# Conclusion regarding the peer review of the pesticide risk assessment of the active substance

## fluoxastrobin

finalised: 13 June 2007

(based on version of 10 August 2005 and amended following further discussion in experts' meetings; changes are indicated)

# **SUMMARY**

Fluoxastrobin is a new active substance for which in accordance with Article 6 (2) of Council Directive 91/414/EEC¹ United Kingdom received an application from Bayer AG for inclusion in Annex I to Directive 91/414/EEC. Complying with Article 6 of Directive 91/414/EEC, the completeness of the dossier was evaluated and confirmed by Commission Decision 2003/35/EC of 10 January 2003 <sup>2</sup>.

Following the agreement between the EU-Commission and the EFSA for the EFSA to organise a peer review of those new active substances for which the decision on the completeness of the dossier had been published after June 2002, the designated rapporteur Member State United Kingdom made the report of its initial evaluation of the dossier on fluoxastrobin, hereafter referred to as the draft assessment report (DAR), available on 2 September 2003.

The peer review was initiated on 14 October 2003 by dispatching the draft assessment report for consultation of the Member States and the notifier. Subsequently, the comments received on the DAR were examined by the rapporteur Member State and the need for additional data was agreed in an evaluation meeting on 25 May 2004. Remaining issues as well as further data made available by the notifier upon request were evaluated in a series of scientific meetings with Member State experts in September and October 2004. Following the request by the EU-Commission further evaluations provided by the rapporteur Member State were discussed in meetings of experts in Parma in March 2007.

A final discussion of the outcome of the consultation of experts took place with representatives from Member States on 20 July 2005 leading to the conclusions as laid down in this report. The outcome of the experts' discussion in March 2007 and the subsequent revision of the conclusion of fluoxastrobin were discussed with representatives from Member States on 26 April 2007.

<sup>&</sup>lt;sup>1</sup> OJ No L 230, 19.8.1991, p.1 as last amended by OJ L 106, 24.4.2007, p.14

<sup>&</sup>lt;sup>2</sup> OJ No L 11, 16.01.2003, p. 52

The conclusion was reached on the basis of the evaluation of the representative uses as fungicide as proposed by the applicant which comprises foliar spraying to control a range of fungal diseases in wheat, rye and barley at application rate up 200 g fluoxastrobin per hectare. An Annex III dossier for a representative seed treatment containing fluoxastrobin was submitted and evaluated. However, owing to issues relating to the other active substances present in the formulation used for seed treatment, it was not possible to complete overall the risk assessment.

Fluoxastrobin can be used only as fungicide. The representative formulated product for the evaluation was "HEC 5725 EC 100", an emulsifiable concentrate (EC).

Adequate methods are only available to monitor the compounds given in the respective residue definitions for food, water, soil and air. Only single methods for the determination of residues are available since a multi-residue-method like the German S19 or the Dutch MM1 is not applicable due to the nature of the residues.

Sufficient analytical methods as well as methods and data relating to physical, chemical and technical properties are available to ensure that quality control measurements of the plant protection product are possible.

In mammals, fluoxastrobin is rapidly and nearly completely absorbed and widely distributed within the body. The highest concentrations are detected in liver, kidneys and bladder as well as in the gastrointestinal tract. No accumulation in the body is observed. The major route of excretion in rats was biliary and faecal. The metabolism is extensive and 50 metabolites are identified. The acute toxicity of fluoxastrobin is low; it is not a skin or an eye irritant, nor a skin sensitiser. There is no evidence of genotoxicity or oncogenicity. Fluoxastrobin gave no evidence of reproductive toxicity or neurotoxicity.

The acceptable daily intake (ADI) is 0.015 mg/kg bw/day, the acceptable operator exposure level (AOEL) is 0.03 mg/kg bw/day, and the acute reference dose (ARfD) is 0.3 mg/kg bw with a 100-fold assessment factor applied.

The operator risk assessment has been conducted only on the representative use in cereals as a spray application. No risk assessment for the representative use as a seed treatment in cereals is available in the DAR. The estimated operator exposure for HEC 5725 EC100 is below the AOEL without personal protective equipment (PPE) (34%), according to the German model. According to calculations with the UK POEM model, gloves have to be worn when handling the concentrate. The exposure for re-entry workers and bystanders is low (<10% of the AOEL).

Applied to wheat plants by foliar application following a seed treatment fluoxastrobin itself and its Z-isomer was the major residue found at harvest in straw and in grain accounting for about 86% and 80% of the total residue, respectively. Some metabolites found in plants were not observed in rat metabolism. However, due to their insignificant levels (<0.01 mg/kg) they were considered being of

no concern in grain, whereas in straw some non-rat metabolites, e.g. 2-chlorophenol (M82<sup>3</sup>) and its glycoside (M84) are expected to be present at significant levels. However, M82 was also seen in livestock metabolism studies with fluoxastrobin, but others were not and their toxicity was not tested further. Depending on the soil, fluoxastrobin was shown to be highly persistent in soil and hence it was present in rotational crops at plant back intervals up to 328 days as the major residue. Decline of fluoxastrobin residues under processing conditions does not occur.

Fed to ruminants and poultry, fluoxastrobin was intensively metabolised resulting in a comparable pattern to that observed in rat metabolism, with the exception of some metabolites, that were not specifically found in the rat, but not considered to be of concern due to insignificant levels (<0.01 mg/kg). In a feeding study levels of relevant compounds (fluoxastrobin, its *Z*-isomer and metabolite M55) in edible animal matrices were analysed, and MRLs have been proposed.

The chronic dietary exposure assessment for consumers based on the representative GAP on cereals indicated that for all consumer subgroup the intake was less then 10% of the proposed ADI. The short term exposure of all considered consumer subgroups from individual commodities, based on consumption data of UK consumers, was all below 1% of the proposed ARfD. In an assessment of possible consumer exposure and consumer risk due to intake of metabolite M48 from drinking water the margin of safety was shown to be sufficient.

Under aerobic conditions fluoxastrobin yield the major metabolite M48-*E* and 2-chlorophenol in soil. Mineralization was generally low. Metabolite M40 was identified as a potential major anaerobic metabolite in soil. *Z*-isomer of fluoxastrobin was identified as the major soil photolysis metabolite.

As a result of the cleavage of the molecule 2-chlorophenol will be released at an expected worst case maximum amount of 49.2 % (see EFSA addendum on fate and behaviour; 26 July, 2005). The microbiologically driven degradation of 2-chlorophenol in soil was supported with a number of studies from the open scientific literature.

In field trials the Z isomer of fluoxastrobin was measured at up to 19 % - 22 %. The EPCO experts' meeting on fate and behaviour in the environment (EPCO 12) agreed that Z-isomer of fluoxastrobin should be included in the residue definition in soil and assessed for their potential ecotoxicological relevance.

Persistence of fluoxastrobin in soil may be very variable. Fluoxastrobin may behave as a moderate to high persistent compound. Metabolite M48-E is moderate to medium persistent in soil.

Anaerobic metabolite M40 is moderately persistent in soil under aerobic conditions.

Data available from open scientific literature show that the aerobic soil metabolite 2-chlorophenol is very low to moderately persistent in soil.

PEC soil were calculated from peak concentration after four subsequent seasons with a seed treatment and two foliar applications per season. Maximum PEC for M48 provided in the DAR is based on worst case field formation of 6.3 %. Maximum New PEC soil for metabolite 2-chlorophenol is provided in the EFSA addendum on fate and behaviour. are provided in addendum 7 to the DAR.

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<sup>&</sup>lt;sup>3</sup> The chemical structure of the metabolites is given in appendix 3 of the conclusion.

Fluoxastrobin may be classified as low to medium mobile, M48 as medium to very high mobile and M40 high to very high mobile. The rapporteur Member State identified a Koc soil pH dependence for fluoxastrobin. No adsorption / desorption data is was available for metabolite 2-chlorophenol. This has been was identified as a new data requirement by EFSA. A new study is available for 2-chlorophenol that may be classified as highly mobile in soil (addendum 7, December 2007).

Fluoxastrobin is stable to hydrolysis at all environmental relevant pHs. Aqueous photolysis contributes to the aqueous dissipation of fluoxastrobin. The main photolysis metabolites are: Z isomer of fluoxastrobin, M36 and M56.

No studies on ready biodegradability are available and fluoxastrobin was proposed to be non ready biodegradable by the experts meeting.

Only metabolite M48-E was seen above 10 % AR in the water phase of the water / sediment systems. Concomitant formation of metabolite 2-chlorophenol at levels above 10 % cannot be excluded. Degradation of fluoxastrobin was slow in both systems. Dissipation from the water phase was mainly due to partition in to the sediment. Mineralization was very low and bound residues increased during the study to a maximum of 12.7 % AR.

Formation of the metabolite Z-isomer of fluoxastrobin was observed in two irradiated aerobic water sediment studies. Contribution of photodegradation to the dissipation of fluoxastrobin from the water phase in these systems is not significant, probably due to the rapid adsorption to the sediment.

PECsw and PECsed were provided by the applicant for the representative use. Spray drift initial PECsw for the metabolite M48-*E* was calculated by the rapporteur Member State. The rapporteur Member State also provided PECsw for drainage based on their national scheme. Potential loadings to surface water through run off were not considered in the assessment presented in the DAR. Due to the fact that fluoxastrobin may be high persistent in soil, potential surface water contamination through drainage and run off may not be excluded; therefore, a comprehensive assessment taking into account spray drift, run-off, drainage and effectiveness of potential mitigation measures to reduce surface water contamination is necessary to finalize the risk assessment of the EU representative uses.

PEC<sub>SW / SED</sub> according to FOCUS SW scheme have been provided in addendum 7 (December 2006) for metabolite 2-chlorophenol up to STEP 2 based on the loadings from soil and used for the aquatic risk assessment of this metabolite.

PECgw of fluoxastrobin and the metabolite M48-E were estimated using FOCUS-PELMO 1.1.1. Calculated concentrations of Fluoxastrobin at 1 m depth were negligible. However, metabolite M48-E exceeds the trigger 0.1  $\mu$ g /L in eight of the nine scenarios when dependence of the adsorption Koc with the soil pH is taken into account. It is noted that for six of the scenarios the level of 0.75  $\mu$ g /L is also exceeded by metabolite M48-E. Relevance assessment has been performed for this metabolite.

Potential groundwater contamination by soil metabolite *Z*-isomer of fluoxastrobin is not addressed in the DAR, EFSA considers that the results of the exposure assessment made for fluoxastrobin may be applied to the *Z*-isomer.

Potential groundwater contamination by soil metabolite 2-chlorophenol is not addressed in the DAR and has had not been discussed during the Peer Review. EFSA identified a new data requirement since potential groundwater contamination of major soil metabolite 2-chlorophenol needs to be addressed. to address potential groundwater contamination by this metabolite. New FOCUS GW

modelling provided in addendum 7 shows that levels of this metabolite are not expected to exceed 0.1 µg /L in ground water as a result of the uses proposed for fluoxastrobin.

Concentration of fluoxastrobin in air is expected to be negligible due to low volatility and short half life in air for reaction with OH radicals.

In the section on ecotoxicology only the risk to non-target organisms from the representative use in cereals as a spray application was assessed. No risk assessment for the representative use as a seed treatment in cereals is available in the DAR.

It should be noted that the assessment in the DAR is based on a pilot plant production. Since then the production process has been modified and optimised. Therefore, a new material accountability study and a new five batch analysis have been performed. The new resultant specification was supported by additional toxicological data, which have been peer-reviewed and accepted. An appropriate assessment with respect to ecotoxicology is still outstanding.

The risk to bees, non-target terrestrial plants and biological methods for sewage treatment is low with respect to fluoxastrobin and the metabolites for the representative use of fluoxastrobin as a spray application.

The risk to herbivorous birds and mammals can be regarded as low for the representative use of fluoxastrobin as a spray application if the risk was calculated using residue data as outlined in EPPO (1992). EFSA made a risk assessment available according to the latest guidance document on birds and mammals (SANCO/4145/2000). According to this assessment (see EFSA's addendum on ecotoxicology) the risk to mammals can be regarded as low and also the acute, short and long term risk for insectivorous and herbivorous birds as well as the acute and short term risk to granivorous birds from the representative uses evaluated can be regarded as low. But a long term risk to granivorous birds is observed (TER = 1.79 according to SANCO/4145/2000). Therefore EFSA proposes a data requirement for the notifier to submit a refinement of the long term risk to granivorous birds for the use as a seed treatment in cereals if the risk is assessed according to the latest guidance document (SANCO/4145/2000).

A high risk is identified to aquatic organisms. A bufferzone of 15 meters is needed to respect the Annex VI trigger value for the long term risk for the use of fluoxastrobin as a spray application in cereals. It was noted by the EPCO experts' meeting on ecotoxicology (EPCO 13) that additional chronic invertebrate data are available. The meeting agreed that some lowering of the chronic uncertainty would be acceptable in this case and that these additional data may be used to refine the risk assessment at MS level. Furthermore the meeting decided to address a generic question on lowering the uncertainty factor by using additional chronic species sensitivity data to EFSA's Panel on Plant Health, Plant Protection and their Residues (PPR). This generic question was forwarded to the PPR Panel by EFSA; the opinion of the Panel can be found on the EFSA website 4. The risk of the

<sup>&</sup>lt;sup>4</sup> Opinion of the PPR Panel on a request from EFSA related to the assessment of the acute and chronic risk to aquatic organisms with regard to the possibility of lowering the uncertainty factor if additional species were tested. The EFSA Journal (2005)301, 1-45.

metabolite M48 to aquatic organisms is considered to be low. The experts' meeting on ecotoxicology decided that based on biological screening data, data on Daphnia and some mammalian data that it is unlikely that the *Z*-isomer is of greater toxicity than the *E*-isomer. It is noted by EFSA that also the metabolite 2-chlorophenol is considered as a major metabolite by the section on fate and behaviour. No studies with this metabolite are available and therefore EFSA proposes that a study or at least a solid argumentation regarding the effects of the metabolite 2-chlorophenol on aquatic organisms should be made available. The need for this data was not discussed at an EPCO experts meeting. A risk assessment for this metabolite was presented in addendum 7 of December 2007 and discussed in the PRAPeR expert meeting on ecotoxicology (PRAPeR 18, March 2007). The meeting concluded that the risk from the evaluated use can be considered as low.

The risk to non-target arthropods was discussed at the EPCO experts' meeting. The meeting decided that based on the available data, population recovery/recolonisation in-field would be possible within one year. Therefore the meeting agreed that the risk to non-target arthropods is addressed for the representative use of fluoxastrobin as a spray application.

The risk to soil micro- and macro-organisms, including earthworms is low with respect to fluoxastrobin and the metabolites M48 and M40 for the use of fluoxastrobin as a spray application in cereals. The experts' meeting decided that it is unlikely that the *Z*-isomer is of greater toxicity than the *E*-isomer (see above). It was noted by EFSA that also the metabolite 2-chlorophenol is considered as a major metabolite by the section on Fate and behaviour. No studies with this metabolite are available and therefore EFSA proposes that a study or at least a solid argumentation regarding the effects of the metabolite 2-chlorophenol on earthworms and soil micro-organisms should be made available. The need for this data was not discussed at an EPCO experts' meeting. A risk assessment for earthworms and soil micro-organisms was presented in addendum 7 of December 2006 and discussed by Member State experts in the PRAPeR expert meeting on ecotoxicology (PRAPeR 18 March 2007). The meeting agreed that the risk from the evaluated use can be considered as low.

Key words: fluoxastrobin, peer review, risk assessment, pesticide, fungicide

http://www.efsa.europa.eu/etc/medialib/efsa/science/ppr/ppr opinions/1332.Par.0002.File.dat/ppr op ej301 aqu atic\_ecotox\_en1.pdf

# TABLE OF CONTENTS

| Summary                   |  |     |  |
|---------------------------|--|-----|--|
| Table of Contents         |  |     |  |
|                           | und  |     |  |
| The Acti                  | ive Substance and the Formulated Product   | . 9 |  |
| Specific                  | Conclusions of the Evaluation  | 10  |  |
| 1.                        | Identity, physical/chemical/technical properties and methods of analysis                       | 10  |  |
| 2.                        | Mammalian toxicology   | 11  |  |
| 2.1                       | Absorption, distribution, excretion and metabolism (Toxicokinetics)                            | 11  |  |
| 2.2                       | Acute toxicity   | 12  |  |
| 2.3                       | Short term toxicity  | 12  |  |
| 2.4                       | Genotoxicity   | 12  |  |
| 2.5                       | Long term toxicity   | 13  |  |
| 2.6                       | Reproductive toxicity  | 13  |  |
| 2.7                       | Neurotoxicity  | 14  |  |
| 2.8                       | Further studies  | 14  |  |
| 2.9                       | Medical data   | 15  |  |
| 2.10                      | Acceptable daily intake (ADI), Acceptable operator Exposure Level (AOEL) and Acute             |     |  |
|                           | reference dose (ARfD)  |     |  |
| 2.11                      | Dermal absorption  |     |  |
| 2.12                      | Exposure to operators, workers and bystanders  | 16  |  |
| 3.                        | Residues   |     |  |
| 3.1.                      | Nature and magnitude of residues in plant  | 17  |  |
| 3.1.1.                    | Primary crops  | 17  |  |
| 3.1.2.                    | Succeeding and rotational crops  |     |  |
| 3.2.                      | Nature and magnitude of residues in livestock  |     |  |
| 3.3.                      | Consumer risk assessment   |     |  |
| 3.4.                      | Proposed MRLs  |     |  |
| 4.                        | Environmental fate and behaviour   |     |  |
| 4.1.                      | Fate and behaviour in soil   | 21  |  |
| 4.1.1.                    | Route of degradation in soil   |     |  |
| 4.1.2.                    | Persistence of the active substance and their metabolites, degradation or reaction products    |     |  |
| 4.1.3.                    | Mobility in soil of the active substance and their metabolites, degradation or reaction        |     |  |
| 4.2.                      | Fate and behaviour in water  |     |  |
| 4.2.1.                    | Surface water and sediment   | 23  |  |
| 4.2.2.                    | Potential for groundwater contamination of the active substance their metabolites, degradation |     |  |
|                           | or reaction products   |     |  |
| 4.3.                      | Fate and behaviour in air  |     |  |
| 5.                        | Ecotoxicology  |     |  |
| 5.1.                      | Risk to terrestrial vertebrates  |     |  |
| 5.2.                      | Risk to aquatic organisms  |     |  |
| 5.3.                      | Risk to bees   |     |  |
| 5.4.                      | Risk to other arthropod species  |     |  |
| 5.5.                      | Risk to earthworms   |     |  |
| 5.6.                      | Risk to other soil non-target macro-organisms  |     |  |
| 5.7.                      | Risk to soil non-target micro-organisms  |     |  |
| 5.8.                      | Risk to other non-target-organisms (flora and fauna)   |     |  |
| 5.9.                      | Risk to biological methods of sewage treatment   |     |  |
| 6.                        | Residue definitions  |     |  |
|                           | tudies to be generated,-still ongoing or available but not peer reviewed                       |     |  |
|                           | Conclusions and Recommendations  |     |  |
| Critical areas of concern |  |     |  |
|                           | x 1 – List of endpoints for the active substance and the representative formulation            |     |  |
| Appendi                   | x 2 – Abbreviations used in the list of endpoints  | 80  |  |
| Appendi                   | Appendix 3 – compound codes used in the conclusion or the list of endpoints                    |     |  |
|                           |  |     |  |

# **BACKGROUND**

In accordance with Article 6 (2) of Council Directive 91/414/EEC United Kingdom received an application from Bayer AG for inclusion of the active substance fluoxastrobin in Annex I to Directive 91/414/EEC. Complying with Article 6 of Directive 91/414/EEC, the completeness of the dossier was evaluated and confirmed by Commission Decision 2003/35/EC of 10 January 2003.

Following the agreement between the EU-Commission and EFSA for EFSA to organise a peer review of those new active substances for which the completeness of the dossier had been officially confirmed after June 2002, the designated rapporteur Member State United Kingdom submitted the report of its initial evaluation of the dossier on fluoxastrobin, hereafter referred to as the draft assessment report (DAR), to the EPCO-Team at the Federal Office for Consumer Protection and Food Safety (BVL) in Braunschweig on 2 September 2003. This draft assessment report was distributed for consultation to the Member States and the notifier on 14 October 2003.

The comments received on the draft assessment report were evaluated and addressed by the rapporteur Member State. Based on this evaluation, representatives from Member States identified and agreed in an evaluation meeting on 25 May 2004 on data requirements to be addressed by the notifier as well as issues for further detailed discussion at expert level. A representative of the notifier was attending this meeting.

Taking into account the information received from the notifier addressing the request for further data, a scientific discussion of the identified data requirements and/or issues took place in expert meetings organised on behalf of the EFSA by the EPCO-Team in Braunschweig in September and October 2004. The reports of these meetings have been made available to the Member States electronically. Following the request by the EU-Commission further evaluations provided by the rapporteur Member State were discussed in meetings of experts in Parma in March 2007.

A final discussion of the outcome of the consultation of experts took place with representatives from Member States on 20 July 2005 leading to the conclusions as laid down in this report. The outcome of the experts' discussion in March 2007 and the subsequent revision of the conclusion of fluoxastrobin were discussed with representatives from Member States on 26 April 2007.

During the peer review of the draft assessment report and the consultation of technical experts no critical issues were identified for consultation of the Scientific Panel on Plant Health, Plant Protection Products and their Residues (PPR).

In accordance with Article 8(7) of the amended Regulation (EC) No 451/2000, Following the agreement between the EU Commission and EFSA regarding the peer review of new active substances, this conclusion summarises the results of the peer review on the active substance and the representative formulation evaluated as finalised at the end of the examination period. provided for by

the same Article. A list of the relevant end points for the active substance as well as the formulation is provided in appendix 1.

The documentation developed during the peer review was compiled as a **peer review report** comprising of the documents summarising and addressing the comments received on the initial evaluation provided in the rapporteur Member State's draft assessment report:

- the comments received
- the resulting reporting table (rev. 1-2 of 2 July 2004)
- the consultation report

as well as the documents summarising the follow-up of the issues identified as finalised at the end of the commenting period:

- the reports of the scientific expert consultation (including the meetings of March 2007)
- the evaluation table (rev. 1-2 of 9 August 2005)

Given the importance of the draft assessment report including its addendum (compiled and amended version of April 2007 containing all individually addenda submitted by the rapporteur Member State as well as additional addenda prepared by EFSA (birds and mammals, earthworms, route and rate of degradation is soil)) and the peer review report with respect to the examination of the active substance, both documents are considered respectively as background documents A and B to this conclusion.

# THE ACTIVE SUBSTANCE AND THE FORMULATED PRODUCT

Fluoxastrobin is the ISO common name for (E)-{2-[6-(2-chlorophenoxy)-5-fluoropyrimidin-4-yloxy]phenyl}(5,6-dihydro-1,4,2-dioxazin-3-yl)methanone O-methyloxime (IUPAC).

Fluoxastrobin belongs to the class of strobilurin fungicides such as azoxystrobin, kresoxim-methyl and trifloxystrobin. Fluoxastrobin is taken up via leaves and hinder the *de novo* synthesis of fatty acids by inhibition of the enzyme Acetyl-CoA carboxylase (ACCase).

The representative formulated product for the evaluation was "HEC 5725 EC 100", an emulsifiable concentrate (EC).

The evaluated representative uses as fungicide comprise foliar spraying to control a range of fungal diseasesin wheat, rye and barley at application rate up 200 g fluoxastrobin per hectare. An Annex III dossier for a representative seed treatment containing fluoxastrobin was submitted and evaluated. However, owing to issues relating to the other active substances present in the formulation used for seed treatment, it was not possible to complete overall the risk assessment.

# SPECIFIC CONCLUSIONS OF THE EVALUATION

# 1. Identity, physical/chemical/technical properties and methods of analysis

The minimum purity of fluoxastrobin as manufactured should not be less than 940 g/kg. At the moment no FAO specification exists.

It should be noted that the assessment in the DAR is based on a pilot plant production. Since then the production process has been modified and optimised. Therefore, a new material accountability study and a new five batch analysis have been performed. The new resultant specification was supported by additional toxicological data, which have been peer-reviewed and accepted. An appropriate assessment with respect to ecotoxicology is still outstanding.

The technical material contains no relevant impurities.

The assessment of the data package revealed no particular area of concern. The main data regarding the identity of fluoxastrobin and its physical and chemical properties are given in appendix 1.

Sufficient test methods and data relating to physical, chemical and technical properties are available. Also adequate analytical methods are available for the determination of fluoxastrobin in the technical material and in the representative formulation.

