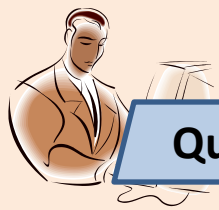


ICS 321 Fall 2012

# Overview of Query Processing

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Query

Parse Query

Enumerate  
Plans

Estimate  
Cost

Choose  
Best Plan

Evaluate  
Query Plan

Result

**SELECT \* FROM Reserves WHERE sid=101**

$\sigma_{\text{Sid}=101}$

Reserves

B

fetch

IDXSCAN  
(sid=101)

Reserves

Index(sid)

SCAN (sid=101)

Reserves

32.0

25.0

Pick B

Optimizer



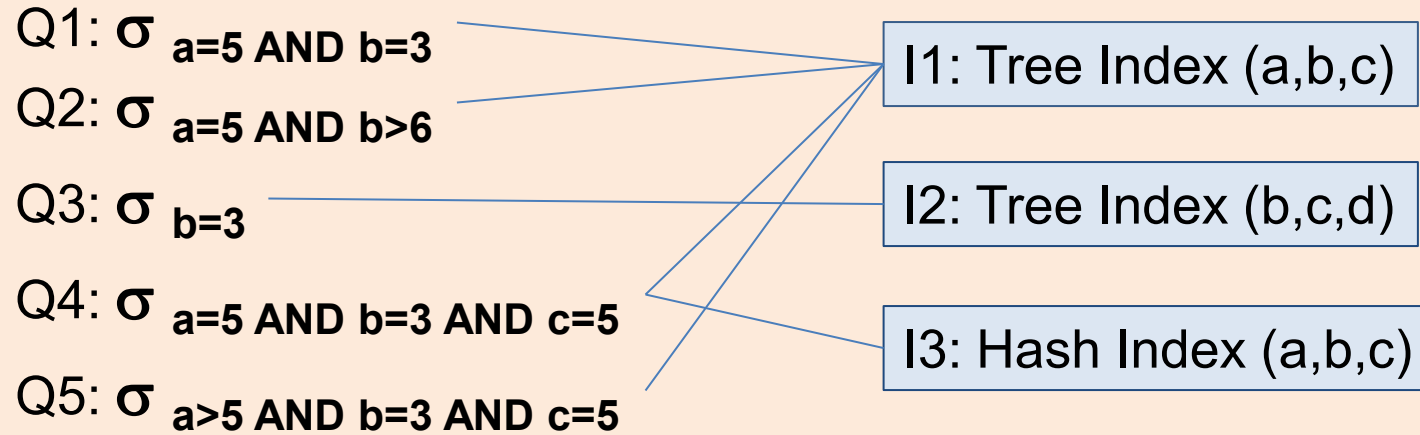
# Query Processing

- **Query Execution Plan (QEP):** tree of database operators.
  - At high-level, relational algebra operators are used
  - At low-level, RA operators with particular implementation algorithm.
- **Plan enumeration:** find equivalent plans
  - Different QEPs that return the same results
  - Query rewriting : transformation of one QEP to another equivalent QEP.
- **Cost estimation:** a mapping of a QEP to a cost
  - **Cost Model:** a model of what counts in the cost estimate. Eg. Disk accesses, CPU cost ...
- **Query Optimizer:**
  - Explores the space of equivalent plan for a query
  - Chooses the best plan according to a cost model

# Access Paths

- An **access path** is a method of retrieving tuples.  
Eg. Given a query with a selection condition:
  - File or table scan
  - Index scan
- **Index matching problem:** given a selection condition, which indexes can be used for the selection, i.e., matches the selection ?
  - Selection condition normalized to conjunctive normal form (CNF), where each term is a *conjunct*
  - Eg. (day<8/9/94 **AND** rname='Paul') **OR** bid=5 **OR** sid=3
  - **CNF:** (day<8/9/94 **OR** bid=5 **OR** sid=3 ) **AND** (rname='Paul' **OR** bid=5 **OR** sid=3)

# Index Matching



- A tree index matches a selection condition if the selection condition is a prefix of the index search key.
- A hash index matches a selection condition if the selection condition has a term *attribute=value* for every attribute in the index search key

# One Approach to Selections

1. Find the *most selective access path*, retrieve tuples using it
2. Apply remaining terms in selection not matched by the chosen access path


