

# 13. Microphone Arrays

## Localization

## Beamforming

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5.04.2016



# Overview

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- Microphone Array
- Speaker position localization
- Spatial filtering (Beamforming)

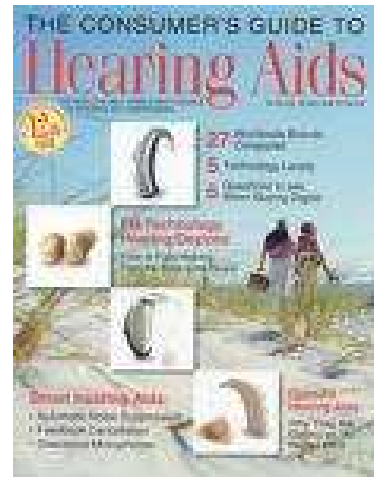
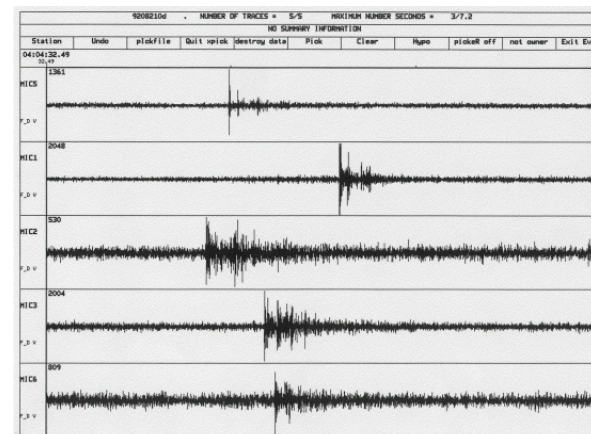


# Applications Microphone Arrays

## Robotics



## Seismology

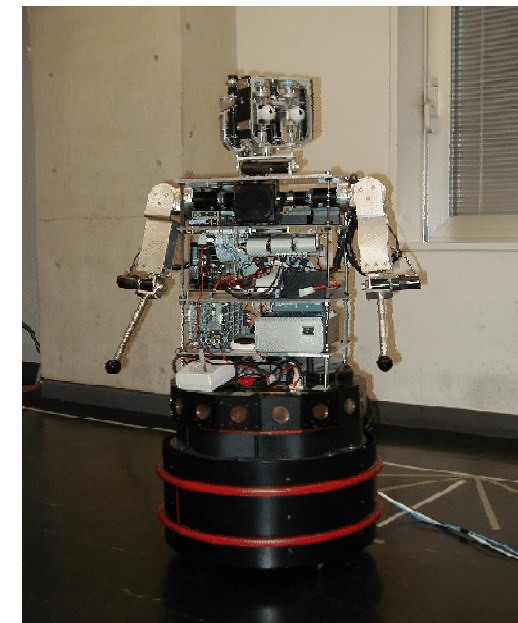
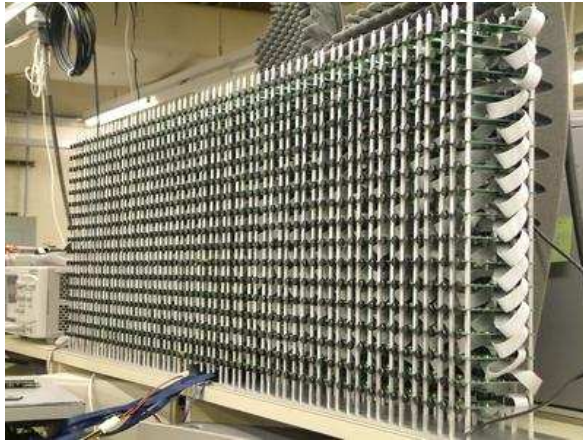


## Speech Control





# Types of Arrays





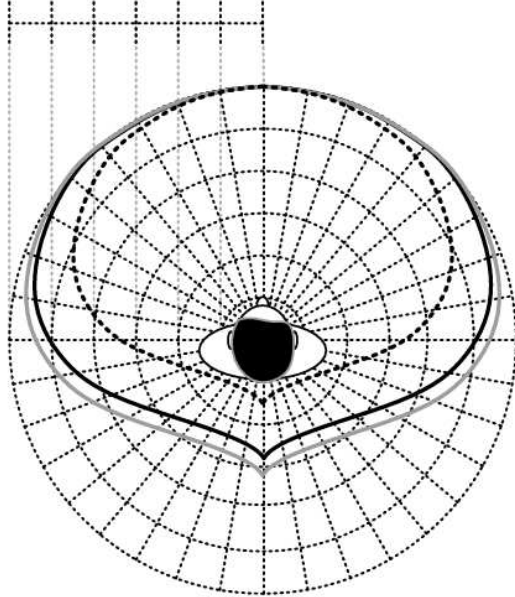


# ITD and IID – Human listening



Relative Sound Pressure [dB<sub>A</sub>]

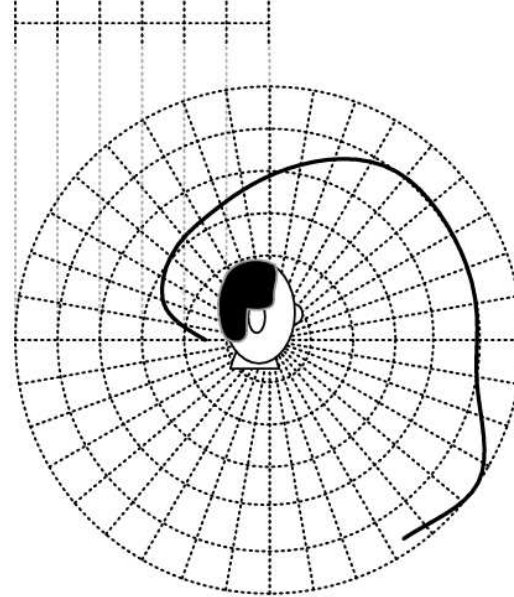
0 -2 -4 -6 -8 -10 -12



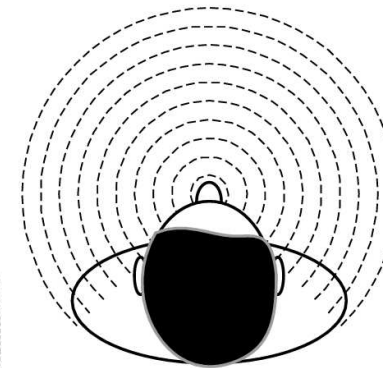
Horizontal Plane

Relative Sound Pressure [dB<sub>A</sub>]

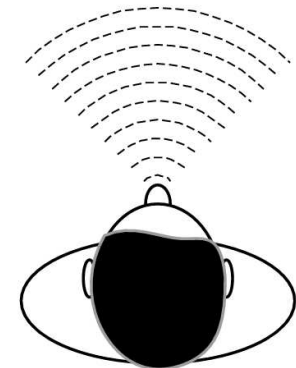
2 0 -2 -4 -6 -8 -10



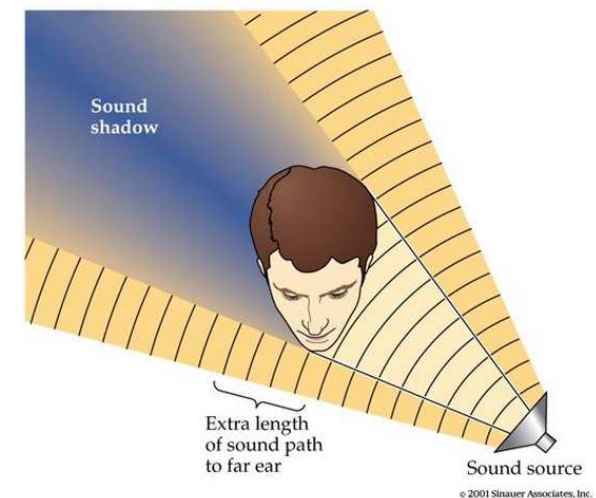
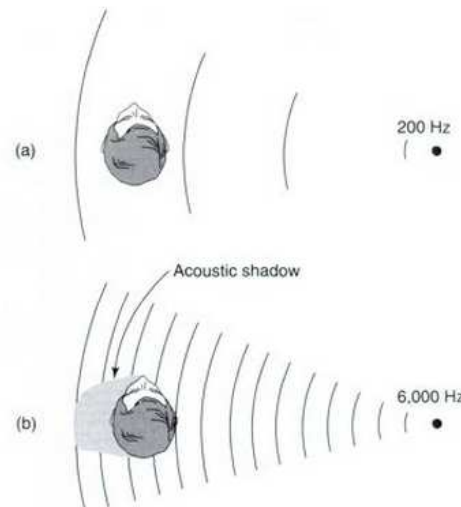
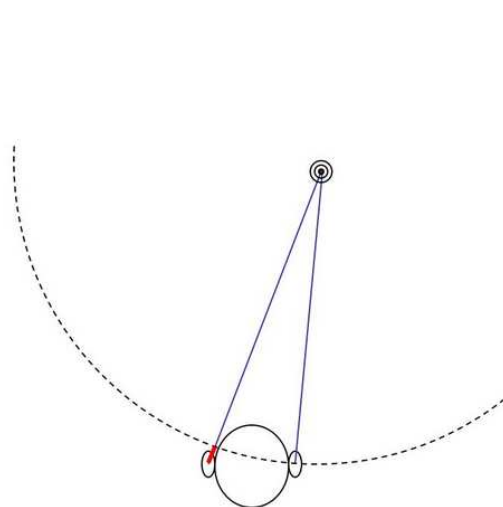
Vertical Plane



