

# L34: Sorting

## Different sorting methods

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# Announcements

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**Lab 12 is due on April 21 at 12 pm (noon)**

**Today:**

- ▶ Sorting

**Next week:**

- ▶ Sorting, searching, linked lists

**Remember:** You are responsible for keeping up with:

- ▶ bCourses announcements
- ▶ bCourses messages
- ▶ email

(read these communications carefully)

# A catalog of methods

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- ▶ **Write the “what” and the “why”** *i.e.* what is the chapter about, and why is it relevant?
  - ▶ Example for root finding: find approximate solutions to  $f(x) = 0$ , useful when the equation is too hard to solve by hand

# A catalog of methods

For part 2 of the class, I would recommend you to create for yourself (with pen and paper) a catalog of the numerical methods that we talked about. For each chapter of part 2:

- ▶ **Write the “what” and the “why”** *i.e.* what is the chapter about, and why is it relevant?
  - ▶ Example for root finding: find approximate solutions to  $f(x) = 0$ , useful when the equation is too hard to solve by hand
- ▶ **List the methods that we learned.** For each method, write:
  - ▶ How does the method work?
  - ▶ What are its strengths and limitations?
  - ▶ What is the order of the method (when we can describe the order)?
  - ▶ Two examples that you can solve by hand

# Matlab's built-in sort function

Arrange data in ascending or descending order  
(each column is sorted separately)

```
>> rng(1)
>> array = randi([0, 10], [4, 3])
array =
     4     1     4
     7     1     5
     0     2     4
     3     3     7
>> % By default, sorting is done in ascending order
>> sort(array)
ans =
     0     1     4
     3     1     4
     4     2     5
     7     3     7
>> % But it can be changed
>> sort(array, 'descend')
ans =
     7     3     7
     4     2     5
     3     1     4
     0     1     4
```

## Matlab's built-in sort function

```
>> rng(1)
>> array = randi([0, 10], [4, 3])
array =
     4     1     4
     7     1     5
     0     2     4
     3     3     7

>> % Get the re-organized lists of indices
>> [sorted, indices] = sort(array)
sorted =
     0     1     4
     3     1     4
     4     2     5
     7     3     7
indices =
     3     1     1
     4     2     3
     1     3     2
     2     4     4
```

## Matlab's built-in sortrows function

Arrange other columns in the same order as a designated “sorting column” (default: sort based on first column)

```
>> beatles = {'John', 'Lennon', 1940; ...  
             'Ringo', 'Starr', 1940; 'Paul', 'McCartney', ...  
             1942; 'George', 'Harrison', 1943}
```

```
beatles =  
4x3 cell array  
    'John'      'Lennon'      [1940]  
    'Ringo'     'Starr'       [1940]  
    'Paul'      'McCartney'   [1942]  
    'George'    'Harrison'    [1943]
```

```
>> sortrows(beatles)  
ans =  
4x3 cell array  
    'George'    'Harrison'    [1943]  
    'John'      'Lennon'      [1940]  
    'Paul'      'McCartney'   [1942]  
    'Ringo'     'Starr'       [1940]
```



## Matlab's built-in sortrows function

Arrange other columns in the same order as a designated "sorting column" (default: sort based on first column)

```
>> beatles = {'John', 'Lennon', 1940; 'Ringo', 'Starr', 1940; ...  
             'Paul', 'McCartney', 1942; 'George', 'Harrison', 1943};  
  
>> sortrows(beatles, 2)  
ans =  
4x3 cell array  
    'George'    'Harrison'    [1943]  
    'John'      'Lennon'      [1940]  
    'Paul'      'McCartney'   [1942]  
    'Ringo'     'Starr'       [1940]  
  
>> sortrows(beatles, 3)  
ans =  
4x3 cell array  
    'John'      'Lennon'      [1940]  
    'Ringo'     'Starr'       [1940]  
    'Paul'      'McCartney'   [1942]  
    'George'    'Harrison'    [1943]
```

# Basic sorting algorithm

- ▶ Maintain two lists:
  - ▶ The values sorted so far
  - ▶ The values that remain to be sorted
- ▶ As long as there remain values to be sorted:
  - ▶ Find the minimum in the values that remain to be sorted
  - ▶ Add this value at the end of the sorted list
  - ▶ Remove this value from the values that remain to be sorted

```
function [sorted] = my_sort_basic(vector)

% Sorts a vector using a basic sorting algorithm.

sorted = zeros(size(vector));
for i = 1:numel(vector)
    [minimum, index] = min(vector);
    sorted(i) = minimum;
    vector(index) = [];
end

end
```

