{W}_V \mathbf{h}_i$ .

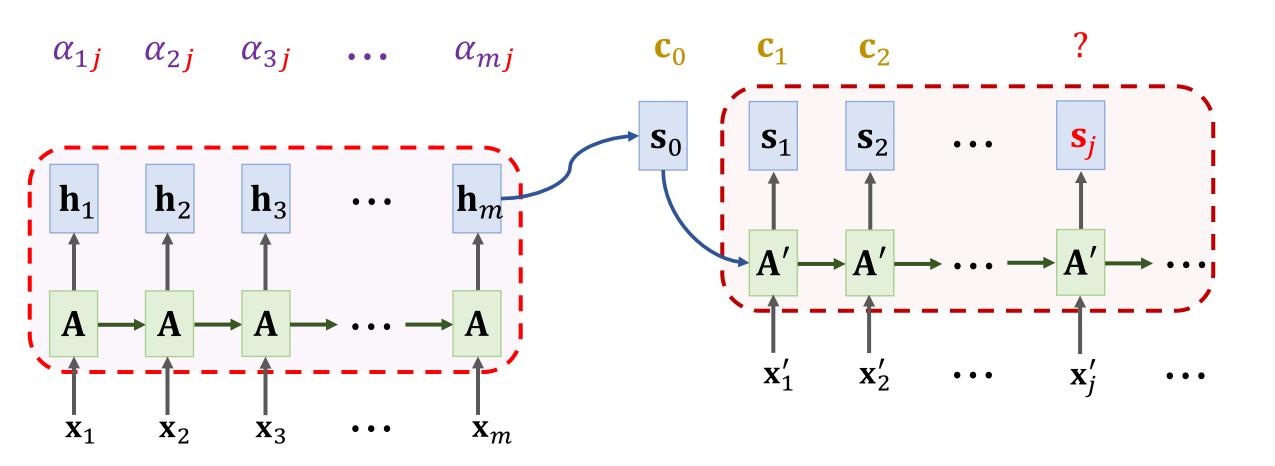


Query:  $\mathbf{q}_{:j} = \mathbf{W}_Q \mathbf{s}_j$ , Key:  $\mathbf{k}_{:i} = \mathbf{W}_K \mathbf{h}_i$ , Value:  $\mathbf{v}_{:i} = \mathbf{W}_V \mathbf{h}_i$ .



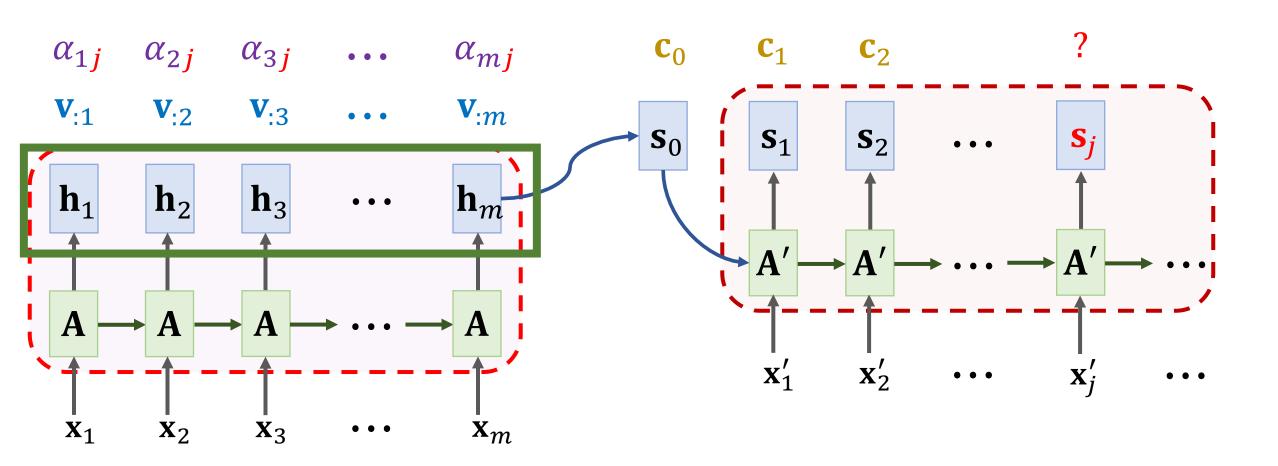
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Query: \mathbf{q}_{:j} = \mathbf{W}_Q \mathbf{s}_j, Key: \mathbf{k}_{:i} = \mathbf{W}_K \mathbf{h}_i, Value: \mathbf{v}_{:i} = \mathbf{W}_V \mathbf{h}_i.

Weights: \alpha_{:j} = \operatorname{Softmax}(\mathbf{K}^T \mathbf{q}_{:j}) \in \mathbb{R}^m.
```



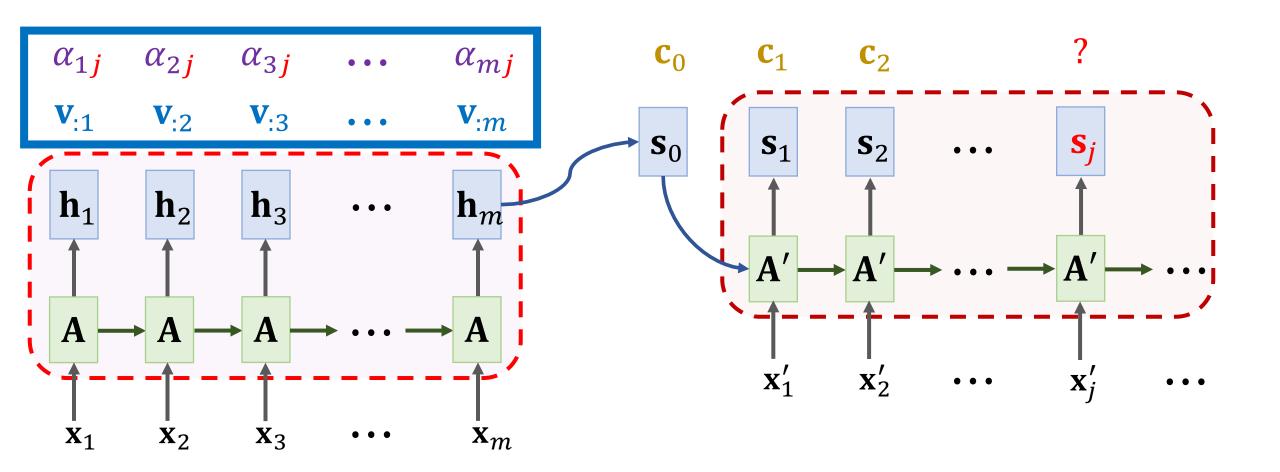
```
Query: \mathbf{q}_{:j} = \mathbf{W}_Q \mathbf{s}_j, Key: \mathbf{k}_{:i} = \mathbf{W}_K \mathbf{h}_i, Value: \mathbf{v}_{:i} = \mathbf{W}_V \mathbf{h}_i.

