

APPENDIX

A. Proof of Theorem 1

Proof: Based on (7), the perturbed received LLRs can be denoted as $\mathcal{L}'(y_0) = \mathcal{L}(y_0) + \mathcal{L}(n'_0)$ and $\mathcal{L}'(y_1) = \mathcal{L}(y_1) + \mathcal{L}(n'_1)$. Based on the f -function of (5), we have

$$\mathcal{L}'(u_0) = \text{sign}(\mathcal{L}'(y_0) \cdot \mathcal{L}'(y_1)) \cdot \min(|\mathcal{L}'(y_0)|, |\mathcal{L}'(y_1)|).$$

According to [18], [23], the magnitude of artificial noise n'_i should be significantly smaller than that of the channel noise n_i , i.e., $|n'_i| \ll |n_i|$. Consequently, $|n'_i| \ll |y_i|$ and

$$\text{sign}(\mathcal{L}'(y_0) \cdot \mathcal{L}'(y_1)) = \text{sign}(\mathcal{L}(y_0) \cdot \mathcal{L}(y_1)). \quad (18)$$

Based on Taylor's formula, $|\mathcal{L}(y_i) + \mathcal{L}(n'_i)|$ can be computed as

$$|\mathcal{L}(y_i) + \mathcal{L}(n'_i)| \approx |\mathcal{L}(y_i)| + \text{sign}(\mathcal{L}(y_i)) \cdot \mathcal{L}(n'_i). \quad (19)$$

If $|\mathcal{L}(y_0)| \leq |\mathcal{L}(y_1)|$,

$$\min(|\mathcal{L}'(y_0)|, |\mathcal{L}'(y_1)|) \approx |\mathcal{L}(y_0)| + \text{sign}(\mathcal{L}(y_0)) \cdot \mathcal{L}(n'_0).$$

Otherwise,

$$\min(|\mathcal{L}'(y_0)|, |\mathcal{L}'(y_1)|) \approx |\mathcal{L}(y_1)| + \text{sign}(\mathcal{L}(y_1)) \cdot \mathcal{L}(n'_1).$$

Hence, it can be obtained that

$$\begin{aligned} \min(|\mathcal{L}'(y_0)|, |\mathcal{L}'(y_1)|) &\approx \min(|\mathcal{L}(y_0)|, |\mathcal{L}(y_1)|) \\ &\quad + \text{sign}(\mathcal{L}(y_i)) \cdot \mathcal{L}(n'_i), \end{aligned} \quad (20)$$

where $i = \arg \min(|\mathcal{L}(y_0)|, |\mathcal{L}(y_1)|)$. Based on eqs. (5), (18) and (20), we have

$$\mathcal{L}'(u_0) \approx \mathcal{L}(u_0) + \mathcal{L}(n_0^*),$$

where $n_0^* = \text{sign}(\mathcal{L}(y_i)) \cdot n'_i$. Since $\{n'_0, n'_1\} \sim \mathcal{N}(0, \sigma_p^2)$ and $\text{sign}(\mathcal{L}(y_i)) \in \{-1, 1\}$, it follows that $n_0^* \sim \mathcal{N}(0, \sigma_p^2)$.

Based on the g -function of (6), we have

$$\mathcal{L}'(u_1) = (1 - 2\hat{u}_0) \cdot \mathcal{L}'(y_0) + \mathcal{L}'(y_1).$$

Since $\hat{u}_0 \in \{0, 1\}$,

$$\mathcal{L}'(u_1) = \begin{cases} \mathcal{L}(y_1) + \mathcal{L}(y_0) + \mathcal{L}(n'_1 + n'_0), & \text{if } \hat{u}_0 = 0; \\ \mathcal{L}(y_1) - \mathcal{L}(y_0) + \mathcal{L}(n'_1 - n'_0), & \text{if } \hat{u}_0 = 1. \end{cases} \quad (21)$$

For the conventional SC decoding, it follows that

$$\mathcal{L}(u_1) = \begin{cases} \mathcal{L}(y_1) + \mathcal{L}(y_0), & \text{if } \hat{u}_0 = 0; \\ \mathcal{L}(y_1) - \mathcal{L}(y_0), & \text{if } \hat{u}_0 = 1. \end{cases} \quad (22)$$

Based on (21) and (22), we have

$$\mathcal{L}'(u_1) = \mathcal{L}(u_1) + \mathcal{L}(n_1^*),$$

where $n_1^* \in \{n'_1 + n'_0, n'_1 - n'_0\}$. Since $\{n'_0, n'_1\} \sim \mathcal{N}(0, \sigma_p^2)$, it can be obtained that $n_1^* \sim \mathcal{N}(0, 2\sigma_p^2)$. \square

