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by

Bastian Rieck

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1 Introduction

1.1 Motivation and background

It has been established that the Universe is accelerating in its expansion, based on various complementary observations (Riess et al., 2004; David N Spergel et al., 2003). In the Λ CDM cosmological model, this acceleration is powered by a cosmological constant Λ , that dominates the Universe's current energy budget. Ironically, the idea of a cosmological constant was first pioneered by Einstein, who, being a believer of a static universe, introduced the term to keep his equations static, but later dropped it in favour evidence of an expanding universe, famously describing it as his biggest blunder. As fate would have it, the cosmological constant has made its way back into modern cosmology to account for the Universe's accelerating expansion.

An active dispute that has been the subject of several papers in the last decade is whether the cosmological constant enters into the gravitational lensing equation. It is well known that light traveling through space is bent according to the mass distribution it encounters. This effect is gravitational lensing, and it is one of the three classical tests of General Relativity Will, 1993. Since its first discovery in the 1970s, gravitational lensing has become an important observation tool in cosmology and astrophysics. Given the undisputed success of General Relativity, and the central role that Λ now plays in gravitational physics and observational cosmology (Peebles and Ratra, 2003), one would think that the effect of a cosmological constant on gravitational lensing is well known. However, this is not the case. Scientific opinion has not converged on this issue and till now, there is still no consensus as to whether Λ contributes directly to lensing.

While there is general agreement that the influence of the cosmological constant on the bending of light, if any, is small (Rindler and Ishak (2007) in Eq. 17 estimates the influence of Λ at roughly 10^{-28} of the neighbouring mass term), the possibility of doing precision cosmology with weak lensing as a tool renders the proposed difference significant enough. Importantly, if the cosmological constant is found to influence the deflection of light, gravitational lensing observations could allow for a new and independent constraint on Λ .

1.2 Previous work

We are concerned about whether Λ directly contributes to the bending of light around a concentrated mass. It is important to note that classical lensing already takes into account an implicit dependence on Λ through the use of angular diameter distances, which will be explained in detail in [chapter 2](#). This is a Λ effect that is known and already taken care of; therefore, when debating about whether Λ contributes directly to lensing, we are really asking whether any modification to the current lensing formalism involving Λ is needed.

Conventional view, first put forth by Islam (1983), is that it does not, and classical lensing is correct as it is. This view, supported subsequently by multiple authors (Lake, 2002; Park, 2008; Simpson et al., 2010; Khriplovich and Pomeransky, 2008), argues that the equations describing the path followed by a photon, the null geodesic equations, take the same form with or without Λ . Therefore, up till about a decade ago official opinion was that no modification to the current lensing theory involving a Λ term is needed.

The main challenge to the conventional view came from Rindler and Ishak (2007), who argue that while the Λ term drops out of the equations of motion, Λ still affects light bending through the metric of spacetime itself, since the photon is moving in Λ -dependent geometry. The angles that are measurable are defined by the metric, and since spacetime that includes a cosmological constant is not asymptotically flat, the process of measurement causes the cosmological constant to creep into the light bending angle.

Since then, a plethora of papers have been written about this topic, but none have conclusively settled the debate. While there have supporting arguments in favour of Rindler and Ishak's proposal (Sereno, 2008; Bhadra et al., 2010; Schücker, 2008), more recently there have been several arguments against it that question whether the influence of the cosmological constant has already been taken into account in the angular diameter distances and impact parameter in the formula (Butcher, 2016; Piattella, 2016; Arakida and Kasai, 2012). Indeed, most of the disagreements are about how the mathematical results should translate into observable quantities, especially since the pioneering analyses use a static metric that do not take into account the relative movement of the observer and source. The conflict comes from the fact that coordinate angles are not necessarily physically measurable, and Lebedev and Lake (2013) explore the question of measurable angles in detail.

To date, most of the work done on this topic has been analytical. This inevitably lend some of the work to criticisms of whether the approximations used in deriving the results are valid (see for example criticisms in Ishak, Rindler, and Dossett (2010)). There have been some numerical work on this subject, but they have been few and far from comprehensive.

For example, Beynon (2012) took a numerical approach in a Lemaître-Tolman-Bondi metric (Lemaître, 1997; Tolman, 1934; Bondi, 1947) but did not reach a definitive conclusion. More recently (Aghili et al., 2017) used the McVittie metric (McVittie, 1933) in analyzing the effect of Λ .

The most similar work was done in Schücker (2009), who adopted a partially numerical approach in the model we are using (the Swiss-Cheese model) and concluded he agrees with Rindler and Ishak, but he only uses a single numerical example to reach a conclusion. Furthermore, some higher-order terms were dropped out in the integration in the Kottler metric and the contribution of Λ to the deflection was not singled out. He compares the results of *different* models (pure Kottler versus Swiss-Cheese), both involving a non-zero Λ , to observed quantities, and hence his results are more inclined towards answering the question of which is the right physical model for gravitational lensing, as compared to the question we hope to answer, which is: What is the influence of the cosmological constant given a *single* model, which we assume for the purpose of the analysis, and not without basis, is an accurate model of our universe? On this note we take a slightly different approach from Schücker, where we work with a single model (the Swiss-Cheese), and compare the results from a $\Lambda = 0$ universe with a universe in which we have a non-zero Λ .

Our work will be numerical, and we hope to tackle some of the shortcomings of the previous numerical work, without falling into the approximation traps that exist in analytical work. In addition, we use a Swiss-Cheese model that deals with the problem of comoving observers in a cosmological setting and we use observable quantities throughout to compare the effect of Λ . The details of the model will be explained further in [chapter 3](#).

1.3 Structure of this report

In the remaining portions of this chapter I give an introduction of General Relativity and the basics of light propagation, which lays the mathematical foundation for this work. In [chapter 2](#), I provide an overview of the current established literature on gravitational lensing in a Schwarzschild spacetime where $\Lambda = 0$ and derivation of the key equations, before moving on to the case of a non zero Λ .

