# Lab04-Matroid

CS214-Algorithm and Complexity, Xiaofeng Gao, Spring 2020.

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- 1. Give a directed graph G = (V, E) whose edges have integer weights. Let w(e) be the weight of edge  $e \in E$ . We are also given a constraint  $f(u) \ge 0$  on the out-degree of each node  $u \in V$ . Our goal is to find a subset of edges with maximal weight, whose out-degree at any node is no greater than the constraint.
  - (a) Please define independent sets and prove that they form a matroid.
  - (b) Write an optimal greedy algorithm based on Greedy-MAX in the form of pseudo code.
  - (c) Analyze the time complexity of your algorithm.

#### Solution.

(a)Define (E, W) and W is the collection of all edge sets whose every connected node's outdegree is no greater than the constraint.

The following is the proof on the matroid.

### Hereditary.

Assume  $A \subset B$ ,  $B \in \mathcal{W}$ . Since  $B \in \mathcal{W}$  and node's out-degree is no greater than f(u), nodes in A have lower out-degree than B.

Thus  $A \in \mathcal{W}$ .

# Exchange Property.

Assume  $A, B \in C$  and |A| < |B|.

case 1: There exists a edge  $x \in B \setminus A$  and x is not the out edge of node in A.

Thus  $A \cup \{x\}$ 's every node's out-degree is still no greater than f(u).  $A \cup \{x\} \in C$ .

case 2: All edges in B are the out edges of nodes in A. Thus the graph consists of out edges both in A and B own the same nodes. Threr must be such a node which its out-degree in B is greater than that in A, since |A| < |B|.

Choose a edge  $x x \in B \setminus A$  and x is the out edge of the node mentioned before.

Thus  $A \in \mathcal{W}$ .

(b)pseudo cide.

10 Output R

#### Algorithm 1: MaximalWeightGrapg

```
Input: Graph G = (V, E), weight of edges W(i), constraint of nodes f(i)
Output: a subset of edges with maximal weight, and every node's out-degree \leq f(i)

1 sort all edges by weight that w(e_1) \geq w(e_2) \geq \cdots \geq w(e_n);

2 R = \emptyset;

3 F[n] = \{0, 0, \cdots, 0\};

4 for i = 1 to n do

5 | if F[m] < f(m) then

6 | F[m] + + ; \setminus e_i is the out edge of node v_m

7 | add e_i to R;

8 | else

9 | continue;
```

(c) The algorithm consists of a sort and a n-cycle.

The time complexity of the sort is O(nlogn) and the cycle is O(n).

Thus the time complexity of the algorithm is O(nlogn).

2. Let X, Y, Z be three sets. We say two triples  $(x_1, y_1, z_1)$  and  $(x_2, y_2, z_2)$  in  $X \times Y \times Z$  are disjoint if  $x_1 \neq x_2, y_1 \neq y_2$ , and  $z_1 \neq z_2$ . Consider the following problem:

**Definition 1** (MAX-3DM). Given three disjoint sets X, Y, Z and a nonnegative weight function  $c(\cdot)$  on all triples in  $X \times Y \times Z$ , **Maximum 3-Dimensional Matching** (MAX-3DM) is to find a collection  $\mathcal{F}$  of disjoint triples with maximum total weight.

- (a) Let  $D = X \times Y \times Z$ . Define independent sets for MAX-3DM.
- (b) Write a greedy algorithm based on Greedy-MAX in the form of pseudo code.
- (c) Give a counterexample to show that your Greedy-MAX algorithm in Q. 2b is not optimal.
- (d) Show that:  $\max_{F\subseteq D} \frac{v(F)}{u(F)} \leq 3$ . (Hint: you may need Theorem 1 for this subquestion.)

**Theorem 1.** Suppose an independent system  $(E,\mathcal{I})$  is the intersection of k matroids  $(E,\mathcal{I}_i)$ ,  $1 \leq i \leq k$ ; that is,  $\mathcal{I} = \bigcap_{i=1}^k \mathcal{I}_i$ . Then  $\max_{F \subseteq E} \frac{v(F)}{u(F)} \leq k$ , where v(F) is the maximum size of independent subset in F and u(F) is the minimum size of maximal independent subset in F.