Therefore, enough data are available to ensure that quality control measurements of the plant protection product are possible.

Adequate methods are only available to monitor the compounds given in the respective residue definitions for food, water, soil and air, i.e. fluoxastrobin and its *Z*-isomer in food of plant; fluoxastrobin, its *Z*-isomer and the phenoxy-hydroxy-pyrimidine metabolite (M55) in animal origin; fluoxastrobin and its *Z*-isomer in soil and water, fluoxastrobin in air.

At the moment the residue definitions for soil, groundwater and surface water are not finalised. In case 2 chlorophenol will be included, no analytical method is available to determine this compound in soil or water.

The methodology used is HPLC with MS/MS or UV-DA detection. A multi-residue method like the Dutch MM1 or the German S19 is not applicable to due the nature of the residues.

The discussion in the expert meeting on identity, physical and chemical properties and analytical methods was limited to some clarifications with respect to residue analytical methods, the composition of the formulation and the minimum purity of fluoxastrobin in the technical material.

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# 2. Mammalian toxicology

Fluoxastrobin is intended to be used as a fungicide in cereals. The operator risk assessment has been conducted only on the representative use in cereals as a spray application. No risk assessment for the representative use as a seed treatment in cereals is available in the DAR.

In October 2004 fluoxastrobin was discussed in the EPCO experts' meeting on toxicology (EPCO 14).

The rapporteur Member State was asked to fulfil some of the open points by preparing an addendum for submission to other Member States. So far, no addendum has been produced by the rapporteur Member State. Nevertheless, information submitted by the applicant and presented by the rapporteur Member State in the Evaluation table is considered valid to fulfil the gaps. Thus, even in the absence of the addendum, the open points can be considered fulfilled from a scientific point of view.

Toxicity tests were conducted on 4 'grades' of active substance: fluoxastrobin, for which approval is sought, HEC 5725, HEC 5725 N and HEC 5725 A<sup>5</sup>. The applicant stated as the manufacturing process is still in development the specification of purity and certified limits of impurities are to be regarded as preliminary only; a total of 17 impurities, plus water, are present, only one (n. 1, already considered in the former technical specification) in quantities of > 1 g/kg (see 2.2).

The issue of toxicological effects of impurities contained in the new technical specification has been discussed in the experts' meeting where a review of the toxicity data on different batches (including the final batch) and impurities summarised in the addendum 1 to the DAR was reviewed. The meeting agreed that a satisfactory investigation of the impurities had been performed and no further data were required. Thus, it can be assumed that the batches from pilot plant and large scale production plant would not differ from a toxicological point of view.

# 2.1 ABSORPTION, DISTRIBUTION, EXCRETION AND METABOLISM (TOXICOKINETICS)

Fluoxastrobin is rapidly and nearly completely absorbed from the gastrointestinal tract (80 - 92% of administered dose of 1 mg/kg bw within 24 - 30 hours post administration). It is widely distributed within the body of the treated animals at generally low concentrations. The highest concentrations are detected in liver, kidneys and bladder as well as in the gastrointestinal tract. No indication of significant accumulation in the body is observed. Excretion of fluoxastrobin related residues occurred fast and at a high rate. The major route of excretion in rats was biliary and correspondingly faecal (84-100% within 48 hours, mostly via bile). Fluoxastrobin is extensively metabolised (at 24-72 hours post dose the portion of unchanged parent compound is < 10% of the administered radioactivity). The metabolic pattern is complex and 50 metabolites are identified. Only a few metabolites were found to be prominent: they were hydroxylated metabolites, which still contained all 4 rings, notably M12 and M25, as well as HEC 5725-E-des-chlorophenyl (M48) and HEC 5725-deschlorophenyl-dioxazine-OH (M49). Metabolism in rats is qualitatively similar to that in goats and hens.

<sup>&</sup>lt;sup>5</sup> Fluoxastrobin is the ISO proposed name and covers HEC 5725 98%E:2%Z

HEC 5725 (100% E isomer) covers the 100%E isomer.

HEC 5725 N covers the isomer ratio of 92% E:8% Z.

HEC 5725 A covers the isomer ratio of 64%E:36%Z.

# 2.3

2.2

The short-term toxicity of fluoxastrobin has been investigated in dietary studies in rats (28-day and 90-day studies), mice (2-week and 90-day studies) and dogs (90-day and 1-year studies). A 28-day dermal toxicity study in rats has also been conducted.

The liver is the main target organ in all tested species (rats, mice and dogs). Histological changes were seen in the urinary system of rats (high doses) and dogs. Male rats were more sensitive than females to the effects of fluoxastrobin/HEC 5725 on the liver and urinary tract. Other target organs were adrenals, erythrocytes and thyroid. Reduced body weight gain was a key finding in dog studies. In a 28-day dermal study with fluoxastrobin in rats, neither systemic nor local skin effects of toxicological importance were observed up to the highest dose level tested (1000 mg/kg bw/day). No repeated dose inhalation were submitted, nor required.

The NOAEL in the 1-year dog study is 1.5 mg/kg bw/day (time point 12 months). The overall short term NOAEL in dogs is 3 mg/kg bw/day based on increased serum alkaline phosphatase at 8 mg in the 1-year dog study at the 90-day time point. This is also supported by effects observed at 24 mg/kg bw/day in the first 90-day dog study.

#### 2.4 GENOTOXICITY

There is no evidence of genotoxic potential of fluoxastrobin in any of the submitted genotoxicity studies. However, most in vitro studies were conducted on material of higher purity than that for which approval is sought.

Hence, for additional reassurance that the impurities in fluoxastrobin are not of genotoxic concern, the applicant was asked to conduct an Ames study with a representative final full production batch.

The issue of toxicological effects of impurities has been discussed in the experts' meeting where a review of the toxicity data on different batches and impurities summarised in the addendum 1 to the DAR was reviewed. The meeting agreed that a satisfactory investigation of the impurities had been performed and no further genotoxicity data were required.

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# 2.5 Long term toxicity

A chronic toxicity/ carcinogenicity study in rats and a carcinogenicity study in mice with fluoxastrobin (99% E: 1% Z) were conducted.

There was no evidence of a substance-related oncogenic response in either species. A higher incidence of uterine adenocarcinoma in high dose rats compared to concurrent controls was noted; possible influences of fluoxastrobin on the female endocrine system (including mechanistic information) was discussed at the experts' meeting. The applicant provided further information (particularly for controls in the concurrent study mentioned in the DAR) to support the view that the increased incidence of uterine lesions at the top dose (adenocarcinoma and focal glandular hyperplasia) are not substance related and hence are not of concern for hazard or risk assessment of fluoxastrobin. Notably:

- 1. Occurrence of these tumours was similar in high dose and study controls, and also as compared with controls in a concurrent study.
- 2. The incidence of focal and diffuse glandular hyperplasia at the top dose was lower than the incidence of glandular cystic hyperplasia in controls in a concurrent study (the applicant indicates that, although the terminology differs slightly, the lesions are comparable).
- 3. As reported in the DAR, incidence of adenocarcinoma at the top dose was lower than in controls in the concurrent study
- 4. There were no significant effects on reproductive performance in the multigeneration study with fluoxastrobin (indicating that fluoxastrobin does not induce endocrine effects).

In addition to glandular hyperplasia, also endometrial hyperplasia and metaplasia were seen during the study. The rapporteur Member State considered that these other hyperplastic lesions do not support the evidence of a substance related effect.

The experts' meeting agreed that the historical control data and particularly data from a study run concurrently suggested that the finding of uterine adenocarcinoma was incidental and that the concurrent control was low.

Adverse effects on the liver (reduced functional capacity, as shown by reduced plasma ALT and/or AST) were seen in both rats and mice. Increased liver weight and hepatocellular hypertrophy were also seen in mice.

There was evidence of altered calcium and phosphate homeostasis in rats, notably decreased phosphate excretion and decreased calcium content of bone. However there were no clear substance-related pathological effects on the kidney or urinary bladder of rats or mice.

Caecal enlargement and increased number of mast cells in mesenteric lymph nodes were observed in high dose rats. The increase in mast cells was considered to be a local substance-related effect and not to be indicative of an important substance-related immunological response.

The relevant NOAEL for long term toxicity and carcinogenicity is 35 mg/kg bw/day in rats.

# 2.6 REPRODUCTIVE TOXICITY

A 2-generation reproductive toxicity study in rats and a developmental toxicity study in rabbits were conducted with a batch of fluoxastrobin that was quantitatively similar to the preliminary proposed technical specification. The developmental toxicity study in rats was conducted with HEC 5725

(fluoxastrobin 100% E isomer, 98.9% purity) which was of higher purity than the preliminary proposed technical specification.

In the 2-generation study, adverse developmental effects, ie reduced body weight gain, delayed development (e.g. time to preputial separation) and reduced weight of thymus and spleen of pups were seen at the top dose. NOAEL for reproduction is 742-764 mg/kg bw/day and the parental NOAEL is 74-87 mg/kg bw/day

The applicant was asked to submit histopathological data of the thymus from multigeneration study and the evaluation of these data was presented in the addendum. The NOAEL of the study was discussed at the experts' meeting. The NOAEL for developmental effects in the rat multigeneration study is 1000 mg/kg bw/d wasagreed on at the experts' meeting.

In the rabbit developmental toxicity study, there was evidence for a slight delay in fetal development (slight dilatation of lateral brain ventricles) at the top dose in the presence of severe maternal toxicity. There were also indications for a slight substance-related increase in the incidence of a common rib cartilage malformation and equivocal evidence for a slight increase in the incidence of one rib variation. The NOAEL for maternal toxicity in the rabbit teratogenicity study is 25 mg/kg bw/day and the developmental is 100 mg/kg bw/day. In the rat developmental toxicity study, there was no substance-related adverse maternal or developmental effect (NOAEL 1000 mg/kg bw/day).

# 2.7 **NEUROTOXICITY**

Fluoxastrobin gave a negative result with rats in an acute neurotoxicity assay which included neuropathology and a functional observation battery. There was also no evidence of substance-related neurotoxicity in a subsequent subchronic neurotoxicity assay in rats.

#### 2.8 FURTHER STUDIES

## Immunotoxicity

Studies in rats and mice did not reveal any adverse immunotoxic effects following dietary exposure for 4-13 weeks to high doses. Two studies included the plaque forming cell assay. There was however no specific investigation of the thymus, an organ for which reduced weight was observed in adults and pups in the multigeneration study.

# Calcium-phosphorous homeostasis

Following further mechanistic investigations, it was concluded that exposure to fluoxastrobin resulted in reduced phosphate absorption in the intestine. A potential phosphate deficiency was counterregulated by reduced renal excretion of phosphate and renal hyper-excretion of calcium. It is proposed that increased calcium excretion in urine, together with an increase in urinary pH, led to calculi formation

#### Metabolites

Metabolite 48: not mutagenic in Ames test. It is a major metabolite in rats observed at levels of 10-20% of the administered dose. In six out of nine FOCUS-PELMO scenarios, the trigger of  $0.75 \,\mu\text{g/L}$  was exceeded. No further toxicological data were provided by the applicant. Therefore, in the absence of scientific data, a refined risk assessment for consumers has been performed, based on the ADI of fluoxastrobin. The margin of safety for consumers was shown to be high, M48 intake being at a maximum ca 3.7% of the ADI of fluoxastrobin. (Refer to 3.3.)

The following metabolites have been identified in wheat but not in rat metabolism

M34 = HEC 5725 - ketone

M39 = HEC 5725 - CA - glycol ester

M40 = HEC 5725 - carboxylic acid

M41 = HEC 5725 - OH-CA + (M42 = glycosides of M41)

M57 = HEC 5725 - OH-phenoxy-amino-PMD

M70 = HEC 5725-des-chlorophenyl-glycol-MA + (M71 = glycoside of M70)

M72 = HEC 5725-des-chlorophenyl-carboxylic acid

M82 = 2-chlorophenol + (M84 = glycoside of M82)

No genotoxicity tests, neither *in vivo* nor *in vitro* or acute toxicity test, were provided to define their toxicity. Therefore, they should be considered as toxicologically relevant and the ADI for fluoxastrobin used in the consumer risk assessment, unless new data are made available.

## **Impurities**

Impurities 7, 15, 20, 21 and 22: rat oral  $LD_{50}$ > 2500 mg/kg bw, not mutagenic in Ames test. Impurity 23: rat oral  $LD_{50}$  300-500 mg/kg bw, not mutagenic in Ames test.

### 2.9 MEDICAL DATA

Only limited information is available. No adverse medical effects have been reported for manufacturing plant personnel.

# 2.10 ACCEPTABLE DAILY INTAKE (ADI), ACCEPTABLE OPERATOR EXPOSURE LEVEL (AOEL) and ACUTE REFERENCE DOSE (ARfD)

# <u>ADI</u>

The dog is the most sensitive species following exposure to fluoxastrobin. A NOAEL of 1.5 mg/kg bw/day was determined in the 1-year dog study based on reduced body weight gain and increased serum alkaline phosphatase at 8 mg/kg bw/day.

The proposed ADI is 0.015 mg/kg bw/day, with a safety factor of 100.

# **AOEL**

The AOEL was discussed at the experts' meeting. The experts agreed on a **short-term systemic AOEL of 0.03 mg/kg bw/day** primarily based on increased serum alkaline phosphatase at 8 mg/kg bw/day in the 1-year dog study at the 90-day time point and supported by the 90-day dog study and applying a 100-fold assessment factor.

In the most sensitive species, the dog, reduced body weight gain was seen over the first week of exposure to fluoxastrobin at high doses in 90-day and 1-year studies.

An **ARfD of 0.3 mg/kg bw** is therefore established based on applying a 100-fold assessment factor to the NOAEL of 30 mg/kg/bw/day for effects on body weight in dogs over the first week of exposure at 40 mg/kg bw/day.

# 2.11 DERMAL ABSORPTION

An *in vivo* dermal absorption study in monkeys has been conducted with HEC 5725 EC 100. Based on this study, a dermal absorption value for HEC 5725 (100%*E*) of 4% is considered to be appropriate for operator risk assessment of undiluted and in-use dilutions of HEC 5725 EC 100.

# 2.12 EXPOSURE TO OPERATORS, WORKERS AND BYSTANDERS

The representative plant protection product HEC 5725 EC100 is an EC formulation containing nominal 100 g/L fluoxastrobin for use as a fungicide on cereals.

The operator risk assessment has been conducted only on the representative use in cereals as a spray application. No risk assessment for the representative use as a seed treatment in cereals is available in the DAR.

#### Operator exposure

According to the intended uses submitted by the notifier the maximum applied dose is 200 g fluoxastrobin/ha and 200 to 300 litres of spray solution/ha. The proposed application method is tractor-mounted/trailed sprayer with hydraulic nozzles The estimated operator exposure for HEC 5725 EC100 is below the AOEL without PPE, according to the German model (work rate 20 ha/day). According to calculations with UK POEM, (work rate 50 ha/day) gloves have to be worn when handling the concentrate (in addition to a faceshield due to the classification of the product as an eye irritant) and when handling contaminated surfaces.

Estimated exposure presented as % of AOEL (0.03 mg/kg bw/day), according to calculations with the German and UK POEM model. The default for body weight of operator is 70 kg in the German model and 60 kg in the UK-POEM model.

| Model   | No PPE | With PPE*: |
|---------|--------|------------|
| German  | 34%    | -          |
| UK POEM | 207%   | 29%        |

<sup>\*</sup>PPE (personal protective equipment): gloves, faceshield

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# Worker exposure

Worst case estimates using the German worker re-entry model together with published transfer coefficient data, indicate that the level of systemic exposure for unprotected workers entering treated cereal crops for inspection purposes is below the AOEL (8% of 0.03 mg/kg bw/day).

# Bystander exposure

On the basis of generic simulated monitoring data, the level of systemic bystander exposure to fluoxastrobin resulting from the use of HEC 5725 EC100 is equivalent to 0.5% of the proposed systemic AOEL of 0.03 mg/kg bw/day.

# 3. Residues

## 3.1. NATURE AND MAGNITUDE OF RESIDUES IN PLANT

The use of Fluoxastrobin as a seed treatment followed by two foliar applications is assumed to represent the worst case scenario with respect to the consumer risk assessment. Therefore the crop metabolism and residue data has been constructed to support this pattern of use.

# 3.1.1. PRIMARY CROPS

The metabolism of fluoxastrobin was investigated in wheat, by applying fluoxastrobin (containing *ca* 2% *Z* isomer) radiolabelled in three positions. One application was made as a seed treatment followed by two foliar applications at a dose rate approximately twice the critical GAP.

On characterisation of the extractable radioactivity parent fluoxastrobin and its Z isomer was identified as the major component, accounting for up to 86% and 80% of the total radioactivity (TRR) in the grain and straw respectively. The isomer ratio in the residue at harvest was approximately 80% E- and 20% Z-isomer in grain, and 70% E- and 30% Z-isomer in straw. A range of E- and Z-isomer ratios were tested in mammalian toxicity tests (refer to 2.). In wheat grain, forage, hay and straw several metabolites were identified or characterised. The unextractable radioactivity in the grain and straw accounted for max 6% (grain; 0.03 mg/kg) of the total radioactivity and could be attributed to breakdown products, resulting from the fragmentation of the rings and the natural incorporation of these fragments into the plant tissue. The majority of metabolites identified in the plant metabolism study were also identified in the rat metabolism studies. However, the metabolites fluoxastrobinketone (M34), fluoxastrobin-carboxylic acid-glycol ester (M39), fluoxastrobin-carboxylic acid (M40), fluoxastrobin-hydroxy- carboxylic acid (M41) and its glycoside (M42), fluoxastrobin-hydroxy -phenoxy-amino-pyrimidine (M57), fluoxastrobin-des-chlorophenyl-glycol-malonic acid (M70) and its glycoside (M71), fluoxastrobin-des-chlorophenyl-carboxylic acid (M72) and finally 2chlorophenol (M82) and its glycoside (M84) were not found in the rat. From these metabolites only M82 and M84 were detected in grain but not considered to be of concern at the levels present (<0.01 mg/kg). However, in straw individual non-rat metabolites (M34, M39, M40, M42, M70, M82, M84) reached levels above 0.1 mg/kg, relating to 1N application rate. These metabolites should be considered toxicologically relevant. (refer to 2.8.) Although each of these metabolites was present at

concentrations up to 0.6 mg/kg (at *ca* 2N rate) they each only contributed 0.7% or less to total radioactivity, except 2-chlorophenol (M82) and its glucoside conjugate (M84) which together were present at up to 3.3% of total radioactivity (2.6 mg/kg at *ca* 2N rate) in straw. Considering the highest residue of fluoxastrobin in straw analysed in supervised trials, levels up to 0.3 mg/kg 2-chlorophenol plus glycoside could be expected in practice. It is noted that the metabolites 2-chlorophenol (M82), M34 and M40 were, however, not found in the rat, but in livestock metabolism studies with fluoxastrobin (refer to 3.2.).

In order to investigate effects of industrial or household processing on the nature of the residue a study simulating normal processing practice by applying representative hydrolytic conditions to a test solution was conducted. The study indicated that fluoxastrobin did not degrade during the tests.

Based on the primary plant metabolism and processing data submitted for wheat, residues in cereal crops should be defined as fluoxastrobin and *Z*-isomer for monitoring and risk assessment purposes. However, due to the fact, that the investigation of the metabolic behaviour of fluoxastrobin is limited to cereals only, a final residue definition for plants in general can not be proposed.

The magnitude of fluoxastrobin residues in grain and straw was determined in a total of 29 cereal field residue trials (13 in barley and 16 in wheat) conducted over two growing seasons in Northern and Southern European regions. In all trials, fluoxastrobin was applied as a seed treatment and developing crops received two applications as a foliar spray. All samples were analyzed with validated methods. Fluoxastrobin was the residue determined at a limit of quantification (LOQ) of 0.02 mg/kg. At harvest (> 35 days after the last application) the residues were below or at LOQ in the wheat grain samples; in barley grain the residues accounted up to 0.27 mg/kg in the Southern European trials. Fluoxastrobin residues in straw ranged between 0.1 and 6.0 mg/kg at harvest.

Studies to investigate the effects of processing on crop residues were carried out on barley and showed that residues of fluoxastrobin in the processed samples had not increased significantly with the exception of pearl barley rub off which had increased by a factor of 3.

# 3.1.2. SUCCEEDING AND ROTATIONAL CROPS

Fluoxastrobin turned out to be highly persistent depending on the soil (refer to 4.1.2.). Therefore the metabolism and distribution in rotational crops was investigated in wheat, turnips and Swiss chard. The crops were grown in soil that had been treated with radio-labelled fluoxastrobin, at a rate approximately twice the critical GAP. Crops were planted 30, 157-175 and 301-328 days after application. At harvest total radioactive residues were less than 0.2 mg/kg, with the exception of wheat straw which gave residues of 1.4-2.4 mg/kg in the 30 day studies falling to 0.21-0.75 mg/kg in the 301-328 day studies.

On extraction and characterisation, one major component was identified in the crops at harvest in the 30 day studies as parent fluoxastrobin and Z isomer, which accounted for 14-48% of the total radioactivity in the crops, with the exception of wheat grain (<0.1%). In the crops planted 30 days after treatment, several metabolites and unknowns were noted. Individually, these were present at levels of 0.1 mg/kg or less apart from fluoxastrobin-4-hydroxyphenyl, its glucoside and glucoside malonic acid (M04, M07 and M08), which were present in wheat straw up to a level of 0.6 mg/kg.

One metabolite, fluoxastrobin-des-chlorophenyl-keto-dioxazine (M54) was neither seen in primary plant metabolism nor in rat metabolism. However, levels of M54 did not exceed 0.01 mg/kg in plant parts suitable for human consumption (swiss chard) and 0.03 mg/kg in animal feed items (straw), relating to 1N application rate. The remaining unextractable radioactivity in the crops accounted for less than 0.02 mg/kg, with the exception of straw where unextractable radioactivity accounted for up to 0.28 mg/kg. The unextractable residue could be attributed to breakdown products, resulting from the fragmentation of the rings and the natural incorporation of these fragments into the plant tissue.

# 3.2. NATURE AND MAGNITUDE OF RESIDUES IN LIVESTOCK

The metabolism and distribution in animals was investigated in lactating goats and chickens, administering fluoxastrobin radio- labelled in two positions. The bulk of recovered radioactivity was excreted by goats (56-63%) and hens (72%). Less than 0.11% was found in the milk and less than 1.8% in the tissues of goats. Less than 0.1% was found in the eggs and less than 2.0% in the tissues of hens.

On extraction and characterisation of the milk, eggs and tissue samples, two major components were identified. These were parent fluoxastrobin and the phenoxy-hydroxypyrimidine metabolite (M55), together accounting for up to 13% of the total radioactivity in the milk; 34% in the eggs, up to 60% in meat and offal and up to 73% in the fat of goats and hens, respectively. Several other metabolites were identified and several unknowns were noted, which individually were present at levels of less than 0.4 mg/kg. Exceptions were 2-cyanophenol (M88) and 2-chlorophenol (M82), which were present in liver and kidney of goats and hens at levels up to 1.1 mg/kg. The majority of animal metabolites (edible animal products) identified in the animal metabolism study were also identified in the rat metabolism studies. However, the metabolites fluoxastrobin- hydroxy-sulfuric acid-dioxazine-OH (M24), fluoxastrobin-ketone (M34), fluoxastrobin-des-oxime-ether (M35), fluoxastrobin-dihydroxy- sulfuric acid -amide (M43); fluoxastrobin-carboxylic acid (M40); fluoxastrobin-acetic acid (M37), fluoxastrobin-di-hydroxy-diene-carboxylic acid (M47), fluoxastrobin-di-hydroxy-diene-glycol (M44), fluoxastrobin- di-hydroxy-diene (M14); 2-chlorophenol (M82), fluoxastrobin-phenylglyoxylic acid (M90); fluoxastrobin-dioxazine-alcohol (M80), fluoxastrobin-hydroxy-mandelic acid (M92), fluoxastrobin-oxime- sulfuric acid (M33), 2-chlorophenol-sulfuric acid (M83), fluoxastrobinhydroxy-pirimidine-OH (M64), fluoxastrobin-hydroxy-sulfuric acid-pyrimidine (M65), fluoxastrobin -di-hydroxy-pyrimidine-hydroxy-sulfuric acid (M66), fluoxastrobin-2-cyanophenol-sulfuric acid (M89), fluoxastrobin-hydroxy-sulfuric acid (M11), fluoxastrobin-ring 1,2,3-glycol (M37a), fluoxastrobin-di-hydroxy- sulfuric acid (M15a), fluoxastrobin-ring 1,2,3-des-dioxazine-nitrile (M38a) and fluoxastrobin-tri-hydroxy- sulfuric acid (M25a) were not found in the rat, but were not considered to be of concern at the levels present in the studies. As the studies were carried out at highly exaggerated doses compared to the expected exposure, it is unlikely that any of the compounds despite fluoxastrobin and metabolite M55 would be present at levels greater than 0.01 mg/kg, in N rate studies.

