



# rtCaptcha: A Real-Time CAPTCHA Based Liveness Detection System



---

Erkam Uzun, Simon Pak Ho Chung, Irfan Essa and Wenke Lee  
Department of Computer Science  
Georgia Institute of Technology, USA

 1

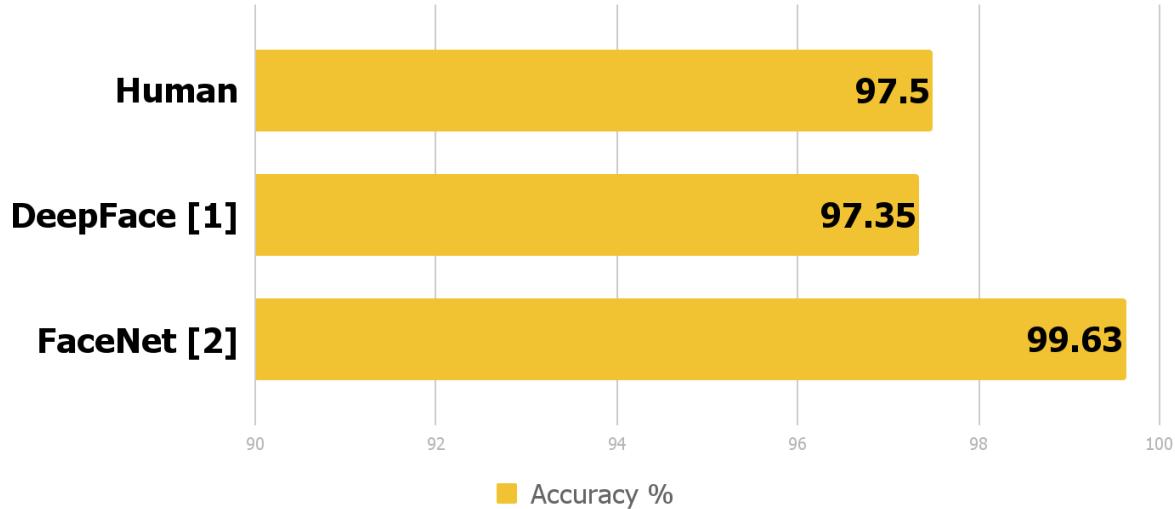
# Face Authentication Systems

Background



## Deep Learning Outperforms

Face recognition performance on LFW dataset





## Deployed by Major Companies

Got a tip? Let us know.

News · Video · Events · Crunchbase

Follow Us [f](#) [g](#) [t](#) [y](#) [p](#) [ir](#)

[Message Us](#)

[Search](#)



**Face++, Whose Facial Recognition Tech Is Used By Alibaba, Raises \$25M**

Posted May 14, 2015 by Catherine Shu (@catherinehsu)

### Face verification Cloud Services

- Microsoft Cognitive Services [3]
- Amazon Rekognition [4]
- Face++ [5]
- Kairos Human Analytics [6]

*HSBC customers can open new bank accounts using a selfie*

Luke Graham | @LukeWGraham  
Published 8:25 AM ET Mon, 5 Sept 2016 | Updated 8:18 AM ET Tue, 6 Sept 2016



Microsoft 365

Azure

Office 365

Customer Stories

Search

Uber boosts platform security with the Face API, part of Microsoft Cognitive Services



BUSINESS

CULTURE

GADGETS

FUTURE

STARTUPS

Innovate

Amazon wants to replace 'awkward passwords' with smiling selfies

by Ivana Kottasova @ivanakottasova

March 15, 2016: 9:57 AM ET





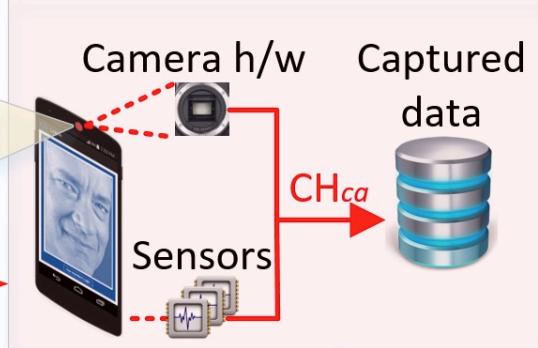
## Attack Channels of Biometric Authentication

