

# Fundamental Algorithms for Bioinformatics

## *Algorithm Design*

The Consecutive Ones Property

# The Consecutive Ones Property

## CONSECUTIVE ONES PROPERTY (C1P)

**Input:** A Boolean matrix  $A$

**Output:** A permutation of the columns of  $A$  such that in the the resulting matrix in each row the 1's appear in consecutive positions; Or "NO", meaning that no permutation of the columns results in a matrix where all rows have the ones in consecutive positions

Example 1: A matrix that has the C1P (namely, it can be appropriately permuted)

	c1	c2	c3	c4	c5
r1	0	1	0	1	1
r2	1	1	0	0	1
r3	0	1	0	1	0
r4	1	0	1	0	0

Choosing the permutation  
of the columns:

c3, c1, c5, c2, c4

The resulting matrix has C1P



	c3	c1	c5	c2	c4
r1	0	0	1	1	1
r2	0	1	1	1	0
r3	0	0	0	1	1
r4	1	1	0	0	0

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Example 2: A matrix that does not have the C1P

	c1	c2	c3	c4
r1	0	1	1	0
r2	0	1	0	1
r3	1	1	0	0



Because of r1 and r2,  
if we want the C1P  
we must have c2  
between c3 and c4.  
There are two  
possibilities



In either case, wherever we put c1  
results in a matrix where the ones in  
the row 3 are not consecutive!

	c1	c3	c2	c4	c1
r1	0	1	1	0	0
r2	0	0	1	1	0
r3	1	0	1	0	1

	c1	c4	c2	c3	c1
r1	0	0	1	1	0
r2	0	1	1	0	0
r3	1	0	1	0	1

# The Consecutive Ones Property

## CONSECUTIVE ONES PROPERTY (C1P)

**Input:** A Boolean matrix **A**

**Output:** A permutation of the columns of **A** such that in the the resulting matrix in each row the 1's appear in consecutive positions; Or "NO", meaning that no permutation of the columns results in a matrix where all rows have the ones in consecutive positions

Example 2: A matrix that has more than one solution

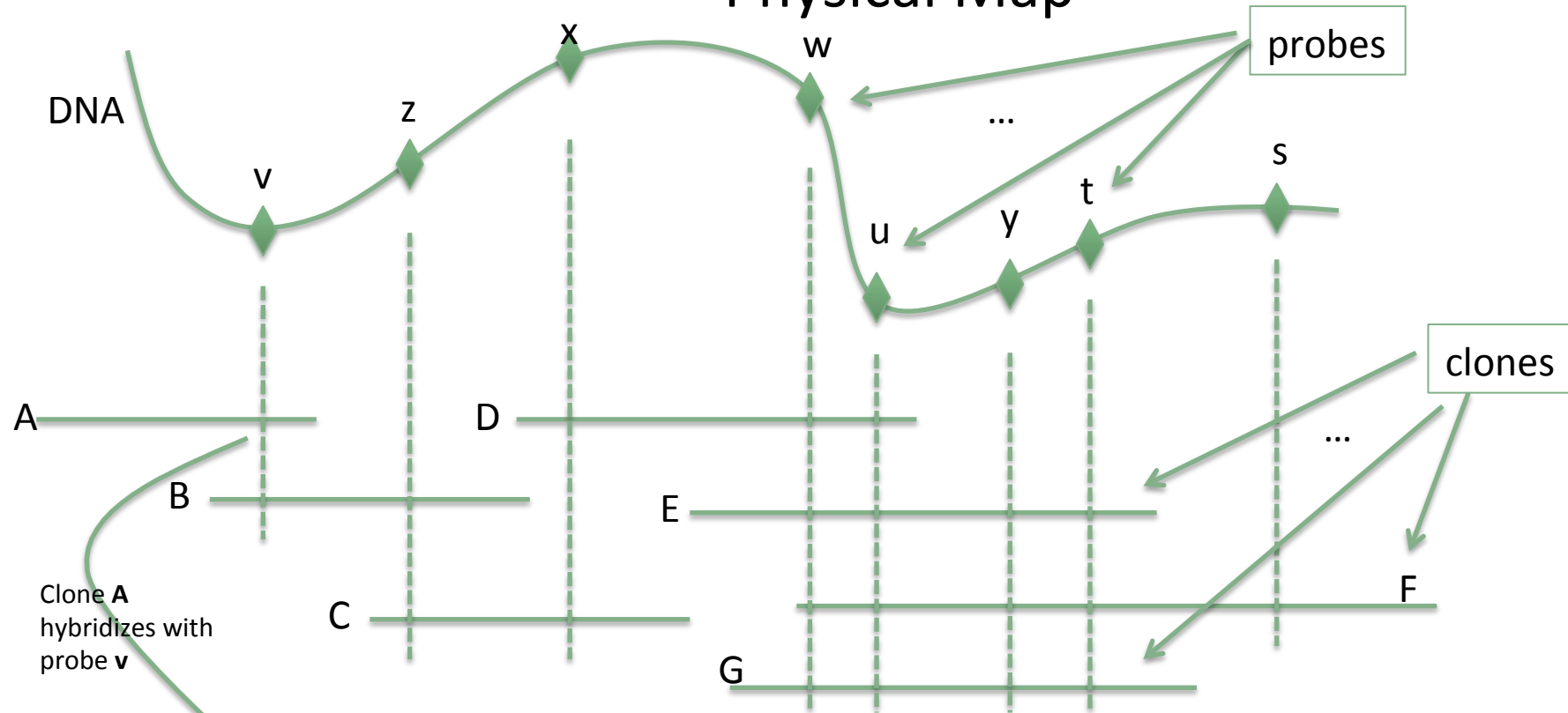
	c1	c2	c3	c4	c5
r1	0	1	1	1	0
r2	1	1	0	1	0
r3	1	0	0	0	1

We can put c2 and c4 in any order

In addition for any of the solutions shown reversing the order of the columns gives another solution

	c3	c2	c4	c1	c5
r1	1	1	1	0	0
r2	0	1	1	1	0
r3	0	0	0	1	1

	c3	c4	c2	c1	c5
r1	1	1	1	0	0
r2	0	1	1	1	0
r3	0	0	0	1	1



	t	s	u	v	w	x	y	z
A	0	0	0	1	0	0	0	0
B	0	0	0	1	0	0	0	1
C	0	0	0	0	0	1	0	1
D	0	0	1	0	1	1	0	0
E	1	0	1	0	1	0	1	0
F	1	1	1	0	1	0	1	0
G	1	0	1	0	1	0	1	0

Permuting the columns so to check the CP1 gives an order for the probes, representing the physical map



	v	z	x	w	u	y	t	s
A	1	0	0	0	0	0	0	0
B	1	1	0	0	0	0	0	0
C	0	1	1	0	0	0	0	0
D	0	0	1	1	1	0	0	0
E	0	0	0	1	1	1	1	0
F	0	0	0	1	1	1	1	1
G	0	0	0	1	1	1	1	1

- Experiments (hybridizations) are fully reliable (correct)
  - The permutation corresponding to the correct physical map is among the solutions of the CP1 problem
  - It is helpful to have algorithms that produce all solutions of the CP1 problem
    - Biologists can then do additional tests in order to discriminate among the different solutions
- Experiments might contain errors
  - False positives are spurious 1's in the matrix
  - False negatives are missing 1's in the matrix
  - We'll deal with errors later

# The Consecutive Ones Property

## CONSECUTIVE ONES PROPERTY (C1P)

**Input:** A Boolean matrix  $A$

**Output:** A permutation of the columns of  $A$  such that in the the resulting matrix in each row the 1's appear in consecutive positions; Or "NO", meaning that no permutation of the columns results in a matrix where all rows have the ones in consecutive positions

**Theorem.** The C1P problem can be solved in polynomial time

	c1	c2	c3	c4	c5
r1	0	1	0	1	1
r2	1	1	0	0	1
r3	0	1	0	1	0
r4	1	0	1	0	0

Let  $A$  be the  $n \times m$  input matrix

For each  $i=1, 2, \dots$ , we associate row  $r_i$  with the set  $S_i$  of the columns in which the 1's of row  $r_i$  are.