Low Frequency



High Frequency



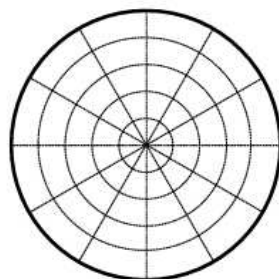
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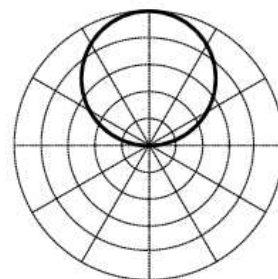
# Microphone directivity



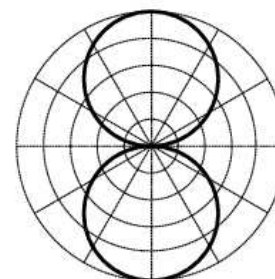
Omnidirectional



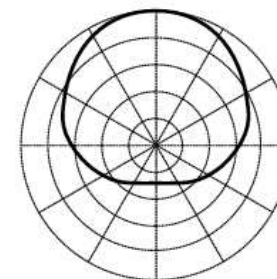
Unidirectional



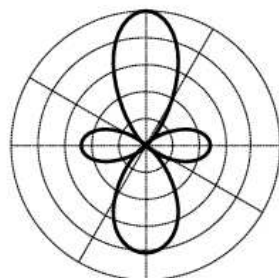
Bidirectional



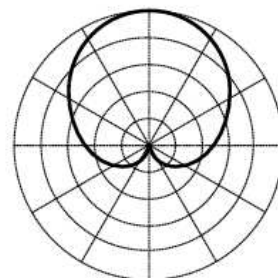
Semicardioid



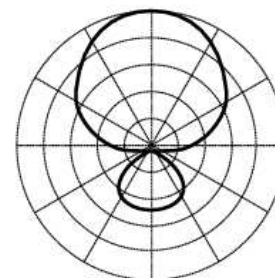
Shotgun



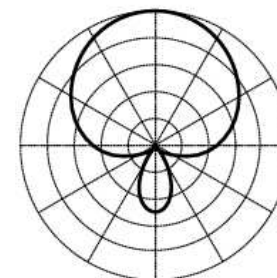
Cardioid



Hypercardioid



Supercardioid





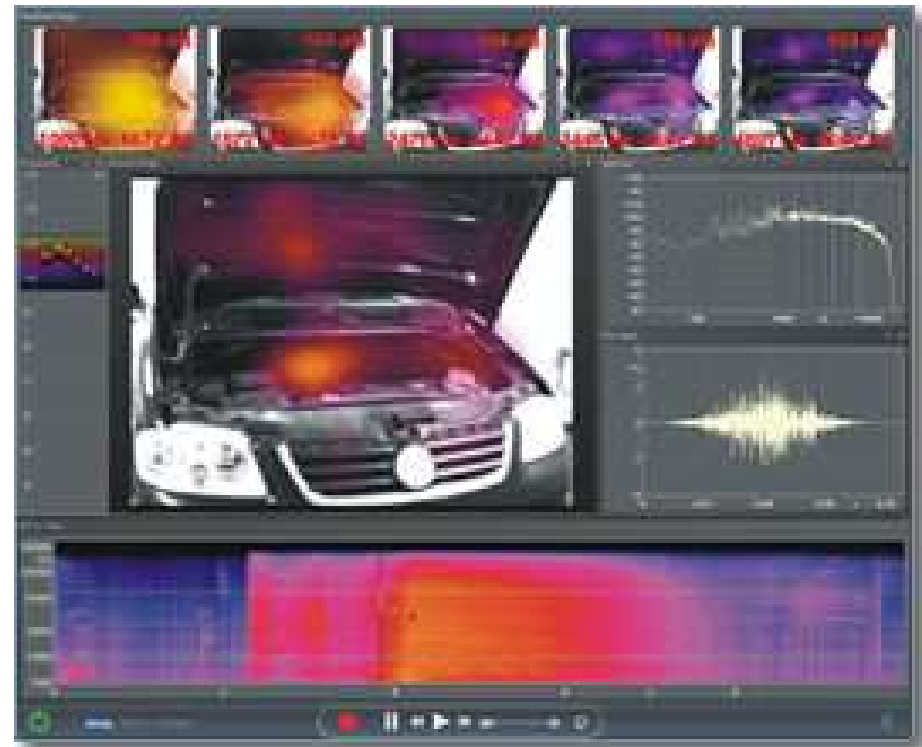
# Other Example Application

## From “HEAD VISOR - System for Real-Time Identification of Sound Sources”

Array

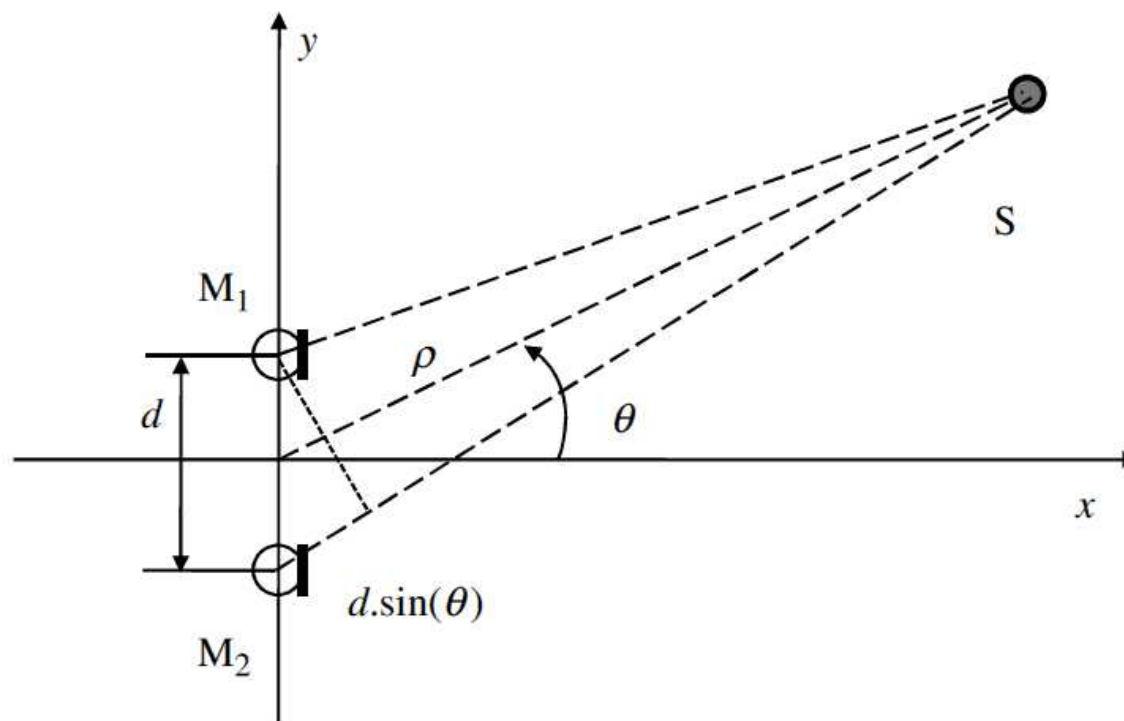


Application to sound emission from car





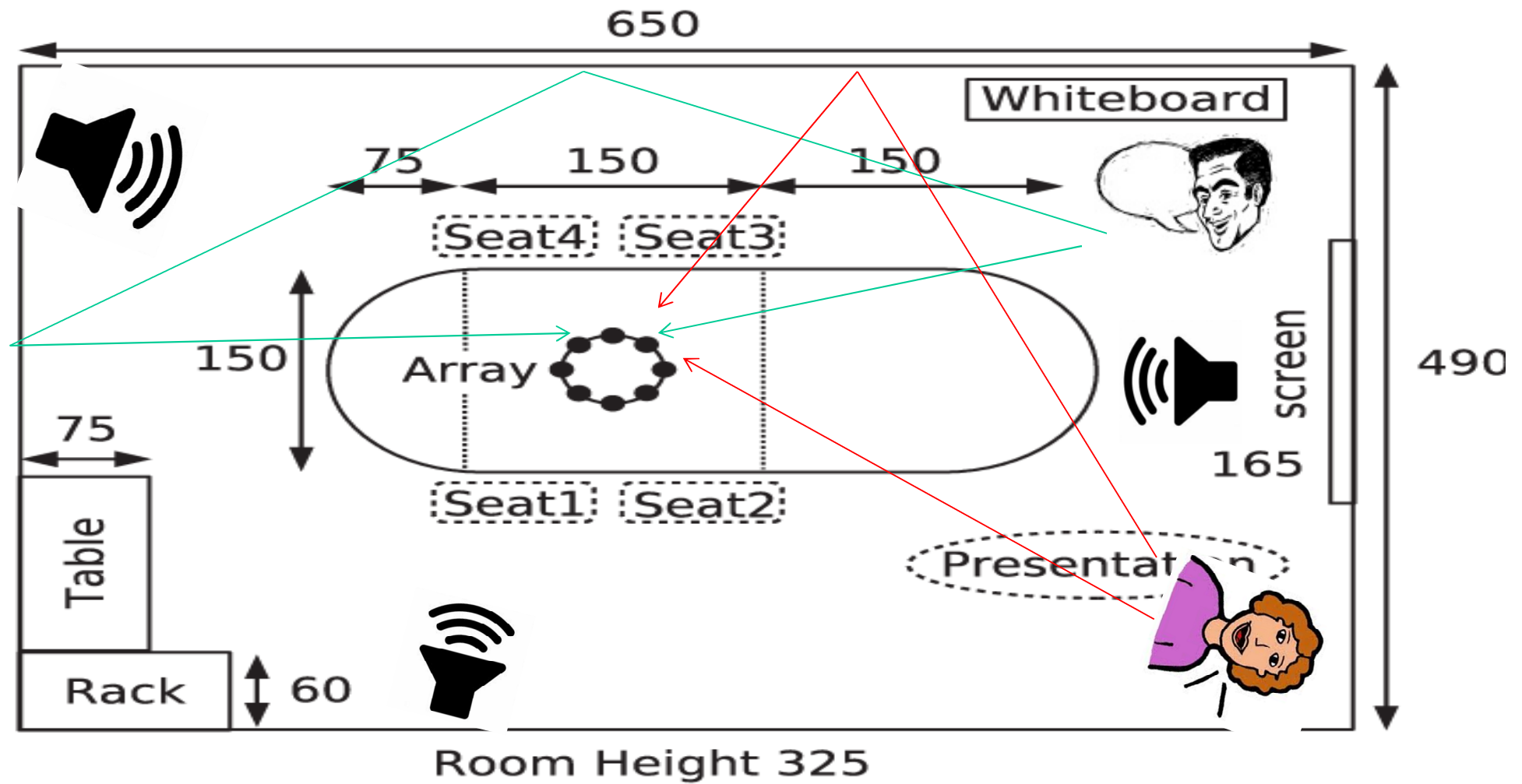
# Multi-sensor and localization







# Imagination of the problem





# Existing Sound Source Localization Strategies

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- 1) Based on Maximizing Steered Response Power (SRP) of a beamformer.
- 2) Techniques adopting high-resolution spectral estimation concepts.
- 3) Approaches employing Time Difference of Arrival (TDOA) information.



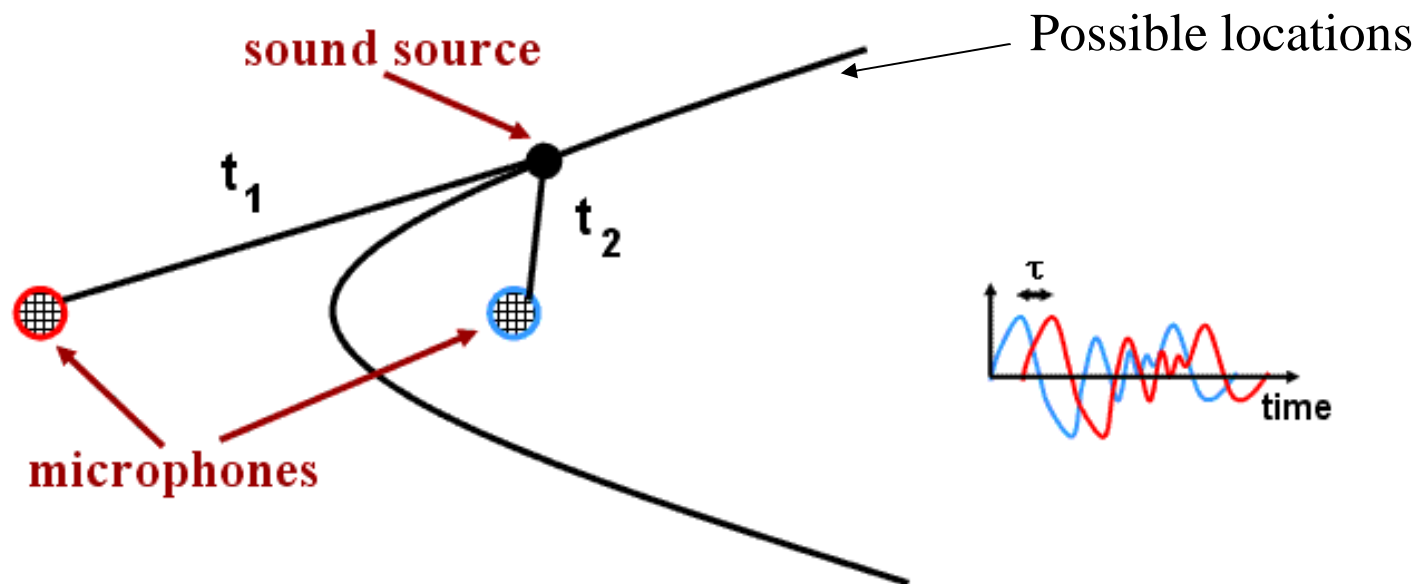
# TDOA Based Locators

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- Two-step method
  - TDOA estimation of sound signals between two spatially separated microphones (TDE).
  - Given array geometry and calculated TDOA estimate the 3D location of the source.
- High Quality of TDE is crucial.