Real user



$CH_{pa}$

Authentication device



Authentication server



https  
 $CH_{sec}$

Presentation attacks



Hard copy



Screen



3D mask

Compromising attacks



2D img



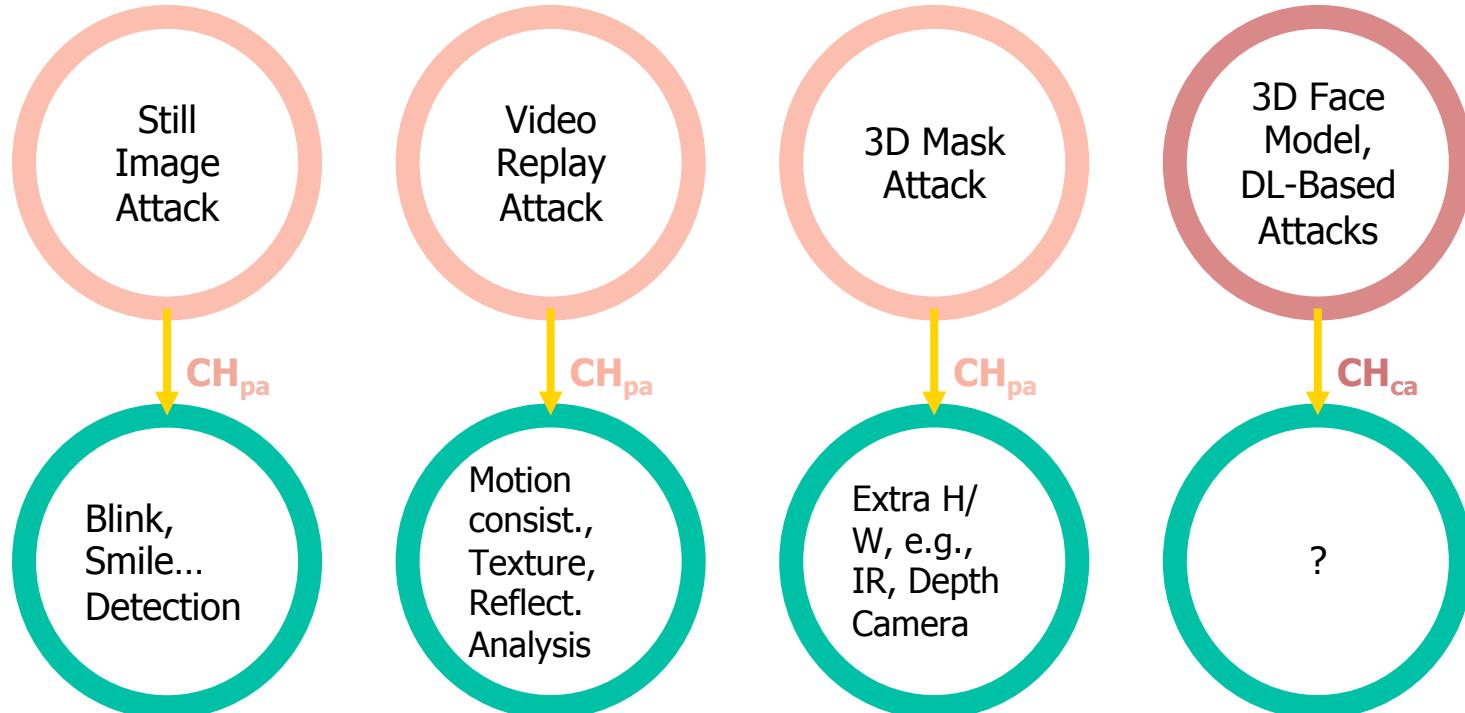
Video



3D face



## Adversarial Models vs Defense Systems





# Threat Model

## Automated compromising attacks.

- Camera, microphone and device kernel are compromised.
- No form of attestation.
- Known client-server protocol.
- State-of-the art synthesizers and Captcha breaking tools.
- Authentication server is NOT compromised.



## Compromising Attack: Example-1

A malicious app, has access to cam., mic., etc.

Capture enough raw material, e.g., victim's face



### 3D Model Fitting [7]

- Fit face model on a 3DMM.
- Synthesize photorealistic facial texture.
- Transfer 3D face to a VR environment.
- Answer challenge at real-time.



Applied by Xu et al.  
"VirtualU" (Usenix'16)



## Compromising Attack: Example-2

A malicious app, has access to cam., mic., etc.