Example:

$$r_1 \rightarrow S_1 = \{2, 4, 5\}$$

$$r_2 \rightarrow S_2 = \{1, 2, 5\}$$

$$r_3 \rightarrow S_3 = \{1, 3\}$$

# Modeling via overlap graph

**Theorem.** The C1P problem can be solved in polynomial time

	c <sub>1</sub>	c <sub>2</sub>	c <sub>3</sub>	c <sub>4</sub>	c <sub>5</sub>	c <sub>6</sub>	c <sub>7</sub>	c <sub>8</sub>	c <sub>9</sub>
r <sub>1</sub>	1	1	0	1	1	0	1	0	1
r <sub>2</sub>	0	1	1	1	1	1	1	1	1
r <sub>3</sub>	0	1	0	1	1	0	1	0	1
r <sub>4</sub>	0	0	1	0	0	0	0	1	0
r <sub>5</sub>	0	0	1	0	0	1	0	0	0
r <sub>6</sub>	0	0	0	1	0	0	1	0	0
r <sub>7</sub>	0	1	0	0	0	0	1	0	0
r <sub>8</sub>	0	0	0	1	1	0	0	0	1

Let A be the n x m input matrix

For each  $i=1, 2, \dots$ , we associate row  $r_i$  with the set  $S_i$  of the columns in which the 1's of row  $r_i$  are.

Example: A is the 8 x 9 matrix on the right

$$r_1 \rightarrow S_1 = \{1, 2, 4, 5, 7, 9\}$$

$$r_2 \rightarrow S_2 = \{2, 3, 4, 5, 6, 7, 8, 9\}$$

$$r_3 \rightarrow S_3 = \{2, 4, 5, 7, 9\}$$

$$r_4 \rightarrow S_4 = \{3, 8\}$$

$$r_5 \rightarrow S_5 = \{3, 6\}$$

$$r_6 \rightarrow S_6 = \{4, 7\}$$

$$r_7 \rightarrow S_7 = \{2, 7\}$$

$$r_8 \rightarrow S_8 = \{4, 5, 9\}$$

**Definition** [**overlap**].

We say that two rows  $r_i$  and  $r_j$  **overlap** if the corresponding sets intersect but none of the two contains the other:

- $S_i \cap S_j \neq \emptyset$  they intersect
- $S_i \setminus S_j \neq \emptyset$   $S_j$  does not contain  $S_i$
- $S_j \setminus S_i \neq \emptyset$   $S_i$  does not contain  $S_j$

**Example** [**overlap**].

$r_1$  **overlaps** with  $r_2$

$r_3$  **overlaps** with no other row

$r_6$  **overlaps** with  $r_8$  and  $r_7$

$r_7$  does not **overlap** with  $r_6$



# Modeling via overlap graph

**Theorem.** The C1P problem can be solved in polynomial time

	c <sub>1</sub>	c <sub>2</sub>	c <sub>3</sub>	c <sub>4</sub>	c <sub>5</sub>	c <sub>6</sub>	c <sub>7</sub>	c <sub>8</sub>	c <sub>9</sub>
r <sub>1</sub>	1	1	0	1	1	0	1	0	1
r <sub>2</sub>	0	1	1	1	1	1	1	1	1
r <sub>3</sub>	0	1	0	1	1	0	1	0	1
r <sub>4</sub>	0	0	1	0	0	0	0	1	0
r <sub>5</sub>	0	0	1	0	0	1	0	0	0
r <sub>6</sub>	0	0	0	1	0	0	1	0	0
r <sub>7</sub>	0	1	0	0	0	0	1	0	0
r <sub>8</sub>	0	0	0	1	1	0	0	0	1

Let A be the n x m input matrix

For each  $i=1, 2, \dots$ , we associate row  $r_i$  with the set  $S_i$  of the columns in which the 1's of row  $r_i$  are.

**Example:** A is the 8 x 9 matrix on the right

$$r_1 \rightarrow S_1 = \{1, 2, 4, 5, 7, 9\}$$

$$r_2 \rightarrow S_2 = \{2, 3, 4, 5, 6, 7, 8, 9\}$$

$$r_3 \rightarrow S_3 = \{2, 4, 5, 7, 9\}$$

$$r_4 \rightarrow S_4 = \{3, 8\}$$

$$r_5 \rightarrow S_5 = \{3, 6\}$$

$$r_6 \rightarrow S_6 = \{4, 7\}$$

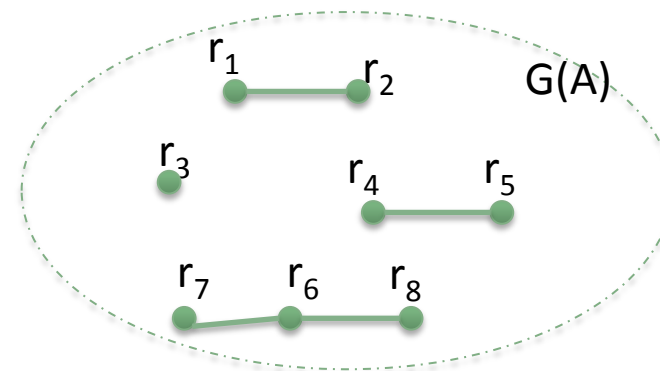
$$r_7 \rightarrow S_7 = \{2, 7\}$$

$$r_8 \rightarrow S_8 = \{4, 5, 9\}$$

**Definition [Overlap Graph].**

Given the matrix A, the overlap graph of A, denoted  $G(A)$ , is an **undirected** graph whose vertices represent the columns of A and whose edges represent the overlap between two rows

**Example [overlap graph of A]**



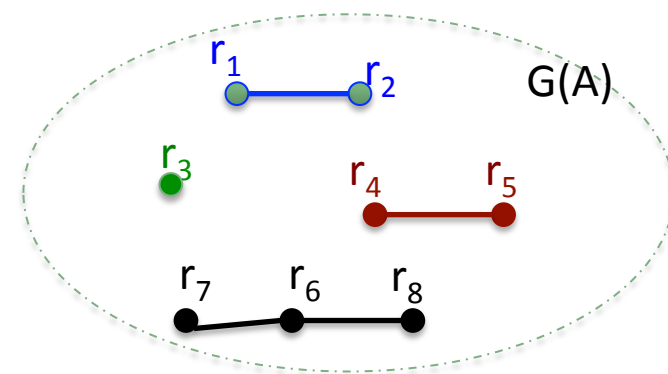
# Modeling via overlap graph

**Theorem.** The C1P problem can be solved in polynomial time

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	$c_7$	$c_8$	$c_9$
$r_1$	1	1	0	1	1	0	1	0	1
$r_2$	0	1	1	1	1	1	1	1	1
$r_3$	0	1	0	1	1	0	1	0	1
$r_4$	0	0	1	0	0	0	0	1	0
$r_5$	0	0	1	0	0	1	0	0	0
$r_6$	0	0	0	1	0	0	1	0	0
$r_7$	0	1	0	0	0	0	1	0	0
$r_8$	0	0	0	1	1	0	0	0	1

$A_1$  (rows  $r_1, r_2$ )  
 $A_2$  (rows  $r_3$ )  
 $A_3$  (rows  $r_4, r_5$ )  
 $A_4$  (rows  $r_6, r_7, r_8$ )

Example [**overlap graph of A**]



Each connected component of the **Overlap Graph** represents a submatrix  $A'$  of  $A$  such that for each row  $r'$  in  $A'$  there is another row  $r''$  in  $A'$  that overlaps with  $r'$ .