Therefore, for risk assessment purposes, the residue definition for animal products should be the sum of fluoxastrobin, its Z-isomer plus the metabolite phenoxy-hydroxypyrimidine (M55) expressed as fluoxastrobin. For monitoring the same residue definition is proposed.

Fluoxastrobin and Z-isomer in a ratio of 65% E-isomer, 35% Z-isomer was fed to dairy cows in a feeding study in order to reflect the isomer ratio occurring in potential feed items (refer to 3.1.). Fluoxastrobin, the Z-isomer and metabolite M55 was analysed, and positive results were found in milk and tissue samples. Based on calculated intakes and the ruminant metabolism and transfer studies MRLs for animal products, except for poultry products have been proposed. For poultry products MRLs were not proposed due to the predicted intake being less than 0.1 mg/kg diet.

# 3.3. CONSUMER RISK ASSESSMENT

The total intake for an adult based on the WHO model (GEMS/Food European diet) was less than 3% of the proposed ADI. National Estimates of Daily Intake (NEDI) were calculated for UK consumers with the UK Rees/Day model (Two highest 97.5<sup>th</sup> percentile intakes plus mean intakes from other food). Total intakes for all considered consumer groups were all significantly below the ADI of 0.015 mg/kg bw/day, accounting for less than 10% of the proposed ADI.

Since the level of 0.75 µg /L is exceeded by metabolite M48 in groundwater (refer to 4.2.2) and the full package of toxicological data for M48 has not been submitted, a consumer risk assessment needs to be performed. (refer to 2.8.) The rapporteur Member State proposed a maximum allowable concentration (MAC) in drinking water, based on assumptions for adult consumers, which was not exceeded by the predicted level of 3.65 µg /L. However, the fluid intake per kg of body weight is much higher for children than for adults Therefore EFSA made the following assessment for infants and children, based on the default assumptions laid down in the WHO Guidelines of drinking water quality. For a child of 10 kg consuming 1L/ day and an infant consuming 0.75 L/day the estimated daily intakes of metabolite M48 are 0.00037 mg/kg bw and 0.00055 mg/kg bw, respectively, accounting for *ca* 2.5% and 3.7% of the ADI of fluoxastrobin. With regard to the limited data on the toxicity of M48, the margin of safety is considered sufficient to conclude that the predicted level of M48 in groundwater is unlikely to pose a dietary risk to consumers.

National Estimates of Short term dietary intakes (NESTI) for fluoxastrobin have been estimated using UK food consumption data. Intakes for individual commodities were all significantly below the proposed ARfD of 0.3 mg/kg bw/day, accounting for less than 1% of the proposed ARfD. An acute risk due to the intake of metabolite M48 from drinking water is not expected.

# 3.4. PROPOSED MRLS

On the basis of the residues data submitted, the following MRLs are proposed:

| Wheat, Rye              | 0.05 |
|-------------------------|------|
| Barley                  | 0.5  |
| Milk                    | 0.02 |
| Meat (ex poultry)       | 0.02 |
| Fat, Liver (ex poultry) | 0.05 |
| Kidney                  | 0.1  |

CAC MRLs have not yet been proposed or established for fluoxastrobin.

# 4. Environmental fate and behaviour

Fluoxastrobin was discussed in experts' meeting on Fate and Behaviour in the Environment EPCO 12 (September 2004). For some of the sections in fate and behaviour, the assessment is based on the additive loadings arising from the two representative uses presented by the Notifier in document D: seed treatment followed by spray application. Assessment of the seed treatment uses has not been completed for some DAR sections (physical and chemical properties, toxicology and ecotoxicology) and therefore labelled grey in the table of representative uses. The inclusion of the seed treatment loadings in the assessment of some of the environmental compartments may results in a slightly worst case with respect to the assessment that would result if only spray drift loadings were considered. New information on the fate and behaviour of metabolite 2-chlorophenol was summarized and assessed in addendum 7 (December 2006) and discussed in a meeting of experts (PRAPeR 17; March 2007).

# 4.1. FATE AND BEHAVIOUR IN SOIL

#### 4.1.1. ROUTE OF DEGRADATION IN SOIL

Fluoxastrobin metabolism in soil under dark aerobic conditions at 20 °C was investigated in two studies with four different soils using the compound labelled at the methoxyiminotolyl ring. Two of the soils were additionally tested with fluoxastrobin labelled at the pyrimidine ring. The four soils covered a range of pH (6.1 - 8.1), organic matter content (0.43 % - 4.51 %) and soil textures.

Under aerobic conditions cleavage of the chlorophenyl moiety of fluoxastrobin yield the major metabolite **M48-***E* (HEC 5725-des-chlorophenyl, maximum 30.2 % AR after 30 d) and **2-chlorophenol** (see below). No significant formation of the *Z* isomer of fluoxastrobin is observed under dark conditions. Other minor metabolites were formed by hydroxylation of the chlorophenyl moiety or cleavage of the dioxazin ring. Mineralization was generally low in the experiments performed with the compound labelled at the methoxyiminotolyl ring (CO<sub>2</sub>: 1.0 % AR – 12.5 AR after 120 d) but slightly higher in one of the experiments performed with fluoxastrobin labelled at the pyrimidine ring (CO<sub>2</sub>: 4 % AR- 37.3 % AR after 120 d). Unextractable residues reached a maximum of 71 % AR after 120 d in one of the soils but were below 70 % in the rest of experiments (13. 4 % AR – 58 % AR after 120 d).

No study to investigate the anaerobic degradation in soil of fluoxastrobin is available. However, in an anaerobic water sediment study (sediment: pH 5.3, organic carbon 0.46 %, clay 37.3 %) major metabolite **M40** (HEC 5725 carboxylic acid, max 21.1 % after 360 d) is formed. This metabolite was identified as a potential major anaerobic metabolite in soil by the rapporteur Member State. While for the representative uses proposed extended periods of anaerobic conditions are not expected, further data may need to be required by MS to consider uses that could result in extended anaerobic periods. A soil photolysis study at 20 °C in one soil irradiated with a xenon lamp for 15 d is available. **Z**-

isomer of fluoxastrobin (maximum 22.2 %) was identified as the major soil photolysis metabolite.

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Any of the laboratory soil studies uses fluoxastrobin labelled at the chlorophenyl ring. As a result of the cleavage of the molecule this ring will be released as 2-chlorophenol (expected maximum amount equivalent to 49.2 % molar ratio with respect to the parent fluoxastrobin, as calculated by EFSA based on worst case assumptions, see EFSA addendum 26, July, 2005). The microbiologically driven degradation of 2-chlorophenol in soil was supported with a number of studies from the open scientific literature.

Eight field dissipation trials in typical agricultural regions of the northern and southern Europe are available. Four of the trials were performed on bare soil and the other four cropped first and second year with spring barley and grass respectively. In bare soil field trials the Z isomer of fluoxastrobin was measured at up to 22 %. In plots were crop emerged post treatment a slightly lower level of 19 % was attained for this metabolite.

EPCO experts' meeting on fate and behaviour in the environment (EPCO 12, September 2004) agreed that Z-isomer of fluoxastrobin should be included in the residue definition in soil and assessed for their potential ecotoxycological relevance.

# **4.1.2.** PERSISTENCE OF THE ACTIVE SUBSTANCE AND THEIR METABOLITES, DEGRADATION OR REACTION PRODUCTS

Rate of degradation of fluoxastrobin in soil was investigated in the same studies used to establish the route of degradation. Persistence of fluoxastrobin in soil may be very variable. Depending on the soil, fluoxastrobin may behave as a moderate to high persistent compound ( $DT_{50 lab 20 °C} = 12 d$  to 356 d). Also a high variability on the rate of degradation was observed in field studies ( $DT_{50 field} = 16 d - 119 d$ ).

Rate of aerobic degradation of metabolite M48 in soil was calculated from the studies performed with the parent compound with a multi-compartmental model assuming first order degradation in all the degradation steps. Three reliable values were obtained for the half life indicating that this metabolite is moderate to medium persistent in soil ( $DT_{50 lab 20 °C} = 34 d - 100 d$ ).

Aerobic degradation in soil at 20 °C of anaerobic metabolite M40 was investigated in soil in a separated study with unlabelled material in three different soils covering a range of pH (7.2 – 7.6), organic carbon content (0.83 % - 2.11 %) and soil textures. According the results of this study anaerobic metabolite M40 is moderately persistent in soil under aerobic conditions (DT<sub>50 lab</sub>  $_{20}$  °C = 11 d – 25 d).

Data available from open scientific literature show that the aerobic soil metabolite 2-chlorophenol is very low to moderately persistent in soil (DT $_{50}$ = 0.6 d - 23 d, see EFSA addendum 26, July, 2005). PEC soil were calculated from peak concentration after four subsequent seasons with a seed treatment

and two foliar applications per season using the worst case field half life ( $DT_{50} = 119$  d) for the parent compound. Maximum PEC for M48 provided in the DAR is based on worst case field formation of 6.3 %. Maximum PEC soil for 2-chlorophenol has been calculated by EFSA based on worst case formation estimate of 49.18 % (see EFSA addendum 26 July, 2005). New PEC soil for 2-chlorophenol were calculated in addendum 7 by the RMS, based on a worst case formation of 100 %. The later values were used to finalize the ecotoxicological risk assessment for this metabolite.

# 4.1.3. MOBILITY IN SOIL OF THE ACTIVE SUBSTANCE AND THEIR METABOLITES, DEGRADATION OR REACTION PRODUCTS

Batch equilibrium adsorption / desorption studies are available for fluoxastrobin, M48 and M40 with four different soils. According these studies fluoxastrobin may be classified as low to medium mobile (Koc = 424 - 1582 mL / g), M48 (actual isomer tested not specified in the DAR) as medium to very high mobile (Koc = 14 - 181 mL / g) and M40 high to very high mobile (Koc = 37 - 87 mL / g). rapporteur Member State identified a adsorption pH dependence, with a reduced adsorption with increasing soil pH. No adsorption / desorption data is was available for metabolite 2-chlorophenol and was identified as a new data requirement by EFSA. This is necessary to address the potential groundwater contamination by this metabolite and has been identified as a new data requirement by EFSA. A new batch equilibrium adsorption / desorption study is available for 2-chlorophenol in four soils (addendum 7, December 2006). According this study 2-chlorophenol may be considered highly mobile in soil (Koc = 100 - 155 mL/g).

No column leaching, field leaching or lysimeter studies are provided in the dossier of fluoxastrobin.

#### 4.2. FATE AND BEHAVIOUR IN WATER

#### 4.2.1. SURFACE WATER AND SEDIMENT

The hydrolytic stability of fluoxastrobin was studied in sterile aqueous buffer solutions (pH 4, 7 and 9). Fluoxastrobin is stable at all environmental relevant pHs. Aqueous photolysis of fluoxastrobin was studied in sterile aqueous buffer at pH 7 with fluoxastrobin labelled at the methoxyiminotolyl ring and with fluoxastrobin labelled at the chlorophenyl ring. Photolysis contributes to the aqueous dissipation of fluoxastrobin. Calculations using GC-SOLAR and Frank and Klöpffer predicted an environmental half-life for fluoxastrobin in the range of 2.3 d – 20 d. The main photolysis metabolites are: Z isomer of fluoxastrobin (max 11.2 % AR after 1 d), M36 (HEC 5725-oxazepine, max 23.6 % AR after 8d, end of the study) and M56 (HEC 5752-phenoxy-aminopyrimidine, max 4.7 % AR after 8d, end of the study).

No studies on ready biodegradability of fluoxastrobin are available. Fluoxastrobin was proposed to be non ready biodegradable by the experts meeting (EPCO 12).

Two aerobic water sediment systems at 20 °C under dark conditions were investigated using fluoxastrobin labelled at the methoxyiminotolyl ring. Among the four identified metabolites only M48-E was seen above 10 % AR in the water phase (max 15.9 % AR after 122 d, end of the study). Concomitant formation of 2-chlorophenol should be assumed and the formation of this metabolite at levels above 10 % of the applied quantity cannot be excluded. Degradation of fluoxastrobin was slow in both systems (DT<sub>50 whole system</sub> = 144 d – 188 d). Dissipation from the water phase was mainly due to partition in to the sediment (DT<sub>50 water</sub> = 25.6 d – 42.1 d, calculated by ACSL Optimiza Software package 1996 with a multi-compartmental model assuming negligible degradation into the sediment phase). Mineralization was very low (CO<sub>2</sub>: max 2.9 % AR after 122 d, end of the study). Bound residues increased during the study to a maximum of 12.7 % AR.

Additionally, a study with two irradiated (Suntest® with Xenon lamp) aerobic water sediment at 25 °C systems is available. The study lasted for 288 h (12 d) and sediments were only analyzed at the end of

the study. Formation of the metabolite *Z*-isomer of fluoxastrobin was observed (max in water: 11.9 % AR after 24 h; sediment: 13.1 % AR after 288 h). The other major photolysis metabolite M36 was not found during this study. Photolysis metabolite M56 was not analyzed. Contribution of photodegradation to the dissipation of fluoxastrobin from the water phase in these systems is not significant, probably due to the rapid adsorption to the sediment.

PECsw were provided by the applicant assuming spray drift from two 200 g/ha applications with 14 days interval taking as basis the worst case dissipation  $DT_{50}$  observed in dark water sediment study. Whereas the methodology employed in the calculation deviates of the standard simple drift calculations, the deviations result in a worst case and were accepted by the rapporteur Member State. Spray drift initial PECsw for the metabolite M48-E was calculated by the rapporteur Member State based on the maximum amount of this metabolite observed in the water sediment systems.

The rapporteur Member State also provided PECsw for drainage based on their national scheme,<sup>6</sup> for the UK risk assessment. The worst case drainflow PECsw for parent fluoxastrobin and the metabolite M48-*E* under this scheme are higher than the ones resulting from spray drift only, indicating potential contribution of drainage to surface water contamination. Higher tier modelling was performed by the rapporteur Member State with MACRO and using a drainage ditch scenario for the UK assessment.

Potential loadings to surface water through run off were not considered in the assessment presented in the DAR. Due to the fact that fluoxastrobin may be high persistent in soil, potential surface water contamination through drainage and run off may not be excluded; therefore, a comprehensive assessment taking into account spray drift, run-off, drainage and effectiveness of potential mitigation measures to reduce surface water contamination is necessary to finalize the risk assessment of the EU representative uses. For metabolite 2-chlorophenol new PEC<sub>SW/SED</sub> according FOCUS SW scheme up to STEP 2 have been provided in addendum 7 (December 2006). In these calculations, loadings of chlorophenol from soil (run off and drainage) are considered but not the 2-chlorophenol potentially formed in water as consequence of the degradation of fluoxastrobin in the aquatic system. However, experts' meeting (PRAPeR 17) considered that the calculation presented in the addendum accounts for 100 % formation from the parent. From the available data it is not expected that more than 49.2 % would be formed, therefore the meeting agrees that the calculated PEC<sub>SW / SED</sub> are likely to be conservative since the main event would be run-off and drainage at STEP 2.

Worst case maximum PECsed were calculated by the rapporteur Member State based on spray drift and maximum amount of fluoxastrobin observed in the sediment of the aerobic water sediment systems. Pseudo PECsw for use in the sediment dweller organism risk assessment was calculated in the same way. Neither drainage nor run off were considered for the PEC<sub>SED</sub> calculation for the parent and metabolite M-48.

 $\underline{http://www.pesticides.gov.uk/psd\_pdfs/registration\_guides/data\_reqs\_handbook/datareqhandbook.pdf}$ 

<sup>&</sup>lt;sup>6</sup> PSD Data requirements handbook, Chapter 6.5, pp 32-35. PSD, Mallard House, Kings Pool, 3 Peasholme Green, York, YO107OX.

# **4.2.2.** POTENTIAL FOR GROUNDWATER CONTAMINATION OF THE ACTIVE SUBSTANCE THEIR METABOLITES, DEGRADATION OR REACTION PRODUCTS

PECgw of Fluoxastrobin and the aerobic metabolite M48-E were estimated using FOCUS-PELMO 1.1.1. for the nine EU scenarios and the representative uses, using input parameters selected according FOCUS guidelines. Calculated concentrations of Fluoxastrobin at 1 m depth were negligible. However, for metabolite M48-E exceeds the trigger 0.1  $\mu$ g /L in seven of the nine scenarios when the mean Koc was used. The rapporteur Member State recalculated some of the scenarios to take into account the observed dependence of the adsorption Koc with the soil pH. Then, only Piacenza scenario show concentrations of metabolite M48-E below 0.1  $\mu$ g /L. It is noted that for six of the scenarios the level of 0.75  $\mu$ g /L is also exceeded by metabolite M48-E. Results of the relevance assessment for M48-E are summarized in the table found in the section 6 for residue definitions.

Potential groundwater contamination by soil metabolite *Z*-isomer of fluoxastrobin is not addressed in the DAR and has not been discussed during the Peer Review. Since physical and chemical properties and behaviour in the environment of this metabolite is expected to be very similar to the parent, EFSA considers that the results of the exposure assessment made for fluoxastrobin may be applied to the *Z*-isomer.

Potential groundwater contamination by soil metabolite 2-chlorophenol is was not addressed in the DAR and had not been discussed during the Peer Review. EFSA identified a new data requirement since potential groundwater contamination of major soil metabolite 2-chlorophenol needs to be addressed. to address potential groundwater contamination by this metabolite. A new PEC<sub>GW</sub> calculation for metabolite 2-chlorophenol using FOCUS PEARL has been provided (addendum 7) using worst case input parameters for this metabolite. Trigger of 0.1 µg /L for the 80<sup>th</sup> percentile annual average concentration was not exceeded for any of the nine FOCUS scenarios.

# 4.3. FATE AND BEHAVIOUR IN AIR

Concentration of fluoxastrobin in air is expected to be negligible due to low volatility and short half life in air for reaction with OH radicals.

# 5. Ecotoxicology

In the section on ecotoxicology only the risk to non-target organisms from the representative use in cereals as a spray application was assessed. No risk assessment for the representative use as a seed treatment in cereals is available in the DAR.

It should be noted that the assessment in the DAR is based on a pilot plant production. Since then the production process has been modified and optimised. Therefore, a new material accountability study and a new five batch analysis have been performed. The new resultant specification was supported by additional toxicological data, which have been peer-reviewed and accepted. An appropriate assessment with respect to ecotoxicology is still outstanding.

Fluoxastrobin was discussed in the experts' meeting on ecotoxicology (EPCO 13) in September 2004. New information on the ecotoxicological properties of metabolite 2-chlorophenol was summarized and assessed in addendum 7 (December 2006) and discussed in a meeting of experts (PRAPeR 18; March 2007).

#### 5.1. RISK TO TERRESTRIAL VERTEBRATES

The risk to birds and mammals was calculated using residue data as outlined in EPPO (1992).

The risk was calculated for an herbivorous and insectivorous bird as the representative use is in cereals at a stage where also the crop itself is likely to be grazed. The acute, short and long term toxicity to birds can be regarded as low in the first tier risk assessment (TER > 186, >112 and = 10.3 respectively) for the representative use of fluoxastrobin as a spray application.

Furthermore the risk to herbivorous and insectivorous mammals was calculated. The TER values for the acute and long term risk (>62 and >5141 respectively) are above the Annex VI trigger values indicating a low acute and long term risk to mammals for the representative use of fluoxastrobin as a spray application.

As the risk to birds and mammals was calculated according to EPPO (1992), a similar risk assessment was made available by EFSA based on the "Guidance Document on Risk Assessment for Birds and Mammals under Council Directive 91/414/EEC" (Sanco/4145/2000 of 25 September 2002). In addition to the scenarios for an herbivorous and insectivorous bird and mammal also the risk for a granivorous bird and mammal was assessed as one of the representative uses is as a seed treatment in cereals. According to this assessment (see addendum by EFSA) the risk to mammals can be regarded as low as the calculated acute and long term TER values (TER-values are  $\geq 42.22$  and  $\geq 43.01$ respectively) are above the respective Annex VI trigger values for the representative uses evaluated. Also the acute, short and long term risk for insectivorous and herbivorous birds as well as the acute and short term risk to granivorous birds from the representative uses evaluated (TER-values are ≥  $70.18, \ge 33.89$  and  $\ge 8.45$  for the acute, short and long term risk respectively) can be regarded as low as the appropriate Annex VI trigger values were not breached. The Annex VI trigger value for the long term risk to granivorous birds is breached (TER = 1.79) indicating a high long term risk to granivorous birds in the first tier risk assessment. Therefore EFSA proposes a data requirement for the notifier to submit a refinement of the long term risk to granivorous birds for the representative use as a seed treatment if the risk is calculated according to the latest guidance document (SANCO/4145/2000).

As the logPow is below 3 the risk from secondary poisoning to birds and mammals is considered to be low.

# 5.2. RISK TO AQUATIC ORGANISMS

Americamysis bahia was the most sensitive species from all aquatic species tested with fluoxastrobin both on an acute and on a long term time scale. Oncorhynchus mykiss, Daphnia magna and Pseudokircherniella subcapitata were tested with the lead formulation HEC 5725 EC 100. Oncorhynchus mykiss was the most sensitive organism to this lead formulation. The lead formulation is of similar toxicity to the tested aquatic organisms than the active substance.

The choice of endpoint for the risk assessment was discussed during the EPCO experts' meeting. The meeting considered that data for the mysid shrimp, which is a salt water species, should be used for both the acute and chronic risk assessments.

PECsw was calculated assuming spray drift from two 200 g/ha applications with 14 days interval (see point 4.2).

Based on the endpoint for mysid shrimp the acute TER is 28. The EPCO experts' meeting agreed that the acute risk to aquatic organisms is low, without the need for risk mitigation measures, based on the number of species tested.

Furthermore the EPCO experts' meeting agreed that the long term risk to aquatic organisms could be regarded as low if a buffer zone of 15 metres is taken into account. Also this long term TER value is based on data from a mysid shrimp study. However it was noted by the meeting that additional chronic invertebrate data are available. The meeting agreed that some lowering of the chronic uncertainty would be acceptable in this case and that these additional data may be used to refine the risk assessment at MS level. Furthermore the meeting decided to send a generic question on lowering the uncertainty factor by using additional chronic species sensitivity data to the PPR Panel. This generic question was forwarded to the PPR Panel by EFSA. The opinion of the Panel was adopted on 14 December 2005 and can be found on the EFSA website<sup>7</sup>.

As in the water sediment study the content of active substance was above 10% of the AR at or after 14 days, studies with the active substance on sediment dwelling organisms are considered necessary. A study with the active substance on *Chironomus riparius* is available indicating a low risk to sediment dwelling organisms without the need for risk mitigation measures.

Furthermore the metabolites HEC 5725-deschlorophenyl and HEC 5725-carboxylic acid were tested on *Oncorhynchus mykiss*, *Daphnia magna* and *Pseudokircherniella subcapitata*. HEC 5725-carboxylic acid was additionally tested on *Chironomus riparius*. The metabolites are both more than one order of magnitude less toxic to aquatic organisms than the parent compound. The risk to aquatic organisms from both these metabolites is considered to be low. The EPCO experts' meeting discussed the relevance of the *Z*-isomer of fluoxastrobin. The meeting decided that based on biological screening data, data on Daphnia and some mammalian data that it is unlikely that the *Z*-isomer is of

27 of 84

<sup>&</sup>lt;sup>7</sup> Opinion of the PPR Panel on a request from EFSA related to the assessment of the acute and chronic risk to aquatic organisms with regard to the possibility of lowering the uncertainty factor if additional species were tested. The EFSA Journal (2005)301, 1-45.

http://www.efsa.europa.eu/etc/medialib/efsa/science/ppr/ppr opinions/1332.Par.0002.File.dat/ppr op ej301 aquatic\_ecotox\_en1.pdf

greater toxicity than the *E*-isomer. It is noted by EFSA that also the metabolite 2-chlorophenol is considered as a major metabolite by the section on fate and behaviour. No studies with this metabolite are available and therefore EFSA proposes that a study or at least a solid argumentation regarding the effects of the metabolite 2-chlorophenol on aquatic organisms should be made available. The need for this data was not discussed at an EPCO experts meeting. A risk assessment for this metabolite was presented in addendum 7 of December 2007 and discussed in the PRAPeR expert meeting on ecotoxicology (PRAPeR 18, March 2007). The meeting concluded that the risk from the evaluated use can be considered as low.

