

COMP1002

DATA STRUCTURES AND

ALGORITHMS

LECTURE 2: RECURSION, WRAPPERS AND EXCEPTIONS



Last updated: 2 March 2020

Objectives

- Exceptions
 - Discuss and apply exceptions for developing robust code
- Wrappers
 - Discuss and apply wrapper (helper) methods to insulate code
- Recursion
 - Introduce Recursion and some simple recursive methods
 - Discuss Recursion and the call stack
 - Explain Recursive algorithm design

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EXCEPTION HANDLING

Errors and Exceptions

- Errors or mistakes in a program are often referred to as bugs
- They are almost always the fault of the programmer
- The process of finding and eliminating errors is called debugging
- Errors can be classified into three major groups:
 - Syntax errors
 - Runtime errors
 - Logical errors

http://python-textbook.readthedocs.io/en/1.0/Errors_and_Exceptions.html

Syntax Errors

- Python/Java will find these kinds of errors when it tries to parse your program
 - Java: compilation errors
 - Python: exit with an error message (without running anything)
- Syntax errors are mistakes in the use of the language, and are like spelling or grammar mistakes
- Common syntax errors include:
 - leaving out a keyword
 - putting a keyword in the wrong place
 - leaving out a symbol, such as a colon, comma or brackets
 - misspelling a keyword
 - incorrect indentation
 - empty block

Runtime Errors

- If a program is syntactically correct – that is, free of syntax errors
 - it can be run
- Some problems are only revealed when a particular line is executed
- When a program comes to a halt because of a runtime error, we say that it has crashed
- Some examples of runtime errors:
 - division by zero
 - performing an operation on incompatible types
 - using an identifier which is not defined (Python - @compile-time in Java)
 - accessing a list element, dictionary value or object attribute which doesn't exist
 - trying to access a file which doesn't exist

Logical Errors

- Logical errors are the most difficult to fix
- They occur when the program runs without crashing, but produces an incorrect result
- The error is caused by a mistake in the program's logic. You won't get an error message, because no syntax or runtime error has occurred.
- Here are some examples of mistakes which lead to logical errors:
 - using the wrong variable name
 - indenting/bracketing a block to the wrong level
 - using integer division instead of floating-point division (Python)
 - getting operator precedence wrong
 - making a mistake in a boolean expression
 - off-by-one, and other numerical errors

Handling Errors

- Error handling is a necessary task, but how can you do it elegantly?
 - Errors aren't 'normal' - you don't make a system that *expects* errors! But you must handle error situations
 - One solution: return an error code. Used in C programs

Exceptions

- O-O languages solve error handling with **exceptions**
 - An independent 'return path' designed specifically for notifying the caller of an exceptional situation (=error)
 - On an error, a method 'throws' an exception
 - The calling method can 'catch' the exception
 - If caller doesn't catch it, the exception is thrown to the next-higher caller
 - If no-one catches it, the exception causes the program to crash

Exceptions (Java)

- Java only lets objects of type `Exception` to be thrown
 - (actually, `Exception` inherits from interface `Throwable`, but `Exception` is the typical starting point for most programmers)
- Java has a range of classes inheriting from `Exception`
 - eg: `IllegalArgumentException`, `ArrayIndexOutOfBoundsException`
- You can define your own exception class, as long as it inherits from `Exception` (or one of its subclasses)
 - C# is similar. C++ let's you throw pretty much anything (!!)
- Each exception should require a message (a `String`)
 - Why throw an error if you don't say what the problem is?
 - also use `getMessage()` or `getLocalizedMessage()`
 - may or may not be detailed enough

Exception Types (Java)

- Here are exception types relating to File I/O
- `java.lang.Object`
 - `java.lang.Throwable`
 - `java.lang.Exception`
 - `java.io.IOException`
 - `java.io.FileNotFoundException`

Checked vs Unchecked Exceptions (Java)

- Unchecked Exceptions
 - code will compile if not caught anywhere
 - RuntimeException, Error, and their subclasses
- Checked Exceptions
 - code will NOT compile if not caught somewhere
 - all other Exceptions
 - can use throws in method header to catch in calling method
 - see multiple catch example coming up

Exceptions (Python)

- Python only lets objects of type **Exception** or its descendants to be thrown
- Python has a range of classes descending (inheriting, extends) from Exception
 - eg: ValueError, ZeroDivisionError
- You can define your own exception class, as long as it inherits from Exception (or one of its subclasses)
- Each exception should require a message (a String)
 - Why throw an error if you don't say what the problem is?

Exception Types (Python)

- Here are a few common exception types which we are likely to raise in our own code:
 - **TypeError**: this is an error which indicates that a variable has the wrong *type* for some operation.
We might raise it in a function if a parameter is not of a type that we know how to handle.
 - **ValueError**: this error is used to indicate that a variable has the right *type* but the wrong *value*.
For example, we used it when age was an integer, but the wrong *kind* of integer.
 - **NotImplementedError**: we will see in the next chapter how we use this exception to indicate that a class's method has to be implemented in a child class.