The bulk of the work is in [chapter 3](#), where I give the mathematical derivation of the equations which form the basis of this project, some of which do not appear explicitly in literature. In particular, in this chapter I describe the construction and mathematical properties of the Swiss-Cheese model with a Kottler condensation, and based on that, obtain the equations for light propagation in such a universe.

Finally, in [chapter 4](#), I present my numerical results for light propagation in such a universe and discuss their significance in the context of some of the previous analytical analyses.

1.4 A note on units and notation

I use a comma to denote partial derivative and an overdot to denote derivative with respect to the affine parameter λ . For example, $x_{,t}$ refers to $\frac{\partial x}{\partial t}$ and $\dot{x} = \frac{dx}{d\lambda}$. Throughout this work I use natural units such that $c = G = 1$.

2 Gravitational lensing formalism

2.1 Mathematical preliminaries

The essence of General Relativity (GR) is very elegantly summarized by John Wheeler into two parts: matter tells spacetime how to curve, and spacetime tells matter how to move (Wheeler et al., 2000, pg.235).

The first half of this statement is quantified by the Einstein Field Equations (EFEs), which is the analogue of Poisson's equation in Newtonian gravity. They are a group of 10 coupled differential equations that describe the interaction between matter and geometry of spacetime, given by (in tensor notation)

$$G_{\mu\nu} + \Lambda g_{\mu\nu} = 8\pi T_{\mu\nu} \quad (2.1)$$

where $g_{\mu\nu}$ is the metric of spacetime, Λ is the cosmological constant, $G_{\mu\nu}$ is the Einstein tensor and $T_{\mu\nu}$ is the energy-momentum tensor. The energy momentum tensor on the right hand side is a source term that encodes how matter is distributed in the universe, and on the left hand side the Einstein tensor depends on the metric tensor, which describes the spacetime geometry. For a perfect pressureless fluid, the energy momentum tensor is

$$T^{\mu\nu} = \rho u^\mu u^\nu \quad (2.2)$$

where u^μ is the 4-velocity of the fluid.

If we solve Einstein's field equations, we can obtain the metric tensor $g_{\mu\nu}$, which encodes the spacetime geometry. The metric tensor then influences how a particle moving in this spacetime behaves, bringing us to the second part of the statement: spacetime tells matter how to move. Given a metric tensor $g_{\mu\nu}$, equations of motion of a particle moving in this spacetime can be derived by first considering the Lagrangian of this particle

$$\mathcal{L} = \sqrt{g_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda}} \quad (2.3)$$

where λ is an affine parameter which increases monotonically along the particle's world-line and $x^\mu(\lambda)$ describes the trajectory of the particle. Between two spacetime points A and B , We want to maximize $\int_B^A L(x^\mu \dot{x}^\mu) d\lambda$, so \mathcal{L} satisfies the Euler-Lagrange (E-L) equations

$$\frac{\partial \mathcal{L}}{\partial x^\mu} - \frac{d}{d\lambda} \left(\frac{\partial \mathcal{L}}{\partial \dot{x}^\mu} \right). \quad (2.4)$$

From the E-L equations we arrive at the geodesic equation

$$\ddot{x}^\mu + \Gamma_{\alpha\beta}^\mu \dot{x}^\alpha \dot{x}^\beta = 0 \quad (2.5)$$

where an overdot represents a derivative with respect to the affine parameter λ , and Γ are the Christoffel symbols given by

$$\Gamma_{\alpha\beta}^\mu = \frac{1}{2} g^{\mu\rho} (g_{\rho\alpha,\beta} + g_{\rho\beta,\alpha} - g_{\alpha\beta,\rho}). \quad (2.6)$$

A freely moving particle always move along a geodesic, which is a generalisation of the notion of "straight lines" to a curved spacetime.

In addition, light, as a massless particle, travels along null geodesic, in contrast to time-like ones for massive particles. Therefore, all light trajectories have to satisfy the null condition

$$g_{\mu\nu} dx^\mu dx^\nu = 0. \quad (2.7)$$

The result is a handful of coupled differential equations that need to be solved to find the trajectory of light. These are almost impossible to solve exactly analytically except in the simplest cases. In order to find the trajectory taken by the photon, we integrate the null geodesics nnumerically.

2.2 Derivation of bending angle in the Schwarzschild metric

It is useful to first revise gravitational lensing in a universe without Λ , in a Schwarzschild metric, which is well understood. The Schwarzschild metric, one of the first known solutions to Einstein's field equations, describes the vacuum that lies outside a spherically symmetric distribution of matter. Its line element is given by

$$ds^2 = - \left(1 - \frac{2M}{r} \right) dt^2 + \left(1 - \frac{2M}{r} \right)^{-1} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2). \quad (2.8)$$

where M is the central mass.

Due to spherical symmetry, we can restrict ourselves to the equatorial plane $\theta = \pi/2$ without loss of generality. This metric is asymptotically flat as $r \rightarrow \infty$. We can then find the total deflection angle α experienced by a particle that comes in from $r = -\infty$, gets deflected, and travels on towards $r = +\infty$ as

2.2 Derivation of bending angle in the Schwarzschild metric

$$\alpha = 2 \int_{r_0}^{\infty} \left| \frac{d\phi}{dr} \right| dr - \pi \quad (2.9)$$

where r_0 is the distance of closest approach.