**Lemma.**

Let  $A_1, \dots, A_k$ , be the submatrices (subsets of rows) of  $A$  corresponding to the connected components of  $G(A)$ . Then  $A$  has the C1P if and only if for each  $i=1, \dots, k$ ,  $A_i$  has the C1P

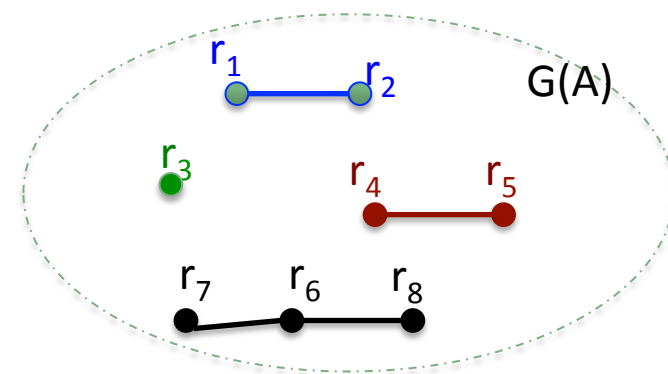
# Modeling via overlap graph

**Theorem.** The C1P problem can be solved in polynomial time

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	$c_7$	$c_8$	$c_9$
$r_1$	1	1	0	1	1	0	1	0	1
$r_2$	0	1	1	1	1	1	1	1	1
$r_3$	0	1	0	1	1	0	1	0	1
$r_4$	0	0	1	0	0	0	0	1	0
$r_5$	0	0	1	0	0	1	0	0	0
$r_6$	0	0	0	1	0	0	1	0	0
$r_7$	0	1	0	0	0	0	1	0	0
$r_8$	0	0	0	1	1	0	0	0	1

$A_1$  (rows  $r_1, r_2$ )  
 $A_2$  (rows  $r_3$ )  
 $A_3$  (rows  $r_4, r_5$ )  
 $A_4$  (rows  $r_6, r_7, r_8$ )

Example [**overlap graph of  $A$** ]



**Lemma.**

Let  $A_1, \dots, A_k$  be the submatrices (subsets of rows) of  $A$  corresponding to the connected components of  $G(A)$ . Then  $A$  has the C1P if and only if for each  $i=1, \dots, k$ ,  $A_i$  has the C1P

**Proof:** Clearly if  $A$  has C1P then each  $A_i$  has the property: simply choose the same permutation of columns showing the property for  $A$ .

Therefore if we find one  $A_i$  not having C1P it follows that  $A$  doesn't have it.

# Modeling via overlap graph

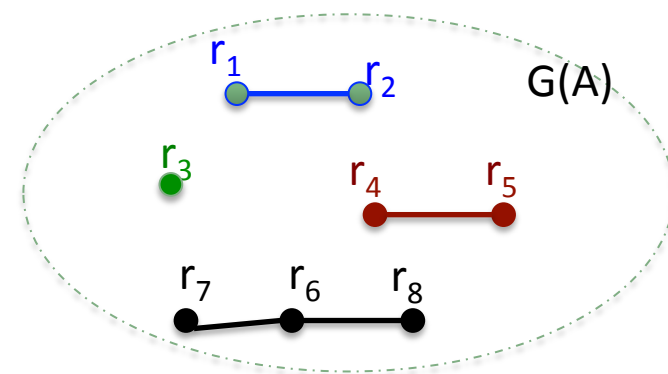
**Theorem.** The C1P problem can be solved in polynomial time

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	$c_7$	$c_8$	$c_9$
$r_1$	1	1	0	1	1	0	1	0	1
$r_2$	0	1	1	1	1	1	1	1	1
$r_3$	0	1	0	1	1	0	1	0	1
$r_4$	0	0	1	0	0	0	0	1	0
$r_5$	0	0	1	0	0	1	0	0	0
$r_6$	0	0	0	1	0	0	1	0	0
$r_7$	0	1	0	0	0	0	1	0	0
$r_8$	0	0	0	1	1	0	0	0	1

$A_1$  (rows  $r_1, r_2$ )  
 $A_2$  (rows  $r_3$ )  
 $A_3$  (rows  $r_4, r_5$ )  
 $A_4$  (rows  $r_6, r_7, r_8$ )

1. We will first look at how to verify, independently, that the submatrices (components)  $A_1, A_2, A_3, A_4$ , have the C1P

Example [**overlap graph of  $A$** ]



**Lemma.**

Let  $A_1, \dots, A_k$ , be the submatrices (subsets of rows) of  $A$  corresponding to the connected components of  $G(A)$ . Then  $A$  has the C1P if and only if for each  $i=1, \dots, k$ ,  $A_i$  has the C1P

**Proof:** Clearly if  $A$  has C1P then each  $A_i$  has the property: simply choose the same permutation of columns showing the property for  $A$ .

Therefore if we find one  $A_i$  not having C1P it follows that  $A$  doesn't have it.

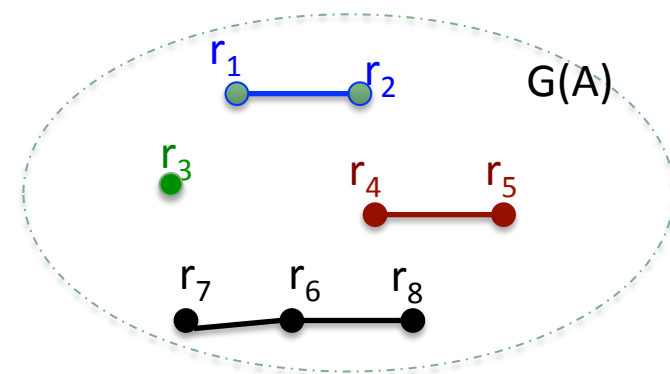
# Modeling via overlap graph

**Theorem.** The C1P problem can be solved in polynomial time

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	$c_7$	$c_8$	$c_9$
$r_1$	1	1	0	1	1	0	1	0	1
$r_2$	0	1	1	1	1	1	1	1	1
$r_3$	0	1	0	1	1	0	1	0	1
$r_4$	0	0	1	0	0	0	0	1	0
$r_5$	0	0	1	0	0	1	0	0	0
$r_6$	0	0	0	1	0	0	1	0	0
$r_7$	0	1	0	0	0	0	1	0	0
$r_8$	0	0	0	1	1	0	0	0	1

$A_1$  (rows  $r_1, r_2$ )  
 $A_2$  (rows  $r_3$ )  
 $A_3$  (rows  $r_4, r_5$ )  
 $A_4$  (rows  $r_6, r_7, r_8$ )

Example [**overlap graph of A**]



**Lemma.**

Let  $A_1, \dots, A_k$  be the submatrices (subsets of rows) of  $A$  corresponding to the connected components of  $G(A)$ . Then  $A$  has the C1P if and only if for each  $i=1, \dots, k$ ,  $A_i$  has the C1P

**Proof:** Clearly if  $A$  has C1P then each  $A_i$  has the property: simply choose the same permutation of columns showing the property for  $A$ .

Therefore if we find one  $A_i$  not having C1P it follows that  $A$  doesn't have it.

1. We will first look at how to verify, independently, that the submatrices (components)  $A_1, A_2, A_3, A_4$ , have the C1P
2. Then we will prove that if  $A_1, A_2, \dots, A_k$ , have the C1P, we can combine the permutations into one showing that  $A$  has C1P

# Solving the C1P for one component

Let us consider as an example a new matrix B with only one component

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$
$r_1$	1	1	0	1	0	1
$r_2$	0	1	1	0	1	1
$r_3$	0	1	0	1	1	1
$r_4$	1	0	0	1	0	1

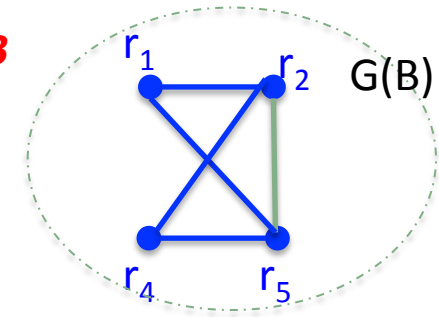
$$S_1 = \{1, 2, 4, 6\}$$

$$S_2 = \{2, 3, 5, 6\}$$

$$S_3 = \{2, 4, 5, 6\}$$

$$S_4 = \{1, 4, 6\}$$

Here is the **overlap graph of B**



**We check the C1P one row at a time**

**Step 1 – the first row**

Trivially one row can always be accommodated by putting all the ones in consecutive positions

**We start with  $r_1$ , put  $c_1, c_2, c_4, c_6$  consecutively and in order to indicate that every permutation is equally good, we consider it one (multi)-column labeled by the set  $\{c_1, c_2, c_4, c_6\}$**