Writing your own exceptions (Java)

- inherit from Exception
 - this will make it a checked Exception

```
public class MyException extends Exception
{
    private String error;

    public MyException(String message)
    {
        super(message);
        this.error="Something bad Happened";
    }

    public MyException(String message, String error)
    {
        super(message);
        this.error=error;
    }

    public String getError()
    {
        return this.error;
    }
}
```

Throwing Exceptions (Java)

- Java uses the `throw` keyword to throw exceptions
 - So do most other O-O languages

```
public double Divide(double numer, double denom) {  
    if (denom == 0) {  
        throw new IllegalArgumentException("denom must be non-zero");  
    }  
  
    return numer / denom;  
}
```

- Note that we throw a *new* `IllegalArgumentException`
 - ie: We create an object and then throw it.
 - Could also have done the following (same result):

```
IllegalArgumentException e = new IllegalArgumentException("denom must be non-zero");  
throw e;
```

Writing your own exceptions (Python)

- inherit from `Exception`
 - this will make it a checked Exception

```
class ExampleException (Exception):      # minimal definition of exception  
    pass  
  
class Error(Exception):  
    pass  
                                # a more elaborate definition  
  
class StackOverflowError(Error):  
    """Exception raised if stack is overfull.  
  
    Attributes:  
        message -- explanation of the error  
    """  
    def __init__(self, message):  
        self.message = message  
  
class StackUnderflowError(Error):  
    """Exception raised if stack is underfull.  
  
    Attributes:  
        message -- explanation of the error  
    """  
    def __init__(self, message):  
        self.message = message
```

Raising Exceptions (Python)

- Python uses the `raise` keyword to throw exceptions
 - FYI - Java uses "throw"

```
if (invalid):  
    raise ValueError("invalid import");
```

- Note that we are creating an object and then throwing it = `raise`

Catching Exceptions

- Exceptions from different methods in different objects are often all caught at the one place in the calling method
 - Convenient: all error handling happens in one place
- Most languages use
 - `try .. except (catch) .. [finally]` blocks:
 - **try**: define the set of statements whose exceptions will all be handled by the catch block associated with this try
 - **except**: processing to do if an exception is thrown in the try
 - **finally**: processing to always do regardless of whether an exception occurs or not.
 - Good for clean-up, eg: closing open files
 - This block is optional and executes after the try and catch blocks

Example (Java)

```
public static void Main() {
    double fFirst, fSecond, fSum;
    try {
        fFirst = Divide(10,1);           ← Try the following few statements
        fSecond = Divide(2,0);          ← Will cause an exception to be thrown
        fSum = fFirst + fSecond;
        System.out.println("Sum of the divides is: " + fSum);
    }
    catch (Exception e) {            ← Catch any exception that occurs
        System.out.println("Couldn't perform divides. Reason: " + e.getMessage());
    }
    finally {
        fSum = 0;                     ← Pointless clean-up - this is just an example!
    }
}
```

- It's possible to *only* catch `IllegalArgumentExceptions`:

```
catch (IllegalArgumentException e) {
    System.out.println("Couldn't perform divides. Reason: " + e.getMessage());
}
```

- ... but then other exceptions won't be caught, and if they occur they will cause the program to crash

Example (Python)

```
try:
    dividend = int(input("Please enter the dividend: "))
except ValueError:
    print("The dividend has to be a number!")

try:
    divisor = int(input("Please enter the divisor: "))
except ValueError:
    print("The divisor has to be a number!")

try:
    print("%d / %d = %f" % (dividend, divisor, dividend/divisor))
except ZeroDivisionError:
    print("The dividend cannot be zero!")
```

Example (Python)

```
try:
    age = int(input("Please enter your age: "))
    if age < 0:
        raise ValueError(str(age) + " is not valid")

except ValueError as err:
    print("You entered incorrect age input:", err)

else:
    print("I see that you are", age, " years old.")

finally:
    print("It was really nice talking to you.\nGoodbye!")
```

Error checks v's exception handling (Python)

```
# with checks
n = None
while n is None:
    s = input("Enter an integer: ")
    if s.lstrip('-').isdigit():
        n = int(s)
    else:
        print("%s is not an integer." % s)

# with exception handling
n = None
while n is None:
    try:
        s = input("Enter an integer: ")
        n = int(s)
    except ValueError:
        print("%s is not an integer." % s)
```

Exceptions vs Error Codes

- Advantages over error codes:

- Separate return path for separate issues
- Caller can deal with all exceptions in batch & in one place
 - Makes the code a lot easier to follow
- Exceptions must be handled or the program will crash
 - Stops errors from accidentally going unnoticed
- Lets a constructor raise an error just like any other method
 - Constructors don't have a return value!

- Disadvantages:

- Need language support for throwing/catching exceptions

Multiple catch clauses

- previous example caught all exceptions
- what if we want to handle various exceptions in a different way?
 - e.g., if a file doesn't exist, ask the user for a different filename, but if anything else goes wrong with the file, terminate the program.
- every try clause must be followed by one or more catch clauses
 - order is important!