Capture enough raw material, e.g., victim's face

Victim



≠



Attacker

Sharif et al. "Accessorize to a Crime" (CCS'16)  
Fooled Face++ with 100%

### Impersonation [8]

Create a perturber (e.g., eye glass)  
Impersonate victim  
**Known Face Rec. NN!**

Victim



=



Attacker

2

## Security of Industry Leading Solutions (Face Authentication)

Do we need sophisticated attacks?



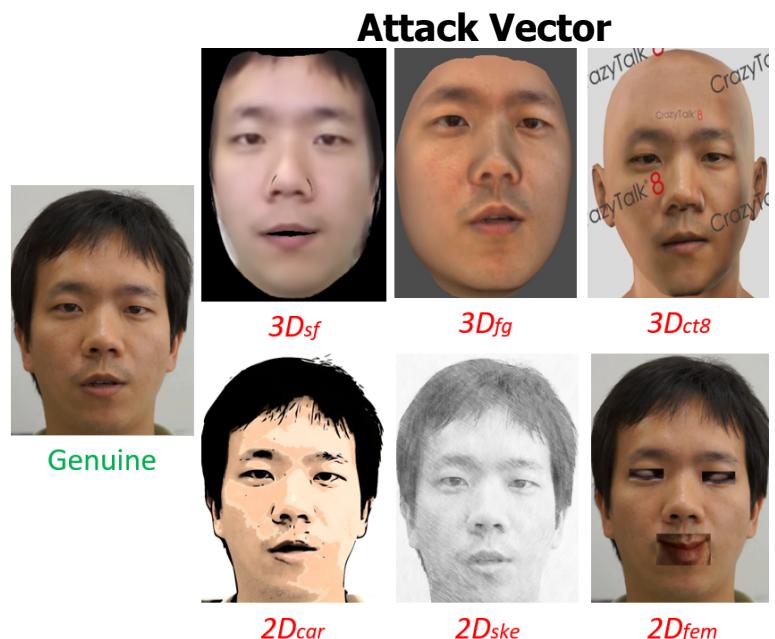
# Security of Cloud Systems

## Face Verification Cloud Services

- Microsoft Cognitive Services
- Amazon Rekognition
- Face++
- Kairos Human Analytics

## Database

- First 10 subjects of CASIA Face Anti-Spoofing Database [9].
- Six attack images are generated for each subject.





## Security of Cloud Systems (cont'd)

| Cognitive Service | Baseline/Conf. (%) |        | Spoofed/Overall Confidence (%) |              |               |               |              |              |          |
|-------------------|--------------------|--------|--------------------------------|--------------|---------------|---------------|--------------|--------------|----------|
|                   | TP                 | TN     | <i>3D↓sf</i>                   | <i>3D↓fg</i> | <i>3D↓ct8</i> | <i>2D↓car</i> | <i>2D↓sk</i> | <i>2D↓fe</i> | <i>m</i> |
| MS Cognitive      | 100/78             | 100/65 | 100/70                         | 100/75       | 100/70        | 100/82        | 100/84       | 100/86       |          |
| Amazon            | 100/97             | 100/82 | 100/89                         | 80/77        | 90/67         | 70/84         | 60/84        | 90/89        |          |
| Face++            | 100/87             | 100/83 | 100/86                         | 100/71       | 100/72        | 90/77         | 70/80        | 70/75        |          |
| Kairos            |                    | 80/58  |                                |              |               |               |              |              |          |



## Security of Cloud Systems (cont'd)

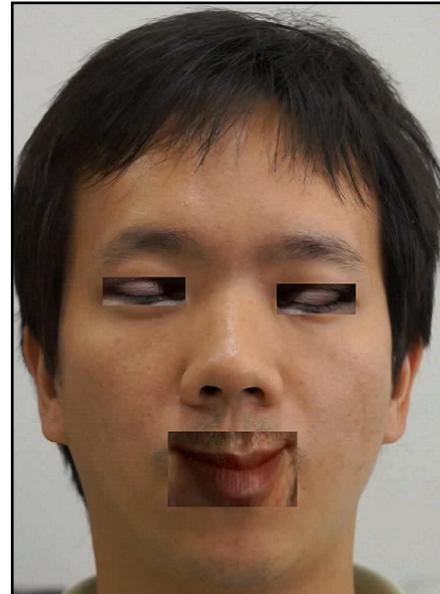


Genuine. Smile:0.001



LETS PUT A SMILE ON THAT FACE!