# Cross Correlation function







# Generalized Cross-Correlation -Phase Transform: **GCC-PHAT**

## Steered Response power: **SRP-PHAT**

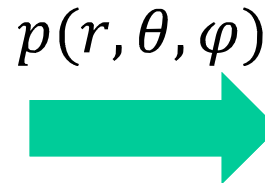
$$R_{12}(\tau) = \frac{1}{2\pi} \int_0^{2\pi} \psi_{12}(\omega) X_1(\omega) X_2^*(\omega) e^{j\omega\tau} d\omega$$

$$\psi_{12}(\omega) = \frac{1}{|X_1(\omega) X_2^*(\omega)|}$$

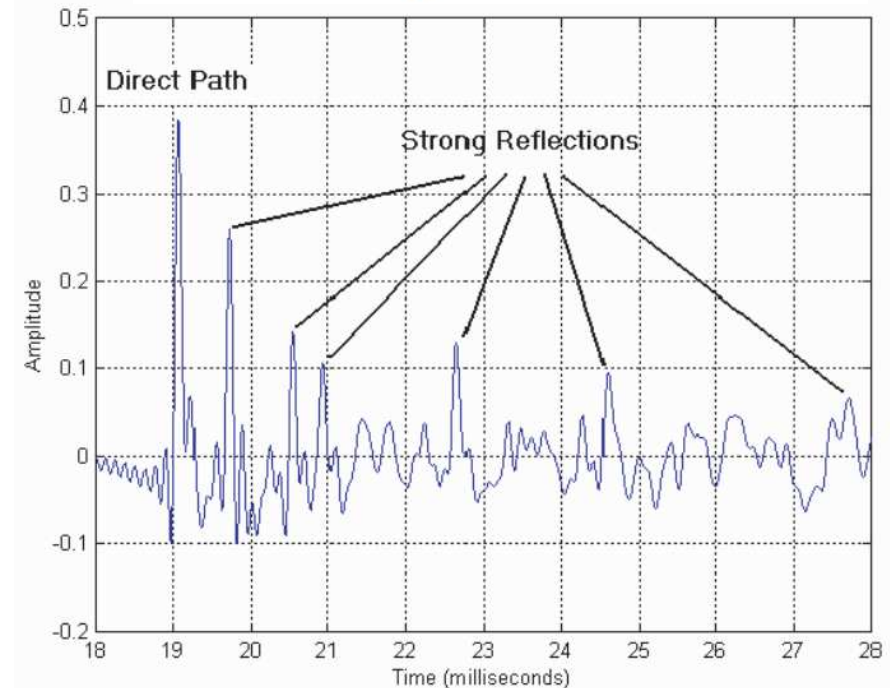
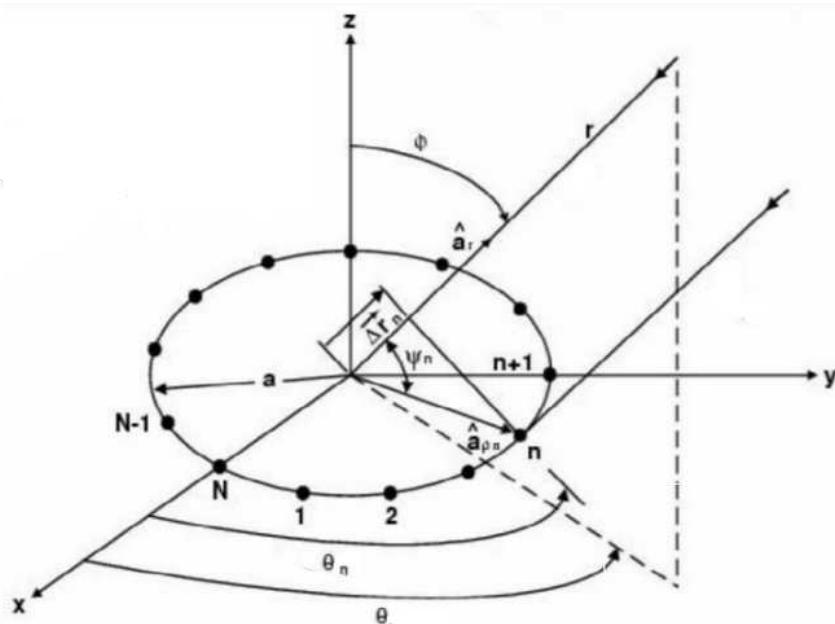
$$\hat{\tau}_{12} = \underset{\tau}{\operatorname{argmax}} R_{12}(\tau)$$

$$\tau_q(p) = \frac{\|p - m_i\| - \|p - m_j\|}{c}$$

$$SRP(p) = 4\pi \sum_{q=1}^Q R_q(\tau_q(p))$$



$$\hat{\mathbf{p}} = \underset{\mathbf{p}}{\operatorname{argmax}} SRP(\mathbf{p})$$





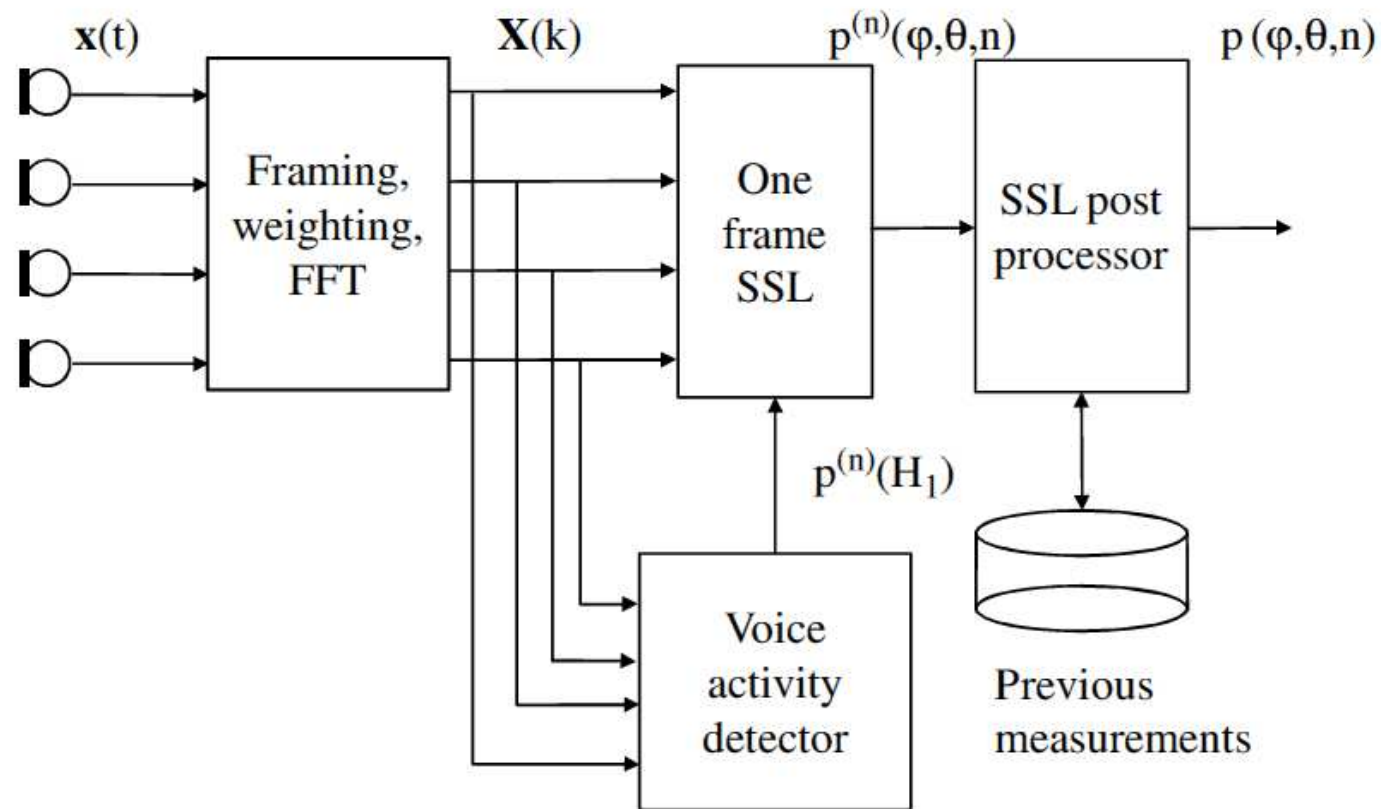
# Remarks

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- Use TDOA techniques for real time applications.
- Use Steered-Beamformer strategies in critical applications where robustness is important.