The static nature and spherical symmetry of the Schwarzschild metric implies that there are two constants of motion for any particle traveling in this geometry. These can be obtained through direct application of the E-L equation (Equation 2.4), and we have

$$E = \left(1 - \frac{2M}{R}\right) \dot{t}, \quad L = r^2 \dot{\phi}. \quad (2.10)$$

By applying the null condition (Equation 2.7) on the metric, we obtain an expression for $\frac{d\phi}{dr}$

$$\frac{d\phi}{dr} = \pm \frac{1}{r^2} \sqrt{\frac{1}{\frac{1}{b^2} - \left(1 - \frac{2M}{r}\right) \frac{1}{r^2}}} \quad (2.11)$$

where $b = L/E$ is the impact parameter (since $\frac{d\phi}{dr} = \dot{\phi}/\dot{r}$). Integrating this (for a detailed derivation see Keeton and Petters, 2005), we obtain an expression for the bending angle α as a series expansion in M/r_0 . This series, to third order in M/r_0 , is as follows:

$$\alpha = 4 \frac{M}{r_0} + \left(-4 + \frac{15\pi}{4}\right) \left(\frac{M}{r_0}\right)^2 + \left(\frac{122}{3} - \frac{15\pi}{2}\right) \left(\frac{M}{r_0}\right)^3. \quad (2.12)$$

This can be easily converted to a series in M/b instead of M/r_0 , which is usually done in literature, since a relation between b and r_0 can be derived in a series expansion by setting $\dot{r} = 0$, giving (Keeton and Petters, 2005)

$$r_0 = b \left[1 - \frac{M}{b} - \frac{3}{2} \left(\frac{M}{b}\right)^2 - 4 \left(\frac{M}{b}\right)^3 \right]. \quad (2.13)$$

This relation can then be used to rewrite the expansion in terms of $\frac{M}{b}$ to give us

$$\alpha = 4 \frac{M}{b} + \frac{15\pi}{4} \left(\frac{M}{b}\right)^2 + \frac{128}{3} \left(\frac{M}{b}\right)^3. \quad (2.14)$$

and indeed many authors use the impact parameter to discuss the bending of light in Schwarzschild spacetime (Wald, 2010; Misner et al., 2017; Butcher, 2016). However, it has been pointed out in literature that (Ishak, Rindler, Dossett, et al., 2008; Hammad, 2013) in the non-zero Λ case, the definition of the impact parameter is no longer independent of Λ and becomes questionable due to the fact that spacetime is no longer asymptotically flat. Lebedev and Lake (2013) does an in-depth analysis of the impact parameter in Λ spacetime.

2 Gravitational lensing formalism

In this work we will work with another constant of the motion for the non-zero Λ case, and for consistency we will use that parameter here as well so that a fair comparison can be made, even though the Schwarzschild case does not include a cosmological constant.

We can define another constant of the motion R_u which corresponds to the unperturbed trajectory of light [see diagram ??], which is related to r_0 by (see Eq. 6 of Ishak, Rindler, Dossett, et al. (2008) and Eq. 3 of Butcher (2016))

$$\frac{1}{r_0} = \frac{1}{R_u} + \frac{M}{R_u^2} + \frac{3M^2}{16R_u^3} \quad (2.15)$$

where we have added a subscript u to differentiate it from the Kottler coordinate R in the next section. Using this relation and applying it to Equation 2.12, we can get a series expansion in M/R_u , which, up to third order, is given by

$$\alpha = 4\frac{M}{R_u} + \frac{15\pi}{4}\left(\frac{M}{R_u}\right)^2 + \frac{401}{12}\left(\frac{M}{R_u}\right)^3. \quad (2.16)$$

There are 3 length quantities that are typically used in Schwarzschild lensing: the distance of closest approach r_0 , the impact parameter b , and the parameter of the unperturbed trajectory R_u . They are related to each other through Equation 2.13 and Equation 2.15, and their related series expansions are respectively given by Equation 2.12, Equation 2.14 and Equation 2.16. The coefficient of the leading order term is the same for all three but they differ on higher order terms in the series expansion. Many gravitational lensing analysis done on the Schwarzschild metric are only concerned with the leading order term, and hence use these three lengths somewhat interchangeably. But in this project we are interested in corrections at the second order or higher, and the distinction becomes important. In the subsequent section of the report, we will be using the series expansion in M/R_u (Equation 2.16).

Rindler and Ishak (2007) proposed a different expression for α , which was later refined for a Swiss-Cheese universe (Ishak, Rindler, Dossett, et al., 2008), and I will state it here (in our notation) for easy comparison:

$$\alpha_{\text{Ishak}} = 4\frac{M}{R_u} + \frac{15\pi}{4}\left(\frac{M}{R_u}\right)^2 + \frac{305}{12}\left(\frac{M}{R_u}\right)^3 - \frac{\Lambda R R_h}{3}, \quad (2.17)$$

where R_h is the boundary of the hole in static coordinates in the Swiss-Cheese model.

2.3 Lensing observables

A significant part of the conflict in literature comes from the question of which quantities in lensing are observable, and whether these observable quantities are ultimately affected

by the presence of the cosmological constant. Therefore we aim to stick with strictly observable quantities.

We consider a simple picture where the observer, lens, and source are aligned, as shown in [fig??]. Bending is assumed to happen at a single point above the lens, since the distance from the observer to the lens and source is assumed to be much larger than the distance of closest approach between the light ray and the lens. From this diagram we can easily obtain the lens equation (Schneider et al., 1992)

$$D_S \theta_E = D_{LS} \alpha \quad (2.18)$$

where α is the bending angle as previously derived, D_S is the angular diameter distance from observer to source, and D_{LS} is the angular diameter distance from lens to source. R_u can also be expressed in terms of the observable quantities $R_u = D_L \theta_E$, where D_L is the angular diameter distance from observer to the lens.

3 Description of the Swiss Cheese model

3.1 Spacetime patches

Swiss-Cheese (SC) models were first introduced by Einstein and Straus (1945) to investigate the gravitational field of a mass well described by the Schwarzschild metric but embedded in a non-Minkowski background spacetime. Such a model is constructed by removing a comoving sphere from the homogeneous and replacing it with an inhomogeneous mass distribution. In this case, we use a mass distribution that is vacuum everywhere except for a point mass at the centre. In principle, since the sphere is comoving, multiple spheres can be inserted in the cheese as long as they are initially non-overlapping. In our model, only one hole is needed to model the lens. This stitching of two metrics on the ‘cheese’-‘hole’ boundary is of course not arbitrary, and matching conditions will impose restriction on the parameters of the two metrics. This will be discussed in detail in the following sections.