B. Proof of Corollary 2

Proof: As mentioned in Sec. II-B, the SC decoding is performed by recursively invoking the f and the g functions. Based on Theorem 1, the perturbation power doubles only

when performing the g -function. Otherwise, it stays the same. Therefore, it can be concluded that the power of n_i^* , i.e., σ_i^2 , is 2^{δ_i} times that of the original perturbation power σ_p^2 , whose artificial noise is applying on the received LLRs. Note that $\delta_i \in \{0, 1, 2, \dots, n\}$ denotes the number of required g -functions for estimating u_i . That says

$$n_i^* \sim \mathcal{N}(0, \sigma_i^2),$$

where $\sigma_i^2 = 2^{\delta_i} \sigma_p^2$. Thus, based on (8), it can be obtained that

$$\mathcal{L}'(u_i) = \mathcal{L}(u_i) + \mathcal{L}(n_i^*),$$

where $n_i^* \sim \mathcal{N}(0, \sigma_i^2)$, and $\mathcal{L}(u_i)$ denotes the decoding *a posteriori* LLR obtained from the SC decoding. \square

C. Proof of Theorem 3

Proof: Let P_c^{SC} denote the correct probability of SC decoding, i.e., the decoding accuracy, which satisfies

$$\begin{aligned} P_c^{\text{SC}} &= \Pr(\hat{u}_0^{N-1} = u_0^{N-1}) \\ &= \prod_{i=0}^{N-1} \Pr(\hat{u}_i = u_i \mid \hat{u}_0 = u_0, \hat{u}_1 = u_1, \dots, \hat{u}_{i-1} = u_{i-1}) \\ &= \prod_{i \in \mathcal{A} \cup \mathcal{A}^c} (1 - P_e(u_i)), \end{aligned} \quad (23)$$

where $1 - P_e(u_i)$ denotes the probability that u_i has been correctly estimated, and $\mathcal{A} \cup \mathcal{A}^c = \{0, 1, \dots, N-1\}$.

For polar codes, frozen bits are fixed as zero and known to the polar decoder [1]. Thus, the error probability of frozen bits is zero, i.e., $P_e(u_i) = 0$ for $\forall i \in \mathcal{A}^c$. That says

$$\prod_{i \in \mathcal{A}^c} (1 - P_e(u_i)) \triangleq 1. \quad (24)$$

Substituting (24) into (23), we obtain

$$P_c^{\text{SC}} = \prod_{i \in \mathcal{A}} (1 - P_e(u_i)). \quad (25)$$

Thus, based on (25), the error probability of SC decoding under GA can be computed by

$$\begin{aligned} P_e^{\text{SC}} &= 1 - P_c^{\text{SC}} \\ &= 1 - \prod_{i \in \mathcal{A}} (1 - P_e(u_i)). \end{aligned} \quad (26)$$

When computing P_e^{LRP} , it is assumed that the erroneous bit estimations occur only in the set of LRPs, i.e., \mathcal{D} . Therefore, it follows that $P_e(u_i) = 0$ for $\forall i \in \mathcal{A} \setminus \mathcal{D}$. That says

$$\prod_{i \in \mathcal{A} \setminus \mathcal{D}} (1 - P_e(u_i)) \triangleq 1 \quad (27)$$

Combining (23), (24), and (27), using the SC decoding, the decoding accuracy of LRPs can be defined as

$$P_c^{\text{LRP}} = \prod_{i \in \mathcal{D}} (1 - P_e(u_i)). \quad (28)$$

Based on (28), under GA, the error probability of LRPs, i.e., P_e^{LRP} , can be computed as

$$\begin{aligned} P_e^{\text{LRP}} &= 1 - P_c^{\text{LRP}} \\ &= 1 - \prod_{i \in \mathcal{D}} (1 - P_e(u_i)). \end{aligned} \quad (29)$$