Multiple catch example

```
private void readFileExample() throws IOException {          ← Required as Checked Exception, must be caught in caller
    String inFileame;
    //file code omitted
    boolean noFile;
    do {
        noFile = true;                                ← file doesn't exist yet,
        inFileame = ConsoleInput.readLine("Please enter the filename");
        try {
            //file code omitted - see lecture 2           ← Open file - if it doesn't exist, FileNotFoundException
            lineNumber = 0;                            ← Read the first line
            //file code omitted                         ← While not end-of-file, process and read lines
            while (line != null){                      ← IOException may be thrown
                lineNumber++;                        ← Some other exception may be thrown here
                processLine(line);
            }
            noFile = false;                           ← if we get here, everything worked fine
        } //end try                                    ← can catch sub of IOExceptions
        catch (FileNotFoundException e){             ← will continue loop
            System.out.println("Couldn't find your file " + e.getMessage() + "try again!");
        }
        catch (IOException e){                      ← MUST catch checked IOExceptions
            throw e;
        }
        catch (Exception e){                       ← re throw IOException to exit method
            throw new Exception("I am a bad programmer: " , e);   ← can catch all other Exceptions
            ← re throw Exception to exit method
        }
    } while(noFile);                                ← If the file doesn't exist, repeat the loop
}
```

Order is important!

- Exceptions are part of a class hierarchy
- E.g. in Java:
 - java.lang.Object
 - java.lang.Throwable
 - java.lang.Exception
 - java.io.IOException
 - java.io.FileNotFoundException
- With inheritance, **FileNotFoundException isA IOException** and **IOException isA Exception**
- If you catch/except the **parent** first, the code will never get a chance to give a specific response to any **child**!

Exceptions in Real Life

- Exceptions aren't just merely 'error checking'
 - They are also incredibly useful as assertion checks, ensuring that the program is **always in a valid state**
 - Why bother? Because if you mess up somewhere in the code your mistake will quickly (or not so quickly) result in an exception
 - ... alerting you to the existence of your mistake
- So **PUT EXCEPTIONS EVERYWHERE**
 - The closer the exception is to the bug, the easier it is to find
 - **EXCEPTIONS WILL SAVE YOU OVER & OVER**

Recursion



<https://giphy.com/gifs/queen-bohemian-rhapsody-4ZcVk52nDpalEVhqN>

What is Recursion?

- Recursion is where a problem is stated in terms of simpler versions of the same problem
 - A type of repetition (iteration) where the solution is arrived at by having the method repeatedly **call itself** to solve a simpler form
 - The simpler version of the problem reduces its problem and calls the method again
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 - The simpler version of the problem reduces its problem and calls the method again
 - This self-calling continues ('iterates') until the simplest version of the problem is reached
 - Some problems are much more easily solved with a recursive approach than an iterative one

Example 1: Factorial (Java)

- Formal Mathematical definition:
 - $N! : 0! = 1$ otherwise $N * (N-1)!$
- Iterative solution using for loop from OOPD

```
public long calcNFactorial( int n ) {  
    long nFactorial = 1;  
    for ( int ii = n; ii >= 2; ii-- )  
        nFactorial *= ii;  
    return nFactorial;  
}
```
- Recursive solution is to solve $(N-1)!$ and multiply by N

```
public long calcNFactorialRecursive( int n ) {  
    if ( n == 0 )  
        return 1;  
    else  
        return n * calcNFactorialRecursive( n-1 );  
}
```

 - No error checking in either approach!

← Simplest case (the 'base case')
← oops – multiple returns!
← Recursive call multiplied by n, n changed to go towards base case

Example 1: Factorial (Python)

- Formal Mathematical definition:
 $N! : 0! = 1 \text{ otherwise } N * (N-1)!$

- Iterative solution using for loop

```
def calcNFactorial( n ):  
    nFactorial = 1  
    for ii in range( n, 1, -1 ):  
        nFactorial = nFactorial * ii  
    return nFactorial
```

- Recursive solution is to solve $(N-1)!$ and multiply by N

```
def calcNFactorialRecursive( n ):  
    if ( n == 0 ):  
        return 1  
    else:  
        return n * calcNFactorialRecursive( n-1 )
```

← Simplest case (the 'base case')
← oops – multiple returns!
← Recursive call multiplied by n,
n changed to go towards base case

- Note: No error checking in either approach.

Removing multiple return statements (Python)

- Usually just add a local variable and set it to the return value.

```
def calcNFactorialRecursive( n ):  
    factorial = 1                                ← declare and initialise local variable  
  
    if ( n == 0 ):                                ← Simplest case (the 'base case'  
        factorial = 1                            ← no more multiple returns!  
    else:  
        factorial = n * calcNFactorialRecursive( n-1 )  ← Recursive call  
    return factorial                            ← return local variable
```

Removing multiple return statements (Java)

- Usually just add a local variable and set it to the return value.

Error checking (Java)

- Throw an exception if the import is invalid

Error checking (Python)

- Throw an exception if the import is invalid

```
def calcNFactorialRecursive( n ):  
    factorial = 1                                ← declare and initialise local variable  
    if ( n < 0 ):                                ← error condition  
        raise ValueError("Import must not be negative")  
    else if ( n == 0 ):                            ← Simplest case (the 'base case')  
        factorial = 1                                ← no more multiple returns!  
    else:  
        factorial = n * calcNFactorialRecursive( n-1 )   ← Recursive call  
    return factorial                                ← return local variable
```

Necessary Properties

- The [factorial example](#) highlights all the necessary properties of a recursive algorithm:
 - 1) Decomposable into a simpler version of the same form
 - $N!$ is easy to calculate if $(N-1)!$ is known
 - 2) One or more base case(s) exists (and is not recursive)
 - When $n=0$, no factorial is needed – just return 1
 - The base case is the **terminating condition** of the recursion
 - Thus every recursive method has an 'if' check for base case(s)
 - 3) Base case must be reached
 - This requires a parameter ([e.g., n](#)) that **MUST** be changed during every recursive call (changing the value towards the base case)
 - Otherwise the recursion will never end!

