

# Distributed Coordination in Swarms of Autonomous Mobile Robots

**Franck Petit**

Based on materials by:

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# Setting and Motivations

# Robot Swarms

**Swarm:** Collection of independent, autonomously operating mobile robots



# Robot Swarms

**Swarm:** Collection of independent, autonomously operating mobile robots

Typically, the robots in a swarm are

- Very small
- Very simple
- Very limited in capabilities:
  - Weak energy resources
  - Limited means of communication
  - Limited processing power

# Why Multiple Robot Systems?

- Low cost: use **several cheap & simple** robots rather than single expensive one
- Can solve tasks impossible for a single robot (*e.g.*, sweep large regions)
- Can perform **risky / hard** tasks in **hazardous / harsh** environments
- Can **tolerate** destruction of some robots
- **Applications:**
  - Military operations
  - Space explorations
  - Search&rescue missions
  - Toxic spill cleanups
  - Fire fighting
  - Risky area surrounding or surveillance
  - Exploration of awkward environments
  - Large-scale construction
  - Environmental monitoring

# Specific Tasks for Swarms

- Movement management
  - Movement limitation
  - Collision avoidance
  - 2D/3D settings
- Complex coordination operations
- Global control

Most previous work: Centralized control,  
suitable for small robot team, inadequate for large  
swarms

→ Distributed control:

  - No central coordination
  - Scalability
  - Dynamicity

# Setting

Distributed System whose **Entities** are **simple** units (robots) equipped with:

- **Motorial** Capabilities
  - Freely move on a 2 (or 3) dimensional environment
- **Sensorial** Capabilities
  - Sense the positions of the others in the environment

# Why study oblivious (& relatively dumb) robots?

- ❑ Algorithms will work in a **dynamic** environment (where robots join/leave the system)



- ❑ The system can start in (almost) any configuration

- ❑ Algorithms that work correctly for weaker robots will work for stronger robots

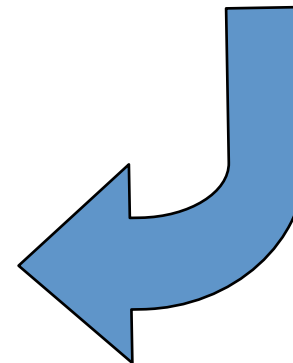


# However....

- Few complex specialized units
  - Expensive
  - Not fault tolerant
- Many simple units
  - Not expensive
  - Modular
  - Fault tolerant



**Problem:  
Coordinate them**



# General Problem

# General aim of the study

- *Which are the **elementary tasks** that can be achieved **deterministically**?*
- *What are the **minimal conditions** for this?*
- *Given a task, what kind of **local coordination** is necessary so that the robots can accomplish it (**deterministically**)?*

Analyze from an **algorithmic** point of view the **distributed control** of a set of autonomous mobile robots

# Problem

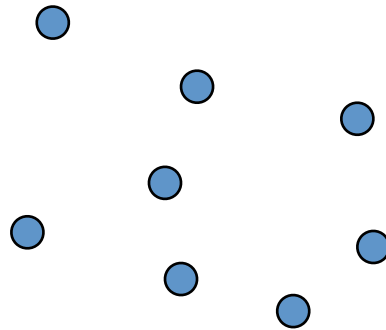
Analysis of minimum level of capabilities (sensorial, computational, and motorial) robots must possess to **COLLECTIVELY** solve a given task.

For globally accomplishing a given task: how "weak" can each single robot be?

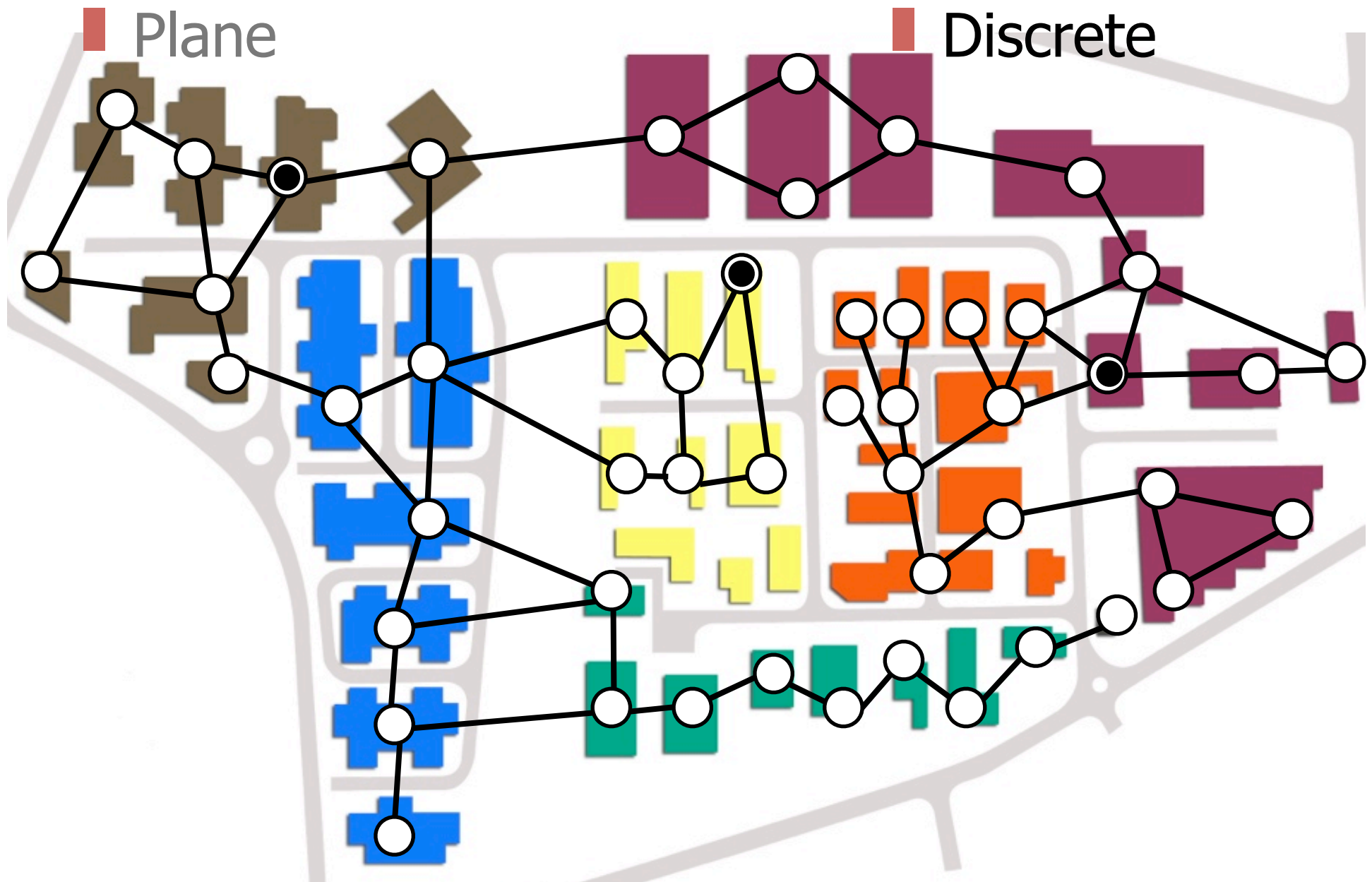
How much local power is necessary to perform global computations?

# Environment

■ Plane

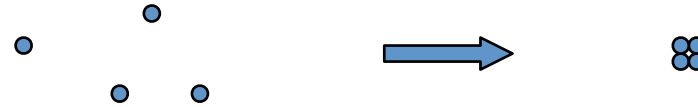


# Environment

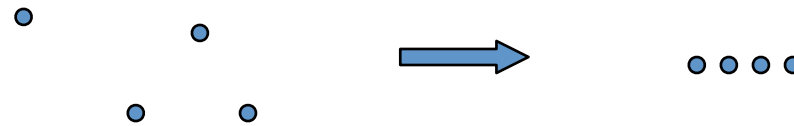


# Cooperative Primitives over the Plane

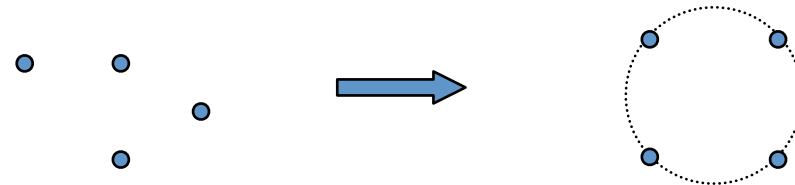
■ Gathering



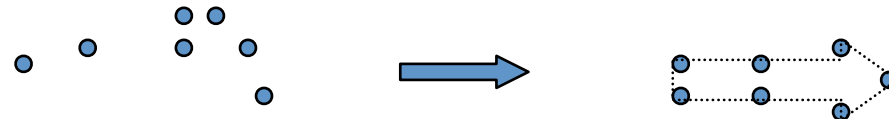
■ Alignment



■ Circle Formation  
(n-gon)



■ Other Patterns

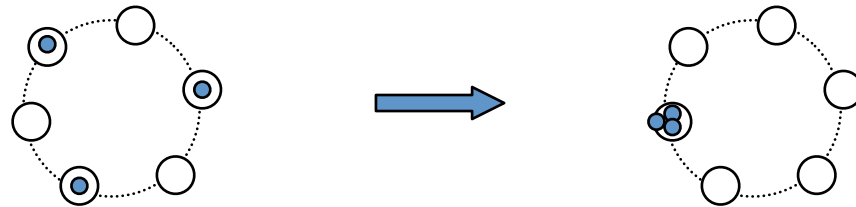


■ Election

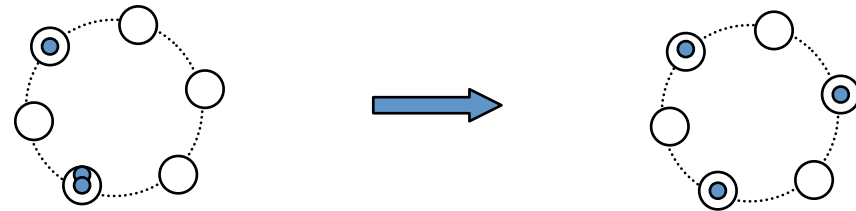


# Cooperative Primitive Tasks in discrete environment

■ Rendezvous



■ Covering



■ Exploration(s)



# Approaches

# Previous and Related Work

- Fukuda et al, 1989 (CEBOT)
- Brooks, 1985
- Mataric, 1994
- Cao et al, 1995 (survey)
- Durfee, 1995
- Balch and Arkin, 1998

# Previous Work

## Typically...

- Heuristic solutions

- Convergence to solution

(Robotics, AI)

## Very few works ...

- Provably correct solutions

- Termination in finite time

(Algorithmic approaches)

# The Algorithmic Approach

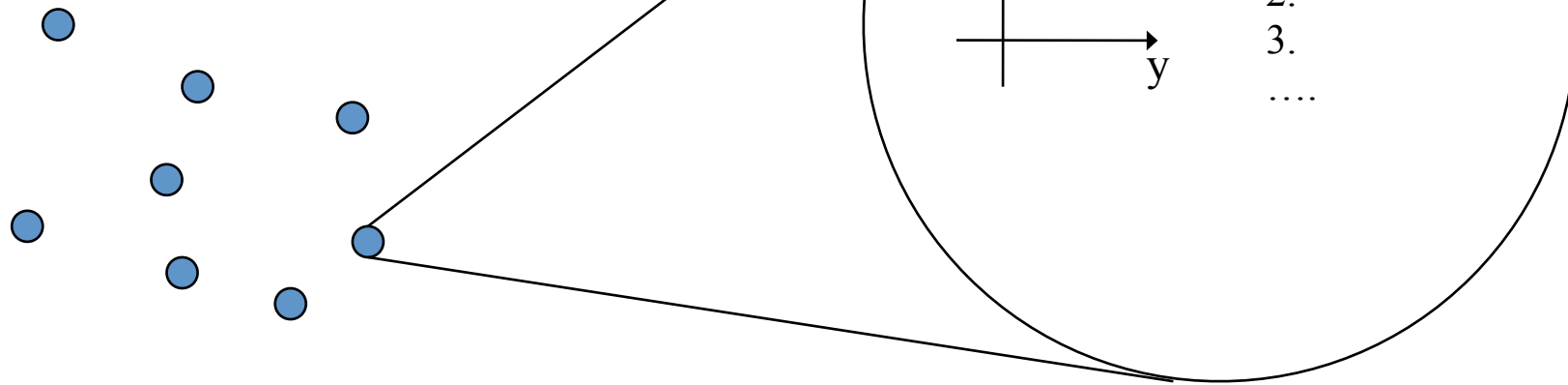
- Study under what conditions on the robots' capabilities a given global task is solvable in finite time.
- Find **Algorithmic** solutions

# The Model

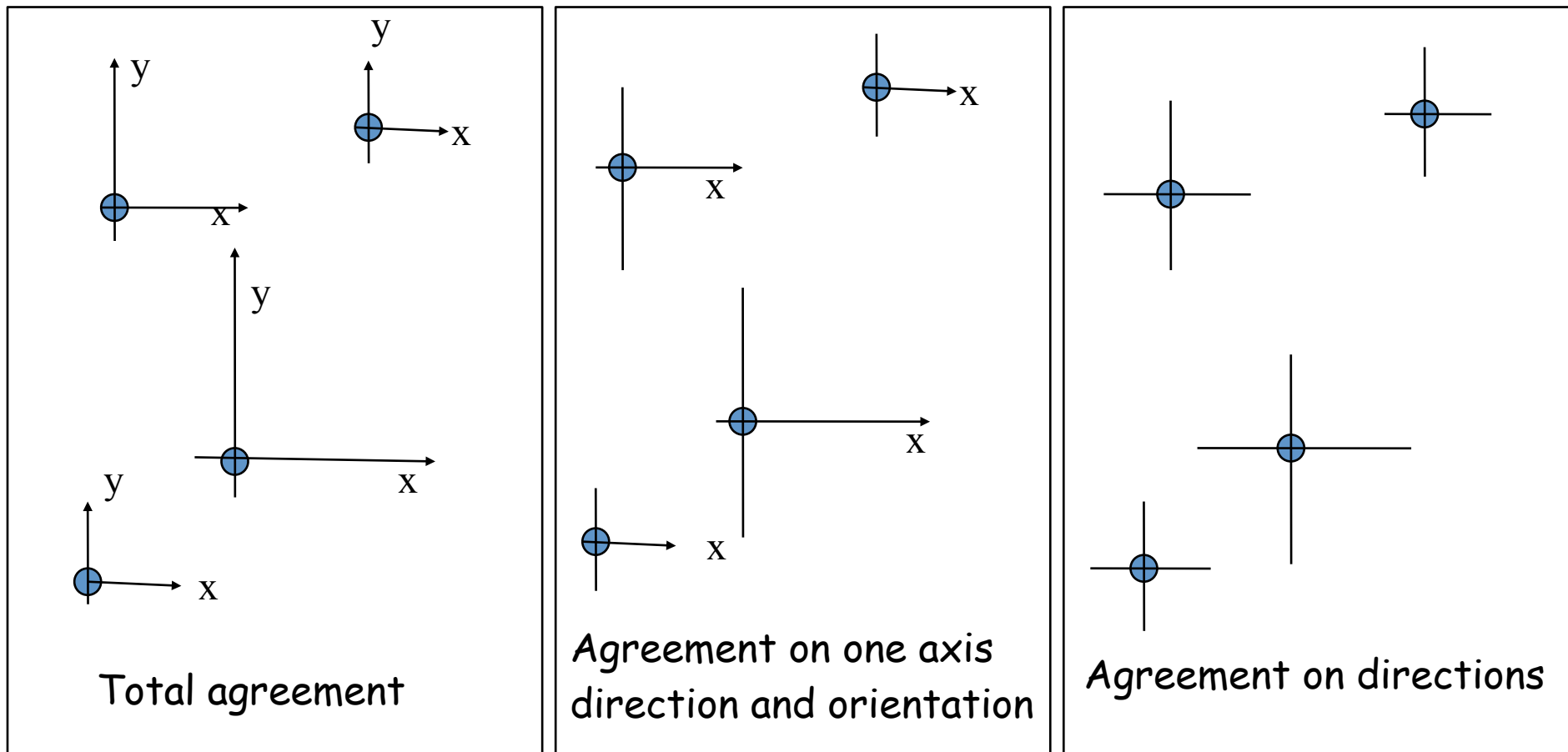
# The Model

(Yamashita et al., SIROCCO 1996)

- Homogeneous
  - Anonymous
  - Autonomous
  - Mobile
  - Deaf and Dumb
- Sensors
  - No explicit communication

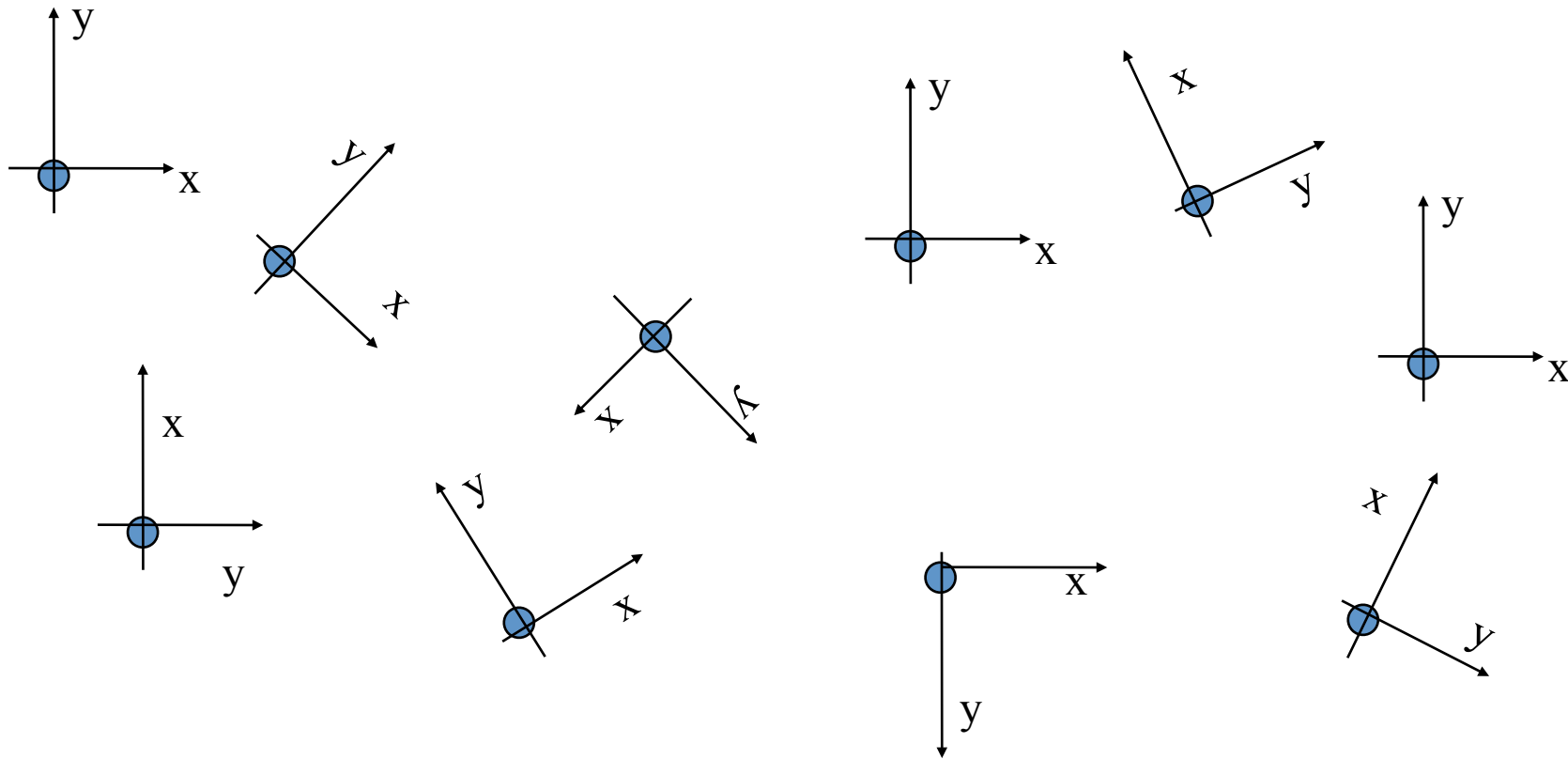


# -Assumptions on Robots' power- Agreement on Coordinate System



# - Assumptions on Robots' power - No Agreement

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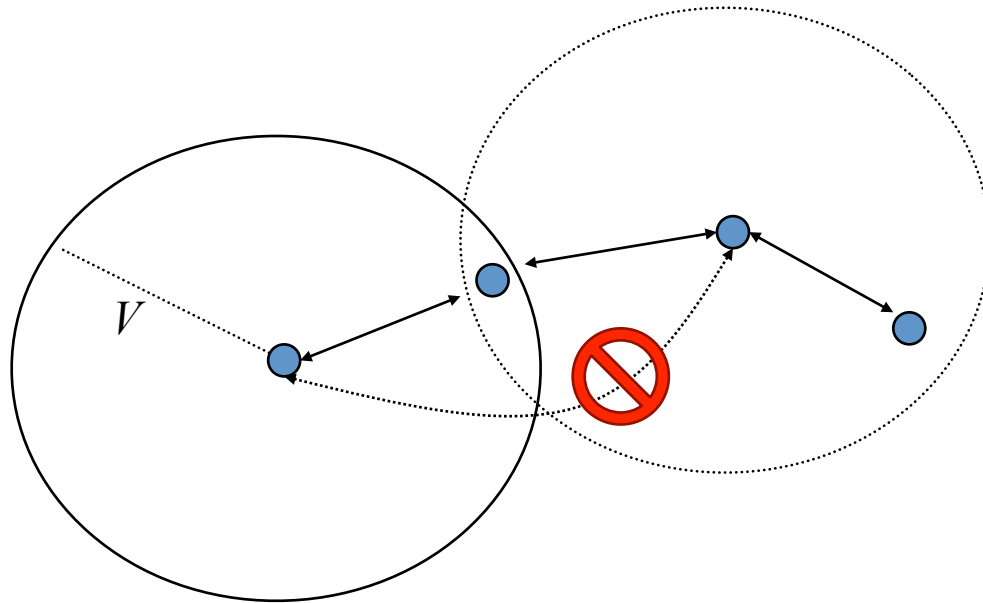


# Models of Orientation

- **Full-compass:** Axes and polarities of both axes.
- **Half-compass:** Both axes known, but positive polarity of only one axis (in other axis, robots may have different views of positive direction).
- **Direction-only:** Both axes, but not polarities.
- **Axes-only:** Both axes, but not polarities. In addition, robots disagree on which axis is x and which is y.
- **No-compass:** No common orientation information.

