

# Chapter 8: Channel coding

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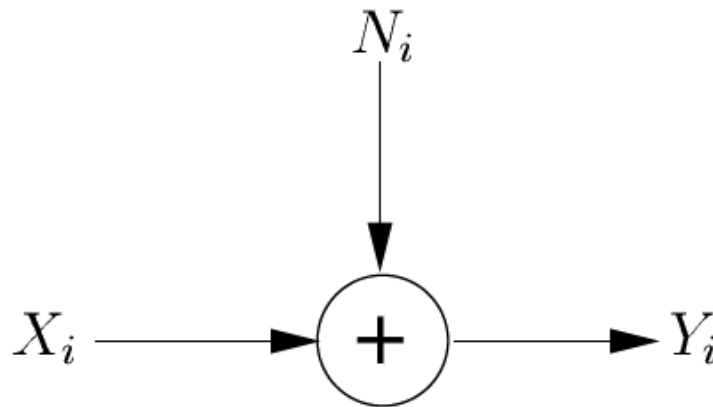
- 8.1. Introduction
- 8.2. Shannon second theorem
- 8.3. Decoding rules
- 8.4. Majority logic decoding
- 8.5. Hamming distance
- 8.6. Bound of length of the codeword
- 8.7. Detection/correction code construction
- 8.8. Parity code
- 8.9. Hamming code
- 8.10. Cyclic code

# Remind

- Previous lesson:
  - What is the purpose of source coding?
    - Find methods to represent message with the minimum number of code symbols
  - Source coding is normally used for noiseless channel (information rate  $<$  channel capacity)
- If (information rate  $>$  channel capacity) → a part of information cannot be conveyed through the channel
  - need to have another coding for noisy channel
    - Channel coding

# 8.1. Introduction

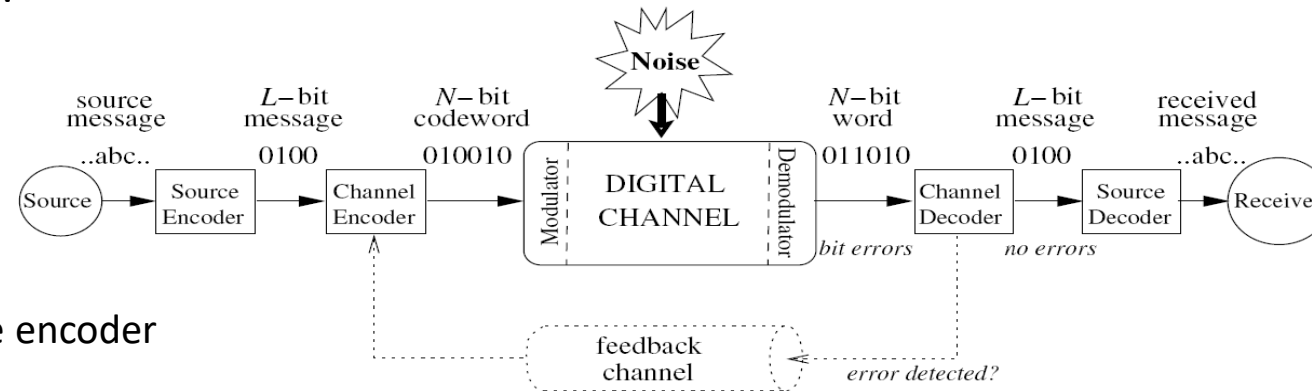
- Channel converts input signal into output signal with the affect of noise
  - Output = Input + Noise
  - Noise is considered as Gaussian random variable



$$Y_i = X_i + N_i$$

# 8.1. Introduction (Cont.)

- Noisy communication system:



- Channel encoder:
  - Its input is output of source encoder
    - Two ways to do:
      - Put directly the source encoder outputs on channel encoder
        - Called Continuous code
      - Divide the sequence of the source encoder outputs into blocks called L-symbol message of Channel encoder (L is length of block or number of code symbols of block)
        - In case of binary code → L-bit message
        - Called Block code
- Output of channel encoder:
  - In case of continuous code: continuous code symbols come out
  - In case of block code: N-symbol codeword of channel encoder (codeword) ( $N > L$ )
    - In case of binary code → N-bit codeword

