

Please show your work to earn credit.

Homework should be submitted to Gradescope by 11:59 pm on **Thursday, Oct 9**.
You can access the L^AT_EX source here:

(Unless otherwise stated, all homology groups and boundary matrices are taken with coefficients in \mathbb{Z}_2 .)

1. (6 pt.) Consider the persistence diagrams

$$D_1 = \{(0, 3), (2, 5)\}, \quad D_2 = \{(0, 4), (0, 4)\}.$$

- (a) List all partial matchings between D_1 and D_2 .
- (b) Compute the cost of each partial matching and then determine the bottleneck distance $d_B(D_1, D_2)$.

2. (4 pt.) Show that two persistence modules are 0-interleaved if and only if they are isomorphic.

3. (6 pt.) Let M be the persistence module supported at a single point $a \in \mathbb{R}$, i.e.

$$M_t = \begin{cases} \mathbb{F} & t = a, \\ 0 & \text{otherwise.} \end{cases}$$

Let 0 denote the zero persistence module.

- (a) Prove that M and 0 are not 0-interleaved.
- (b) Show that for every $\varepsilon > 0$, M and 0 are ε -interleaved.
- (c) Conclude that $d_I(M, 0) = 0$ even though $M \not\cong 0$.

(This exercise illustrates that having interleaving distance zero is strictly weaker than being 0-interleaved.)

4. (4 pt.) Let X be a simplicial complex with nontrivial first homology, i.e. $H_1(X) \neq 0$. Let Y be the simplicial complex consisting of a single vertex. Show that for any simplicial maps

$$f : X \rightarrow Y \quad \text{and} \quad g : Y \rightarrow X,$$

the composition $g \circ f : X \rightarrow X$ is *not* contiguous to the identity map id_X .

5. (10 pt.) Recall from class the two ways of defining ε -interleavings between simplicial filtrations. Let X_\bullet and Y_\bullet be simplicial filtrations. An ε -interleaving consists of simplicial maps

$$f_t : X_t \rightarrow Y_{t+\varepsilon}, \quad g_t : Y_t \rightarrow X_{t+\varepsilon}, \quad t \geq 0,$$

such that for all $t \leq t'$ we have the following commutative diagrams

$$\begin{array}{ccc} X_t & \xrightarrow{v_{t,t'}} & X_{t'} \\ f_t \searrow & & \swarrow f_{t'} \\ Y_{t+\varepsilon} & \xrightarrow{u_{t+\varepsilon,t'+\varepsilon}} & Y_{t'+\varepsilon} \end{array} \quad \begin{array}{ccc} X_{t+\varepsilon} & \xrightarrow{v_{t+\varepsilon,t'+\varepsilon}} & X_{t'+\varepsilon} \\ g_t \nearrow & & \swarrow g_{t'} \\ Y_t & \xrightarrow{u_{t,t'}} & Y_{t'} \end{array}$$

and

$$\begin{array}{ccc} X_t & \xrightarrow{v_{t,t+2\varepsilon}} & X_{t+2\varepsilon} \\ f_t \searrow & & \swarrow g_{t+\varepsilon} \\ Y_{t+\varepsilon} & & \end{array} \quad \begin{array}{ccc} X_{t+\varepsilon} & & \\ g_t \nearrow & & \swarrow f_{t+\varepsilon} \\ Y_t & \xrightarrow{u_{t,t+2\varepsilon}} & Y_{t+2\varepsilon} \end{array}$$

Depending on how these diagrams commute, we distinguish two notions:

- If they commute *strictly* (on the nose), then X_\bullet and Y_\bullet are **strictly ε -interleaved**.

- If they commute *up to contiguity*, i.e. in each diagram the two composites are contiguous simplicial maps, then X_\bullet and Y_\bullet are **contiguously ε -interleaved**.

Consider two simplicial filtrations:

$$X_r = \begin{cases} \{a, b, c, ab, bc, ac\} & \text{if } r \in [0, 2) \\ \{a, b, c, ab, bc, ac, abc\} & \text{if } r \in [2, \infty) \end{cases} \quad \text{and } Y_r = \{d\}, \forall r \geq 0.$$

- Prove that X_\bullet and Y_\bullet are not strictly ϵ -interleaved for any finite $\epsilon > 0$.
 - Prove that X_\bullet and Y_\bullet are contiguously ε -interleaved for any $\varepsilon \geq 1$.
 - (Bonus: 2 pt.) Prove that X_\bullet and Y_\bullet are not contiguously ε -interleaved for any $\varepsilon < 1$. (*Hint: use Problem 4.*)
6. (10 pt.) Let $X = S^1 \subset \mathbb{R}^2$ be the unit circle. Define two functions $f, g : X \rightarrow \mathbb{R}$ by

$$f(x, y) = y, \quad g(x, y) = \frac{1}{2}y.$$

In other words, f is the height function and g is half the height. For each function, consider the associated sublevel set filtration: for any real number $r \in \mathbb{R}$,

$$X_f^r = \{(x, y) \in S^1 : f(x, y) \leq r\}, \quad X_g^r = \{(x, y) \in S^1 : g(x, y) \leq r\}.$$

- Sketch how the filtrations X_f^\bullet and X_g^\bullet evolve as r increases. In particular, indicate the *critical values* of r where the homotopy type of the sublevel set changes.
- Compute the function distance $\|f - g\|_\infty$.
- Compute the bottleneck distance

$$d_B(\mathrm{dgm}_p(X_f^\bullet), \mathrm{dgm}_p(X_g^\bullet))$$

between the persistence diagrams of the two filtrations for $p = 0, 1$.

- Compare your results from parts (b) and (c), and use them to verify that the stability property of persistent homology

$$d_I(H_p(X_f^\bullet), H_p(X_g^\bullet)) \leq \|f - g\|_\infty$$

holds in this example.