There are several reasons why this model was chosen. First, this is an exact solution of Einstein’s equations which preserves the global dynamics, and hence it will allow us to properly investigate the higher order corrections that have been oft-debated in literature. Previously some research (Simpson et al., 2010)[??] has been done using a perturbative approach, but others (Ishak, Rindler, and Dossett, 2010) have contested whether the approximations were valid. Secondly, by putting observers in the homogeneously expanding “cheese”, it accounts for observers moving with the Hubble flow, which is a common objection to Rindler and Ishak’s use of a static metric (Simpson et al., 2010; Butcher, 2016; Park, 2008; Khriplovich and Pomeransky, 2008). Lastly, this model also takes the finite range of the mass into account by confining the influence of the central mass to the size of the hole.

Light propagation in SC models has been extensively studied (Szybka, 2011; Vanderveld et al., 2008; Fleury, 2014), but not particularly so in the subject of Λ ’s dependence on gravitational lensing. Some of the areas that Swiss-Cheese models have been commonly used include investigating the effect of local inhomogeneities on luminosity-redshift relations (Kantowski, 1969; Fleury et al., 2013) and studying fluctuations in redshift and distance of the cosmic microwave background (Bolejko, 2009; Valkenburg, 2009; Bolejko, 2011).

3 Description of the Swiss Cheese model

A significant difference between the Swiss-Cheese model and what is used in conventional gravitational lensing, as described in the previous section, is that in the Swiss-Cheese, the bending happens only inside the hole, whereas in conventional lensing, the mass is superimposed on the FRW background and has infinite range. In the Swiss-Cheese model, due to the boundary conditions, all bending is truncated once the light ray leaves the hole, and outside the hole the light ray travels as if the hole does not exist. Therefore even in the $\Lambda = 0$ case, we would expect a slightly smaller bending angle in the Swiss-Cheese than predicted in conventional lensing, even though this difference is small.

Kantowski et al. (2010) did analytical calculations in estimating the bending angle in the Swiss-Cheese model in flat space (see Eq. 32 of Kantowski et al. (2010)). This prediction, together with the conventional lensing prediction (Equation 2.16) and the Rindler and Ishak prediction (Equation 2.17), are the theoretical models that we will be comparing our numerical results against.

3.1.1 Friedmann-Robertson-Walker geometry

Outside the hole, geometry is described by the Friedmann-Robertson-Walker (FRW) metric Wald, 2010, the simplest homogeneous and isotropic model of the universe. Its line element, in spherical polar coordinates, is given by

$$ds^2 = -dt^2 + a(t)^2 \left(\frac{dr^2}{1 - kr^2} + r^2 d\Omega^2 \right) \quad (3.1)$$

where $d\Omega^2 = d\theta^2 + \sin^2 \theta d\phi^2$ is the metric on a 2-sphere, $a(t)$ is the varying scale factor of the universe, and k represents the curvature of space. This is the line element for a homogeneous and expanding universe, with general spatial curvature. There are 3 possibilities for the value of k , each implying a different geometry of the universe:

- $k = 0$: The universe is flat and Euclidean.
- $k > 0$: The universe has positive spatial curvature and is closed
- $k < 0$: The universe has negative spatial curvature and is open.

The scale factor a parametrizes the relative expansion of the universe, such that the relationship between physical distance and comoving distance between two points at a certain cosmic time t is given as

$$d_{\text{physical}} = a(t) d_{\text{comoving}}. \quad (3.2)$$

The scale factor also satisfies the Friedmann equation

$$H^2 \equiv \left(\frac{a_{,t}}{a} \right)^2 = \frac{8\pi G\rho}{3} + \frac{\Lambda}{3} - \frac{k}{a^2} \quad (3.3)$$

where ρ is the energy density of a pressureless fluid and H is the Hubble parameter.

It is common to introduce the cosmological parameters, where a subscript 0 refers to quantities evaluated today:

$$\Omega_m = \frac{8\pi G\rho_0}{3H_0^2}, \quad \Omega_\Lambda = \frac{\Lambda}{3H_0^2}, \quad \Omega_k = -\frac{k}{a_0^2 H_0^2} \quad (3.4)$$

and rewrite the Friedmann equation as

$$H^2 = H_0^2 \left[\Omega_m \left(\frac{a_0}{a} \right)^3 + \Omega_k \left(\frac{a_0}{a} \right)^2 + \Omega_\Lambda \right] \quad (3.5)$$

where the Ω terms are commonly known as density parameters, evaluated at the present day. In the absence of radiation, they obey the relation

$$\Omega_m + \Omega_\Lambda + \Omega_k = 1. \quad (3.6)$$

In a flat universe, space is Euclidean and $\Omega_k = 0$. Subsequently in this report instead of working directly with Λ I will work with Ω_Λ instead.

3.1.2 Kottler geometry

In this project we use a Kottler condensation in the Swiss-Cheese for the central lensing mass. This is described by a Kottler metric (Kottler, 1918), which is the extension of the famous Schwarzschild metric to include a cosmological constant, given by

$$ds^2 = -f(R)dT^2 + \frac{dR^2}{f(R)} + R^2 d\Omega^2 \quad (3.7)$$

with

$$f(R) = 1 - \frac{2M}{R} - \frac{\Lambda R^2}{3}, \quad (3.8)$$

where M is the mass of the central object. Unlike the FRW, this metric describes a static spacetime.

3.2 Matching conditions

Two geometries can be matched across the boundary to form a well defined spacetime only if and only if they satisfy the Darmois-Israel junction conditions (Darmois, 1927; Israel, 1966). These conditions dictate that the first and second fundamental forms of the two metrics must match on the matching hypersurface Σ , that is, both metrics must induce (i) the same metric, and (ii) the same extrinsic curvature.

3.2.1 Continuity of the induced metric

We match the FRW and Kottler metrics on a surface of a comoving 2-sphere, Σ , which is defined by $r = r_h = \text{constant}$ in FRW coordinates and $R = R_h(T)$ in Kottler coordinates.

The induced metric is the quantity

$$h_{ab} = g_{\alpha\beta} j_a^\alpha j_b^\beta \quad (3.9)$$

where j_a^α is defined as

$$j_a^\alpha = \frac{\partial \bar{X}^\alpha}{\partial \sigma^a}. \quad (3.10)$$

Here we have introduced X^α to represent coordinates of the original metric. We define σ^a to be natural intrinsic coordinates for Σ , and $\bar{X}^\alpha(\sigma^a)$ is the parametric equation of the hypersurface.

