



Sorting

CS240 Spring 2019



Concerns

- We began the semester with bogosort
- When sorting, time isn't the only concern:
 - Extra memory requirements
 - *Internal vs. external sorting*
 - Stable vs. unstable sorts
 - Runtime complexity
 - *N^2 vs. $N\log_2 N$ performance*
 - *worst case vs. average case*

Memory

- Memory Requirements

- In-Place Sort vs Out-of-Place Sort

- *Does your algorithm need additional memory to sort?*

- Do you need all the data to sort?

- Internal sorting algorithm

- *the entire data to be sorted can be held in the computer's main memory.*

- External sorting algorithm

- *when the data cannot be stored in memory*

- *example: a very large transaction file which is stored over the network or on disc*

Stable Sort vs Unstable Sort

- An algorithm is said to be stable if for any items in the unsorted list that are equivalent, their original order is maintained
- Example
 - sorting only numbers
 - Stable: $[3, 2a, 2b, 1] \Rightarrow [1, 2a, 2b, 3]$
 - Unstable: $[3, 2a, 2b, 1] \Rightarrow [1, 2b, 2a, 3]$

Simple Sorting

- For any sort algorithm, the resulting dataset must be a permutation of the original dataset
 - Must not add or subtract any elements
- $O(n^2)$ - Quadratic Sorts
 - Run through every element of an unsorted array and compare it (possibly swap) with every other element in the array
 - Generally slow for large data sets
- Why would you use slower sort?
 - easy and fast to code, performs better on certain kinds of datasets

Bubble

- Bubblesort starts at the beginning
 - if the first element is greater than the second element, it swaps them.
 - It continues doing this for each pair of adjacent elements to the end of the data set.
 - It then starts again with the first two elements, repeating until no swaps have occurred on the last pass.
- Visualizing Bubble Sort
 - <https://visualgo.net/sorting>

Bubblesort Pseudocode

```
while(swapped)
    swapped = false
    for i => n
        if a[j] < a[j-1]
            swap a[j,j-1]
            swapped = true
```

BubbleSort

- Average case: $O(n^2)$
- Worst case: $O(n^2)$
- Use Case:
 - Use on a list that is already sorted except for a very small number of elements.
 - Example: if only one element is not in order, bubble sort will take only $2n$ time.
 - That's linear sort!

Selection Sort Pseudocode

```
for i = 0:n
    min = i
    for j = i+1:n
        if a[j] < a[min]
            min = j
        end
    end
    swap a[i,min]
end
```

Selection Sort

- Average case: $O(n^2)$
- Worst case: $O(n^2)$
- Use Case:
 - Use on hardware where swapping is an expensive operation
 - *Does no more than n swaps*
 - In practice, the slowest sort

Insertion Sort

```
for (i = 1:n)
    for (j = i; j>0 and a[j]<a[j-1]; j--)
        swap a[j,j-1]
    end
end
```

Insertion

- Insertion sort works by
 - taking an element from the list
 - inserting the element in their correct position into a new sorted list.

Insertion Sort

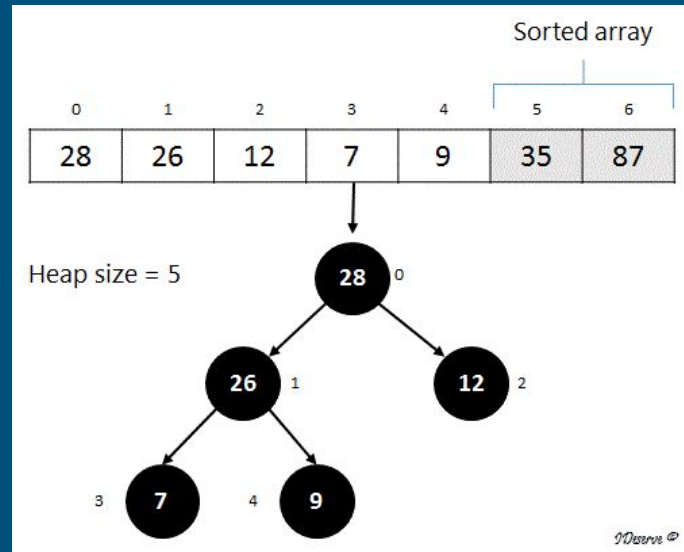
- Average case: n^2
- Worst case: n^2
- Stable Sort
 - Element order stays the same for equal elements
- Use Case:
 - Insertion sort is also called natural sort
 - *Mimics natural sorting of cards*
 - *Generally the fastest of the Quadratic sorts*
 - depending on implementation
 - *Also efficient on nearly sorted, like bubble sort*

