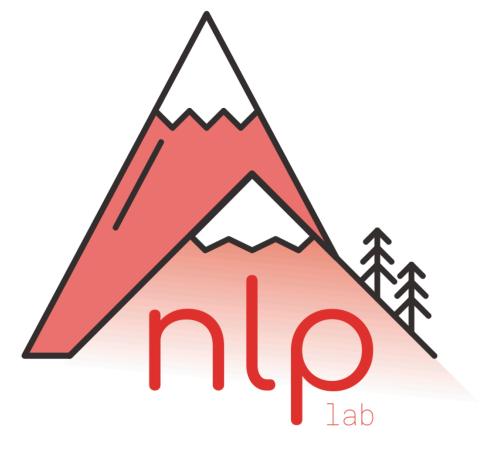
Natural Language Generation: **Evaluation**

Antoine Bosselut





Today's Outline

- Lecture:
 - Evaluation: Content overlap metrics, model-based metrics, human evaluations
- Assignment 2 Q&A Session
- Exercise Session
 - Review: Robustness & Prompting
 - New: Text Generation

Judging the quality of generations

Context:

In a shocking finding, scientist discovered a herd of unicorns living in a remote, previously unexplored valley, in the Andes Mountains. Even more surprising to the researchers was the fact that the unicorns spoke perfect English.

Continuation:

The study, published in the Proceedings of the National Academy of Sciences of the United States of America (PNAS), was conducted by researchers from the Universidad Nacional Autónoma de México (UNAM) and the Universidad Nacional Autónoma de México (UNAM/Universidad Nacional Autónoma de México/Universidad Nacional Autónoma de México/

How should we evaluate the quality of this sequence?

 Evaluate quality of the model based on the perplexity of the model on reference sentences

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- Why can't we use perplexity of our generated sentences?

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 would be advantaged even if they don't produce the best text

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- Why can't we use perplexity of our generated sentences?
- Decoding algorithms that minimise perplexity (i.e., argmax, beam search)
 would be advantaged even if they don't produce the best text
- Perplexity of reference sequences tell us how calibrated our model is to real sequences, but doesn't say much about the generations it produces

How do you think text generation evaluation differs compared to classification evaluation?

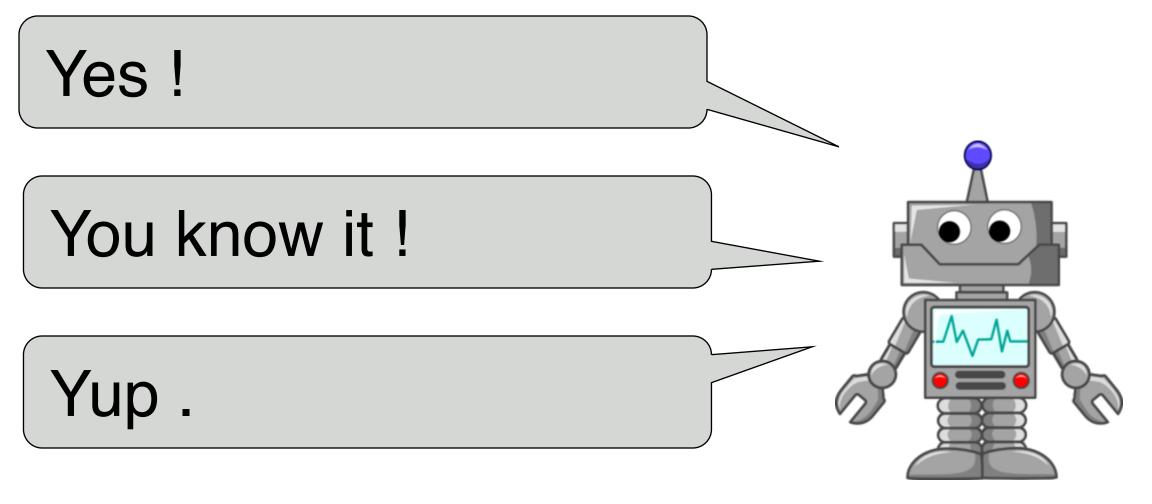
A simple dialogue



Are you going to Prof. Bosselut's CS552 lecture?



Heck yes!

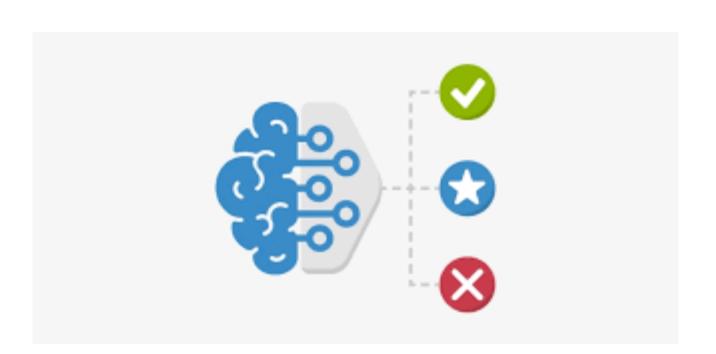


Any "right" answer you know could be one of many!