Note: In general, robots do not share common unit distance or common origin point even in full-compass model

- Assumptions on Robots' Power -  
Radius of Visibility: Limited /Unlimited



## - Assumptions on Robots' Power - Oblivious/Non Oblivious

**Non-Oblivious:** remember the positions of all the robots since the beginning of the computation

**Oblivious:** otherwise

# Modeling Movements

## Assumption 1

The maximum distance  $r_i$  can move in one step is bounded by  $\epsilon_i > 0$

## Assumption 2

There is a lower bound  $\delta_r > 0$  on the distance a robot  $r$  can travel, unless its destination is closer than  $\delta_r > 0$ .

# Modeling The Time

- A critical aspect in every distributed system is the time
  - Synchronous?
  - Asynchronous?
- At the beginning the proposed model for robots was basically **synchronous** (SYNC, SSYNC, SYm)
  - Semi-synchronous
  - Fully-synchronous

# Instantaneous Actions of SYm

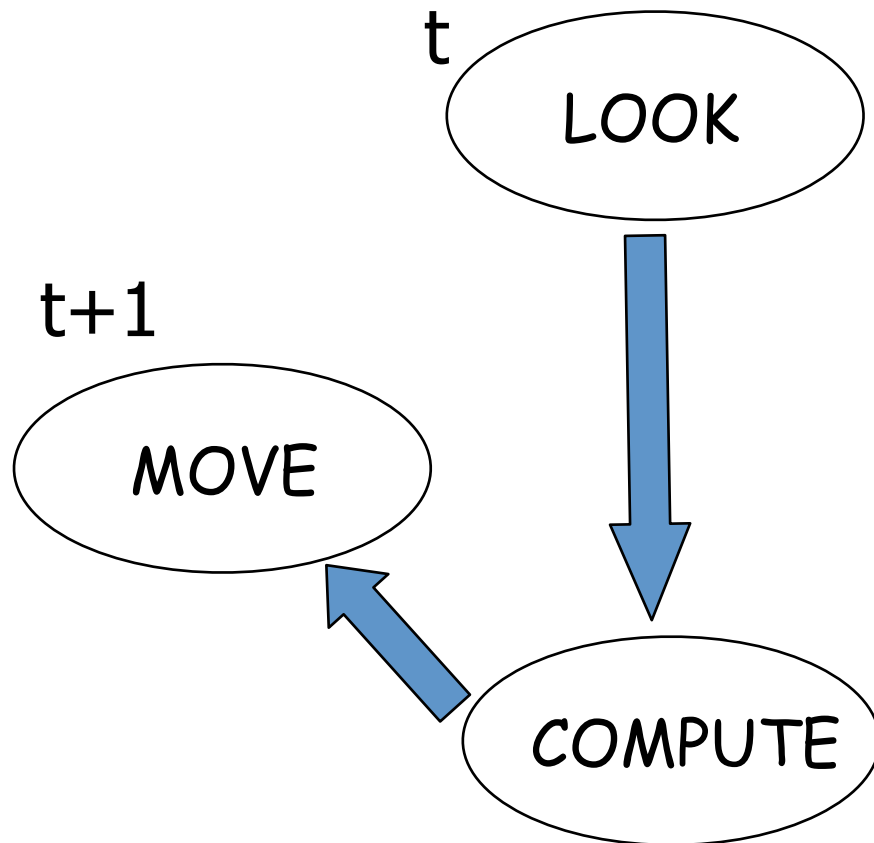
Suzuki *et al.*, 1996

- Discrete Time  $0, 1, \dots$
- At each time instant  $t$ , every robot  $r_i$  is either **Active** or **Inactive**
- At least one **Active** robot at each time instant, and every robot is **Active** infinitely often