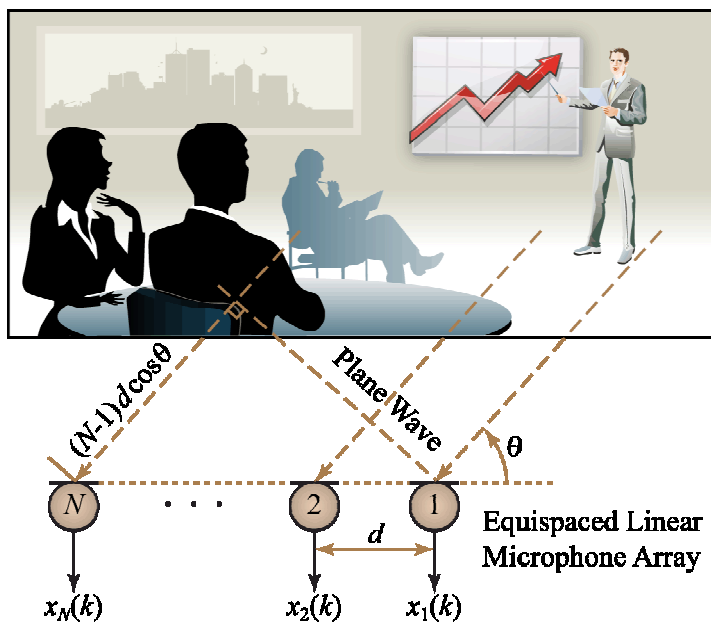
# VAD – localize for active source (Tracking)