Logarithmic Sorts

- $n \log n$ sorts use top down sorting techniques
 - Also known as a divide and conquer sort
- Generally,
 - more difficult to code
 - fast for large data sets, slow for small datasets

Heapsort

- Sort a list by building it into a heap, then deleting the root node and placing it 1 past the last heap index until the heap is empty.
- This is an in place sort
 - Doesn't require an additional list to hold the sorted value



Heapsort

```
void HeapSort(int* list, int size) {  
    MinHeap toSort(list, size);  
    toSort.buildHeap();  
    for(int i = size - 1; !toSort.empty(); i--){  
        list[i] = toSort.removeRoot();  
    }  
}
```


Cost of Heapsort

- Complexity
 - Total Comparisons: $N \log N$
 - Total Swaps: $N \log N$
 - Heap Sort is $T(n) = c(N \log N)$
- Unstable Sort

What do you notice?

arr1



36

arr2



0

arr3



17

arr4



42

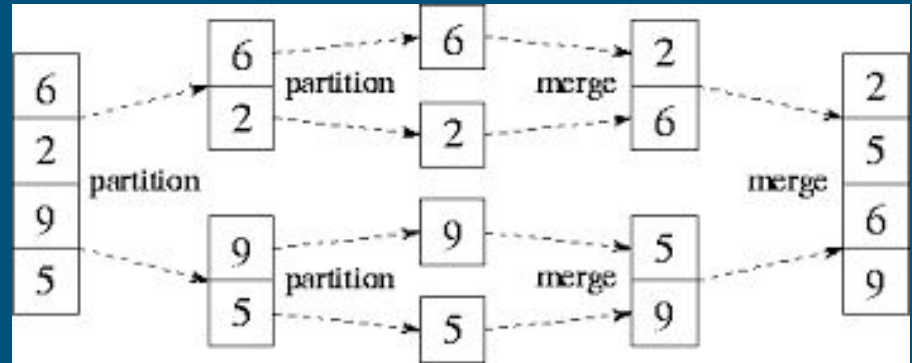
Each of these is a sorted array

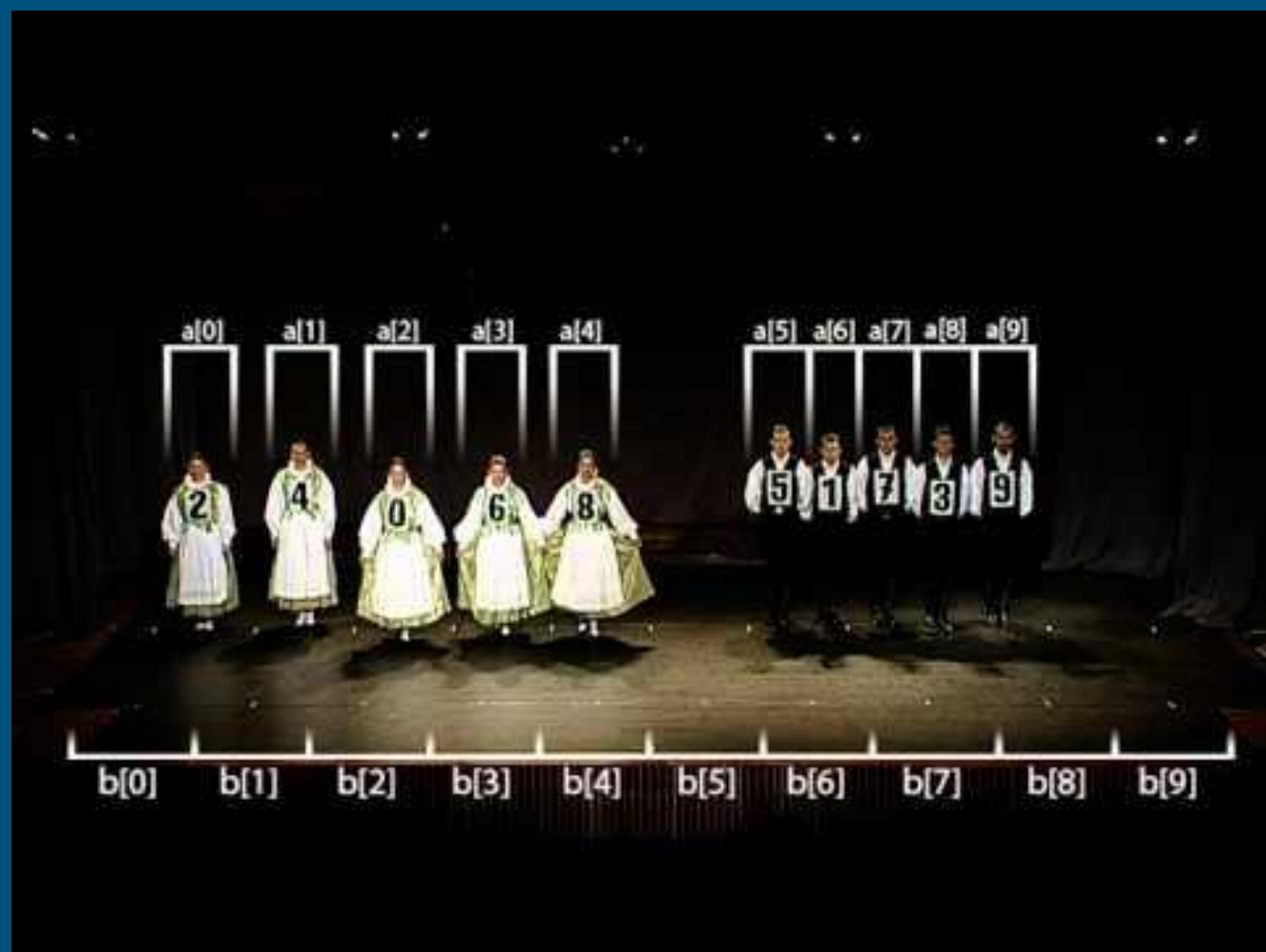
MergeSort

- Two properties of lists allow mergesort to sort quickly:
 - A list containing 1 item is a sorted list
 - Merging 2 sorted lists into a new sorted list is an linear operation
- Splitting a list into two lists is a logn operation
 - Think of binary search

MergeSort

- Average case: $n \log n$
- Worst case: $n \log n$
- Stable Sort
- Mergesort has two parts
 - Divide list into two lists
 - Merge two sorted lists





Merging 2 sorted arrays

- Given the pseudocode on the right, how many times are we going to loop?