## 8.1. Introduction (cont.)

- Channel coder:
  - Traditionally, block code is used to present the theory of channel coding
  - Tasks of channel coding is to ensure reliable communication in noisy channel (prevent errors occur in the channel)
    - Error detection code
    - Error correction code
  - When information rate is greater than channel capacity, to prevent the information loss → add “dummy symbol” to the output of source coder

# 8.1. Introduction (cont.)

- Set of L-symbols message is denoted by  $M = \{m_1, m_2, \dots, m_{r^L}\}$  while
  - $r$ : base of code
  - $r^L$  is number of combinations of L-symbols message
  - Each  $m_i$  is L-symbols message to be input of channel encoder
    - $m_i$  is also called as combination for carrying information
    - In source coding chapter, each symbol of source code has maximum amount of information  $\rightarrow$  the symbols of  $m_i$  have identical probability  $\rightarrow$  all  $m_i$  have identical probability
  - In this chapter, L-symbols message is shortly called as message
- Channel coding converts L-symbols message into N-symbols codeword (denoted code (N,L))
  - Number of codeword = number of message =  $r^L$
  - All codewords have similar probability
- Added symbols are called “check symbol” or “redundancy symbol”
  - Number of “check symbol” denote by  $R_N = N-L$
- Code rate (R): the ratio between the number of code symbols that need to be transmitted and the number of code symbols that must be transmitted through encoding
  - In channel coding,  $R = \frac{L}{N}$
  - Are also ratio between number of symbols of input message and the number of symbols of codeword

# 8.1. Introduction (cont.)

- Number of available combination of channel coding =  $r^N$ 
  - Channel coding always has “don’t care combination”
    - Number of “don’t care combinations” =  $r^N - r^L$  (number of available combination minus number of codeword)
    - Number of “don’t care combinations” > 0
    - Set of all “don’t care combinations” is denoted by  $B_N$
- Set of N-symbols codeword is denoted by  $A = \{a_1, a_2, \dots, a_{r^L}\}$ 
  - Each  $a_i$  is a N-symbols codeword at the output of channel encoder
    - Denoted by  $a_i = \{a_{i1} a_{i2} \dots a_{iN}\}$ , each  $a_{ij}$  is a code symbol
    - Each  $a_i$  is a codeword that encodes a  $m_i$
    - Each codeword  $a_i$  becomes input of the channel
  - In this chapter, N-symbols codeword is shortly called as codeword
- A combination received at the channel output is denoted by  $b_j$ 
  - $b_j = \{b_{j1} b_{j2} \dots b_{jN}\}$ , each  $b_{ij}$  is a code symbol
  - $b_j = a_i + e$
  - $e = \{e_1 e_2 \dots e_N\}$ : error combination that represents noise
    - $e_i=0$ , no error at  $i^{th}$  position
    - $e_i=1/\dots/r-1$ , error at  $i^{th}$  position
- When  $b_j$  is a codeword  $a_i$ , set of all  $b_j$  is denoted by  $B_M$
- When  $b_j$  is not a codeword, set of all  $b_j$  is denoted by  $B_M^C$



## 8.2. Shannon second theorem

- Let a discrete channel have the capacity  $C$  and a discrete source the information rate  $R$ .
  - If  $R \leq C$  there exists a coding system such that the output of the source can be transmitted over the channel with an arbitrarily small frequency of errors
- Role of Shannon second theorem in channel coding?
  - Permission to use coding for reliable communication in noisy channel
- How?