□

REFERENCES

- [1] E. Arkan, "Channel polarization: A method for constructing capacity-achieving codes for symmetric binary-input memoryless channels," *IEEE Trans. Inf. Theory*, vol. 55, no. 7, pp. 3051–3073, Jul. 2009.
- [2] I. Tal and A. Vardy, "List decoding of polar codes," *IEEE Trans. Inf. Theory*, vol. 61, no. 5, pp. 2213–2226, May 2015.
- [3] K. Chen, K. Niu, and J. Lin, "List successive cancellation decoding of polar codes," *Electron. Lett.*, vol. 48, no. 9, pp. 500–501, Apr. 2012.
- [4] K. Niu and K. Chen, "CRC-aided decoding of polar codes," *IEEE Commun. Lett.*, vol. 16, no. 10, pp. 1668–1671, Oct. 2012.
- [5] B. Li, H. Shen, and D. Tse, "An adaptive successive cancellation list decoder for polar codes with cyclic redundancy check," *IEEE Commun. Lett.*, vol. 16, no. 12, pp. 2044–2047, Dec. 2012.
- [6] A. Balatsoukas-Stimming, M. Bastani Parizi, and A. Burg, "LLR-based successive cancellation list decoding of polar codes," *IEEE Trans. Signal Process.*, vol. 63, no. 19, pp. 5165–5179, Oct. 2015.
- [7] M. C. Coskun and H. D. Pfister, "An information-theoretic perspective on successive cancellation list decoding and polar code design," *IEEE Trans. Inf. Theory*, vol. 68, no. 9, pp. 5779–5791, Sep. 2022.
- [8] S. A. Hashemi, C. Condo, and W. J. Gross, "Fast and flexible successive-cancellation list decoders for polar codes," *IEEE Trans. Signal Process.*, vol. 65, no. 21, pp. 5756–5769, Nov. 2017.
- [9] O. Afisiadis, A. Balatsoukas-Stimming, and A. Burg, "A low-complexity improved successive cancellation decoder for polar codes," in *Proc. 2014 48th Asilomar Conf. Signals, Syst. Comput. (ACSSC)*, Pacific Grove, USA, Nov. 2014.
- [10] L. Chandesaris, V. Savin, and D. Declercq, "An improved SCFlip decoder for polar codes," in *Proc. 2016 IEEE Glob. Commun. Conf. (GLOBECOM)*, Washington, USA, Dec. 2016.
- [11] Z. Zhang, K. Qin, L. Zhang, H. Zhang, and G. T. Chen, "Progressive bit-flipping decoding of polar codes over layered critical sets," in *Proc. 2017 IEEE Glob. Commun. Conf. (GLOBECOM)*, Singapore, Dec. 2017.
- [12] F. Ercan, C. Condo, S. A. Hashemi, and W. J. Gross, "Partitioned successive-cancellation flip decoding of polar codes," in *Proc. 2018 IEEE Int. Conf. Commun. (ICC)*, Kansas City, USA, May 2018.
- [13] L. Chandesaris, V. Savin, and D. Declercq, "Dynamic-SCFlip decoding of polar codes," *IEEE Trans. Commun.*, vol. 66, no. 6, pp. 2333–2345, Jun. 2018.
- [14] F. Ercan, T. Tonnellier, N. Doan, and W. J. Gross, "Practical dynamic SC-Flip polar decoders: Algorithm and implementation," *IEEE Trans. Signal Process.*, vol. 68, pp. 5441–5456, Sep. 2020.
- [15] F. Ercan, C. Condo, and W. J. Gross, "Improved bit-flipping algorithm for successive cancellation decoding of polar codes," *IEEE Trans. Commun.*, vol. 67, no. 1, pp. 61–72, Jan. 2019.
- [16] Y. Shen, W. Song, H. Ji, Y. Ren, C. Ji, X. You, and C. Zhang, "Improved belief propagation polar decoders with bit-flipping algorithms," *IEEE Trans. Commun.*, vol. 68, no. 11, pp. 6699–6713, Nov. 2020.
- [17] Z. Yang and L. Chen, "An enhanced belief propagation decoding algorithm with bit-flipping for polar codes," *IEEE Commun. Lett.*, vol. 29, no. 2, pp. 348–352, Feb. 2025.
- [18] X. Wang, H. Zhang, J. Tong, J. Wang, J. Ma, and W. Tong, "Perturbation-enhanced SCL decoder for polar codes," in *Proc. 2023 IEEE Globecom Workshops (GC Wkshps)*, Kuala Lumpur, Malaysia, Dec. 2023.
- [19] X. Wang, H. Zhang, J. Tong, J. Wang, and W. Tong, "Adaptive perturbation enhanced SCL decoder for polar codes," *arXiv:2407.03555*, Jul. 2024.
- [20] Z. Yang, L. Chen, K. Qin, X. Wang, and H. Zhang, "Perturbation-based decoding schemes for long polar codes," in *Proc. 2025 IEEE Int. Symp. Inf. Theory (ISIT)*, Ann Arbor, MI, USA, Jun. 2025.
- [21] Z. Liu, L. Yao, S. Yuan, G. Yan, Z. Ma, and Y. Liu, "Performance analysis of perturbation-enhanced SC decoders," *IEEE Commun. Lett.*, vol. 29, no. 3, pp. 507–511, Mar. 2025.
- [22] C. Leroux, A. J. Raymond, G. Sarkis, and W. J. Gross, "A semi-parallel successive-cancellation decoder for polar codes," *IEEE Trans. Signal Process.*, vol. 61, no. 2, pp. 289–299, Jan. 2013.
- [23] A. C. Arli and O. Gazi, "Noise-aided belief propagation list decoding of polar codes," *IEEE Commun. Lett.*, vol. 23, no. 8, pp. 1285–1288, Aug. 2019.
- [24] "Appendix for this paper," Tech. Rep., Jun. 2025. [Online]. Available: <https://github.com/little-old-six/Appendix-for-the-paper-in-ITW-2025>
- [25] P. Trifonov, "Efficient design and decoding of polar codes," *IEEE Trans. Commun.*, vol. 60, no. 11, pp. 3221–3227, Nov. 2012.
- [26] J. G. Proakis, *Digital Communications*. McGraw-Hill, 1995.
- [27] "Technical specification group radio access network," 3GPP, TS 38.212 version 15.2.0, Jul. 2018. [Online]. Available: https://www.3gpp.org/ftp/Specs/archive/38_series/38.212/