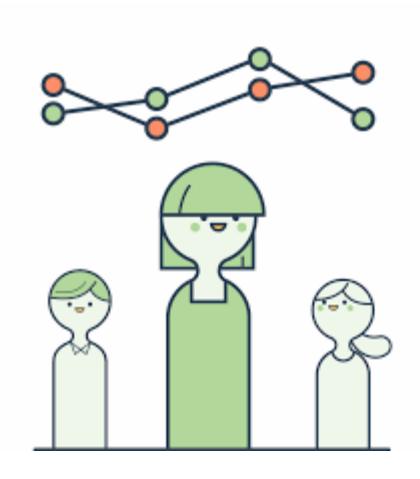
Outline

Ref: They walked to the grocery store.

Gen: The woman went to the hardware store.



Content Overlap Metrics Model-based Metrics



Human Evaluations

Content overlap metrics

Ref: They walked to the grocery store.

Gen: The woman went to the hardware store.

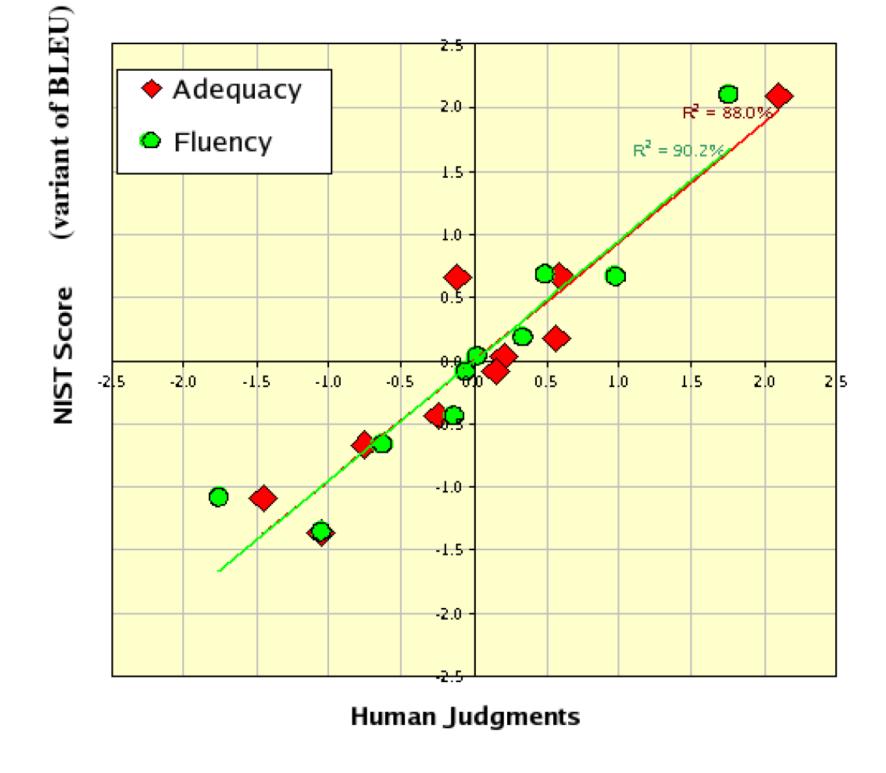
- Compute a score that indicates the similarity between *generated* and *gold-standard* (human-written) text
- Fast and efficient and widely used
- Two broad categories:
 - N-gram overlap metrics (e.g., **BLEU**, ROUGE, METEOR, CIDEr, etc.)
 - Semantic overlap metrics (e.g., PYRAMID, SPICE, SPIDEr, etc.)

N-gram overlap metrics

Word overlap based metrics (BLEU, ROUGE, METEOR, CIDEr, etc.)

• They're not ideal for machine translation, but are correlated with human

judgments of quality



A simple failure case



Are you going to Prof. Bosselut's CS552 lecture?





n-gram overlap metrics have no concept of semantic relatedness!

Score:

0.61

0.25

Yes!

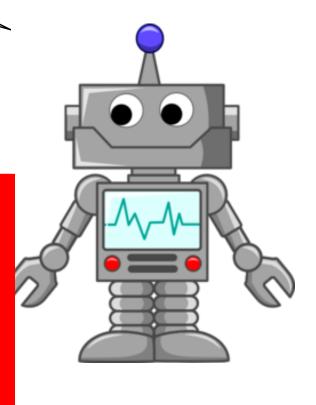
You know it!

False negative 0

False positive 0.67

Yup.

Heck no!