 - no more than $\text{left} \rightarrow \text{size} + \text{right} \rightarrow \text{size}$
- Merging 2 sorted arrays is a linear operation
 - $O(m+n)$
 - *where m, n are the size of the 2 arrays*

```
merge( left, right )
    new sorted_array[ left->size + right->size ]
    i, j, k = 0;
    while ( i != left->size() and j != right->size() )
        if( left[i] > right[j] )
            sorted_array[k] = right[j]
            increment j and k
        else
            sorted_array[k] = left[i]
            increment i and k
    while ( left or right has elements )
        sorted_array[i] = remaining_elements;
        increment i
    return sorted_array
```

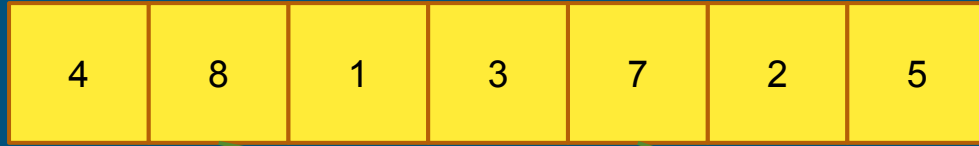
Classwork

Merge Function

```
mergesort( array a )  
    if ( a->size == 1 ) return a  
    array_left = {a[0] ... a[n/2]}  
    array_right = {a[n/2+1] ... a[n]}  
    array_left = mergesort(array_left)  
    array_right = mergesort(array_right)  
    sorted_array = merge( array_left, array_right)  
    return sorted_array;
```

What do you notice?

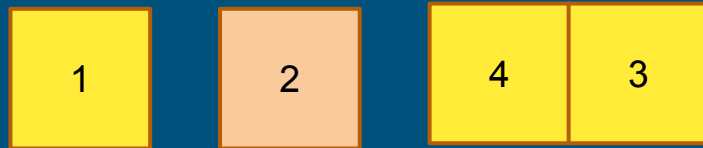
Choose a 'pivot', which can be any index in an array, and move all values 'greater than' to one side, and all values, 'less than' to the other



5 is in its sorted position



2 is in its sorted position



Quicksort

- Quicksort is a divide and conquer algorithm
 - relies on **partitioning**
- Using a pivot, all elements smaller than the pivot are moved before it and all greater elements are moved after it.
 - The lesser and greater sublists are then recursively sorted.