Weights: \alpha_{:j} = \operatorname{Softmax}(\mathbf{K}^T \mathbf{q}_{:j}) \in \mathbb{R}^m.
```



Query: 
$$\mathbf{q}_{:j} = \mathbf{W}_Q \mathbf{s}_j$$
, Key:  $\mathbf{k}_{:i} = \mathbf{W}_K \mathbf{h}_i$ , Value:  $\mathbf{v}_{:i} = \mathbf{W}_V \mathbf{h}_i$ .

Weights:  $\alpha_{:j} = \operatorname{Softmax}(\mathbf{K}^T \mathbf{q}_{:j}) \in \mathbb{R}^m$ .



Query: 
$$\mathbf{q}_{:j} = \mathbf{W}_{Q}\mathbf{s}_{j}$$
, Key:  $\mathbf{k}_{:i} = \mathbf{W}_{K}\mathbf{h}_{i}$ , Value:  $\mathbf{v}_{:i} = \mathbf{W}_{V}\mathbf{h}_{i}$ .

Weights:  $\alpha_{:j} = \operatorname{Softmax}(\mathbf{K}^{T}\mathbf{q}_{:j}) \in \mathbb{R}^{m}$ .

Context vector:  $\mathbf{c}_{j} = \alpha_{1j}\mathbf{v}_{:1} + \cdots + \alpha_{mj}\mathbf{v}_{:m}$ .

 $\alpha_{1j} \quad \alpha_{2j} \quad \alpha_{3j} \quad \cdots \quad \alpha_{mj}$ 
 $\mathbf{v}_{:1} \quad \mathbf{v}_{:2} \quad \mathbf{v}_{:3} \quad \cdots \quad \mathbf{v}_{:m}$ 
 $\mathbf{s}_{0} \quad \mathbf{s}_{1} \quad \mathbf{s}_{2} \quad \cdots \quad \mathbf{s}_{j}$ 
 $\mathbf{h}_{1} \quad \mathbf{h}_{2} \quad \mathbf{h}_{3} \quad \cdots \quad \mathbf{h}_{m}$ 
 $\mathbf{a}_{1} \quad \mathbf{a}_{2} \quad \mathbf{a}_{3} \quad \cdots \quad \mathbf{a}_{mj}$ 
 $\mathbf{a}_{2} \quad \mathbf{a}_{3} \quad \cdots \quad \mathbf{a}_{mj}$ 
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 $\mathbf{a}_{4} \quad \cdots \quad \mathbf{a}_{mj}$ 

```
Query: \mathbf{q}_{:j} = \mathbf{W}_Q \mathbf{s}_j, Key: \mathbf{k}_{:i} = \mathbf{W}_K \mathbf{h}_i, Value: \mathbf{v}_{:i} = \mathbf{W}_V \mathbf{h}_i.

Weights: \alpha_{:j} = \operatorname{Softmax}(\mathbf{K}^T \mathbf{q}_{:j}) \in \mathbb{R}^m.

Context vector: \mathbf{c}_j = \alpha_{1j} \mathbf{v}_{:1} + \dots + \alpha_{mj} \mathbf{v}_{:m}.
```

Question: How to remove RNN while keeping attention?

## **Attention without RNN**

- We study Seq2Seq model (encoder + decoder).
- Encoder's inputs are vectors  $\mathbf{x}_1, \mathbf{x}_2, \cdots, \mathbf{x}_m$ .
- Decoder's inputs are vectors  $\mathbf{x}'_1, \mathbf{x}'_2, \cdots, \mathbf{x}'_t$ .

#### **Encoder's inputs:**

**Decoder's inputs:** 

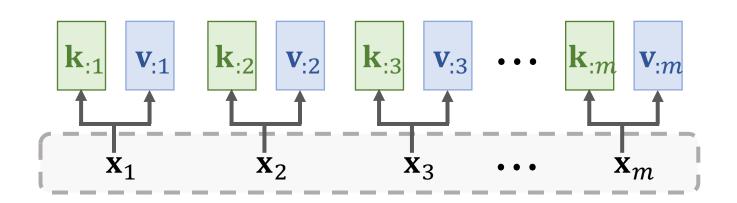
 $\mathbf{x}_1$   $\mathbf{x}_2$   $\mathbf{x}_3$  •••  $\mathbf{x}_m$ 



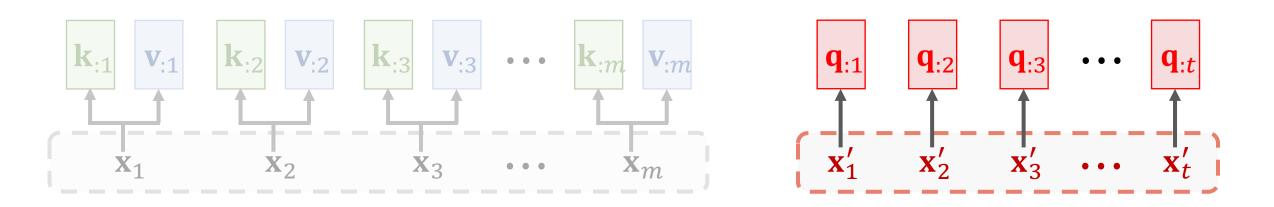
• Keys and values are based on encoder's inputs  $x_1, x_2, \dots, x_m$ .

• Key:  $\mathbf{k}_{:i} = \mathbf{W}_K \mathbf{x}_i$ .

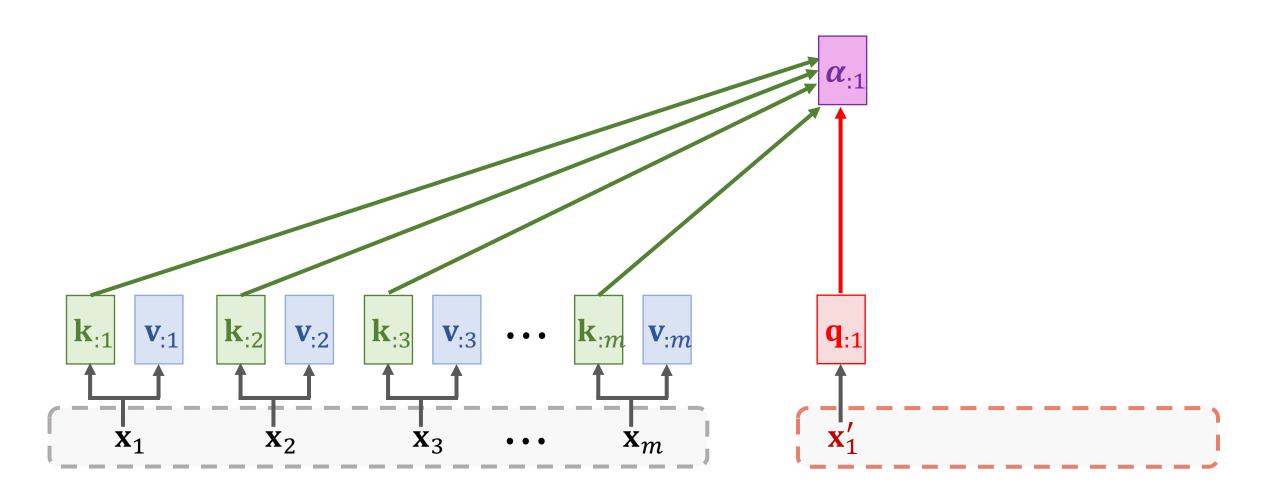
• Value:  $\mathbf{v}_{:i} = \mathbf{W}_V \mathbf{x}_i$ .