#### Solution.

- (a) Define  $(S, \mathcal{C})$ , and S is the set of all triples in  $X \times Y \times Z$ ,  $\mathcal{C}$  is the collection of all disjoint triples collection. The hereditary is easy to prove.
- (b) pseudo code

```
Input: sets X, Y, Z and weight c(\cdot) on all triples in X \times Y \times Z
   Output: collection \mathcal{F} of disjoint triples with maximum total weight
 1 sort all triples by weight that w(1,1,1) \ge w(1,1,2) \ge \cdots \ge w(x,y,z);
 \mathcal{F} = \emptyset;
 \mathbf{3} for i=1 to x do
        for j = 1 to y do
            for k = 1 to z do
 \mathbf{5}
                 if triple(i, j, k) is disjoint to all triples in \mathcal{F} then
 6
                     add triple(i, j, k) to \mathcal{F};
 7
                 else
 8
                   continue;
 9
10 Output \mathcal{F}
```

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(c) X\{1,2\} Y\{3,4\} Z\{5,6\}
```

We give weight 8, 7, 7, 7, 7, 7, 7, 1 to  $\{(1, 3, 5), (1, 3, 6), (1, 4, 5), (1, 4, 6), (2, 3, 5), (2, 3, 6), (2, 4, 5)\}$  From the algorithm we choose  $\{(1, 3, 5), (2, 4, 6)\}$  as the final set and the weight is 9. However it's clear that  $\{(1, 3, 6), (2, 4, 5)\}$  with weight 14 is optimal.

(d) **Proof.** 

Assume an independent system  $(S, \mathcal{X})$ .  $\mathcal{X}$  is the collection of all collections of triples in  $X \times Y \times Z$  with disjoint  $\mathbf{x} \in X$ .

### Hereditary

Since  $A \subset B$ ,  $B \in \mathcal{X}$ , it's easy to see that  $A \in \mathcal{X}$ .

#### **Exchange Property**

Since |A| < |B|, the values of x in B is more than in A. Thus there must exist a triple  $(m, y, z) \in B$  and m haven't exist in A's triples before.  $|A| \cup (m, y, z) \in \mathcal{X}$ .

Therefore  $(S, \mathcal{X})$  is a matroid.

We can get  $(S, \mathcal{Y})$  and  $(S, \mathcal{Z})$  in the same way.

Then

$$\mathcal{X} \cap \mathcal{Y} \cap \mathcal{Z} = \mathcal{C}$$

for C is the collection of all collections with disjoint x, y, and z.

Finally we can draw the conclusion that  $\max_{F \subset D} \frac{v(F)}{u(F)} \leq 3$  by theorem 1.

- 3. Crowdsourcing is the process of obtaining needed services, ideas, or content by soliciting contributions from a large group of people, especially an online community. Suppose you want to form a team to complete a crowdsourcing task, and there are n individuals to choose from. Each person  $p_i$  can contribute  $v_i$  ( $v_i > 0$ ) to the team, but he/she can only work with up to  $c_i$  other people. Now it is up to you to choose a certain group of people and maximize their total contributions ( $\sum_i v_i$ ).
  - (a) Given OPT(i, b, c) = maximum contributions when choosing from  $\{p_1, p_2, \dots, p_i\}$  with b persons from  $\{p_{i+1}, p_{i+2}, \dots, p_n\}$  already on board and at most c seats left before any of the existing team members gets uncomfortable. Describe the optimal substructure as we did in class and write a recurrence for OPT(i, b, c).
  - (b) Design an algorithm to form your team using dynamic programming, in the form of pseudo code.
  - (c) Analyze the time and space complexities of your design.

#### Solution.

(a) When choosing the  $i_{th}$  person, there are two cases.

Case 2:  $OPT(i, b, c) = max\{OPT(i-1, b, c), v_i + OPT(i-1, b+1, min\{c_i - b, c\})\}$ 

$$OPT(i, b, c) = \begin{cases} 0 & i = 0 \\ OPT(i - 1, b, c) & c = 0 \text{ or } c_i < b \\ max\{OPT(i - 1, b, c), v_i + OPT(i - 1, b + 1, min\{c_i - b, c\})\} & else \end{cases}$$
(1)

## (b)**pseudo code**:

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Algorithm 2: OPT(i,b,c)
```

```
Input: P\{(v_1, c_1), (v_2, c_2), \cdots, (v_n, c_n)\}
   Output: a set of people
 1 A[n] = \{0, 0, \dots, 0\}; \setminus \text{ used to store the result, not in the recursion }
2 if i = 0 then
       return 0;
4 else if c = 0 or c_i < b then
       return OPT(i-1,b,c);
   else
 6
       if OPT(i-1, b, c) \le v_i + OPT(i-1, b+1, min\{c_i - b, c\}) then
 7
           A[i]=1;
 8
          return v_i + OPT(i - 1, b + 1, min\{c_i - b, c\});
 9
       else
10
          return OPT(i-1,b,c);
11
12 OPT(n,0,n); \\ the begin of the recursion
13 Output A;
```

(c) The deep of the recursion is n.

## Space Complexity:O(n)

The space complexity is O(n), since every recursion costs constant space.

### Time complexity: $O(n^3)$

Consider the worst case, which chooses n people. For the deep of the recursion is n, and in the  $i_{th}$  recursion the time cost is  $2^{i-1}$ .

Thus the time complexity is  $O(2^n)$ .

And using a three-dimensional array to store the result can make the time community become  $O(n^3)$  and the space complexity become  $O(n^3)$ .

Remark: You need to include your .pdf and .tex files in your uploaded .rar or .zip file.