# Selection sorting algorithm

For each index  $i$  in the vector:

- ▶ Find the minimum element between this index and the end of the vector
- ▶ Swap this minimum element with the element at index  $i$

```
function [vector] = my_sort_selection(vector)

% Sorts a vector using the selection sort algorithm.

for i = 1:numel(vector)
    [minimum, index] = min(vector(i:end))
    vector([i, i-1+index]) = vector([i-1+index, i]);
end

end
```

# Selection sorting algorithm

Example of what happens at each step for the following vector;

[2, 3, 8, 5, 1, 10, 6, 9, 4, 7]

2 3 8 5 1 10 6 9 4 7

# Selection sorting algorithm

Example of what happens at each step for the following vector;

[2, 3, 8, 5, 1, 10, 6, 9, 4, 7]

2	3	8	5	1	10	6	9	4	7
1	3	8	5	2	10	6	9	4	7

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Example of what happens at each step for the following vector;

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1	2	3	5	8	10	6	9	4	7

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Example of what happens at each step for the following vector;

[2, 3, 8, 5, 1, 10, 6, 9, 4, 7]

2	3	8	5	1	10	6	9	4	7
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1	2	8	5	3	10	6	9	4	7
1	2	3	5	8	10	6	9	4	7
1	2	3	4	8	10	6	9	5	7



# Selection sorting algorithm

Example of what happens at each step for the following vector;

[2, 3, 8, 5, 1, 10, 6, 9, 4, 7]

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1	2	8	5	3	10	6	9	4	7
1	2	3	5	8	10	6	9	4	7
1	2	3	4	8	10	6	9	5	7
1	2	3	4	5	10	6	9	8	7

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1	2	3	4	5	10	6	9	8	7
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1	2	3	4	5	6	7	9	8	10

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1	2	8	5	3	10	6	9	4	7
1	2	3	5	8	10	6	9	4	7
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1	2	3	4	5	6	10	9	8	7
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1	2	3	4	5	6	7	8	9	10
1	2	3	4	5	6	7	8	9	10
1	2	3	4	5	6	7	8	9	10

# Insertion sorting algorithm

- ▶ Divide the list into two parts: **sorted** and **unsorted**
- ▶ Start with the **sorted** part (the first element only)
- ▶ Move second, third, fourth, ... elements one at a time from the **unsorted** into the **sorted** part of the list
- ▶ Each element transferred to the **sorted** part of list must be inserted in correct order
  - ▶ we may need to shift some already sorted elements over to make room at the correct insertion point

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Example of what happens at each step for the following vector;

[2, 3, 8, 5, 1, 10, 6, 9, 4, 7]

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2	3	8	5	1	10	6	9	4	7
2	3	5	8	1	10	6	9	4	7
1	2	3	5	8	10	6	9	4	7
1	2	3	5	8	10	6	9	4	7
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2	3	5	8	1	10	6	9	4	7
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1	2	3	5	8	10	6	9	4	7
1	2	3	5	6	8	10	9	4	7
1	2	3	5	6	8	9	10	4	7
1	2	3	4	5	6	8	9	10	7
1	2	3	4	5	6	7	8	9	10

# Insertion sorting algorithm

```
function [vector] = my_sort_insertion(vector)

% Sorts a vector using the insertion sorting algorithm.

for i = 2:numel(vector)

    % Find where to insert vector(i)
    j = i - 1;
    while j >= 1 && vector(j) > vector(i)
        j = j - 1;
    end

    % Insert vector(i) in the list of sorted elements in
    % its place, shifting elements of the sorted part of
    % the list if necessary
    vector(j+1:i) = [vector(i), vector(j+1:i-1)];

end

end
```

# Quicksort algorithm

This algorithm uses a **divide and conquer approach**

- ▶ Choose a pivot element from the list to use in partitioning the data into three groups:
  - ▶ **group 1: smaller** (elements  $<$  pivot)
  - ▶ group 2: middle (elements  $==$  pivot)
  - ▶ **group 3: larger** (elements  $>$  pivot)

# Quicksort algorithm

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- ▶ Choose a pivot element from the list to use in partitioning the data into three groups:
  - ▶ **group 1: smaller** (elements < pivot)
  - ▶ group 2: middle (elements == pivot)
  - ▶ **group 3: larger** (elements > pivot)
- ▶ Since data within groups 1 and 3 may not be in correct order, use recursive quicksort function (qs) calls to sort them:

sorted = [qs(**group 1**), group 2, **qs(group 3)**]