- The **selectivity** of an access path is the size of the result set (in terms of tuples or pages).
  - Sometimes selectivity is also used to mean **reduction factor**: fraction of tuples in a table retrieved by the access path or selection condition.
- Eg. Consider the selection:  
     $\text{day} < 8/9/94$  **AND**  $\text{bid} = 5$  **AND**  $\text{sid} = 3$ 
  - Tree Index(day)
  - Hash index (bid,sid)

# Query Execution Plans

- A tree of database operators: each operator is a RA operator with specific implementation
- Selection  $\sigma$ : Index Scan or Table Scan
- Projection  $\pi$ :
  - Without DISTINCT : Table Scan
  - With DISTINCT : requires sorting or index scan
- Join  $\bowtie$  :
  - Nested loop joins (naïve)
  - Index nested loop joins
  - Sort merge joins

# Nested Loop Join

S1	<u>sid</u>	sname	rating	age
	22	Dustin	7	45.0
	31	Lubber	8	55.5
	58	Rusty	10	35.0



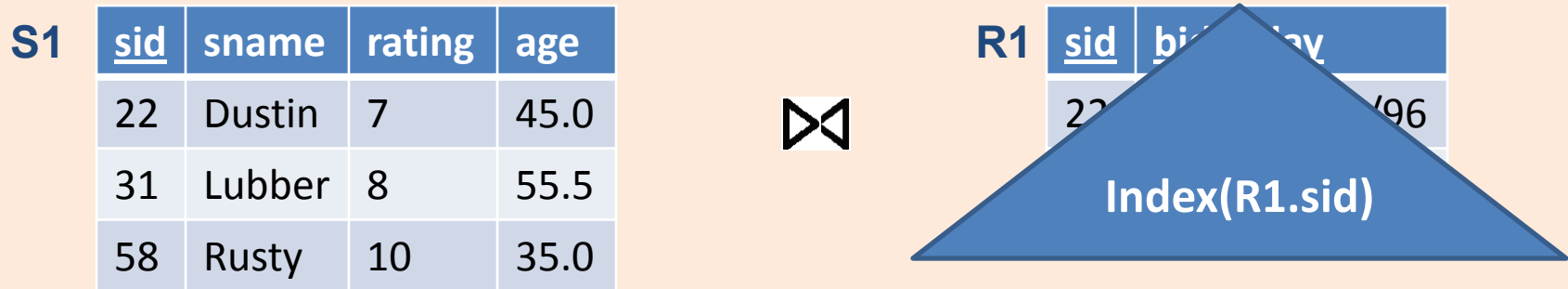
R1	<u>sid</u>	<u>bid</u>	<u>day</u>
	22	101	10/10/96
	58	103	11/12/96

```
For each data page  $P_{S1}$  of S1
  For each tuple  $s$  in  $P_{S1}$ 
    For each data page  $P_{R1}$  of R1
      For each tuple  $r$  in  $P_{R1}$ 
        if ( $s.sid == r.sid$ )
          then output  $s, r$ 
```