Fluoxastrobin is not an herbicide so studies on aquatic plants are not considered necessary.

Although the Log Pow is below 3, a study on bioconcentration in fish is made available. The resulting BCF is below the Annex VI trigger value of 100 for not readily biodegradable compounds indicating a low risk for bioconcentration in fish.

# 5.3. RISK TO BEES

Acute contact and oral toxicity studies, both with fluoxastrobin and the lead formulation HEC 5725 EC 100, are available. The resulting HQ values do not breach the appropriate Annex VI trigger value indicating a low risk to bees for the representative use of fluoxastrobin as a spray application.

# 5.4. RISK TO OTHER ARTHROPOD SPECIES

Standard and/or extended laboratory studies with Aphidius rhopalosiphi, Typhlodromus pyri, Aleochara bilineata, Poecilus cupreus, Coccinella septempunctata and Chrysoperla carnea are available. Furthermore a semi-field trial with A. rhopalosiphi and a residue decline study is available. A risk assessment according to Escort I and to Escort II are available in the DAR. Effects above 30% (the Annex VI trigger value) were observed during the standard and extended laboratory study with A. rhopalosiphi (82% mortality at 200 g a.s./ha in the extended laboratory study) and C. septempunctata (75% mortality at 200 g a.s./ha in the extended laboratory study) and the laboratory study with C. carnea (82% mortality at 200 g a.s./ha). A semi-field trial with A. rhopalosiphi is available. In this study a reduction of 32% of the reproductive capacity was observed when the adults were exposed to fresh residues when fluoxastrobin was applied twice with an interval of 14 days at 200 g a.s./ha. A reduction of 13% was observed when adults were exposed to aged deposits (14DAT2). This indication of a lack of persistent effect is supported by the results of the residue decline study in which more than 80% loss of active substance in treated foliage was seen after 7 days. An off-field risk assessment according to Escort II is available in the DAR. Both the HQ-values for the standard indicator species A. rhopalosiphi and T. pyri (HQ= 0.089 and 0.05 respectively) are below 2, indicating a low risk to non-target arthropods off-field.

The risk to non-target arthropods was discussed at the EPCO experts' meeting. The meeting decided that based on the available data, population recovery/recolonisation in-field would be possible within

one year. Therefore the meeting agreed that the risk to non-target arthropods is addressed for the representative use of fluoxastrobin as a spray application.

# 5.5. RISK TO EARTHWORMS

The Predicted Environmental Concentration (PEC) in soil was calculated in the section on Fate and behaviour for the combined use of the seed treatment and spray application in cereals.

Studies on the acute toxicity to earthworms from fluoxastrobin and the metabolites HEC 5725-deschlorophenyl and HEC 5725-carboxylic acid are available. The endpoint for fluoxastrobin was corrected for the organic content of the test soil as the LogPow exceeds 2. The logPow of the metabolites is < 2 and therefore no correction factor is required for the metabolites. The corresponding TER-values do not breach the Annex VI trigger value, indicating a low acute risk to earthworms for the use of fluoxastrobin as a spray application in cereals.

Studies on the long term toxicity to earthworms from the EC lead formulation and the metabolite HEC 5725-deschlorophenyl are available. The corresponding TER-values do not breach the Annex VI trigger value, indicating a low long term risk to earthworms for the use of fluoxastrobin as a spray application in cereals.

It was noted by EFSA that the rapporteur Member State revised the PECsoil values for the metabolite M48 after the EPCO experts' meeting and hence also the corresponding TER values for earthworms and soil macro-organisms in the List of endpoints. EFSA agrees with the revised PECsoil calculations for the metabolite M48. For reasons of transparency the calculation leading to these revised TER values are given by EFSA in an addendum. The risk to earthworms from this metabolite can be considered as low.

The EPCO experts' meeting discussed the relevance of the *Z*-isomer of fluoxastrobin. The meeting decided that based on biological screening data, data on Daphnia and some mammalian data that it is unlikely that the *Z*-isomer is of greater toxicity than the *E*-isomer. It was noted by EFSA that also the metabolite 2-chlorophenol is considered as a major metabolite by the section on Fate and behaviour. No studies with this metabolite are available and therefore EFSA proposes that a study or at least a solid argumentation regarding the effects of the metabolite 2-chlorophenol on earthworms should be made available. The need for this data was not discussed at an EPCO experts' meeting. A risk assessment for earthworms was presented in addendum 7 of December 2006 and discussed by Member State experts in the PRAPeR expert meeting on ecotoxicology (PRAPeR 18 March 2007). The meeting agreed that the risk to earthworms from the evaluated use can be considered as low.

# 5.6. RISK TO OTHER SOIL NON-TARGET MACRO-ORGANISMS

Studies on the effects of fluoxastrobin and the metabolite HEC 5725-deschlorophenyl on collembolan are available. The lead formulation HEC 5725 was tested on the predactions soil mite *Hypoaspis aculeifer* and furthermore a soil litter bag study is available.

The calculated TER-values for collembola and *H. aculeifer* are above 5, the trigger value mentioned in the Guidance Document on Terrestrial Ecotoxicology (SANCO/10329/2002).

It was noted by EFSA that the rapporteur Member State revised the PECsoil values for the metabolite M48 after the EPCO experts' meeting and hence also the corresponding TER values for earthworms and soil macro-organisms in the List of endpoints. EFSA agrees with the revised PECsoil calculations for the metabolite M48. For reasons of transparency the calculation leading to these revised TER values are given by EFSA in an addendum. The risk to *Folsomia candida* from this metabolite can be considered as low.

Soil concentrations were analytically verified during the litterbag study and only 50% of the initial PEC was achieved although the test product was applied according to the GAP. No inhibition of litter degradation was observed during this study.

Based on this litterbag study and based on the low risk to earthworms, collembola and soil mites, it was concluded that the risk to soil macro-organisms and soil organic matter decomposition processes from the use of fluoxastrobin as a spray application in cereals is low.

# 5.7. RISK TO SOIL NON-TARGET MICRO-ORGANISMS

The effects of fluoxastrobin and the metabolites HEC 5725-deschlorophenyl and HEC 5725-carboxylic acid were tested on soil microbial respiration and nitrogen transformation (only effects on nitrogen transformation were assessed for HEC 5725-carboxylic acid). No deviations of more than 25 % after 28 days were observed (i.e. no breaching of the Annex VI trigger value) at a dose rate exceeding the initial PEC and hence the risk to soil non-target micro-organisms from fluoxastrobin and the metabolites HEC 5725-deschlorophenyl and HEC 5725-carboxylic acid is considered to be low for the use of fluoxastrobin as a spray application in cereals.

The EPCO experts' meeting discussed the relevance of the *Z*-isomer of fluoxastrobin. The meeting decided that based on biological screening data, data on Daphnia and some mammalian data that it is unlikely that the *Z*-isomer is of greater toxicity than the *E*-isomer. It was noted by EFSA that also the metabolite 2-chlorophenol is considered as a major metabolite by the section on Fate and behaviour. No studies with this metabolite are available and therefore EFSA proposes that a study or at least a solid argumentation regarding the effects of the metabolite 2-chlorophenol on soil micro-organisms should be made available. The need for this data was not discussed at an EPCO experts' meeting. A risk assessment for soil micro-organisms was presented in addendum 7 of December 2006 and discussed by Member State experts in the PRAPeR expert meeting on ecotoxicology (PRAPeR 18 March 2007). The meeting agreed that the risk to soil micro-organisms from the evaluated use can be considered as low.

# 5.8. RISK TO OTHER NON-TARGET-ORGANISMS (FLORA AND FAUNA)

Screening studies on the effects of fluoxastrobin on non-target terrestrial plants are available. Effects were less than 20% at a dose rate exceeding the highest representative use rate. Therefore the risk to non-target plants is considered to be low for the use of fluoxastrobin as a spray application in cereals.

# 5.9. RISK TO BIOLOGICAL METHODS OF SEWAGE TREATMENT

The respiration rate  $EC_{50}$  for fluoxastrobin exceeds 10000 mg a.s./L. Based on this study the risk to biological methods of sewage treatment is considered to be low for the use of fluoxastrobin as a spray application in cereals.

# 6. Residue definitions

#### Soil

Definitions for risk assessment: fluoxastrobin, Z-isomer of fluoxastrobin, M48-E, 2-chlorophenol, M40 (anaerobic metabolite).

Definitions for monitoring: fluoxastrobin, Z-isomer of fluoxastrobin, 2-chlorophenol (assessment needs to be finalized).

# Water

# Groundwater

Definitions for risk assessment: fluoxastrobin, *Z*-isomer of fluoxastrobin, M48-*E*, 2-chlorophenol, Definitions for monitoring: fluoxastrobin, <del>2-chlorophenol (assessment needs to be finalized)</del>.

## **Surface water**

Definitions for risk assessment: fluoxastrobin, Z-isomer of fluoxastrobin, M48-E, 2-chlorophenol, M-40 (anaerobic metabolite).

Definitions for monitoring: fluoxastrobin, Z-isomer of fluoxastrobin, <del>2-chlorophenol (assessment needs to be finalized)</del>.

#### Air

Definitions for risk assessment: fluoxastrobin Definitions for monitoring: fluoxastrobin

# Food of plant origin

Definitions for risk assessment: fluoxastrobin and *Z*-isomer of fluoxastrobin Definitions for monitoring: fluoxastrobin and *Z*-isomer of fluoxastrobin

#### Food of animal origin

Definitions for risk assessment: fluoxastrobin and Z-isomer of fluoxastrobin, plus the metabolite phenoxy-hydroxypyrimidine (M55) expressed as fluoxastrobin

Definitions for monitoring: fluoxastrobin and *Z*-isomer of fluoxastrobin, plus the metabolite phenoxyhydroxypyrimidine (M55) expressed as fluoxastrobin

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Overview of the risk assessment of compounds listed in residue definitions for the environmental compartments

# Soil

| Compound<br>(name and/or code)                    | Persistence  | Ecotoxicology  |
|---|--|--|
| fluoxastrobin                                     | Moderate to high persistent $DT_{50 \text{ field}} = 16 \text{ d} - 119 \text{ d}$   | See 5.5, 5.6 and 5.7   |
| M48-E   | Moderate to medium persistent $DT_{50 \; lab \; 20 \; ^{\circ}C} = 34 \; d - 100 \; d$   | The risk to earthworms, <i>Folsomia candida</i> and soil microorganisms can be regarded as low.  |
| 2-chlorophenol                                    | Very low to moderately persistent $DT_{50} = 0.6 \ d - 23 \ d$ (from open scientific open literature)  | No data available. The risk assessment in addendum 7 based on the assumption of 10 times higher toxicity than fluoxastrobin indicates a low risk.  |
| M40 (anaerobic metabolite)                        | Moderately persistent (under aerobic conditions) $DT_{50\;lab\;20\;°C}=11\;d-25\;d$  | The risk to earthworms and soil micro-organisms can be regarded as low.  |
| Z-isomer of fluoxastrobin (photolysis metabolite) | No direct experimental data available. Persistence expected to be in the range of the parent fluoxastrobin. $Moderate\ to\ high\ persistent$ $DT_{50\ field}=16\ d-119\ d$ | The EPCO experts' meeting decided that based on biological screening data, data on Daphnia and some mammalian data that it is unlikely that the <i>Z</i> -isomer is of greater toxicity than the <i>E</i> -isomer. |

32 of 84 http://www.efsa.europa.eu



# Groundwater

| Compound<br>(name and/or<br>code)                 | Mobility in soil   | > 0.1 µg / L 1m depth for<br>the representative uses<br>(at least one FOCUS scenario or<br>relevant lysimeter) | Pesticidal<br>activity   | Toxicological relevance  | Ecotoxicological<br>relevance  |
|---|--|--|--|--|--|
| Fluoxastrobin                                     | low to medium mobile $Koc = 424 - 1582 \text{ mL} / g$                           | FOCUS-PELMO: No, 0.1 µg /L trigger not exceeded.   | Yes  | Yes  | See 5.2  |
| M48-E   | medium to very high<br>mobile<br>Koc = 14 – 181 mL/g                             | FOCUS-PELMO 1.1.1.: Yes,<br>0.1 µg /L trigger exceeded.<br>0.75 µg /L exceeded by six of<br>nine scenarios.    | No pesticidal<br>activity  | No acute toxicity data available. Not mutagenic. Not relevant according to consumer risk assessment (max 3.7% of the ADI of fluoxastrobin) | M48 is one order of magnitude less toxic to aquatic organisms than the parent compound.  |
| 2-chlorophenol                                    | Not assessed, needs to be assessed High mobile Koc = 100 – 155 mL / g            | Not assessed, needs to be assessed  FOCUS-PEARL 2.2.2: No, 0.1 µg /L trigger not exceeded.                     | No assessment<br>needed; no data<br>available.   | No assessment needed; no data available  | No assessment needed; no data available  |
| Z-isomer of fluoxastrobin (photolysis metabolite) | Not assessed, Expected to have analogous behaviour than the parent fluoxastrobin | Not assessed, no assessment required.  | Less fungicidal activity than the <i>E</i> -isomer. No herbicidal and insecticidal activity. | No data available  | The EPCO experts' meeting decided that based on biological screening data, data on Daphnia and some mammalian data that it is unlikely that the <i>Z</i> -isomer is of greater toxicity than the <i>E</i> -isomer. |

33 of 84 http://www.efsa.europa.eu



# **Surface water and sediment**

| Compound (name and/or code)                    | Ecotoxicology   |
|--|---|
| Fluoxastrobin (water and sediment)             | See 5.2   |
| M48-E (only water)                             | The risk to aquatic organisms is considered low based on an acute toxicity study with fish, an acute toxicity study with <i>Daphnia magna</i> and a toxicity study with algae. M48 is one order of magnitude less toxic to aquatic organisms than the parent compound.  |
| Z-isomer of fluoxastrobin (water and sediment) | The EPCO experts' meeting decided that based on biological screening data, data on Daphnia and some mammalian data that it is unlikely that the <i>Z</i> -isomer is of greater toxicity than the <i>E</i> -isomer.  |
| 2-chlorophenol                                 | No data available. Of lower chronic toxicity to fish and aquatic invertebrates compared to fluoxastrobin. The risk assessment in addendum 7 of December 2006 shows a low risk from the evaluated use.   |
| M-40 (anerobic metabolite; water and sediment) | The risk to aquatic organisms is considered low based on an acute toxicity study with fish, an acute toxicity study with <i>Daphnia magna</i> , a toxicity study with algae and a study with <i>Chironomus riparius</i> . M40 is one order of magnitude less toxic to aquatic organisms than the parent compound. |

# Air

| Compound (name and/or code) | Toxicology   |
|-----------------------------|--|
| Fluoxastrobin               | Not toxic via inhalation during single exposure (LC <sub>50</sub> > 5 mg/L). No data available for repeated exposures. |

http://www.efsa.europa.eu 34 of 84

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# LIST OF STUDIES TO BE GENERATED, STILL ONGOING OR AVAILABLE BUT NOT PEER REVIEWED

- Depending on the final decision to include 2 chlorophenol in the residue definitions for soil and water (ground and surface), an analytical method for enforcement purposes could be necessary (refer to chapter 6)
- Adsorption / desorption study or data on soil metabolite 2-chlorophenol (identified by EFSA in the course of preparation of the conclusion; relevant for all representative uses evaluated; no submission date yet proposed by the notifier, refer to point 4.1.3).
- Due to the fact that fluoxastrobin may be highly persistent in soil, potential surface water contamination through drainage and run off may not be excluded; therefore, a comprehensive assessment taking into account spray drift, run-off, drainage and effectiveness of potential mitigation measures to reduce surface water contamination is necessary to finalize the risk assessment of the EU representative uses (relevant for all representative uses evaluated; no submission date yet proposed by the notifier, refer to point 4.2.1. Data provided only for metabolite 2-chlorophenol in addendum 7 of December 2006).
- Potential groundwater contamination by soil metabolite 2 chlorophenol needs to be addressed (identified by EFSA in the course of preparation of the conclusion; relevant for all representative uses evaluated; no submission date yet proposed by the notifier, refer to point 4.2.2).
- Notifier to submit a refinement of the long term risk to granivorous birds (proposed by EFSA; relevant for the use as a seed treatment in cereals if the risk is assessed according to the latest guidance document (SANCO/4145/2000), no submission date proposed by the notifier; refer to point 5.1)
- A study or at least a solid argumentation regarding the effects of the metabolite 2 chlorophenol on aquatic organisms. This data requirement is proposed by EFSA and has not been discussed in an EPCO experts' meeting (relevant for all representative uses evaluated; no submission date yet proposed by the notifier; refer to point 5.2)
- A study or at least a solid argumentation regarding the effects of the metabolite 2 chlorophenol on earthworms. This data requirement is proposed by EFSA and has not been discussed in an EPCO experts' meeting (relevant for all representative uses evaluated; no submission date yet proposed by the notifier; refer to point 5.5)
- A study or at least a solid argumentation regarding the effects of the metabolite 2 chlorophenol on soil micro organisms. This data requirement is proposed by EFSA and has not been discussed in an EPCO experts' meeting (relevant for all representative uses evaluated; no submission date yet proposed by the notifier; refer to point 5.7)

# **CONCLUSIONS AND RECOMMENDATIONS**

# **Overall conclusions**

The conclusion was reached on the basis of the evaluation of the representative uses as fungicide as proposed by the applicant which comprises foliar spraying to control a range of fungal diseases in wheat, rye and barley at application rate up 200 g fluoxastrobin per hectare. An Annex III dossier for a representative seed treatment containing fluoxastrobin was submitted and evaluated. However, owing to issues relating to the other active substances present in the formulation used for seed treatment, it was not possible to complete overall the risk assessment.

Fluoxastrobin can be used only as fungicide. The representative formulated product for the evaluation was "HEC 5725 EC 100", an emulsifiable concentrate (EC).

Adequate methods are only available to monitor the compounds given in the respective residue definitions for food, water, soil and air. Only single methods for the determination of residues are available since a multi-residue-method like the German S19 or the Dutch MM1 is not applicable due to the nature of the residues.

Sufficient analytical methods as well as methods and data relating to physical, chemical and technical properties are available to ensure that quality control measurements of the plant protection product are possible.

Fluoxastrobin is rapidly and nearly completely absorbed from the gastrointestinal tract and widely distributed within the body of the treated animals at generally low concentrations. The highest concentrations are detected in liver, kidneys and bladder as well as in the gastrointestinal tract. No accumulation in the body is observed. The major route of excretion in rats was biliary and faecal. The metabolism is extensive and 50 metabolites are identified. The acute toxicity of fluoxastrobin is low; it is not a skin or an eye irritant. No skin sensitisation potential was observed in a study with a batch containing 3.5 % of impurities of the technical specification for which approval is sought.

The liver is the main target organ in all tested species (rats, mice and dog). The overall short term NOAEL in dogs is 3 mg/kg bw/day in the 1-year dog study at the 90-day time point, also supported by the 90-day dog study. The NOAEL in the 1-year dog study (time point 12 months) is 1.5 mg/kg bw/day.

There is no evidence of genotoxic activity due to the test material or to the impurities.

There is no evidence of a substance-related oncogenic or reproductive effects in rats and mice. The relevant NOAEL for long term toxicity and carcinogenicity is 35 mg/kg bw/day in rats. The NOAEL for reproduction is 742-764 mg/kg bw/day and the parental NOAEL is 74-87 mg/kg bw/day. The NOAEL for maternal toxicity in rabbits is 25 mg/kg bw/day and the developmental is 100 mg/kg bw/day. In the rat the maternal and developmental NOAELs are 1000 mg/kg bw/day).

Fluoxastrobin gave no evidence of substance-related neurotoxicity. Metabolite 48 was not mutagenic in Ames test. It is not considered a relevant metabolite for groundwater risk assessment based on consumer risk assessment (0.3% of fluoxastrobin ADI).

Studies in rats and mice did not reveal any adverse immunotoxic effects. Fluoxastrobin resulted in reduced phosphate absorption in the intestine and in reduced renal excretion of phosphate and renal hyper-excretion of calcium. No adverse medical effects have been reported for manufacturing plant personnel.

The ADI is 0.015 mg/kg bw/day, the AOEL is 0.03 mg/kg bw/day, and the ARfD is 0.3 mg/kg bw with a 100-fold assessment factor applied.

A dermal absorption value for of 4% considered for operator risk assessment of undiluted and in-use dilutions of HEC 5725 EC100. The estimated operator exposure is below the AOEL without PPE (34%), according to the German model. According to calculations with UK POEM, gloves have to be worn when handling the concentrate (in addition to a faceshield due to the classification of the product as an eye irritant) and when handling contaminated surfaces (29% of the AOEL). Worst case estimates using the German worker re-entry model together with published transfer coefficient data, indicate that the level of systemic exposure for unprotected workers entering treated cereal crops for inspection purposes is below the AOEL, as well as the level of systemic bystander exposure to fluoxastrobin (0.5% of the proposed systemic AOEL).

Applied to wheat plants by foliar application following a seed treatment fluoxastrobin itself and its Z-isomer was the major residue found at harvest in straw and in grain accounting for about 86% and 80% of the total residue, respectively. Some metabolites found in plants were not observed in rat metabolism. However, due to their insignificant levels (<0.01 mg/kg) they were considered being of no concern in grain, whereas in straw some non-rat metabolites, e.g. 2-chlorophenol (M82) and its glycoside (M84) are expected to be present at significant levels. However, M82 was also seen in livestock metabolism studies with fluoxastrobin, but others were not and their toxicity was not tested further. Depending on the soil, fluoxastrobin was shown to be highly persistent in soil and hence it was present in rotational crops at plant back intervals up to 328 days as the major residue. Decline of fluoxastrobin residues under processing conditions does not occur.

Fed to ruminants and poultry, fluoxastrobin was intensively metabolised resulting in a comparable pattern to that observed in rat metabolism, with the exception of some metabolites, that were not specifically found in the rat, but not considered to be of concern due to insignificant levels (<0.01 mg/kg). In a feeding study levels of relevant compounds (fluoxastrobin, *Z*-isomer, metabolite M55) in edible animal matrices were analysed, and MRLs have been proposed.

The chronic dietary exposure assessment for consumers based on the representative GAP on cereals indicated that for all consumer subgroup the intake was less then 10% of the proposed ADI. The short term exposure of all considered consumer subgroups from individual commodities, based on consumption data of UK consumers, was all below 1% of the proposed ARfD. In an assessment of possible consumer exposure and consumer risk due to intake of metabolite M48 from drinking water the margin of safety was shown to be sufficient.

Under aerobic conditions fluoxastrobin yield the major metabolite M48-E and 2-chlorophenol in soil. No significant formation of the Z isomer of fluoxastrobin is observed under dark conditions.

Mineralization was generally low. Unextractable residues reached a maximum of 71 % AR after 120 d in one of the soils but were below 70 % in the rest of experiments.

In an anaerobic water sediment study major metabolite M40 is formed and was identified as a potential major anaerobic metabolite in soil under anaerobic conditions. However, for the representative uses proposed, extended periods of anaerobic conditions are not expected.

Z-isomer of fluoxastrobin was identified as the major soil photolysis metabolite of fluoxastrobin.

Any of the laboratory soil studies uses fluoxastrobin labelled at the chlorophenyl ring. As a result of the cleavage of the molecule this ring will be released as 2-chlorophenol (expected worst case maximum amount equivalent to 49.2 %, see EFSA addendum of 26 July, 2005). The microbiologically driven degradation of 2-chlorophenol in soil was supported with a number of studies from the open scientific literature.

Field dissipation trials in typical agricultural regions of Europe are available. In field trials the Z isomer of fluoxastrobin was measured at up to 19 % - 22 %. The EPCO experts' meeting on fate and behaviour in the environment (EPCO 12, September 2004) agreed that Z-isomer of fluoxastrobin should be included in the residue definition in soil and assessed for their potential ecotoxicological relevance.

Persistence of fluoxastrobin in soil may be very variable. Fluoxastrobin may behave as a moderate to high persistent compound ( $DT_{50 \text{ lab } 20 \text{ }^{\circ}\text{C}} = 12 \text{ d}$  to 356 d). Also a high variability on the rate of degradation was observed in field studies ( $DT_{50 \text{ field}} = 16 \text{ d} - 119 \text{ d}$ ).

Three reliable values were obtained for the half life of metabolite M48 indicating that this metabolite is moderate to medium persistent in soil (DT<sub>50 lab 20 °C</sub> = 34 d - 100 d).