# Quicksort algorithm

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- ▶ Since data within groups 1 and 3 may not be in correct order, use recursive quicksort function (qs) calls to sort them:

sorted = [qs(**group 1**), group 2, **qs(group 3)**]

**How to choose the pivot?** There are different methods e.g.,

- ▶ First or last element of the vector
- ▶ A random element from the vector
- ▶ The median between the first, middle, and last element of the vector

# Quicksort algorithm

```
function [vector] = my_sort_quicksort(vector)

% Sorts a vector using the quicksort sorting algorithm.

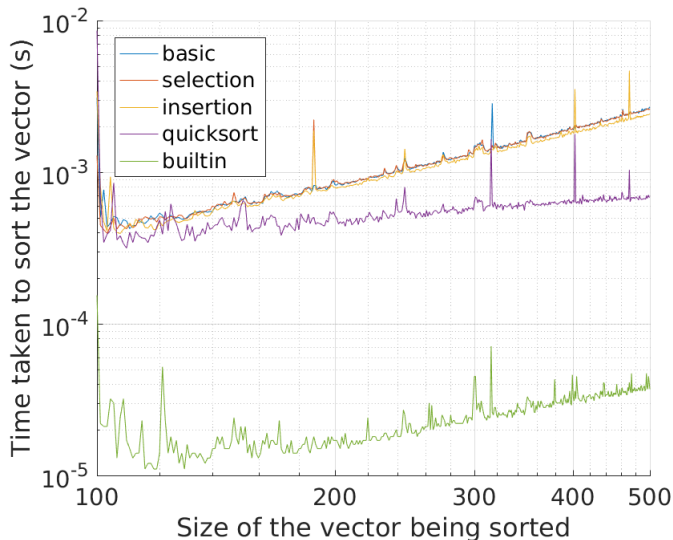
% Stopping criterion of the recursion
n = numel(vector);
if n < 2
    return
end

% The pivot is chosen randomly
pivot = vector(ceil(rand()*n));
smaller = vector < pivot;
greater = vector > pivot;
equal = ~(smaller | greater);

% Recursively sort values that are around the pivot
vector = [my_sort_quicksort(vector(smaller)), vector(equal), ...
    my_sort_quicksort(vector(greater))];

end
```

# Efficiency of the methods



## Merging two sorted lists

Concatenate the vectors and use Matlab's built-in function `sort`:

```
c = sort([vector1, vector2])
```

- ▶ Does not take advantage of “vector1” and “vector2” already being sorted



# Merging two sorted lists

Concatenate the vectors and use Matlab's built-in function `sort`:

```
c = sort([vector1, vector2])
```

- ▶ Does not take advantage of “vector1” and “vector2” already being sorted

**Alternative approach:** use merge-sort

- ▶ Initialize counters `i1=1, i2=1`
- ▶ `while i1 <= numel(vector1) && i2 <= numel(vector2)`
  - ▶ Move `vector1(i1)` or `vector2(i2)` (whichever is smaller) to `c`
  - ▶ Increment `i1` or `i2` (whichever was moved to `c`)
- ▶ Move any remaining elements from `vector1` or `vector2` to `c`

# Merging two sorted lists

```
function [sorted] = my_merge_sort(vector1, vector2)

% Merges sorted vectors vector1 and vector2 to produce a sorted vector.

n1 = numel(vector1);
n2 = numel(vector2);
sorted = zeros(1, n1+n2);
i1 = 1;
i2 = 1;
i = 1;

% While we still have unmerged elements in both vector1 and vector2...
while i1 <= n1 && i2 <= n2
    if vector1(i1) > vector2(i2)
        sorted(i) = vector2(i2);
        i2 = i2 + 1;
    else
        sorted(i) = vector1(i1);
        i1 = i1 + 1;
    end
    i = i + 1;
end

% At this point, we have added to "sorted" all the elements of one of the
% vectors. Add the remaining values from the other vector to the sorted
% merged vector
if i1 > n1
    sorted(i:end) = vector2(i2:end);
else
    sorted(i:end) = vector1(i1:end);
end

end
```

## Merging two sorted lists

```
>> vector1 = sort(rand(1, 1e5));  
>> vector2 = sort(rand(1, 1e5));  
  
>> tic();  
>> for i = 1:500; sort([vector1, vector2]); end  
>> toc  
Elapsed time is 6.758573 seconds.  
  
>> tic();  
>> for i = 1:500; my_merge_sort(vector1, vector2); end  
>> toc  
Elapsed time is 2.846680 seconds.
```

**my\_merge\_sort is faster!!**