		$\{c_1, c_2, c_4, c_6\}$				
$r_1$		1	1	1	1	

# Solving the C1P for one component

Let us consider as an example a new matrix B with only one component

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$
$r_1$	1	1	0	1	0	1
$r_2$	0	1	1	0	1	1
$r_3$	0	1	0	1	1	1
$r_4$	1	0	0	1	0	1

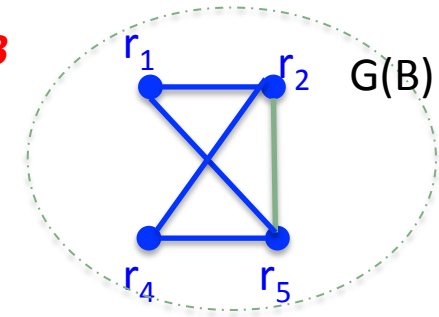
$S_1 = \{1, 2, 4, 6\}$

$S_2 = \{2, 3, 5, 6\}$

$S_3 = \{2, 4, 5, 6\}$

$S_4 = \{1, 4, 6\}$

Here is the **overlap graph of B**



**We check the C1P one row at a time**

**Step 2 – the second row**

**Also a second row can always be accommodated.**

There is a row in the component that overlaps with the (first) row already taken care of.

For instance, in this case we could take  $r_2$

**We modify the matrix we have for  $r_1$ , so to have the columns in  $S_1 \cap S_2 = \{c_2, c_6\}$  consecutively, and on one side the columns of  $S_1 \setminus S_2 = \{c_1, c_4\}$  and on the other side the columns of  $S_2 \setminus S_1 = \{c_3, c_5\}$**

**Again the set notation indicates that any permutation of those columns will do**

		$\{c_1, c_2, c_4, c_6\}$				
$r_1$		1	1	1	1	



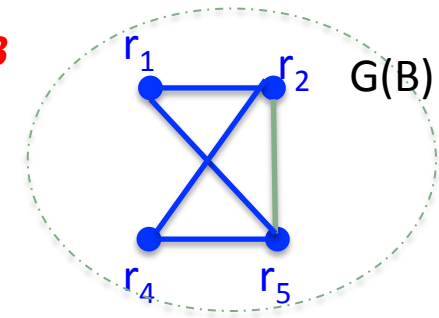
	$\{c_1, c_4\}$		$\{c_2, c_6\}$		$\{c_3, c_5\}$	
$r_1$	1	1	1	1	0	0
$r_2$	0	0	1	1	1	1

# Solving the C1P for one component

Let us consider as an example a new matrix B with only one component

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	
$r_1$	1	1	0	1	0	1	$S_1=\{1,2,4,6\}$
$r_2$	0	1	1	0	1	1	$S_2=\{2,3,5,6\}$
$r_3$	0	1	0	1	1	1	$S_3=\{2,4,5,6\}$
$r_4$	1	0	0	1	0	1	$S_4=\{1,4,6\}$

Here is the **overlap graph of B**



**We check the C1P one row at a time**

**Step  $i > 2$  – the third and following rows**

**We select a new row  $a$  that overlaps with one of the rows already processed,  $b$ , and another already processed,  $c$ , which overlaps with  $b$ .** We try to find out on which side of  $b$ , the row  $a$  should go, by considering the constraints imposed by the overlaps of  $a$  with  $b$ , of  $a$  with  $c$  (if any) and of  $b$  with  $c$ .

		$\{c_1, c_2, c_4, c_6\}$				
$r_1$		1	1	1	1	



	$\{c_1, c_4\}$		$\{c_2, c_6\}$		$\{c_3, c_5\}$	
$r_1$	1	1	1	1	0	0
$r_2$	0	0	1	1	1	1

For instance in this example, when we try to add row  $r_3$ , we can choose to compare with  $r_2$  which overlaps with  $r_3$  and with  $r_1$  which overlaps with  $r_2$



# Solving the C1P for one component

Let us consider as an example a new matrix B with only one component

	c <sub>1</sub>	c <sub>2</sub>	c <sub>3</sub>	c <sub>4</sub>	c <sub>5</sub>	c <sub>6</sub>	
r <sub>1</sub>	1	1	0	1	0	1	S <sub>1</sub> =\{1,2,4,6\}
r <sub>2</sub>	0	1	1	0	1	1	S <sub>2</sub> =\{2,3,5,6\}
r <sub>3</sub>	0	1	0	1	1	1	S <sub>3</sub> =\{2,4,5,6\}
r <sub>4</sub>	1	0	0	1	0	1	S <sub>4</sub> =\{1,4,6\}

**We check the C1P one row at a time**

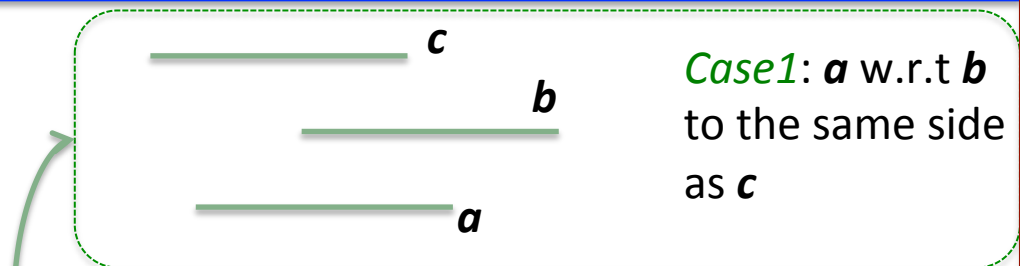
**Step  $i > 2$  – the third and following rows**

**We select a new row  $a$  that overlaps with one of the rows already processed,  $b$ , and another already processed,  $c$ , which overlaps with  $b$ .**

## Definition

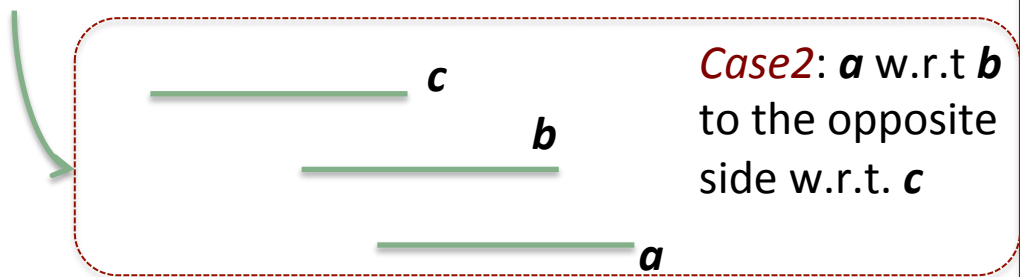
For rows  $r_i$  and  $r_j$  let  $r_i \cdot r_j$  denote  $|S_i \cap S_j|$

Assume the C1P holds, then there is permutation of columns such that  $a$ ,  $b$ ,  $c$  have ones consecutively. W.l.o.g.  $c$  sticks out to the left of  $b$  then either  $a$  also sticks out to the left of  $b$  (same side as  $c$ ) or to the right of  $b$  (opposite side with respect to (w.r.t)  $c$ )



**Case1** if and only if:  $a \cdot c \geq \min \{a \cdot b, b \cdot c\}$

**Case2** if and only if:  $a \cdot c < \min \{a \cdot b, b \cdot c\}$



# Solving the C1P for one component

Let us consider as an example a new matrix B with only one component

	c <sub>1</sub>	c <sub>2</sub>	c <sub>3</sub>	c <sub>4</sub>	c <sub>5</sub>	c <sub>6</sub>	
r <sub>1</sub>	1	1	0	1	0	1	S <sub>1</sub> = $\{1,2,4,6\}$
r <sub>2</sub>	0	1	1	0	1	1	S <sub>2</sub> = $\{2,3,5,6\}$
r <sub>3</sub>	0	1	0	1	1	1	S <sub>3</sub> = $\{2,4,5,6\}$
r <sub>4</sub>	1	0	0	1	0	1	S <sub>4</sub> = $\{1,4,6\}$