General Structure of a Recursive Algorithm

```
METHOD RecursiveAlg  
IMPORT algorithm-specific-parameters  
EXPORT result  
  
Algorithm  
    IF terminating_condition_1 THEN  
        result ← base_case_1      ← Usually a simple one-liner, but not always  
    ELSEIF terminating_condition_2 THEN  
        result ← base_case_2  
    ELSEIF  
        ...  
    ELSE  
        reduce_prob_using_recursion ← This could be one line or a whole sub-system  
        result ← results_of_reduction  
    ENDIF
```

Example 2: Fibonacci Numbers (Java)

- Calculate the Nth Fibonacci number
 - Sequence: 0 1 1 2 3 5 8 13 21 34
 - Mathematical Definition: FIB 0 = 0, FIB 1 = 1, FIB N = FIB (N-1) + FIB (N-2)
- Iterative solution:

```
public int fibIterative(int n) {  
    int fibVal = 0;                                ← value n  
    int currVal = 1;                                ← value n-1  
    int lastVal = 0;                                ← value n-2  
  
    if (n == 0)  
        fibVal = 0;  
    else if (n == 1)  
        fibVal = 1;  
    else {  
        for (int ii = 2; ii < n; ii++) {  
            fibVal = currVal + lastVal;  
            lastVal = currVal;  
            currVal = fibVal;  
        }  
    }  
    return fibVal;  
}
```

Example 2: Fibonacci Numbers (Python)

- Calculate the Nth Fibonacci number

- Sequence: 0 1 1 2 3 5 8 13 21 34
- Mathematical Definition: FIB 0 = 0, FIB 1 = 1, FIB N = FIB (N-1) + FIB (N-2)

- Iterative solution:

```
def fibIterative( n ):  
    fibVal = 0           ← value n  
    currVal = 1          ← value n-1  
    lastVal = 0          ← value n-2  
  
    if (n == 0):  
        fibVal = 0  
    else if (n == 1):  
        fibVal = 1  
    else:  
        for ii in range(2, n):  
            fibVal = currVal + lastVal  
            lastVal = currVal  
            currVal = fibVal  
    return fibVal  
  
    ← set up lastVal and currVal ready for next iteration
```

Example 2: Fibonacci Sequence (Java)

- Recursive solution:

```
public int fibRecursive(int n) {  
    int fibVal = 0;  
  
    if (n == 0)  
        fibVal = 0;           ← Base case #1  
    else if (n == 1)  
        fibVal = 1;           ← Base case #2  
    else {  
        fibVal = fibRecursive(n-1) + fibRecursive(n-2);   ← Two recursive calls  
    }  
    return fibVal;  
}
```

Compare with Mathematical Definition

FIB 0 = 0, FIB 1 = 1, FIB N = FIB (N-1) + FIB (N-2)

Example 2: Fibonacci Sequence (Python)

- Recursive solution:

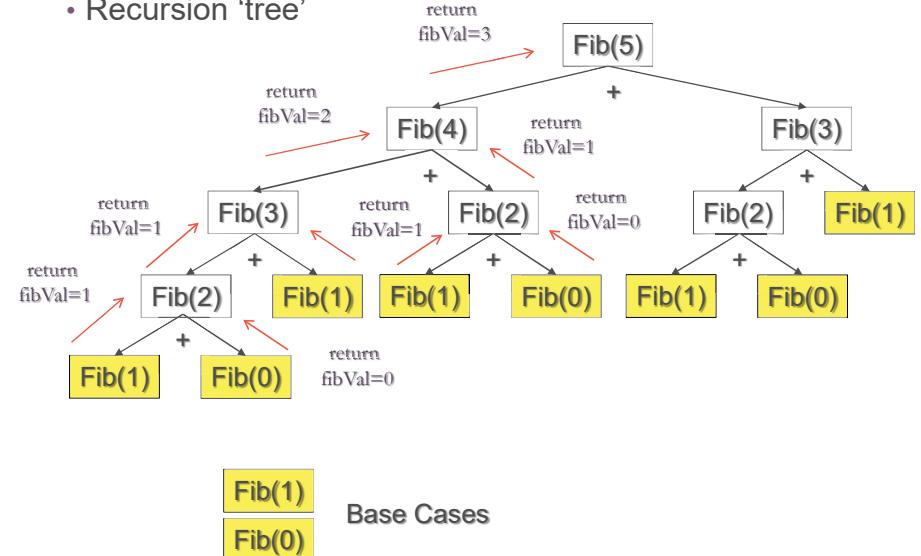
```
def fibRecursive( n ):  
    fibVal = 0  
  
    if (n == 0):  
        fibVal = 0           ← Base case #1  
    else if (n == 1):  
        fibVal = 1           ← Base case #2  
    else:  
        fibVal = fibRecursive(n-1) + fibRecursive(n-2)   ← Two recursive calls  
    return fibVal
```

