

CARP Project

Kai Lin 11610205

*School of Computer Science and Engineering
Southern University of Science and Technology
Email: 11610205@mail.sustc.edu.cn*

1. Preliminaries

For CARP problem, known as Capacitated Arc Route Problem, is an NP-hard problems in optimization. For carp, it involves with the limit of capacity of each vehicle, which leads it to be more hard than traditional routing programming problem. The problem can be described as following: consider an undirected connected graph $G = (V, E)$, with a vertex set V and edge set E and a set of required edges $R \subseteq E$. A fleet of identical vehicles, each of capacity Q , is based at a designated depot vertex. Each edge of the graph (v_i, v_j) incurs a cost c_{ij} whenever a vehicle travels over it or services a required edge. When a vehicle travels over an edge without servicing it, this is referred to as deadheading. Each required edge of the graph (v_i, v_j) has a demand q_{ij} associated with it. A vehicle route must start and finish at the designated depot vertex and the total demand serviced on the route must not exceed the capacity of the vehicle. The aim is to take the least cost to do all demand in these rules.

1.1. Software

This project is implemented in Python using IDE PyCharm. The libraries being used includes Numpy, multiprocessing, math, getopt and Random.

1.2. Algorithm

Path scanning [1] is used in this code to generate initial population. For getting the min cost for each point to point I use the Dijkstra to calculate one point to other point distance and do for all points. After getting several initial solutions take each solution to take Simulate Anneal for a better solution until time over. So the total algorithm is take Dijkstra first. Then get the min cost for Path scanning. Finally, take the initial solutions to do Simulate Anneal for a better solution.

2. Methodology

For the NP hard problem is hard to find best or a good enough solution first. So the Mainstream method to detail the problem is find a good solution first and do other method like Tabu Search and Simulate Anneal to get a better and better solution. For CARP's solutions are like many

mountains. That people in the mountains is hard to know weather he is in the highest mountain. The solving process is take several point in different mountains which like high enough mountains the most mountains. Then try the near mountains to find a higher and higher mountain[2].

2.1. Representation

Firstly, there are 7 global variables defined ahead of the file

- **vertices**: the number of vertices.
- **depot**: the depot vertex number.
- **required_num**: the number of required edges (tasks).
- **no_required_num**: the number of non-required edges.
- **sum_num**: required_num add no_required_num.
- **capacity**: the vehicle capacity.
- **need_work**: the total cost of all tasks.

Then the class Graph

- **Graph**: the class for main data need use.
 - **node**: a set for all node from 1 to vertices
 - **edge**: a list to remember all edge for the graph.
 - **distances**: a dict to remember all edge distance for the graph.
 - **graph_cost**: use a two dimensional array that [from][to] is cost.
 - **graph_work**: use a two dimensional array that [from][to] is demand.

Other use data in the algorithm

- **file_name**: the file name get from command line.
- **time_limit**: the time limit get from command line.
- **random_seed**: the random seed get from command line that set in random make the same random seed get same random result.
- **min_cost**: a two dimensional array remember [from][to] min distance.
- **path**: a list in list first is the car No and the path[i] is the i car go path (do work).

2.2. Architecture

Here are the important functions in Python file CARP_solver with pseudocode format.

- **dijkstra**: get one nodes to each other node min distance(cost) return a dict(to , distance).
- **all_dij**: get all nodes min distance(cost) to other return a two dimensional array.
- **go_one_car**: take one car to do work until all work is done or car use all capacity.
 - **rule**: when get more then one choice how to select .
 1. maximize the ratio of the edge cost and demand .
 2. minimize the ratio of the edge cost and demand .
 3. maximize the return cost .
 4. minimize the return cost .
- **go_mountain_SA**: take one solution to other possible and choice if use this new solution .
- **swap_one**: take one task to another point in task list.
- **swap_two**: take two together task to another point in task list.
- **swap_self**: take one task (from , to) to (to , from) .

2.3. Detail of Algorithm

Here show the psudocode main use that dijkstra , all_dij , go_one_car and go_mountain_SA .

Algorithm 1 dijkstra:get one nodes to each other node min distance(cost) return a dict(to , distance).

Input: *Graph* graph_get,*int* begain_point

Output: *dict* visited

```

1: function DIJKSTRA(graph_get, point)
2:   visited  $\leftarrow$  {begin_point, 0}
3:   while nodes do
4:     for node in nodes do
5:       if visited[node]  $\neq$  visited[min_node] then
6:         min_node = node
7:       end if
8:     end for
9:     nodes.remove (min_node)
10:    for  $i = 1 \rightarrow \text{vertices} + 1$  do
11:      if graph_get[min_node][i]  $\neq$  0 then
12:        refresh or add visited[i] to the
13:        min distance
14:      end if
15:    end for
16:  end while
17:  return visited
18: end function

```

Algorithm 2 all_dij: get all nodes min distance(cost) to other return a two dimensional array.

