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# Deep Learning-Based Crack Damage Detection Using Convolutional Neural Networks

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**Abstract:** A number of image processing techniques (IPTs) have been implemented for detecting civil infrastructure defects to partially replace human-conducted on-site inspections. These IPTs are primarily used to manipulate images to extract defect features, such as cracks in concrete and steel surfaces. However, the extensively varying real-world situations (e.g., lighting and shadow changes) can lead to challenges to the wide adoption of IPTs. To overcome these challenges, this article proposes a vision-based method using a deep architecture of convolutional neural networks (CNNs) for detecting concrete cracks without calculating the defect features. As CNNs are capable of learning image features automatically, the proposed method works without the conjugation of IPTs for extracting features. The designed CNN is trained on 40 K images of  $256 \times 256$  pixel resolutions and, consequently, records with about 98% accuracy. The trained CNN is combined with a sliding window technique to scan any image size larger than  $256 \times 256$  pixel resolutions. The robustness and adaptability of the proposed approach are tested on 55 images of  $5,888 \times 3,584$  pixel resolutions taken from a different structure which is not used for training and validation processes under various conditions (e.g., strong light spot, shadows, and very thin cracks). Comparative studies are conducted to examine the performance of the proposed CNN using traditional Canny and Sobel edge detection methods. The results show that the proposed method shows

quite better performances and can indeed find concrete cracks in realistic situations.

## 1 INTRODUCTION

Civil infrastructures, including bridges, dams, and skyscrapers, are becoming susceptible to losing their designed functions as they deteriorate from use. This inevitable process signifies urgent maintenance issues. For example, a number of bridges built across the United States between the 1950s and 1960s were designed to last 50 years; thus, most of them have already been used for their intended duration (AAOSHA, 2008). Although this concern has motivated people to inspect these structures on a regular basis (Federal Highway Administration, no date), onsite inspections still require closing bridge systems or building structures to diagnose them, due to limited human resources. Because of this, many research groups have proposed structural health monitoring (SHM) techniques.

To establish SHM systems, vibration-based structural system identifications via numerical method conjugations have been used (Teidj et al., 2016; Chatzi et al., 2011; Rabinovich et al., 2007; Cha and Büyüköztürk, 2015). However, this approach still has several challenges for monitoring large-scale civil infrastructures due to various uncertainties and nonuniformly distributed environmental effects, among other matters. Although many works have had large-scale SHMs performed to cover large-scale structures (Kurata et al., 2012; Jang et al., 2010), dense instrumentations, such as

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installing numerous sensors, integrating data from distributed sources, and compensating for environmental effects, are required (Xia et al., 2012; Cornwell et al., 1999). Finally, confirming whether the collected data actually indicate structural damage, sensory system malfunction, noisy signals, or a combination of these is not easy before checking the sensing systems and structures in person.

A number of vision-based methods for detecting damages, primarily using image processing techniques (IPTs), have been proposed to redeem the complexities (Cha et al., 2017; Chen et al., 2015). One significant advantage of IPTs is that almost all superficial defects (e.g., cracks and corrosion) are likely identifiable. An early comparative study on finding concrete cracks using four edge detection methods—fast Haar transform (FHT), fast Fourier transform, Sobel edge detector, and Canny edge detector—was conducted by Abdel-Qader (2003), who defined FHT as the best solution for the task. This study was followed by an examination of modified edge detection problems (Nishikawa et al., 2012; Alaknanda and Kumar, 2009; Yamaguchi et al., 2008; Sinha and Fieguth, 2006; Song and Civco, 2004). Yeum and Dyke (2015) proposed a study for detecting steel cracks using IPTs combined with a sliding window technique; this article shows the potential of IPTs very well. Despite their test example having many crack-like features due to the rusty surface of a steel beam, the unnecessary features were effectively removed, and strong crack-like features were extracted using the Frangi filter and the Hessian matrix-based edge detector (Frangi et al., 1999). However, edge detection is an ill-posed problem, as the results are substantially affected by the noises created, mainly from lighting and distortion, and no optimal solutions exist (Ziou and Tabbone, 1998). One effective method for overcoming these issues is implementing denoising techniques. Total variation denoising (Rudin et al., 1992) is a well-known technique that reduces noises from image data and enhances images' edge detectability. This technique was applied to a study (Cha et al., 2016) conducted to detect loosened bolts from images. However, the usage of such contextual (i.e., using prior knowledge) image processing is limited, as image data taken under real-world situations vary extensively.