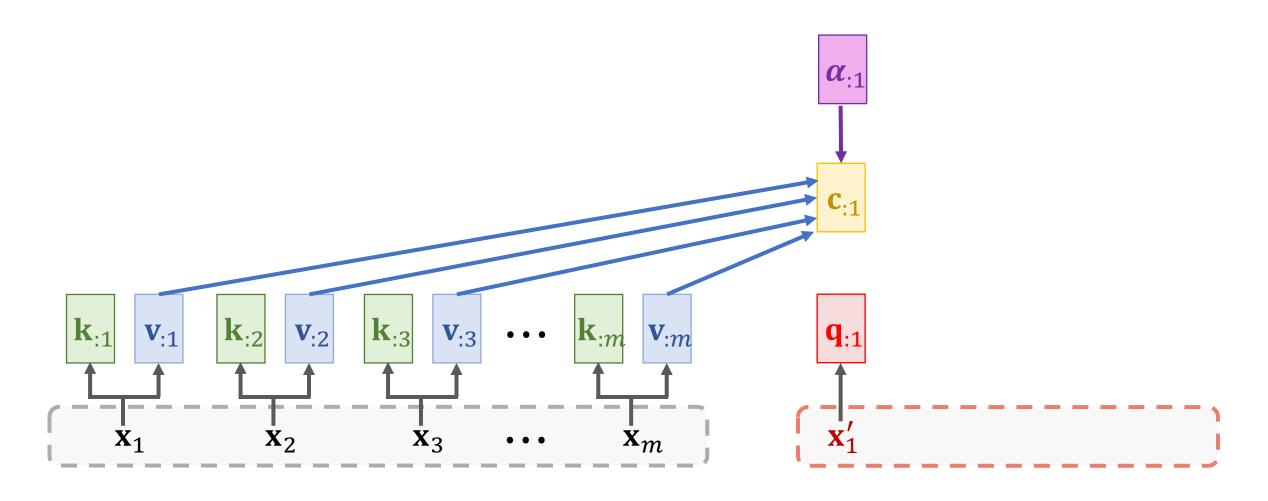
- Keys and values are based on encoder's inputs  $x_1, x_2, \dots, x_m$ .
- Key:  $\mathbf{k}_{:i} = \mathbf{W}_K \mathbf{x}_i$ .
- Value:  $\mathbf{v}_{:i} = \mathbf{W}_V \mathbf{x}_i$ .
- Queries are based on decoder's inputs  $\mathbf{x}_1', \mathbf{x}_2', \cdots, \mathbf{x}_t'$ .
- Query:  $\mathbf{q}_{:j} = \mathbf{W}_Q \mathbf{x}'_j$ .



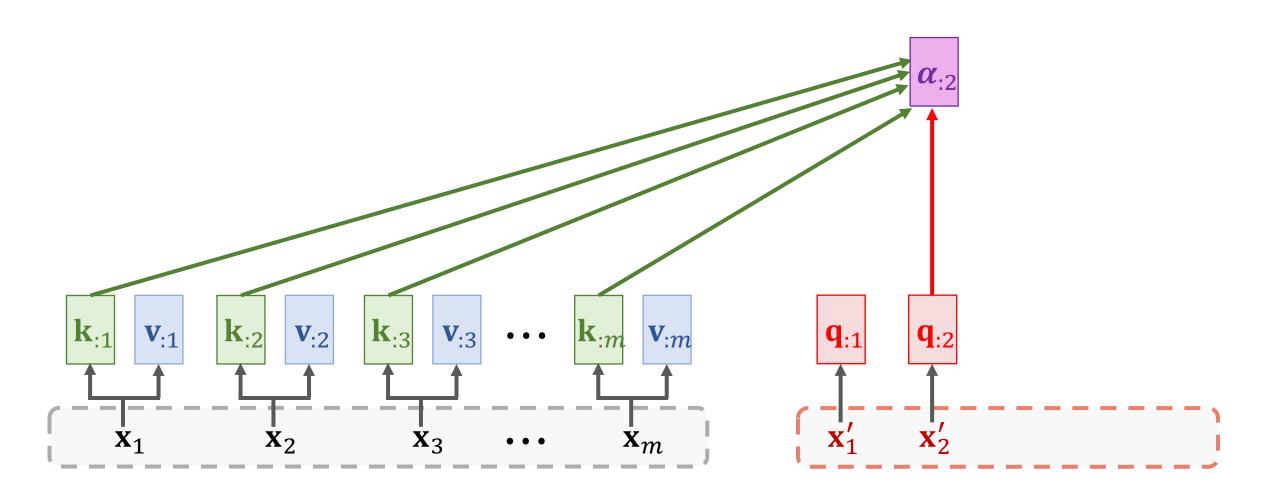
• Compute weights:  $\alpha_{:1} = \text{Softmax}(\mathbf{K}^T \mathbf{q}_{:1}) \in \mathbb{R}^m$ .



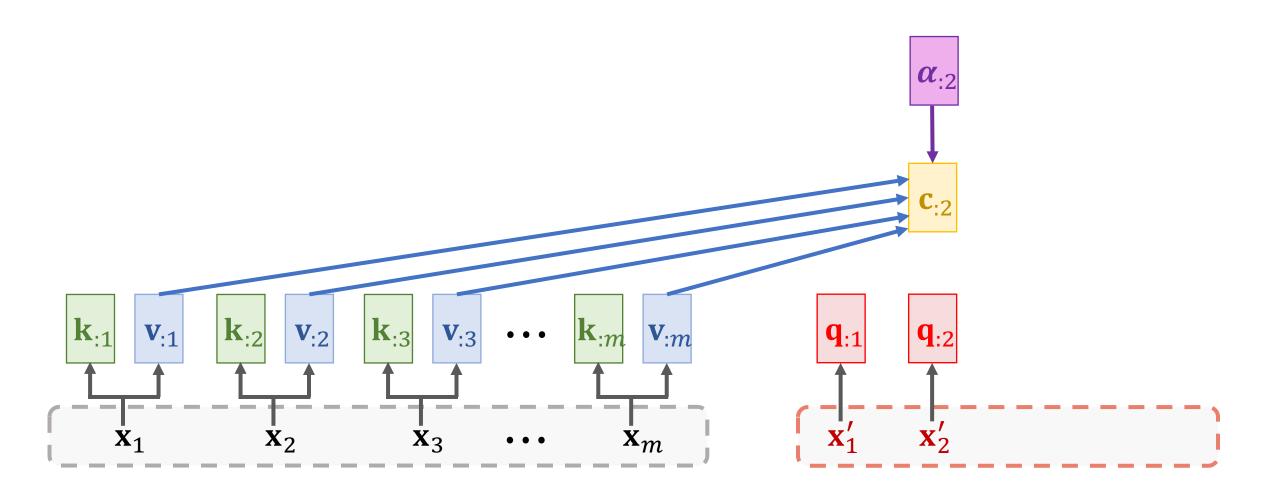
• Compute context vector:  $\mathbf{c}_{:1} = \alpha_{11}\mathbf{v}_{:1} + \cdots + \alpha_{m1}\mathbf{v}_{:m} = \mathbf{V}\alpha_{:1}$ .