# Instantaneous Actions of SYm

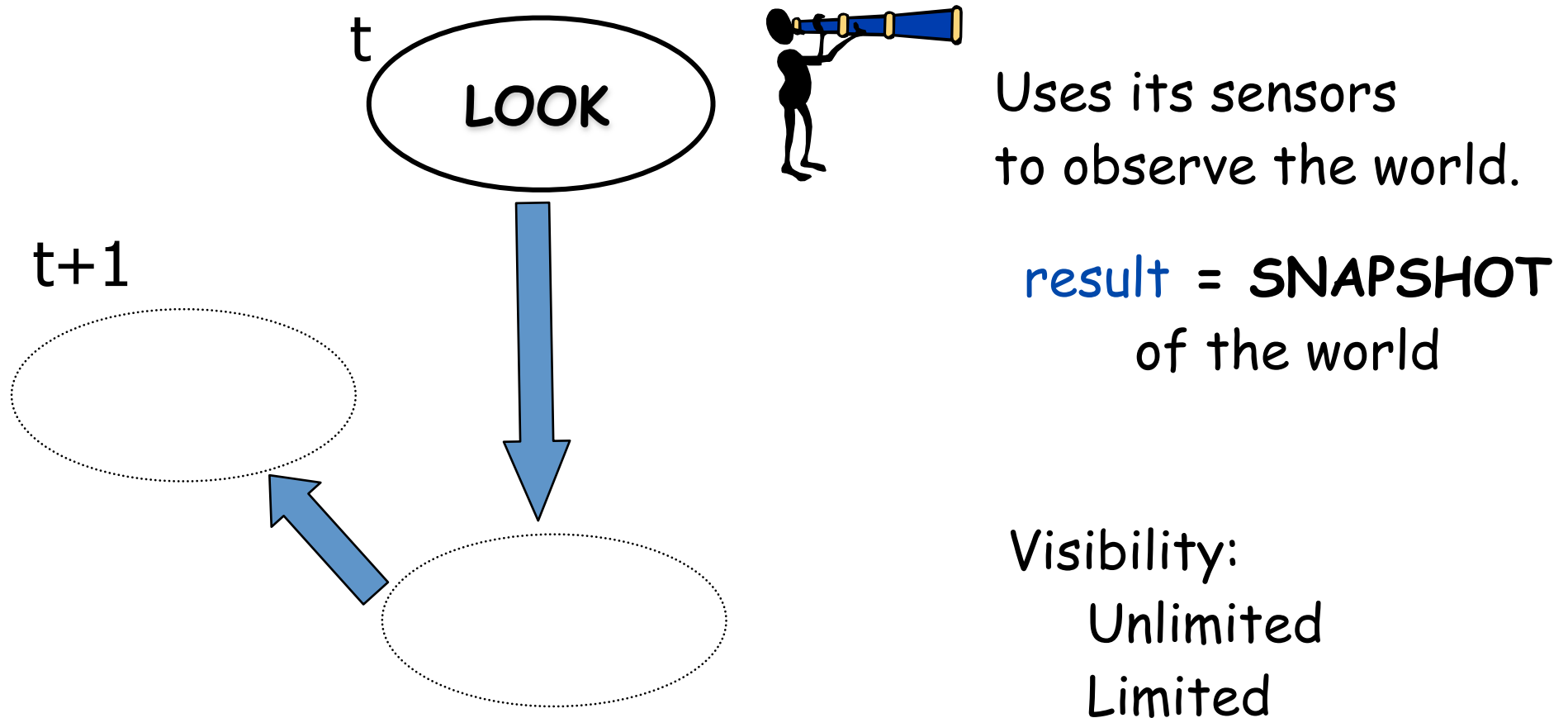
Suzuki *et al.*, 1996

Phases of an **Active** robot



# Instantaneous Actions of SYm

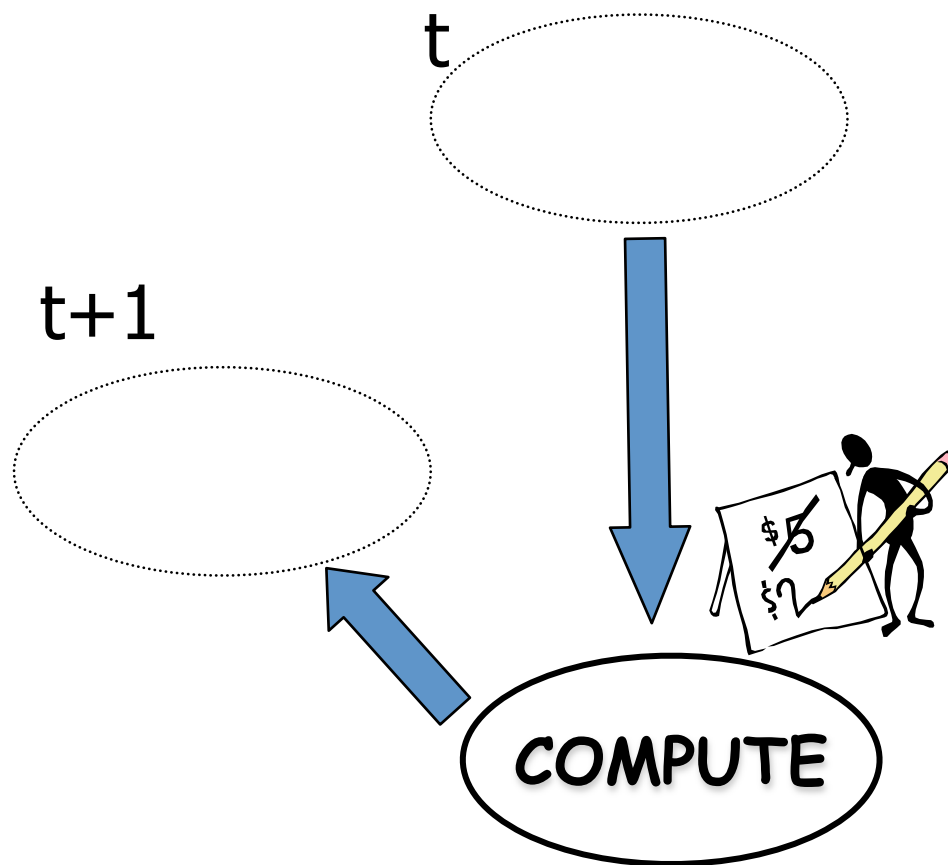
Suzuki *et al.*, 1996





# Instantaneous Actions of SYm

Suzuki *et al.*, 1996



Execute algorithm,  $\psi$

**input** = positions of the robots

**result** = destination point  $p$

## **Oblivious:**

positions of the robots **retrieved in the last Look**

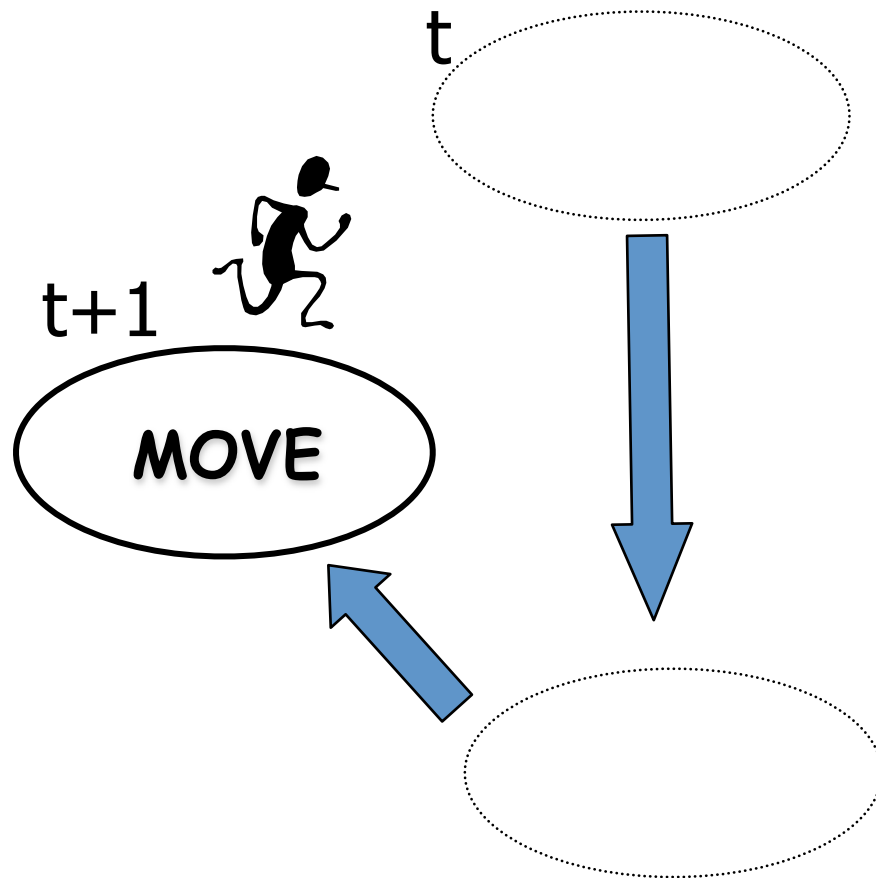
## **Non Oblivious:**

positions of the robots **since the beginning**

# Instantaneous Actions of SYm

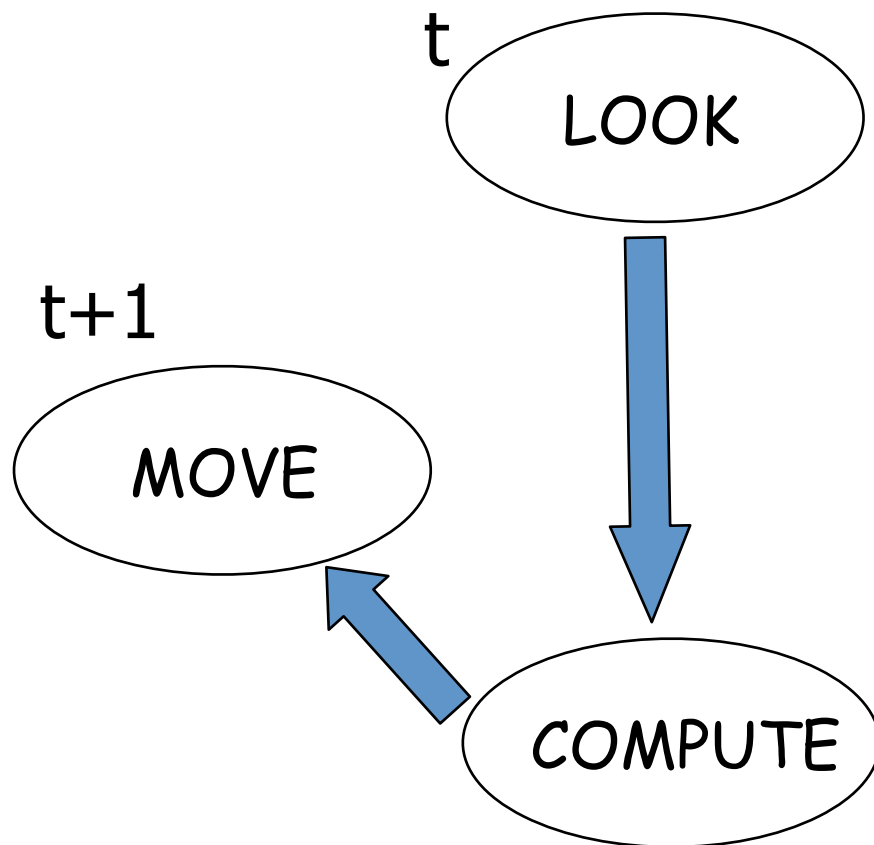
Suzuki *et al.*, 1996

The robot moves **towards**  
the computed destination



# Instantaneous Actions of SYm

Suzuki *et al.*, 1996



$p_i(t)$  : Position of  $r_i$  at  $t$

$p$ : point returned by  $\psi$

For all  $t \geq 0$ ,

$r_i$  **Inactive**  $\Rightarrow p_i(t+1) = p_i(t)$

$r_i$  **Active**  $\Rightarrow p_i(t+1) = p$

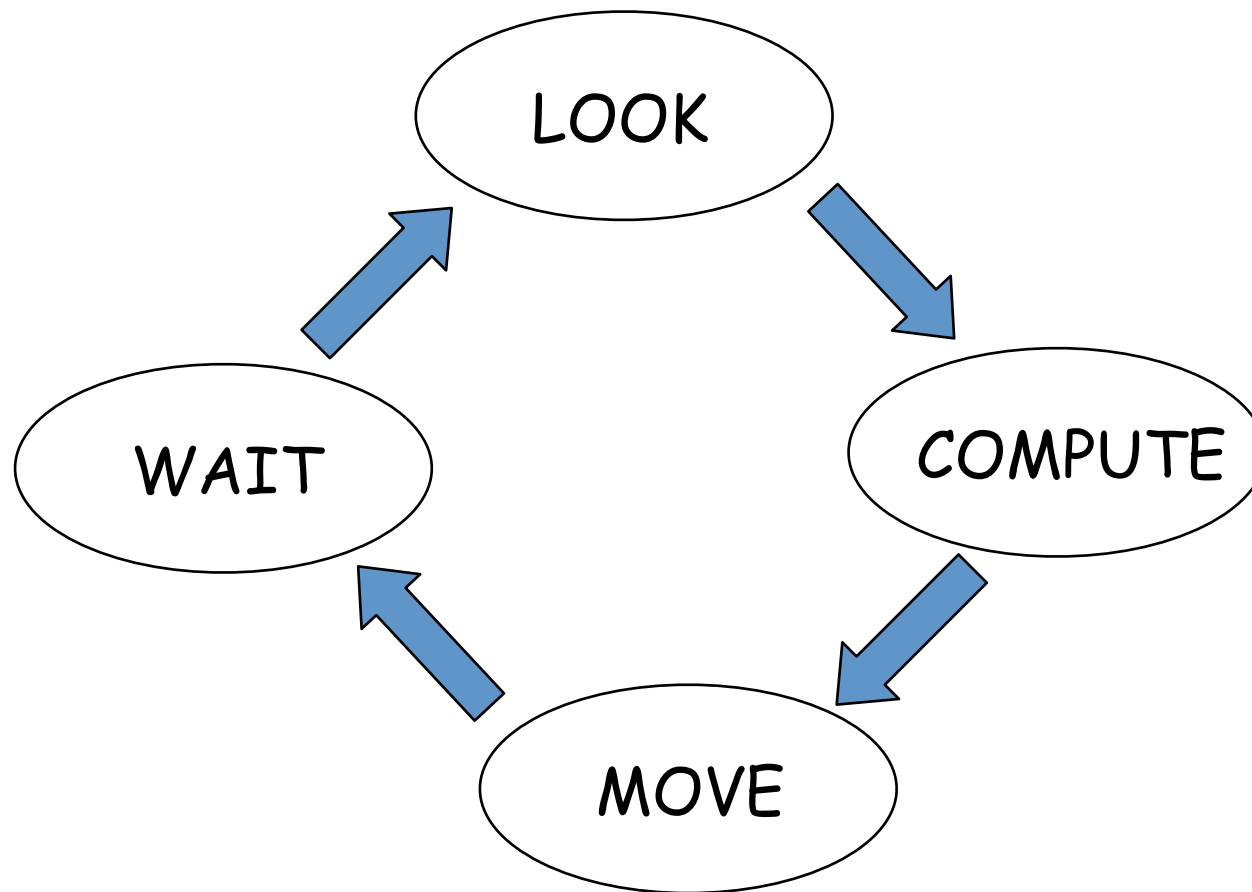
$r_i$  executes L-C-M

**atomically!**

# Asynchronicity

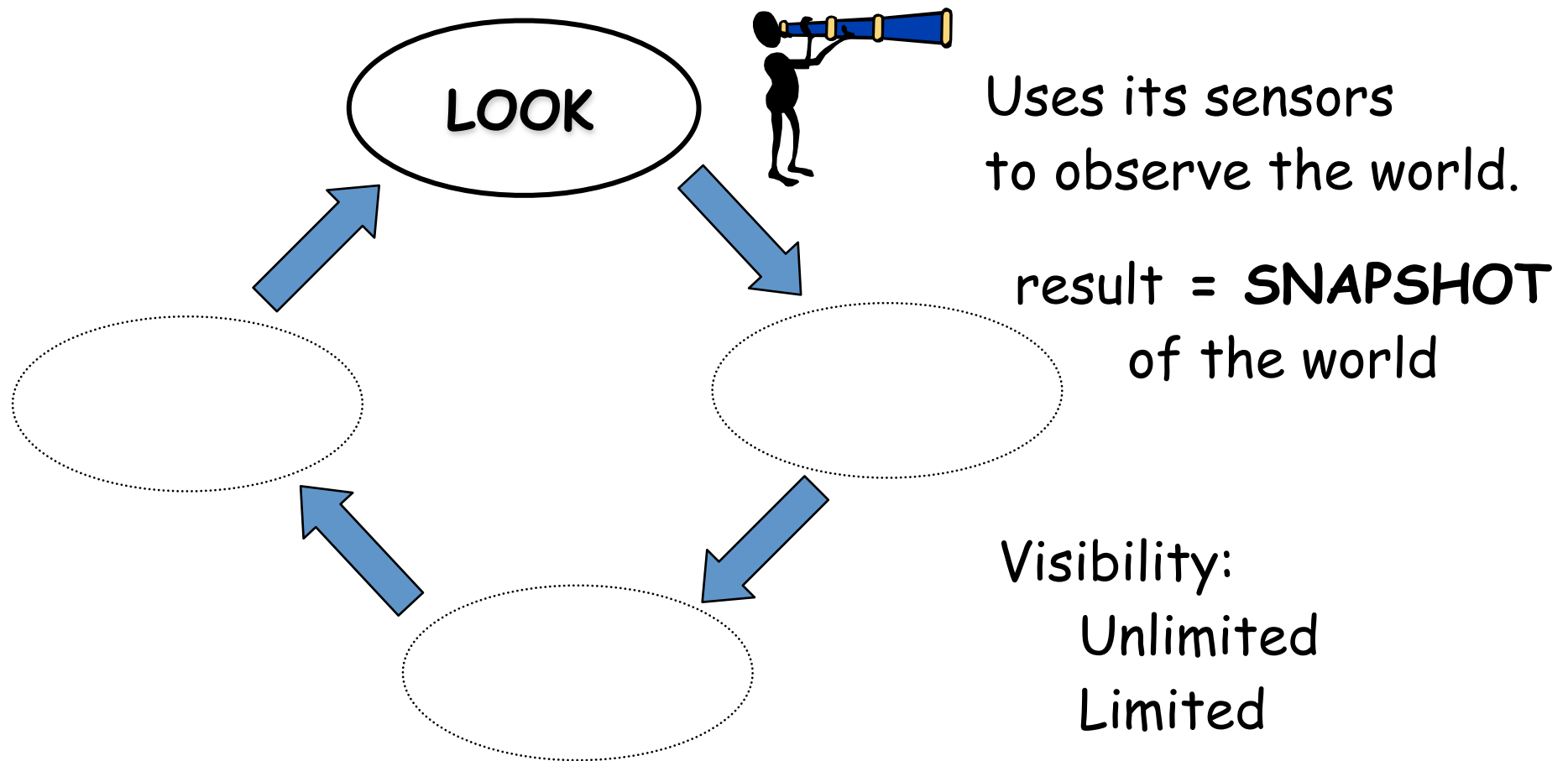
- In 1999 asynchronicity was introduced in the model
  - CORDA or ASYNC

# The Life Cycle (Corda)

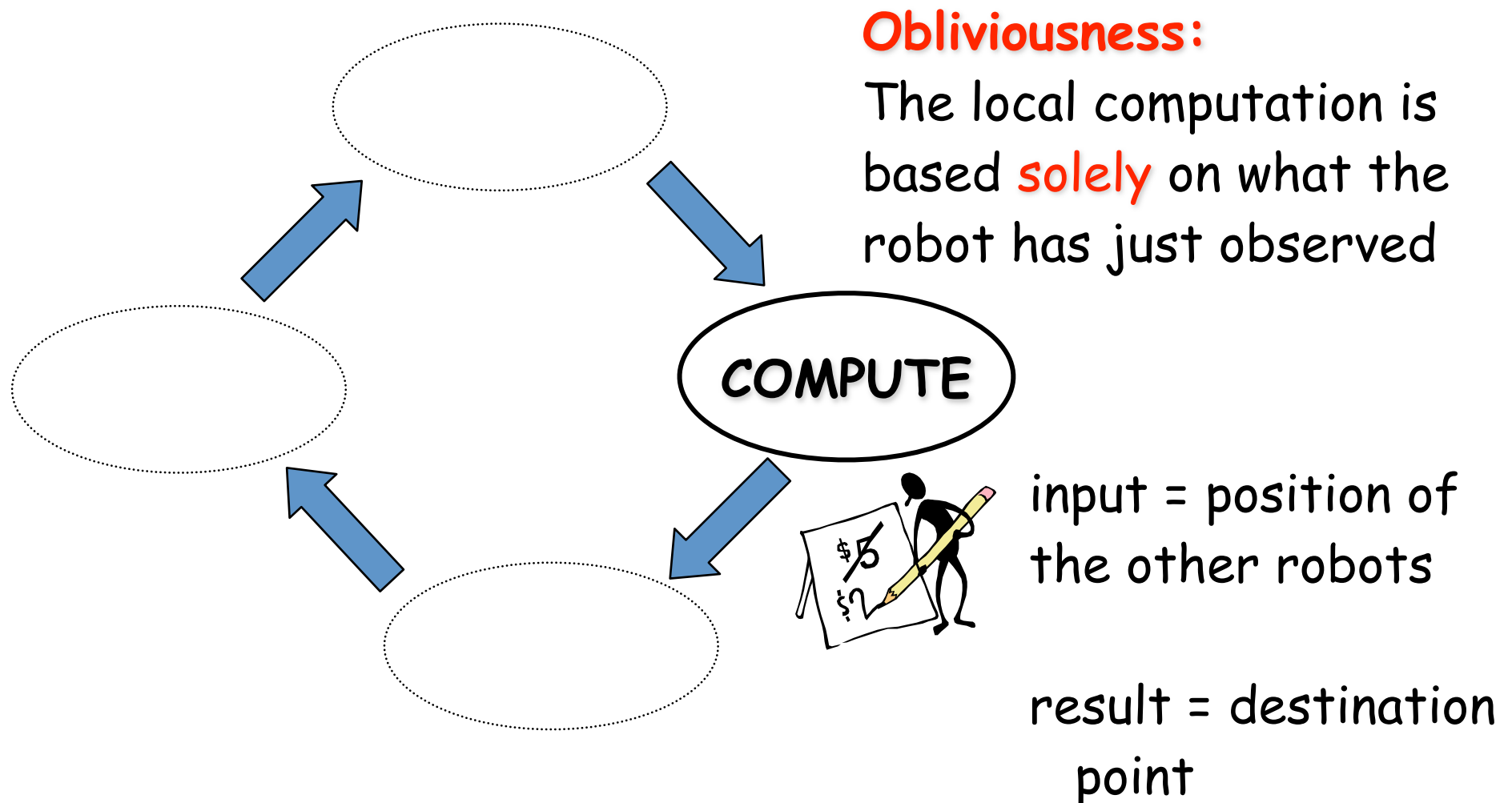


# The Life Cycle (Corda)

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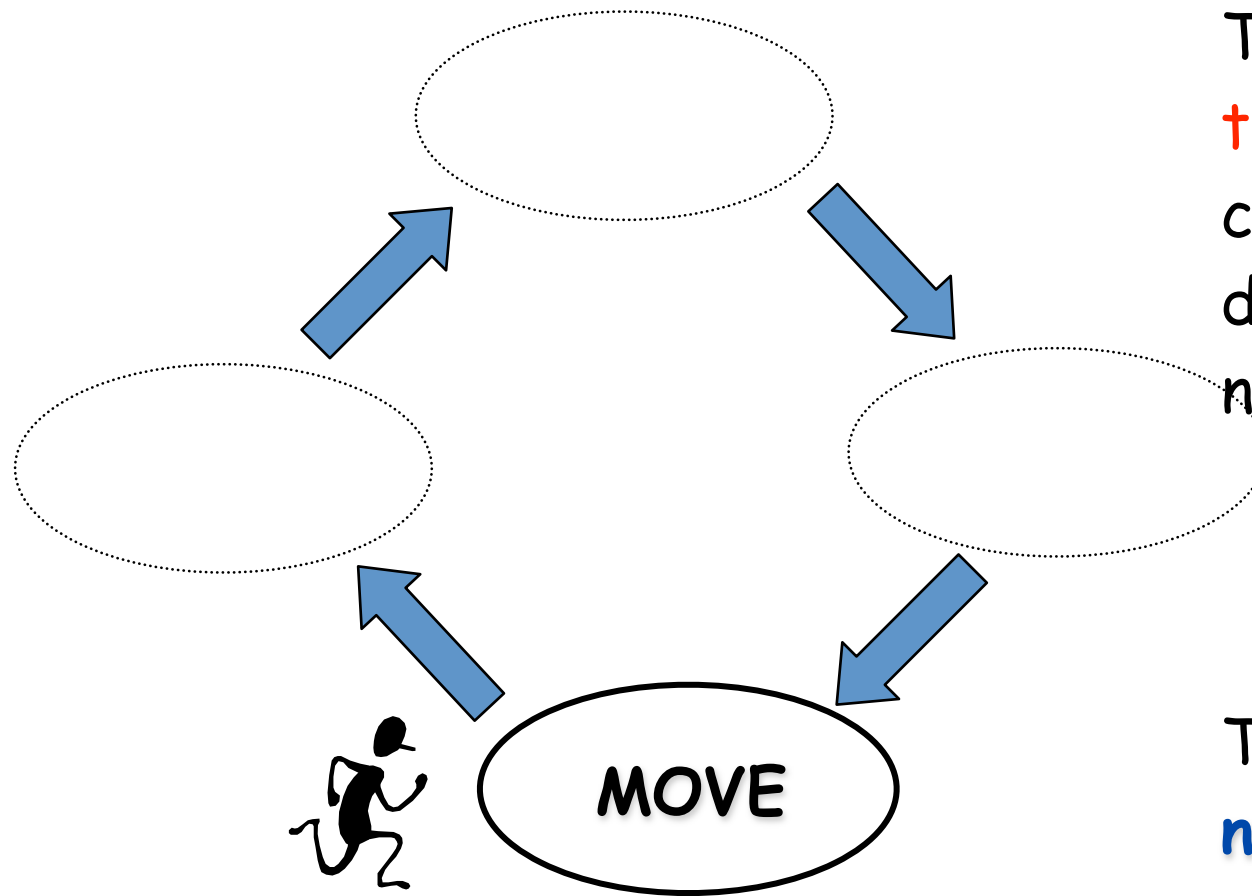


# The Life Cycle (Corda)



# The Life Cycle (Corda)

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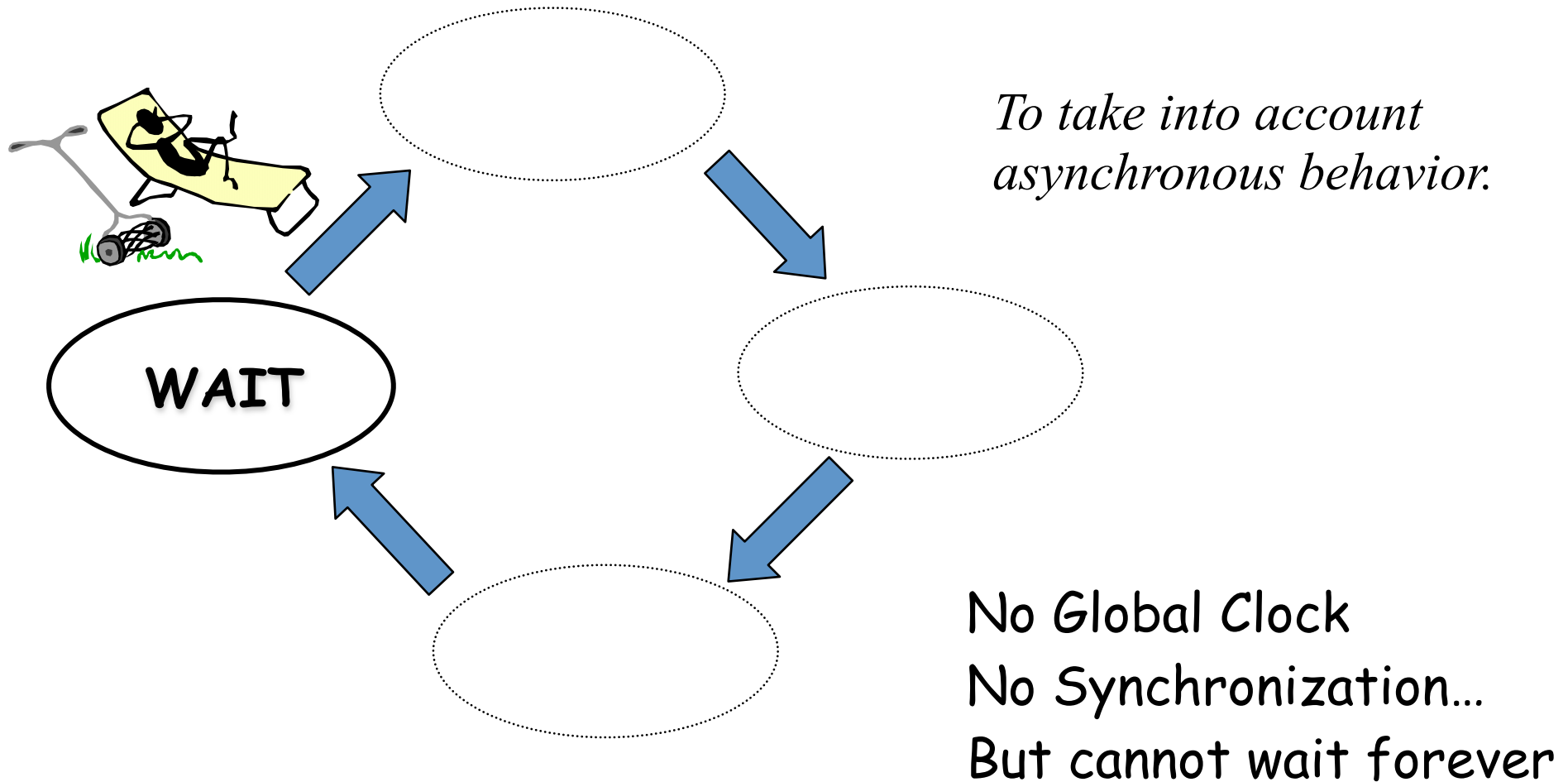
The robot moves **towards** the computed destination (it might not reach it)

The movement is **non-instantaneous**.



# The Life Cycle (Corda)

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# Sym vs CORDA

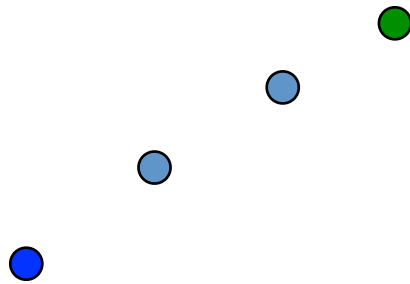
*Sym*

- **Instantaneous actions.**

*CORDA*

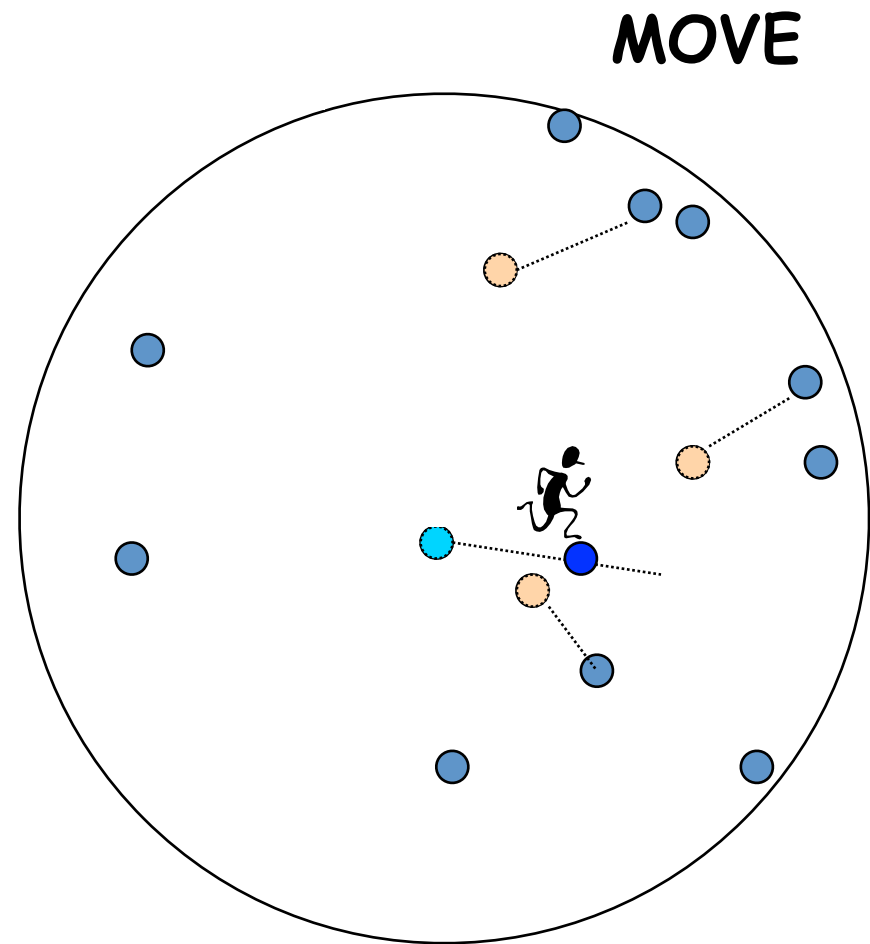
- **Full asynchronicity.**

# Instantaneous Actions in SYm



$t+1$

# Asynchronicity in Corda



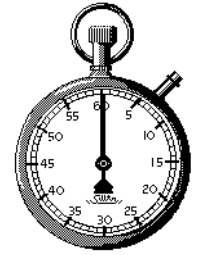
# Asynchronicity in Corda



A robot could see other robots while they move !

A robot cannot distinguish between **moving** robots and **waiting** robots !