- Worst case number of disk reads  
=  $Npages(S1) + |S1| * Npages(R1)$



# Index Nested Loop Join



For each data page  $P_{S1}$  of S1

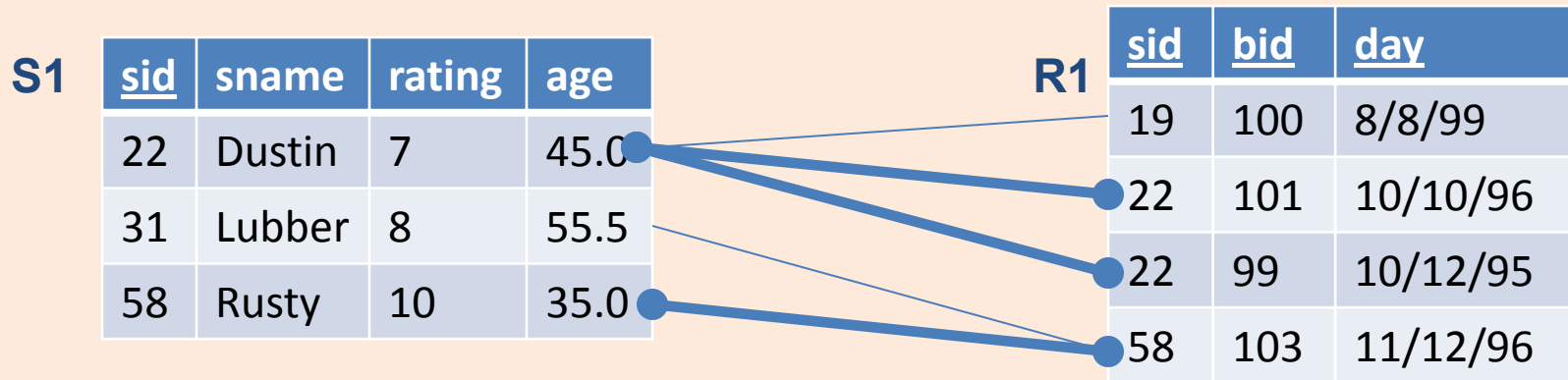
For each tuple  $s$  in  $P_{S1}$

if ( $s.sid \in \text{Index}(R1.sid)$ )

then fetch  $r$  & output  $\langle s, r \rangle$

- Worst case number of disk reads with tree index  
 $= \text{Npages}(S1) + |S1| * (1 + \log_f \text{Npages}(R1))$
- Worst case number of disk reads with hash index  
 $= \text{Npages}(S1) + |S1| * 2$

# Sort Merge Join



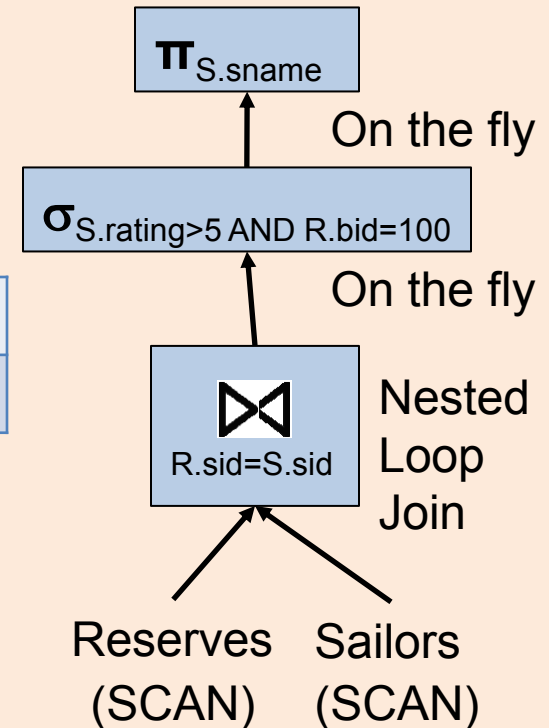
1. Sort S1 on SID
  2. Sort R1 on SID
  3. Compute join on SID using Merging algorithm
- If join attributes are relatively unique, the number of disk pages  
=  $N_{\text{pages}}(S1) \log N_{\text{pages}}(S1)$   
+  $N_{\text{pages}}(R1) \log N_{\text{pages}}(R1)$   
+  $N_{\text{pages}}(S1) + N_{\text{pages}}(R1)$
  - What if the number of duplicates is large?
    - the number of disk pages approaches that of nested loop join.

# Example

```
SELECT S.sname
FROM Reserves R, Sailors S
WHERE R.sid=S.sid AND R.bid=100 AND S.rating>5
```

Reserves	40 bytes/tuple	100 tuples/page	1000 pages
Sailors	50 bytes/tuple	80 tuples/page	500 pages

- Nested Loop Join cost 1K+ 100K\*500
- On the fly selection and project does not incur any disk access.
- Total disk access = 500001K (worst case)