More concretely, using the coordinates defined previously in [Equation 3.1](#), these quantities are, for the FRW,

$$X^\alpha = \{t, r, \theta, \phi\} \quad (3.11a)$$

$$\sigma^a = \{t, \theta, \phi\} \quad (3.11b)$$

$$\bar{X}^\alpha(\sigma^a) = \{t, r_h, \theta, \phi\}. \quad (3.11c)$$

Similarly, in the Kottler region, we have

$$X^\alpha = \{T, R, \theta, \phi\} \quad (3.12a)$$

$$\sigma^a = \{T, \theta, \phi\} \quad (3.12b)$$

$$\bar{X}^\alpha(\sigma^a) = \{T, R_h(T), \theta, \phi\}. \quad (3.12c)$$

Using these definitions, the 3-metric induced by the FRW geometry on Σ is

$$ds_\Sigma^2 = -dt^2 + a^2(t)r^2 d\Omega^2, \quad (3.13)$$

while the induced metric on the Kottler metric is

$$ds_\Sigma^2 = -\kappa^2(T)dT^2 + R_h^2(T)d\Omega^2, \quad (3.14)$$

where

$$\kappa \equiv \sqrt{\frac{f^2[R_h(T)] - R_{h,T}^2(T)}{f[R_h(T)]}}. \quad (3.15)$$

Equating the components of Equation 3.13 and Equation 3.14, we obtain the following:

$$R_h(T) = a(t)r, \quad (3.16)$$

$$\frac{dt}{dT} = \kappa(T). \quad (3.17)$$

These two relationships relate the radial and time coordinates of the two metrics respectively.

3.2.2 Continuity of the extrinsic curvature

The second condition equates extrinsic curvature of the two geometries. By definition, the extrinsic curvature K_{ab} of a hypersurface is given by

$$K_{ab} = n_{\alpha;\beta} j_a^\alpha j_b^\beta \quad (3.18)$$

where n_μ is the unit vector normal to Σ , j is as defined previously in Equation 3.10, and the semicolon notation “;” denotes a covariant derivative, for example, $n_{\alpha;\beta} = \nabla_\beta n_\alpha$. For any vector V^ν , the covariant derivative is defined as

$$\nabla_\mu V^\nu = \partial_\mu V^\nu + \Gamma_{\mu\rho}^\nu V^\rho. \quad (3.19)$$

For a hypersurface defined by a function $q = 0$, the unit vector normal to it is

$$n_\mu = \frac{q_{,\mu}}{\sqrt{g^{\alpha\beta} f_{,\alpha} f_{,\beta}}}. \quad (3.20)$$

In our case $q = r - r_h$ in FRW coordinates and $q = R - R_h(T)$ in Kottler coordinates. For example, the unit vector in the FRW region is trivial to calculate, and we get $n_\mu^{(\text{FRW})} = \delta_\mu^r/a$. Applying this formula, the extrinsic curvature induced by the FRW geometry is

$$K_{ab} dx^a dx^b = \frac{a(t)r}{\sqrt{1 - kr^2}} d\Omega^2 \quad (3.21)$$

while the extrinsic curvature induced by the Kottler geometry is

$$K_{ab} dx^a dx^b = \frac{1}{\kappa} \left[R_{h,tt} + \frac{f'}{2f} (f^2 - 3R_{h,t}^2) \right] dT^2 + \frac{R_h f}{\kappa} d\Omega^2 \quad (3.22)$$

where $f' = \partial f / \partial R$, and all quantities are evaluated at $R = R_h(T)$.

Equating the components of Equation 3.21 and Equation 3.22, we obtain

$$\frac{R_h f}{\kappa} = \frac{a(t)r}{\sqrt{1 - kr^2}} \quad (3.23)$$

and

$$R_{h,tt} + \frac{f'}{2f}(f^2 - 3R_{h,t}^2) = 0. \quad (3.24)$$

The second equation is provided for completeness although it is not needed for subsequent derivations.

3.2.3 Consequences on properties of the hole

Combining Equation 3.23 with Equation 3.15, we can eliminate κ . We can also replace $R_{h,T}$ using the relation obtained in Equation 3.16, since

$$\frac{dR_h}{dT} = \frac{d(ar)}{dT} = \frac{da}{dt} \frac{dt}{dT} r. \quad (3.25)$$

where da/dt is given by the Friedmann equation 3.3.

Following through the algebra, we arrive at the somewhat intuitive result that both regions must have the same cosmological constant Λ and that the central mass M in the Kottler region must be equal to the original mass inside the homogeneous comoving sphere of radius r_h

$$M = \frac{4\pi}{3} a^3 r_h^3. \quad (3.26)$$

From the junction conditions the rate of expansion of the hole in static coordinates can also be obtained. By combining Equation 3.15 and Equation 3.23, we get an expression for dR/dT

$$\frac{dR_h}{dT} = f(R_h) \sqrt{1 - \frac{f(R_h)}{1 - kr_h^2}}. \quad (3.27)$$

The last thing we need from the boundary conditions is to relate the tangent vectors between the two metrics. The continuity of the metric, imposed by the first junction condition, implies that the connection does not diverge across the boundary. Therefore, light is not deflected as it crosses the boundary and we just need to convert the components of the tangent vector between the two coordinate systems. To obtain \dot{R} in terms of FRW tangent vectors \dot{r} and \dot{t} , we differentiate Equation 3.16 and substitute $a_{,t}$ with the Friedmann equation Equation 3.3. Keeping in mind the boundary conditions, we get an expression for \dot{R} . The angular coordinates and angular tangent vectors are unchanged

moving from Kottler to FRW coordinates, and vice versa. With \dot{R} and $\dot{\phi}$, \dot{T} then can be easily obtained from the null condition Equation 2.7. The result is