# Timing Models



**ASync (CORDA)** - *Fully asynchronous*

[Flocchini et. Al, 1999]

Arbitrary & varying operation rates and delays

**SSync (Sym)** - *Semi-synchronous*

[Suzuki+Yamashita, 1996]

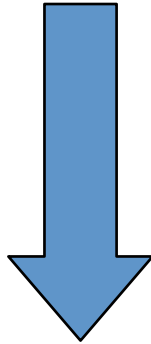
Fixed time cycles, but robots may be active / inactive

**FSync** - *Fully synchronous* [Suzuki+Yamashita, 1999]

Fixed time cycles, all robots active in every cycle

# Corda vs. SYm

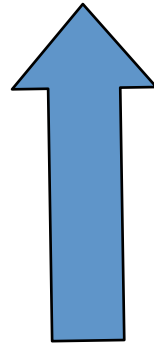
Problem  $\mathcal{P}$  solvable in Corda



$\mathcal{P}$  solvable in SYm

# Corda vs. SYm

Problem  $\mathcal{P}$  **unsolvable** in Corda



$\mathcal{P}$  **unsolvable** in SYm





# Ring Exploration by Semi-Synchronous Oblivious Robots

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## Context

- A team of  $k$  “weak” robots evolving into a ring of  $n$  nodes
  - **Autonomous** : No central authority
  - **Anonymous** : Undistinguishable
  - **Oblivious** : No mean to know the past
  - **Disoriented** : No mean to agree on a common direction or orientation

## Context

- A team of  $k$  “weak” robots evolving into a ring of  $n$  nodes
  - **Atomicity** : In every configuration, each robot is located at exactly one node
  - **Multiplicity** : In every configuration, each node contains zero, one, or more than one robot  
(every robot is able to detect it)

## Context

- A team of  $k$  “weak” robots evolving into a ring of  $n$  nodes
- SSM : In every configuration,  $k'$  robots are activated ( $0 < k' \leq k$ )
- The  $k'$  activated robots execute the cycle:
  1. Look : Instantaneous snapshot with multiplicity at each node
  2. Compute : Based on this observation, decides whether to stay or move
  3. Move : Move to stay or move to one of the neighboring nodes

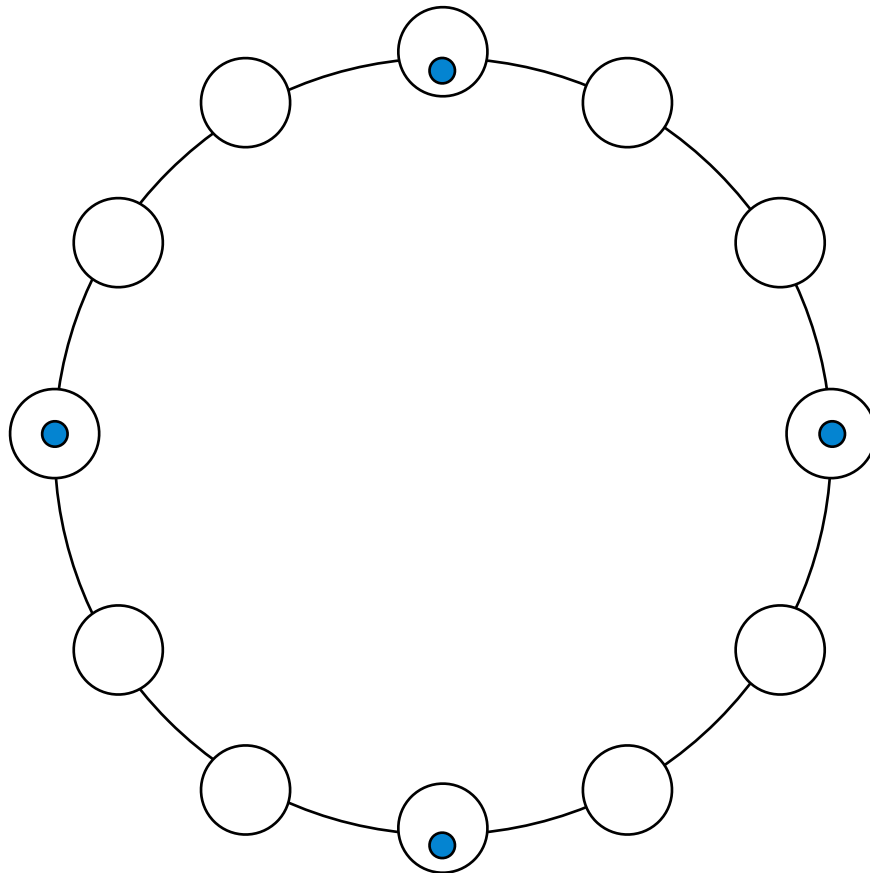
# [ Problem ]

Starting from a configuration where no two robots are located at the same node:

- **Exploration:**  
Each node must be visited by at least one robot
- **Termination:**  
Eventually, every robot stays idle
- **Performance:** Number of robots  
( $k < n$ )

## Lower Bound (1/2)

Deterministic Exploration impossible if  $k \mid n$



## Lower Bound (2/2)

### Theorem.

Let  $m(n)$  be the minimal number of robots to deterministically explore a ring of size  $n$ .

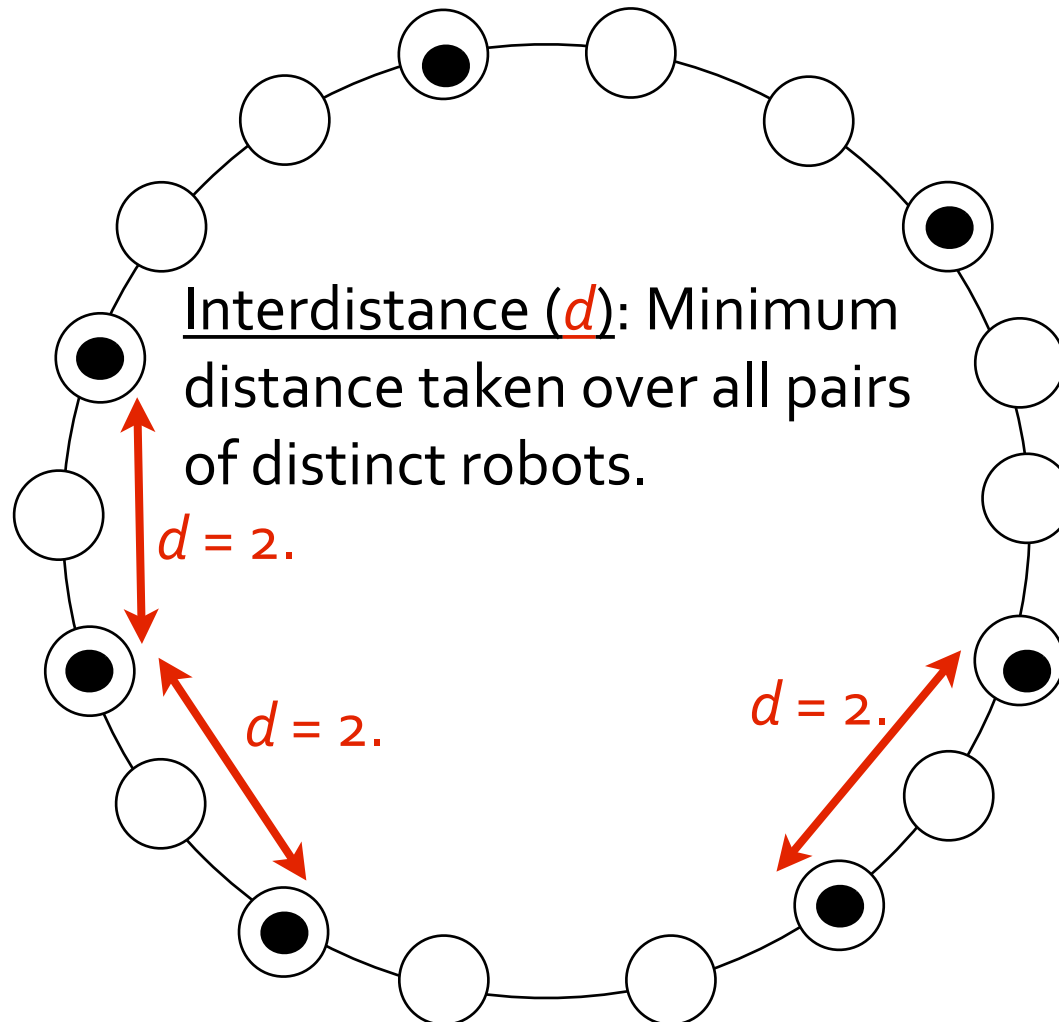
There exists a constant  $c$  such that, for infinitely often many times,  $m(n) \geq c \log n$ .

### ○ *Proof:*

1. Let  $n$  be the least common multiple of  $1, 2, \dots, q$ .
2. From the previous slide (1/2) :  $m(n) \geq q + 1$ .
3. From the Prime Number theorem:  $\log n / q \rightarrow 1$ .
4. This implies that there exists  $c$  s.t.  $m(n) \geq c \log n$ .

# Deterministic Algorithm

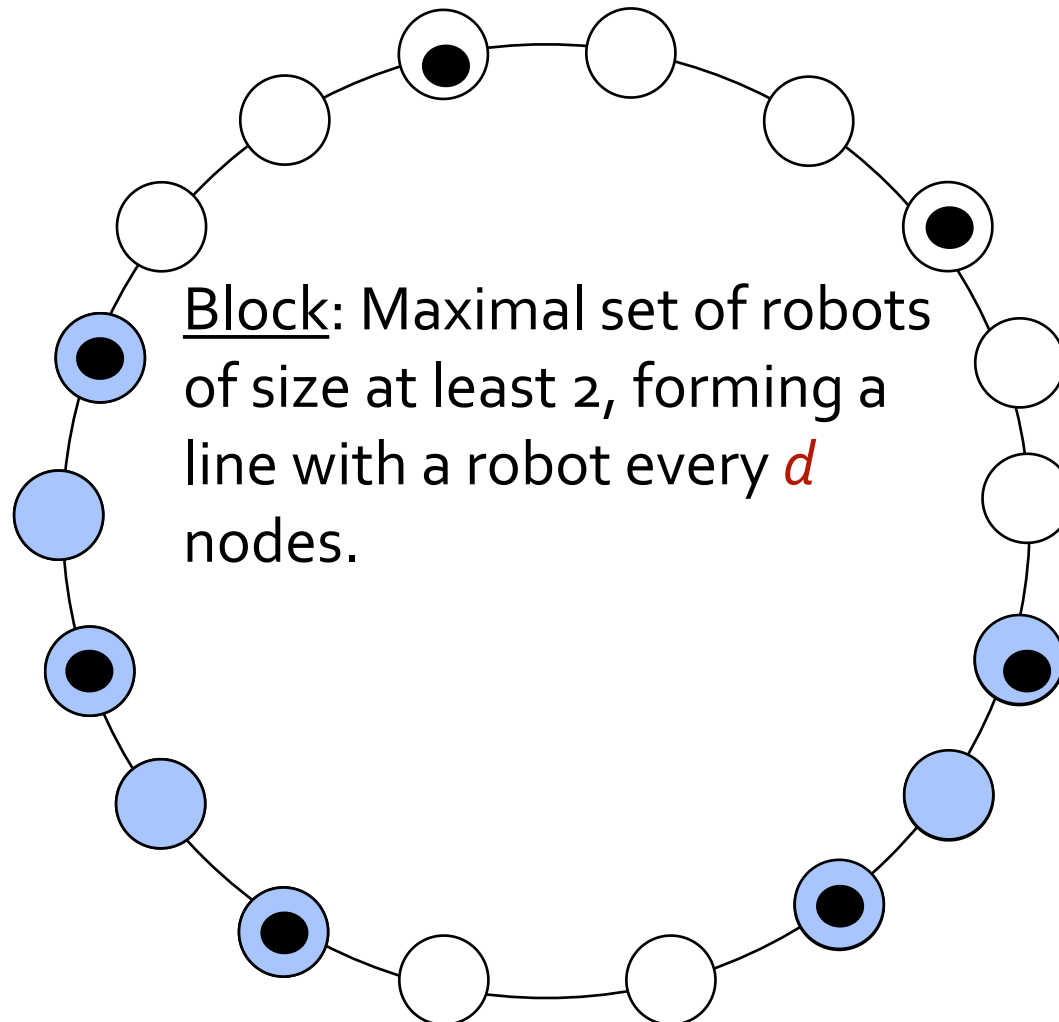
- $k \mid n$ ,  $k > \log n$ , and  $n > 16$





# Deterministic Algorithm

- $k \mid n$ ,  $k > \log n$ , and  $n > 16$

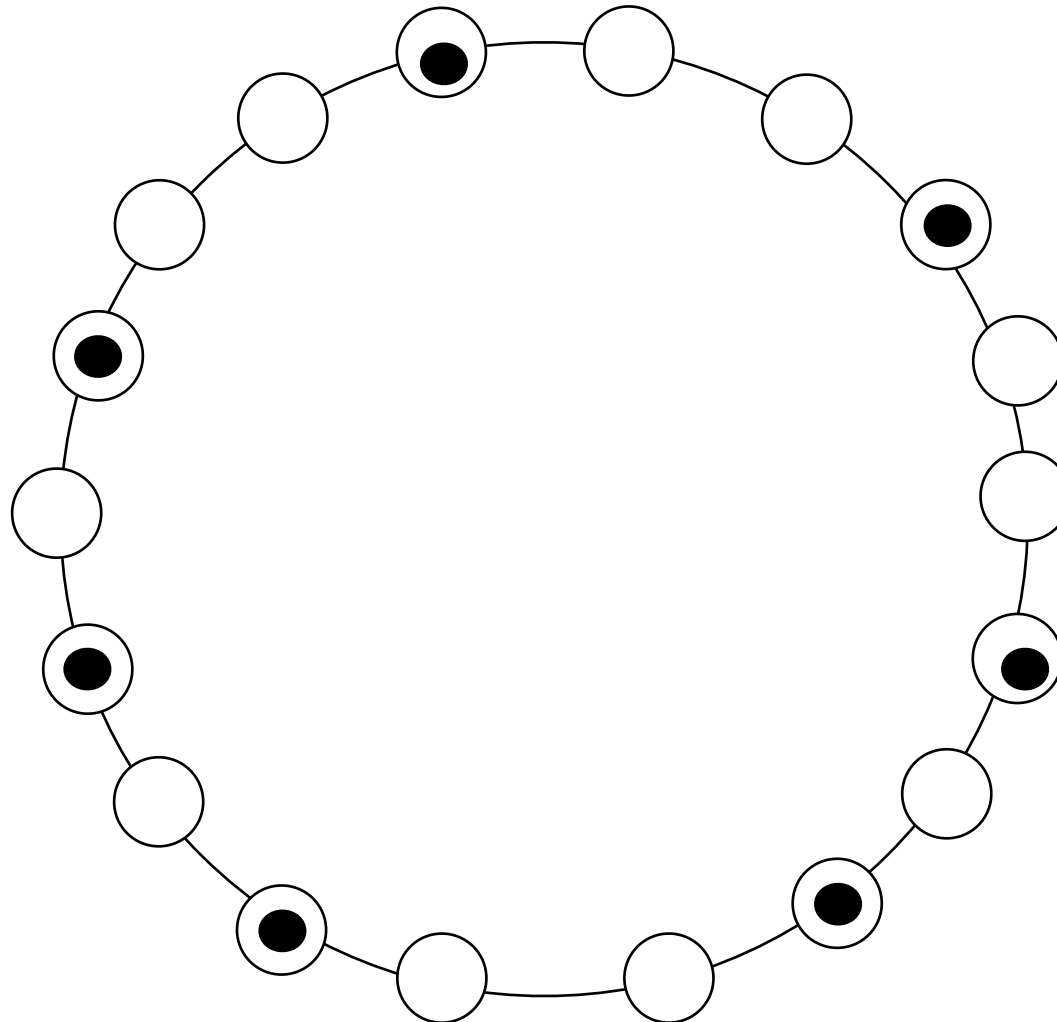


# Deterministic Algorithm

- $k \mid n$ ,  $k > \log n$ , and  $n > 16$
- Setup Phase:  
**Goal:** Transform the (arbitrary) initial configuration into a configuration of **interdistance 1** where there is a **single block or two blocks of the same size**.  
**Method:** Decrease the number of blocks whenever possible. Otherwise, decrease the interdistance.
- Tower Phase:  
**Goal:** Create one or two multiplicities inside each block.
- Exploration Phase:  
**Goal:** Perform exploration until reaching an identified final configuration.

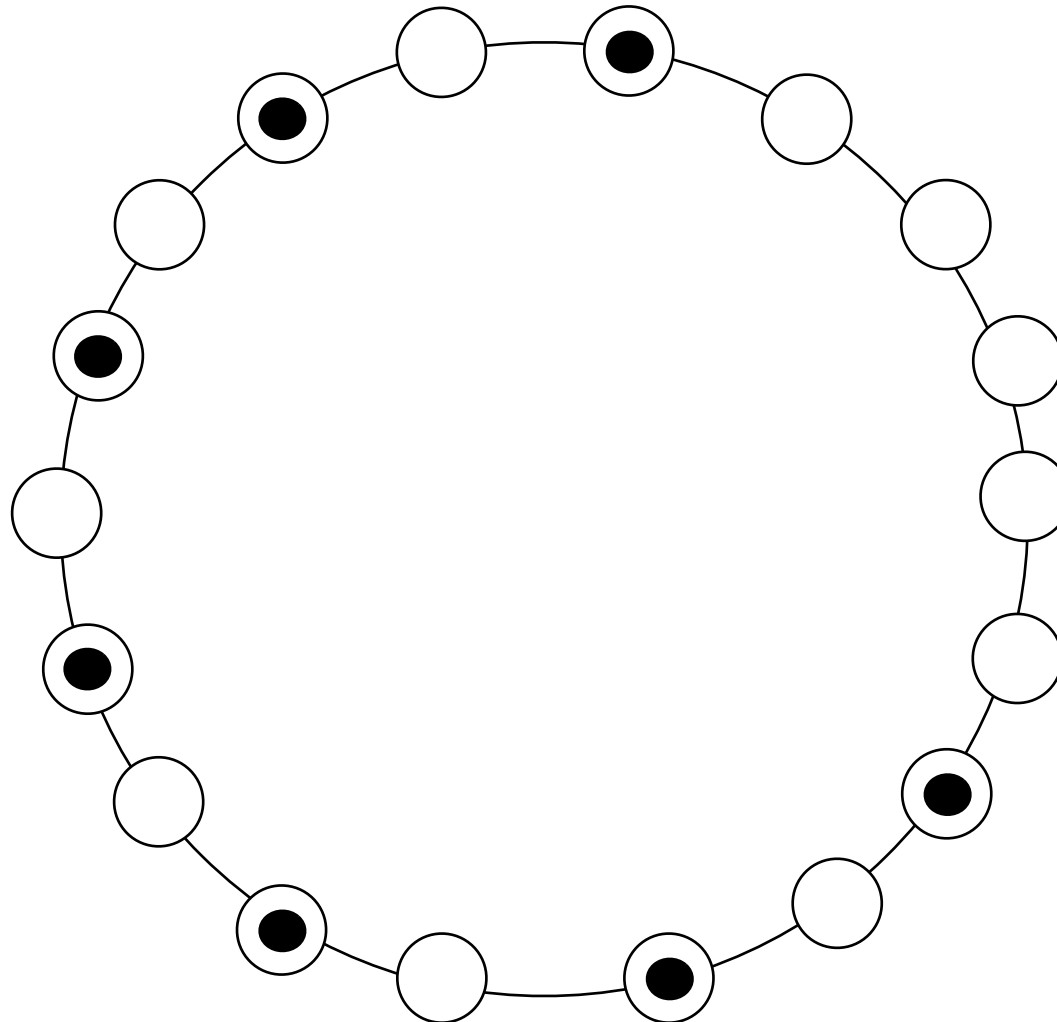
# Deterministic Algorithm

- $k \mid n$ ,  $k > \log n$ , and  $n > 16$



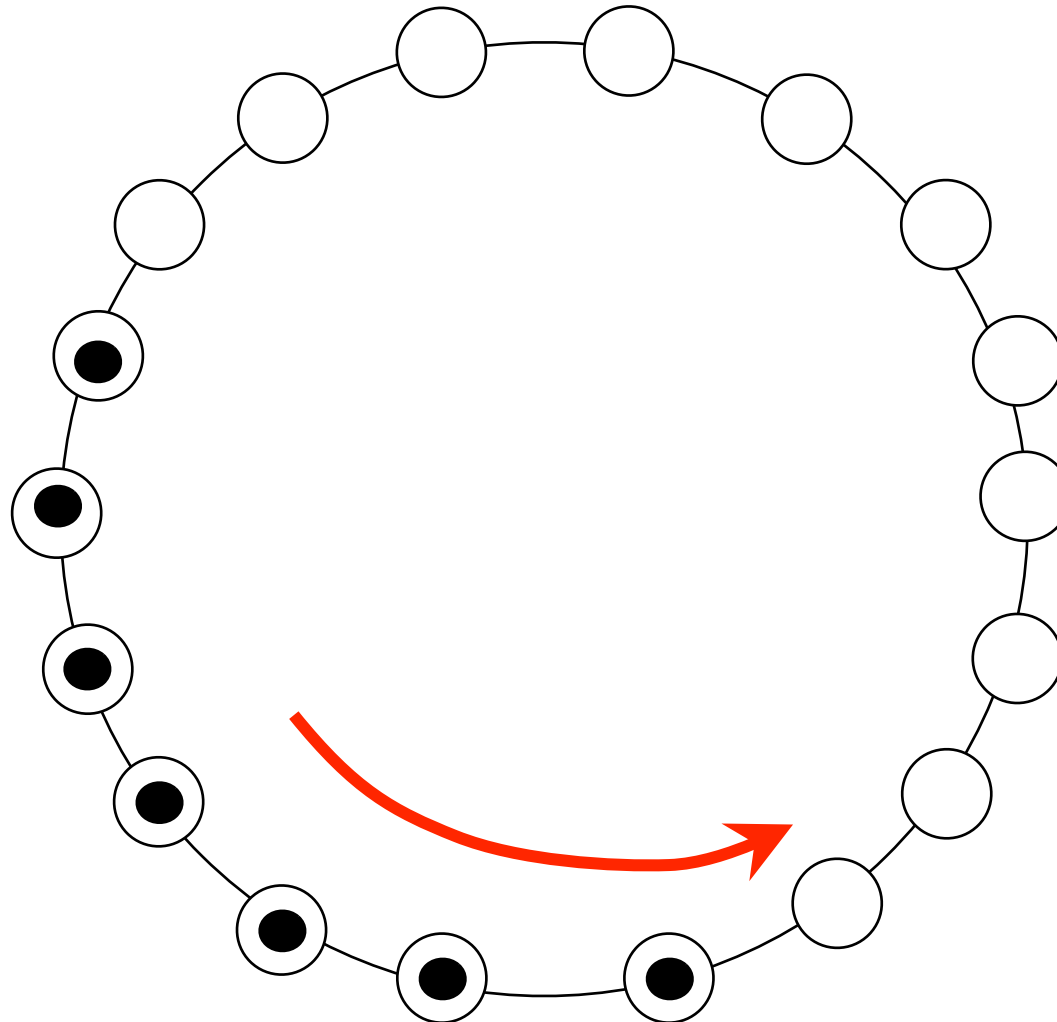
# Deterministic Algorithm

- $k \mid n$ ,  $k > \log n$ , and  $n > 16$



# Deterministic Algorithm

- $k \mid n$ ,  $k > \log n$ , and  $n > 16$



# Optimality

- $\Theta(\log n)$  robots are necessary and sufficient, provided that  $k$  does not divide  $n$ .  
*A deterministic algorithm for  $k \geq 17$*
- Minimal Number of Robots?
- Probabilistic?

