# CIS3110 - Lecture 10 - Summary Notes

## Introduction to Disk and File System Structure

(Topics: File-System Structure 14.1, 14.2, 14.3, File-System Internals 15.5)

#### • Disk as a Data Structure:

- A disk is essentially an array of sectors
- Despite being physically 3D (cylinders, heads, sectors), disks are logically mapped to a linear list
- Logical ordering: cylinder 0, head 0, sector 0 → cylinder 0, head 0, sector 1, etc.
- This allows consistent addressing as "Block 0, Block 1, Block 2, etc."

### • File System Organization Basics:

- All file systems depend on information stored at the beginning of the disk
- This beginning information describes the entire disk structure
- In FAT: boot block → indexing information → root directory → data blocks

## FAT vs. Unix File Systems

(Topics: File-System Structure 14.1, 14.2, 14.3, 14.4, File-System Internals 15.5, 15.6)

### • FAT File System:

- Uses a linked list approach for tracking file blocks
- Limitations: can only traverse forward, inefficient for random access

#### • Unix File System:

- Uses an inode-based tree structure
- Begins with a "super block" (similar to FAT's master boot record)
- Super block contains critical information:
  - Number of cylinders, heads, sectors
  - Location of the inode for the root directory

## • Common to Unix-derived systems:

- Linux, Mac OS, FreeBSD, etc.
- All use variations of the inode-based approach

## **Inode Structure**

(Topics: File-System Structure 14.3, 14.4, File-System Internals 15.5, 15.6)

#### Inode Contents:

- File metadata (size, timestamps, permissions)
- Direct pointers to first few data blocks
- Pointers to indirect blocks for larger files

## Multi-level Indexing:

- Direct blocks: First ~6 blocks referenced directly from inode
- **Single indirect block**: Points to ~1024 data blocks (first level tree)
- **Double indirect block**: Points to ~1024 single indirect blocks (~1 million blocks)
- Triple indirect block: Points to ~1024 double indirect blocks (~1 billion blocks)

## • Addressing in the Tree:

- Block addresses are like memory addresses but for disk
- They indicate location relative to beginning of disk
- Example: Block 16,254,955,012 would be stored in the appropriate position in the tree

### • Efficiency Design:

- Direct blocks optimize for small files (most common case)
- Most files are small (under 10KB), so they fit entirely in direct blocks
- Larger files use progressively more complex structures
- Design accommodates files from bytes to terabytes in size

## File Allocation and Growth

(Topics: File-System Structure 14.5, 14.6, File-System Internals 15.5, 15.6)

#### • On-demand Allocation:

- Blocks and indirect blocks only allocated when needed
- Empty file (e.g., from (touch)) has inode but no data blocks

## • Growing a File:

- First bytes use direct blocks (efficient)
- When direct blocks exhausted, allocate single indirect block + first data block it points to
- Further growth may require double and triple indirect blocks
- Allocations happen as needed:
  - Direct blocks → single block allocation
  - First block after direct → double allocation (indirect block + data block)

• First block after single indirect → triple allocation (double indirect, single indirect, data block)

### File Holes

(Topics: File-System Structure 14.6, File-System Internals 15.6, 15.8)

### • Sparse Files:

- If you seek to position 1,000,000 and write one byte, only that byte is stored
- Intervening space ("hole") consumes no disk space
- Reading from holes returns zeros
- Efficient for sparse data

### • Example Scenarios:

- Option A: Seek to 1,000,000, write null byte → stores only one block + minimal indexing
- Option B: Write 1,000,001 null bytes sequentially → stores all bytes, using full disk space
- To users, files appear identical when read
- Tools like (tar) and (rsync) are aware of holes and preserve them

## **Directory Structure**

(Topics: File-System Interface 13.1, 13.2, 13.3, 13.4, File-System Structure 14.1, 14.2, 14.3)

#### • Directories as Files:

- A directory is a special type of file
- Contains ordered pairs: (inode number, name)
- Example: "to find file A, go to inode 3"

## • Directory File Type:

- $\ln(1s-1)$  output, directories marked with 'd' in first column
- Regular files marked with '-'

### • Directory Entries:

- Variable length names (typically up to 255 bytes)
- Each entry has inode number and name
- Unlike FAT/DOS which used fixed 8.3 naming format

### Accessing Files via Directories:

- Find file name in directory → get inode number → access inode → access data blocks
- Note: Name stored separately from file data/metadata (unlike FAT)

## Hard Links and Link Count

(Topics: File-System Interface 13.4, File-System Internals 15.5, 15.8)

#### • Link Count:

- Each inode has a link count tracking references to it
- Initially 1 for a new file (one directory entry)

### • File Movement Operation:

- 1. Add entry with new name + same inode in destination directory
- 2. Increment link count to 2
- 3. Remove old directory entry
- 4. Decrement link count back to 1
- Efficient: no actual data blocks moved
- Safe: power loss during move doesn't lose the file

#### Hard Links:

- Multiple directory entries pointing to same inode
- All references access the same data (same inode)
- Similar to multiple pointers to the same structure in memory
- Limitation: can't hard link across different filesystems (inode numbers only unique within filesystem)
- Cannot hard link directories (prevents loops in the filesystem)

## File Opening and Deletion

(Topics: File-System Internals 15.5, 15.8)

#### Opening Files:

- When a file is opened, link count incremented
- File descriptor references the inode

#### • File Deletion Process:

- (rm) command removes directory entry and decrements link count
- Actual data blocks only freed when link count reaches zero
- If a file is open while deleted, it remains accessible to the program that has it open

#### • Benefits:

Programs can continue using files that have been "deleted"

- Critical for executable files and paging
- Allows updating system files without crashing running programs
- Explains why OS updates often require reboot (running kernel still using old files)

## Hard Links vs. Soft Links

(Topics: File-System Interface 13.4, File-System Internals 15.8)

## • Soft Links (Symbolic Links):

- Small file with type "soft link"
- Contains path to another file
- Similar to Windows shortcuts
- Can point to files on different filesystems
- Fragile: target can be deleted, leaving "dangling" link

#### Hard Links:

- Multiple directory entries referencing same inode
- More robust: deleting one link doesn't affect others
- Limited to same filesystem
- Cannot link to directories

## **Root Directory and Filesystem Structure**

(Topics: File-System Interface 13.2, File-System Structure 14.1, 14.2)

## • Root Directory:

- Has no name (slash is a delimiter, not a name)
- Located via super block information
- Entry point to entire filesystem hierarchy

## • Windows NT/NTFS Adoption:

- Microsoft moved from FAT/DOS model to inode-like structure
- NTFS uses tree-based indexing similar to Unix
- Addressed reliability issues of earlier Windows versions