A more comprehensive failure analysis

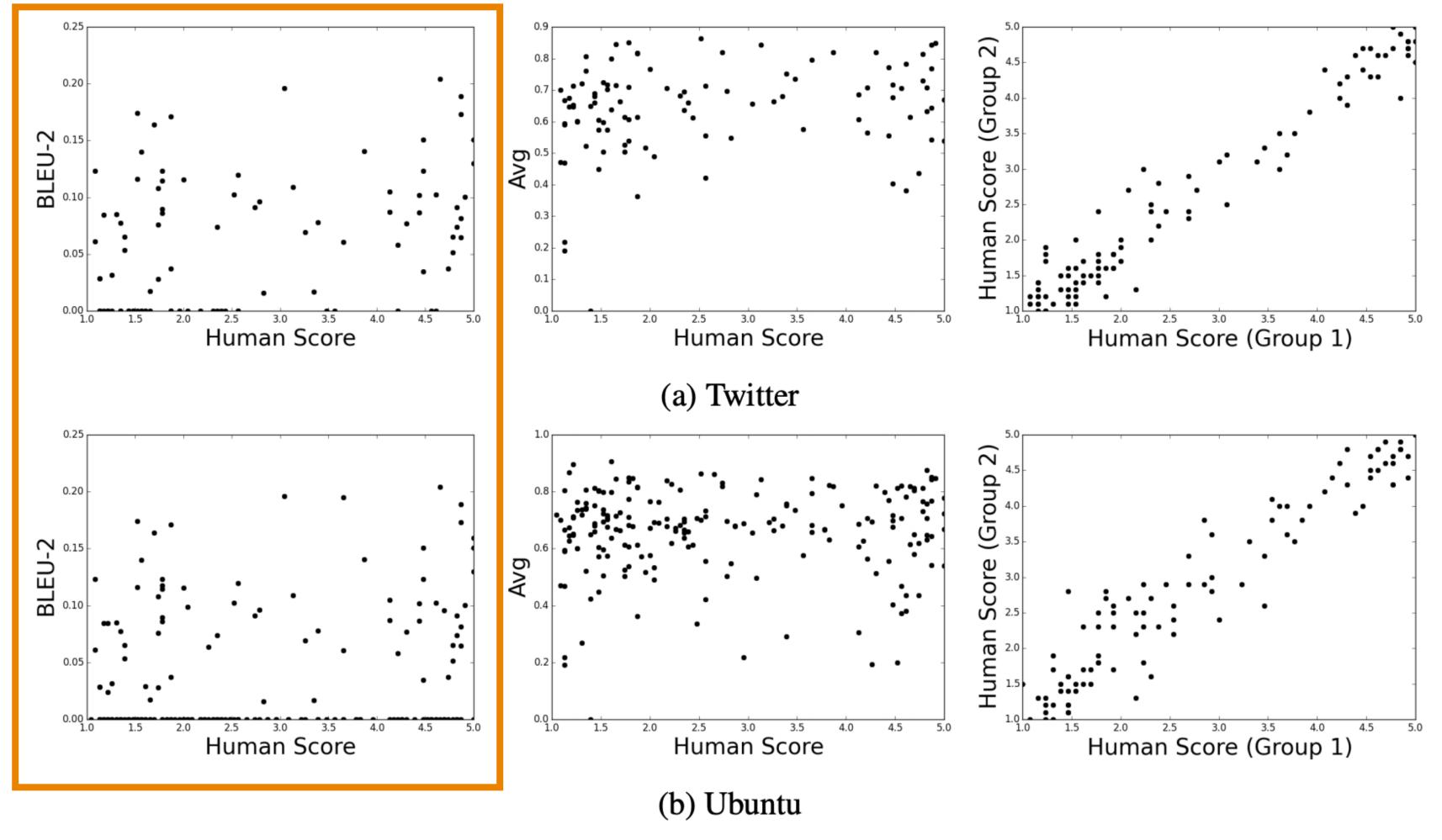


Figure 1: Scatter plots showing the correlation between metrics and human judgements on the Twitter corpus (a) and Ubuntu Dialogue Corpus (b). The plots represent BLEU-2 (left), embedding average (center), and correlation between two randomly selected halves of human respondents (right).

Liu et al, EMNLP 2016

N-gram overlap metrics

Word overlap based metrics (BLEU, ROUGE, METEOR, CIDEr, etc.)

- They're not ideal for machine translation
- They get progressively much worse for tasks that are more open-ended than machine translation
 - Worse for summarization, as longer output texts are harder to measure
 - Much worse for dialogue, which is more open-ended than summarization

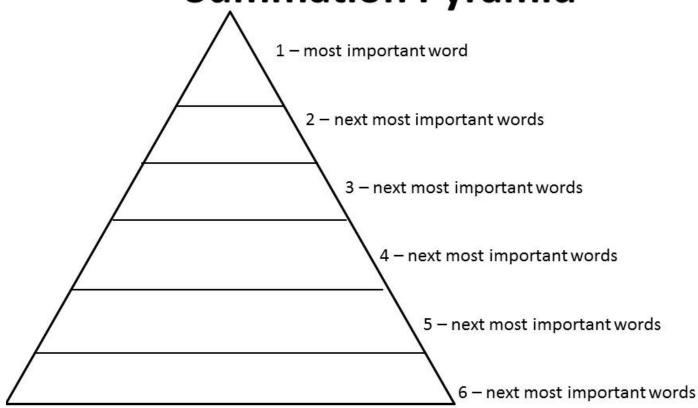
N-gram overlap metrics

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 - Much worse for dialogue, which is more open-ended than summarization
 - Much, much worse story generation, which is also open-ended, but whose sequence length can make it seem you're getting decent scores!