## 8.3. Decoding rules

- Rule to determine what is the transmitted codeword when a received combination (word) appears in output of the channel
- Let  $a_i$  be  $i^{th}$  N-symbol codeword that is transmitted through the channel
- Let  $b$  be the corresponding N-symbol word produced at the output of the channel
  - N-symbol word is a N-symbol combination that maybe a codeword or not
- The channel decoder has to apply a decoding rule on the received word  $b$  to decide which codeword  $a_i$  was transmitted
- The decision rule is denoted by  $D(.)$ 
  - Correct decision  $a_i = D(b)$
- Let  $P_N(b | a_i)$  be the probability of receiving  $b$  given  $a_i$  was transmitted
- For a discrete memoryless channel this probability can be expressed in terms of the channel probabilities as follows:

$$P_N(\mathbf{b} | \mathbf{a}_i) = \prod_{t=1}^N P(b_t | a_{it})$$

## 8.3. Decoding rules (Cont.)

- According to Bayes rule:

$$P_N(\mathbf{a}_i|\mathbf{b}) = \frac{P_N(\mathbf{b}|\mathbf{a}_i)P_N(\mathbf{a}_i)}{P_N(\mathbf{b})}$$

- If the decoder decodes  $\mathbf{b}$  into the codeword  $a_i$  (correct decoding) then
  - Correct probability is  $P_N(a_i|b)$
  - Wrong probability is  $1 - P_N(a_i|b)$
- To minimize the error, the codeword  $a_i$  should be chosen so as to maximize  $P_N(a_i|b)$ .

## 8.3. Decoding rules (Cont.)

- Minimum-error decoding rule:

- To minimum wrong probability  $1 - P_N(a_i | b) \rightarrow \text{maximize } P_N(a_i | b)$
- Apply the Bayes rule:

$$P_N(a_i | b) = \frac{P_N(b | a_i) P_N(a_i)}{P_N(b)} \qquad P_N(a_j | b) = \frac{P_N(b | a_j) P_N(a_j)}{P_N(b)}$$

maximize  $P_N(a_i | b) \rightarrow \text{maximize } P_N(b | a_i) P_N(a_i)$

**choose  $a_i$  if  $P_N(b | a_i) P_N(a_i) \geq P_N(b | a_j) P_N(a_j)$  for all  $a_j$**

- Maximum-likelihood decoding rule:

**choose  $a_i$  if  $P_N(b | a_i) \geq P_N(b | a_j)$  for all  $a_j$**

if  $P_N(a_i)$  is identical for all  $i$

## 8.3. Decoding rules (Cont.)

- For example:
  - BSC channel has channel matrix  $P$ ,  $L=2$ ,  $N=3$ . The codewords and its probabilities are shown in the above table. Output of the channel  $b=111$

$$P = \begin{bmatrix} 0.6 & 0.4 \\ 0.4 & 0.6 \end{bmatrix}$$

Code word	$P_N(\mathbf{a}_i)$
$\mathbf{a}_1 = (000)$	0.4
$\mathbf{a}_2 = (011)$	0.2
$\mathbf{a}_3 = (101)$	0.1
$\mathbf{a}_4 = (110)$	0.3

- Minimum-error decoding rule?

## 8.4. Majority logic decoding

- Repetition code is the code that each message symbol is repeated in the codeword
  - Denoted by  $(n,m)$  where  $n$  is repetition time of one symbol,  $m$  is number of symbols of message
- Majority logic decoding is a method to decode repetition codes
  - Assumption that the largest number of occurrences of a symbol was the transmitted symbol
    - The most appearing symbol in the received combination is the transmitted symbol
- if a  $(n,1)$  binary repetition code is used, then each input bit is mapped to the codeword as a string of  $n$ -replicated input bits. Generally  $n=2t+1$ , an odd number. ( $t$  is arbitrary integer)

## 8.4. Majority logic decoding

- The repetition codes can detect up to  $t = (n-1)/2$  transmission errors.
- Decoding algorithm
  - The codeword is  $(n,1)$ , where  $n=2t+1$ , an odd number.
  - Calculate the  $d_H$  Hamming weight of the received repetition code.
    - $d_H$  Hamming weight is the number of positions has non-zero values in the codeword
  - if  $d_H \leq t$ , decoded codeword to be all 0's
  - If  $d_H > t$ , decoded codeword to be all 1's
- Example
  - In a  $(n,1)$  code, if received word  $R=1\ 0\ 1\ 1\ 0$ , then it would be decoded as  $n=5, t=2, d_H=3$ , so codeword  $=1\ 1\ 1\ 1\ 1$
  - Hence the transmitted message bit was 1.