$$\dot{T} = \frac{1}{f} \sqrt{1 - kr^2} \dot{t} + \frac{a}{f \sqrt{1 - kr^2}} \sqrt{\frac{2M}{ar} - kr^2 + \frac{\Lambda}{3} a^2 r^2} \dot{r} \quad (3.28a)$$

$$\dot{R} = \sqrt{\frac{2M}{ar} - kr^2 + \frac{\Lambda}{3} a^2 r^2} \dot{t} + a \dot{r} \quad (3.28b)$$

$$\dot{\phi} = \dot{\phi} \quad (3.28c)$$

$$\dot{\theta} = \dot{\theta} \quad (3.28d)$$

where for completeness I have also given the trivial relations between the angular tangent vectors. The quantities above are all evaluated at the boundary of the hole. This result is given for flat space in Schücker (2009) and Fleury et al. (2013), but here it has been extended to allow for arbitrary spatial curvature. The reverse transformation is easily obtained by inverting the Jacobian from above.

In summary, given a FRW spacetime with pressureless matter and a cosmological constant Λ , a spherical hole, whose geometry is described by the Kottler metric, can be constructed which contains a constant mass $M = 4\pi\rho a^3 r_h^3/3$ at its centre. The geometry resulting from combining the two metrics at the boundary is an exact solution of the Einstein field equations. Applying the boundary conditions, we can obtain all the necessary transformations needed for continuation of light propagation at the boundary.

3.3 Light propagation

We propagate a light ray backwards from observer to source by solving the geodesic equation (Equation 2.5). In reality, the light ray travels from source to observer, but our calculations proceed in the opposite direction since we want to take the current observer position and observation event, where the light ray has a certain Einstein angle, as the initial condition. The observer is placed in the FRW region, where we start propagating the light ray. The mass of the lensing object is fixed and consequently so is the size of the hole. The light ray is started off with an Einstein angle θ_E , travels through the FRW region, encounters the Kottler hole which deflects its trajectory, and returns to the FRW region again. In the Swiss-Cheese model, all the bending occurs only in the Kottler hole and is truncated once light leaves the hole.

We begin by fixing the lens redshift, since it is the redshift that is directly observable and not the radial coordinate r . From the lens redshift, we can then calculate the radial coordinate and angular diameter distance to the lens.

In the FRW, assuming $a_0 = 1$, we can calculate the angular diameter distance D_L for a given lens redshift z_L as (Hogg, 1999)

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$$D_L = D_A(z_L) = \frac{1}{1+z_L} S_k \left(\frac{1}{H_0} \int_0^{z_L} \frac{dz}{\sqrt{\Omega_m(1+z)^3 + \Omega_k(1+z)^2 + \Omega_\Lambda}} \right) \quad (3.29)$$

where we define the function $S_k(x)$

$$S_k(x) = \begin{cases} |k|^{-1/2} \sin(\sqrt{|k|}x) & k > 0 \\ x & k = 0 \\ |k|^{-1/2} \sinh(\sqrt{|k|}x) & k < 0 \end{cases} \quad (3.30)$$

and its inverse function

$$S_k^{-1}(x) = \begin{cases} |k|^{-1/2} \sin^{-1}(\sqrt{|k|}x) & k > 0 \\ x & k = 0 \\ |k|^{-1/2} \sinh^{-1}(\sqrt{|k|}x) & k < 0 \end{cases} \quad (3.31)$$

From the angular diameter distance, the radial coordinate is given by

$$r_L = (1+z_L)D_L. \quad (3.32)$$

Therefore, as we vary Λ , r_L is expected to change as we fix z_L .

We then place the lens at the origin and observer at a radial coordinate of $r = r_L$ and $\phi = \pi$.

Numerical integration is done with the `scipy.integrate.solve_ivp` function in Python (Jones et al., 2014), which uses an explicit Runge-Kutte method of order 5 (Dormand and Prince, 1980). The solver uses an adaptive step size, and intersection between the light ray and the point of enter and exit of the hole are found with the classic Brent's method (Brent, 2013), a root-finding algorithm.

3.3.1 FRW region

The light ray begins in the FRW region from the observer. The starting velocity angle θ_E is fixed, and the initial tangent vectors are set such that $\theta_E = \tan^{-1}(\sqrt{1 - kr^2} \dot{\phi} / \dot{r})$. We place the lens at the origin and take the observer to be at an azimuthal angle of $\phi = \pi$.

Null geodesics govern the subsequent trajectory of the light ray. Without loss of generality, we can take $\theta = \pi/2$ to simplify the geodesic equations. Due to spherical symmetry, the FRW has a conserved quantity $L = a^2 r^2 \dot{\phi}$ that corresponds to the angular momen-

tum of the photon. Thus, in terms of the conserved quantity, the null geodesic equations are

$$\dot{t} = -\sqrt{\frac{a^2 \dot{r}^2}{1 - kr^2} + a^2 r^2 \dot{\phi}} \quad (3.33a)$$

$$\ddot{r} = (1 - kr^2)r\dot{\phi}^2 - \frac{k\dot{r}^2}{1 - kr^2} - \frac{2a_{,t}}{a}\dot{r}\dot{t} \quad (3.33b)$$

$$\dot{\phi} = \frac{L}{a^2 r^2} \quad (3.33c)$$

which, combined with the Friedmann equation (Equation 3.5) fully determine the light ray's path. The negative sign on \dot{t} is due to the fact that we're propagating the light ray backwards in time. We can then solve these differential equations numerically to propagate the light ray and stop the integration once the light ray reaches the boundary of the hole, which is defined by $r_h = \text{constant}$. We call this intersection event \mathcal{E}_{out} , since this is where the light, traveling from the source to observer in the opposite direction of our numerical calculations, *leaves* the hole.