Anaerobic metabolite M40 is moderately persistent in soil under aerobic conditions (DT<sub>50 lab 20 °C</sub> = 11 d - 25 d).

Data available from open scientific literature show that the aerobic soil metabolite 2-chlorophenol is very low to moderately persistent in soil ( $DT_{50} = 0.6 \text{ d} - 23 \text{ d}$ ).

PEC soil were calculated from peak concentration after four subsequent seasons with a seed treatment and two foliar applications per season using the worst case field half life ( $DT_{50} = 119 \text{ d}$ ) for the parent compound. Maximum PEC for M48 provided in the DAR is based on worst case field formation of 6.3 %. Maximum New PEC soil for metabolite 2-chlorophenol is provided in the EFSA addendum on fate and behaviour. are provided in addendum 7 to the DAR.

Fluoxastrobin may be classified as low to medium mobile (Koc = 424 - 1582 mL / g), M48 as medium to very high mobile (Koc = 14 - 181 mL / g) and M40 high to very high mobile (Koc = 37 - 87 mL / g). The rapporteur Member State identified a Koc pH dependence for fluoxastrobin with a reduced adsorption with increasing soil pH. No adsorption / desorption data is was available for metabolite 2-chlorophenol. This may be necessary to address the potential groundwater contamination and has been identified as a new data requirement by EFSA. This was identified as a new data requirement by EFSA. A new study is available for 2-chlorophenol that may be classified as highly mobile in soil (Koc = 100 - 155 mL / g) (addendum 7, December 2007).

# European Food Safety Authority EFSA Scientific Report (2007) 102, 1-84, Conclusion on the peer review of fluoxastrobin (rev 2007)

Fluoxastrobin is stable to hydrolysis at all environmental relevant pHs. Aqueous photolysis contributes to the aqueous dissipation of fluoxastrobin. The main photolysis metabolites are: *Z* isomer of fluoxastrobin, M36 and M56.

No studies on ready biodegradability of fluoxastrobin are available and fluoxastrobin was proposed to be non ready biodegradable by the experts meeting.

Two aerobic water sediment systems at 20 °C under dark conditions were investigated. Only M48-E was seen above 10 % AR in the water phase. Concomitant formation of 2-chlorphenol at levels above 10 % cannot be precluded. Degradation of fluoxastrobin was slow in both systems (DT<sub>50 whole system</sub> = 144 d – 188 d). Dissipation from the water phase was mainly due to partition in to the sediment (DT<sub>50 water</sub> = 25.6 d – 42.1 d). Mineralization was very low (CO<sub>2</sub>: max 2.9 % AR after 122 d, end of the study). Bound residues increased during the study to a maximum of 12.7 % AR.

Additionally, a study with two irradiated aerobic water sediment at 25 °C systems is available. Formation of the metabolite Z-isomer of fluoxastrobin was observed. Contribution of photodegradation to the dissipation of fluoxastrobin from the water phase in these systems is not significant, probably due to the rapid adsorption to the sediment.

PECsw and PECsed were provided by the applicant assuming spray drift from two 200 g/ha applications with 14 days interval taking as basis the worst case dissipation  $DT_{50}$  observed in dark water sediment study. Spray drift initial PECsw for the metabolite M48-E was calculated by the rapporteur Member State based on the maximum amount of this metabolite observed in the water sediment systems. The rapporteur Member State also provided PECsw for drainage based on their national scheme. Potential loadings to surface water through run off were not considered in the assessment presented in the DAR. Due to the fact that fluoxastrobin may be high persistent in soil, potential surface water contamination through drainage and run off may not be excluded; therefore, a comprehensive assessment taking into account spray drift, run-off, drainage and effectiveness of potential mitigation measures to reduce surface water contamination is necessary to finalize the risk assessment of the EU representative uses.

PEC<sub>SW / SED</sub> according FOCUS SW scheme have been provided in addendum 7 (December 2006) for metabolite 2-chlorophenol up to STEP 2 based on the loadings from soil and used for the aquatic risk assessment of this metabolite.

PECgw of Fluoxastrobin and the metabolite M48-E were estimated using FOCUS-PELMO 1.1.1. Calculated concentrations of Fluoxastrobin at 1 m depth were negligible. However, metabolite M48-E exceeds the trigger 0.1  $\mu$ g /L in eight of the nine scenarios when dependence of the adsorption Koc with the soil pH is taken into account. It is noted that for six of the scenarios the level of 0.75  $\mu$ g /L is also exceeded by metabolite M48-E. Relevance assessment has been performed for this metabolite (see table in section 6 of this conclusion).

Potential groundwater contamination by soil metabolite *Z*-isomer of fluoxastrobin is not addressed in the DAR and has not been discussed during the Peer Review. Since physical and chemical properties and behaviour in the environment of this metabolite is expected to be very similar to the parent, EFSA considers that the results of the exposure assessment made for fluoxastrobin may be applied to the *Z*-isomer.

Potential groundwater contamination by soil metabolite 2-chlorophenol is not addressed in the DAR and had not been discussed during the Peer Review. EFSA identified a new data requirement since potential groundwater contamination of major soil metabolite 2 chlorophenol needs to be addressed. to address potential groundwater contamination by this metabolite. New FOCUS GW modelling provided in addendum 7 shows that levels of this metabolite are not expected to exceed 0.1 µg/L in ground water as a result of the uses proposed for fluoxastrobin.

Concentration of fluoxastrobin in air is expected to be negligible due to low volatility and short half life in air for reaction with OH radicals.

In the section on ecotoxicology only the risk to non-target organisms from the representative use in cereals as a spray application was assessed. No risk assessment for the representative use as a seed treatment in cereals is available in the DAR.

It should be noted that the assessment in the DAR is based on a pilot plant production. Since then the production process has been modified and optimised. Therefore, a new material accountability study and a new five batch analysis have been performed. The new resultant specification was supported by additional toxicological data, which have been peer-reviewed and accepted. An appropriate assessment with respect to ecotoxicology is still outstanding.

The risk to bees, non-target terrestrial plants and biological methods for sewage treatment is low with respect to fluoxastrobin and the metabolites for the use as a spray application in cereals.

The risk to herbivorous birds and mammals can be regarded as low for the representative use of fluoxastrobin as a spray application if the risk was calculated using residue data as outlined in EPPO (1992). EFSA made a risk assessment available according to the latest guidance document on birds and mammals (SANCO/4145/2000). According to this assessment (see addendum by EFSA) the risk to mammals can be regarded as low and also the acute, short and long term risk for insectivorous and herbivorous birds as well as the acute and short term risk to granivorous birds from the representative uses evaluated can be regarded as low. But a long term risk to granivorous birds is observed (TER= 1.79 according to SANCO/4145/2000). Therefore EFSA proposes a data requirement for the notifier to submit a refinement of the long term risk to granivorous birds for the use as a seed treatment in cereals if the risk is assessed according to the latest guidance document (SANCO/4145/2000).

A high risk is identified to *Americamysis bahia* (being the most sensitive aquatic organisms), which requires consideration of appropriate risk mitigation measures. A buffer zone of 15 metres is needed to respect the Annex VI trigger value for the long term risk to aquatic organisms for the use of fluoxastrobin as a spray application in cereals. It was noted by the EPCO 13 Expert meeting on ecotoxicology that additional chronic invertebrate data are available. The meeting agreed that some lowering of the chronic uncertainty would be acceptable in this case and that these additional data may be used to refine the risk assessment at MS level. Furthermore the meeting decided to send a generic question on lowering the uncertainty factor by using additional chronic species sensitivity data to the PPR Panel. This generic question was forwarded to the PPR Panel by EFSA. The opinion

of the Panel was published in the EFSA Journal (2005) 301, 1-45 (see EFSA's website). The risk of the metabolite M 48 to aquatic organisms is considered to be low. The EPCO 13 Expert meeting on ecotoxicology decided that based on biological screening data, data on Daphnia and some mammalian data that it is unlikely that the *Z*-isomer is of greater toxicity than the *E*-isomer. It was noted by EFSA that also the metabolite 2-chlorophenol is considered as a major metabolite by the section on Fate and behaviour. No studies with this metabolite are available and therefore EFSA proposes that a study or at least a solid argumentation regarding the effects of the metabolite 2 chlorophenol on aquatic organisms should be made available. The need for this data was not discussed at an EPCO experts meeting. A risk assessment for this metabolite was presented in addendum7 of December 2007 and discussed in the PRAPeR expert meeting on ecotoxicology (PRAPeR 18, March 2007). The meeting concluded that the risk from the evaluated use can be considered as low.

The risk to non-target arthropods was discussed at the EPCO 13 Expert meeting on ecotoxicology. The meeting decided that based on the available data, population recovery/recolonisation in-field would be possible within one year. Therefore the meeting agreed that the risk to non-target arthropods is addressed for the representative use of fluoxastrobin as a spray application.

The risk to soil micro- and macro-organisms, including earthworms is low with respect to fluoxastrobin and the metabolites M48 and M40 for the use of fluoxastrobin as a spray application in cereals. The EPCO 13 Expert meeting on ecotoxicology decided that it is unlikely that the *Z*-isomer is of greater toxicity than the *E*-isomer (see above). It was noted by EFSA that also the metabolite 2-chlorophenol is considered as a major metabolite by the section on Fate and behaviour. No studies with this metabolite are available and therefore EFSA proposes that a study or at least a solid argumentation regarding the effects of the metabolite 2-chlorophenol on earthworms and soil micro-organisms should be made available. The need for this data was not discussed at an EPCO experts' meeting. A risk assessment for earthworms and soil micro-organisms was presented in addendum 7 of December 2006 and discussed by Member State experts in the PRAPeR expert meeting on ecotoxicology (PRAPeR 18 March 2007). The meeting agreed that the risk from the evaluated use can be considered as low.

#### Particular conditions proposed to be taken into account to manage the risk(s) identified

- The use of a faceshield for operators, due to the classification of the product as an eye irritant, should be taken into consideration.
- Appropriate risk mitigation measures (e.g. a 15 meter no spray bufferzone) are required with regard to aquatic organisms (refer to point 5.2).
- Straw data demonstrated that metabolites of unknown toxicity (non-rat metabolites) were present at harvest in straw samples, partially at significant levels. Restriction for feeding of straw is recommended or if straw is intended for use as animal feeding stuff the issue of toxicity tests on non-rat metabolites should be addressed further. (refer to points 2.8 and 3.1.1)

# European Food Safety Authority EFSA Scientific Report (2007) 102, 1-84, Conclusion on the peer review of fluoxastrobin (rev 2007)

#### Critical areas of concern

- No analytical method for enforcement purposes is available to determine the metabolite M48 *E* in groundwater. Provided that 2 chlorophenol will be included in the residue definitions for soil and water (ground and surface) also no enforcement method would be available.
- The operator risk assessment has been conducted only on the representative use in cereals as a spray application. No risk assessment for the representative use as a seed treatment in cereals is available in the DAR.
- The use of a faceshield for operators (for foliar spraying in cereals), due to the classification of the product as an eye irritant, should be taken into consideration.
- In straw, individual non-rat metabolites (M34, M39, M40, M42, M70, M82, M84) reached levels above 0.1 mg/kg, relating to 1N application rate. No toxicity tests, neither *in vivo* nor *in vitro*, were provided to define their toxicity. Therefore, they should be considered as toxicologically relevant, unless new data are made available.
- In the section on ecotoxicology only the risk to non-target organisms from the representative use in cereals as a spray application was assessed. No risk assessment for the representative use as a seed treatment in cereals is available in the DAR.
- It should be noted that the assessment in the DAR is based on a pilot plant production. Since then the production process has been modified and optimised. Therefore, a new material accountability study and a new five batch analysis have been performed. The new resultant specification was supported by additional toxicological data, which have been peer-reviewed and accepted. An appropriate assessment with respect to ecotoxicology is still outstanding.
- If the risk to birds is calculated according to the latest guidance document (SANCO/4145/2000) a long term risk to granivorous birds is observed (TER= 1.79 according to SANCO/4145/2000). Therefore EFSA proposes a data requirement for the notifier to submit a refinement of the long term risk to granivorous birds for the use as a seed treatment in cereals if the risk is assessed according to the latest guidance document (SANCO/4145/2000).
- A high risk to aquatic organism is identified which requires consideration of appropriate risk mitigation measures. A buffer zone of 15 metres is needed to respect the Annex VI trigger value for the long term risk. It was noted by the EPCO experts' meeting on ecotoxicology that additional chronic invertebrate data are available. The meeting agreed that some lowering of the chronic uncertainty would be acceptable in this case and that these additional data may be used to refine the risk assessment at MS level. Furthermore the meeting decided to address a generic question on lowering the uncertainty factor by using additional chronic species sensitivity data to the PPR Panel. This generic question was forwarded to the PPR Panel by EFSA; the opinion of the Panel was adopted on 14 December 2005 and can be found on the EFSA website<sup>8</sup>.

http://www.efsa.europa.eu/etc/medialib/efsa/science/ppr/ppr opinions/1332.Par.0002.File.dat/ppr op ej301 aqu atic\_ecotox\_en1.pdf

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<sup>&</sup>lt;sup>8</sup> Opinion of the PPR Panel on a request from EFSA related to the assessment of the acute and chronic risk to aquatic organisms with regard to the possibility of lowering the uncertainty factor if additional species were tested. The EFSA Journal (2005)301, 1-45.

# APPENDIX 1 – LIST OF ENDPOINTS FOR THE ACTIVE SUBSTANCE AND THE REPRESENTATIVE FORMULATION

(Abbreviations used in this list are explained in appendix 2)

Appendix 1.1: Identity, Physical and Chemical Properties, Details of Uses, Further Information

Active substance (ISO Common Name) ‡

Function (e.g. fungicide)

Rapporteur Member State

Co-rapporteur Member State

Fluoxastrobin

Fungicide

UK

--

**Identity (Annex IIA, point 1)** 

Chemical name (IUPAC) ‡

Chemical name (CA) ‡

CIPAC No ‡

CAS No ‡

EEC No (EINECS or ELINCS) ‡

FAO Specification ‡ (including year of publication)

Minimum purity of the active substance as manufactured ‡ (g/kg)

Identity of relevant impurities (of toxicological, environmental and/or other significance) in the active substance as manufactured (g/kg)

Molecular formula ‡

Molecular mass ‡

Structural formula ‡

(*E*)-{2-[6-(2-chlorophenoxy)-5-fluoropyrimidin-4-yloxy]phenyl}(5,6-dihydro-1,4,2-dioxazin-3-yl)methanone *O*-methyloxime

(E) Methanone, [2-[[6-(2-chlorophenoxy)-5-fluoro-4-pyrimidinyl]oxy]phenyl](5,6-dihydro-1,4,2-dioxazin-3-yl)-, O-methyloxime (9CI)

746

361377-29-9

not allocated

not allocated

940 g/kg

None of the impurities are considered to be of toxicological, environmental and/or other significance.

C<sub>21</sub>H<sub>16</sub>ClFN<sub>4</sub>O<sub>5</sub>

458.8 g/mol

$$\begin{array}{c|c}
CI \\
O & F \\
\hline
O & N \\
N & O - N \\
H_3C
\end{array}$$

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onlinelibrary.wiley.com/doi/10.2903/jefsa.2005.102r by University College London UCL Library Services, Wiley Online Library on [16.05/2025]. See the Terms and Conditions (https://onlinelibrary.wiley.com/term

and-conditions) on Wiley Online Library for rules of use; OA articles are governed by the applicable Creative Commons

<sup>‡</sup> Endpoints identified by EU-Commission as relevant for Member States when applying the Uniform Principles

| Melting point (state purity) ‡                                  | 103-108 °C (99.5%)   |  |  |  |
|---|--|--|--|--|
| Boiling point (state purity) ‡                                  | Estimated to be 497 °C. (Pure active substance (99.5%) decomposes at temperatures above 230 °C)  |  |  |  |
| Temperature of decomposition                                    | >230 °C (99.5%)  |  |  |  |
| Appearance (state purity) ‡                                     | White solid (98.5%)  |  |  |  |
| Relative density (state purity) ‡                               | 1.422 (99.5%)  |  |  |  |
| Surface tension   | 68 mN/m (ca 90% saturated solution)  |  |  |  |
| Vapour pressure (in Pa, state temperature) ‡                    | 5.6 x 10 <sup>-10</sup> Pa at 20 °C  |  |  |  |
| Henry's law constant (Pa m <sup>3</sup> mol <sup>-1</sup> ) ‡   | 1 x 10 <sup>-7</sup> Pa m <sup>3</sup> /mol at 20 °C   |  |  |  |
| Solubility in water ‡ (g/L or mg/L, state temperature)          | pH 4: 2.43 mg/L at 20 °C<br>pH 7: 2.29 mg/L at 20 °C<br>pH 9: 2.27 mg/L at 20 °C   |  |  |  |
| Solubility in organic solvents ‡ (in g/L or                     | n-heptane $0.04$ g/L at $20$ °C  |  |  |  |
| mg/L, state temperature)  | 1-octanol 1.1g/L at 20 °C  |  |  |  |
|   | 2-propanol 6.7g/L at 20 °C   |  |  |  |
|   | xylene 38g/L at 20 °C  |  |  |  |
|   | polyethylene glycol 120g/L at 20 °C  |  |  |  |
|   | ethyl acetate >250g/L at 20 °C   |  |  |  |
|   | acetonitrile >250g/L at 20 °C  |  |  |  |
|   | acetone >250g/L at 20 °C   |  |  |  |
|   | dichloromethane >250g/L at 20°C  |  |  |  |
|   | dimethlsulfoxide >250g/L at 20°C   |  |  |  |
| Partition co-efficient (log POW) ‡ (state pH and temperature)   | $\log P_{\rm ow} = 2.86$ at 20 °C Range of pH's were not looked at as fluoxastrobin does not dissociate  |  |  |  |
| Hydrolytic stability (DT $_{50}$ ) ‡ (state pH and temperature) | Stable to hydrolysis for at least 7 days at pH 4-9 and 50 °C. Applicant stated that this indicated that at environmental pH's and temperatures, fluoxastrobin is hydrolytically stable |  |  |  |
| Dissociation constant ‡   | Fluoxastrobin has no acid or basic properties in aqueous solutions. It is therefore impossible to specify dissociation constants of the active ingredient in water                     |  |  |  |
| UV/VIS absorption (max.) ‡ (if absorption >                     | UV absorb 250 nm ( $\varepsilon = 19358 \text{ 1 mol}^{-1} \text{ cm}^{-1}$ ).   |  |  |  |

No UV absorbance above 290 nm.

290 nm state  $\varepsilon$  at wavelength)

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| Photostability (DT <sub>50</sub> ) $\ddagger$ (aqueous, sunlight, state pH) | 31 days at pH 7 in sterile aqueous buffered solution, based on sunlight in Athens in June |
|---|---|
| Quantum yield of direct phototransformation in water at $\Sigma > 290$ nm ‡ | 0.00098 ( <i>E</i> -isomer)<br>0.00089 (Sum of <i>E</i> and <i>Z</i> -isomers)            |
| Flammability ‡  | Not considered highly flammable   |
| Explosive properties ‡  | Non-explosive   |

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# European Food Safety Authority EFSA Scientific Report (2007) 102, 1-84, Conclusion on the peer review of fluoxastrobin (rev 2007)

# Appendix 1 – list of endpoints

# List of representative uses evaluated\*

| Crop and/<br>or situation | Member<br>State<br>or<br>Country | Produ<br>ct<br>name | F<br>G<br>or<br>I | Pests or<br>Group of pests<br>controlled   | Form       | ulation                                     |                         | Aj                                 | pplication         |  | Apj                        | plication rate  | per treatment   | PHI (days | Remarks   |
|---------------------------|----------------------------------|---------------------|-------------------|--|------------|---|-------------------------|------------------------------------|--------------------|--|----------------------------|---|---|-----------|---|
| (a)                       | J                                |                     | (b)               | (c)  | Type (d-f) | Conc. of a.s.                               | method<br>kind<br>(f-h) | growth<br>stage &<br>season<br>(j) | number min max (k) | interval<br>between<br>applications<br>(min) | kg as/hl<br>min max<br>(n) | water L/ha<br>min max                                     | kg a.s./ha<br>min max   | (1)       | (m)   |
| Wheat, rye, barley        | EU<br>North<br>South             | not<br>defined      | F                 | Rusts,<br>Leave spot.<br>Pyren. teres,<br>Powd. mildew,<br>Rhynchospor.,<br>Septoria | EC         | 100<br>g/L                                  | overall<br>spray        | start 26<br>up to<br>BBCH<br>69    | 1-2#               | 14 days<br>ref. to<br>growth stage           | 0.025 - 0.1                | 200 - 400   | 0.1 - 0.2   | 35        | # number<br>application<br>depends on<br>disease incidence  |
| Wheat, rye,<br>triticale  | EU<br>North<br>South             | not<br>defined      | F                 | Fusarium<br>nivale,<br>Fusarium spp.,<br>Smut,<br>Bunt                               | FS         | 37.5<br>HEC<br>37.5<br>JAU<br>5 Teb.<br>g/L | seed<br>treat-<br>ment  | pre<br>sowing                      | 1                  | n.a.<br>(0)                                  | n.a.                       | up to 500<br>ml seed<br>dressing<br>solution <sup>1</sup> | (g a.s./dt seed) Fluoxastrobin (7.5) Prothioconazole (7.5) Tebuconazole(1) <sup>2</sup> | n.a.      | (1) dilution with<br>water 1:1 to 1:1.5,<br>in small scale<br>facilities up to 1:4<br>(2) up to 230 kg<br>seed/ha |

# HEC = fluoxastrobin, JAU = prothioconazole and Teb. = tebuconazole

| Remarks: | (a) | For crops, the EU and Codex classifications (both) should be used; where relevant, | (i) | g/kg or g/L  |
|----------|-----|--|-----|--|
|          |     | the use situation should be described (e.g. fumigation of a structure)             | (j) | Growth stage at last treatment (BBCH Monograph, Growth Stages of Plants,       |
|          | (b) | Outdoor or field use (F), glasshouse application (G) or indoor application (I)     |     | 1997, Blackwell, ISBN 3-8263-3152-4), including where relevant, information on |
|          | (c) | e.g. biting and suckling insects, soil born insects, foliar fungi, weeds           |     | season at time of application  |
|          | (d) | e.g. wettable powder (WP), emulsifiable concentrate (EC), granule (GR)             | (k) | The minimum and maximum number of application possible under practical         |
|          | (e) | GCPF Codes - GIFAP Technical Monograph No 2, 1989                                  |     | conditions of use must be provided   |
|          | (f) | Method, e.g. high volume spraying, low volume spraying, spreading, dusting, drench | (1) | PHI - minimum pre-harvest interval   |
|          | (g) | All abbreviations used must be explained   | (m) | Remarks may include: Extent of use/economic importance/restrictions            |
|          | (h) | Kind, e.g. overall, broadcast, aerial spraying, row, individual plant, between     | (n) | product concentration of spray liquid  |
|          |     | the plants - type of equipment used must be indicated                              |     |  |

<sup>(\*)</sup> Uses for which risk assessment could not been concluded due to lack of essential data are marked grey.