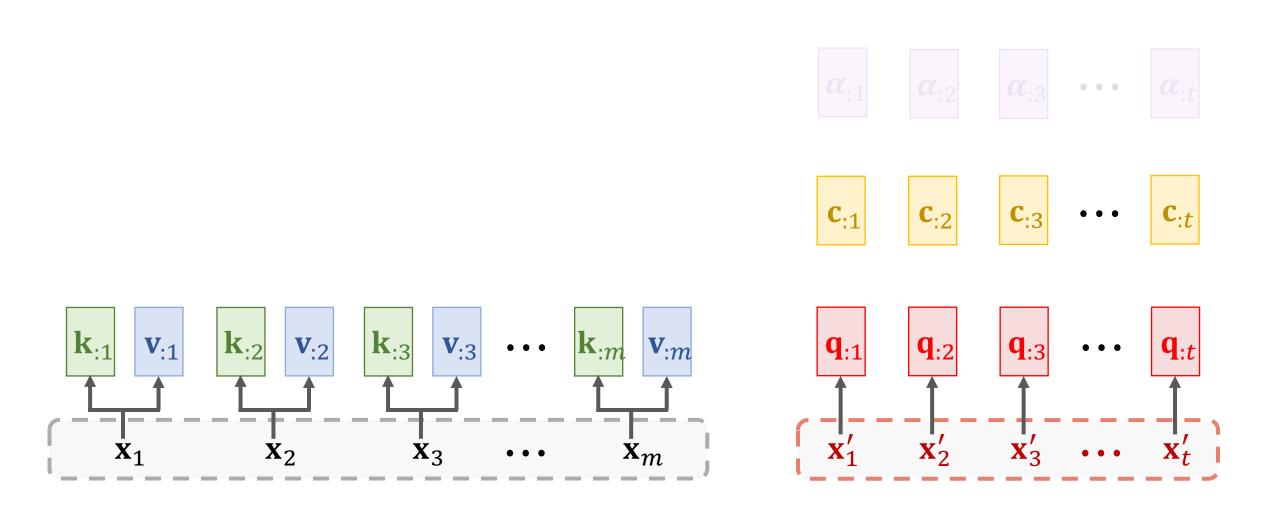
• Compute weights:  $\alpha_{:2} = \text{Softmax}(\mathbf{K}^T \mathbf{q}_{:2}) \in \mathbb{R}^m$ .



• Compute context vector:  $\mathbf{c}_{:2} = \alpha_{12}\mathbf{v}_{:1} + \cdots + \alpha_{m2}\mathbf{v}_{:m} = \mathbf{V}\alpha_{:2}$ .

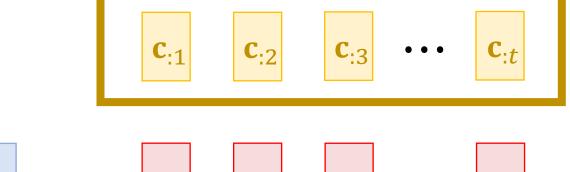


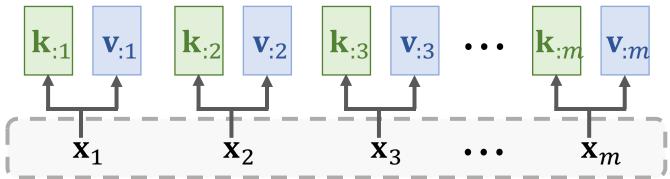
• Compute context vector:  $\mathbf{c}_{:j} = \alpha_{1j} \mathbf{v}_{:1} + \cdots + \alpha_{mj} \mathbf{v}_{:m} = \mathbf{V} \alpha_{:j}$ .

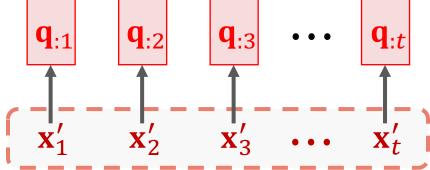


- Output of attention layer:  $\mathbf{C} = [\mathbf{c}_{:1}, \mathbf{c}_{:2}, \mathbf{c}_{:3}, \cdots, \mathbf{c}_{:t}].$
- Here,  $\mathbf{c}_{:i} = \mathbf{V} \cdot \operatorname{Softmax}(\mathbf{K}^T \mathbf{q}_{:i})$ .
- Thus,  $\mathbf{c}_{:j}$  is a function of  $\mathbf{x}'_j$  and  $[\mathbf{x}_1, \dots, \mathbf{x}_m]$ .