In the particular case of Euclidean geometry ($k = 0$), the coordinates of the event \mathcal{E}_{out} can be calculated analytically (Fleury et al., 2013). To do that, we first rewrite the flat metric in terms of the conformal time η , as

$$ds^2 = a^2(\eta)(-d\eta^2 + dr^2 + r^2 d\Omega^2). \quad (3.34)$$

We can then write down the following system of equations in Cartesian coordinates x_{out}^i in terms of the hole radius r_h and Cartesian position of the observer x_0^i :

$$\delta_{ij}(x_{\text{out}}^i - x_h^i)(x_{\text{out}}^j - x_h^j) = r_h^2 \quad (3.35a)$$

$$x_{\text{out}}^i = x_0^i + (\eta_0 - \eta_{\text{out}})d^i \quad (3.35b)$$

where d^i is the unit vector representing direction of travel. For a given Einstein angle θ_E , if the observer is placed at given , then in Cartesian coordinates, we have

$$d = \frac{1}{\sqrt{(\dot{r} \cos \phi - r \sin \phi \dot{\phi})^2 + (\dot{r} \sin \phi + r \cos \phi \dot{\phi})^2}} \begin{pmatrix} \dot{r} \cos \phi - r \sin \phi \dot{\phi} \\ \dot{r} \sin \phi + r \cos \phi \dot{\phi} \end{pmatrix} \quad (3.36)$$

The final thing we need is to recover the scale factor from the conformal time. From Equation 3.35, we can obtain the value of η_{out} , since we can arbitrarily set $\eta_0 = 0$. We take $a_0 = 1$ at the observer, so the conformal time η is related to a by

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$$\eta_{\text{out}} = \frac{1}{H_0} \int_1^{a_{\text{out}}} \frac{1}{a^2 \sqrt{\Omega_m/a^3 + \Omega_\Lambda}}. \quad (3.37)$$

where H_0 is the current value of the Hubble parameter. Since η increases monotonically with a , we did a simple binary search to find the value of a_{out} that produced η_{extout} to the required level of accuracy.

The above calculation only applies for flat space, and in arbitrarily curved space, we do the full numerical integration to obtain the conditions at \mathcal{E}_{out} .

3.3.2 Conversion from FRW region to Kottler region at \mathcal{E}_{out}

From the FRW coordinates and tangent vectors, we can first obtain the starting coordinate R_{out} from [Equation 3.16](#). The angular coordinates remain the same in both coordinate systems. We are free to set T_{out} since we are not concerned with the amount of time taken by the light, only the trajectory.

The initial tangent vectors to start off the Kottler integration can be obtained from the Jacobian derived in the previous section ([Equation 3.28](#)).

3.3.3 Kottler region

Inside the hole, the staticity and spherical symmetry of the Kottler metric imply the existence of two conserved quantities, $E = f(R)\dot{t}$ and $L = R^2\dot{\phi}$, which correspond to the energy and angular momentum of the photon respectively. For this metric, the null condition, after rearranging to make \dot{r} the subject, reads

$$\dot{R} = \pm \sqrt{E^2 - \frac{L^2}{R^2} \left(1 - \frac{2M}{R} - \frac{\Lambda R^2}{3} \right)}. \quad (3.38)$$

The \pm before square root on the right hand side is troublesome for numerical computations because it would be necessary to determine a point to switch signs for \dot{R} . To circumvent that, we can differentiate the equation obtained from the null condition to get a second order differential equation in R . Combining that with the conserved quanti-

ties, we have the following equations which fully determine the light trajectory in Kottler space:

$$\dot{T} = \frac{E}{f(R)} \quad (3.39a)$$

$$\ddot{R} = \frac{L^2(R - 3M)}{R^4} \quad (3.39b)$$

$$\dot{\phi} = \frac{L}{R^2}. \quad (3.39c)$$

Note that the second order differential equation in R now has no dependence on Λ , which is the aforementioned conventional argument pioneered by Islam (1983) for why Λ does not directly contribute to lensing.

At the same time that the light ray is moving through the Kottler hole, the hole boundary is also changing in the static coordinates, with an expansion rate governed by Equation 3.27. This equation needs to be integrated simultaneously with the null geodesic equations in order to find the point that the light ray intersects with the hole again. We call this event \mathcal{E}_{in} , again to emphasize the fact that this is where light enters the hole, although the propagation is done backwards.

3.3.4 Conversion from Kottler region to FRW region at \mathcal{E}_{in}

Conversion from the Kottler to FRW region is simply the reverse of the transformations stated in subsection 3.3.2. We set $t_{\text{in}} = 0$ since we are not concerned in the path time.

3.3.5 Back in the FRW region

Once we get back to the FRW region, we continue using FRW null geodesics (Equation 3.33) to propagate the light and stop once we reach the axis. The coordinate at which it crosses the axis is then recorded.

In flat space, numerical integration here is no longer necessary, since there will be no more bending in this region. Thus the intersection of the light path with the axis can be calculated from the tangent vector directly once light exits the Kottler hole.

3.3.6 Converting raw radial coordinates to angular diameter distances

Our aim is to compare our results against the cosmological lens equation (Equation 2.18), using strictly observable quantities. Gravitational lensing analysis is usually done with the observer at the origin, but in our model we have placed the lens at the origin instead out of convenience. Therefore we take extra care when converting from raw radial coordinates

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back into observable angular distances. By an extension of the redshift formula ([Equation 3.29](#)), we can get the angular diameter distance between two arbitrary points given their respective radial coordinates (Peacock, 1999)

$$D_{AB} = \frac{a_0 S_k(S_k^{-1}(r_B) - S_k^{-1}(r_A))}{1 + z_B} \quad (3.40)$$

Applying this formula and remembering that we place the observer at $(r, \phi) = (r_L, \pi)$, we can use our final numerical coordinate at $(r, \phi) = (r_{LS}, 0)$ to get D_S and D_{LS} :

$$D_S = \frac{S_k(S_k^{-1}(r_{LS}) + S_k^{-1}(r_L))}{1 + z_S} \quad (3.41a)$$

$$D_{LS} = \frac{r_{LS}}{1 + z_S} \quad (3.41b)$$

With this, we now have all the formulation needed to carry out the numerical integration and also to translate the numerical results into observable quantities.

4 Results and discussion

We were able to reproduce the results presents in Schücker (2009).