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#### fluoxastrobin (rev 2007)

**Appendix 1 – list of endpoints** 

# Appendix 1.2: Methods of Analysis

# Analytical methods for the active substance (Annex IIA, point 4.1)

| Technical as (principle of method)               | Fluoxastrobin was determined in the technical active substance by HPLC-UV and in the plant protection products by HPLC-UV and GC-FID. Satisfactory methods were submitted for the determination of impurities in the technical active substance. |
|--|--|
| Impurities in technical as (principle of method) | Impurities in technical active substance were determined by HPLC-UV.   |
| Plant protection product (principle of method)   | Fluoxastrobin was determined in the plant protection products by HPLC-UV and GC-FID.   |

#### Analytical methods for residues (Annex IIA, point 4.2)

Food/feed of plant origin (principle of method and LOQ for methods for monitoring purposes)

Fluoxastrobin residues (fluoxastrobin *E* and *Z*) in cereals and beer products were determined by extracted with acetone/water or methanol/water and the resulting extracts cleaned up on solid phase extraction column and the resulting eluants analysed by HPLC-MS/MS. The limits of determination were:

| Matrix       | analyte | LOQ (mg/kg) |
|--------------|---------|-------------|
| Barley grain | E + Z   | 0.02        |
| Barley grain | Е       | 0.02        |
| Barley grain | Z       | 0.02        |
| Beer         | E + Z   | 0.05        |
| Beer         | Е       | 0.05        |
| Beer         | Z       | 0.05        |
| Wheat grain  | E + Z   | 0.02        |
| Wheat grain  | Е       | 0.02        |
| Wheat grain  | Z       | 0.02        |

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Soil (principle of method and LOQ)

Water (principle of method and LOQ)

| Cattle fat                            | M55 | 0.01 |
|---------------------------------------|-----|------|
| Cattle kidney                         | Е   | 0.02 |
| Cattle kidney                         | Z   | 0.02 |
| Cattle kidney                         | M55 | 0.02 |
| Cattle muscle                         | Е   | 0.01 |
| Cattle muscle                         | Z   | 0.01 |
| Cattle muscle                         | M55 | 0.01 |
| Milk                                  | E   | 0.01 |
| Milk                                  | Z   | 0.01 |
| Milk                                  | M55 | 0.01 |
| · · · · · · · · · · · · · · · · · · · |     |      |

Fluoxastrobin residues (fluoxastrobin *E* and *Z*, deschlorophenyl-fluoxastrobin [M48] ( and fluoxastrobin carboxylic acid [M40]) in soil were determined by extracted with acetonitrile/water and the resulting extracts analysed by HPLC-MS/MS. The limits of determination were:

| Matrix | analyte | LOQ (mg/kg) |
|--------|---------|-------------|
| Soil   | Е       | 0.005       |
|        | Z       | 0.005       |
|        | M48     | 0.005       |
|        | M40     | 0.0045      |

Fluoxastrobin residues (fluoxastrobin *E* and *Z*) in water were determined by direct injection into a HPLC-MS/MS). The limits of determination were:

| Matrix        | analyte | LOQ (mg/kg) |
|---------------|---------|-------------|
| Surface water | Е       | 0.05 μg/L   |
|               | Z       | 0.05 μg/L   |

<sup>‡</sup> Endpoints identified by EU-Commission as relevant for Member States when applying the Uniform Principles



#### fluoxastrobin (rev 2007)

#### **Appendix 1 – list of endpoints**

Air (principle of method and LOQ)

Fluoxastrobin residues fluoxastrobin (E isomer only) in air were determined by solid phase extraction and the resulting eluants analysed by HPLC-UV-DAD. The limit of determination was 4  $\mu g/m^3$ .

Body fluids and tissues (principle of method and LOQ)

No methods of analysis were submitted or required, as fluoxastrobin is not classified as toxic.

#### Classification and proposed labelling (Annex IIA, point 10)

| with | regard | to: | phy | sical | /c | hemical | data |
|------|--------|-----|-----|-------|----|---------|------|
|      |        |     |     |       |    |         |      |

| N | one |
|---|-----|
| Ν | one |

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#### fluoxastrobin (rev 2007)

**Appendix 1 – list of endpoints** 

#### Appendix 1.3: Impact on Human and Animal Health

#### Absorption, distribution, excretion and metabolism in mammals (Annex IIA, point 5.1)

| Rate and extent of absorption ‡ | 80—92% within 24-30h (ca. 80% biliary, |
|---------------------------------|--|
|                                 | remainder in urine)                    |

Metabolism in animals ‡ Extensively metabolised (< 10% of administered dose recovered as parent at low dose). 50 metabolites identified.

Toxicologically significant compounds ‡ (animals, plants and environment)

Fluoxastrobin. Metabolite 48 is not considered as toxicologically relevant; metabolites M34, M39, M40, M41, M57, M70, M72 and M82 are present in wheat but not in rat metabolism: no toxicity data available – considered as toxicologically relevant

#### Acute toxicity (Annex IIA, point 5.2)

| Rat LD <sub>50</sub> oral ‡ | > 2000 mg/kg bw |
|-----------------------------|-----------------|
|-----------------------------|-----------------|

Rat LD<sub>50</sub> dermal 
$$\ddagger$$
 > 2000 mg/kg bw

Rat 
$$LC_{50}$$
 inhalation  $\ddagger$   $> 5$  mg/L Non-irritant

Skin sensitization ‡ (test method used and result)

Non-sensitiser (Magnusson and Kligman) in test with HEC 5725 of high purity (98.1% pure) and HEC 5725 (93.6%).

#### Short term toxicity (Annex IIA, point 5.3)

| Target / critical effect ‡ | Reduced body weight gain and increased serum alkaline phosphatase (critical effects in dogs) |
|----------------------------|--|
|                            | Liver (main target organ in dogs, mice and rats)   |
|                            | Kidney/urethra/bladder lesions (at high dose in  |
|                            | rats)  |

Lowest relevant oral NOAEL / NOEL ‡ 90-day, dog: 3 mg/kg bw/day based on two 90-day dog and 90 day time point in 1-year dog studies

1-year, dog: 1.5 mg/kg bw/d

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#### fluoxastrobin (rev 2007)

# **Appendix 1 – list of endpoints**

| Lowest relevant dermal NOAEL / NOEL ‡     | >1,000 mg/kg bw/d                 |
|---|-----------------------------------|
| Lowest relevant inhalation NOAEL / NOEL ‡ | No data submitted (none required) |
|   |                                   |
| Genotoxicity ‡ (Annex IIA, point 5.4)     |                                   |

#### Long term toxicity and carcinogenicity (Annex IIA, point 5.5)

| Target/critical effect ‡       | Reduced body weight gain in rats (= the critical effect) Liver (target organ in rats and mice) Altered calcium and phosphate metabolism (rats) |
|--------------------------------|--|
| Lowest relevant NOAEL / NOEL ‡ | 2-year, rat: 500 ppm (35 mg/kg bw/day)   |
| Carcinogenicity ‡              | No carcinogenic potential  |

#### Reproductive toxicity (Annex IIA, point 5.6)

| Reproductive toxicity (Annex IIA, point 5.6) |  |  |
|--|--|--|
| Reproduction target / critical effect ‡      | No adverse effects on reproductive outcome.  |  |
|  | Developmental effects ( reduced body weight gain, delayed development, reduced weight of thymus and spleen ) at parentally toxic dose                |  |
| Lowest relevant reproductive NOAEL / NOEL ‡  | Reproductive: 742-764 mg/kg bw/day   |  |
|  | Parental: 74-87 mg/kg bw/day.  |  |
| Developmental target / critical effect ‡     | Slight dilation of brain ventricles in rabbits at maternal toxic dose  |  |
|  | Minimal evidence for retarded skeletal ossification in rats (possible presence of altered maternal Ca/P homeostasis not investigated in this study). |  |
|  | No classification necessary.   |  |
| Lowest relevant developmental NOAEL / NOEL ‡ | Developmental and maternal (rat): 1000 mg/kg bw/d  |  |
|  | Maternal (rabbit): 25 mg/kg bw/day   |  |
|  | Developmental (rabbit): 100 mg/kg bw/day   |  |
|  |  |  |

# Neurotoxicity / Delayed neurotoxicity ‡ (Annex IIA, point 5.7)

| <br>Not neurotoxic in acute and subchronic studies in |
|---|
| rats  |

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#### fluoxastrobin (rev 2007)

**Appendix 1 – list of endpoints** 

| Other toxicological studies | ‡ | (Annex I | IA, po | int <b>5.8</b> ) |
|-----------------------------|---|----------|--------|------------------|
|-----------------------------|---|----------|--------|------------------|

Specific investigations in rats and mice did not show any immunotoxic effects.

In rats, phosphate and calcium homeostasis disturbed as a result of reduced phosphate absorption from gut. Relative phosphate deficiency counter-regulated by reduced renal excretion of phosphate and renal hyper-excretion of calcium. At high doses, increased calcium excretion and increased urinary pH led to calculi formation and other lesions of urinary system.

**Impurities** 

Impurities 7, 15, 20, 21 and 22: rat oral LD $_{50}$  >2500 mg/kg bw, not mutagenic in Ames test

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Impurity 23: rat oral  $LD_{50} > 300 < 500$  mg/kg bw, not mutagenic in Ames test

Metabolites

Metabolite 48: not mutagenic in Ames test. No other toxicological data available, however present in rat metabolism to 10-20% of the administered dose.

#### Medical data ‡ (Annex IIA, point 5.9)

.....

No detrimental effects on health in manufacturing personnel

#### **Summary (Annex IIA, point 5.10)**

ADI ‡

AOEL ‡

ARfD ‡ (acute reference dose)

| Value                 | Study  | Safety factor |
|-----------------------|--|---------------|
| 0.015 mg/kg<br>bw/day | Dog, 1-year study  | 100           |
| 0.03 mg/kg<br>bw/day  | Dog, 90-day<br>and 90-day<br>time point from<br>1-year studies | 100           |
| 0.3 mg/kg bw          | Dog, first week<br>of 90-day and<br>1-year studies             | 100           |

#### **Dermal absorption (Annex IIIA, point 7.3)**

HEC 5725 EC 100 (for use as a spray application in cereals)

4% for fluoxastrobin from the HEC5725 EC 100 formulation (concentrate and in-use dilution), based on an *in vivo* monkey study.

<sup>‡</sup> Endpoints identified by EU-Commission as relevant for Member States when applying the Uniform Principles

#### fluoxastrobin (rev 2007)

**Appendix 1 – list of endpoints** 

#### Acceptable exposure scenarios (including method of calculation)

The operator risk assessment has been conducted only on the representative use in cereals as a spray application. No risk assessment for the representative use as a seed treatment in cereals is available in the DAR.

Operator HEC 5725 EC 100 is an aqueous suspension (containing. 1.5 mg a.s./ml of diluted formulation) with a maximum application rate of 200 g fluoxastrobin/ha. Estimates using the German model indicate that the level of systemic exposure to fluoxastrobin for an unprotected operator is below the AOEL of 0.03 mg/kg bw/day (34%). UK POEM estimates predict that the level of systemic exposure to fluoxastrobin is equivalent to 29% of the AOELwhen suitable protective gloves are worn when handling the concentrate (in addition to a faceshield due to the classification of the product as an eye irritant) and when handling contaminated surfaces. Workers Worst case estimates using the German worker reentry model together with published transfer coefficient data, indicate that the level of systemic exposure is equivalent to 8% of the proposed systemic AOEL of 0.03 mg/kg bw/day. **Bystanders** On the basis of generic simulated monitoring data, the level of systemic bystander exposure to fluoxastrobin is equivalent to 0.5% of the proposed systemic AOEL of 0.03 mg/kg bw/day.

#### Classification and proposed labelling (Annex IIA, point 10)

| with regard to | toxico | iogicai | data |
|----------------|--------|---------|------|
|----------------|--------|---------|------|

None

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#### Appendix 1.4: Residues

#### Metabolism in plants (Annex IIA, point 6.1 and 6.7, Annex IIIA, point 8.1 and 8.6)

| Plant groups covered                              | Cereals                                   |
|---|---|
| Rotational crops                                  | Wheat, Turnip and Swiss Chard             |
| Plant residue definition for monitoring           | Fluoxastrobin and Z-isomer (cereals only) |
| Plant residue definition for risk assessment      | Fluoxastrobin and Z-isomer (cereals only) |
| Conversion factor (monitoring to risk assessment) | 1   |

#### Metabolism in livestock (Annex IIA, point 6.2 and 6.7, Annex IIIA, point 8.1 and 8.6)

| Animals covered                                   | Lactating goat and hen   |
|---|--|
| Animal residue definition for monitoring          | Sum of fluoxastrobin, Z-isomer and the phenoxy-hydroxy-pyrimidine metabolite (M55) expressed as fluoxastrobin        |
| Animal residue definition for risk assessment     | Sum of fluoxastrobin, Z-fluoxastrobin and the phenoxy-hydroxy-pyrimidine metabolite (M55) expressed as fluoxastrobin |
| Conversion factor (monitoring to risk assessment) | 1  |
| Metabolism in rat and ruminant similar (yes/no)   | Yes  |
| Fat soluble residue: (yes/no)                     | No, based on partition coefficient.  |

#### Residues in succeeding crops (Annex IIA, point 6.6, Annex IIIA, point 8.5)

No data were submitted or required, due to residues of parent and individual metabolites in rotational crops being less than 0.1 mg/kg in the rotational crop metabolism study, with the exception of fluoxastrobin and fluoxastrobin-4-hydroxyphenyl in wheat straw planted in 30 and 162 aged soil. However, as fluoxastrobin is for use on cereals, it is unlikely that residues of fluoxastrobin in the soil would contribute significantly to the residue in following cereal crops treated with fluoxastrobin for the following reasons:

the metabolism study was conducted at 2N radiolabelled fluoxastrobin was applied to bare soil instead of a crop
the proposed application being three treatments and

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#### fluoxastrobin (rev 2007)

**Appendix 1 – list of endpoints** 

not one as in the metabolism study – seedtreatment (12 months before following crop planted) and two foliar treatments at GS 32 (5 months year before following crop planted) and 69 (3 months before following crop planted).

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| Stability of residues (Annex IIA, point 6 introduction, Annex IIIA, point <u>8</u> introduction) |  |  |
|--|--|--|
|  | Residues of fluoxastrobin are stable for up to 31 months in tomatoes, lettuce, wheat forage, wheat |  |
|  | grain, wheat straw and potatoes.   |  |

#### Residues from livestock feeding studies (Annex IIA, point 6.4, Annex IIIA, point 8.3)

| Intakes by livestock ≥ 0.1 mg/kg diet/day: | Ruminant:   | Poultry: | Pig: |
|--|---|----------|------|
|  | yes   | no       | no   |
| Muscle                                     | Mean residue = 0.01 mg/kg<br>Highest residue = 0.01 mg/kg | -        | -    |
| Liver                                      | Mean residue = 0.02 mg/kg<br>Highest residue = 0.02       | -        | -    |
| Kidney                                     | Mean residue = 0.04 mg/kg<br>Highest residue = 0.05 mg/kg | -        | -    |
| Fat  | Mean residue = 0.01<br>Highest residue = 0.02             | -        | -    |
| Milk                                       | Mean residue = 0.01<br>Highest residue = 0.01             | -        | -    |
| Eggs                                       | -   | -        | -    |

#### Summary of critical residues data (Annex IIA, point 6.3, Annex IIIA, point 8.2)

| Crop                   | Northern or<br>Mediterranean<br>Region | Trials results relevant to the critical GAP  (a)  | Recommen dation/com ments | MRL  | STMR (b) |
|------------------------|--|---|---------------------------|------|----------|
| Wheat and rye<br>Grain | N<br>S                                 | 8x<0.02<br>7x<0.02, 1x0.02  | Acceptable                | 0.05 | < 0.02   |
| Wheat straw            | N<br>S                                 | 0.14, 0.28, 0.33, 0.61,<br>0.64, 0.79, 0.92, 0.97<br>0.50, 0.61, 0.70, 0.71,<br>0.81, 1.2, 2.7, 6.0 |                           |      | 0.63     |

<sup>‡</sup> Endpoints identified by EU-Commission as relevant for Member States when applying the Uniform Principles



#### fluoxastrobin (rev 2007)

#### **Appendix 1 – list of endpoints**

| Crop         | Northern or<br>Mediterranean<br>Region | Trials results relevant to the critical GAP  (a) | Recommen dation/com ments | MRL | STMR (b) |
|--------------|--|--|---------------------------|-----|----------|
| Barley grain | N                                      | 3x<0.02, 1x0.02, 3x0.03,<br>1x0.04               | Acceptable                | 0.5 | 0.05     |
|              | S                                      | 1x<0.02, 1x0.02, 1x0.05,<br>1x0.24, 1x0.27       |                           |     |          |
| Barley straw | N                                      | 0.14, 0.15, 0.26, 0.37,<br>0.5, 1.1, 1.7, 2.8    |                           |     | 0.44     |
|              | S                                      | 0.25, 0.79, 2.6, 4.7, 11                         |                           |     | 2.6      |

<sup>(</sup>a) Numbers of trials in which particular residue levels were reported e.g.  $3 \times 0.01$ ,  $1 \times 0.01$ ,  $6 \times 0.02$ ,  $1 \times 0.04$ ,  $1 \times 0.08$ ,  $2 \times 0.1$ ,  $2 \times 0.15$ ,  $1 \times 0.17$ 

#### Consumer risk assessment (Annex IIA, point 6.9, Annex IIIA, point 8.8)

| ADI                          | 0.015   |
|------------------------------|---|
| TMDI (European Diet) (% ADI) | < 3% <sup>9</sup> (adults)                      |
| NEDI (% ADI)                 | < 10% <sup>10</sup> (including infant, toddler) |
| Factors included in NEDI     | STMRs   |
| ARfD                         | 0.3   |
| Acute exposure (% ARfD)      | < 1%  |

#### Processing factors (Annex IIA, point 6.5, Annex IIIA, point 8.4)

| Crop/processed crop  | Number of studies | Transfer factor | % Transference * |
|----------------------|-------------------|-----------------|------------------|
| Barley/Barley rub    | 2                 | 3               |                  |
| Barley/Pearl barley  | 2                 | 1*              |                  |
| Barley/Malted sprout | 2                 | 1.6             |                  |
| Barley/Brewers malt  | 2                 | 1.6             |                  |
| Barley/Brewers grain | 2                 | 1.5             |                  |
| Barley/Hops draft    | 2                 | 1*              |                  |
| Barley/Brewers yeast | 2                 | 1*              |                  |

<sup>&</sup>lt;sup>9</sup> intake of M48 through drinking water not included, estimated <1% ADI of fluoxastrobin for adults

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<sup>(</sup>b) Supervised Trials Median Residue i.e. the median residue level estimated on the basis of supervised trials relating to the critical GAP

<sup>10</sup> intake of M48 through drinking water not included, estimated <4% ADI of fluoxastrobin for infant/toddler

<sup>‡</sup> Endpoints identified by EU-Commission as relevant for Member States when applying the Uniform Principles

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#### fluoxastrobin (rev 2007)

### **Appendix 1 – list of endpoints**

| Crop/processed crop | Number of studies | Transfer factor | % Transference * |
|---------------------|-------------------|-----------------|------------------|
| Barley/Beer         | 2                 | 1*              |                  |

Limit of determination (0.05 mg/kg) was greater than the residue in the barley (0.03-0.04 mg/kg)

### Proposed MRLs (Annex IIA, point 6.7, Annex IIIA, point 8.6)

| Commodity | Proposed MRL (mg/kg) |
|-----------|----------------------|
|           |                      |
| Wheat     | 0.05                 |
| Barley    | 0.5                  |
| Rye       | 0.05                 |
| Milk      | 0.02                 |
| Meat      | 0.02                 |
| Meat fat  | 0.05                 |
| Kidney    | 0.1                  |
| Liver     | 0.05                 |

<sup>\*)</sup> LOQ

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<sup>\*</sup> Calculated on the basis of distribution in the different portions, parts or products as determined through balance studies

<sup>‡</sup> Endpoints identified by EU-Commission as relevant for Member States when applying the Uniform Principles

# Appendix 1.5: Fate and Behaviour in the Environment

#### Route of degradation (aerobic) in soil (Annex IIA, point 7.1.1.1.1)

| Mineralization after 100 days ‡   | 0.7-10% after 91-98 days ring 3 label (n = 4)<br>3.6-34.1% after 91 days ring 2 label (n=2)      |
|---|--|
| Non-extractable residues after 100 days ‡                                   | 13.4-69.3% after 91-98 days ring 3 label (n = 4)<br>10.7-34.8 % after 91 days ring 2 label (n=2) |
| Relevant metabolites - name and/or code, % of applied ‡ (range and maximum) | Major metabolites (>10% AR) M48 18.9-30.2 % after 30-270 days ring 3 label (n = 4)               |
|   | M48 10.3-32.2% after 30-365 days ring 2 label (n=2)  |
|   | 2-chlorophenol: calculated 49.2 % . Estimation based on worst case assumptions.                  |

# Route of degradation in soil - Supplemental studies (Annex IIA, point 7.1.1.1.2)

| Non standard anaerobic water sediment study using ring 3 label showed:  |
|---|
| Negligible mineralisation after 91 days   |
| 23.4% unextracted residues after 91 days  |
| M40 seen at 12.7% in total system after 120 days  |
| 2.3-4.4% mineralisation after 15 days irradiation ring 2 label (n = 2)  |
| 4.8% mineralisation after 15 days irradiation ring 3 label (n=1)  |
| 8.3-8.6% unextracted residue after 15 days ring 2 label (n=2)   |
| 10.3% unextracted residue after 15 days ring 3 label (n=1)  |
| Z-isomer of fluoxastrobin increased to 17.1% after 15 days ring 3 label and 16.4-22.2% after 15 days ring 2 label.  |
| Overall the effect of light on degradation in the environment is likely to be low as the photolytic $DT_{50}s$ of sum of E+Z isomers are not significantly different to dark control $DT_{50}s$ . |
|   |

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<sup>‡</sup> Endpoints identified by EU-Commission as relevant for Member States when applying the Uniform Principles



#### fluoxastrobin (rev 2007)

**Appendix 1 – list of endpoints** 

#### Rate of degradation in soil (Annex IIA, point 7.1.1.2, Annex IIIA, point 9.1.1)

Method of calculation

Parent: first order kinetics (Model Maker) for both lab and field data

M48: first order kinetics (ACSL Optimize 1996) for lab data; insufficient data from field

2-chlorophenol: first order kinetic based on data from open scientific literature. Relatively high uncertainty.

Laboratory studies  $\ddagger$  (range or median, with n value, with  $r^2$  value)

DT<sub>50lab</sub> (20°C, aerobic): ‡

parent: 12-356 days (n=6,  $r^2 = 0.87-0.99$ )

M48: 33.8-99.8 days (n=3,  $r^2 = 0.96-0.99$ )

M40: 10.9-25.1 days (n=3,  $r^2 = 0.97-0.99$ )

2-chlorophenol: 0.6-23 days (n = 5, high uncertainty associated to the lack of information on experimental and fitting procedures employed in

the published studies)

For FOCUSgw modelling for M48-

Geometric mean 54.2 days used (Not normalised for soil moisture)

DT<sub>90lab</sub> (20°C, aerobic): ‡

parent: 40-1180 days (n=6,  $r^2 = 0.87-0.99$ )

M48: 113-333 days (n = 3,  $r^2$  = 0.96-0.99)

M40: 36-84 days (n=3,  $r^2 = 0.97-0.99$ )

 $DT_{50lab}$  (10°C, aerobic) parent: ‡ From 20°C aerobic values above as 26.4-783.2 days using  $Q_{10}$  of 2.2.

DT<sub>50lab</sub> (20°C, anaerobic): ‡ Anaerobic data not

required for intended use.

Non-standard anaerobic water sediment study showed DT<sub>50</sub> (first order) as 146 days

degradation in the saturated zone: ‡

not submitted, not required.

Field studies ‡ (state location, range or median with n value)

DT<sub>50f</sub> (Parent): ‡

Northern Europe Southern Europe

16-119 days (n=6) 77-97 days (n=2)

For FOCUSgw modelling for parent -

Used geometric mean from all studies 39.1 days normalised to 20°C (56% transformed to M48 with 44% transformed to other compounds).

<sup>‡</sup> Endpoints identified by EU-Commission as relevant for Member States when applying the Uniform Principles

#### fluoxastrobin (rev 2007)

#### **Appendix 1 – list of endpoints**

DT<sub>90f</sub> (Parent): ‡

Northern Europe Southern Europe 54-395 days (n=6) 256-323 days (n=2)

Soil accumulation and plateau concentration ‡

Fluoxastrobin could accumulate if used year on year. Plateau concentration after three years worth of applications is calculated as 0.032 mg/kg assuming a DT<sub>50</sub> of 119 days and a total annual application of 97.5 g/ha (seed treatment plus 2 foliar treatments with crop interceptions of 50% and 70% respectively)

#### Soil adsorption/desorption (Annex IIA, point 7.1.2)

 $K_{\rm f}/K_{\rm oc}$  ‡  $K_{\rm d}$  ‡  $pH \ dependence \ \ddagger \ (yes\ /\ no) \ (if \ yes \ type \ of \ dependence)$ 

 $K_{oc}$  parent 424-1582 (mean 848, 1/n=0.84-0.87 mean 0.86, n=4)

M48 14-181.5 (mean 60.25, 1/n = 0.92-0.98, mean 0.95 n =4)

M40 37-87 (mean 59, 1/n = 0.86-0.95, mean 0.9, n = 4)

2-chlorophenol:  $\frac{100 - 155}{100 - 155}$  (mean 128,  $\frac{1}{n} = 0.74 - 0.80$ , mean = 0.76, n = 4)

M48 adsorption appeared to decrease with increasing soil pH. No evidence that parent, M40 or 2-chlorophenol adsorption influenced by soil pH.

For FOCUSgw modelling-

Mean values used. For M48 and high pH soils,  $K_{oc}$  14, 1/n = 0.94 is appropriate.

#### Mobility in soil (Annex IIA, point 7.1.3, Annex IIIA, point 9.1.2)

Column leaching ‡

No data submitted, not required as satisfactory batch sorption data are available.