#### **Output of attention layer:**



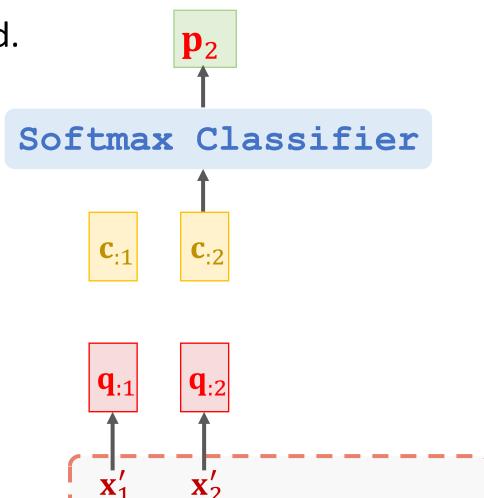


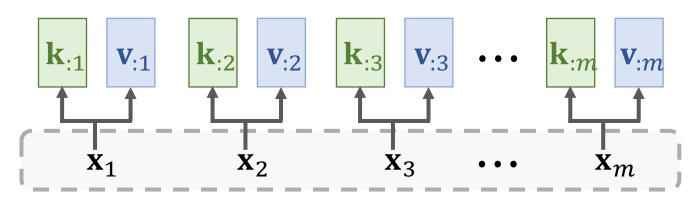


### **Attention Layer for Machine Translation**

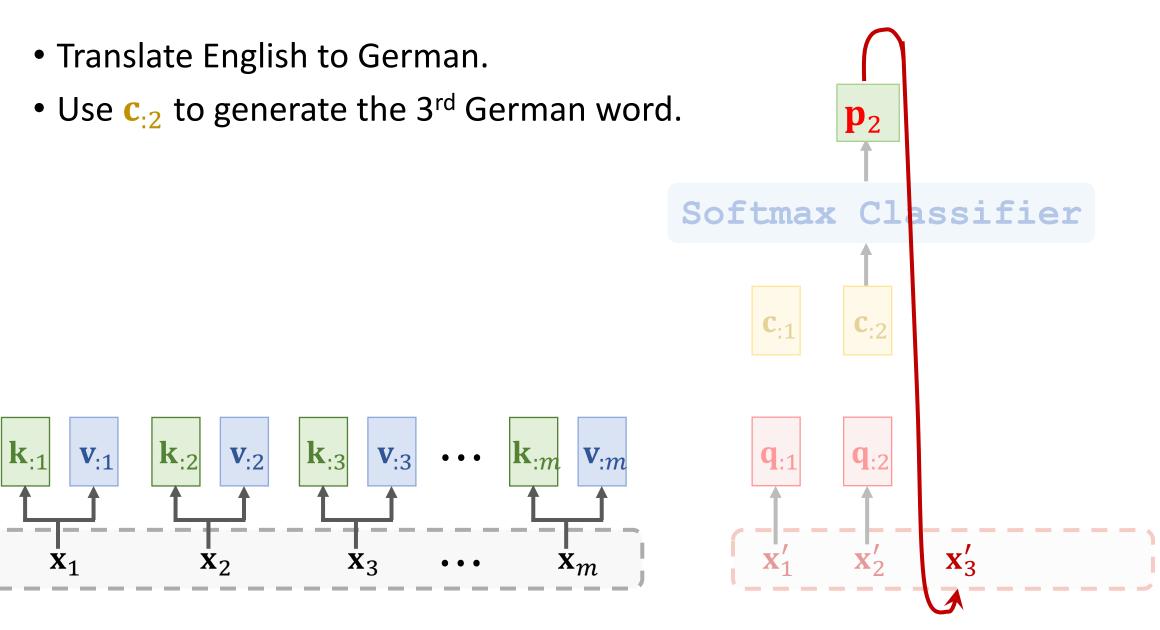
• Translate English to German.

• Use C:2 to generate the 3<sup>rd</sup> German word.

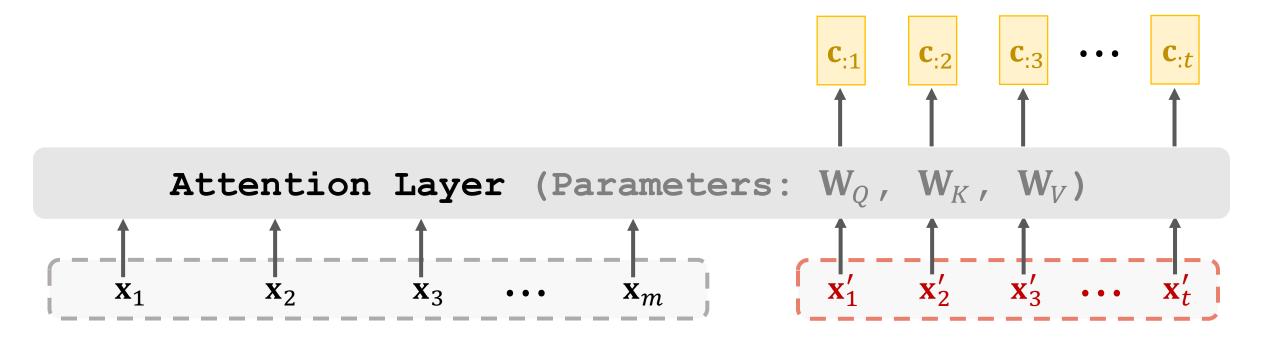




### **Attention Layer for Machine Translation**

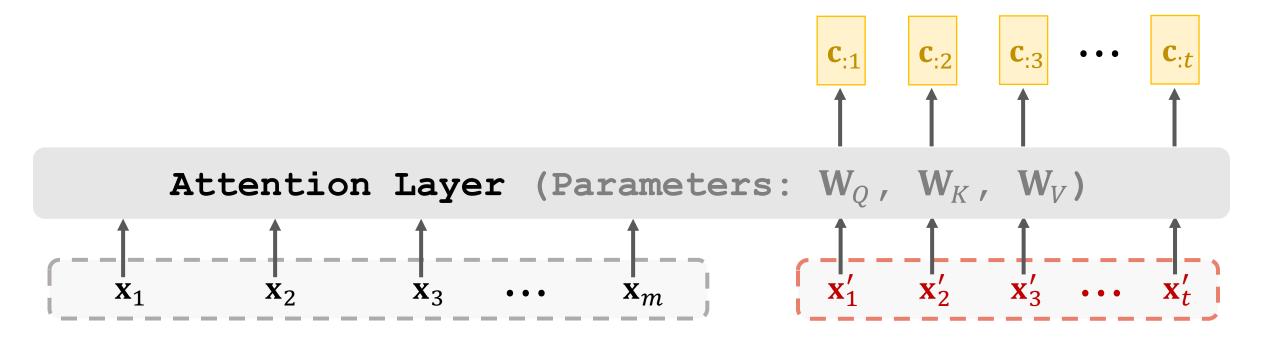


- Attention layer: C = Attn(X, X').
  - Encoder's inputs:  $\mathbf{X} = [\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_m]$ .
  - Decoder's inputs:  $\mathbf{X}' = [\mathbf{x}'_1, \mathbf{x}'_2, \cdots, \mathbf{x}'_t]$ .
  - Parameters:  $\mathbf{W}_Q$  ,  $\mathbf{W}_K$  ,  $\mathbf{W}_V$ .

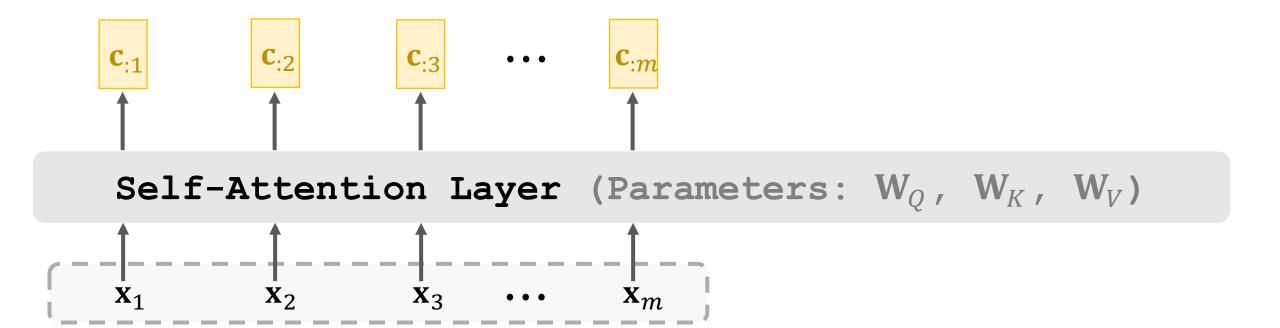


### **Self-Attention** without RNN

- Attention layer: C = Attn(X, X').