Quicksort

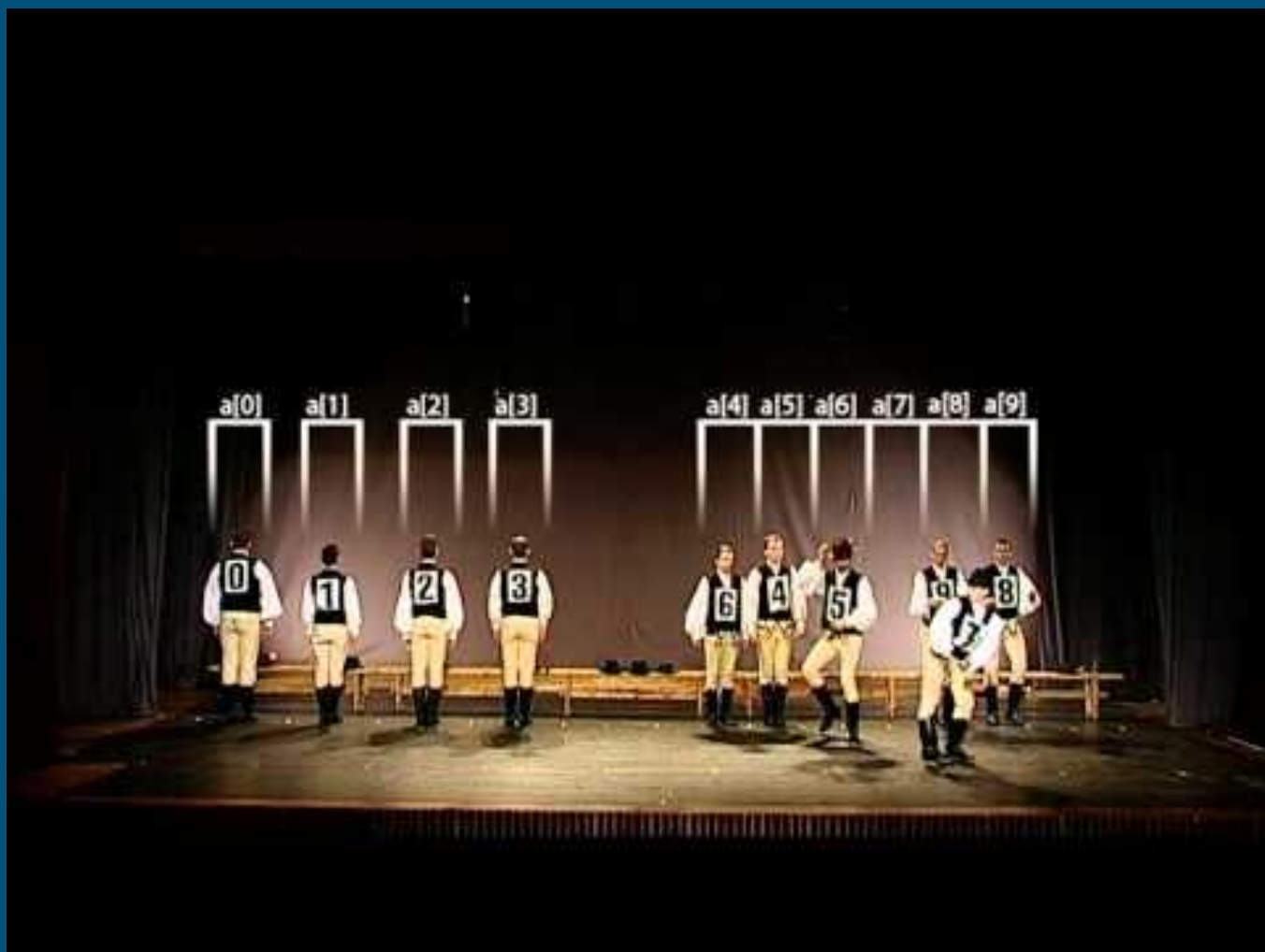
```
quicksort(array, low, high):
```

```
    if low < high:
```

```
        sorted = partition(array, low, high)
```

```
        quicksort(array, low, sorted - 1)
```

```
        quicksort(array, sorted + 1, high)
```