Semantic overlap metrics

Summation Pyramid





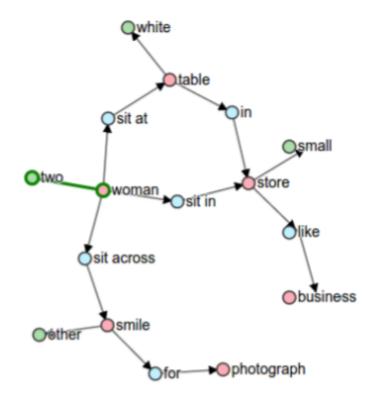


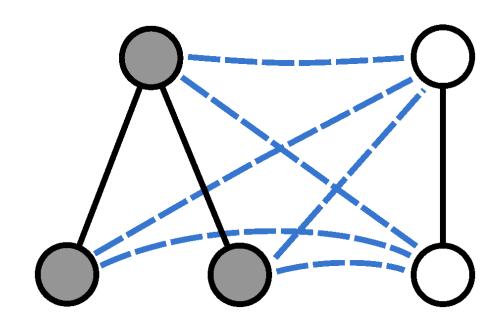
"two women sit at a table in a small store"

"two women sit across each other at a table smile for the photograph"

"two women sitting in a small store like business"

"two woman are sitting at a table"





PYRAMID:

- Incorporates human content selection variation in summarization evaluation.
- Identifies Summarization Content Units (SCU)s to compare information content in summaries.

SPICE:

Semantic propositional image caption evaluation is an image captioning metric that initially parses the reference text to derive an abstract scene graph representation.

(Anderson et al., 2016)

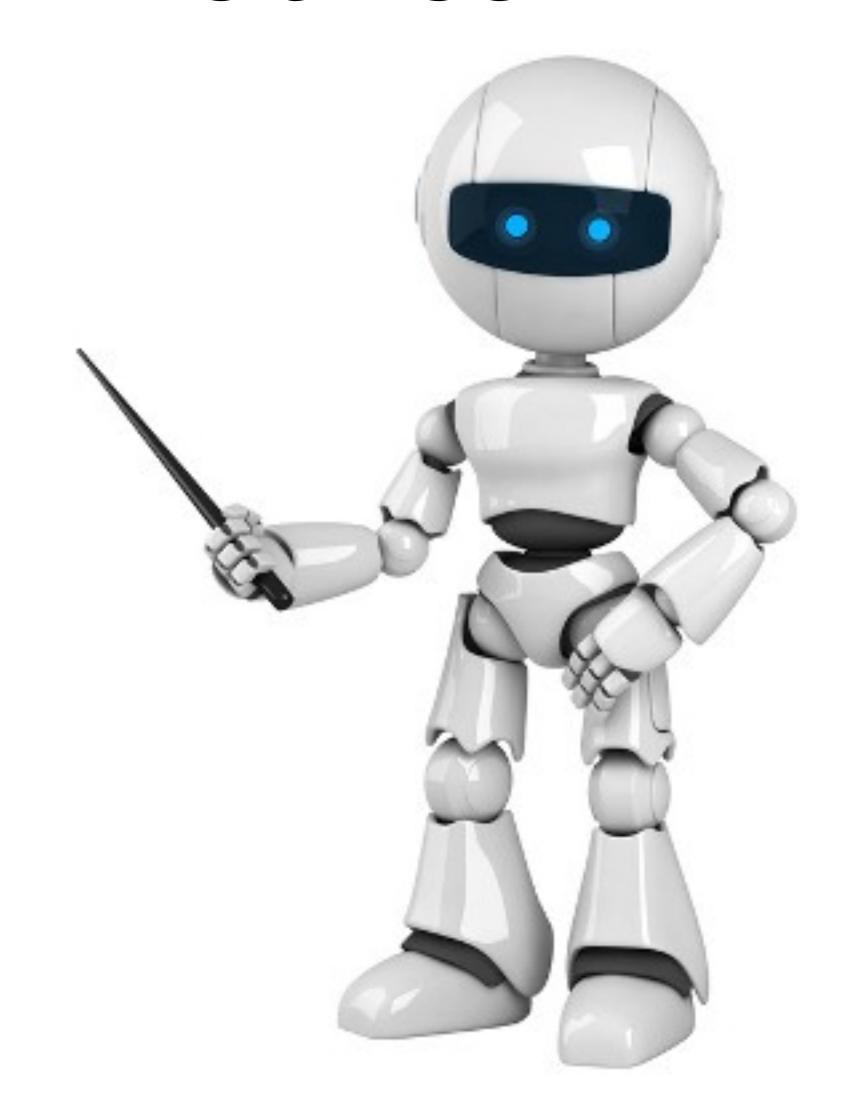
SPIDER:

A combination of semantic graph similarity (**SPICE**) and *n*-gram similarity measure (**CIDER**), the SPICE metric yields a more complete quality evaluation metric.