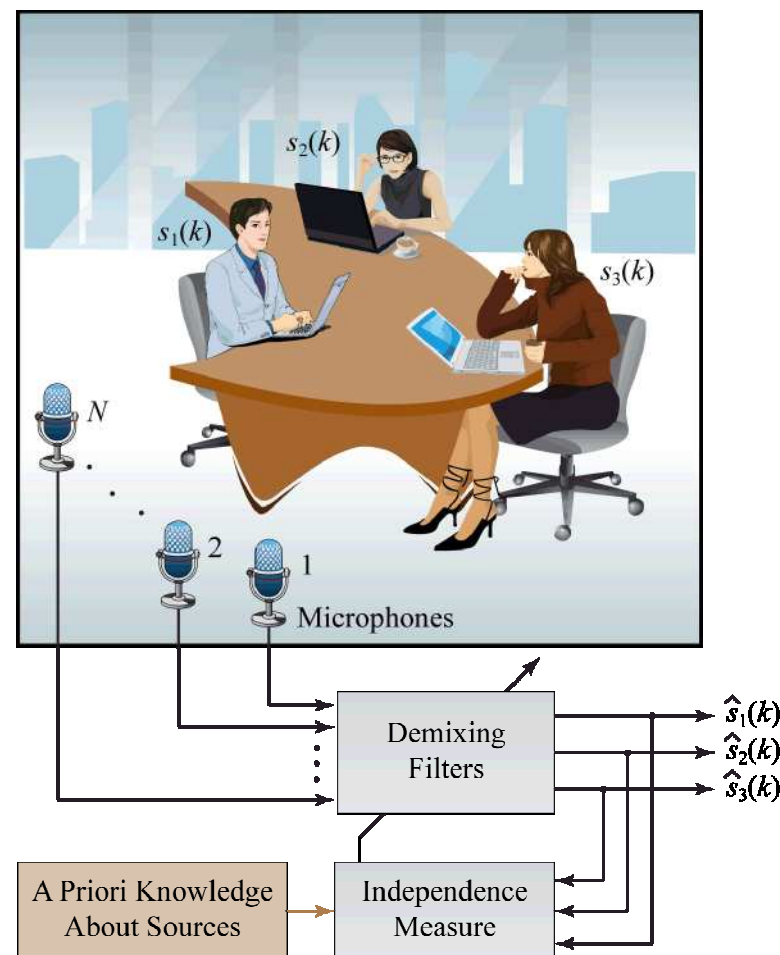


# Beamforming vs. Blind Source Separation

## Beamforming



## Blind Source Separation

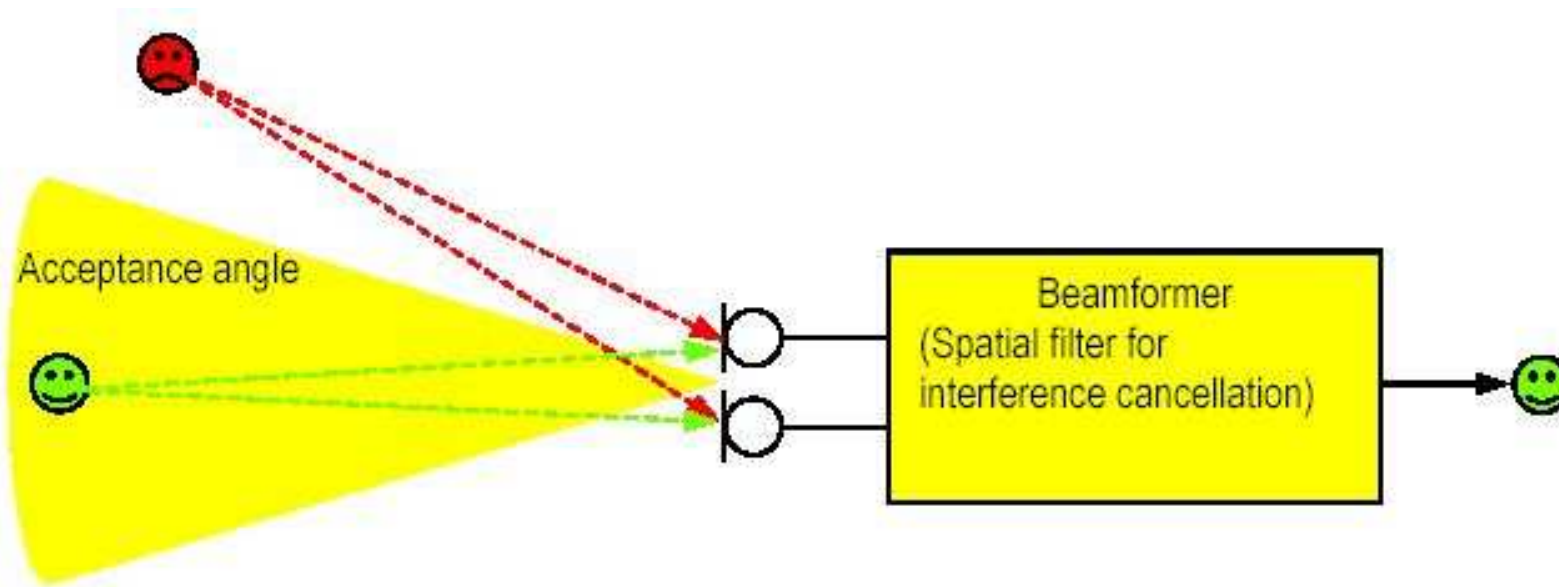






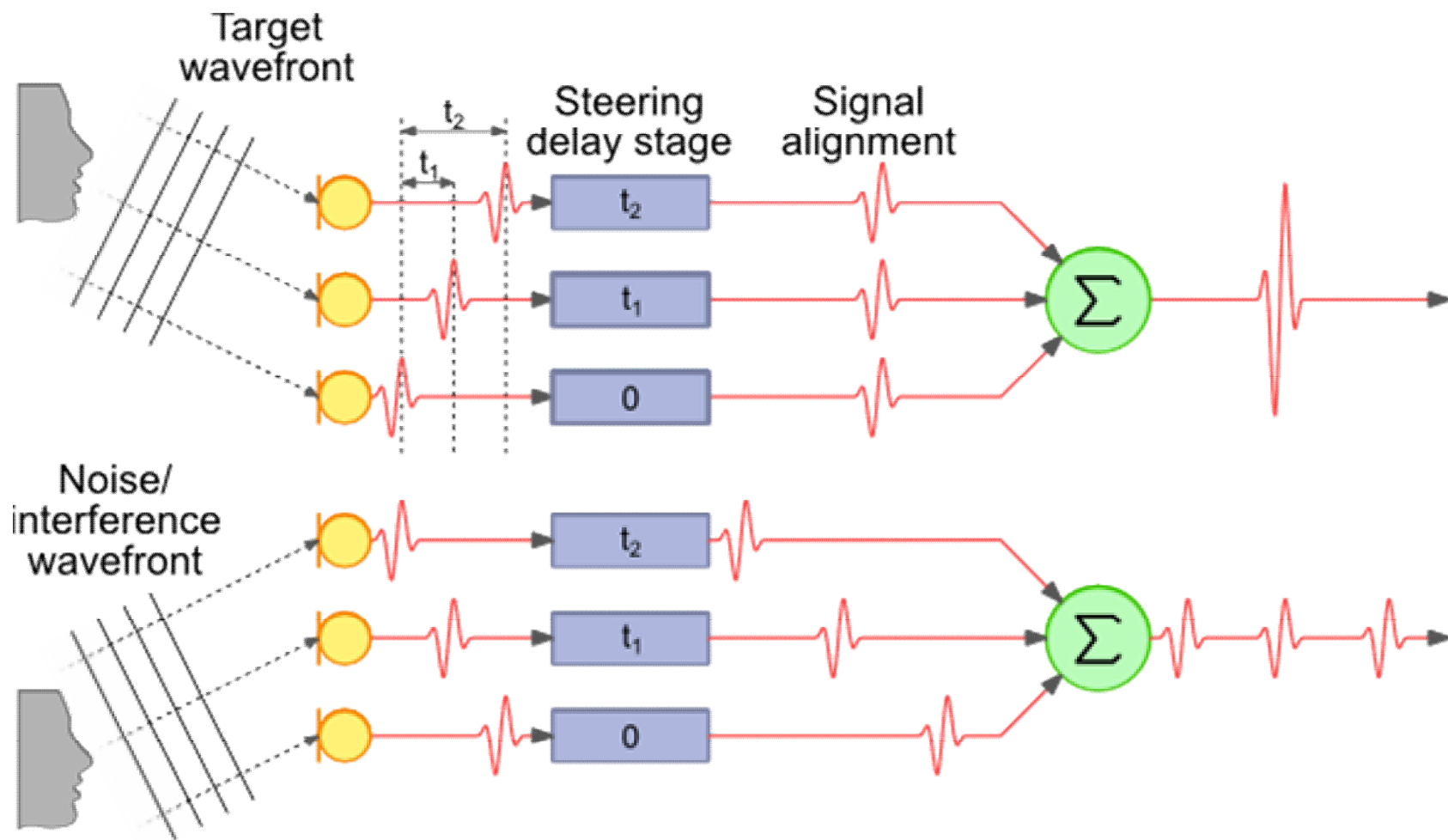
# Beamforming

Is one of the simplest and most robust means of Spatial Filtering, i.e., discriminating between signals based on the physical locations of the signal source





## Beam Steering of Beamformer





# Delay & Sum beamforming

$$\tau_i = -\mathbf{a}^T \mathbf{m}_i / c$$

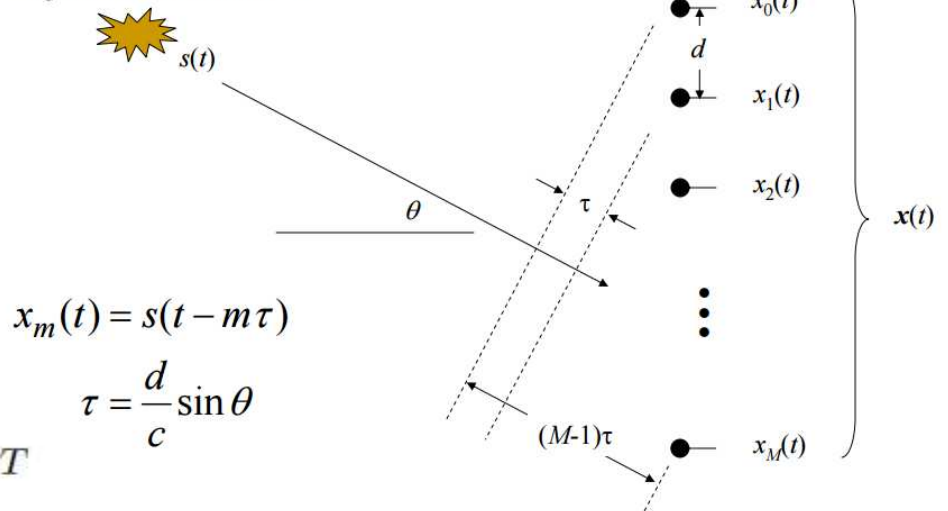
$$\mathbf{a} = [\cos \theta \sin \phi \quad \sin \theta \sin \phi \quad \cos \phi]^T$$