Compare with Mathematical Definition

FIB 0 = 0, FIB 1 = 1, FIB N = FIB (N-1) + FIB (N-2)

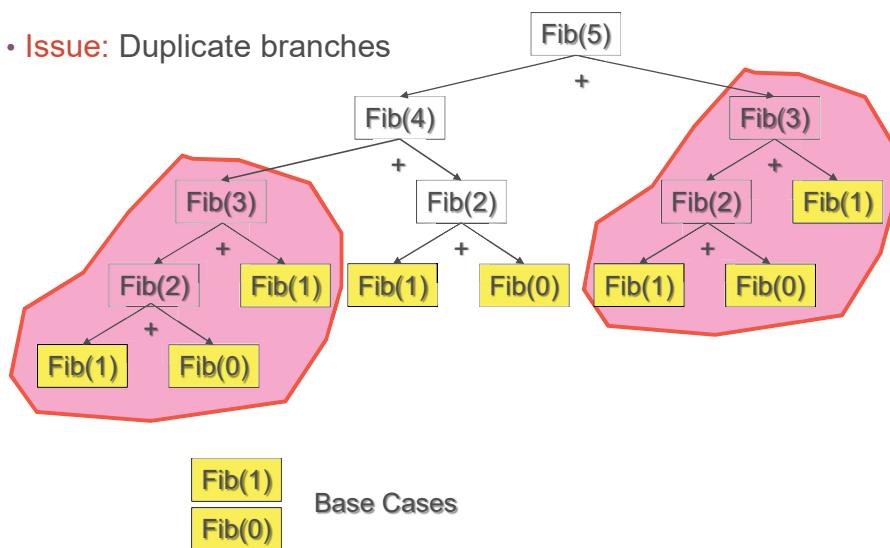
A Closer Look at Recursive Fibonacci

- Recursion ‘tree’



A Closer Look at Recursive Fibonacci

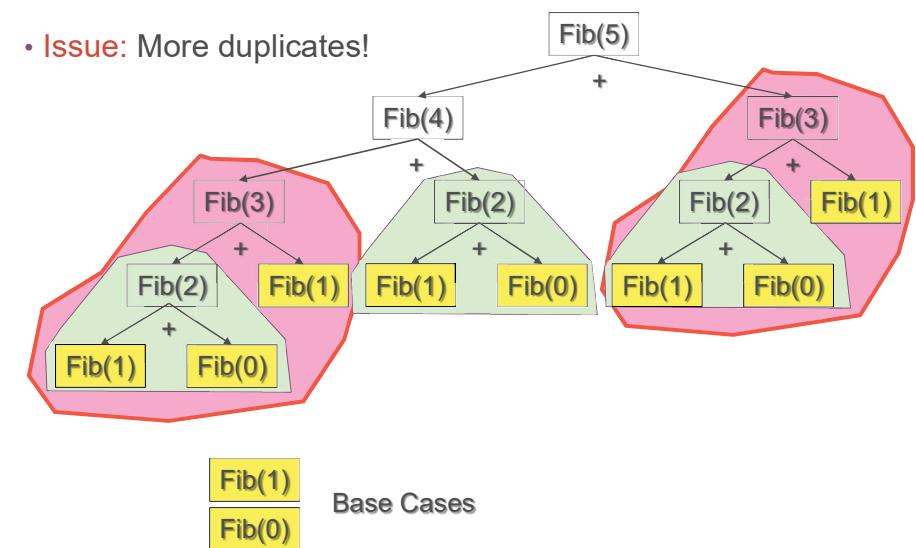
- Issue: Duplicate branches



Base Cases

A Closer Look at Recursive Fibonacci

- Issue: More duplicates!



Base Cases

Example 2: Fibonacci Sequence

- The recursive solution creates a recursive ‘tree’
 - Due to the solution requiring *two* recursive calls
 - Thus smaller $\text{Fib}(n)$ values are calculated *multiple* times
- Not a great example of the power of recursion
 - It is much slower than the iterative method due to duplicate calculations and function call overheads!
 - try both with $\text{fib}(200)$
- But it does show how a mathematical definition is easily translated into a recursive method.

Example 3: Binary Search

- Discussed last lecture... iterative solution:

```

METHOD BinarySearchIter IMPORT sortedArr, searchTgt
  EXPORT matchIdx, which will be -1 if not found
    ← Assume failure to find the target
    could throw exception

  matchIdx ← -1
  lowerBd ← 0
  upperBd ← sortedArr.length

  WHILE (NOT found) AND (lowerBd <= upperBd) DO
    chkIdx ← (lowerBd + upperBd) / 2
    IF (sortedArr[chkIdx] < searchTgt) THEN
      lowerBd ← chkIdx+1
    ELSEIF (sortedArr[chkIdx] > searchTgt) THEN
      upperBd ← chkIdx-1
    ELSE
      matchIdx ← chkIdx
      found ← TRUE
    ENDIF
  ENDWHILE
  ← target must be in the upper half
  ← target must be in the lower half
  ← found our target