# Optimality

Theorem.

4 probabilistic robots are necessary and sufficient,  
provided that  $n > 8$

- $n$  and  $k$  are not required to be coprime
- 1. Exploration impossible with less than 4 robots
- 2. An algorithm working with 4 probabilistic robots ( $n > 8$ )

# Oblivious Robots

Termination

Exploration

Implicit memory

At least one configuration that cannot be an initial configuration

Remark.

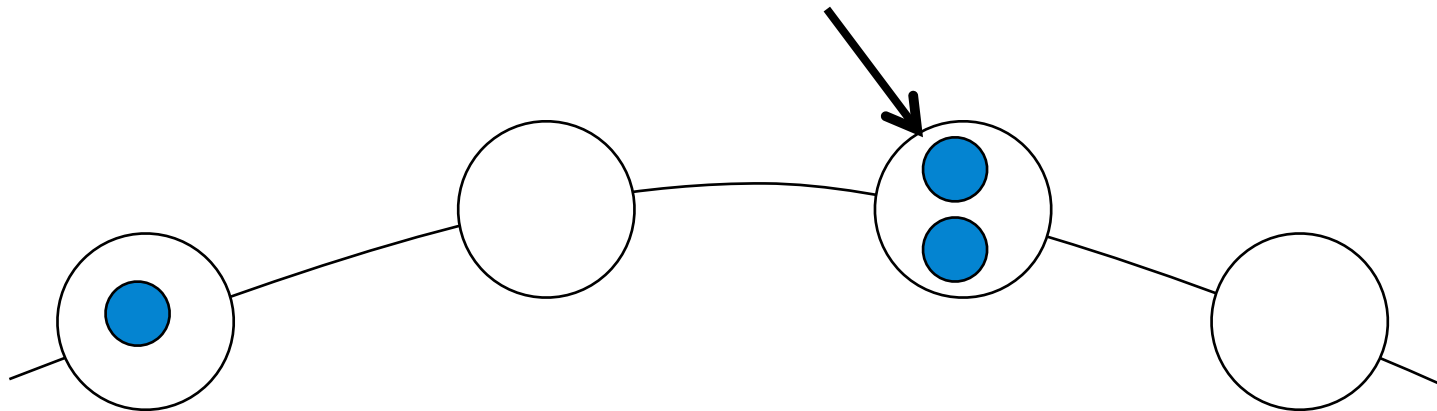
If  $n > k$ , any terminal configuration of any protocol contains at least one *tower*.



# Tower

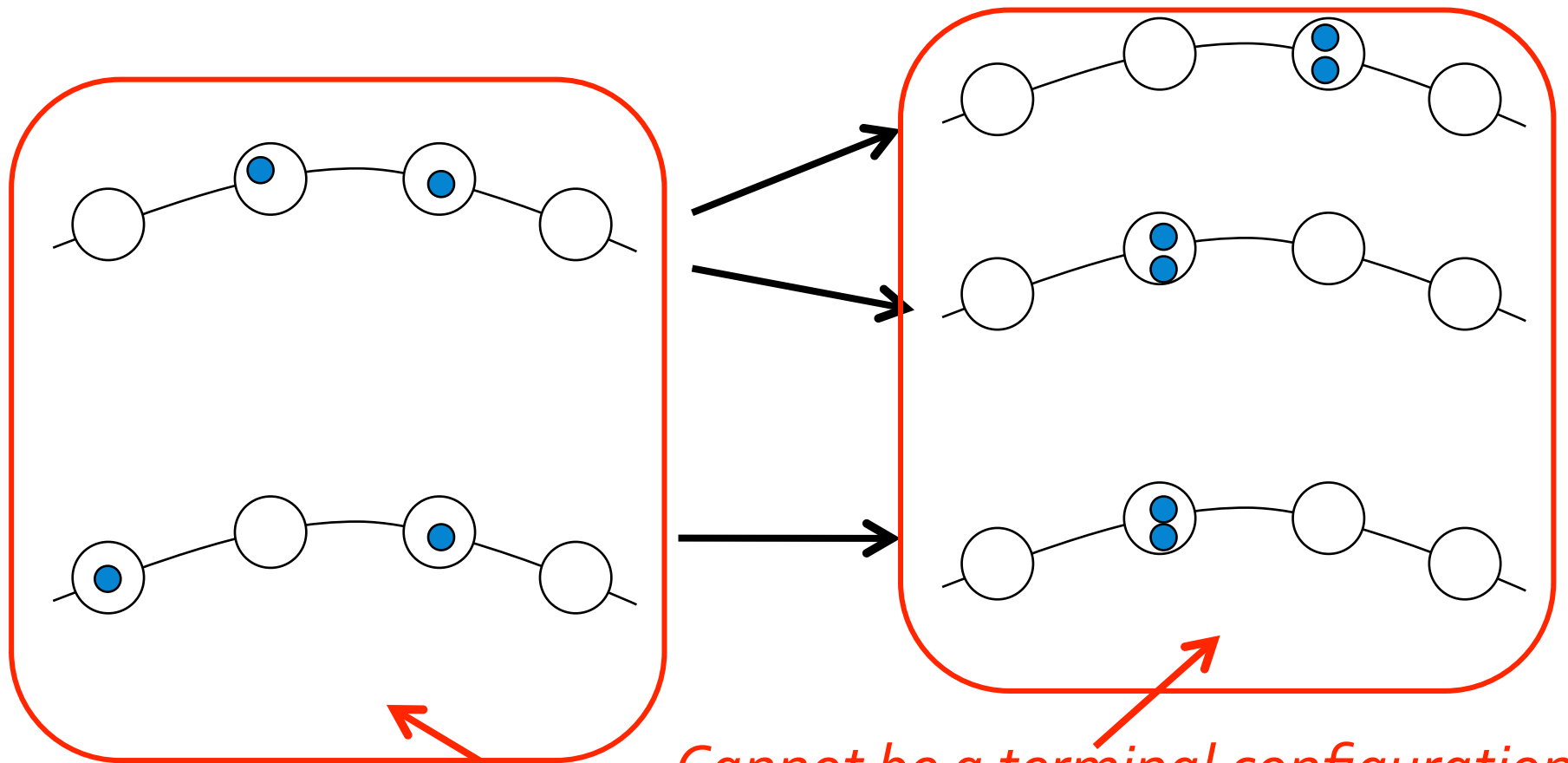
Definition.

*A node with at least two robots.*



$$k \geq 2$$

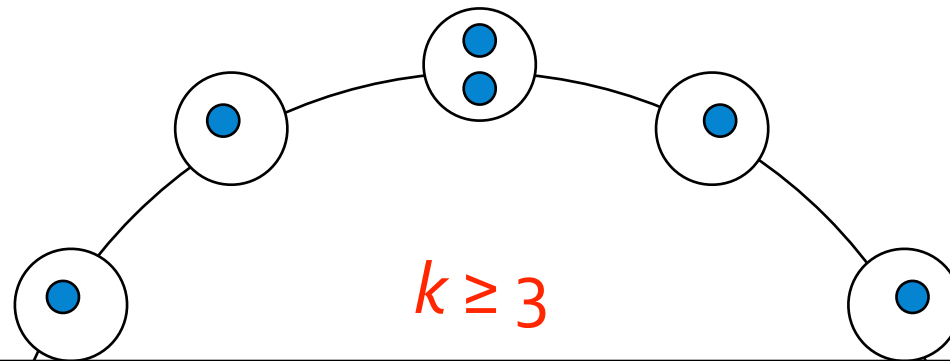
# Tower Building



*Can be an initial configuration*

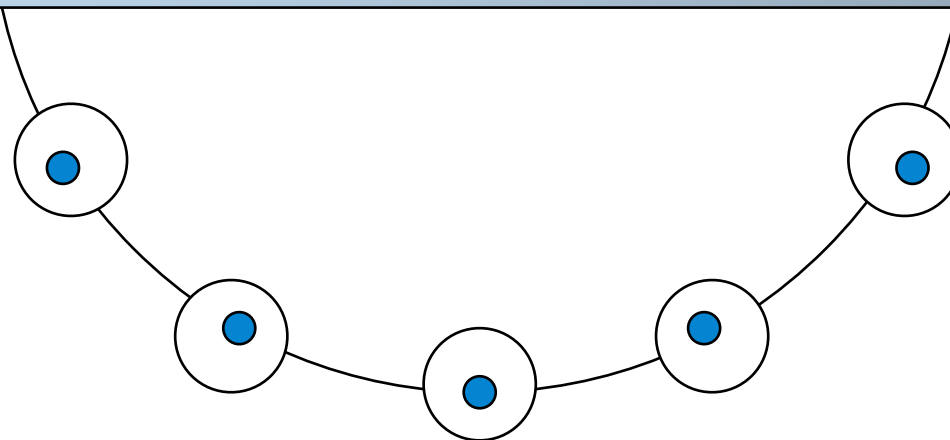
*Cannot be a terminal configuration*

# Enabling Exploration

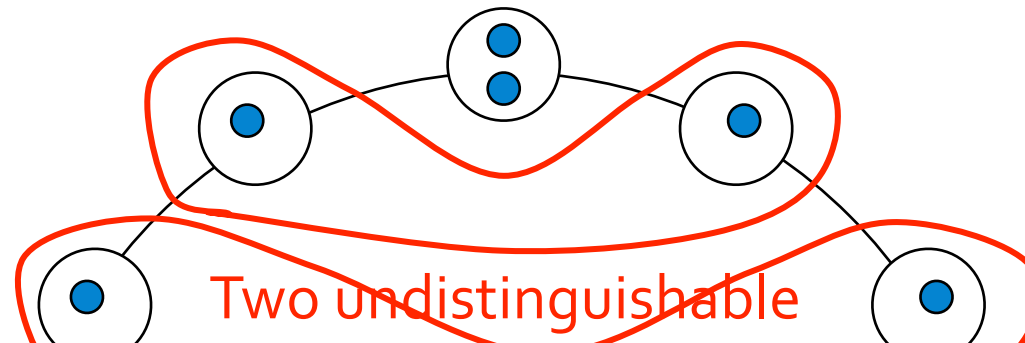


Lemma.

Every execution must contain a suffix of at least  $n-k+1$  configurations containing a tower of less than  $k$  robots and any two of them are distinguishable.

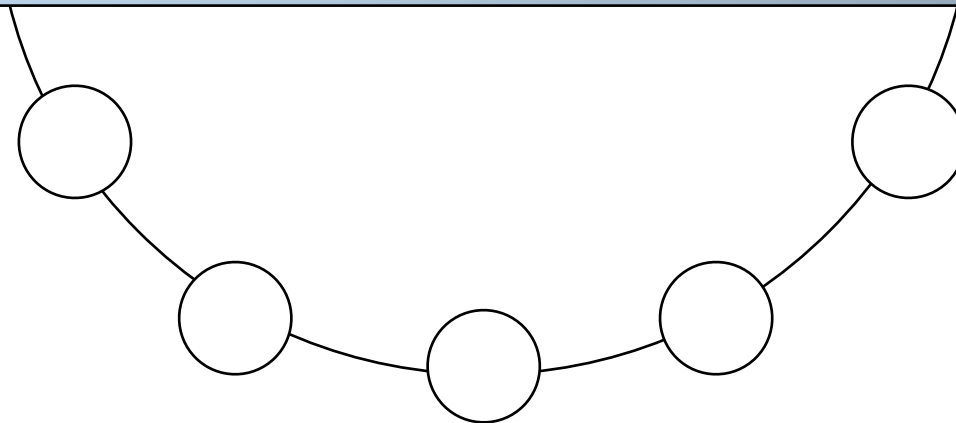


# Enabling Exploration



Lemma.

With 3 robots and a fixed tower of 2 robots, the maximum number of distinguishable configurations is equal to  $\left\lfloor \frac{n}{2} \right\rfloor$ .



# Enabling Exploration

Lemma.

*For every  $n > 4$ , there exists no exploration protocol (even probabilistic) of a  $n$ -size ring with 3 robots.*

Proof :

$$\left\lfloor \frac{n}{2} \right\rfloor \geq n - k + 1 \Rightarrow n \leq 4$$

## Negative result

Theorem.

*For every  $n \geq 4$ , there exists no exploration protocol (even probabilistic) of a  $n$ -size ring with three robots.*

Proof :

There exists no protocol with 3 robots in a 4-size ring with a distributed scheduler.

# Contribution

Theorem.

4 probabilistic robots are necessary and sufficient,  
provided that  $n > 8$

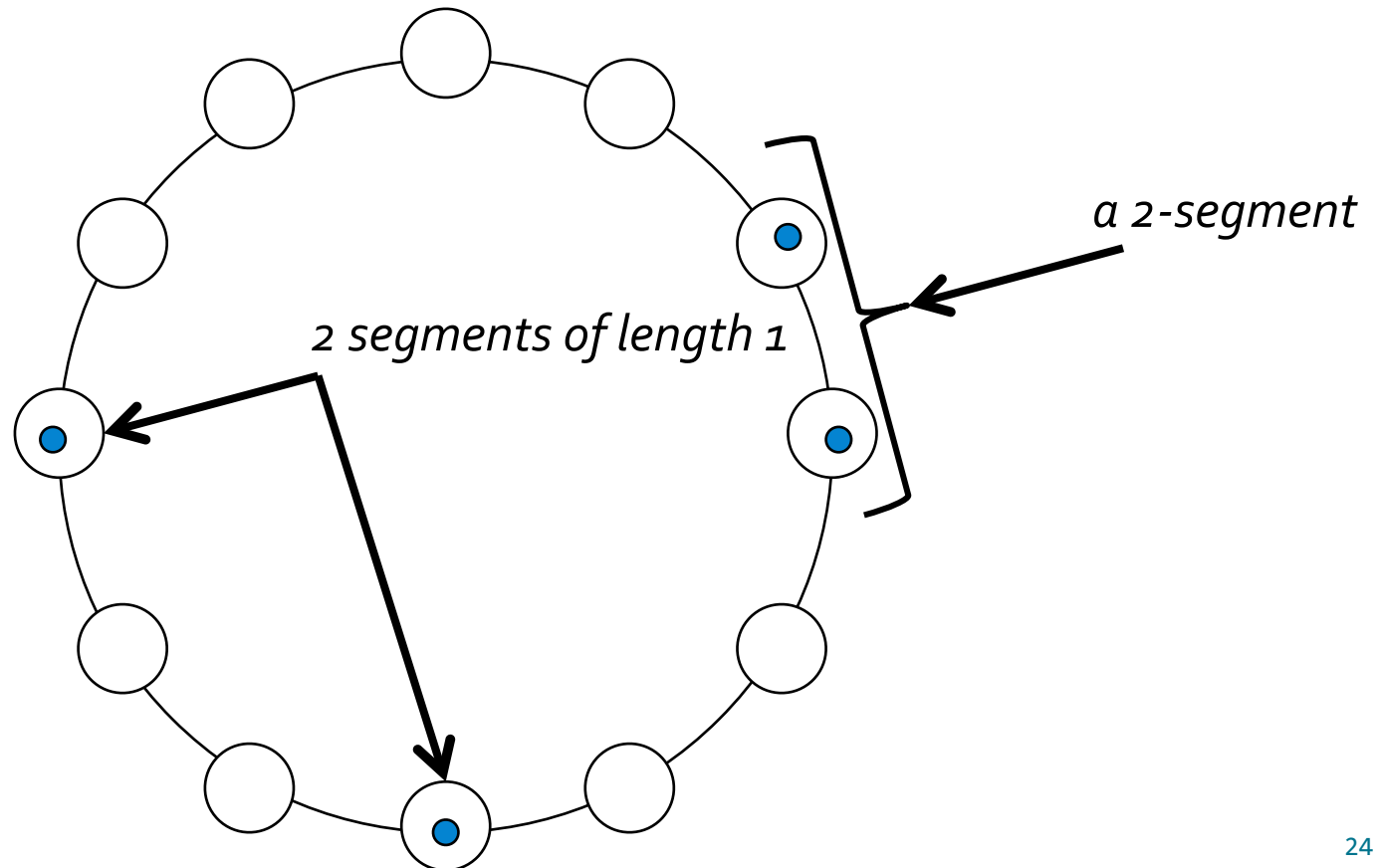
- $n$  and  $k$  are not required to be coprime