**We check the C1P one row at a time**

**Step  $i > 2$  – the third and following rows**

**We select a new row  $a$  that overlaps with one of the rows already processed,  $b$ , and another already processed,  $c$ , which overlaps with  $b$ .**

**For instance** in the example, when we try to add row  $r_3$ , comparing with  $r_2$  which overlaps with  $r_3$  and with  $r_1$  which overlaps with  $r_2$

- $r_3 \cdot r_1 = 3$ ,  $r_3 \cdot r_2 = 3$ ,  $r_2 \cdot r_1 = 2$  then
- $r_3 \cdot r_1 \geq \min \{r_3 \cdot r_2, r_2 \cdot r_1\}$ , i.e we have **Case1**
- **Then** row  $r_3$  must be on the same side as  $r_1$  w.r.t.  $r_2$

	{c <sub>1</sub> , c <sub>4</sub> }		{c <sub>2</sub> , c <sub>6</sub> }		{c <sub>3</sub> , c <sub>5</sub> }	
r <sub>1</sub>	1	1	1	1	0	0
r <sub>2</sub>	0	0	1	1	1	1



We put  $r_3$  1's to the side of  $r_2$  according to Case1 and in order to satisfy the intersection size with  $r_2$

	{c <sub>1</sub> , c <sub>4</sub> }		{c <sub>2</sub> , c <sub>6</sub> }		{c <sub>3</sub> , c <sub>5</sub> }	
r <sub>1</sub>	1	1	1	1	0	0
r <sub>2</sub>	0	0	1	1	1	1
r <sub>3</sub>		1	1	1	1	



We redefine columns order according to 1's in  $r_3$ . **If wasn't possible then C1P wouldn't hold**

	c <sub>1</sub>	c <sub>4</sub>	{c <sub>2</sub> , c <sub>6</sub> }		c <sub>5</sub>	c <sub>3</sub>
r <sub>1</sub>	1	1	1	1	0	0
r <sub>2</sub>	0	0	1	1	1	1
r <sub>3</sub>	0	1	1	1	1	0

# Solving the C1P for one component

The matrix B

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	
$r_1$	1	1	0	1	0	1	$S_1=\{1,2,4,6\}$
$r_2$	0	1	1	0	1	1	$S_2=\{2,3,5,6\}$
$r_3$	0	1	0	1	1	1	$S_3=\{2,4,5,6\}$
$r_4$	1	0	0	1	0	1	$S_4=\{1,4,6\}$

**We check the C1P one row at a time**

•

In the example, we finally try to add row  $r_4$ , comparing with  $r_3$  which overlaps with  $r_4$  and with  $r_2$  which overlaps with  $r_3$

- $r_4 \cdot r_2 = 1$ ,  $r_4 \cdot r_3 = 2$ ,  $r_2 \cdot r_3 = 2$  then
- $r_4 \cdot r_1 < \min\{r_4 \cdot r_3, r_2 \cdot r_3\}$ , i.e we have **Case2**
- **Then** row  $r_4$  must be on the opposite side of  $r_2$  w.r.t.  $r_3$

	$c_1$	$c_4$	$\{c_2, c_6\}$		$c_5$	$c_3$
$r_1$	1	1	1	1	0	0
$r_2$	0	0	1	1	1	1
$r_3$	0	1	1	1	1	0

↓ We put the 1's of  $r_4$  to the side of  $r_3$  according to Case2 and in order to satisfy the intersection size with  $r_3$

	$c_1$	$c_4$	$\{c_2, c_6\}$		$c_5$	$c_3$
$r_1$	1	1	1	1	0	0
$r_2$	0	0	1	1	1	1
$r_3$	0	1	1	1	1	0
$r_4$	1	1	1			

↓ We redefine columns order (for  $c_2, c_6$ ) according to 1's in  $r_3$ .

	$c_1$	$c_4$	$c_6$	$c_2$	$c_5$	$c_3$
$r_1$	1	1	1	1	0	0
$r_2$	0	0	1	1	1	1
$r_3$	0	1	1	1	1	0
$r_4$	1	1	1	0	0	0

# Solving the C1P for one component

The matrix B

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	
$r_1$	1	1	0	1	0	1	$S_1=\{1,2,4,6\}$
$r_2$	0	1	1	0	1	1	$S_2=\{2,3,5,6\}$
$r_3$	0	1	0	1	1	1	$S_3=\{2,4,5,6\}$
$r_4$	1	0	0	1	0	1	$S_4=\{1,4,6\}$

**We check the C1P one row at a time**

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In the example, we finally try to add row  $r_4$ , comparing with  $r_3$  which overlaps with  $r_4$  and with  $r_2$  which overlaps with  $r_3$

- $r_4 \cdot r_2 = 1$ ,  $r_4 \cdot r_3 = 2$ ,  $r_2 \cdot r_3 = 2$  then
- $r_4 \cdot r_1 < \min\{r_4 \cdot r_3, r_2 \cdot r_3\}$ , i.e we have **Case2**
- **Then** row  $r_4$  must be on the opposite side of  $r_2$  w.r.t  $r_3$

We have found a permutation of the columns of B, namely:  $c_1, c_4, c_6, c_2, c_5, c_3$   
Showing that the C1P holds.

	$c_1$	$c_4$	$\{c_2, c_6\}$		$c_5$	$c_3$
$r_1$	1	1	1	1	0	0
$r_2$	0	0	1	1	1	1
$r_3$	0	1	1	1	1	0



We put  $r_4$  1's to the side of  $r_3$  according to Case2 and in order to satisfy the intersection size with  $r_3$

	$c_1$	$c_4$	$\{c_2, c_6\}$		$c_5$	$c_3$
$r_1$	1	1	1	1	0	0
$r_2$	0	0	1	1	1	1
$r_3$	0	1	1	1	1	0
$r_4$	1	1	1			



We redefine columns order (for  $c_2, c_6$ ) according to 1's in  $r_3$ .

	$c_1$	$c_4$	$c_6$	$c_2$	$c_5$	$c_3$
$r_1$	1	1	1	1	0	0
$r_2$	0	0	1	1	1	1
$r_3$	0	1	1	1	1	0
$r_4$	1	1	1	0	0	0

# ...back to our theorem (and initial example)

	c <sub>1</sub>	c <sub>2</sub>	c <sub>3</sub>	c <sub>4</sub>	c <sub>5</sub>	c <sub>6</sub>	c <sub>7</sub>	c <sub>8</sub>	c <sub>9</sub>	
r <sub>1</sub>	1	1	0	1	1	0	1	0	1	A <sub>1</sub>
r <sub>2</sub>	0	1	1	1	1	1	1	1	1	
r <sub>3</sub>	0	1	0	1	1	0	1	0	1	A <sub>2</sub>
r <sub>4</sub>	0	0	1	0	0	0	0	1	0	
r <sub>5</sub>	0	0	1	0	0	1	0	0	0	A <sub>3</sub>
r <sub>6</sub>	0	0	0	1	0	0	1	0	0	
r <sub>7</sub>	0	1	0	0	0	0	1	0	0	A <sub>4</sub>
r <sub>8</sub>	0	0	0	1	1	0	0	0	1	

	c <sub>1</sub>	{c <sub>2</sub> , c <sub>4</sub> , c <sub>5</sub> , c <sub>7</sub> , c <sub>9</sub> }					{c <sub>3</sub> , c <sub>6</sub> , c <sub>8</sub> }		
r <sub>1</sub>	1	1	1	1	1	1	0	0	0
r <sub>2</sub>	0	1	1	1	1	1	1	1	1

		{c <sub>2</sub> , c <sub>4</sub> , c <sub>5</sub> , c <sub>7</sub> , c <sub>9</sub> }					
r <sub>3</sub>		1	1	1	1	1	

	c <sub>6</sub>	c <sub>3</sub>	c <sub>8</sub>
r <sub>4</sub>	0	1	1
r <sub>2</sub>	1	1	0

A<sub>1</sub>A<sub>4</sub>

	c <sub>2</sub>	c <sub>7</sub>	c <sub>4</sub>	{c <sub>5</sub> , c <sub>9</sub> }	
r <sub>6</sub>	0	1	1	0	0
r <sub>7</sub>	1	1	0	0	0
r <sub>8</sub>	0	0	1	1	1