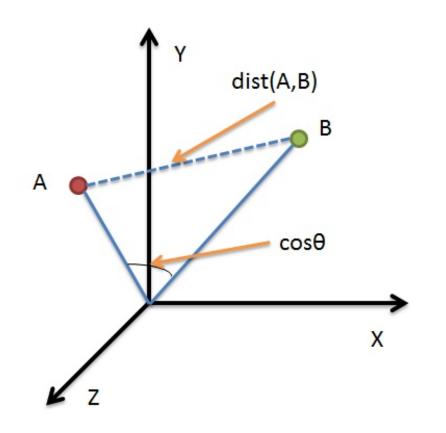
(Liu et al., 2017)

Model-based metrics

- Use learned representations of words and sentences to compute semantic similarity between generated and reference texts
- No more n-gram bottleneck because text units are represented as embeddings!
- Even though embeddings are
 pretrained, distance metrics used to
 measure the similarity can be fixed



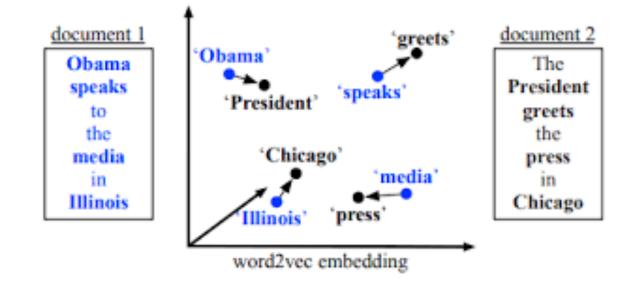
Model-based metrics: Word distance functions



Vector Similarity:

Embedding-based similarity for semantic distance between text

- Embedding Average (Liu et al., 2016
- Vector Extrema (Liu et al., 2016)
- MEANT (Lo, 2017)
- YISI (Lo, 2019)



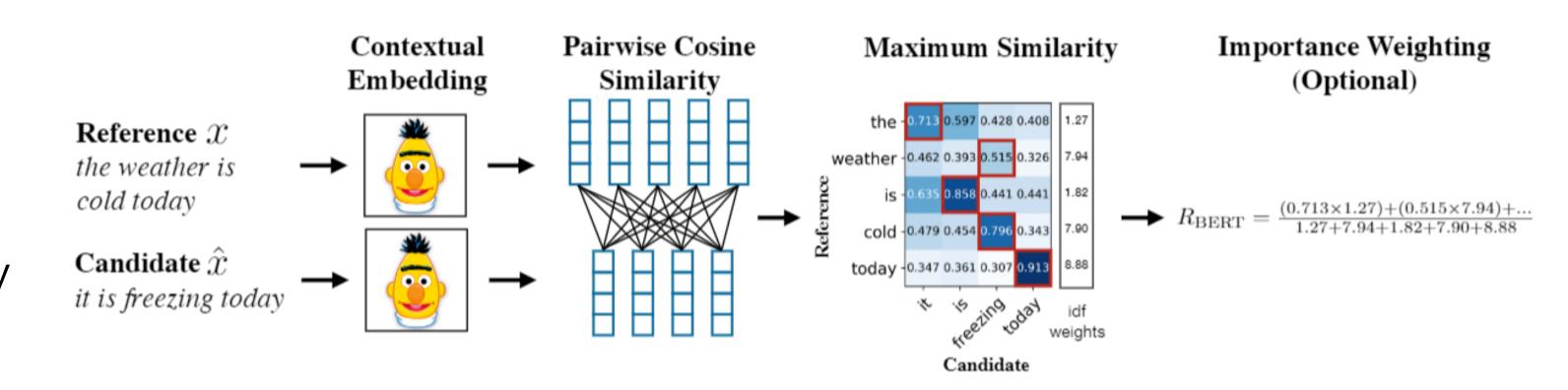
Word Mover's Distance:

Measures the distance between two sequences (e.g., sentences, paragraphs, etc.), using word embedding similarity matching.

(Kusner et al., 2015; Zhao et al., 2019)

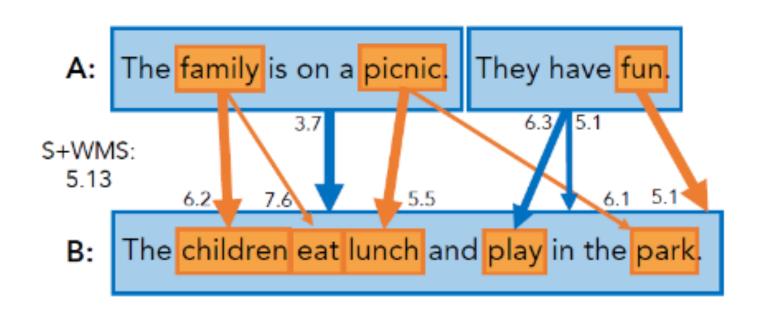
BERTScore:

Use pre-trained contextual embeddings from BERT and match words in candidate and reference sentences by cosine similarity



(Zhang et al., 2020)

Model-based metrics: Beyond word matching



Sentence Movers Similarity:

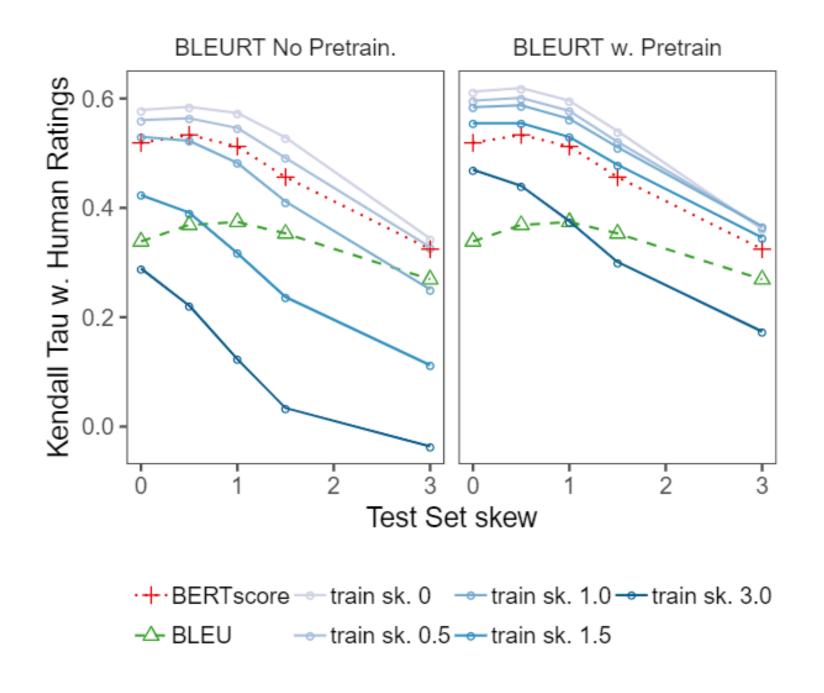
Based on Word Movers Distance to evaluate text in a continuous space using sentence embeddings from recurrent neural network representations.

(Clark et.al., 2019)

BLEURT:

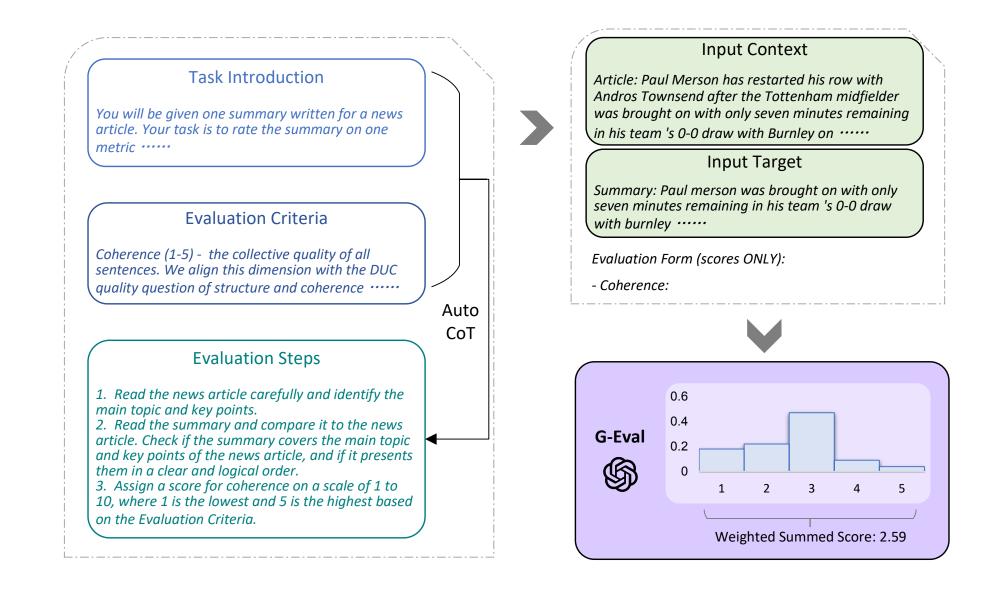
A regression model based on BERT returns a score that indicates to what extend the candidate text is grammatical and conveys the meaning of the reference text.

(Sellam et.al. 2020)



Model-based metrics: LLMs

- Use LLMs to evaluate generation outputs according to clearly defined rubric
 - **G-Eval** (Liu et al., 2023)
 - LLM-as-a-judge (Zheng et al., 2023)



[System]

Please act as an impartial judge and evaluate the quality of the responses provided by two AI assistants to the user question displayed below. You should choose the assistant that follows the user's instructions and answers the user's question better. Your evaluation should consider factors such as the helpfulness, relevance, accuracy, depth, creativity, and level of detail of their responses. Begin your evaluation by comparing the two responses and provide a short explanation. Avoid any position biases and ensure that the order in which the responses were presented does not influence your decision. Do not allow the length of the responses to influence your evaluation. Do not favor certain names of the assistants. Be as objective as possible. After providing your explanation, output your final verdict by strictly following this format: "[[A]]" if assistant A is better, "[[B]]" if assistant B is better, and "[[C]]" for a tie.

```
[User Question]
{question}

[The Start of Assistant A's Answer]
{answer_a}
[The End of Assistant A's Answer]

[The Start of Assistant B's Answer]
{answer_b}
[The End of Assistant B's Answer]
```

[System]

Please act as an impartial judge and evaluate the quality of the response provided by an AI assistant to the user question displayed below. Your evaluation should consider factors such as the helpfulness, relevance, accuracy, depth, creativity, and level of detail of the response. Begin your evaluation by providing a short explanation. Be as objective as possible. After providing your explanation, please rate the response on a scale of 1 to 10 by strictly following this format: "[[rating]]", for example: "Rating: [[5]]".

```
[Question]
{question}

[The Start of Assistant's Answer]
{answer}
[The End of Assistant's Answer]
```

What might be a benefit of model-based metrics compared to overlap metrics?