## 8.5. Hamming distance

- Consider the two N-symbol words  $a = a_1 a_2 \dots a_N$  and  $b = b_1 b_2 \dots b_N$
- The Hamming distance between a and b,  $d(a,b)$ , is defined as the number of symbol positions in which a and b differ.
- The Hamming distance is a metric on the space of all symbol words of length N
- The Hamming distance obeys the following conditions:
  1.  $d(a,b) \geq 0$  with equality when  $a = b$
  2.  $d(a,b) = d(b,a)$
  3.  $d(a,b) + d(b,c) \geq d(a,c)$  (triangle inequality)



## 8.5. Hamming distance (cont.)

- Example: Let  $N = 8$

$$\mathbf{a} = 11010001$$

$$\mathbf{b} = 00010010$$

$$\mathbf{c} = 01010011$$

- $d(\mathbf{a}, \mathbf{b}) = 4, d(\mathbf{b}, \mathbf{c}) = 2, d(\mathbf{a}, \mathbf{c}) = 2$
- $d(\mathbf{a}, \mathbf{b}) + d(\mathbf{b}, \mathbf{c}) = 4 + 2 \geq d(\mathbf{a}, \mathbf{c}) = 2$

## 8.5. Hamming distance (cont.)

- Hamming distance decoding rule
  - Let:
    - $b$  is a received  $N$ -symbol word upon transmission one  $N$ -symbol  $a_i$
    - $d(a,b) = t$ , channel has  $t$ -symbol error
    - $a$  is  $N$ -symbol word that decoder decide when decoder receives  $b$
  - **If  $b = a_i$  then decide that  $a_i$  was sent**
  - **If  $b \neq a_i$  then, for any  $a_j$ , decide  $a$  was sent if  $d(a,b) < d(a_j,b)$  for any  $j$**   
(according to maximum-likelihood decoding rule)
    - If there is only one candidate  $a$  then
      - $a$  was sent where  $t = d(a,b)$
      - $t$ -symbol error is corrected
    - If there is more than one candidate  $a$ , then
      - $t$ -symbol error can only be detected ( $d(a,b) > 0$ : error exist)

## 8.5. Hamming distance (cont.)

Message ( $L = 2$ )	Code word ( $N = 3$ )
00	000
01	001
10	011
11	111

- Example:
  - If received N-symbol word  $b = 000 \rightarrow$  correct decision  $a = 000$
  - If  $b \neq 000$  when  $t=1$ :

$b = b_1b_2b_3$	Closest code word	Action
010	000 ( $b_2$ in error), 011 ( $b_3$ in error)	1-bit error detected
100	000 ( $b_1$ in error)	1-bit error corrected
101	001 ( $b_1$ in error), 111 ( $b_2$ in error)	1-bit error detected
110	111 ( $b_3$ in error)	1-bit error corrected

## 8.5. Hamming distance (cont.)

- Minimum distance of a code: the minimum Hamming distance between any two codewords of this code
  - $d(K_N) = \min (d(a,b))$  while  $a$  and  $b$  are  $N$ -symbol codewords of  $N$ -symbol code.  $K_N$  is a code with length of  $N$  symbols
  - Example:  $K_N$  :