  - Encoder's inputs:  $\mathbf{X} = [\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_m]$ .
  - Decoder's inputs:  $\mathbf{X}' = [\mathbf{x}'_1, \mathbf{x}'_2, \cdots, \mathbf{x}'_m]$ .
  - Parameters:  $\mathbf{W}_Q$  ,  $\mathbf{W}_K$  ,  $\mathbf{W}_V$ .



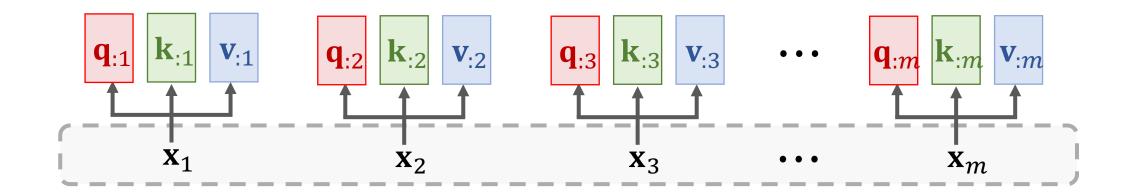
- Self-attention layer: C = Attn(X, X).
  - Inputs:  $\mathbf{X} = [\mathbf{x}_1, \mathbf{x}_2, \cdots, \mathbf{x}_m].$
  - Parameters:  $\mathbf{W}_O$  ,  $\mathbf{W}_K$  ,  $\mathbf{W}_V$ .



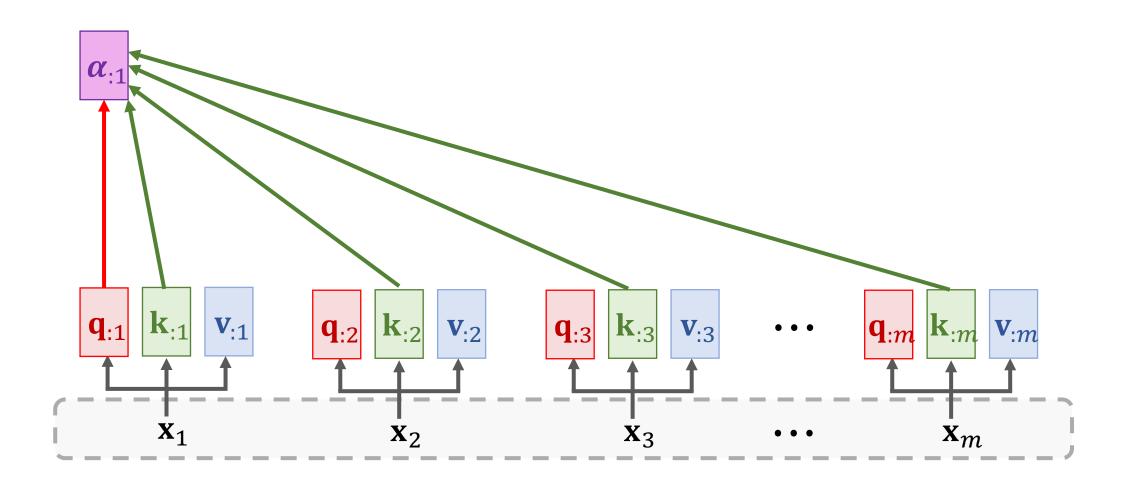
#### Inputs:



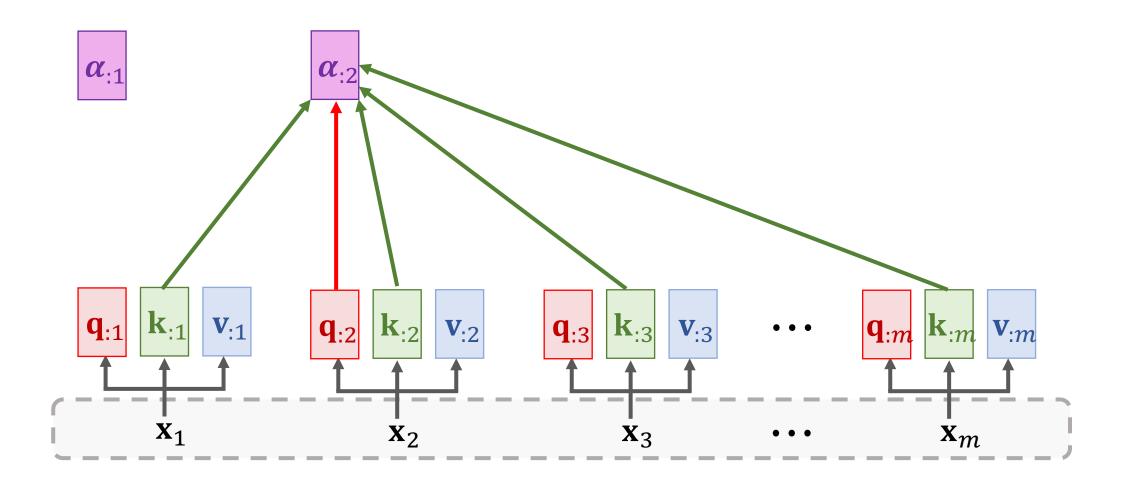
Query:  $\mathbf{q}_{:i} = \mathbf{W}_Q \mathbf{x}_i$ , Key:  $\mathbf{k}_{:i} = \mathbf{W}_K \mathbf{x}_i$ , Value:  $\mathbf{v}_{:i} = \mathbf{W}_V \mathbf{x}_i$ .



Weights: 
$$\alpha_{:j} = \operatorname{Softmax}(\mathbf{K}^T \mathbf{q}_{:j}) \in \mathbb{R}^m$$
.

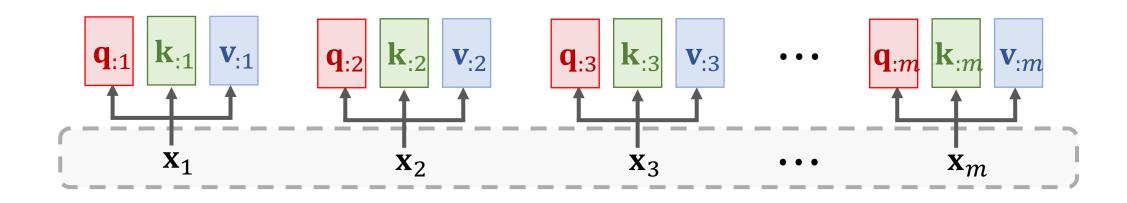


Weights: 
$$\alpha_{:j} = \operatorname{Softmax}(\mathbf{K}^T \mathbf{q}_{:j}) \in \mathbb{R}^m$$
.