$$\mathbf{v}(\omega) = [e^{-j\omega\tau_1} \quad \dots \quad e^{-j\omega\tau_L}]^T$$

$$\mathbf{X}(\omega) = [X_1(\omega) \quad \dots \quad X_L(\omega)]^T \quad \mathbf{w}(\omega) \triangleq \frac{1}{N} \mathbf{v}(\omega)$$

$$\begin{aligned} Y(\omega) &= \mathbf{w}^H(\omega) \cdot \mathbf{X}(\omega) \\ &= \underbrace{\mathbf{w}^H(\omega) \cdot S(\omega) \mathbf{v}}_{S(\omega)} + \mathbf{w}^H(\omega) \cdot \mathbf{N}(\omega) \end{aligned}$$

Signal source of interest



$$x_m(t) = s(t - m\tau)$$

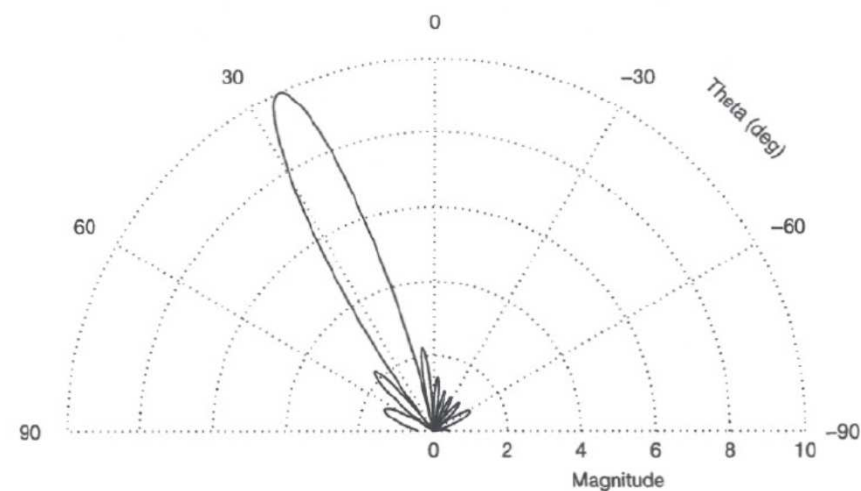
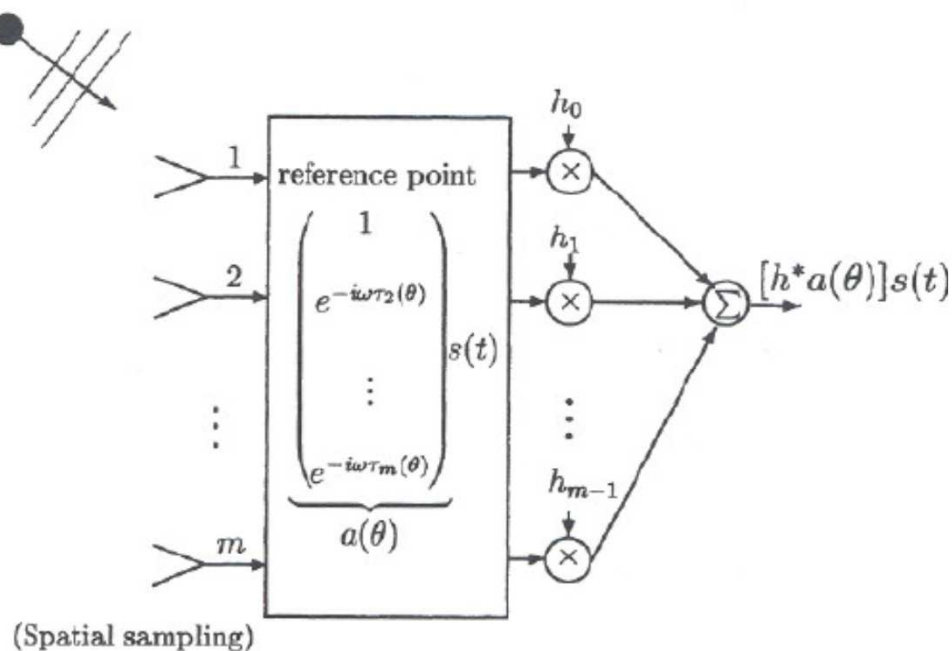
$$\tau = \frac{d}{c} \sin \theta$$