Human evaluations

- Automatic metrics fall short of matching human decisions
- Most important form of evaluation for text generation systems
 - >75% generation papers at ACL 2019 include human evaluations
- Gold standard in developing new automatic metrics
 - New automated metrics must correlate well with human evaluations!



Human evaluations

- Ask humans to evaluate the quality of generated text
- Overall or along some specific dimension:
 - fluency
 - coherence / consistency
 - factuality and correctness
 - commonsense
 - style / formality
 - grammaticality
 - typicality
 - redundancy

Human evaluations

- Ask *humans* to evaluate the quality of generated text
- Overall or along some specific dimension:
 - fluency
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Note: Don't compare human evaluation scores across differently-conducted studies

Even if they claim to evaluate the same dimensions!

Human evaluations: case study



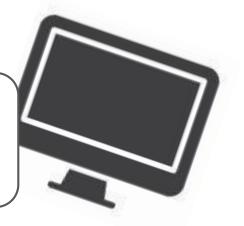
200 Human-written Stories

PROMPT: You, a video game character, just died. You had no spare lives left.

STORY: Link woke in a panic, gasping and flailing wildly at the air above him. The panic quickly turned to confusion, wasn't he just...?

He heard footsteps approaching from behind. Link leapt up from the floor and drew his sword, ready to strike. The sight of a slight man in a suit added to Link's already mounting confusion. Is this another trap set by Ganondorf? (...)

200 GPT-2 Generated Stories



PROMPT: You, a video game character, just died. You had no spare lives left.

STORY: *The US Space Station exploded with automatic fire in preparation for tonight's nuclear strike on Earth. This is video from the control center inside the Space Station*

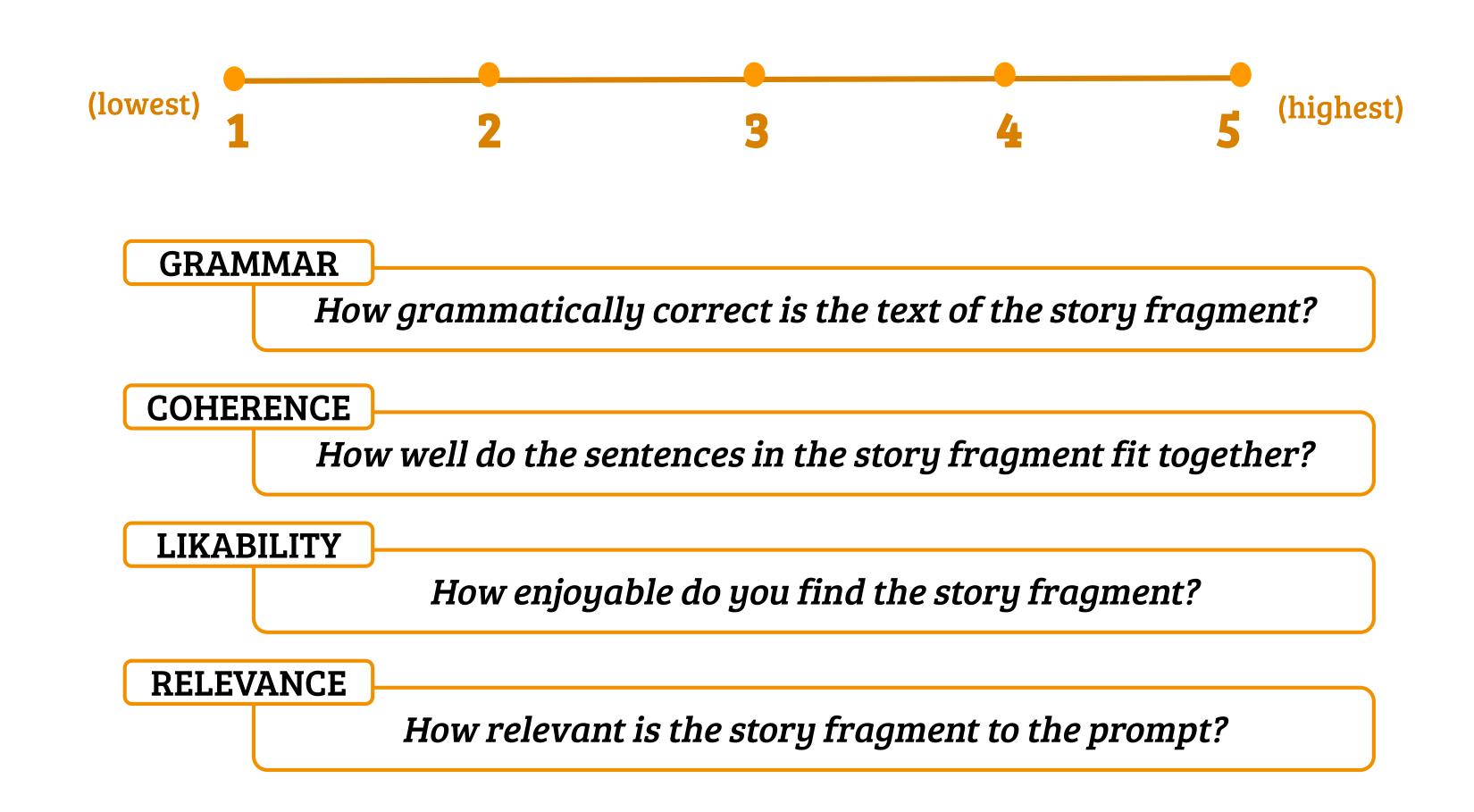
*A figure wearing a manilla envelope falls off of the back of the space station, detonating a nuclear device.