1. Exploration impossible with less than 4 robots
2. Give an algorithm working with 4 probabilistic robots ( $n > 8$ )

# Definitions

## Segment.

*A maximal non-empty elementary path of occupied nodes.*

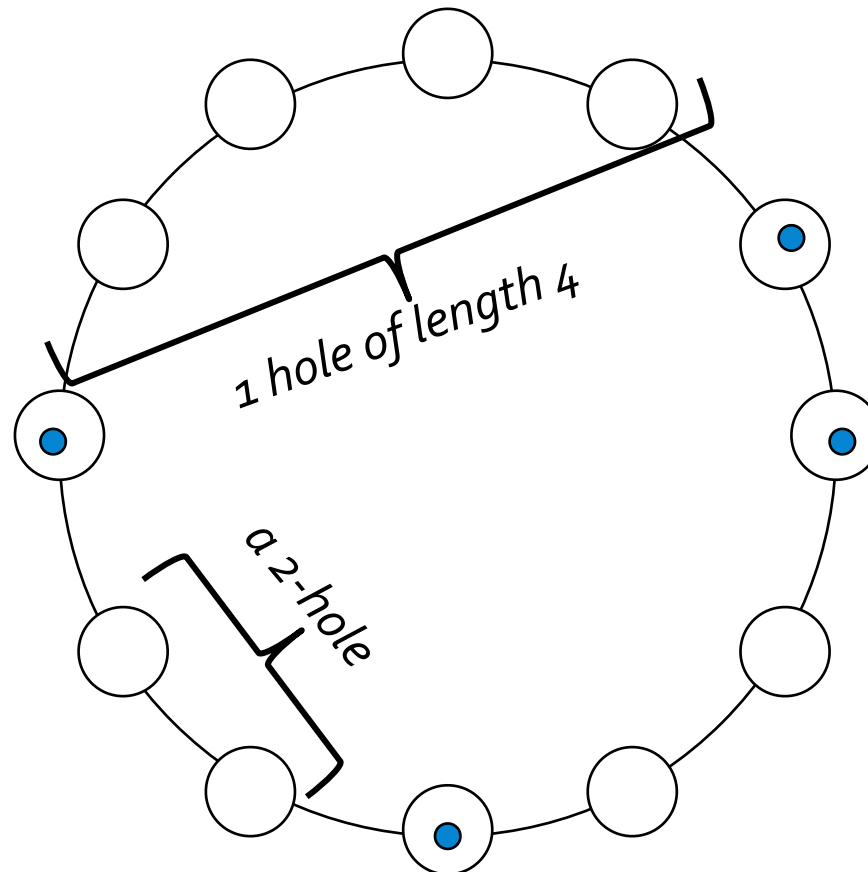




# Definitions

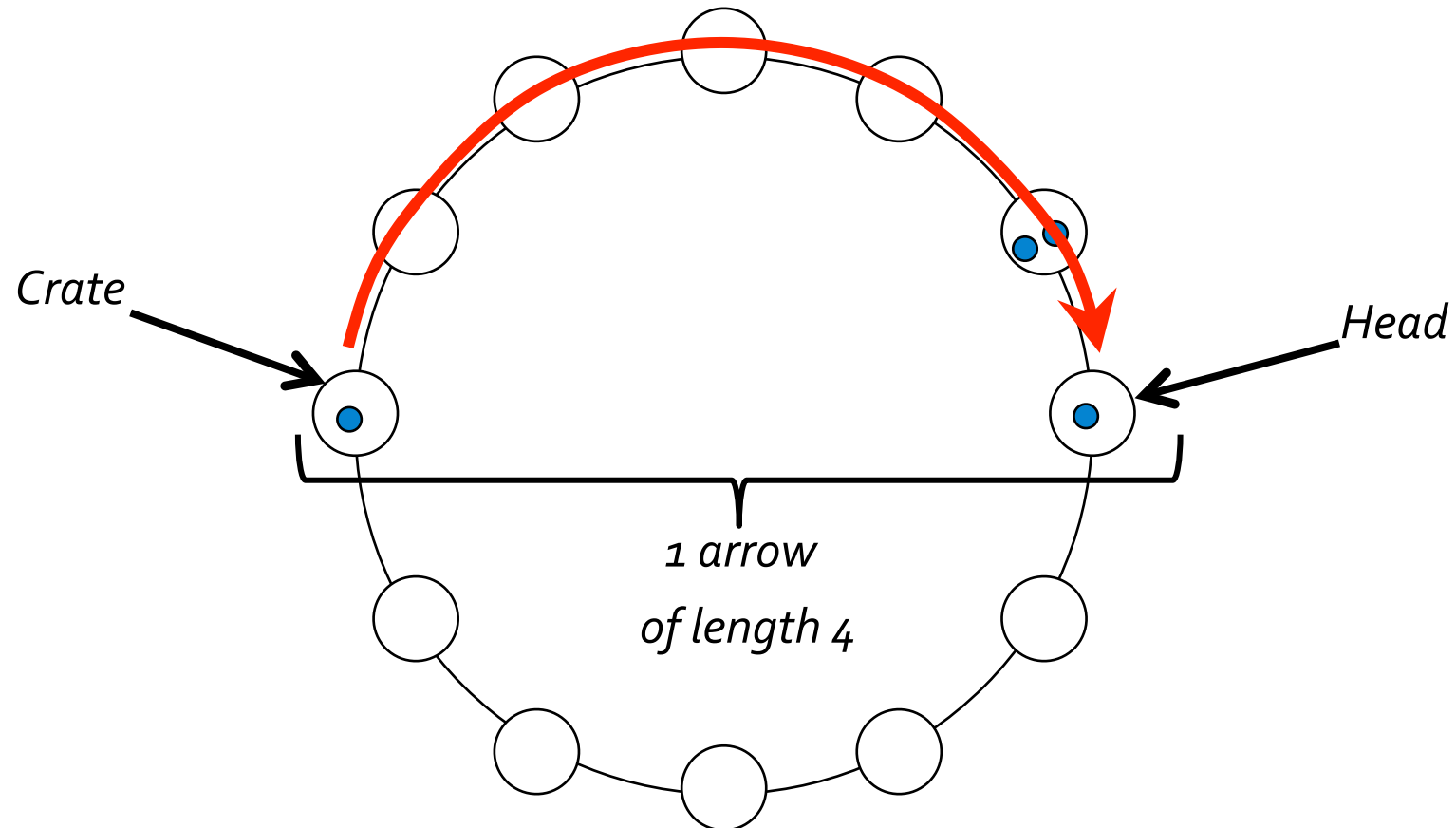
Hole.

*A maximal non-empty elementary path of free nodes.*



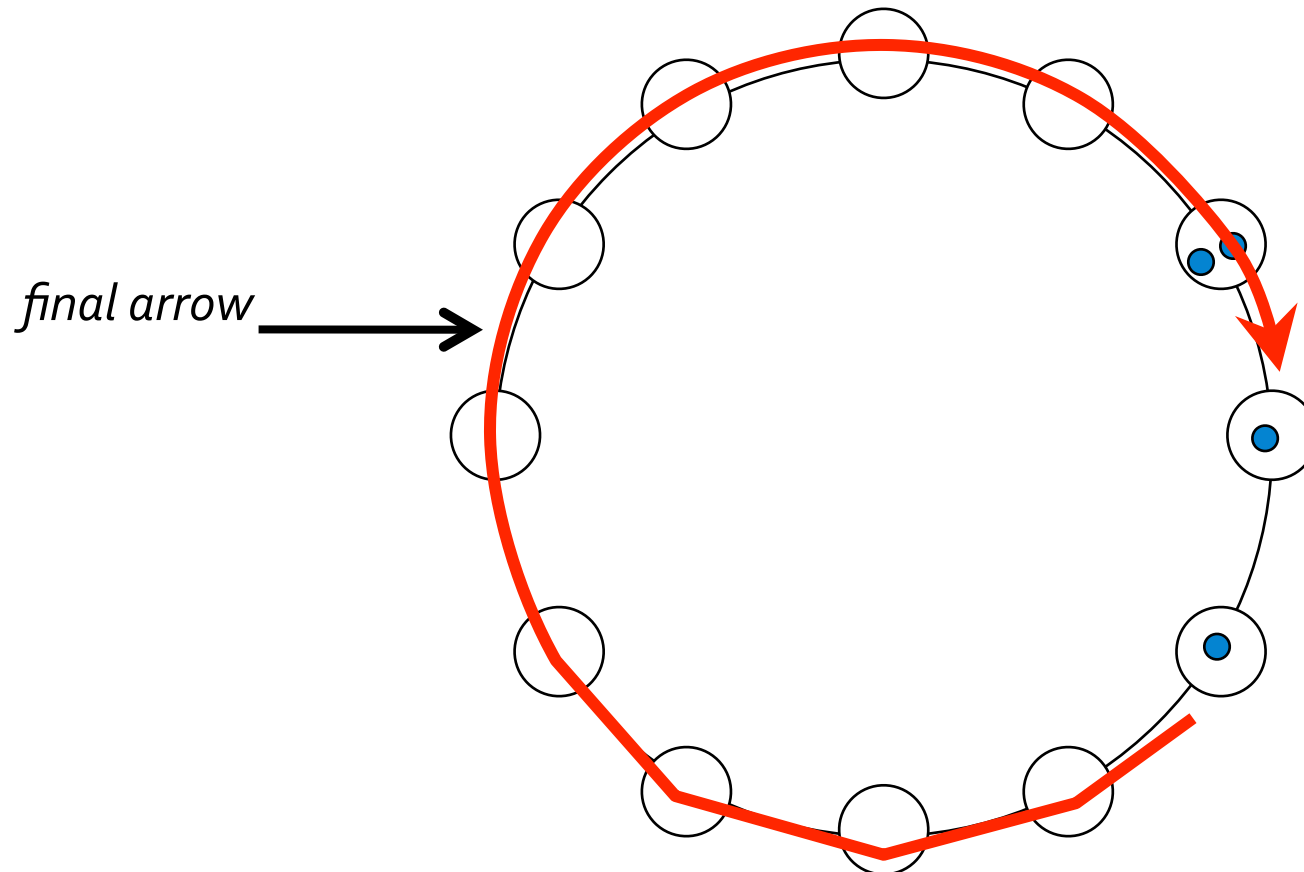
# Definitions

Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.



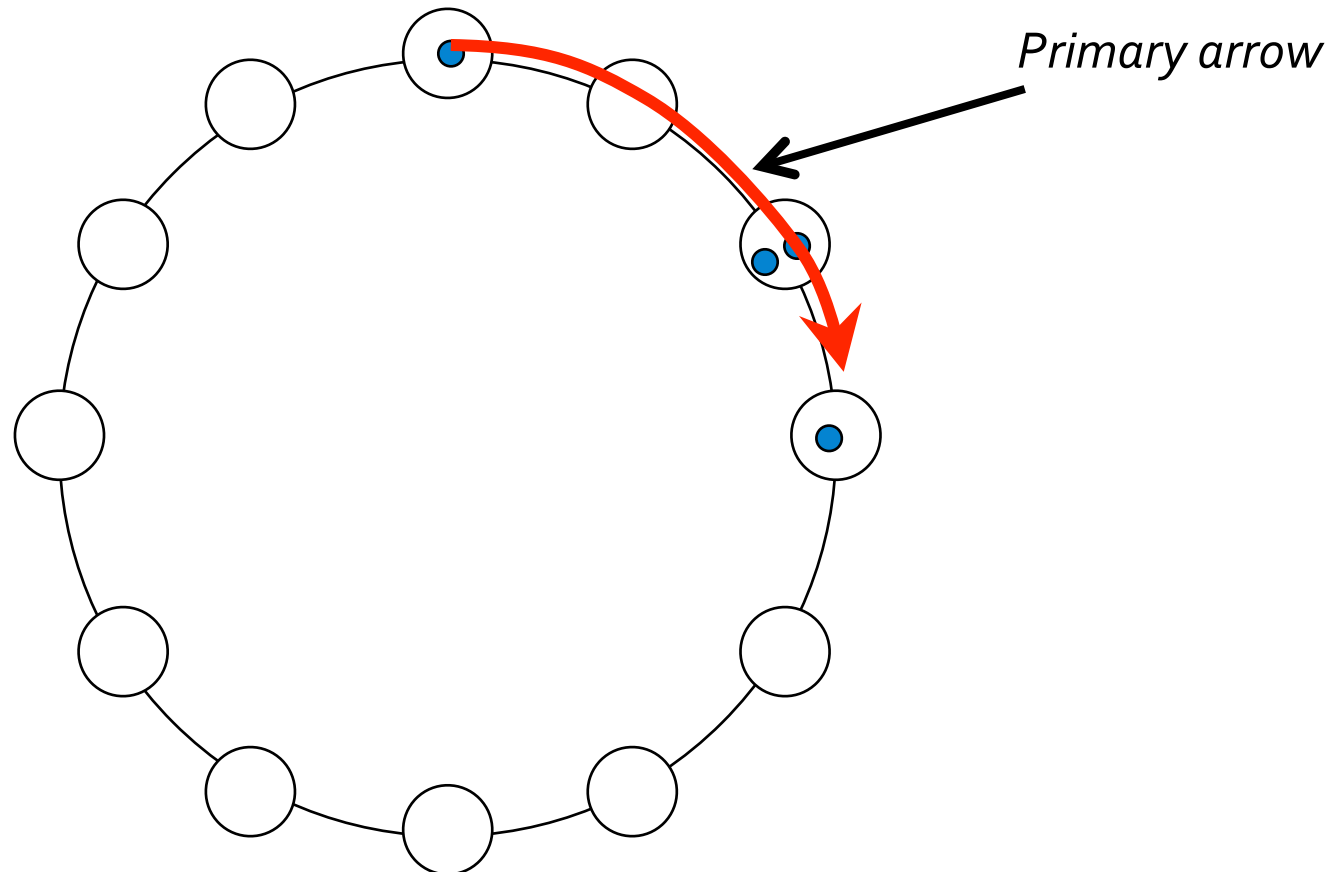
# Definitions

Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.



# Definitions

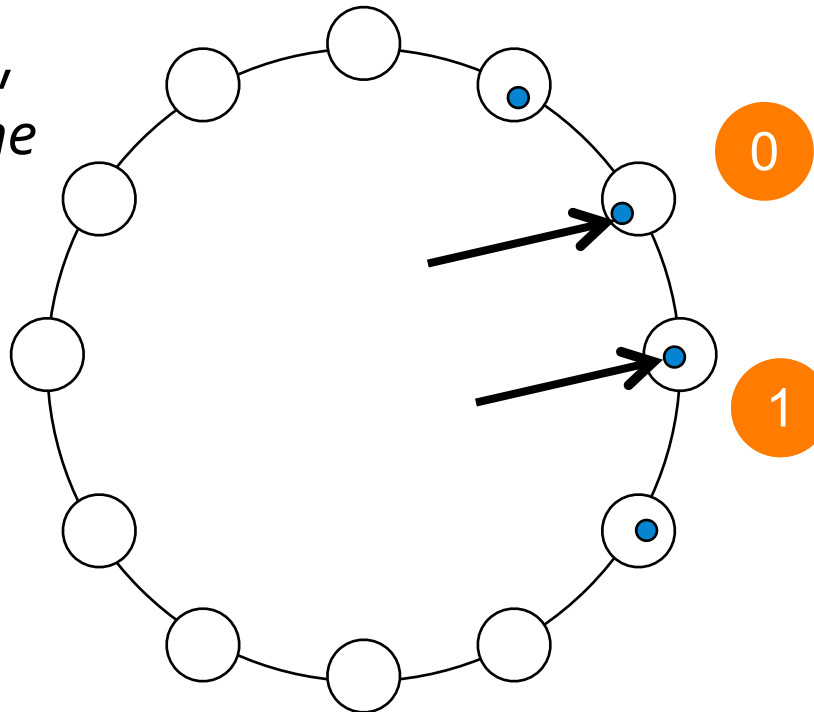
Arrow. A 1-segment, followed by a non-empty elementary path of free nodes, a tower, and a 1-segment.



# Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower
- 3. Visit the ring and terminate

If I am an *internal* node,  
then I *try* to move on the  
other internal node.



# Algorithm

Initially, there is no tower

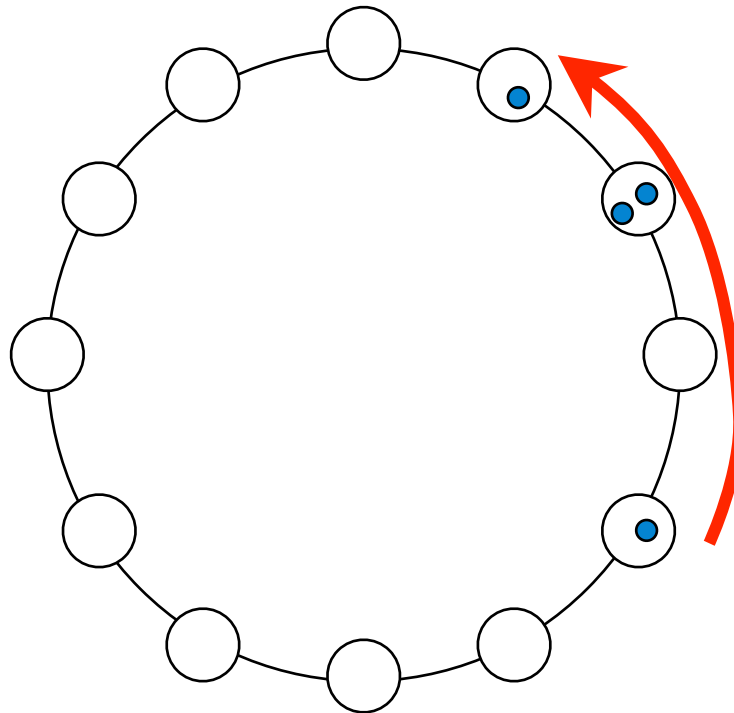
1. Converge toward a 4-segment

2. Build a tower



Primary arrow

3. Visit the ring and terminate



# Algorithm

Initially, there is no tower

1. Converge toward a 4-segment

2. Build a tower

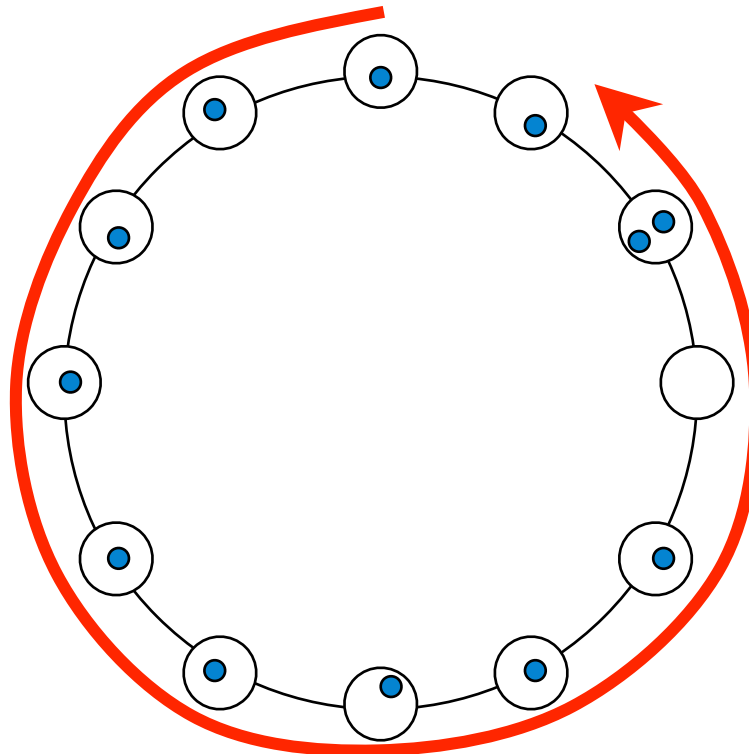


Primary arrow

3. Visit the ring and terminate



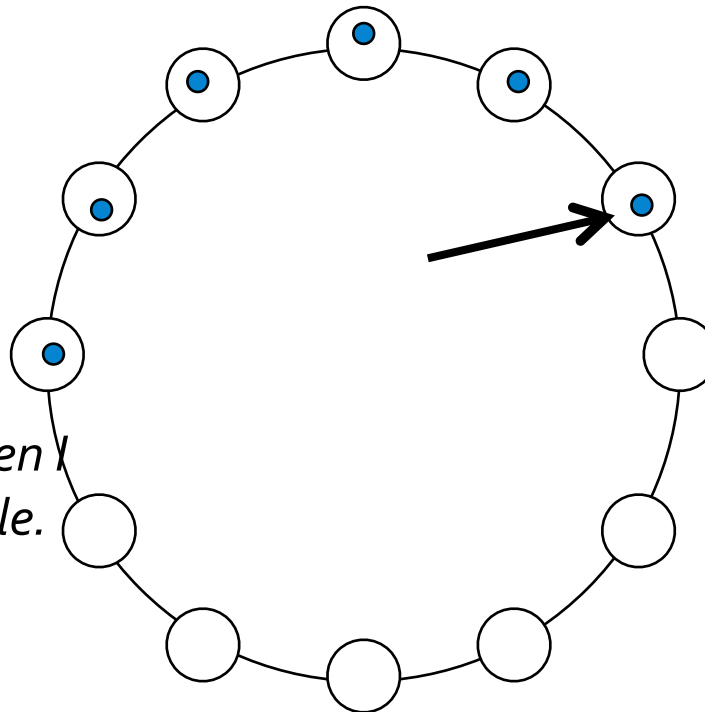
Final arrow



# Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

a) 3-segment



If I am *the isolated* node, then I *move* through a shortest hole.

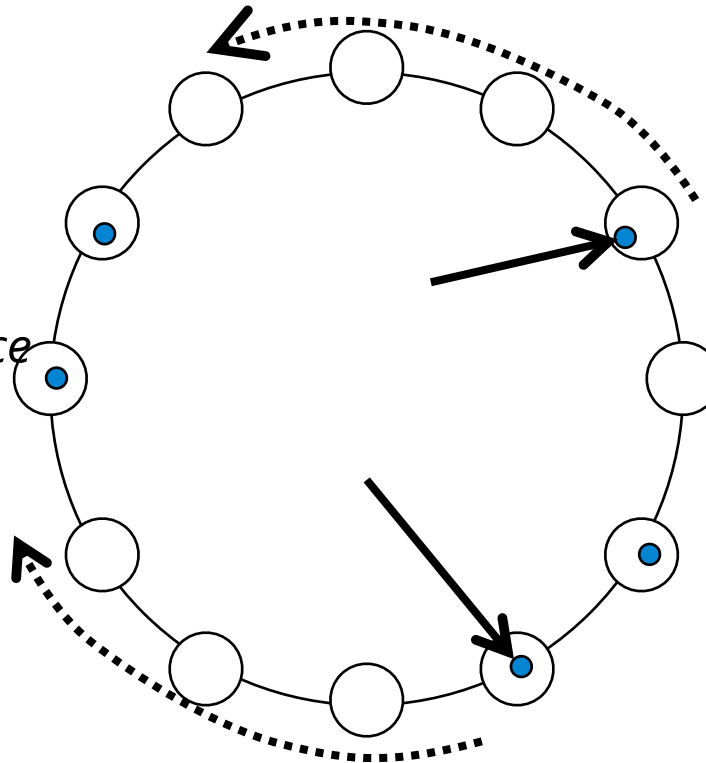


# Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment

If I am at the closest distance from the 2-segment, then I **move** toward the closest extremity.