# Example: Predicate Pushdown

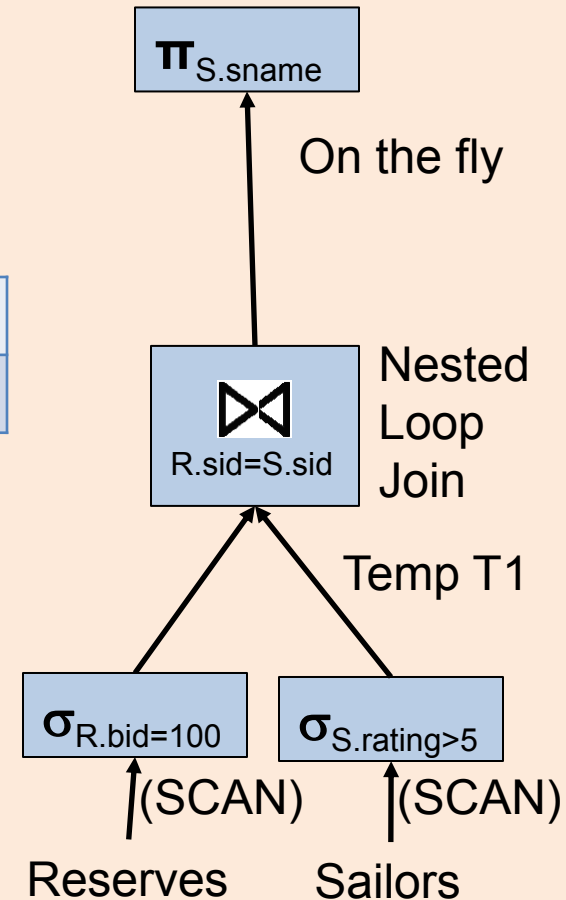
**SELECT** S.sname  
**FROM** Reserves R, Sailors S  
**WHERE** R.sid=S.sid **AND** R.bid=100 **AND** S.rating>5

10%

50%

Reserves	40 bytes/tuple	100 tuples/page	1000 pages
Sailors	50 bytes/tuple	80 tuples/page	500 pages

- Nested Loop Join requires materializing the inner table as T1.
- With 50% selectivity, T1 has 250 pages
- With 10% selectivity, outer “table” in join has 10K tuples
- Disk accesses for scans = 1000 + 500
- Writing T1 = 250
- NLJoin = 10K \* 250
- Total disk access = 2500.175 K (worst case)



What happens if we make the left leg the inner table of the join ?

# Example: Sort Merge Join

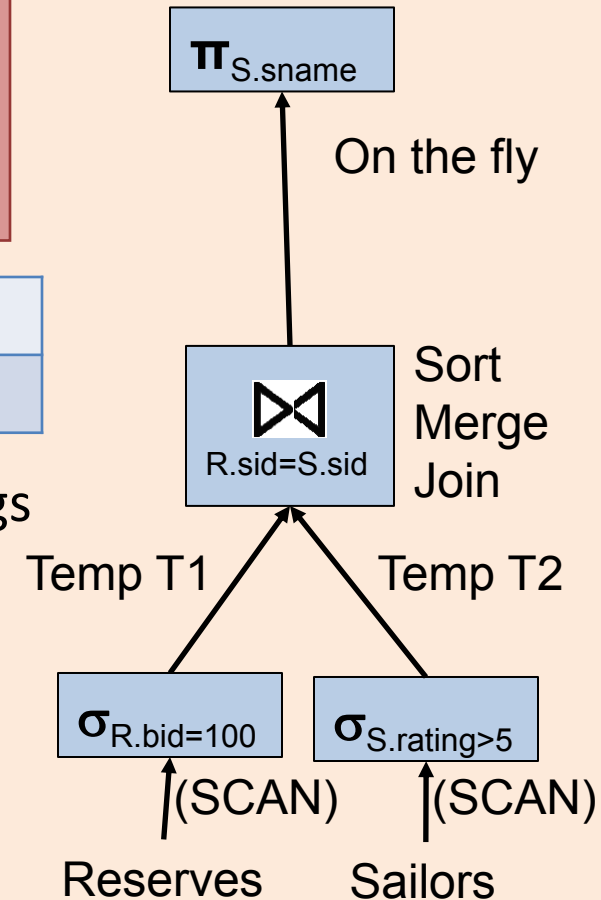
**SELECT** S.sname  
**FROM** Reserves R, Sailors S  
**WHERE** R.sid=S.sid **AND** R.bid=100 **AND** S.rating>5

10%

50%

Reserves	40 bytes/tuple	100 tuples/page	1000 pages
Sailors	50 bytes/tuple	80 tuples/page	500 pages

- Sort Merge Join requires materializing both legs for sorting.
- With 10% selectivity, T1 has 100 pages
- With 50% selectivity, T2 has 250 pages
- Disk accesses for scans = 1000 + 500
- Writing T1 & T2 = 100 + 250
- Sort Merge Join =  $100 \log 100 + 250 \log 250 + 100 + 250$  (assume 10 way merge sort)
- Total disk access = 52.8 K



What happens if we make the left leg the inner table of the join ?