1. We will first look at how to verify, independently, that the submatrices (components) A<sub>1</sub>, A<sub>2</sub>, A<sub>3</sub>, A<sub>4</sub>, have the C1P

**By applying the method of the previous slide to the components** A<sub>1</sub>, A<sub>2</sub>, A<sub>3</sub>, A<sub>4</sub>, we find that they all have the C1P and get the following permutations ( for each submatrix we accommodated we are reporting only the columns with 1's )

## ...back to our initial example

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	$c_7$	$c_8$	$c_9$	
$r_1$	1	1	0	1	1	0	1	0	1	$A_1$
$r_2$	0	1	1	1	1	1	1	1	1	
$r_3$	0	1	0	1	1	0	1	0	1	$A_2$
$r_4$	0	0	1	0	0	0	0	1	0	
$r_5$	0	0	1	0	0	1	0	0	0	$A_3$
$r_6$	0	0	0	1	0	0	1	0	0	
$r_7$	0	1	0	0	0	0	1	0	0	$A_4$
$r_8$	0	0	0	1	1	0	0	0	1	

	$c_1$	$\{c_2, c_4, c_5, c_7, c_9\}$					$\{c_3, c_6, c_8\}$		
$r_1$	1	1	1	1	1	1	0	0	0
$r_2$	0	1	1	1	1	1	1	1	1

		$\{c_2, c_4, c_5, c_7, c_9\}$					
$r_3$		1	1	1	1	1	

	$c_6$	$c_3$	$c_8$
$r_4$	0	1	1
$r_2$	1	1	0

	$c_2$	$c_7$	$c_4$	$\{c_5, c_9\}$	
$r_6$	0	1	1	0	0
$r_7$	1	1	0	0	0
$r_8$	0	0	1	1	1

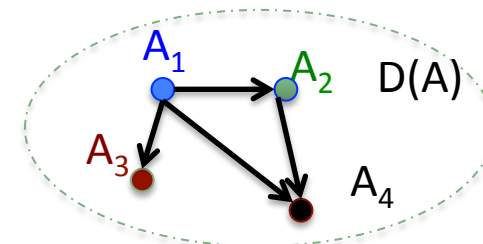
2. Then we will prove that if  $A_1, A_2, \dots, A_k$  have the C1P, we can combine the permutations into one showing that  $A$  has C1P

**Definition.**

**The Containment graph of  $A$ ,  $D(A)$**  is the **directed** graph defined by:

- the components of  $G(A)$  are the vertices of  $D(A)$
- there is an edge  $A_i \rightarrow A_j$  if in  $A_j$  there is a row that is contained in some row of  $A_i$

Example [**containment graph of  $A$** ]



## ...back to our initial example

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	$c_7$	$c_8$	$c_9$
$r_1$	1	1	0	1	1	0	1	0	1
$r_2$	0	1	1	1	1	1	1	1	1
$r_3$	0	1	0	1	1	0	1	0	1
$r_4$	0	0	1	0	0	0	0	1	0
$r_5$	0	0	1	0	0	1	0	0	0
$r_6$	0	0	0	1	0	0	1	0	0
$r_7$	0	1	0	0	0	0	1	0	0
$r_8$	0	0	0	1	1	0	0	0	1

 $A_1$  $A_2$  $A_3$  $A_4$ **Lemma.**

Let  $A_i \rightarrow A_j$  be an edge of the containment graph. For each row  $r$  of  $A_j$  denote by  $R(r)$  the set of rows in  $A_i$  that contain  $r$ .

For any  $r, r'$  in  $A_j$  it holds that  $R(r) = R(r')$ .

**Corollary**

The lemma says that the rows of  $A_j$  are all contained in exactly the same rows of  $A_i$ . Thus, all these rows of  $A_i$  must have 1's in the columns where rows of  $A_j$  have a 1. Therefore, we can find a block of columns in the permutation of  $A_i$  where we can insert  $A_j$ .

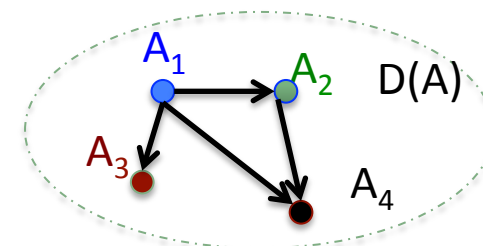
2. Then we will prove that if  $A_1, A_2, \dots, A_k$  have the C1P, we can combine the permutations into one showing that  $A$  has C1P

**Definition.**

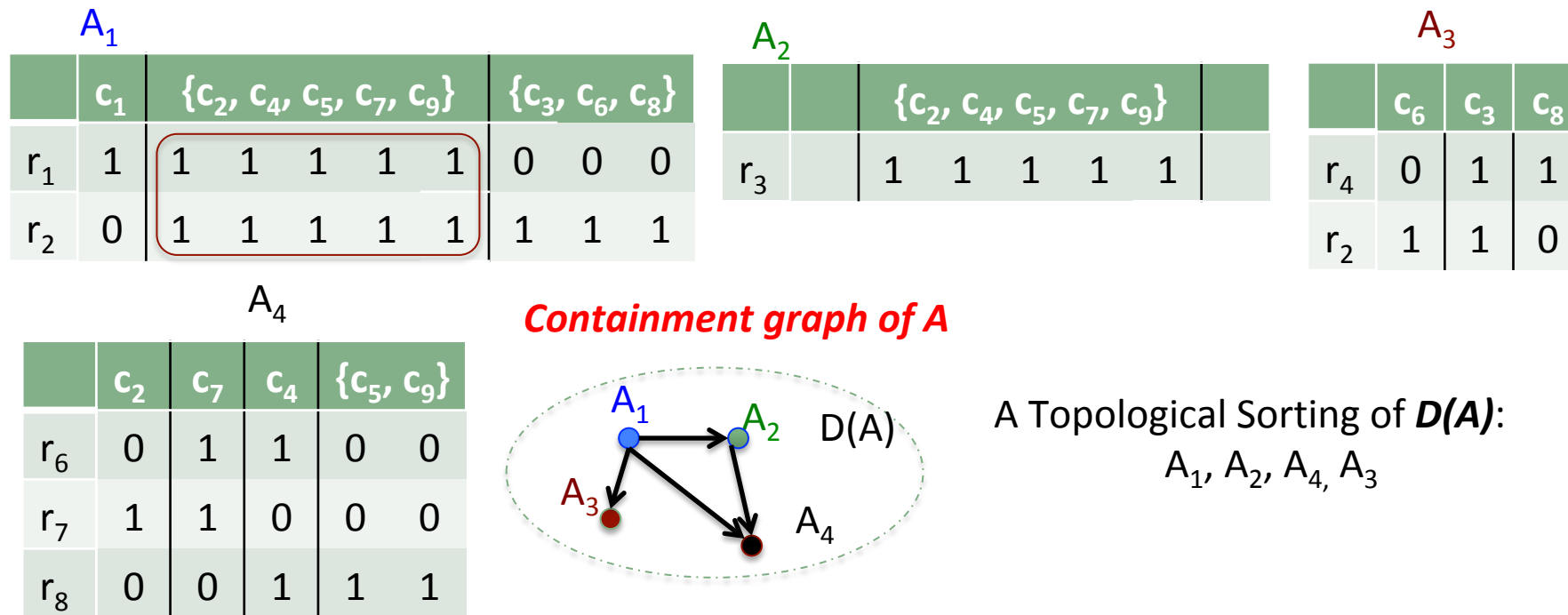
**The Containment graph of  $A$ ,  $D(A)$**  is the **directed** graph defined by:

- the components of  $G(A)$  are the vertices of  $D(A)$
- there is an edge  $A_i \rightarrow A_j$  if in  $A_j$  there is a row that is contained in some row of  $A_i$

Example [**containment graph of  $A$** ]



# ...Putting together the component-permutations



By the **Corollary**, we can combine permutations for the component-submatrices, following a *topological sorting* of  $D(A)$ . Note that  $D(A)$  is a DAG (there cannot be cycles): it can be *topologically sorted*. In the example, we can choose  $A_1, A_2, A_4, A_3$