Input: *Graph* graph_get

Output: *array* min_cost

```

1: function ALL_DIJ(graph_get) min_cost  $\leftarrow$  [ [] ]
2:   for  $i = 1 \rightarrow \text{vertices} + 1$  do
3:     visited  $\leftarrow$  dijkstra(graph_get, i)
4:     for  $j = 1 \rightarrow \text{vertices} + 1$  do
5:       min_cost[i][j] = visited.get(j)
6:     end for
7:   end for
8:   return min_cost
9: end function

```

Algorithm 3 go_one_car: use one car to do work until no work can do.

Input: *Graph* graph_get,*numpy* min_cost,*int* need_work

Output: *int* cost,*list* path,*int* need_work

```

1: function GO_ONE_CAR(graph_get, min_cost, need_work)
2:   cap  $\leftarrow$  capacity
3:   cost  $\leftarrow$  0
4:   first  $\leftarrow$  depot
5:   choice_list  $\leftarrow$  [ ]
6:   while cap > 0 and need_work > 0 do
7:     for each work in graph_get.work_dict do
8:       if work <= cap then
9:         choice_list.append(work.from, work.to)
10:      end if
11:    end for
12:    if len(choice_list) == 0 then
13:      break
14:    end if
15:    if len(choice_list) == 1 then
16:      work = choice_list[0]
17:      cost += work_cost
18:      cost += distance(first, work.begin)
19:      need_work -= work_cost
20:      path.append(work.begin, work_end)
21:      first = work_end
22:    end if
23:    if len(choice_list)  $\neq$  1 then
24:      take work by random rule in 1-4
25:      cost += work_cost
26:      cost += distance(first, work.begin)
27:      need_work -= work_cost
28:      path.append(work.begin, work_end)
29:      first = work_end
30:    end if
31:  end while
32:  return cost, path, need_work
33: end function

```

Algorithm 4 go_mountain_SA :take one solution to other possible and choice if use this new solution .

Input: *Graph graph_get,list path, numpy min_cost,int cost*

Output: *intbest_cost,listbest_path*

```

1: function GO_MOUNTAIN_SA(graph_get,path,
   min_cost,cost)
2:  $T \leftarrow cost * cost$ 
3: while  $T > 0.15 * cost_{timeover}$  do
4:   for  $i = 0 \rightarrow 4$  do
5:     for all path do
6:       try swap_one
7:       if  $new\_cost < cost$  then
8:          $end\_path = new\_path$ 
9:          $end\_cost = new\_cost$ 
10:         $cost = new\_cost$ 
11:         $path = new\_cost$ 
12:      end if
13:      if  $new\_cost > cost$  then
14:         $flag = random.random()$ 
15:         $number = -(new\_cost - end\_cost) / T$ 
16:         $fire = math.exp(number)$ 
17:        if  $flag < fire$  then
18:           $cost = new\_cost$ 
19:           $path = new\_cost$ 
20:        end if
21:      end if
22:      try swap_two and swap_self like
23:      the swap_one
24:    end for
25:  end for
26: end while
27: return  $end\_cost, end\_path$ 
28: end function

```

for the total algorithm is do the flow like 1.read data and save . 2 .use path scanning(go_one_car)to get processing number initial solution . 3. use SA to get better and better solution until T is so low or time over.

3. Empirical Verification

Empirical verification is compared with keep the same level of the result of path scanning try initial solution . The reason might be the trap of local minimal but path scanning not conduct efficient mutations to conquer this problem.After use SA always can get a better solution then the initial but also will take in a new trap that is like I say in the mountains we use SA may can go out one trap but may we find a more higher mountain and the near mountains are much lower then it or the temperature is so low that do not tolerate the higher cost and in the trap can not go out.

3.1. Design

For this project we can simple take this problem to two parts 1. get the initial solution. 2.optimize the initial. For

part.1 I use path scanning we get one more choice I take a random rule in the four I give out to select. For part.2 I use SA to optimize that take initial T in $cost * cost$ and do small in each 4 loop and take T to $T * 0.9$ do the SA until time over or T is low enough finally take out the best soltion.

3.2. Data and data structure

Data used in this project is the sakai given that egl-e1-A.dat , egl-s1-A.dat , gdb1.dat , gdb10.dat , val1A.dat , val4A.dat and val7A.dat . Data structures has been given in the CARP_format.txt.For other data set use my algorithm test is all right .

3.3. Performance

About the path scanning time complex is about $O(n^3)$.and the SA time complex is about $O(n^3)$. The alogrithm will use 8 processe one is try path scanning and 7 is use different initial solutins to do the SA for better solution .Do these 8 processes until time over and print out the end best solution.