## Optimization based BF – Goal

- The spatially filtered signal:  $x(t) = [\mathbf{h}^* \mathbf{a}(\theta)]s(t)$

narrowband source with DOA= $\theta$

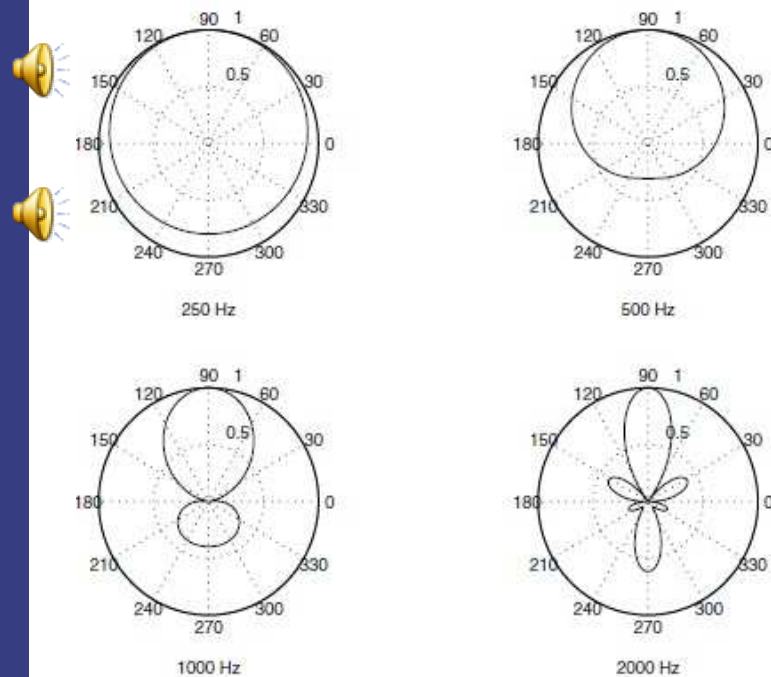






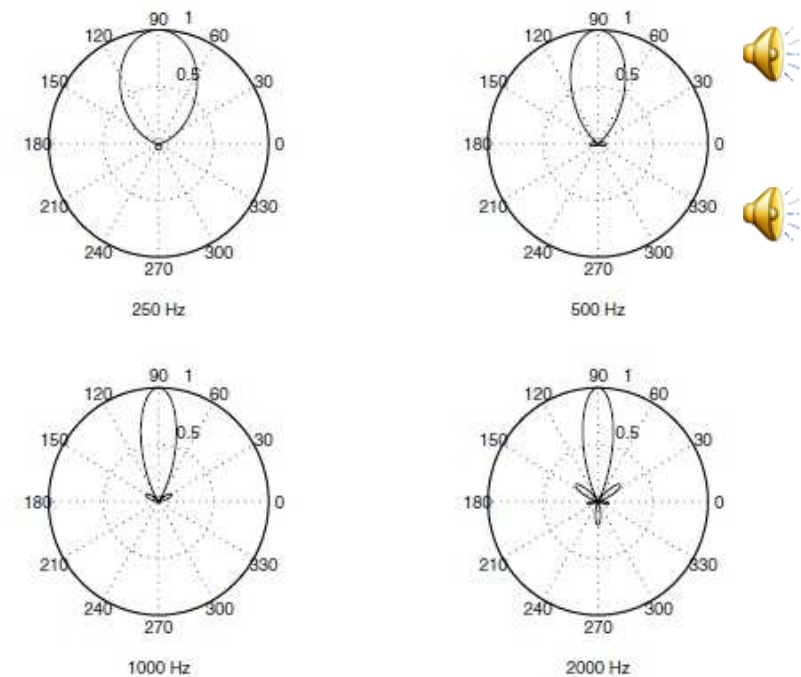
# Beampatterns (Gainpattern)

## Delay-sum Beamformer



Frequency varying directivity pattern

## Superdirective Beamformer



Robust directivity over frequency



# Best Linear Unbias Estimate – MVDR BF

$$\begin{aligned} \underline{x}(t) &= \underline{v}(t) * \underline{s}(t) + \underline{n}(t) & \underline{x} \in \mathbb{R}^M \\ & \quad \quad \quad \mathcal{N}(0, \sigma_n^2) & \underline{v} \in \mathbb{R}^M \\ \text{FFT} \swarrow & & \underline{s} \in \mathbb{R}^N \\ \forall n : \underline{X}(\omega) &= \underline{V}(\omega) \underline{S}(\omega) + \underline{N}(\omega) & \underline{n} \in \mathbb{R}^M \end{aligned}$$

$\Rightarrow$  The goal is to estimate sources based on observed  $\underline{X}$  values at each frame. let's assume that we can have a linear estimator, such that :  $\hat{\underline{S}} = \underline{W}^H \underline{X}$ . we try to find the Best Linear Unbiased Estimation  $\hat{\underline{S}}$ .

$$\text{Unbiased : } \mathbb{E}\{\hat{\underline{S}}\} = \mathbb{E}\{\underline{S}\} \Rightarrow \underline{W}^H \mathbb{E}\{\underline{X}\} = \mathbb{E}\{\underline{S}\}$$

$$\Rightarrow \underline{W}^H \underline{v} \mathbb{E}\{\underline{S}\} = \mathbb{E}\{\underline{S}\} \Rightarrow \boxed{\underline{W}^H \underline{v} = 1}$$

$$\begin{aligned} \text{Min-Variance : } \text{Var}\{\hat{\underline{S}}\} &= \mathbb{E}\{(\underline{W}^H \underline{X} - \underline{W}^H \mathbb{E}\{\underline{X}\})(\underline{W}^H \underline{X} - \underline{W}^H \mathbb{E}\{\underline{X}\})^H\} \\ &= \underline{W}^H \mathbb{E}\{(\underline{X} - \underline{\mu}_x)(\underline{X} - \underline{\mu}_x)^H\} \underline{W} = \boxed{\underline{W}^H \underline{R}_{xx} \underline{W}} \end{aligned}$$

$$\Rightarrow \text{Problem : } \underline{W}_{\text{opt}} = \underset{\underline{W}}{\text{argmin}} \underline{W}^H \underline{R}_{xx} \underline{W} \text{ subject to } \underline{W}^H \underline{v} = 1$$



# Minimum Variance Distortionless Response Beamformer

$$\begin{aligned}\underline{x} &= \underline{v}\underline{s} + \underline{n} \rightarrow R_{xx} = E\{\underline{x}\underline{x}^H\} \\ \Rightarrow R_{xx} &= E\{(\underline{v}\underline{s} + \underline{n})(\underline{v}\underline{s} + \underline{n})^H\} = \underline{v} R_{ss} \underline{v}^H + R_{nn} \\ &\quad \underbrace{(\underline{s}\underline{s}^H + \underline{n}\underline{n}^H)}_{\text{Signal \& Noise are independent}} \\ \Rightarrow \min \underline{w}^H R_{xx} \underline{w} &= \min \underbrace{\underline{w}^H \underline{v}}_{=1} R_{ss} \underbrace{\underline{v}^H \underline{w}}_{=1} + \underline{w}^H R_{nn} \underline{w} \quad E\{\underline{s}\underline{n}^H\} = E\{\underline{n}\underline{s}^H\} = 0 \\ \Rightarrow &= \underbrace{R_{ss}}_{\text{doesn't depend on } \underline{w}} + \underline{w}^H R_{nn} \underline{w} \\ \Rightarrow \text{Problem: } \underline{w}_{\text{MVDR}} &= \arg \min_{\underline{w}} \underline{w}^H R_{nn} \underline{w} \quad \text{subject to } \underline{w}^H \underline{v} = 1\end{aligned}$$



# Noise Coherence Matrix-isotropic field

When noise field is homogeneous (isotropic)

$$R_{nn} = \Phi_{nn} \Gamma_{nn} \quad ; \quad \Phi_{nn} = \text{noise power}$$

$$\Gamma_{nn} = \text{noise coherence matrix}$$

$$S_n^{m_i}(\omega) = S_n^{m_j}(\omega) \rightarrow S_{ij}(\omega) = S_i(\omega) e^{-j \frac{\omega}{c} d \cos \phi} \quad \begin{cases} x_j = x_i(t - \frac{\Delta}{c}) \\ \Delta = d \cos \phi \\ \tau = \frac{\Delta}{c} \rightarrow \text{speed of wave} \end{cases}$$

Spatial coherence:

$$\gamma_{ij}(\omega) = \frac{\oint_A S_{ij}(\omega) dA}{\oint_A \sqrt{S_i(\omega) S_j(\omega)} dA}$$

$$\Rightarrow \gamma_{ij}(\omega) = \frac{1}{A} \oint_A e^{-j \frac{\omega}{c} d \cos \phi} dA \xrightarrow[\text{isotropic}]{\text{spherically}} dA = r^2 \sin \phi d\phi d\theta$$