# Example: Index Nested Loop Join

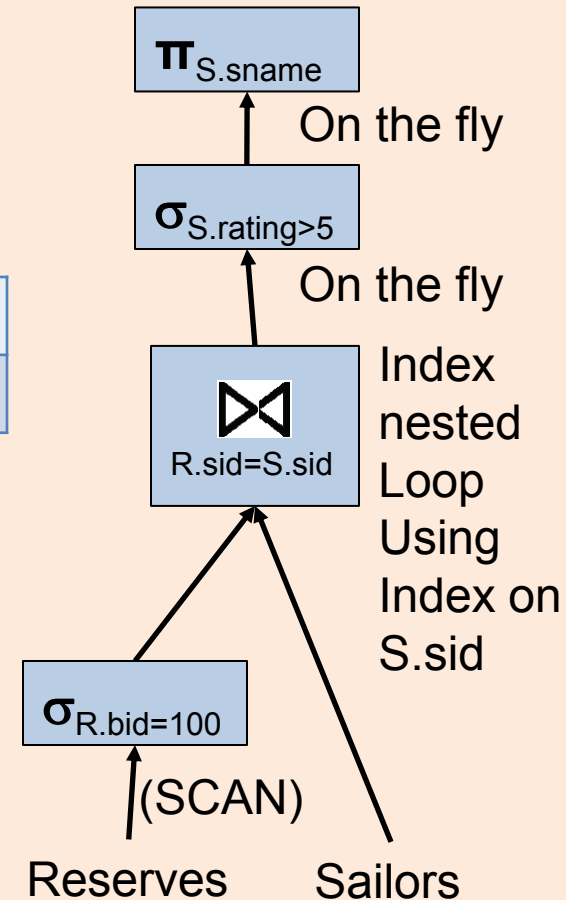
```
SELECT S.sname
FROM Reserves R, Sailors S
WHERE R.sid=S.sid AND R.bid=100 AND S.rating>5
```

10%

50%

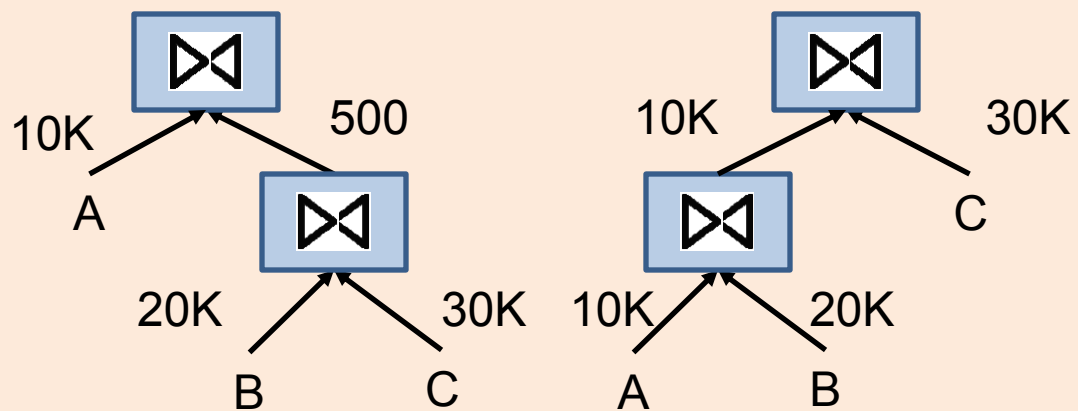
Reserves	40 bytes/tuple	100 tuples/page	1000 pages
Sailors	50 bytes/tuple	80 tuples/page	500 pages

- With 10% selectivity, selection on R has 10K tuples
- Disk accesses for scan = 1000
- Index Nested Loop Join =  $10K * (1 + \log_{10} 500) = 37K$
- Total disk access = 38 K



What happens if we make the left leg the inner table of the join ?

# Join Ordering



Relations	Tuples	Pages
A	10K	1000
B	20K	2000
C	30K	3000
A join B	10K	1000
B join C	1K	100

- Independent of what join algorithm is chosen, the order in which joins are performed affects the performance.
- Rule of thumb: do the most “selective” join first
- In practice, left deep trees (eg. the right one above) are preferred --- why ?

# Statistics & Cost Estimation

- Page size
- Data Statistics:
  - Record size -> number of records per data page
  - Cardinality of relations (including temporary tables)
  - Selectivity of selection operator on different columns of a relation
- (Tree) Index Statistics
  - number of leaf pages, index entries
  - Height
- Statistics collection is user triggered
  - DB2: RUNSTATS ON TABLE mytable AND INDEXES ALL