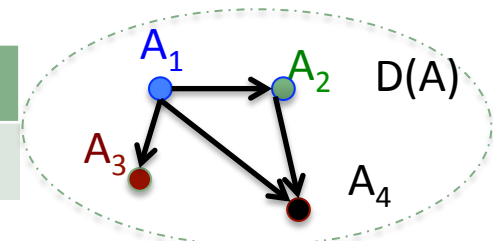
# ...Putting together the component-permutations

$A_1$

	$c_1$	$\{c_2, c_4, c_5, c_7, c_9\}$	$\{c_3, c_6, c_8\}$
$r_1$	1	1 1 1 1 1	0 0 0
$r_2$	0	1 1 1 1 1	1 1 1

$A_2$

		$\{c_2, c_4, c_5, c_7, c_9\}$	
$r_3$		1 1 1 1 1	



**Containment graph of  $A$**

A Topological Sorting of  $D(A)$ :

$$A_1, A_2, A_4, A_3$$

**Let's start with  $A_1$  and  $A_2$**

$A_1 \rightarrow A_2$  implies that the rows of  $A_1$  containing all the rows of  $A_2$  have a block of columns with all 1's (see above   ).

No other row in  $A_1$  has a 1 in these columns. Thus these columns can be permuted according to  $A_2$  permutation.

Then we get the new matrix

$$B = A_1 \oplus A_2$$

	$c_1$	$\{c_2, c_4, c_5, c_7, c_9\}$	$\{c_3, c_6, c_8\}$
$r_1$	1	1 1 1 1 1	0 0 0
$r_2$	0	1 1 1 1 1	1 1 1
$r_3$	0	1 1 1 1 1	0 0 0

Following the topological order, we are now going to merge  $B$  with  $A_4$

**Note that  $B$**  inherits the containment properties of  $A_1$  and  $A_2$  with respect to the remaining components/submatrices. If we substituted  $A_1$  and  $A_2$  in  $D(A)$  with  $B$ , we would have  $B \rightarrow A_4$

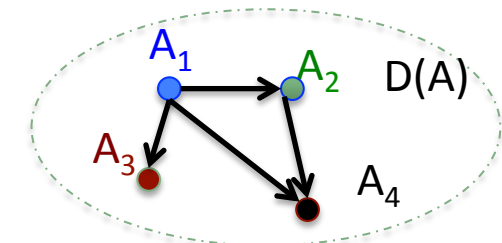
# ...Putting together the component-permutations

$$B = A_1 \oplus A_2$$

	$c_1$	$\{c_2, c_4, c_5, c_7, c_9\}$					$\{c_3, c_6, c_8\}$		
$r_1$	1	1	1	1	1	1	0	0	0
$r_2$	0	1	1	1	1	1	1	1	1
$r_3$	0	1	1	1	1	1	0	0	0

$$A_4$$

	$c_2$	$c_7$	$c_4$	$\{c_5, c_9\}$	
$r_6$	0	1	1	0	0
$r_7$	1	1	0	0	0
$r_8$	0	0	1	1	1



A Topological Sorting of  $D(A)$ :  
 $A_1, A_2, A_4, A_3$

**Let's merge  $B$  and  $A_4$**

$B \rightarrow A_4$  implies that the rows of  $B$  containing all the rows of  $A_4$  have a block of columns with all 1's (see above   ). No other row in  $B$  ---in this case there aren't any--- can have a 1 in these columns. Thus these columns can be permuted according to  $A_4$  permutation. Then we get the new matrix  $C = B \oplus A_4 = A_1 \oplus A_2 \oplus A_4$

	$c_1$	$\{c_2, c_4, c_5, c_7, c_9\}$					$\{c_3, c_6, c_8\}$		
$r_1$	1	1	1	1	1	1	0	0	0
$r_2$	0	1	1	1	1	1	1	1	1
$r_3$	0	1	1	1	1	1	0	0	0

	$c_2$	$c_7$	$c_4$	$\{c_5, c_9\}$	
$r_6$	0	1	1	0	0
$r_7$	1	1	0	0	0
$r_8$	0	0	1	1	1

$$C = B \oplus A_4 = A_1 \oplus A_2 \oplus A_4$$

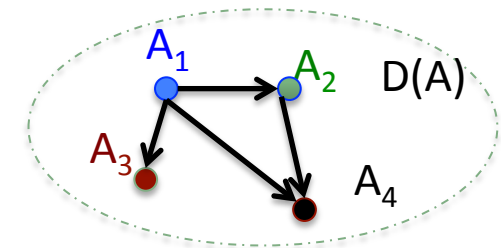
	$c_1$	$c_2$	$c_7$	$c_4$	$\{c_5, c_9\}$		$\{c_3, c_6, c_8\}$		
$r_1$	1	1	1	1	1	1	0	0	0
$r_2$	0	1	1	1	1	1	1	1	1
$r_3$	0	1	1	1	1	1	0	0	0
$r_6$	0	0	1	1	0	0	0	0	0
$r_7$	0	1	1	0	0	0	0	0	0
$r_8$	0	0	0	1	1	1	0	0	0

# ...Putting together the component-permutations

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**Let's merge  $C = A_1 \oplus A_2 \oplus A_4$  and  $A_3$**

$A_1 \rightarrow A_3$  **implies** that the rows of  $C$  containing all the rows of  $A_3$  (coinciding with those originally in  $A_1$ ) have a block of columns with all 1's (see below   ). No other row in  $C$  can have a 1 in these columns. Thus these columns can be permuted according to  $A_3$  permutation.



A Topological Sorting of  $D(A)$ :

$A_1, A_2, A_4, A_3$

	$c_1$	$c_2$	$c_7$	$c_4$	$\{c_5, c_9\}$		$\{c_3, c_6, c_8\}$		
$r_1$	1	1	1	1	1	1	0	0	0
$r_2$	0	1	1	1	1	1	1	1	1
$r_3$	0	1	1	1	1	1	0	0	0
$r_6$	0	0	1	1	0	0	0	0	0
$r_7$	0	1	1	0	0	0	0	0	0
$r_8$	0	0	0	1	1	1	0	0	0

$A_3$

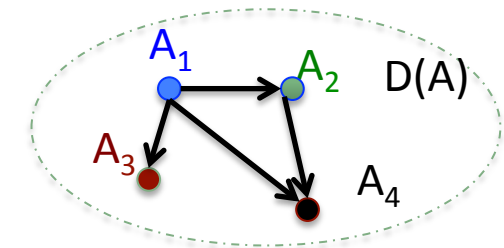
	$c_6$	$c_3$	$c_8$
$r_4$	0	1	1
$r_2$	1	1	0

	$c_1$	$c_2$	$c_7$	$c_4$	$\{c_5, c_9\}$		$c_6$	$c_3$	$c_8$
$r_1$	1	1	1	1	1	1	0	0	0
$r_2$	0	1	1	1	1	1	1	1	1
$r_3$	0	1	1	1	1	1	0	0	0
$r_6$	0	0	1	1	0	0	0	0	0
$r_7$	0	1	1	0	0	0	0	0	0
$r_8$	0	0	0	1	1	1	0	0	0
$r_4$	0	0	0	0	0	0	0	1	1
$r_2$	0	0	0	0	0	0	1	1	0

This is the final matrix showing C1P

# Final Observations

	$c_1$	$c_2$	$c_7$	$c_4$	$\{c_5, c_9\}$	$c_6$	$c_3$	$c_8$
$r_1$	1	1	1	1	1 1	0	0	0
$r_2$	0	1	1	1	1 1	1	1	1
$r_3$	0	1	1	1	1 1	0	0	0
$r_6$	0	0	1	1	0 0	0	0	0
$r_7$	0	1	1	0	0 0	0	0	0
$r_8$	0	0	0	1	1 1	0	0	0
$r_4$	0	0	0	0	0 0	0	1	1
$r_2$	0	0	0	0	0 0	1	1	0



A Topological Sorting of  $D(A)$ :  
 $A_1, A_2, A_4, A_3$

This is the final matrix showing C1P

## Observations

- We have permuted the rows in the process, but if needed, we can repermute them in the original order, keeping the C1P.
- The columns  $c_5, c_9$  are together indicating that we have found no constraint about their relative order and they can be permuted in any order (giving more solutions)
- More solutions can also arise from different topological sortings.