11010001

00010010  $\rightarrow d(K_N) = 2$

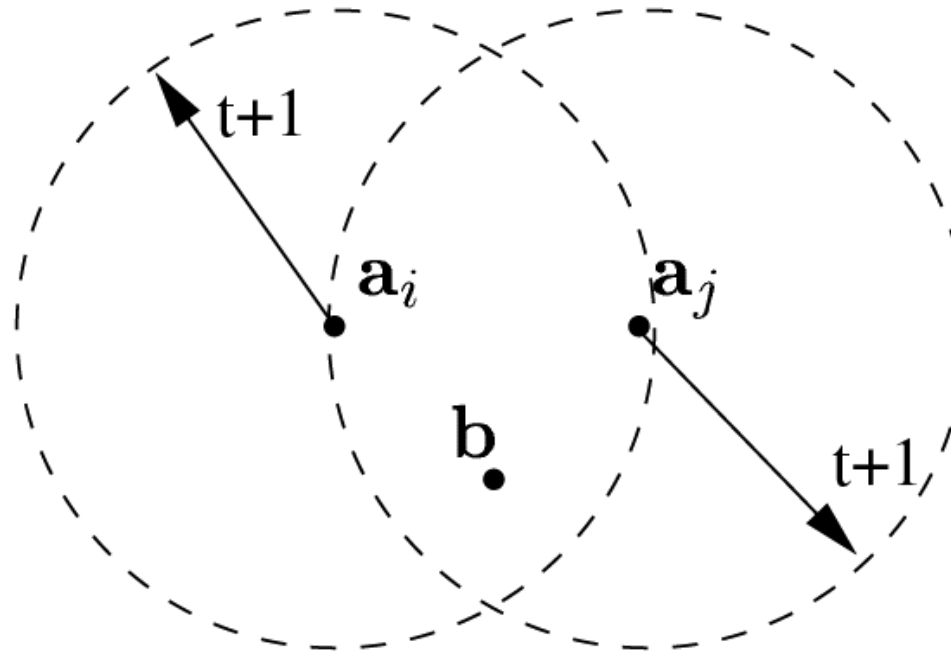
01010011

## 8.5. Hamming distance (cont.)

- Error detection and correction using Hamming distance:
  - t-symbol detection:
    - Block code,  $K_N$ , detects up to  $t$  errors if and only if its minimum distance is greater than  $t$ :
$$d(K_N) > t \quad (8.1)$$
      - When the hamming distance between the two codewords is at least equal to  $t + 1$ , the wrong codeword turns into a non-codeword, so the error is detected.
      - (8.1): bound on the minimum distance of code of the detection code

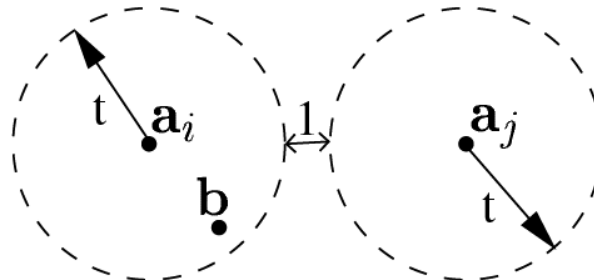
## 8.5. Hamming distance (cont.)

- Error detection and correction using Hamming distance:
  - Diagram of  $t$ -symbol error detection for  $d(K_N) = t+1$ :
    - $a_i, a_j$  are two  $N$ -symbol codewords. Each circle consists all words that have Hamming distance with codeword  $\leq (t+1)$ . Channel has only  $t$ -error, the received combination ( $b$ ) must be in this circle



## 8.5. Hamming distance (cont.)

- Error detection and correction using Hamming distance:
  - t-symbol correction:
    - Block code,  $K_N$ , correct up to  $t$  errors if and only if its minimum distance is greater than  $t$ :
$$d(K_N) > 2t \quad (8.2)$$
      - When the hamming distance between the two codewords is at least equal to  $2t + 1$ , The combinations received when transmitting a code word are separated, so the error is corrected.
      - (8.2): bound on the minimum distance of code of the correction code
  - Diagram of t-symbol error correction for  $d(K_N) = 2t+1$ :
    - $a_i, a_j$  are two N-symbol codewords. Each circle consists all words that have Hamming distance with codeword  $\leq t$ .



## 8.5. Hamming distance (cont.)

- Example

Message	Code word
00	000
01	011
10	101
11	110

$$d(K_N) = 2$$

→ detect only one error ( $t=1$ ) because  $d(K_N) > t$ , not correct because  $d(K_N) \leq 2t$



## 8.5. Hamming distance (cont.)

- Example

<b>Message</b>	<b>Code word</b>
0	000
1	111

- $d(K_N) = 3 \rightarrow$  detect two errors, correct one error