**An audio file is made of the sirens, and some screams (...)

~150 words

Human evaluations: case study

AMAZON MECHANICAL TURK



Human evaluations: case study

AMAZON MECHANICAL TURK





1. Rating Only GPT-2 Generated Stories



Type of text	Grammar		Coherence		Relevance		Likability	
	$Mean_{STD}$	IAA%	Mean _{STD}	IAA%	Mean _{STD}	IAA%	Mean _{STD}	IAA%
AMT wor	kers fail to	effectively d	listinguish b	etween hum	an written a	nd GPT-2 ¿	generated sto	ries
Ref. (Day 1)	$4.00_{0.92}$	$0.21_{15.5}$	$4.11_{0.96}$	$0.14_{16.5}$	$3.71_{1.26}$	0.27_{10}	$3.37_{1.18}$	$0.11_{7.5}$
Ref. (Day 2)	$3.86_{0.92}$	$-0.03_{10.5}$	$3.92_{0.98}$	$-0.03_{6.5}$	$3.71_{1.08}$	0.02_{11}	$3.73_{0.97}$	$-0.04_{8.5}$
Ref. (Day 3)	$3.98_{0.96}$	0.18_{11}	$4.05_{0.94}$	$0.13_{10.5}$	$3.46_{1.29}$	0.26_{8}	$3.42_{1.16}$	$0.07_{4.5}$
GPT-2	$3.94_{0.93}$	$0.11_{17.5}$	$3.82_{1.12}$	$0.05_{7.5}$	3.44 _{1.41}	0.10_{7}	$3.42_{1.25}$	$0.02_{4.5}$

- Human judgments are regarded as the gold standard
- Human evaluation is slow and expensive

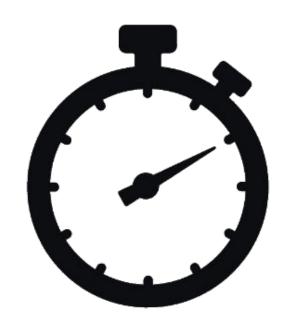
Suppose you can run a human evaluation

Do we have anything to worry about?

AMAZON MECHANICAL TURK

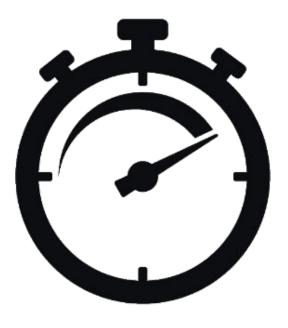
Time Spent on the Task





360 sec

WorkTimeInSeconds



22 sec

Mean



13 sec

Median

ENGLISH TEACHERS

Post-Task Interviews

GPT-2+HUM

- Need 10-20 examples to calibrate ratings
- Coherence was the easiest to rate for human-written stories
- Coherence was also the most challenging to rate for GPT-2 stories
- Relevance was the easiest to rate for GPT-2 stories (clearly not following the prompt)
- Overall GPT-2 generated stories were difficult to rate (average time per story raised from 69.8s → 87.3s)
- Preferred to rate GPT-2 and human-written stories together (better calibration)
- Suggested to employ a rubric



- Human judgments are regarded as the gold standard
- Human evaluation is slow and expensive (compared to automatic evaluation), even if your humans try to speed it up!
- Conducting effective human evaluations is difficult

Humans:

- are inconsistent
- can be illogical
- lose concentration
- misinterpret your question
- can't always explain why they feel the way they do
- May try to speed through your evaluation

Evaluation: Takeaways

- Content overlap metrics provide a good starting point for evaluating the quality of generated text, but they're not good enough on their own.
- Model-based metrics can be more correlated with human judgment, but behavior is not interpretable
- Human judgments are critical.
 - Only ones that can directly evaluate *factuality* is the model saying correct things?
 - But humans are inconsistent!
- In many cases, the best judge of output quality is YOU!
- Look at your model generations. Don't just rely on numbers!

Concluding Thoughts

- Interacting with natural language generation systems quickly shows their limitations
- Even in tasks with more progress, there are still many improvements ahead
- Evaluation remains a huge challenge.
 - We need better ways of automatically evaluating performance of NLG systems
- With the advent of large-scale language models, deep NLG research has been reset
 - it's never been easier to jump in the space!
- One of the most exciting areas of NLP to work in!