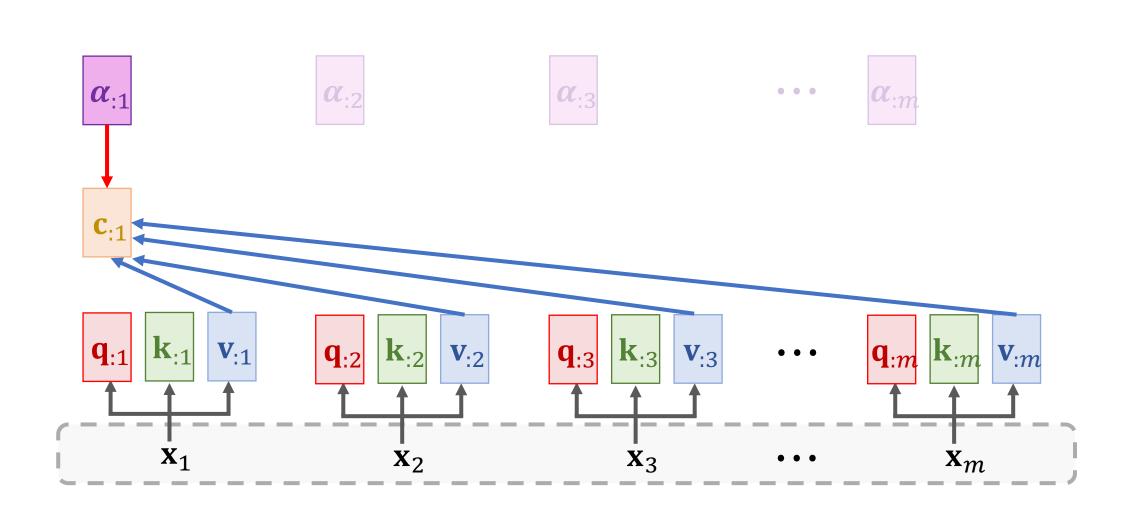


Weights: 
$$\alpha_{:j} = \operatorname{Softmax}(\mathbf{K}^T \mathbf{q}_{:j}) \in \mathbb{R}^m$$
.

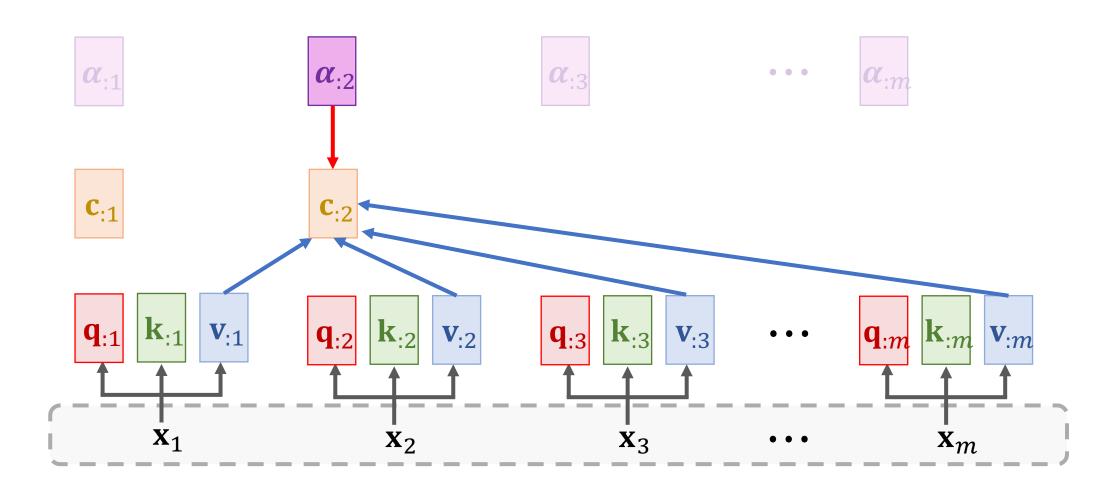
$$|\alpha_{:1}|$$
  $|\alpha_{:2}|$   $|\alpha_{:m}|$ 



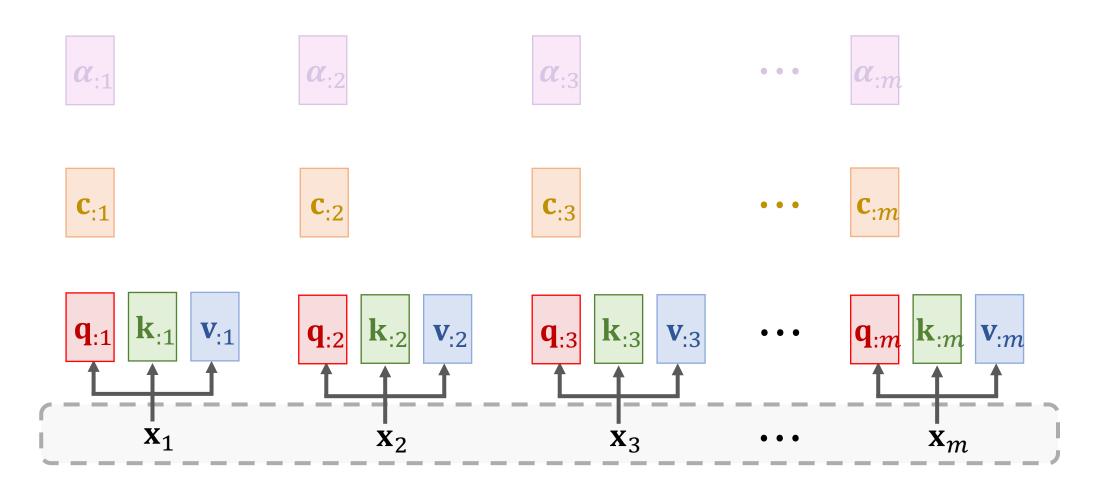
Context vector:  $\mathbf{c}_{:1} = \alpha_{11}\mathbf{v}_{:1} + \cdots + \alpha_{m1}\mathbf{v}_{:m} = \mathbf{V}\alpha_{:1}$ .



Context vector:  $\mathbf{c}_{:2} = \alpha_{12}\mathbf{v}_{:1} + \cdots + \alpha_{m2}\mathbf{v}_{:m} = \mathbf{V}\boldsymbol{\alpha}_{:2}$ .