$A = 4\pi r^2, \phi \in [0, \pi], \theta \in [0, 2\pi]$

$$\Rightarrow \gamma_{ij}(\omega) = \frac{1}{4\pi r^2} \int_0^{2\pi} \int_0^\pi e^{-j \frac{\omega}{c} d \cos \phi} r^2 \sin \phi d\phi d\theta = \frac{\sin(\omega d/c)}{\omega d/c} = (\Gamma_{nn})_{ij}(\omega)$$

Also,  $d = \frac{\|m_i - m_j\|}{c}$ ,  $m_i$  : microphone  $i$





# Super Directive BF

Therefore, in a spherically isotropic noise field:

$$\underline{R}_{nn} = \Phi_{nn} \underline{\Gamma}_{nn}, \text{ where } \underline{\Gamma}_{nn} = \begin{bmatrix} 1 & \Gamma_{0,1} & \Gamma_{0,2} & \dots & \Gamma_{0,M-1} \\ \Gamma_{1,0} & 1 & \Gamma_{1,2} & \dots & \Gamma_{1,M-1} \\ \vdots & & \ddots & & \vdots \\ \Gamma_{M-1,0} & \dots & \dots & \dots & 1 \end{bmatrix}$$

Super-Directive BF:

$$\underline{W}_{SDBF} = \arg \min_{\underline{W}} \underline{W}^H \underline{\Gamma}_{nn} \underline{W} \text{ subject to } \underline{W}^H \underline{V} = 1$$

$$(\underline{\Gamma}_{nn})_{ij}(\omega) = \text{Sinc}\left(\omega \frac{\|m_i - m_j\|}{c}\right)$$

Lagrange multiplier:

$$\underline{W}_{SDBF} = \frac{\underline{\Gamma}_{nn}^{-1} \underline{V}}{\underline{V}^H \underline{\Gamma}_{nn}^{-1} \underline{V}}$$

Super-Directive BF is the best BLUE BF in isotropic noise field.



# Calculation --optional

$$L = \underline{W}^H \Gamma_{nn} \underline{W} - \lambda (\underline{W}^H \underline{V} - 1)$$

$$\nabla_{\underline{W}} L = 2 \Gamma_{nn} \underline{W} - 2\lambda \underline{V} = 0 \Rightarrow \underline{W} = \lambda \Gamma_{nn}^{-1} \underline{V}$$

$$\nabla_{\lambda} L = 0 \rightarrow \underline{W}^H \underline{V} = 1 \rightarrow \lambda \underline{V}^H \Gamma_{nn}^{-1} \underline{V} = 1$$

$$\Rightarrow \lambda = \frac{1}{\underline{V}^H \Gamma_{nn}^{-1} \underline{V}}$$

Hermitian matrix

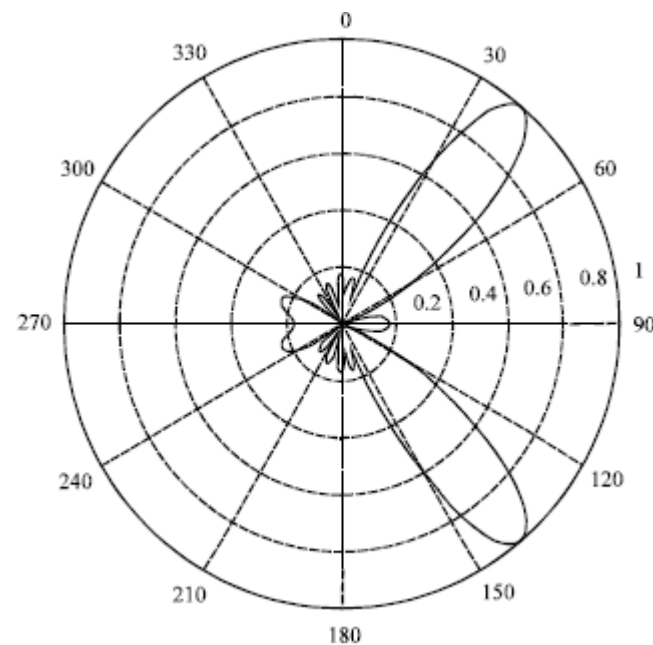
$$A^H = A$$

$$\underline{W} = \frac{\Gamma_{nn}^{-1} \underline{V}}{\underline{V}^H \Gamma_{nn}^{-1} \underline{V}}$$



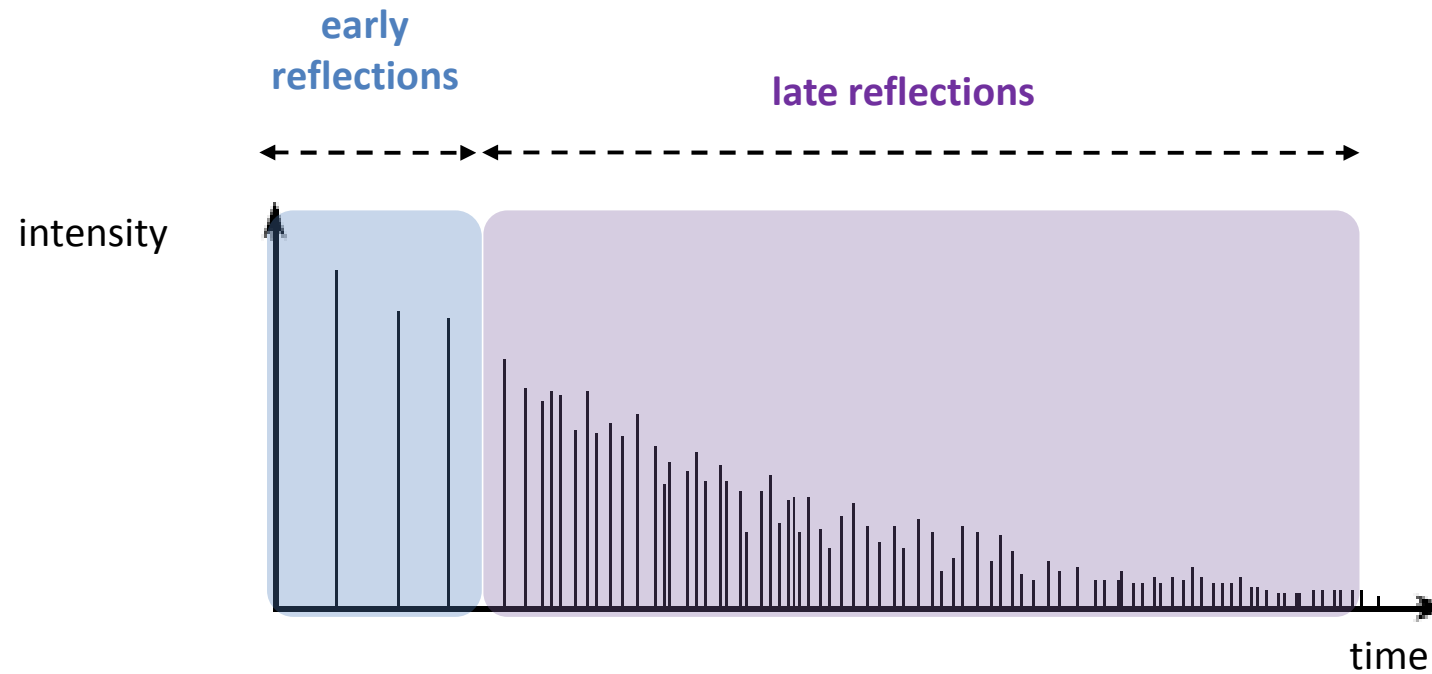
# Linear Constrained Minimum Variance BF

$$\mathbf{W}^H = \Gamma_{nn}^{-1} [V_1 \ V_2] ([V_1 \ V_2]^H \Gamma_{nn}^{-1} [V_1 \ V_2])^{-1} \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$





# Room Impulse Response





# Beamforming problems

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- Very sensitive to array geometry, need good calibration
- Has only directivity, no selectivity in range or other location parameters
- Frequency response is not flat
- Ambient noises are assumed to be spatially white
- Beam width (or selectivity) depends on the size of the array
- Spatial aliasing problem (For high frequencies- sampling ambiguity)



Thank you





# Directivity of microphone arrays (omni directional microphones)