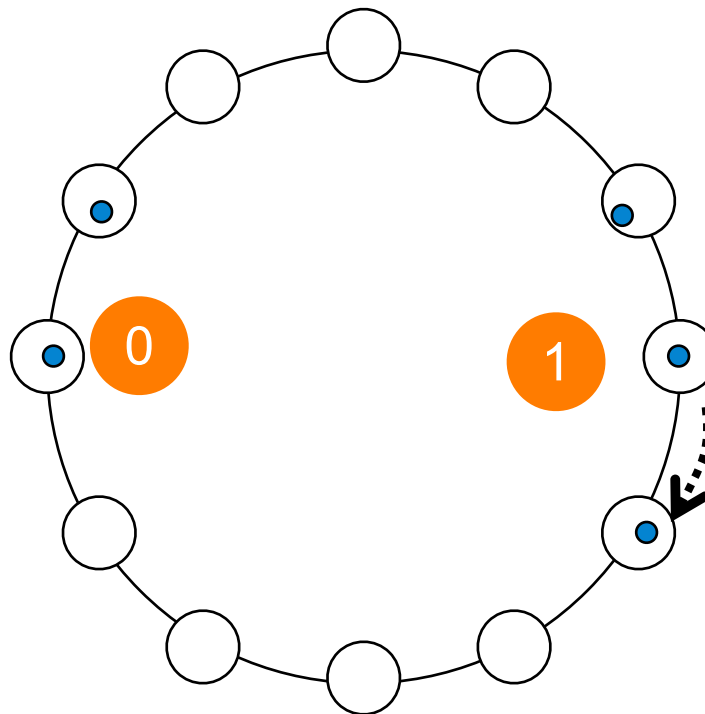


# Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments

If I am a neighbor of the longest hole, then I *try* to move toward the other 2-segment.



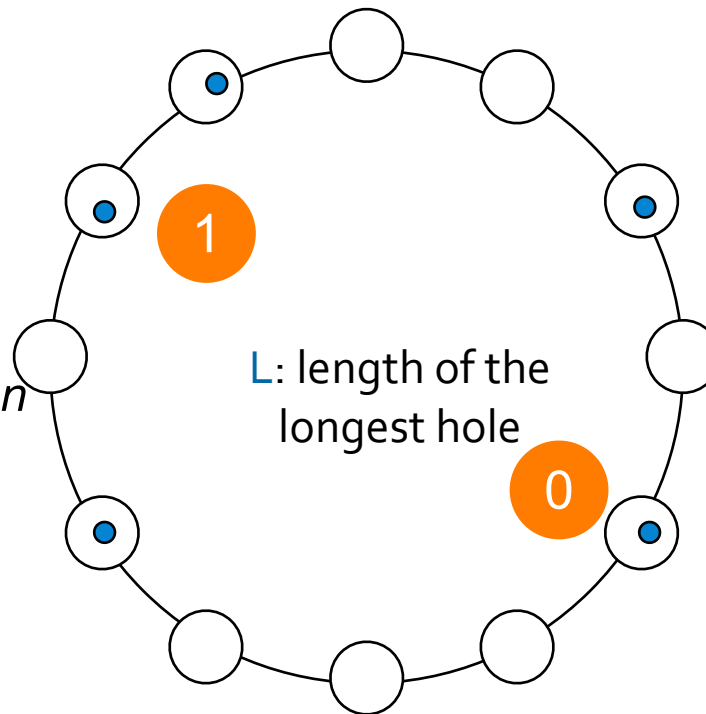
# Algorithm

Initially, there is no tower

1. Converge toward a 4-segment
2. Build a tower → Primary arrow
3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments
- d) four isolated nodes

If 4 robots are neighbors of an  $L$ -hole, then I *try* to move through my longest neighboring hole.

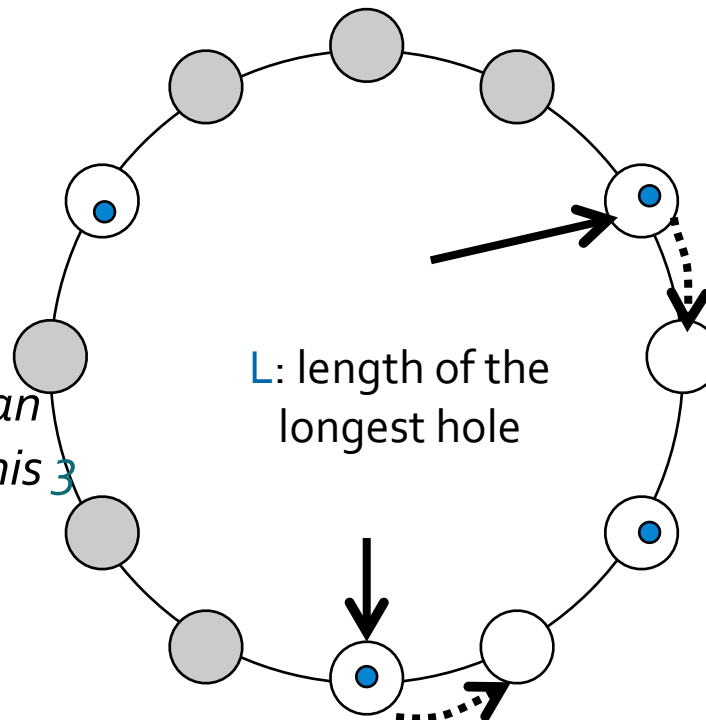


# Algorithm

- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments
- d) four isolated nodes

If 3 robots are neighbors of an  $L$ -hole, then if I am one of this 3 robots and a neighbor of a smaller hole  $h$ , then I move through  $h$ .

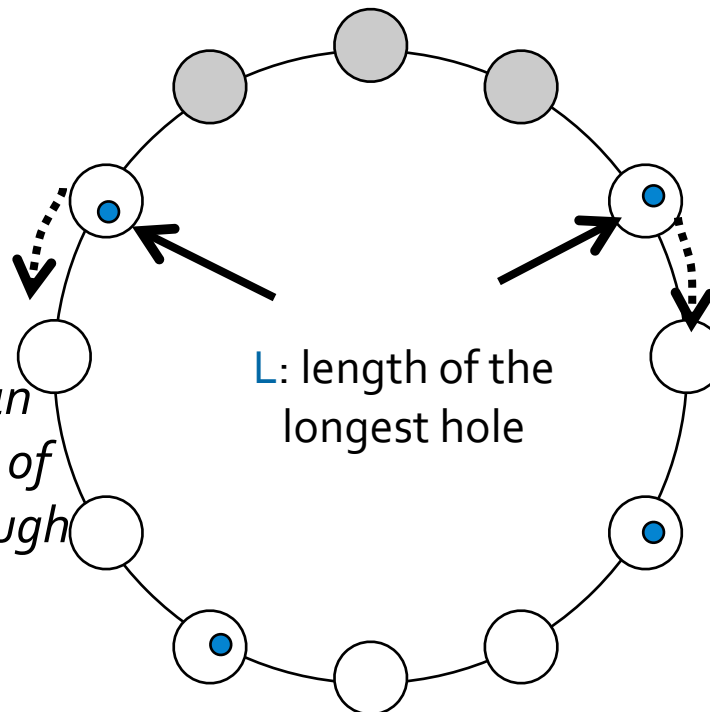


# Algorithm

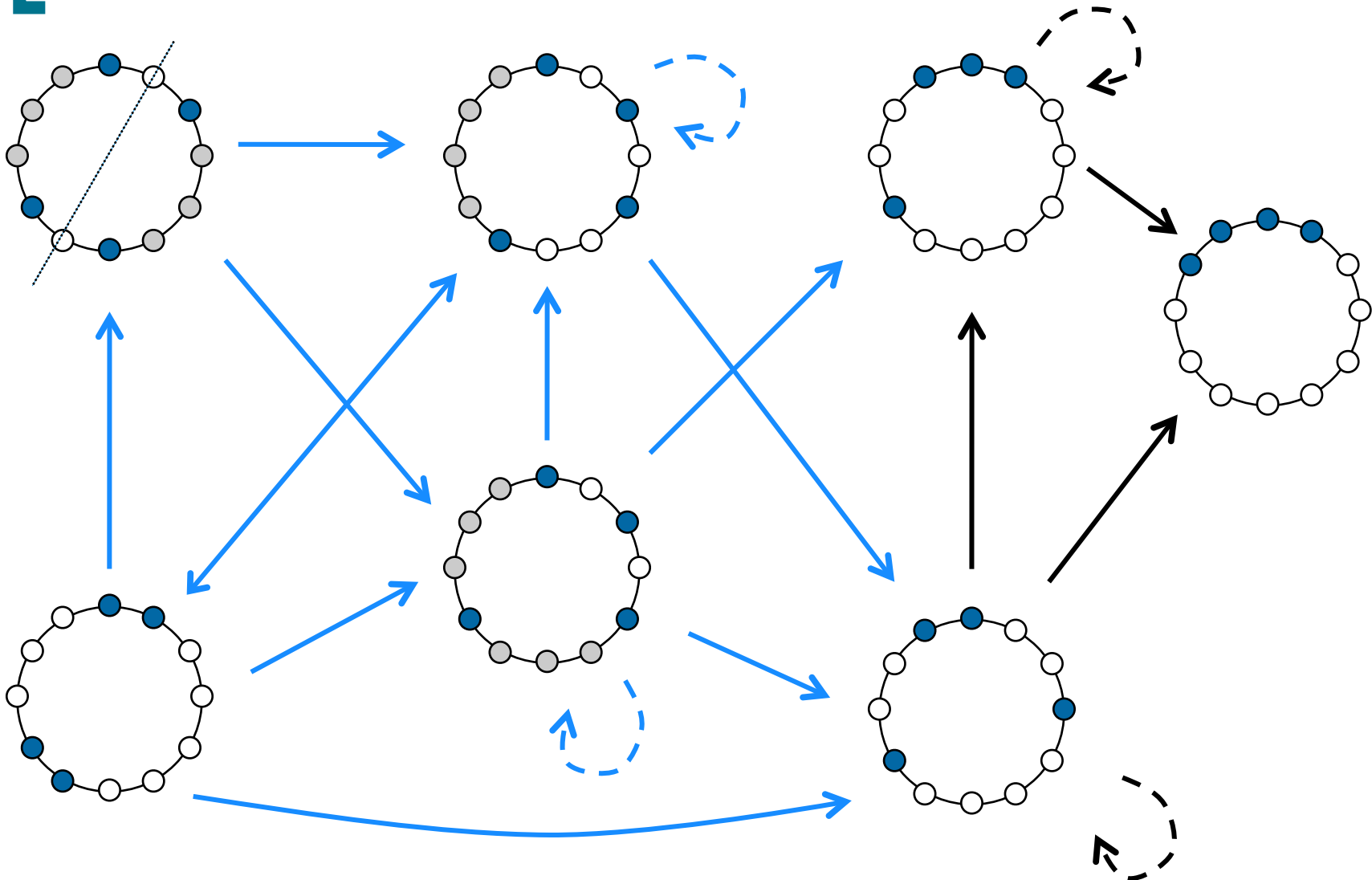
- Initially, there is no tower
- 1. Converge toward a 4-segment
- 2. Build a tower → Primary arrow
- 3. Visit the ring and terminate → Final arrow

- a) 3-segment
- b) a unique 2-segment
- c) two 2-segments
- d) four isolated nodes

*If 2 robots are neighbors of an L-hole, then if I am neighbor of the L-hole, then I move through the other neighboring hole.*



# [Phase 1, Summary]



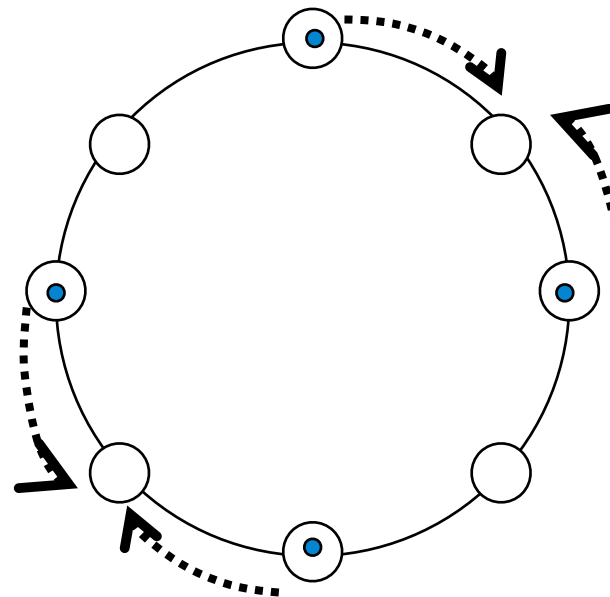
# Proof

Lemma.

*No tower is created during Phase 1 in a  $n$ -ring with  $n > 8$ .*

Proof Bas:

With  $n > 8$  and 4 robots, there always exists a hole of length greater than 1.



# [Proof]

Lemma.

*No tower is created during Phase 1 in a  $n$ -ring with  $n > 8$ .*

Lemma.

*Starting from any initial configuration, the system reaches in finite expected time a configuration containing a 4-segment.*

Theorem.

*The algorithm (Phases 1 to 3) is a probabilistic exploration protocol for 4 robots in a ring of  $n > 8$  nodes.*



# Conclusion

$n$ : Number of nodes

$k$ : Number of agents

✓ **Ring** [Flocchini et al., OPODIS 2007] [Devismes et al., SIROCCO 2009]  
[Lamani et al., SIROCCO 2010]

- Deterministic exploration impossible if  $k$  divides  $n$  (except if  $k = n$ )
- Asynchronous deterministic algorithm with  $k > 16$
- Deterministic or probabilistic exploration impossible if  $k < 4$
- Probabilistic algorithm impossible in asynchronous settings
- Optimal Semi-synchronous Probabilistic Algorithm
- Deterministic exploration impossible if  $k < 5$  and  $n$  even
- Optimal asynchronous deterministic algorithm,  $k = 5$  and  $n$  even
- Optimal semi-synchronous deterministic algorithm,  $k = 4$  and  $n$  odd

# Conclusion

$n$ : Number of nodes

$k$ : Number of agents

- ✓ **Ring** [Flocchini et al., OPODIS 2007] [Devismes et al., SIROCCO 2009]  
[Lamani et al., SIROCCO 2010]
- ✓ **Tree** [Flocchini et al., SIROCCO 2008]
  - Asynchronous deterministic algorithm for trees with maximum degree equal to 3:  $k \in \Theta(\log n / \log \log n)$
  - Arbitrary tree:  $k \in \Theta(\log n)$
- ✓ **Chain** [Flocchini et al., IPL 2011]
  - Characterization of  $k$ :  $k = 3$ ,  $k > 4$ , or  $k = 4$  and  $n$  odd
- ✓ **Grid** [Devismes et al., SSS 2012]
  - Deterministic or probabilistic exploration impossible if  $k < 2$
  - Optimal Semi-synchronous Deterministic Algorithm,  $k = 3$

# Optimal Grid Exploration by Asynchronous Oblivious Robots

**Franck Petit**

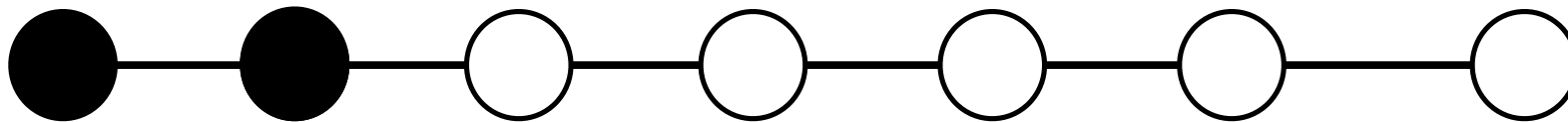
INRIA/LIP6-CNRS/UPMC

# Impossibility Results (I)

✓ *Impossible if  $k < 3$*

## Remark

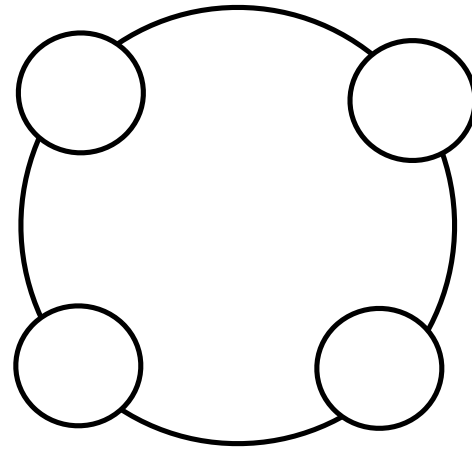
Any terminal configuration of any (probabilistic or deterministic) exploration protocol for a grid of  $n$  nodes using  $k < n$  oblivious robots contains at least one tower.



Initial configuration

# Impossibility Results (2)

✓ *Impossible for (2,2)-grid if  $k=3$*



Impossible [Devismes 2009]

# Impossibility Results (3)

✓ *Impossible for (3,3)-grid if  $k=3$*

❑ Tower of size 3       $\longrightarrow$  At most one new node is visited

❑ Tower of size 2

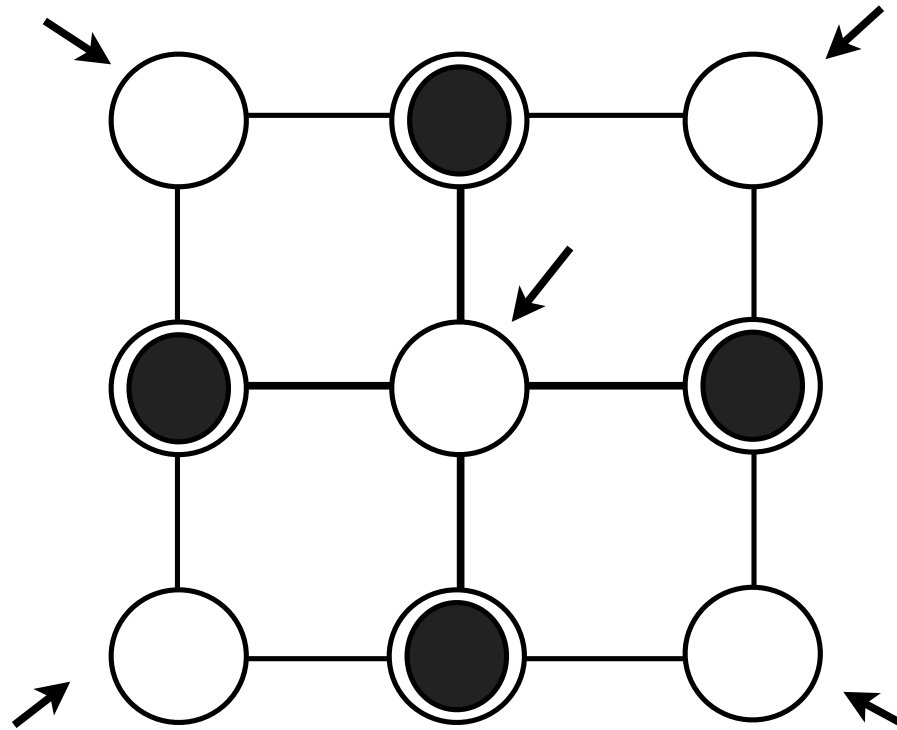
• The tower remains idle       $\longrightarrow$  4 new nodes are visited  
(7 distinct nodes are visited in total)

Impossible since  $n=6$

• The tower moves       $\longrightarrow$  Multiplicity of size 3

# Impossibility Results (4)

✓ *Impossible for (3,3)-grid if  $k=4$*



# Algorithm

## □ Setting

- ✓  $(i,j)$ -grids such that  $j > 3$
- ✓  $k=3$
- ✓ Towerless initial configuration