A graph of results when we keep the lensing mass M constant and vary Ω_Λ can be seen in [fig?]. On the y -axis, we have plotted the the deviation of α as a fraction of the standard FRW lensing case (given by Equation 2.16), in order to put them on the same scale. [State the mass and zlens parameters]

By varying the integration step size, we are able to estimate numerical errors on the integration. For a certain step size, we group the results obtained from the vicinity of step sizes together and find the variance in bending angles in that range. Fig [?] shows how the α obtained varies with step size. As is expected, the precision increases as we reduce the step size.

Our results seem to follow the trend of Kantowski's predictions most closely, with a gap that reduces towards higher Λ . A possible explanation of this gap can be found by examining the neglected higher order term in Kantowski's predicted bending angle [eq?]. When $\Lambda = 0$, the ratio of this term to the leading order $(4M/r_0^2) \cos^3 \tilde{\phi}_1$ term is of the same order of magnitude as the fractional deviation of our numerical results from Kantowski's predictions. As is expected, this ratio decreases as Λ when mass is kept constant, as can be seen from [fig?].

From the graph, we can see that even for the $\Lambda = 0$ case there is an offset between the numerical Swiss-Cheese result and the FRW prediction. Qualitatively, this is due to the fact that conventional lensing analysis assumes a mass superimposed on the homogeneous background, and this mass has infinite range. However, in the Swiss-Cheese model, the influence of the mass is limited, and bending stops once it leaves the Kottler hole. This is the main effect that Kantowski quantified in his paper Kantowski et al. (2010). This then begs the question of which model is a more accurate description of our physical universe, but this is not our primary concern. We are primarily concerned about whether Λ has an influence on this effect.

At this point I wish to note that the applicability of this work of course hinges on the validity of the model we use, but this can be said of most scientific analysis. The Swiss-Cheese model has been used in other areas in cosmology, not without success, as described in the introductory section of the previous chapter.

There are a few different factors at play here. In discussing the results of this numerical integration, let us take a step back to look at the specific parts of ray-tracing that have a Λ -dependence. These are:

1. The size of the hole. This is governed by Equation 3.26. In flat space, increasing Ω_Λ implies decreasing Ω_m , which corresponds to the matter density of the universe. If we are to keep the mass constant, the hole size would have to increase as we increase Ω_Λ .
2. The rate of expansion of the hole in static Kottler coordinates, given by Equation 3.27.
3. The Jacobian at the boundary, given by Equation 3.28.

The first effect does not seem to be a truly direct Λ effect, merely a side effect that in a flat universe, changing Ω_Λ must imply a change in matter density, but ultimately, it is the size of the hole that is the true determining factor.

It is possible to keep the hole size constant while varying Λ in flat space, but the mass would have to vary as well. This is shown in [ref??].

If we extend the integration for a universe with arbitrary curvature, then it is possible to fix both mass and r_h , but it would involve changing the curvature to compensate for the change in Λ . However, we can see in the previous chapter, the curvature k affects the rate of expansion of the Kottler hole and also enters into the Jacobian at the boundary, so intuitively one would expect k to have an effect on the bending angle in a Swiss-Cheese model as well, though this effect has not been explored in literature (Kantowski's calculation Kantowski et al. (2010) only applies for flat space).

Unfortunately, there is no way to keep both curvature and matter density constant while varying Λ , so we cannot truly isolate the effect of Λ . Ultimately, to compensate for a change in Λ , one has to either vary either matter density or curvature, or both. In a Swiss-Cheese model, both factors are expected to affect the lensing observables to some extent. Given the limited literature on the effect of curvature on lensing in the Swiss-Cheese model, and the much larger deviations resulting from curvature based on our numerical simulations [??], I would postulate that the variation of matter density has a smaller and better studied effect on lensing, and it is this we should vary such that the influence of Λ becomes most apparent. Moreover, current cosmological observations (Planck Collaboration et al., 2016; Gary Hinshaw et al., 2013; Bernardis et al., 2000) suggest quite convincingly that the Universe is spatially flat, and therefore looking at the case of $\Omega_k = 0$ will shed more light on our question.

Hence, Figure [??] is the graph we should focus on.

5 Conclusion

In this work, we examined gravitational lensing in a Swiss-Cheese model that has an embedded Kottler hole. We numerically integrated null geodesics in such a universe piecewise and calculated lensing observables from the numerical results. These results were compared with predictions by Rindler & Ishak and Kantowski. Our results appear to agree most with Kantowski's predictions, with a small varying gap that can be explained by the presence of higher order terms not considered in Kantowski's results.

However, it is difficult to isolate the effect of Λ , since a change in Λ *must* involve a change in either Ω_m or Ω_k , and it is not clear at the outset which quantity should be kept constant as we turn up Λ . We looked into case where spatial curvature compensates for the change in Λ , but from our results it appears that Ω_k has a much larger effect than Λ on lensing observables in the Swiss-Cheese model, so we focused on looking at results from a spatially flat Universe.

We kept the hole size constant while varying Λ to eliminate any effect coming from the size of the hole that might be considered a Λ effect. Even so, Λ appears to have an effect on the lensing observables, although this effect is small—smaller than the size of the second order term in M/R_u , which is routinely neglected.

5.1 Future work

Our work is focused solely on the collinear case, in which the source, lens and observer are aligned, but it is straightforward to generalize beyond this restriction.

The case with curvature somewhat glossed over, since curvature appeared to have a much larger influence than Λ , and most work done on embedded lens in a Swiss-Cheese has been in a spatially flat universe. While it is not the focus of this report, it might be worthwhile to look into in the corrections needed (if any) in a Swiss-Cheese model. With better knowledge on the effect of curvature on lensing in such a model, it would be easier to isolate the Λ effect in gravitational lensing and facilitate more effective comparison between the curved results and the spatially flat case.

Lastly, it might be useful to extend this systematic analysis beyond the Swiss-Cheese model, possibly with the adoption of a different metric; after all, in our physical universe galaxies are not exactly completely spherical structures walled-off at a radius that varies strictly with its mass. However, I believe this work to be a good starting point in quantifying the effect of the cosmological constant on lensing observables.

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