Aged residues leaching ‡

No data submitted, batch adsorption and modelling used to address this area.

Lysimeter/ field leaching studies ‡

No data submitted, batch adsorption and modelling used to address this area.

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#### PEC (soil) (Annex IIIA, point 9.1.3)

#### **Parent**

Method of calculation

Application rate

#### **Parent**

DT<sub>50</sub>: 119 days Kinetics: 1<sup>st</sup> order

Field or lab: Representative worst case from field studies and accumulated soil residue from previous years. 18314732, 2005, 8, Downloaded from https://efsa.onlinelibrary.wiley.com/doi/10.2903/j.efsa.2005.102r by University College London UCL Library Services, Wiley Online Library on [16.05/2025]. See the Terms

#### M48

Field or lab: Representative worst case from field studies when formed at 6.3% of total dose 89-258 days after application.

#### 2-chlorophenol

Based on worst case of instantaneous and 100% formation from parent and molecular weight correction factor of 0.280 based on molecular mass of 458.8 g/mol for fluoxastrobin and 128.6 g/mol for 2-chlorophenol. DT<sub>50</sub> 23 days. Calculation based on foliar application GAP only.

Crop: Cereals

Seed treatment : pre-emergence therefore no crop interception

Foliar treatment: 1<sup>st</sup> at 50% crop interception and 2<sup>nd</sup> at 70% crop interception

Number of applications = 1 seed treatment plus 2 foliar

Interval 182 days between seed treatment and 1<sup>st</sup> foliar and 14 days between the 2 foliar treatments.

Application rate: 17.25 g/ha (seed treatment) plus 2 x 200 g/ha (foliar treatment)

| $\begin{aligned} \textbf{PEC}_{(s)} \\ (mg/kg) \end{aligned}$ | Multiple application | Multiple application  | Multiple application | Multiple application          | Multiple application          |
|---|----------------------|-----------------------|----------------------|-------------------------------|-------------------------------|
|   | Parent<br>Actual     | Time weighted average | Actual               | Actual                        | Time weighted average         |
|   | Parent               | Parent                | Metabolite<br>M48    | Metabolite 2-<br>chlorophenol | Metabolite 2-<br>chlorophenol |
| Initial   | 0.242                | 0.242                 |                      | 0.047                         | 0.047                         |
| Short term 24h  | 0.241                | 0.242                 |                      | 0.045                         | 0.046                         |
| 2d  | 0.240                | 0.241                 |                      | 0.044                         | 0.045                         |
| 4d  | 0.237                | 0.240                 | -                    | 0.042                         | 0.044                         |

<sup>‡</sup> Endpoints identified by EU-Commission as relevant for Member States when applying the Uniform Principles



#### fluoxastrobin (rev 2007)

### Appendix 1 – list of endpoints

| Long term 7d | 0.233 | 0.238 |        | 0.038 | 0.042 |
|--------------|-------|-------|--------|-------|-------|
| 89d          | 0.206 | 0.224 | 0.0149 |       |       |
| 100d         | 0.135 | 0.184 |        | 0.002 | 0.015 |
| 258d         | 0.054 | 0.125 | 0.0149 |       |       |

#### PEC (s) (maximum) 2-chlrophenol: 0.033mg/kg

#### Route and rate of degradation in water (Annex IIA, point 7.2.1)

Hydrolysis of active substance and relevant metabolites ( $DT_{50}$ )  $\ddagger$  (state pH and temperature)

Photolytic degradation of active substance and relevant metabolites ‡

Readily biodegradable (yes/no)

Degradation in water/sediment

- DT<sub>50</sub> water ‡
- DT<sub>90</sub> water ‡
- DT<sub>50</sub> whole system ‡
- DT<sub>90</sub> whole system ‡

Mineralization

Non-extractable residues

Distribution in water / sediment systems (active substance) ‡

Distribution in water / sediment systems (metabolites) ‡

pH 4: Stable to hydrolysis

pH 7: Stable to hydrolysis

pH 9: Stable to hydrolysis

Artificial radiation was equated to summer days in Athens (Greece, 38°N): fluoxastrobin DT<sub>50</sub>s: 33 days (ring 1) and 30 days (ring 3).

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Under sterile conditions M36 formed at 23.6% (ring 1) and 17.1% (ring 3) after 8 days continuous experimental irradiation.

In a microbially active aqueous photolysis laboratory study with sediment present M36 was not detectable.

No data submitted, therefore not readily biodegradable.

26-42 days

85-140 days (1st order, r2 = 0.97-0.98, n=2)

Modelled water degradation (excludes dissipation through partitioning to sediment).

144-182 days

477-603 days (1st order, r2=0.94, n=2)

1.4-2.4% AR after 101 days (n=2)

10.7-11.0% AR (at 101 days, n=2)

Maximum of 60.4-73.3% AR in sediment after 14 days (n=2).

M48 2.6-15.9% AR in water after 122 days (n=2) 2-chlorophenol: concomitant to M48, amounts above 10 % cannot be precluded.

<sup>‡</sup> Endpoints identified by EU-Commission as relevant for Member States when applying the Uniform Principles

fluoxastrobin (rev 2007)

**Appendix 1 – list of endpoints** 

#### PEC (surface water) (Annex IIIA, point 9.2.3)

#### Parent and metabolite M48

Method of calculation

#### **Parent**

Water degradation  $DT_{50}$  42 days (normalised for N and S Europe using representative temperature profiles)

Kinetics: first order.

Representative worst case from laboratory water sediment studies.

Adsorption and desorption to sediment was modelled based on data from the soil adsorption study (mean Koc 848ml/g, 1/n =0.86)

#### **M48**

Max total dose (400g/ha) rate assumed, with 2.38% spray drift of parent into water used. Peak M48 seen in laboratory water sediment studies used to predict amount of M48 formed from parent with factor used to correct for molecular weight difference.

#### 2-chlorophenol

FOCUSsw Step 2.

Assumed 100% conversion from parent in soil, 0.01% in water/sediment.

DT<sub>50</sub> in soil 23 days

DT<sub>50</sub> in water, sediment, whole system assumed to be 999 days;

Kom 71 ml/g (equiv to Koc of 122 ml/g), 1/n 0.9. GAP assumed 2 x 200 g a.s./ha with 14 day interval to spring cereals. Northern and Southern Europe applications in March – May with average crop cover.

Southern Europe PEC values for 2-chlorophenol were highest and are presented

Crop: cereals

Number of application: 2 foliar application applications of 200g/ha with 14 day application interval.

Water body assumptions: volume of 30m<sup>3</sup>, with 5 cm of sediment having a bulk density of 0.8 g/cm<sup>3</sup> and an oc% of 5%. Worst case assumption that no incoming water from upstream.

Application rate

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#### **Appendix 1 – list of endpoints**

Main routes of entry

Drift rate of 2.77% at 1m used even though calculations assumed 2 applications [This is more worst case than the agreed EU rules where 1x2.77% would apply, (2x2.38% gives a lower calculated concentration than 1x 2.77%)].

Drift rate of 0.2% at 15m again calculations assumed 2 applications.

Note: PECsw from drainflow route of entry are presented in the DAR based on the UK (PSD) scheme.

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No PECsw from run off route of entry are calculated.

| $\mathbf{PEC}_{(sw)}$ (µg/L) | Northern<br>Europe<br>2 x Foliar<br>applications<br>(1m buffer)<br>Actual | Northern Europe 2 x Foliar applications (1m buffer) Time weighted average | Southern Europe 2 x Foliar applications (1m buffer) Actual | Southern Europe 2 x Foliar applications (1m buffer) Time weighted average | 2 x Foliar<br>applications<br>M48 |
|------------------------------|---|---|--|---|-----------------------------------|
| Initial                      | 2.12  | 2.12  | 2.11   | 2.11  | 0.38                              |
| Short term 24h               | 1.71  | 1.91  | 1.71   | 1.91  |                                   |
| 2d                           | 1.32  | 1.71  | 1.31   | 1.71  |                                   |
| 4d                           | 0.54  | 1.32  | 0.53   | 1.31  |                                   |
| Long term 7d                 | 0.54  | 1.03  | 0.53   | 1.02  |                                   |
| 14d                          | 0.53  | 0.80  | 0.52   | 0.79  |                                   |
| 21d                          | 0.52  | 0.71  | 0.51   | 0.70  |                                   |
| 28d                          | 0.52  | 0.67  | 0.50   | 0.66  |                                   |
| 42d                          | 0.50  | 0.61  | 0.48   | 0.60  |                                   |

| PEC <sub>(sw)</sub> (μg/L) | Northern<br>Europe      | Northern<br>Europe          | Southern<br>Europe      | Southern<br>Europe          |
|----------------------------|-------------------------|-----------------------------|-------------------------|-----------------------------|
|                            | 2 x Foliar applications | 2 x Foliar applications     | 2 x Foliar applications | 2 x Foliar applications     |
|                            | (5m buffer)             | (5m buffer)                 | (5m buffer)             | (5m buffer)                 |
|                            | Actual                  | Time<br>weighted<br>average | Actual                  | Time<br>weighted<br>average |
| Initial                    | 0.44                    | 0.44                        | 0.43                    | 0.43                        |
| Long term 14d              | 0.11                    | 0.16                        | 0.11                    | 0.16                        |

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#### fluoxastrobin (rev 2007)

# Appendix 1 – list of endpoints

| PEC <sub>(sw)</sub> (μg/L) | Northern Europe 2 x Foliar applications (15m buffer) Actual | Northern Europe 2 x Foliar applications (15m buffer) Time weighted average | Southern Europe 2 x Foliar applications (15m buffer) Actual | Southern Europe 2 x Foliar applications (15m buffer) Time weighted average |
|----------------------------|---|--|---|--|
| Initial                    | 0.15  | 0.15   | 0.15  | 0.15   |
| Long term 14d              | 0.04  | 0.06   | 0.04  | 0.06   |

| PEC <sub>(sw)</sub> (μg/L) | Northern<br>Europe<br>2 x Foliar<br>applications<br>(5m buffer)<br>Actual | Northern Europe 2 x Foliar applications (5m buffer) Time weighted average | Southern Europe 2 x Foliar applications (5m buffer) Actual | Southern Europe 2 x Foliar applications (5m buffer) Time weighted average |
|----------------------------|---|---|--|---|
| Initial                    | 0.44  | 0.44  | 0.43   | 0.43  |
| Long term 14d              | 0.11  | 0.16  | 0.11   | 0.16  |

| PEC <sub>(sw)</sub> (μg/L)  FOCUS SW Step 2 2chlorophenol | Southern Europe 2 x Foliar applications Actual | Southern Europe 2 x Foliar applications Time weighted average |
|---|--|---|
| Initial   | 1.788  | -   |
| Short term 24h  | 1.787  | 1.787   |
| 2d  | 1.786  | 1.787   |
| 4d  | 1.783  | 1.786   |
| Long term 7d  | 1.779  | 1.784   |
| 14d   | 1.771  | 1.779   |
| 21d   | 1.762  | 1.775   |
| 28d   | 1.754  | 1.771   |
| 42d   | 1.737  | 1.762   |

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#### **Appendix 1 – list of endpoints**

#### **PEC** (sediment)

#### **Parent**

| Method of calculation | Spray drift to surface water 2.38%. Even incorporation into 5 cm of sediment, sediment density of 1.3 g cm3 and no dissipation between applications was assumed. Maximum % fluoxastrobin seen in the sediment/water studies was 73.3% after 14 days dropping to 58.1% after 122 days. |  |  |
|-----------------------|---|--|--|
| Application rate      | Cereals.  2 foliar applications at 200 g/ha.  14 day application interval into a 30 cm deep stationary water body.  |  |  |
| PEC <sub>(sed)</sub>  | 2 x foliar applications   |  |  |

| PEC <sub>(sed)</sub> | 2 x foliar applications    |  |
|----------------------|----------------------------|--|
| $(\mu g/kg)$         | Parent                     |  |
|                      | Actual                     |  |
| Initial              |                            |  |
| Short term           | Peak of 10.7 after 14 days |  |
| Long term            | Study end (122 days): 7.6  |  |

Assuming no dissipation between foliar applications (2 x 200 g/ha), 2.38% drift at 1m to a 30 m deep static water body the pseudo PECsw for use in the sediment dweller risk assessment is 3.17  $\mu g$  /L.

| $\mathbf{PEC}_{(\mathrm{sed})}$ | Southern Europe         | Southern Europe         |
|---------------------------------|-------------------------|-------------------------|
| (µg kg <sup>-1</sup> dw)        | 2 x Foliar applications | 2 x Foliar applications |
|                                 | Actual                  | Time weighted average   |
| FOCUS SW Step 2                 |                         |                         |
| <b>2chlorophenol</b>            |                         |                         |
| Initial                         | 2.181                   | -                       |
| Short term 24h                  | 2.180                   | 2.181                   |
| 2d                              | 2.178                   | 2.180                   |
| 4d                              | 2.175                   | <mark>2.178</mark>      |
| Long term 7d                    | 2.171                   | 2.176                   |
| 14d                             | 2.160                   | <b>2.171</b>            |
| 21d                             | 2.150                   | <b>2.166</b>            |
| 28d                             | 2.139                   | 2.160                   |
| 42d                             | 2.119                   | 2.150                   |

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#### fluoxastrobin (rev 2007)

**Appendix 1 – list of endpoints** 

#### PEC (groundwater) (Annex IIIA, point 9.2.1)

Method of calculation and type of study (e.g. modelling, monitoring, lysimeter)

Application rate

Parent and M48: Modelling using FOCUS PELMO 1.1.1. Cereals all scenarios.

**2-chlorophenol**: Modelling using FOCUS PEARL 2.2.2. Winter cereal all scenarios.

Parent and M48: Cereals: autumn application of 17.5 g/ha (Sept/Oct depending on scenario) with 2 foliar applications in Spring each of 200 g/ha (March/April). 0% interception for the seed treatment and interception rates of 50% and 70% for the first and second foliar treatments respectively.

Assumed 3 treatments to cereals every year.

#### **2-chlorophenol**:

Foliar treatment only, no seed treatment. Winter cereals two applications of parent in Spring (15<sup>th</sup> [GS 29/30, 50 % interception] and 30<sup>th</sup> April [GS 31-69, 70% interception]) at 200 g a.s./ha with a 14 day interval.

 $DT_{50} = 23 d$ 

Formation fraction (from parent): 100 %

Koc = 122 mL/g (Kom = 71.2 mL/g)

1/n = 0.9

PEC(gw)

Maximum concentration

Average annual concentration

(Results quoted for modelling with FOCUSgw scenarios, according to FOCUS guidance)

--

FOCUS defined 80<sup>th</sup> percentile 1m annual average concentrations for the proposed use on cereals:

parent:  $<0.001 \mu g/L$ .

M48: 0.002-3.65 µg/L.

2-clorophenol: <0.001 μg/L (all scenarios).

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# PEC(gw) - FOCUS modelling results

| PEL           | Scenario     | PELMO 1.1.1 Parent             | Metabolite (µg/L)                                |  |
|---------------|--------------|--------------------------------|--|--|
| OM            |              | [DT <sub>50</sub> 39 days, Koc | PELMO 1.1.1 M48                                  | PELMO 3.3.2 M48  |
| PELMO /winter |              | 848ml/g, 1/n 0.86]<br>(µg/L)   | [DT <sub>50</sub> 54 days, Koc 60ml/g, 1/n 0.95] | [DT <sub>50</sub> 54 days, Koc 14ml/g, 1/n 0.94] pertinent for high pH soils |
|               | Chateaudun   | < 0.001                        | 0.260  | 2.46   |
| cereals       | Hamburg      | < 0.001                        | 0.986  | N/A (scenario top soil pH 6.4)   |
|               | Jokioinen    | < 0.001                        | 0.510  | N/A (scenario top soil pH 6.2)   |
|               | Kremsmunster | < 0.001                        | 0.629  | 3.65   |
|               | Okehampton   | < 0.001                        | 0.936  | N/A (scenario top soil pH 5.8)   |
|               | Piacenza     | < 0.001                        | 1.033  | 3.0  |
|               | Porto        | < 0.001                        | 0.036  | N/A (scenario top soil pH 4.9)   |
|               | Sevilla      | < 0.001                        | 0.002  | 0.58   |
|               | Thiva        | < 0.001                        | 0.106  | 1.2  |

# Fate and behaviour in air (Annex IIA, point 7.2.2, Annex III, point 9.3)

| Not submitted.  |
|---|
| Mean quantum yield of 0.00098 (E isomer).   |
| DT <sub>50</sub> of 9.9 hours in air derived by the Atkinson method of calculation assuming a global 12 hour concentration of OH radicals of 1.5 x 10 <sup>6</sup> radicals per cm <sup>3</sup> |
| from plant surfaces: ‡ Not submitted, not required.   |
| from soil: ‡  |
| Not submitted, not required.  |
|   |

| <b>PEC</b> | (air | ٠, |
|------------|------|----|
| ILC        | (an  | ,  |

Method of calculation

#### PEC<sub>(a)</sub>

Maximum concentration Negligible due to low vapour pressure and calculated DT<sub>50</sub>.

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#### fluoxastrobin (rev 2007)

**Appendix 1 – list of endpoints** 

#### **Definition of the Residue (Annex IIA, point 7.3)**

Relevant to the environment

#### Soil

Definitions for risk assessment: fluoxastrobin, Z-isomer of fluoxastrobin, M48-E, 2-chlorophenol, M40 (anaerobic metabolite).

Definitions for monitoring: fluoxastrobin, Z-isomer of fluoxastrobin, <del>2-chlorophenol (assessment needs to be finalized).</del>

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#### Water

#### Groundwater

Definitions for risk assessment: fluoxastrobin, Z-isomer of fluoxastrobin, M48-E, 2-chlorophenol,

Definitions for monitoring: fluoxastrobin, 2-chlorophenol (assessment needs to be finalized).

#### **Surface water**

Definitions for risk assessment: fluoxastrobin, Z-isomer of fluoxastrobin, M48-E, 2-chlorophenol, M-40 (anaerobic metabolite).

Definitions for monitoring: fluoxastrobin, Z-isomer of fluoxastrobin, <del>2 chlorophenol (assessment needs to be finalized).</del>

#### Air

Definitions for risk assessment: fluoxastrobin Definitions for monitoring: fluoxastrobin

#### Monitoring data, if available (Annex IIA, point 7.4)

Soil (indicate location and type of study)

Surface water (indicate location and type of study)

Groundwater (indicate location and type of study)

Air (indicate location and type of study)

New substance. Not available, not required.

#### Classification and proposed labelling (Annex IIA, point 10)

with regard to fate and behaviour data

Possible candidate for

R53 May cause long term adverse effects in the aquatic environment

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#### fluoxastrobin (rev 2007)

**Appendix 1 – list of endpoints** 

# Appendix 1.6: Effects on non-target Species

#### Effects on terrestrial vertebrates (Annex IIA, point 8.1, Annex IIIA, points 10.1 and 10.3)

| Acute toxicity to mammals ‡      | Rat $LD_{50}$ (oral) > 2000 mg a.s. /kg bw   |
|----------------------------------|--|
| Acute toxicity to birds ‡        | Rat NOEC 10,000 ppm a.s. in diet (742-764 mg a.s. /kg bw /day)   |
| Dietary toxicity to birds ‡      | Colinus virginianus (Bobwhite quail): $LD_{50} \ (oral) > 2000 \ mg \ a.s. \ / \ kg \ bw, \ NOEL \ 2000 \ mg \ a.s. \ / \ kg \ bw$ |
| Reproductive toxicity to birds ‡ | Colinus virginianus (Bobwhite quail):  |
|                                  | 5 day $LC_{50}$ (oral) > 5000 ppm a.s. in diet (966 mg a.s. /kg bw /day), NOEC 625 ppm a.s. in diet (151 mg a.s. /kg bw /day).     |
|                                  | Anas platyrhynchos (Mallard duck):   |
|                                  | 5 day $LC_{50}$ (oral) > 5000 ppm a.s. in diet (2194 mg a.s. /kg bw /day), NOEC 625 mg a.s. in diet (285 mg a.s. /kg bw /day).     |
| Reproductive toxicity to birds   | Colinus virginianus (Bobwhite quail)::   |
|                                  | NOEC 1000 ppm a.s. in diet (74 mg a.s. / kg bw / day)  |
|                                  | Anas platyrhynchos (Mallard duck):   |
|                                  | NOEC 461 ppm a.s. in diet (51 mg a.s. / kg bw / day)   |

#### Toxicity/exposure ratios for terrestrial vertebrates (Annex IIIA, points 10.1 and 10.3)

| Application rate (kg as/ha) | Crop                            | Category (e.g. insectivorous bird)  | Time-scale | TER   | Annex VI<br>Trigger |
|-----------------------------|---------------------------------|-------------------------------------|------------|-------|---------------------|
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Large (>100g)<br>herbivorous bird   | Acute      | > 186 | 10                  |
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Large (>100g)<br>herbivorous bird   | Short-term | > 112 | 10                  |
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Small (<100g)<br>insectivorous bird | Acute      | > 485 | 10                  |
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Small (<100g)<br>insectivorous bird | Short-term | > 862 | 10                  |
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Large (>100g)<br>herbivorous bird   | Long-term  | 10.3  | 5                   |

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#### fluoxastrobin (rev 2007)

#### Appendix 1 – list of endpoints

| Application rate (kg as/ha) | Crop                            | Category (e.g. insectivorous bird)    | Time-scale | TER    | Annex VI<br>Trigger |
|-----------------------------|---------------------------------|---------------------------------------|------------|--------|---------------------|
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Small (<100g) insectivorous bird      | Long-term  | 79.5   | 5                   |
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Small (<100g)<br>herbivorous mammal   | Acute      | > 62   | 10                  |
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Small (>100g)<br>herbivorous mammal   | Long-term  | 223    | 5                   |
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Small (<100g)<br>insectivorous mammal | Acute      | > 5141 | 10                  |
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Small (<100g)<br>insectivorous mammal | Long-term  | 18519  | 5                   |

### Toxicity data for aquatic species (most sensitive species of each group) (Annex IIA, point 8.2, Annex IIIA, point 10.2)

| Group                                      | Test substance          | Time-scale | Endpoint                              | Toxicity (mg/L)  |  |  |  |  |
|--|-------------------------|------------|---------------------------------------|------------------|--|--|--|--|
| Laboratory tests ‡                         |                         |            |                                       |                  |  |  |  |  |
| Oncorhychus mykiss<br>(Rainbow trout)      | Technical fluoxastrobin | Acute      | 96h LC <sub>50</sub>                  | 0.435 mg a.s./L  |  |  |  |  |
| Daphnia magna (water flea)                 | Technical fluoxastrobin | Acute      | 48h EC <sub>50</sub> (immobilisation) | 0.48 mg a.s./L   |  |  |  |  |
| Americamysis bahia (saltwater mysid)       | Technical fluoxastrobin | Acute      | 96h LC <sub>50</sub>                  | 0.0604 mg a.s./L |  |  |  |  |
| Gammarus pulex                             | Technical fluoxastrobin | Acute      | 48h EC <sub>50</sub> (immobilisation) | 0.15 mg a.s./L   |  |  |  |  |
| Pseudo-kirchneriella<br>subcapitata (alga) | Technical fluoxastrobin | Acute      | 72h EbC <sub>50</sub> (cell density)  | 0.35 mg a.s./L   |  |  |  |  |
| Lemna gibba                                | Technical fluoxastrobin | Acute      | 7 day ErC <sub>50</sub> (growth rate) | > 6.0 mg a.s./L  |  |  |  |  |
| Oncorhychus mykiss<br>(ELS)                | Technical fluoxastrobin | Long-term  | 95 day NOEC                           | 0.0286 mg a.s./L |  |  |  |  |
| Daphnia magna                              | Technical fluoxastrobin | Long-term  | 21 day NOEC                           | 0.18 mg a.s./L   |  |  |  |  |

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# Appendix 1 – list of endpoints

| Group   | Test substance               | Time-scale | Endpoint                              | Toxicity (mg/L)            |
|---|------------------------------|------------|---------------------------------------|----------------------------|
| Americamysis bahia<br>(saltwater mysid<br>shrimp)               | Technical fluoxastrobin      | Long-term  | 28 day NOEC                           | 0.00061 mg a.s./L          |
| Chironomus riparius (sediment dwelling midge)                   | Technical fluoxastrobin      | Long-term  | 28 day EC5<br>(development<br>rate)   | 1.2 mg a.s./L              |
| Oncorhychus mykiss<br>(Rainbow trout)                           | HEC 5725-<br>deschlorophenyl | Acute      | 96h LC <sub>50</sub>                  | > 102 mg<br>metabolite /L  |
| Daphnia magna   | HEC 5725-<br>deschlorophenyl | Acute      | 48h EC <sub>50</sub> (immobilisation) | > 100 mg<br>metabolite /L  |
| Pseudo-kirchneriella<br>subcapitata                             | HEC 5725-<br>deschlorophenyl | Acute      | 72h EbC <sub>50</sub> (cell density)  | 100 mg metabolite /L       |
| Oncorhychus mykiss  | HEC 5725-<br>carboxylic acid | Acute      | 96h LC <sub>50</sub>                  | > 95.7 mg<br>metabolite /L |
| Daphnia magna   | HEC 5725-<br>carboxylic acid | Acute      | 48h EC <sub>50</sub> (immobilisation) | > 100 mg<br>metabolite /L  |
| Pseudo-kirchneriella<br>subcapitata                             | HEC 5725-<br>carboxylic acid | Acute      | 72h EbC <sub>50</sub> (cell density)  | 115 mg metabolite /L       |
| Chironomus riparius   | HEC 5725-<br>carboxylic acid | Long-term  | 28 day EC5 (emergence)                | 28.4 mg<br>metabolite/L    |
| Pimephales promelas (fathead minnow) ELS                        | 2-chlorophenol               | Long-term  | 30 day NOEC                           | 4 mg metabolite/L          |
| <mark>Daphnia magna</mark>                                      | 2-chlorophenol               | Long-term  | 21 day NOEC                           | 0.3 mg<br>metabolite/L     |
| <mark>Selenastrum</mark><br>capricornutum                       | 2-chlorophenol               | Acute      | 96h ErC <sub>50</sub>                 | 70 mg<br>metabolite/L      |
| Oncorhychus mykiss  | HEC 5725 EC100               | Acute      | 96h LC <sub>50</sub>                  | 3.29 mg product/L          |
| Daphnia magna   | HEC 5725 EC100               | Acute      | 48h EC <sub>50</sub> (immobilisation) | 5.0 mg product/L           |
| Pseudo-kirchneriella<br>subcapitata                             | HEC 5725 EC100               | Acute      | 72h EbC <sub>50</sub> (cell density)  | 4.8 mg product/L           |
| Gammarus pulex (water sediment single species laboratory study) | HEC 5725 EC100               | Long-term  | 28 day NOEC                           | 0.0316 mg a.s./L           |

Microcosm or mesocosm tests

No data are available

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#### **Application** rate (kg as/ha) 400g a.s./ha (total dose) subcapitata Cereals (foliar 2830 10 400g a.s./ha Lemna gibba Acute 1 metre application x2) (total dose) 400g a.s./ha Cereals (foliar 13 10 **Oncorhychus** Long-1 metre application x2) mykiss term (total dose) 400g a.s./ha Cereals (foliar 85 10 Daphnia magna Long-1 metre application x2) term (total dose) Cereals (foliar $0.76^{\#}$ 10 400g a.s./ha Americamysis Long-1 metre application x2) bahia term 3.8# (total dose) 5 metres $10.2^{\#}$ 15 metres Cereals (foliar Long-14.9 10 400g a.s./ha Gammarus pulex 1 metre application x2) term (total dose) 5 metres 71.8 379 10 400g a.s./ha Cereals (foliar Chironomus Long-1 metre

term

riparius

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(total dose)

application x2)

Annex VI trigger can be reduced from 100 up to 10 due to the numbers of tested invertebrate species (8) permitting a reduction in the uncertainty factor applying to the lowest toxicity values (as per HARAP 1999 and SANCO 2002 aquatic ecotoxicology guidance).