```

Example 3: Binary Search

- And now a recursive solution:

```
METHOD BinarySearchRec IMPORT sortedArr, searchTgt, lowerBd, upperBd
      EXPORT matchIdx, which will be -1 if not found
matchIdx ← -1
                                         ← Assume failure to find the target
                                         or could throw exception

chkIdx ← (lowerBd + upperBd) / 2
IF (sortedArr[chkIdx] < searchTgt) THEN
    matchIdx = BinarySearch ← (chkIdx+1, upperBd) ← target must be in the upper half
ELSEIF (sortedArr[chkIdx] > searchTgt) THEN
    matchIdx = BinarySearch ← (lowerBd, chkIdx-1) ← target must be in the lower half
ELSE
    matchIdx ← chkIdx
    found ← TRUE
ENDIF
```

← found our target

Wrapper methods

- The BinarySearchRec method assumes valid lower/upper bounds.
- To protect it we mark `binarySearchRec()` as private.

```
private int binarySearchRec(int[] sortedArr...) // Java...
```

```
# Python - underscore is convention for "private"
def _binarySearchRec(sortedArr...):
```

- Then add a public **wrapper** method to validate/set up arguments

```
public int binarySearch(int[] sortArr, int target) //Java
{
    return binarySearchRec(sortArr, target, 0, sortArr.length-1);
}
def binarySearch(sortArr, target): # Python
    return binarySearchRec(sortArr, target, 0, len(sortArr)-1)
```

The Importance of Terminating

- Consider the following recursive method:

```
public int endless(int n)
endless(n+1);
return n;
}

def endless(n):
endless(n+1)
return n
```

- Obviously, the method has no terminating condition
 - In fact, the statement "`return n`" is never reached
- So what happens? An infinite loop?
 - Actually, no – it results in a **crash!**
 - Specifically, a **StackOverflowException** is thrown by Java
- The crash occurs as a consequence of how method calls are performed: → limits on the number of calls
 - Most (all?) modern languages have this issue

Call Stack

- Whenever a new method is called, it is necessary to store certain information related to the call
 - The method's local variables
 - A **copy** of the method's parameters
 - Note: An object reference is copied, but *not the object itself*
 - Bookkeeping info, such as address (in code) to return to
 - So that when the method finishes, the program knows where to return to in the *calling* method
- Since methods are dealt with in Last-In-First-Out (LIFO) order, this information is placed on a special stack in memory
- This is the Call Stack or Process Stack

Call Stack

- Each successive call puts another stack frame on the stack
- This happens with all function/method calls, not just recursive calls

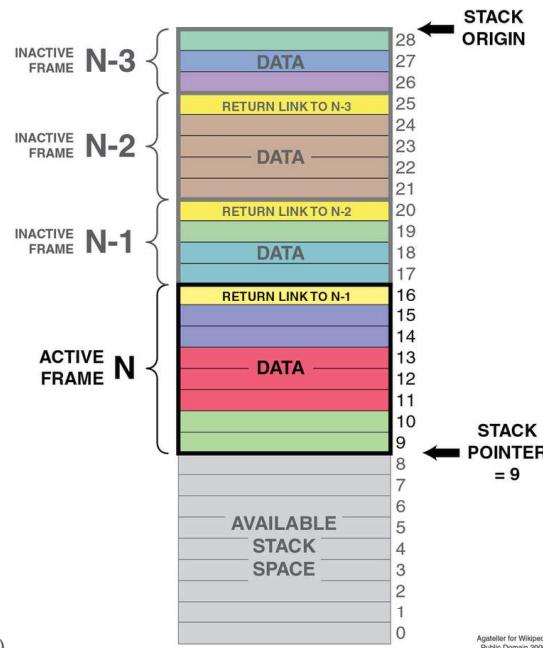


Image from : [https://en.wikipedia.org/wiki/Stack_\(abstract_data_type\)](https://en.wikipedia.org/wiki/Stack_(abstract_data_type))

Call Stack

- For each method call:
 - A stack frame is pushed onto the call stack
 - Stack frame contains local vars, params and bookkeeping info
 - If the method calls another method, it too pushes a stack frame
 - When the method returns, the stack frame is popped off the call stack
- Most (all?) languages reserve a fixed amount of memory for the call stack, limiting its max size
 - **Why?** Because it makes method calls very efficient – no need to check to dynamically grow/shrink the stack

Stack Overflow

- However, a recursive algorithm with **hundreds** of 'iterations' means **hundreds** of stack frames
 - Call stack is usually limited to around 10Mb or so
 - Thus a few hundred recursive calls could lead to the program running out of stack space
 - Faster if local vars and params take up significant space
 - If the call stack runs out of space, the program has no choice but to crash
 - Java fails with a **stack overflow** error
 - Python fails with a **RecursionError**
 - C/C++ fails with a stack fault

Stack Memory vs Heap Memory

- The call stack places a limit on how many iterations a recursive algorithm can do before stack overflow
 - Exactly how many depends on the size of each stack frame
 - ...which depends on the number and size of local vars & params
 - In Java/Python, only references and primitives exist on the stack
 - Objects are *always* allocated on what is called the heap
 - ... the heap is essentially all other memory besides the stack
 - Heap memory is dynamically allocated using the **new** keyword
 - **Please note:** we are talking about *heap memory*, not the *heap ADT*, a totally different concept that we will explore in a later lecture
- In C/C++ **anything** can be allocated on the stack
 - Even objects or huge arrays — can make stack space run out fast!
 - **UPDATE** – C++ now puts references on the stack. This text left in to flag the "answer" to an old exam question. It is now out of date.