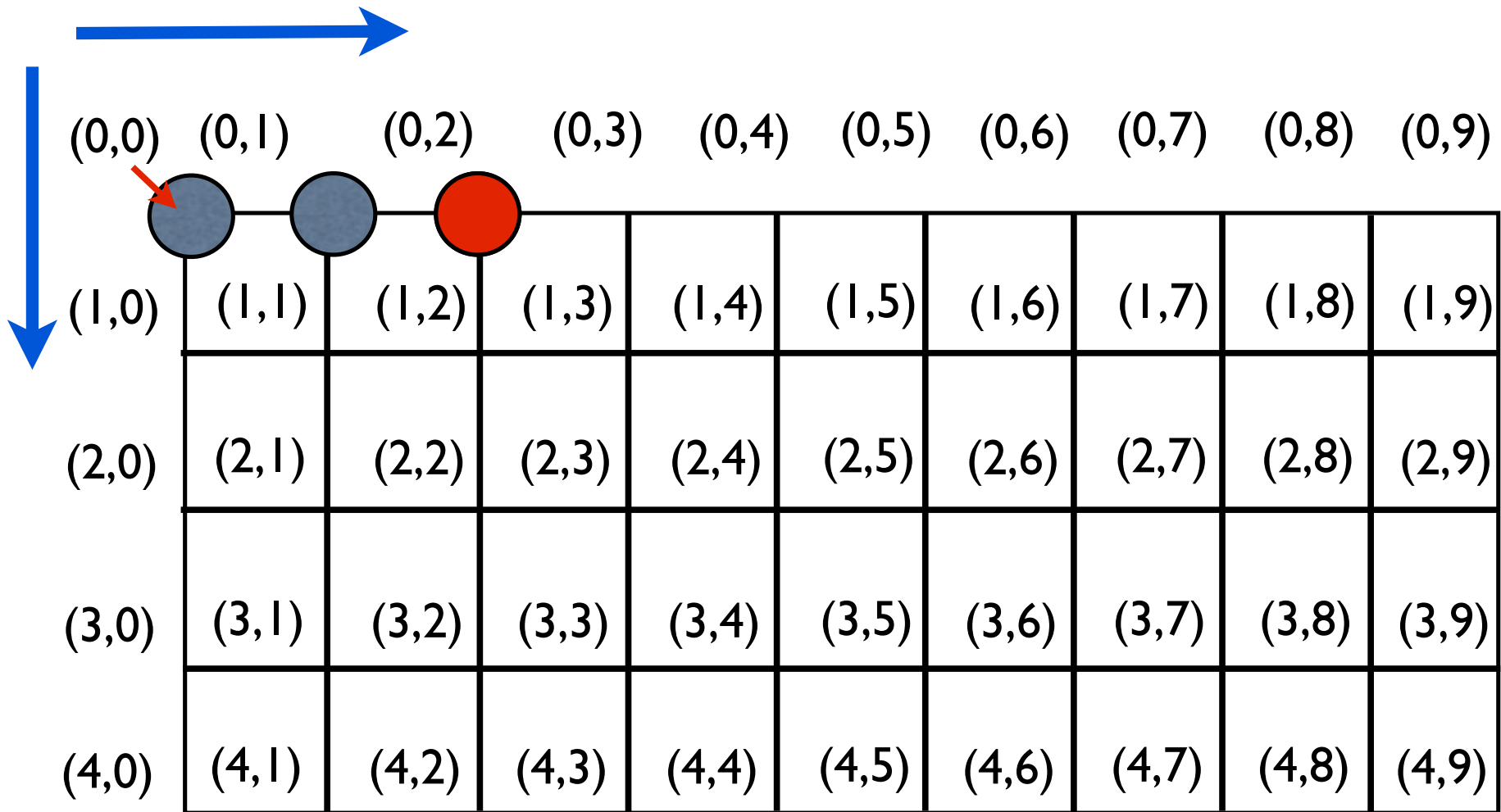


# Algorithm

- ❑ Phase 1: Set-Up phase
- ❑ Phase 2: Orientation phase
- ❑ Phase 3: Exploration phase

# Algorithm

## ❑ Phase 2: Experimentation phase

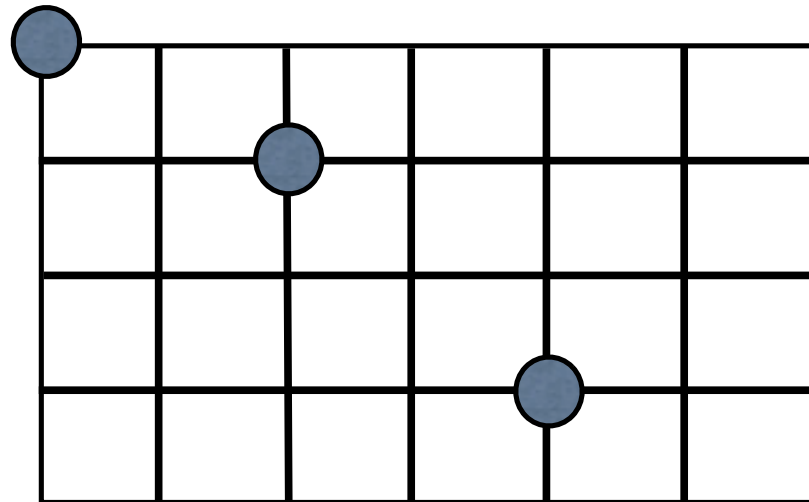


# Algorithm

## □ Phase I: Set-Up

- ✓ Configuration of type Leader

There is **exactly** one robot at a corner of the grid

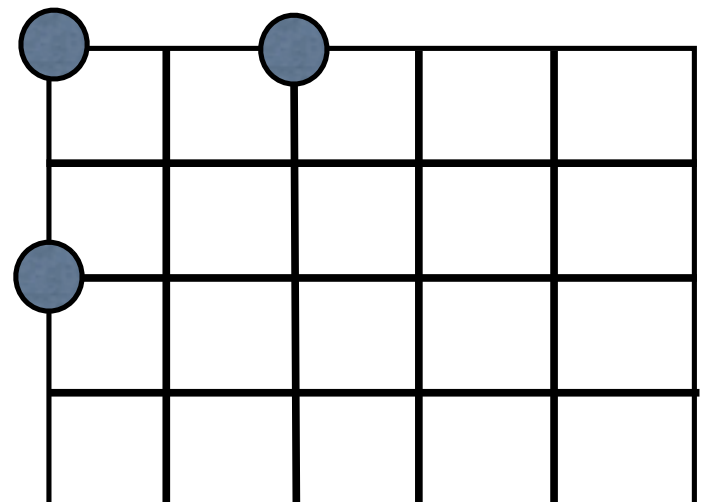
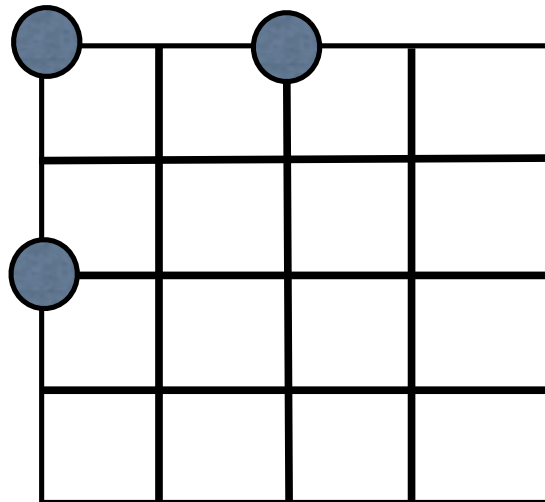
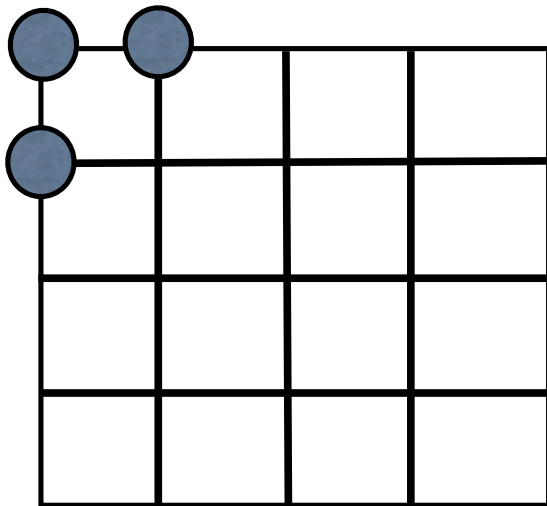


# Algorithm

## □ Phase I: Set-Up

- ✓ Configuration of type Leader

There is **exactly** one robot at a corner of the grid

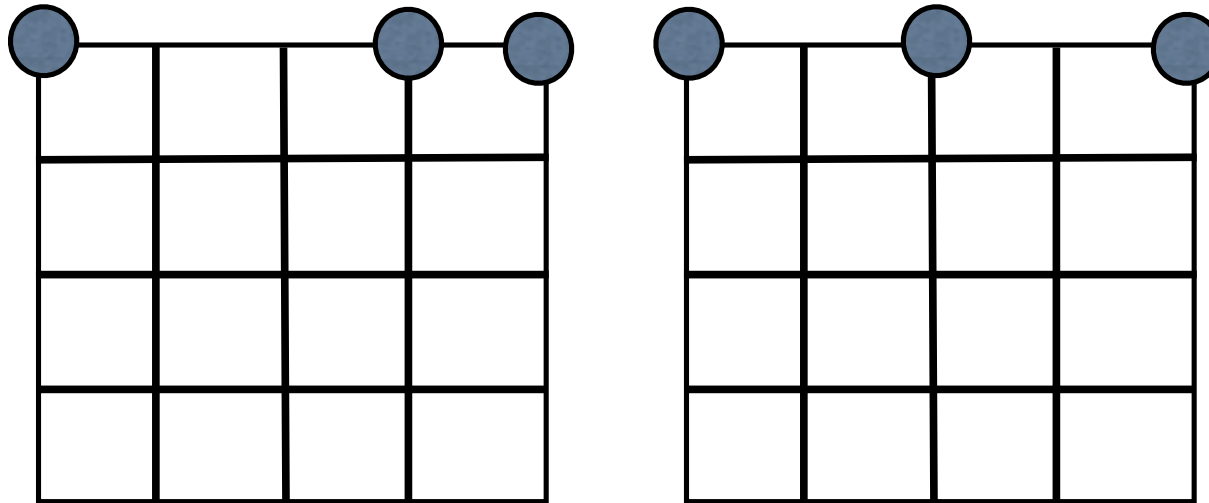


# Algorithm

## □ Phase I: Set-Up

✓ Configuration of type Choice

There are **at least two** robots at a corner of the grid

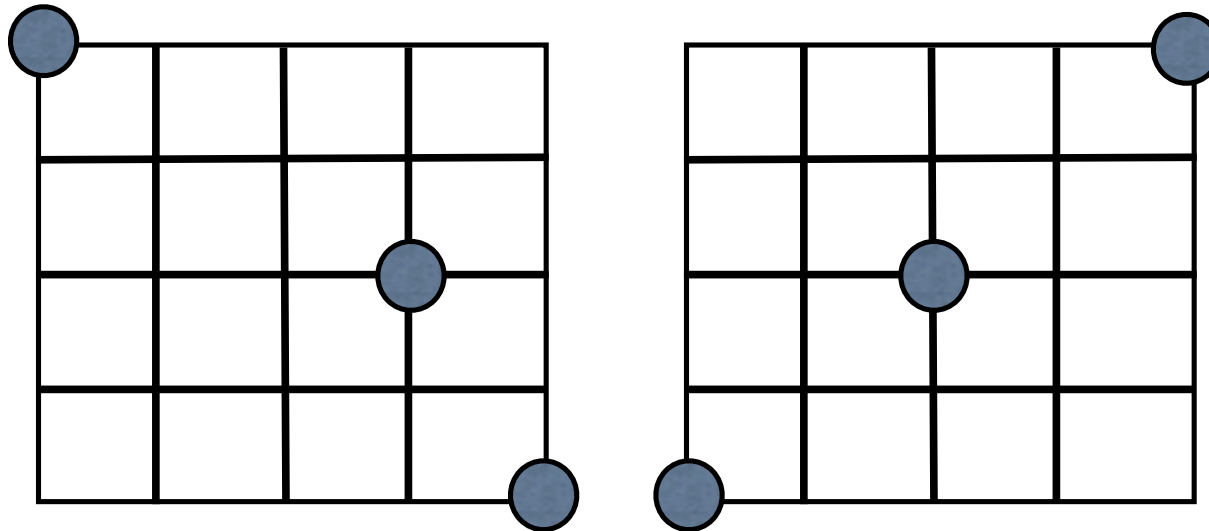


# Algorithm

## □ Phase I: Set-Up

✓ Configuration of type Choice

There are **at least two** robots at a corner of the grid

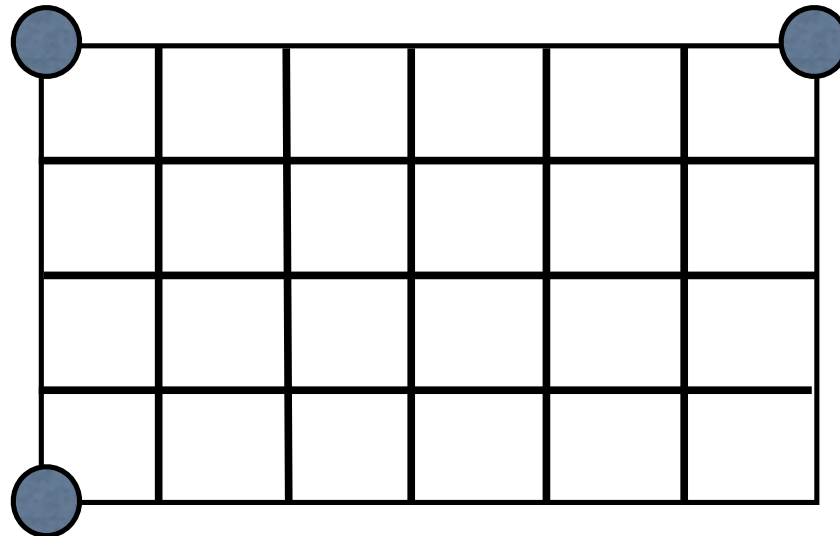


# Algorithm

## □ Phase I: Set-Up

✓ Configuration of type Choice

There are **at least two** robots at a corner of the grid

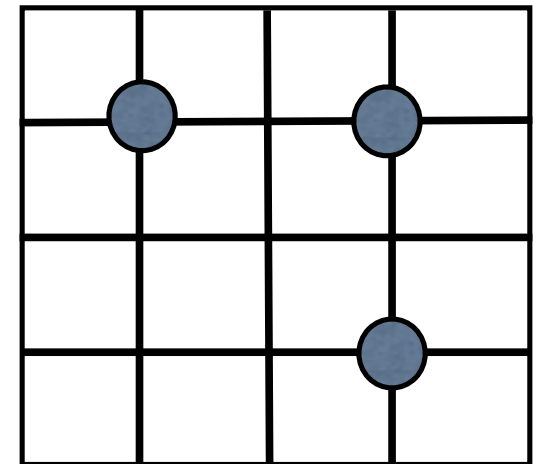
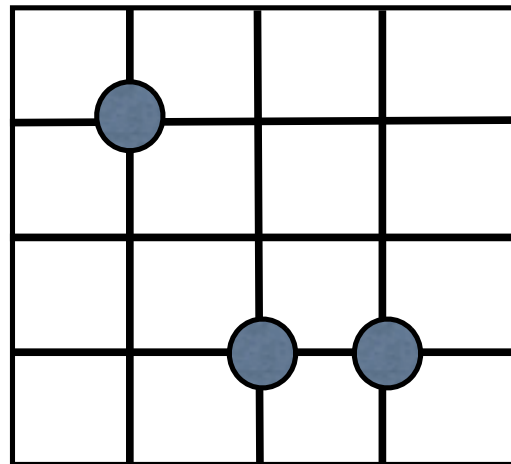
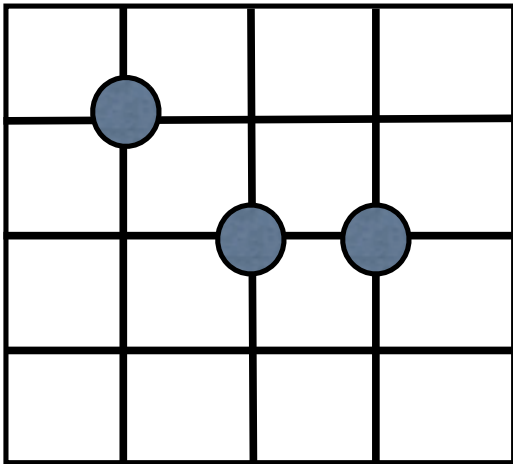


# Algorithm

## □ Phase I: Set-Up

✓ Configuration of type Undefined

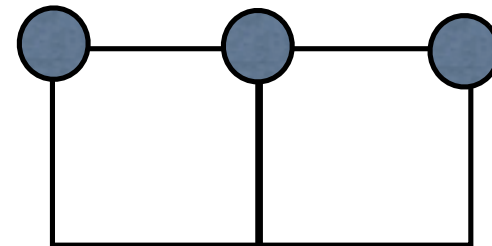
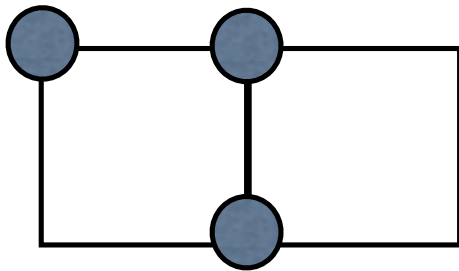
All the corners of the grid are free





# Algorithm

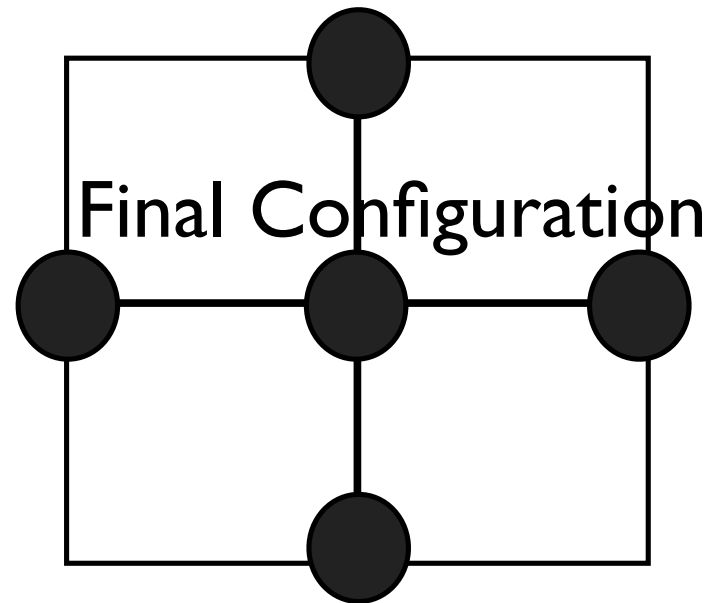
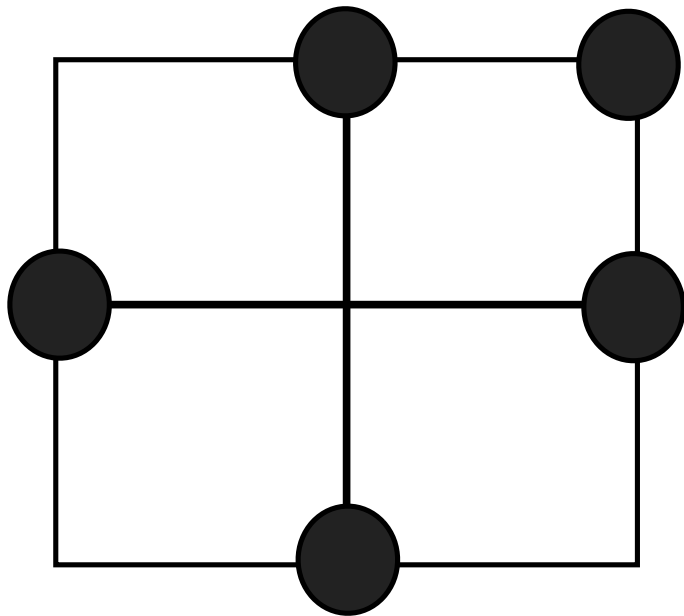
□ Special grid: (3,2) grids



Final Configuration

# Special case

□ (3,3)-grids with 5 robots



# Conclusion

- ✓ 5 robots are necessary and sufficient to explore  $(3,3)$ -grids
- ✓ 4 robots are necessary and sufficient to explore  $(2,2)$ -grids
- ✓ 3 robots are necessary and sufficient to explore  $(i,j)$ -grids such that  $j > 3$