3.4. Result

the result of egl-e1-A.dat
s 0,(1,2),(2,3),(2,4),(4,69),(69,59),(59,11),(11,12),(76,20),(20,19),
(50,52),(51,21),0,0,(4,5),(9,10),(12,16),(16,13),(13,14),(15,17),(15,18),
(18,19),(19,21),(52,54),(47,46),0,0,(69,58),(58,60),(60,62),(62,66),(66,68)
(62,63),(63,65),(60,61),(58,59),(59,44),(44,45),0,0,(58,57),(57,42),(41,35)
(35,32),(32,31),(31,23),(51,49),0,0,(44,46),(44,43),(56,55),(32,34),(32,33)
(23,75),(75,22),(22,21),(49,50),(49,47),(47,48),0
q 3874

the result of egl-s1-A.dat
s 0,(1,116),(116,117),(117,2),(117,119),(118,114),(114,113),(112,110),
(110,107),(107,106),(105,104),(110,111),0,0,(113,112),(112,107),
(107,108),(108,109),(106,105),(104,102),(95,96),(96,97),(97,98),0,0,
(87,86),(86,85),(85,84),(84,82),(82,80),(80,79),(79,78),(78,77),(77,46),
(46,43),(43,37),(37,36),(36,38),(38,39),(39,40),0,0,(124,126),(126,130),
(68,67),(67,66),(66,62),(62,63),(63,64),(64,65),(56,55),(55,140),(140,49),
(49,48),0,0,(67,69),(69,71),(71,72),(72,73),(73,44),(44,45),(34,139),
(139,33),(33,11),(11,12),(12,13),0,0,(43,44),(45,34),(11,27),(27,28),
(28,30),(30,32),(28,29),(27,25),(25,24),(24,20),(20,22),0,0,(55,54),(11,8),
(8,6),(6,5),(8,9),(13,14),0
q 5691

the result of gdb1.dat
s 0,(1,4),(4,2),(2,3),(3,5),(5,6),0,0,(1,2),(2,9),(9,11),(11,5),(5,12),0,0,
(1,7),(7,8),(8,10),(10,11),(11,8),0,0,(1,10),(10,9),(4,3),(6,7),(7,12),0,0,
(1,12),(12,6),0
q 316

the result of gdb10.dat
s 0,(1,11),(11,6),(6,5),(5,2),(2,4),(4,1),0,0,(1,8),(8,9),(9,3),(3,4),(4,7),
(7,2),(2,1),0,0,(1,5),(5,7),(2,3),(3,8),(8,12),(12,10),(10,1),0,0,(1,9),
(9,10),(12,11),(11,4),(4,6),0
q 275

the result of val1A.dat
s 0,(1,5),(5,4),(4,3),(3,10),(10,2),(2,4),(2,5),(5,6),(6,12),(12,17),(17,18),

(18,14),(14,8),(8,7),(7,6),(6,11),(11,5),(1,9),(9,3),(9,19),(19,1),0,0,
 (1,11),(11,12),(12,16),(16,17),(17,13),(13,7),(13,14),(16,15),(15,20),
 (20,19),(19,22),(22,23),(23,21),(21,15),(20,21),(21,24),(24,23),(20,1),0
 q 173

the result of val4A.dat

s 0,(1,2),(2,3),(3,4),(4,5),(5,6),(6,12),(12,11),(11,16),(16,19),(19,26),
 (26,32),(32,36),(36,35),(35,39),(39,38),(38,34),(34,35),(35,31),(31,30),
 (30,24),(24,14),(14,13),(7,8),(9,3),0,0,(1,7),(7,13),(13,23),(23,29),(29,30),
 (29,34),(31,32),(32,27),(27,20),(20,17),(17,18),(18,22),(22,28),(28,27),
 (27,21),(21,20),(20,19),(16,17),(17,11),(11,5),(4,10),(10,9),(9,14),(15,10),
 0,0,(2,8),(8,9),(10,11),(15,16),(15,25),(25,26),(26,27),(27,33),(33,37),
 (37,41),(41,40),(40,36),(36,39),(39,40),(36,37),(21,22),(31,25),(25,24),
 (24,23),(23,14),(14,15),0
 q 418

the result of val7A.dat

s 0,(1,35),(35,36),(36,2),(2,3),(3,4),(4,5),(5,39),(39,32),(32,31),
 (31,30),(30,29),(29,36),(36,37),(37,31),(31,38),(38,4),(3,37),
 (37,30),(37,38),(38,39),(3,7),(7,13),(13,12),(12,6),(6,1),0,0,
 (1,40),(40,8),(8,9),(9,15),(15,16),(16,11),(11,12),(12,17),
 (17,13),(13,18),(18,22),(22,21),(21,20),(20,23),(23,24),(24,25),
 (25,22),(21,24),(20,17),(17,18),(18,19),(19,13),(7,6),(6,2),
 (2,1),0,0,(1,33),(33,26),(26,27),(27,34),(34,35),(35,28),(28,27),
 (34,33),(34,26),(1,11),(1,10),(10,9),(10,16),(15,14),(14,8),(8,1),0
 q 291

3.5. Analysis

This CARP project is not like the go_bang that can just use one person self though that can get a good result. For the NP hard problem has been study for many smart person like Xin Yao , so I think this project is main teach me how to study and use the knowledge that other has find and standing on the shoulders of giants to jump for a new high .Maybe my algorithm may also can not go out some trap but I study lot and try my best is enough .

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