<sup>&</sup>lt;sup>#</sup> Toxicity endpoint compared with 14 day t.w.a. PEC (other values based on comparison with initial PEC).

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## fluoxastrobin (rev 2007)

**Appendix 1 – list of endpoints** 

Aquatic life TERs from exposure to the soil metabolite 2-chlorophenol – based on a comparison of the supported aquatic life toxicity endpoints with the FOCUSsw Step 2 maximum PEC value:

Note: In the absence of adequately supported acute toxicity studies for fish and aquatic invertebrates, conservative acute endpoints for these have been derived from the results of the supported long-term studies based on the fact that the  $EC_{50}$  will be greater than the long-term NOEC.

| Application rate (kg as/ha) | Crop                            | Organism                     | Time-scale | TER   | Annex VI<br>Trigger |
|-----------------------------|---------------------------------|------------------------------|------------|-------|---------------------|
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Pimephales<br>promelas       | Acute      | >2237 | 100                 |
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Daphnia magna                | Acute      | >168  | 100                 |
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Selenastrum<br>capricornutum | Acute      | 39150 | 10-100*             |
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Pimephales<br>promelas       | Long-term  | 2237  | 10-100*             |
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Daphnia magna                | Long-term  | 168   | 10                  |

TERs from exposure to formulation (HEC 5725 EC100) - spray drift contamination at 1 metre (overall 90<sup>th</sup> percentile):

Application Annex VI Crop Organism Time-Distance TER scale rate (kg Trigger (m) product /ha) 4 litres /ha Cereals (foliar 100 Oncorhychus Acute 1 metre 178 application x2) mykiss (total dose) 100 4 litres /ha Cereals (foliar Daphnia magna 270 Acute 1 metre application x2) (total dose) 4 litres /ha Cereals (foliar Pseudo-Acute 1 metre 259 10 kirchneriella application x2) (total dose) subcapitata

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## fluoxastrobin (rev 2007)

## **Appendix 1 – list of endpoints**

TER from exposure to fluoxastrobin via drainflow contamination for the aquatic organism with the most sensitive toxicity endpoint:

| Application rate (kg as/ha) | Crop                            | Organism                                    | Time-<br>scale | TER | Annex VI<br>Trigger |
|-----------------------------|---------------------------------|---|----------------|-----|---------------------|
| 400g a.s./ha (total dose)   | Cereals (foliar application x2) | Americamysis bahia (saltwater mysid shrimp) | Long-<br>term  | 7.6 | 10                  |

Note: Above drainflow TER estimate uses the maximum (initial) drainflow PEC. Given that treatment related mortality effects were not evident in the 28-day chronic toxicity study until day 16, it is considered more appropriate to compare the mortality NOEC from this study with the 14 day twa drainflow PEC of 0.00001 mg a.s./L (from Section B.8.5.1.2). Using this latter value, the refined drainflow mysid shrimp TER in relation to long-term mortality effects is 61.

#### **Bioconcentration**

| Bioconcentration factor (BCF) ‡                                      | 52.1                                       |
|--|--|
| Annex VI Trigger:for the bioconcentration factor                     | 100  |
| Clearance time $(CT_{50})$ $(CT_{90})$                               | 0.41-0.45 days (whole fish) Not calculated |
| Level of residues (%) in organisms after the 14 day depuration phase | 95-96% depuration after 14 days            |

## Effects on honeybees (Annex IIA, point 8.3.1, Annex IIIA, point 10.4)

| Acute oral toxicity ‡    | 48h LD <sub>50</sub> (technical fluoxastrobin): > 843 μg |
|--------------------------|--|
| , r                      | a.s./bee   |
|                          | 48h LD <sub>50</sub> (HEC 5725 EC100): 255 μg product    |
|                          | /bee (equal to 25.5 µg a.s./bee)                         |
|                          | 96h LD <sub>50</sub> (HEC 5725 EC100): 144 μg product    |
|                          | /bee (equal to 14.4 µg a.s./bee)                         |
| Acute contact toxicity ‡ | 48h LD <sub>50</sub> (technical fluoxastrobin): > 200 μg |
|                          | a.s./bee   |
|                          | 48h LD <sub>50</sub> (HEC 5725 EC100): 297 μg product    |
|                          | /bee (equal to 29.7 µg a.s./bee)                         |

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## fluoxastrobin (rev 2007)

**Appendix 1 – list of endpoints** 

## Hazard quotients for honey bees (Annex IIIA, point 10.4)

| Application rate (kg as/ha) | Crop    | Route         | Hazard quotient | Annex VI<br>Trigger |
|-----------------------------|---------|---------------|-----------------|---------------------|
| Laboratory tests            |         |               |                 |                     |
| 200g a.s./ha                | Cereals | Oral (48h)    | <0.24 (active)  | 50                  |
|                             |         | Oral (48h)    | 8.7 (product)   | 50                  |
|                             |         | Oral (96h)    | 15.4 (product)  | 50                  |
|                             |         | Contact (48h) | <1.0 (active)   | 50                  |
|                             |         | Contact (48h) | 7.5 (product)   | 50                  |

Field or semi-field tests N/A

## Effects on other arthropod species (Annex IIA, point 8.3.2, Annex IIIA, point 10.5)

| Species   | Stage exposed   | Test<br>Substance                              | Dose (kg as/ha)           | Endpoint  | Effect (compared with untreated)  | Trigger value |
|---|-----------------|--|---------------------------|---|---|---------------|
| Laboratory tests                                  | 3               |  |                           |   |   |               |
| Aphidius<br>rhopalosiphi<br>(aphid<br>parasitoid) | Adult           | HEC 5725<br>EC100(glass<br>plate<br>substrate) | 80g a.s./ha               | Corrected<br>mortality<br>(2DAT)  | 58%<br>LR <sub>50</sub> 68.1g a.s./ha   | 50%           |
| Aphidius<br>rhopalosiphi<br>(aphid<br>parasitoid) | Adult           | HEC 5725<br>EC100 (leaf<br>substrate)          | 200g<br>a.s./ha           | Corrected<br>mortality<br>(2DAT)  | 82%<br>LR <sub>50</sub> 34.1g a.s./ha   | 50%           |
| Typhlodromus pyri (predatory mite)                | Proto-<br>nymph | HEC 5725<br>EC100(glass<br>plate<br>substrate) | 200g<br>a.s./ha           | Corrected<br>mortality<br>(7DAT)  | 77% (sig.<br>P=<0.025)<br>LR <sub>50</sub> = 122.2g<br>a.s./ha  | 50%           |
| Typhlodromus<br>pyri<br>(predatory<br>mite)       | Proto-<br>nymph | HEC 5725<br>EC100 (leaf<br>substrate)          | 200g &<br>400g<br>a.s./ha | Corrected<br>mortality<br>(7DAT)<br>Eggs laid /<br>female (8-<br>14DAT) | 0% & 25% (latter sig. P=0.004) 6% reduction & 20% increase (both N/S) LR <sub>50</sub> > 400g a.s./ha | 50%           |

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## EFSA Scientific Report (2007) 102, 1-84, Conclusion on the peer review of

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## Appendix 1 – list of endpoints

| Species   | Stage exposed | Test<br>Substance                               | Dose (kg as/ha)        | Endpoint   | Effect (compared with untreated)   | Trigger value |
|---|---------------|---|------------------------|--|--|---------------|
| Aloechara<br>bilineata<br>(rove beetle)         | Adult         | HEC 5725<br>EC100<br>(soil<br>substrate)        | 200 & 400<br>g a.s./ha | Reproduction (no. of F1 adults)  | 3% & 15% reduction (Sig at 2N) (no mortality effects)  | 50%           |
| Poecilus<br>cupreus<br>(carabid<br>beetle)      | Adult         | HEC 5725<br>EC100, (soil<br>substrate)          | 200 & 400<br>g a.s./ha | Mortality<br>& food<br>consump-<br>tion (up to<br>14 DAT)              | No mortality No difference in food consumption   | 50%           |
| Coccinella<br>septem-<br>punctata<br>(ladybird) | Larvae        | HEC 5725<br>EC100<br>(glass plate<br>substrate) | 35g a.s./ha            | Corrected<br>mortality<br>(17DAT)                                      | 59% (sig. P<0.05)<br>LR <sub>50</sub> 12.4g a.s./ha  | 50%           |
| Coccinella<br>septem-<br>punctata<br>(ladybird) | Larvae        | HEC 5725<br>EC100<br>(leaf<br>substrate)        | 200g<br>a.s./ha        | Corrected<br>mortality<br>(17DAT)                                      | 75% (sig. P<0.05)<br>LR <sub>50</sub> 71.7g a.s./ha  | 50%           |
| Chrysoperla<br>carnea<br>(lacewing)             | Larvae        | HEC 5725<br>EC100<br>(glass plate<br>substrate) | 200 & 400<br>g a.s./ha | Corrected<br>mortality<br>Eggs laid /<br>female<br>F1 egg<br>fertility | 52% & 26% (Sig. P<0.05) 10% & 7.7% reduction (N/S) 5.9 & 3.75 increase (N/S) LR <sub>50</sub> > 500g a.s./ha | 50%           |

In a semi-field trial using potted wheat plants effects of exposure to 'HEC 5725 EC100' treated leaves on the reproductive capacity of *Aphidius rhopalosiphi* were examined by counting the numbers of subsequently parasitised (mummified) aphids per exposed female (aphids being introduced 24 hours after exposure). 'HEC 5725 EC100' was applied twice with a 14 day spray interval at the recommended individual dose of 200g a.s./ha. Reproductive capacity was reduced by 32% following exposure to freshly sprayed dried deposits but by only 13% following exposure to aged deposits (14DAT2).

## Effects on earthworms (Annex IIA, point 8.4, Annex IIIA, point 10.6)

Acute toxicity ‡

 $LC_{50}$  for fluoxastrobin, HEC 5725-deschlorophenyl (M48), & HEC 5725-carboxylic acid (M40):

All >500 mg a.s. or 1000 mg metabolite /kg dry soil #

<sup>‡</sup> Endpoints identified by EU-Commission as relevant for Member States when applying the Uniform Principles



## EFSA Scientific Report (2007) 102, 1-84, Conclusion on the peer review of

## fluoxastrobin (rev 2007)

## **Appendix 1 – list of endpoints**

Reproductive toxicity ‡

NOEL fluoxastrobin: =1000g a.s./ha (≡1.33 mg

a.s./kg dry soil)

NOEL HEC 5725-des-chlorophenyl: =1000 mg

metabolite/ kg dry soil #

# Includes EPPO correction factor for the active substance of 2 - due to high organic matter content of test soil and log Kow of > 2 (to allow for possible increased adsorption of active / metabolite in test soil). The log Kow of the metabolites is < 2 and therefore no correction factor required for these.

## Toxicity/exposure ratios for earthworms (Annex IIIA, point 10.6)

| Application rate (kg as/ha) | Crop    | Time-scale | TER   | Annex VI<br>Trigger |
|-----------------------------|---------|------------|-------|---------------------|
| 400g a.s./ha                | Cereals | Acute      | >2066 | 10                  |
| 400g a.s./ha                | Cereals | Long-term  | = 5.5 | 5                   |

Above relates to the active substance fluoxastrobin. For the soil metabolite HEC 5725-des-chlorophenyl (M48), the acute and chronic TERs are  $\geq$  67114. For the soil metabolite 2-chlorophenol, in the absence of earthworm toxicity data the conservative assumption has been made that the metabolite is 10 times more toxic than the parent a.s.; based on maximum soil PEC of 0.047 mg 2-chlorophenol/kg soil the earthworm acute TER is >1064 - indicating an acceptable risk.

## Effects on other soil macro-organisms that contribute to organic matter breakdown (IIIA 10.6.2)

| Species & study type                      | Test substance                            | Ecological endpoint                      | Soil PEC# | TER  |
|---|---|--|-----------|------|
| Folsomia candida: 28 day chronic study    | Technical fluoxastrobin                   | NOEC: 5 mg a.s. / kg dry soil *          | 0.242     | 21   |
| Folsomia candida: 28 day chronic study    | HEC 5725-<br>deschlorophenyl              | NOEC: 100 mg<br>metabolite / kg dry soil | 0.0149    | 6711 |
| Hypoaspis aculeifer: 21 day chronic study | Bayer UK 831 (100g fluoxastrobin / litre) | NOEC: 10 mg a.s. /kg dry soil            | 0.242     | 41   |

<sup>\*</sup> Includes EPPO correction factor of 2 due to high organic matter content of test soil and log Kow of > 2

Note: Current EC terrestrial ecotoxicology guidance includes TER trigger of 5 for collembola / mites, values of less than this indicating need for a litter bag study (SANCO 2002)

Results of litter bag study: Litter degradation in soil was not inhibited from seed treatment with 'HEC 5725 FS050' followed by spray treatment with 'HEC 5725 EC100' (% straw degradation in both treated and untreated plots was 90% 154DAT) at a measured concentration (soil samples taken 6 days after spray application) of 0.121 mg a.s./kg dry soil – equivalent to 50% of the estimated maximum (initial) soil PEC of 0.242 mg a.s./kg dry soil.

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<sup>#</sup> Combined initial soil PEC following seed treatment with 'Bayer UKA 148' and the maximum proposed spray dose of 'Bayer UK 831' – for details see Section B.8.3.

<sup>‡</sup> Endpoints identified by EU-Commission as relevant for Member States when applying the Uniform Principles

## \* EFSA Scientific Report (2007) 102, 1-84, Conclusion on the peer review of

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**Appendix 1 – list of endpoints** 

## Effects on soil micro-organisms (Annex IIA, point 8.5, Annex IIIA, point 10.7)

Nitrogen mineralization ‡

Technical fluoxastrobin & HEC 5725-deschlorophenyl (M48) & HEC 5725-carboxylic acid (M40): Use at up to 2.83 mg fluoxastrobin / kg dry soil or of 2.73 mg HEC 5725-des-chlorophenyl / kg dry soil or of 1.27 mg HEC 5725-carboxylic acid / kg dry soil had no statistically significant effects (effects < 25%) on nitrogen mineralization when assessed 28 DAT

Carbon mineralization ‡

Technical fluoxastrobin & HEC 5725-deschlorophenyl (M48): Use at up to 2.83 mg fluoxastrobin / kg dry soil or of 2.73 mg HEC 5725des-chlorophenyl / kg dry soil had no statistically significant effects (effects < 25%) on carbon mineralization when assessed 28 DAT 18314732, 2005, 8, Downloaded from https://efsa.onlinelibrary.wiley.com/doi/10.2903/j.efsa.2005.102r by University College London UCL Library Services, Wiley Online Library on [16.05/2025]. See the Terms and Conditions (https://onlinelibrary.wiley.com/term)

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Note 1: Maximum soil PEC from proposed use (seed treatment plus foliar applications) = 0.242mg a.s. /kg dry soil.

Note 2: No soil micro-organism data were provided for the soil metabolite 2-chlorophenyl. However the reported fluoxastrobin dose of 2.83 mg a.s./kg at which no adverse effects were obtained in the above soil micro-organism studies is > 60 time higher than the maximum soil PEC of 0.047 mg 2-chlorophenol /kg soil – indicating that no adverse effects are likely even if one were to make the conservative (SANCO guidance recommended) assumption that this metabolite is 10 times more toxic than the parent active substance.

## Classification and proposed labelling (Annex IIA, point 10)

With regard to ecotoxicological data

| N;           | Dangerous for the environment;  |
|--------------|---|
| R50:<br>R53: | Very toxic to aquatic organisms; May cause long term adverse effects in             |
| K33.         | the aquatic environment;  |
| S60:         | This material and its container must be disposed of as hazardous waste;             |
| S61:         | Avoid release to the environment. Refer to special instructions / Safety data sheet |

<sup>‡</sup> Endpoints identified by EU-Commission as relevant for Member States when applying the Uniform Principles

fluoxastrobin (rev 2007)

Appendix 2 – abbreviations used in the list of endpoints

#### APPENDIX 2 – ABBREVIATIONS USED IN THE LIST OF ENDPOINTS

ADI acceptable daily intake

**AOEL** acceptable operator exposure level

**ARfD** acute reference dose a.s. active substance bw body weight

CA Chemical Abstract

**CAS** Chemical Abstract Service

**CIPAC** Collaborative International Pesticide Analytical Council Limited

d day

DAR draft assessment report

DM dry matter

 $DT_{50}$ period required for 50 percent dissipation (define method of estimation) period required for 90 percent dissipation (define method of estimation)  $DT_{90}$ 

decadic molar extinction coefficient 3

effective concentration  $EC_{50}$ 

**EEC European Economic Community** 

**EINECS** European Inventory of Existing Commercial Chemical Substances

**ELINKS** European List of New Chemical Substances

**EMDI** estimated maximum daily intake

EU European Union

Food and Agriculture Organisation of the United Nations FAO

Forum for the Co-ordination of Pesticide Fate Models and their Use **FOCUS** 

**GAP** good agricultural practice

**GCPF** Global Crop Protection Federation (formerly known as GIFAP)

GS growth stage

h hour(s) ha hectare hectolitre hL.

**HPLC** high pressure liquid chromatography

or high performance liquid chromatography

ISO International Organisation for Standardisation **IUPAC** International Union of Pure and Applied Chemistry

 $K_{\rm oc}$ organic carbon adsorption coefficient

L litre

LC liquid chromatography

LC-MS liquid chromatography-mass spectrometry

LC-MS-MS liquid chromatography with tandem mass spectrometry

 $LC_{50}$ lethal concentration, median

lethal dose, median; dosis letalis media  $LD_{50}$ 



#### fluoxastrobin (rev 2007)

#### Appendix 2 – abbreviations used in the list of endpoints

lowest observable adverse effect level LOAEL

LOD limit of detection

LOQ limit of quantification (determination)

microgram μg milli-Newton mN

**MRL** maximum residue limit or level

MS mass spectrometry

**NESTI** national estimated short term intake

NIR near-infrared-(spectroscopy)

nanometer nm

no observed adverse effect level **NOAEL** 

**NOEL** no observed effect level

**PEC** predicted environmental concentration

 $PEC_A$ predicted environmental concentration in air PEC<sub>S</sub> predicted environmental concentration in soil

 $PEC_{SW}$ predicted environmental concentration in surface water **PEC**<sub>GW</sub> predicted environmental concentration in groundwater

PHI pre-harvest interval

negative logarithm (to the base 10) of the dissociation constant  $pK_a$ 

PPE personal protective equipment

parts per million (10<sup>-6</sup>) ppm plant protection product ppp  $\mathbf{r}^2$ coefficient of determination **RPE** respiratory protective equipment **STMR** supervised trials median residue

toxicity exposure ratio **TER** 

**TMDI** theoretical maximum daily intake

UV ultraviolet

WHO World Health Organisation WG water dispersible granule

yr year

fluoxastrobin (rev 2007)

Appendix 3 –compound codes used in the conclusion or the list of endpoints

## APPENDIX 3 – COMPOUND CODES USED IN THE CONCLUSION OR THE LIST OF **ENDPOINTS**

| Code/Trivial name                                | Chemical name<br>Structural formula   |
|--|---|
| Compounds the                                    | hat appear in the conclusion and / or the list of end points                                    |
| Fluoxastrobin                                    | $\begin{array}{c c} Cl & F & O \\ \hline \\ N & N & CH_3 \end{array}$                           |
| Z isomer of fluoxastrobin                        | $\begin{array}{c c} Cl & F & O \\ \hline \\ N & N & O \\ \hline \\ N & N & CH_3 \\ \end{array}$ |
| M55 / HEC 5725-<br>phenoxy-<br>hydroxypyrimidine | CI F OH   |
| M82  | 2-chlorophenol  CI  OH  |
| M84 / HEC 5725-2-<br>chlorophenol-<br>glucoside  | CI OH OH  |

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| M48-E / HEC5725-E-des-chlorophenyl            | $\begin{array}{c c} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & &$ |
|---|--|
| M48 / HEC5725-des-<br>chloropheny (E + Z)     | It may refer to the mixture of isomers or to the fact that the actual isomer is not specified in the study.  |
|   | HO N CH <sub>3</sub> HO N CH <sub>3</sub>  |
| M40 / HEC5725-<br>carboxylic acid             | CI F OH OH OH  |
| M36 / HEC5725-<br>oxazepine                   |  |
| M56 / HEC5725-<br>phenoxy-<br>aminopyrimidine | $\begin{array}{c c} Cl & F \\ \hline \\ N & N \\ \end{array}$  |

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| Compounds that only appear in the list of end points   |   |
|--|---|
| M34 / HEC 5725-ketone                                  |   |
| M39 / HEC5725-<br>carboxylic acid glycol<br>ester      | CI F O ON OH  |
| M41 / HEC 5725-<br>hydroxy carboxylic<br>acid          | HO HO OH OH   |
| M57 / HEC5725-OH-<br>phenoxy-amino-PMD                 | O $N$ $N$ $N$ $N$   |
| M70 / HEC5725-des-<br>chlorophenyl-glycol-<br>MA       | $\begin{array}{c} & & & \\ & &$ |
| M72 / HEC5725-des-<br>chlorophenyl-<br>carboxylic acid | F OON OH OH   |

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