MS Cognitive Service



Fake. Smile:0.421

3

# Security of Industry Leading Solutions (Speaker Authentication)

Do they also vulnerable to spoof?



## Security of Cloud Systems (cont'd)

### Speaker Verification Cloud Services

- Microsoft Cognitive Services

### Database

- *V\dnns1–7* : Contain 7 different DL-based synthesized version of genuine samples from two subjects, both female and male [10].
- *V\asv1* to *V\asv10* : Contain genuine samples and their voice converted (7) and synthesized (3) versions of randomly selected 8 subjects from ASV Spoofing Challenge database [11].

### Methodology

- 30 seconds of genuine samples are enrolled for each subject. Hence, a group with 10 people in MS Cognitive Service is created.
- Randomly selected different samples for genuine and spoofed voices are tested.



## Security of Cloud Systems (cont'd)

| Test Sample | Detected as Original (%) | Test Sample | Detected as Original (%) | Test Sample | Detected as Original (%) |
|-------------|--------------------------|-------------|--------------------------|-------------|--------------------------|
| Original    | 97.0                     | V\asv\4     | 60.0                     | V\asv\9     | 71.3                     |
| V\dn\1-7    | 100                      | V\asv\5     | 77.5                     | V\asv\10    | 91.3                     |
| V\asv\1     | 81.3                     | V\asv\6     | 77.5                     |             |                          |
| V\asv\2     | 28.8                     | V\asv\7     | 50.0                     |             |                          |

# 2

# Proposed System

## Fundamental Problem of Existing Schemes

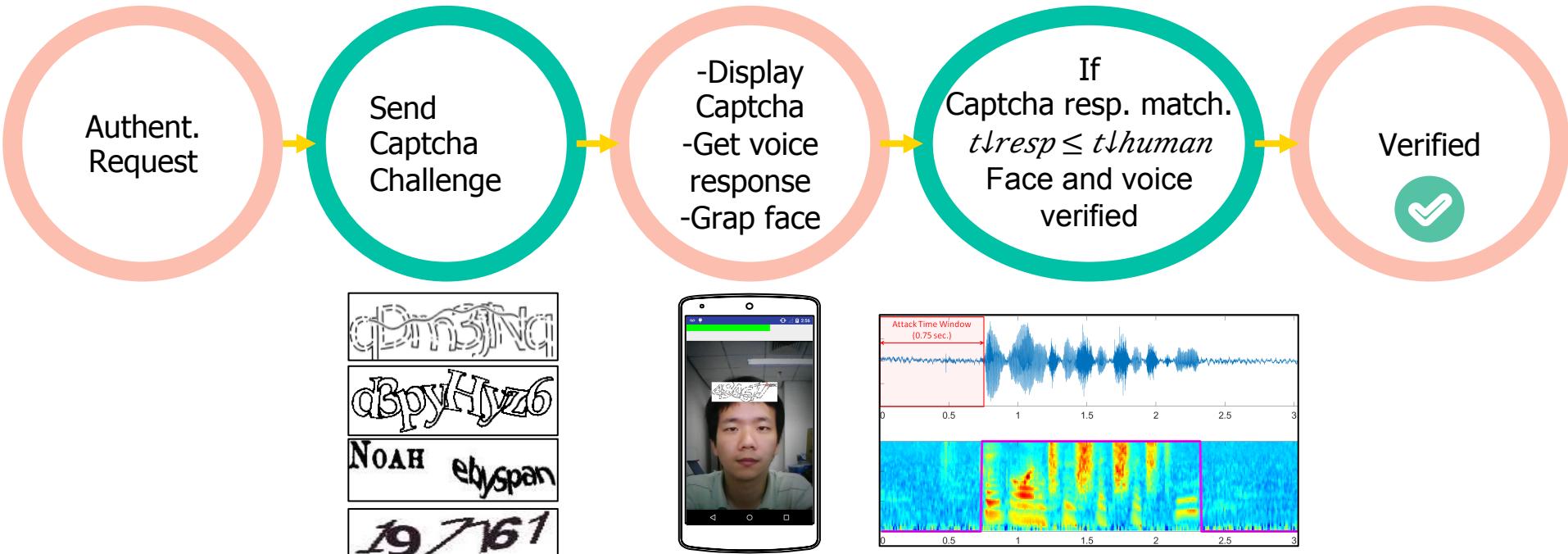
- Predictable challenges.
- Security relies on audio/face analysis, which has endless improvement in adversarial settings.