Implementing a Partition

- Dividing the array along a pivot is called partitioning
- 'high' and 'low' are the indexes you are working within the array
 - Remember, quicksort is in place, so we divide up the existing array
- The comparison is with the pivot, the swap is with the marker and the current index

```
partition(array, low, high)
    pivot = choosePivot(low, high)
    swap array[pivot, high]
    marker = low
    for i = low to (high-1)
        if array[i] < array[high]
            swap array[i, marker]
            marker = marker + 1
    swap array[marker, high]
    return marker
```

Partition Algorithm

- There are several different algorithms you can use to partition on a pivot
 - Each with pros and cons
- The video uses a pivot swap where you keep swapping the pivot until it is the correct position
- The partition pseudocode swaps values lesser and greater than the pivot, then puts the pivot into place

Classwork

Quicksort

```
int pivotA(low, high):
```

```
    return low
```

```
int pivotB(low, high):
```

```
    //findMedian() loops through to find
```

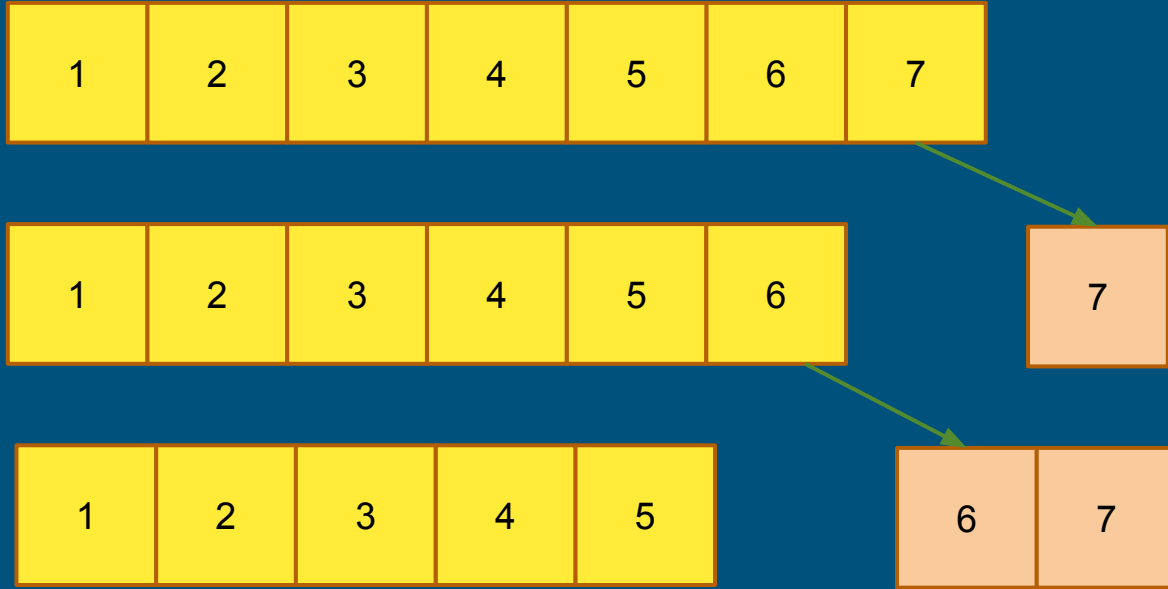
```
    // the median value in the array
```

```
    return findMedian(low,high)
```

```
int pivotC(low, high):
```

```
    return random(low, high)
```

What do you notice?



Must compare every element against every other element

Choosing a bad Pivot

- consistently poor choices of pivots can result in dramatically slower $O(n^2)$
 - Pivot on first/last element on already sorted or nearly sorted lists?
 - Always choosing the lowest or highest value?

Choosing a pivot

- Options for choosing a good pivot
 - Finding the median, use as the pivot $O(n \log n)$.
 - *Finding the median however, is an $O(n)$ operation, therefore $O(n^2)$*
 - Select the center,
 - *1/n chance for worst case complexity*
 - Randomly select the pivot
 - *drastically reduce worst case possibility*

choosePivot

```
choosePivot(low, high)
```

```
    int pivot = low + random() % (high - low);
```

```
    return pivot
```

QuickSort

- Average case: $O(n \log n)$
- Worst case: $O(n^2)$
- Unstable Sort
- The fastest sort algorithm in practice.
- Use Case:
 - Any large, unsorted dataset
 - in place sort, Unlike mergesort, n space usage