# Complexity of the Algorithm

## **A. Overlaps and Containments is $O(n^2 m)$**

- For a pair of rows  $r_i, r_j$ , we can compute the size of their intersection  $|S_i \cap S_j|$  in time  $O(m)$  : while scanning the two rows, count the columns where they both have a 1
  1. If  $0 < |S_i \cap S_j| < \min\{|S_i|, |S_j|\}$  then  $r_i$  and  $r_j$  overlap
  2. If  $|S_i \cap S_j| = 0$   $r_i$  and  $r_j$  are disjoint
  3. If  $|S_i \cap S_j| = |S_i|$  then  $r_i$  is contained in  $r_j$
- We can compute  $|S_i \cap S_j|$  *for all pairs*  $i, j$  in  $O(n^2 m)$  and from this we can build the graphs  $G(A)$  and  $D(A)$  in time  $O(n^2)$  by using (1-3)

# Complexity of the Algorithm

## ***B. Checking the C1P in the components of the overlap graph $G(A)$ is also $O(n^2 m)$***

- After building  $G(A)$ , we can find all the components in  $O(n^2)$  by BFS, since this graph has  $n$  vertices and at most  $n^2$  edges
- In each connected component  $A_i$  we find the permutation of the columns by processing one row at a time and checking its overlap against 3 other rows. Since we already have computed the overlap for any pair of rows (see above) processing each rows takes
  - constant time (or at most  $O(m)$  if we want to recompute the overlaps with the two rows we compare it to) to verify that the new row can be added preserving the C1P
- In total processing each connected components takes  $O(\text{\#row in the component})$  and in total  $O(n)$  (times  $m$  if we recompute the overlaps)

# Complexity of the Algorithm

## ***C. Merging the components is also doable in $O(n^2 m)$***

1. Topological sorting on  $D(A)$  costs  $O(n^2)$  as this graph has  $n$  vertices and at most  $n^2$  edges
2. If for each component  $A_i$  we store the first column with a one, we can merge it to the matrix  $B$  containing the merge so far in  $O(\text{\#rows of } A_i \cdot m)$ 
  - We have to reorder the labels of the columns of  $B$  which are going to coincide with the columns of  $A_i$  that contain 1's
  - We have to copy in  $B$  the rows of  $A_i$
3. Therefore merging all the components takes  $O(n \cdot m)$ 
  - Since summing the cost of 2. over all components we have  $n$  (the total number of rows, times  $m$ .

# Complexity of the Algorithm - Summary

*A. Computing Overlaps and Containments is done in  $O(n^2 m)$*

*B. Checking the C1P in the components of the overlap graph  $G(A)$  is also  $O(n^2 m)$*

*C. Merging the components is also doable in  $O(n^2 m)$*

Therefore the whole algorithm takes  $O(n^2 m)$

- It returns a matrix storing information on several solutions (if more are possible)
- If the C1P does not hold we can return the triple of rows that show that no permutation can do!



**Overlaps\_and\_containment** ( A )

**Input:** a Boolean Matrix  $A[n \times m]$  //  $n$  rows and  $m$  columns

**Output:** an  $n \times n$  matrix  $I[n \times n]$  where  $I[i,j] = |S_i \cap S_j|$

**For**  $i = 1$  to  $n$

**For**  $j = 1$  to  $n$

$I[i,j] \leftarrow 0$

**For**  $k = 1$  to  $m$

$I[i,j] \leftarrow I[i,j] + A[i, k] * A[j,k]$  // add 1 iff  $A[i, k] = A[j,k] = 1$

**return**  $I$

**Overlap(i,j)**

**Output:** true or false. True iff  $r_i$  overlaps  $r_j$

**If**  $I[i,j] > 0$  and  $I[i,j] < \min\{I[i,i], I[j, j]\}$

**return true**

**return false**

**Containment(i,j)**

**Output:** true or false. True iff  $r_i$  contains  $r_j$

**If**  $I[i,j] = I[j, j]$

**return true**

**return false**

# C1P Algorithm – building $G(A)$

## **Build $G$ ( $A$ )**

**Input:** a Boolean Matrix  $A[n \times m]$  //  $n$  rows and  $m$  columns

**Output:** The lists of adjacencies of the overlap graph  $G(A)$

$I \leftarrow \text{Overlaps\_and\_containment} ( A )$  /computes the intersections of all pairs of rows

**Create**  $n$  empty lists  $L[1], \dots, L[n]$  //  $L[i]$  is the list of adjacencies for vertex  $r_i$

**For**  $i = 1$  to  $n$

**For**  $j = 1$  to  $n$

**if**  $\text{Overlap}(i, j)$

**Add**  $i$  to the list  $L[j]$  and  $j$  to the list  $L[i]$

**return**  $L[1], \dots, L[n]$

## **Find Components( $L[1], \dots, L[n]$ )**

**Input:** the graph  $G(A)$  in the form of the lists of adjacencies of its vertices

**Output:** the number of connected components of  $G(A)$  and for each one the set of rows in it

**For**  $i = 1$  to  $n$

$\text{marked}[i] \leftarrow \text{false}$

$k \leftarrow 0,$

**For**  $i = 1$  to  $n$

**if**  $\text{marked}[i] = \text{false}$

$k \leftarrow k+1$       //  $k$  counts the connected components

        Set  $A[k] \leftarrow \emptyset$     //  $A[k]$  is the set of rows in  $k$ -th component

        perform **BFS** on  $G(A)$  starting from  $r_i$ , mark each traversed vertex and add it to  $A[k]$

**return**  $k, A[1], \dots, A[k]$

# C1P Algorithm – C1P in Components of $G(A)$

**Check\_C1P\_in\_component** (  $A, I, B, L[1], \dots, L[n]$  )

**Input:** a Boolean Matrix  $A[n \times m]$ , the matrix of row-intersections  $I$ , a component  $B$  of  $G(A)$ ,  $G(A)$  as lists of adj.

**Output:** A permutation of the columns where at least one row in  $B$  has a 1; NO if C1P does not hold for  $B$

**If**  $B$  has only one row  $r$

**return** the list of columns where  $r$  has 1's

**If**  $B$  has at least two rows  $r_i$  and  $r_j$

$T1 \leftarrow$  columns in  $S_i \setminus S_j$ ;  $T2 \leftarrow$  columns in  $S_i \cap S_j$ ;  $T3 \leftarrow$  columns in  $S_j \setminus S_i$ ;  $T = (T1, T2, T3)$

mark the two rows as **processed**

**store** that  $r_i$  is on left of  $r_j$  // could use an additional matrix for this

**store** in an array **First** the list in  $T$  with the first column of  $r_i$  and that with the first column of  $r_j$

**if**  $|B| = 2$

**return** the list of lists  $T = T1, T2, T3$

**For** each remaining row  $a$  in  $B$

Using the adjacency lists, find rows  $b$  and  $c$  marked as **processed** and

such that  $a$  overlaps with  $b$  and  $b$  overlaps with  $c$

**if**  $a.c < \min\{a.b, b.c\}$  // use matrix  $I$  to check this condition in  $O(1)$

**split** list in  $T$  in order to have columns in  $S_a \setminus S_b$  separated from  $S_a \cap S_b$

and on the opposite side w.r.t. columns in  $S_c \setminus S_b$  // With array **First** can do this in  $O(m)$

**if this is not possible return NO** // i.e. columns of  $a$  cannot be in consecutive lists of  $T$

**else** //  $a.c \geq \min\{a.b, b.c\}$

**split** lists in  $T$  in order to have columns in  $S_a \setminus S_b$  separated from  $S_a \cap S_b$

and on the same side w.r.t. columns in  $S_c \setminus S_b$  // With Array **First** can do this in  $O(m)$

**if this is not possible return NO** // i.e. columns of  $a$  cannot be in consecutive lists of  $T$

**return**  $T$

***To be completed...***