## Real-Time Captcha (rtCaptcha)

- Randomized challenges.
- Security relies on an existing liveness detection mechanism.



## System Overview





## User Study

### Challenges

- Plaintext – Numeric and Phrases
- Numeric Captchas – reCaptcha, Ebay, Yandex
- Animated Phrase Captchas – reCaptcha
- Blink/Smile



| Challenge   | Accuracy (%)<br>(1 trial) | Accuracy (%)<br>(2 trials) | Response Time<br>(seconds) |
|-------------|---------------------------|----------------------------|----------------------------|
| Plain-text  | 90.3                      | 100                        | 0.77                       |
| Captcha     | 88.8                      | 98.4                       | 0.93                       |
| Smile/Blink | 85.5                      | 100                        | 5.01                       |



## Captcha Breaking/Solving Attacks

**HumJaud**: Users in our user study.

**Atc↓typ**: Man-powered Captcha solving services [12].

**Atc↓ocr**: OCR-based Captcha decoding services [13].

| Captcha Sample                          | Captcha Scheme  | Recognition Accuracy (%)<br>[14] |         |         |          | Atc↓best: State-of-the-art Captcha breaking tool |         |         |          |
|---|---|----------------------------------|---------|---------|----------|--|---------|---------|----------|
|   |   | HumJaud                          | Atc↓typ | Atc↓ocr | Atc↓best | HumJaud  | Atc↓typ | Atc↓ocr | Atc↓best |
| 149172                                  |   |                                  |         |         |          |  |         |         |          |
| 72659<br>                               | reCaptcha numeric   | 87.1                             | 96.7    | 0       | 77.2     | 0.90   | 22.11   | 2.98    | 10.27    |
| bad apple                               | Ebay numeric  | 94.1                             | 100     | 0       | 58.8     | 0.73   | 12.33   | 2.79    | 5.98     |
| Georgia Tech School of Computer Science | Yandex numeric  | 87.7                             | 96.7    | 0       | 2.2      | 0.89   | 15.05   | 3.30    | 15.50    |
|   | SmartCaptcha: A Real-Time CAPTCHA Based Liveness Detection System |                                  |         |         |          |  |         |         | 20       |



# Conclusions

- Smile/blink etc. detection is weak against spoofing.
- rtCaptcha: Audio/image analysis → CAPTCHA
- rtCaptcha: Very limited time to;
  - \* Break Captcha
  - \* Synthesize voice/face of the victim.
- Limitation: rtCaptcha needs audible response, which could NOT be usable in certain environments.



## References

- [1] Taigman, Yaniv, et al. "Deepface: Closing the gap to human-level performance in face verification." *IEEE CVPR*. 2014.
- [2] Schroff, Florian, et al. "Facenet: A unified embedding for face recognition and clustering." *IEEE CVPR*. 2015.
- [3] <https://azure.microsoft.com/en-us/services/cognitive-services/>
- [4] <http://ws.amazon.com/rekognition>
- [5] <https://www.faceplusplus.com/>
- [6] <http://kairos.com/>
- [7] Jackson, Aaron S., et al. "Large pose 3D face reconstruction from a single image via direct volumetric CNN regression." *IEEE ICCV*. 2017.
- [8] Sharif, Mahmood, et al. "Accessorize to a crime: Real and stealthy attacks on state-of-the-art face recognition." *ACM CCS*. 2016.
- [9] Zhang, Zhiwei, et al. "A face antispooing database with diverse attacks." *IEEE ICB*. 2012.
- [10] Wu, Zhizheng, et al. "A study of speaker adaptation for DNN-based speech synthesis." *INTERSPEECH*. 2015.
- [11] Wu, Zhizheng, et al. "ASVspoof 2015: the first automatic speaker verification spoofing and countermeasures challenge." *INTERSPEECH*. 2015.
- [12] <https://anti-captcha.com/>
- [13] <http://www.captchatronix.com/>
- [14] Gao, Haichang, et al. "A Simple Generic Attack on Text Captchas." *NDSS*. 2016.



# Thanks!

*Any questions ?*