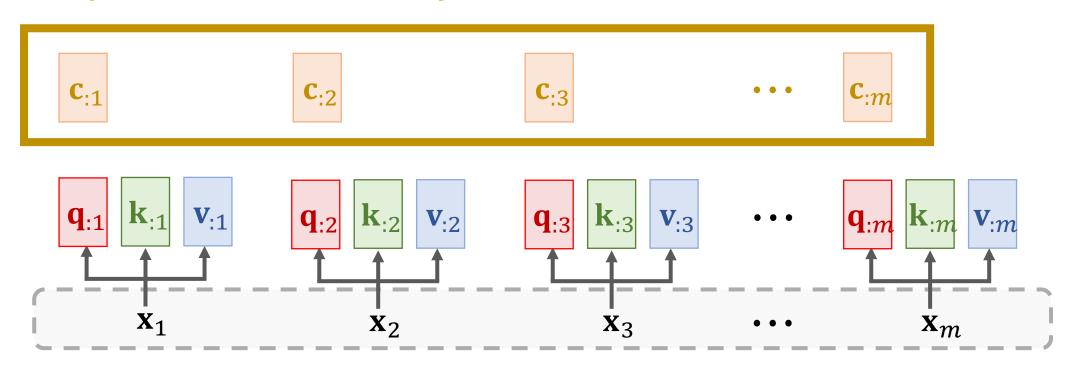


Context vector:  $\mathbf{c}_{:j} = \alpha_{1j}\mathbf{v}_{:1} + \cdots + \alpha_{mj}\mathbf{v}_{:m} = \mathbf{V}\alpha_{:j}$ .

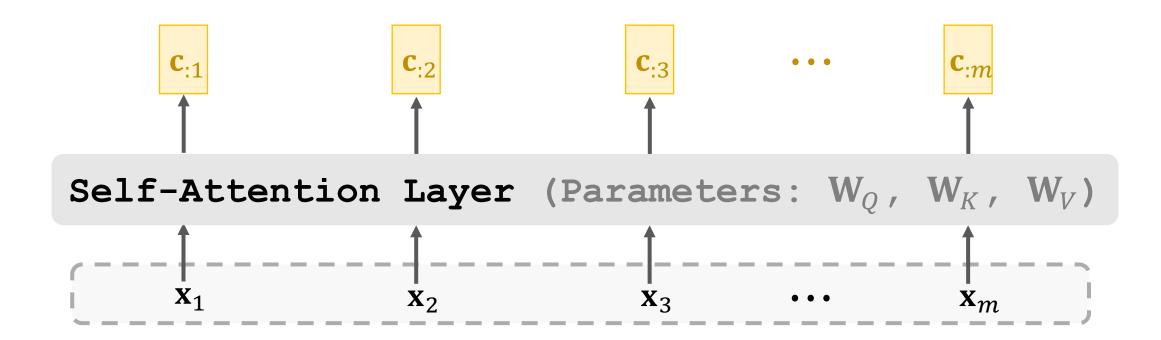


- Here,  $\mathbf{c}_{:j} = \mathbf{V} \cdot \operatorname{Softmax}(\mathbf{K}^T \mathbf{q}_{:j})$ .
- Thus,  $\mathbf{c}_{:j}$  is a function of all the m vectors  $\mathbf{x}_1, \cdots, \mathbf{x}_m$ .

#### **Output of self-attention layer:**



- Self-attention layer: C = Attn(X, X).
  - Inputs:  $\mathbf{X} = [\mathbf{x}_1, \mathbf{x}_2, \cdots, \mathbf{x}_m]$ .
  - Parameters:  $\mathbf{W}_Q$  ,  $\mathbf{W}_K$  ,  $\mathbf{W}_V$ .



# Summary

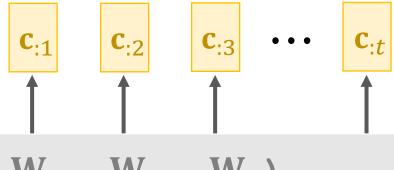
#### Summary

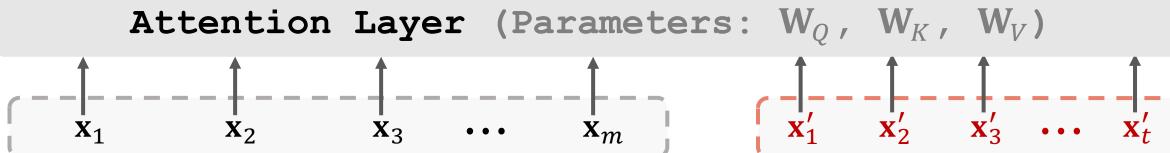
- Attention was originally developed for Seq2Seq RNN models [1].
- Self-attention: attention for all the RNN models (not necessarily Seq2Seq models [2].
- Attention can be used without RNN [3].
- We learned how to build attention layer and self-attention layer.

#### **Reference:**

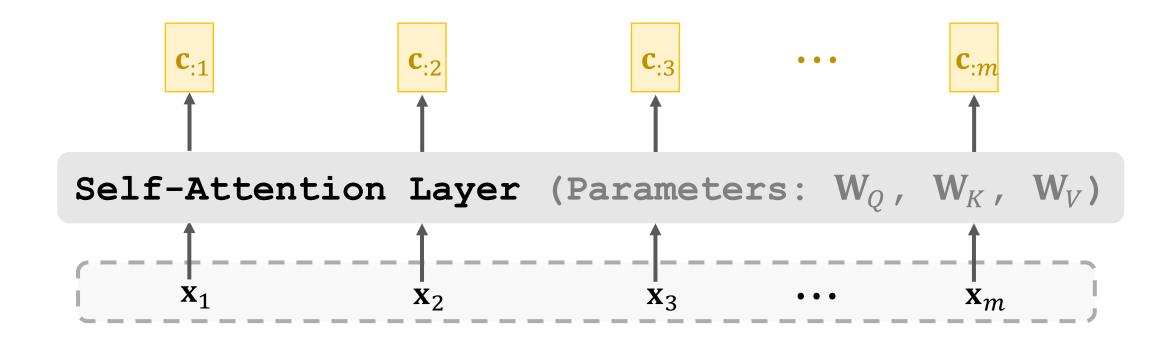
- 1. Bahdanau, Cho, & Bengio. Neural machine translation by jointly learning to align and translate. In *ICLR*, 2015.
- 2. Cheng, Dong, & Lapata. Long Short-Term Memory-Networks for Machine Reading. In *EMNLP*, 2016.
- 3. Vaswani et al. Attention Is All You Need. In NIPS, 2017.

- Attention layer: C = Attn(X, X').
  - Query:  $\mathbf{q}_{:j} = \mathbf{W}_Q \mathbf{x}'_j$ ,
  - Key:  $\mathbf{k}_{:i} = \mathbf{W}_K \mathbf{x}_i$ ,
  - Value:  $\mathbf{v}_{:i} = \mathbf{W}_V \mathbf{x}_i$ .
  - Output:  $\mathbf{c}_{:j} = \mathbf{V} \cdot \operatorname{Softmax}(\mathbf{K}^T \mathbf{q}_{:j})$ .





- Attention layer: C = Attn(X, X').
- Self-Attention layer: C = Attn(X, X).



# Thank you!