Example 4: Towers of Hanoi

- Towers of Hanoi is an ancient game where a pile of disks must be moved from one peg to another
- Rules:
 - The disks can only be moved **one at a time**
 - A larger disk cannot be placed on top of a smaller disk
- This is a good example of where recursion is particularly useful
 - The intricate disk-shuffling turns out to be based on a surprisingly simple recursive definition

Towers of Hanoi - Algorithm

```
METHOD towers IMPORT n, src, dest ← Move n disks from peg src to peg dest

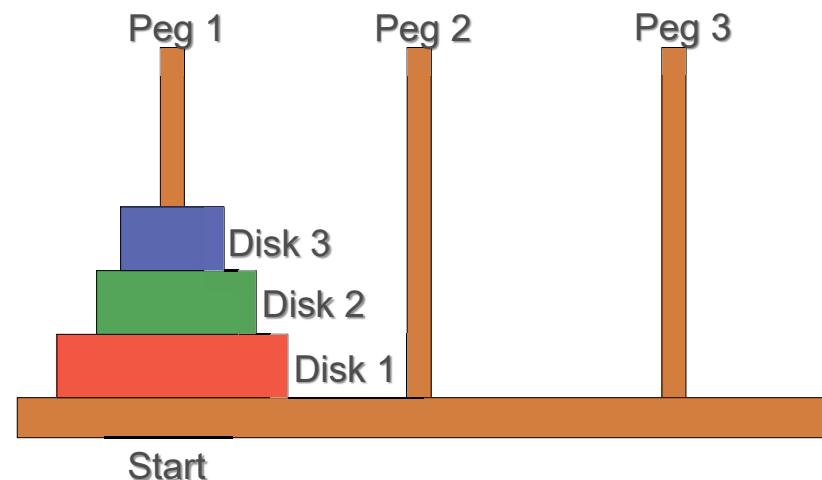
if (n == 1)
    moveDisk ← (src, dest) ← Base case: move one disk from peg src to peg dest
else
    tmp = 6 - src - dest ← tmp is the 'other' (non-target) peg,
                           since src+dest+tmp = 6
    towers ← (n-1, src, tmp) ← Move all but bottom disk to temp peg tmp
                               This is a smaller (n-1) version of the current problem
    moveDisk ← (src, dest) ← Move bottom disk to target peg dest
    towers ← (n-1, tmp, dest) ← Move the rest from temp peg tmp to target peg dest
```

- Note: tmp keeps changing during every recurse
 - It makes sure we choose the right temp peg at each recurse so that in the end the bottom disk will be put on the target peg dest

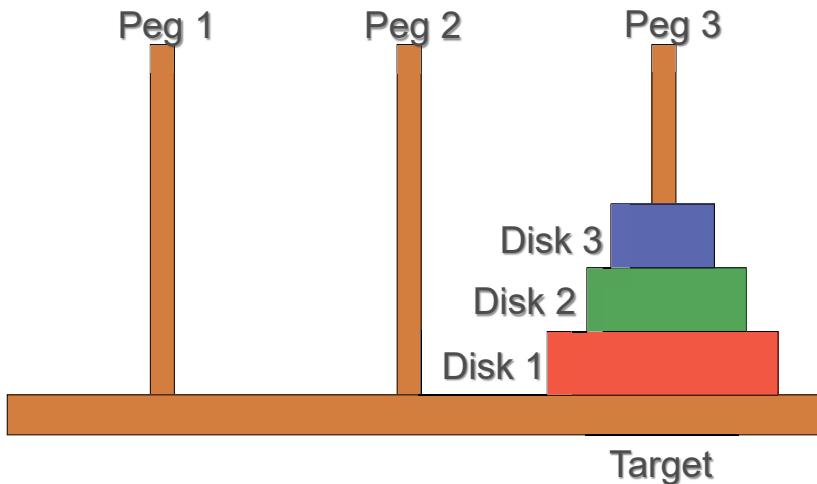
Towers of Hanoi – Step-by-Step

- Over the next few slides we will be stepping through the algorithm, explicitly showing the state of the call stack at each step
- First let's define the starting state and the target state that we want to end up in

Initial State



Target State



Stepping Through the Algorithm

→ towers(3, 1, 3)

tmp = 6-1-3 = 2

towers(2, 1, 2)

tmp = 6-1-2 = 3

towers(1, 1, 3)

moveDisk(1,2)

towers(1,3,2)

moveDisk(1, 3)

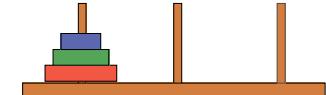
towers(2, 2, 3)

tmp = 6-2-3 = 1

towers(1, 2, 1)

moveDisk(2, 3)

towers(1, 1, 3)



Recursion Code

```
if (n ==1)
    moveDisk(src, dest)
else
    tmp = 6 - src - dest
    towers(n - 1, src, tmp)
    moveDisk(src, dest)
    towers(n-1, tmp, dest)
```

towers(3, 1, 3)

tmp = 6-1-3 = 2

→ towers(2, 1, 2)

tmp = 6-1-2 = 3

towers(1, 1, 3)

moveDisk(1,2)

towers(1,3,2)

moveDisk(1, 3)

towers(2, 2, 3)

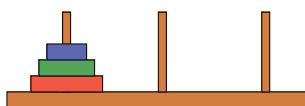
tmp = 6-2-3 = 1

towers(1, 2, 1)

moveDisk(2, 3)

towers(1, 1, 3)

No change



Recursion Code

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if (n ==1)
    moveDisk(src, dest)
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towers(2, 1, 2)

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★ towers(1, 1, 3)

moveDisk(1,2)

towers(1,3,2)

moveDisk(1, 3)

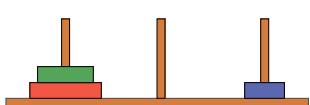
towers(2, 2, 3)

tmp = 6-2-3 = 1

towers(1, 2, 1)

moveDisk(2, 3)

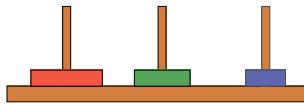
towers(1, 1, 3)



Recursion Code

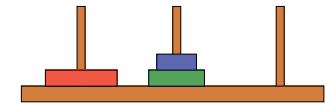
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towers(1, 3, 2)
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towers(2, 2, 3)
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towers(1, 2, 1)
moveDisk(2, 3)
towers(1, 1, 3)



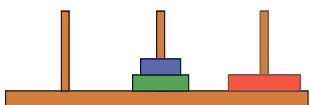
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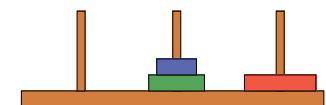
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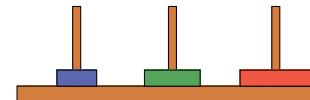
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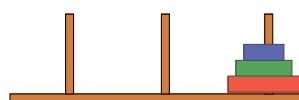
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★ towers(1, 1, 3)



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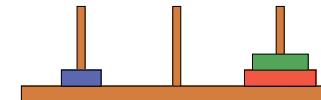
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★ moveDisk(2, 3)

towers(1, 1, 3)



Recursion Code
if (n ==1)
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 towers(n - 1, src, tmp)
 moveDisk(src, dest)
 towers(n-1, tmp, dest)

Recursion vs Iteration

- Any recursive algorithm can be re-written with an iterative (looping) solution
 - Some problems just need a different approach
 - e.g., Iterative fib(): just ‘cache’ the last two fib values
 - Other problems need to emulate the call stack
 - Store data from previous iterations onto a stack ADT
 - ... just like the call stack does for params/local vars in recursion
 - With a stack ADT (allocated on the heap), call stack limitations and stack overflows are less of an issue
 - Although now you must maintain the stack yourself

- Towers of Hanoi – a few lines of code recursively.
6 pages of code iteratively!

Recursion vs Iteration

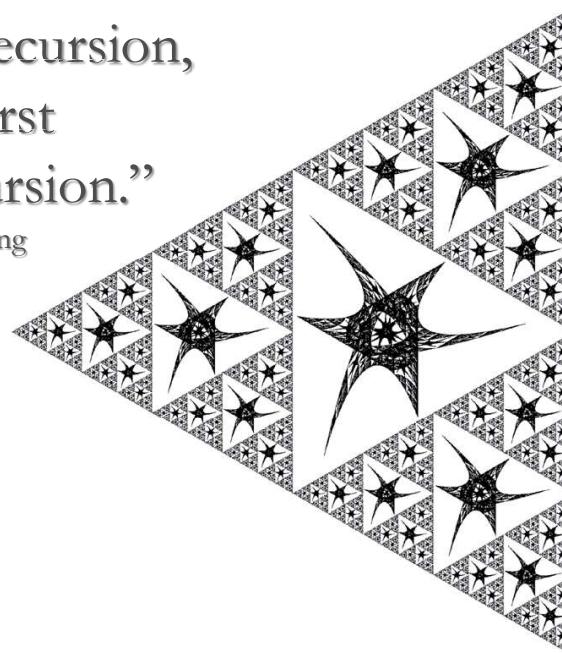
- Advantages of a recursive solution:
 - ☒ Some algorithms are *much* simpler using recursion
- Disadvantages of recursion vs iteration
 - ☒ The call stack limits the number of recursive ‘iterations’ that can be performed
 - No more than a few thousand iterations before stack overflow
 - ☒ Usually slower due to method call overhead
 - Every time a method is called, a few instructions are needed to set up the method call (e.g., allocate space for local vars, etc.)
 - For small recursive methods (a few lines or less), this call overhead will become a significant factor of the processing

When to use recursion?

- When the algorithm is considerably simpler than the iterative version
- ...and the overheads of method calls are inconsequential
 - towers of hanoi
 - merge sort
 - quick sort
 - parsing binary trees
- ...and when there is little chance of a stack overflow

“To understand recursion,
one must first
understand recursion.”

— Stephen Hawking



Next Week

- Objects (Revision)
- Stacks
- Queues