One possible solution that has more real-world situation adaptability is using machine learning algorithms (MLAs) (LeCun et al., 1998), and several research groups have proposed techniques that can detect structural defects using this method (Butcher et al., 2014; Jiang and Adeli, 2007; Liu et al., 2002). These approaches first collect signals from nondestructive testing and evaluate whether or not the collected signals indicate defects. In recent years, many have implemented

a combination of IPT-based image feature extractions and MLA-based classifications (O'Byrne et al., 2014; Wu et al., 2014; Jahanshahi et al., 2013; O'Byrne et al., 2013; Moon and Kim, 2011). Although they imported MLAs in their methods, the results of aforementioned approaches have inevitably inherited the complexities of sensor-implementations in addition to the false-feature extraction of IPTs. Many types of artificial neural networks (ANNs), including the probabilistic neural network (NN) (Ahmadlou and Adeli, 2010), have been developed and adapted to research and industrial fields, but convolutional neural networks (CNNs) have been highlighted in image recognition, which are inspired by the visual cortex of animals (Ciresan et al., 2011). CNNs can effectively capture the grid-like topology of images, unlike the standard NNs, and they require fewer computations due to the sparsely connected neurons and the pooling process. Moreover, CNNs are capable of differentiating a large number of classes (Krizhevsky et al., 2012). These aspects make CNNs an efficient image recognition method (Simard et al., 2003; LeCun et al., 2015). The previous issue of CNNs was the need for a vast amount of labeled data, which came with a high-computational cost, but this issue was overcome through the use of well-annotated databases (ImageNet, no date; CIFAR-10 and CIFAR-100 data set, no date; MNIST Database, no date) and parallel computations using graphic processing units (Steinkrau et al., 2005). Owing to this excellent performance, a study for detecting railway defects using a CNN was later proposed (Soukup and Huber-Mörk, 2014). Rail surfaces are homogenous, and the images are collected under controlled conditions. This cannot be considered the same as detecting concrete surface defects due to nonhomogenous surface. Therefore, a carefully configured deep architecture and abundant data set, taken under extensively varying conditions, is essential for dealing with the true variety of real-world problems.

In this study, we use CNNs to build a classifier for detecting concrete cracks from images. The first objective of this article is to build a robust classifier that is less influenced by the noise caused by lighting, shadow casting, blur, and so on and to secure a wide range of adaptability. The second objective is to build an initial test bed that will allow other researchers to detect additional types of structural damage, such as delamination, voids, spalling, and corrosion of concrete and steel members. The main advantage of the proposed CNN-based detection of concrete cracks is that it requires no feature extraction and calculation compared to traditional approaches. This research's content is described as follows. Section 2 presents the synopsis of the proposed method. Section 3 introduces the overall

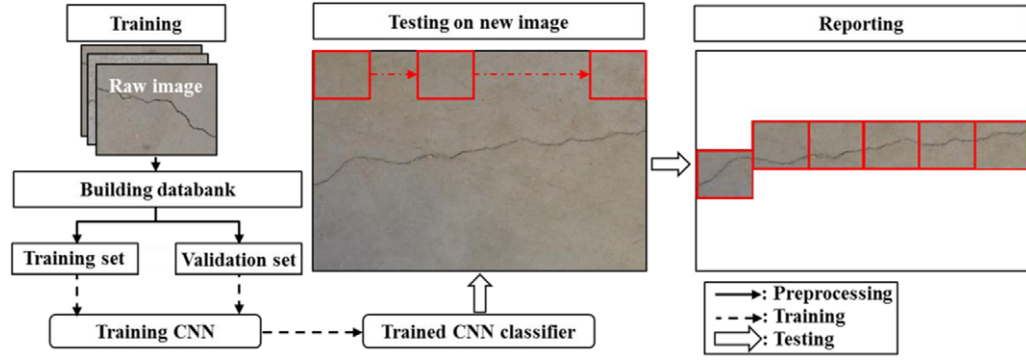


Fig. 1. Flowchart for detecting concrete cracks.

architecture of the proposed CNN and explains the detailed CNN methodologies, including both the essential and auxiliary layers. Section 4 exposes specific hyperparameters that are used to train the CNN, as well as the considerations in building the databank (DB). Section 5 demonstrates how the framework evaluates test images and includes discussions regarding the method's performance and potential. Section 6 concludes this article.

## 2 OVERVIEW OF THE PROPOSED METHOD

This section summarizes the entire process of our framework. Figure 1 shows the method's general flow with training steps (solid lines) and testing steps (dashed lines). To train a CNN classifier, raw images of concrete surfaces with a broad range of image variations, including lighting, shadow, etc., capable of potentially triggering false alarms, are taken from a complex Engineering building using a DSLR camera. The definition of a crack in this article is that it should be identifiable in images *via* the naked human eye. Some of the images used contain cracks, whereas others do not. A total of 332 raw images were used (i.e., 277 images with  $4,928 \times 3,264$  pixel resolutions for training and validation and 55 images for testing with  $5,888 \times 3,584$  pixel resolutions).

The 277 images are cropped into small images ( $256 \times 256$  pixel resolutions), which are manually annotated as crack or intact images to generate a DB. From the DB, the small cropped images are randomly selected to generate training and validation sets. The prepared training image set is fed into a CNN to build a CNN classifier for separating cracked from intact concrete images in the validation set. When the CNN classifier is validated through the validation set of images in the DB, 55 additional concrete images with  $5,888 \times 3,584$  pixel resolutions are taken and scanned by the validated classifier to generate a report of crack damages.

## 3 METHODOLOGY

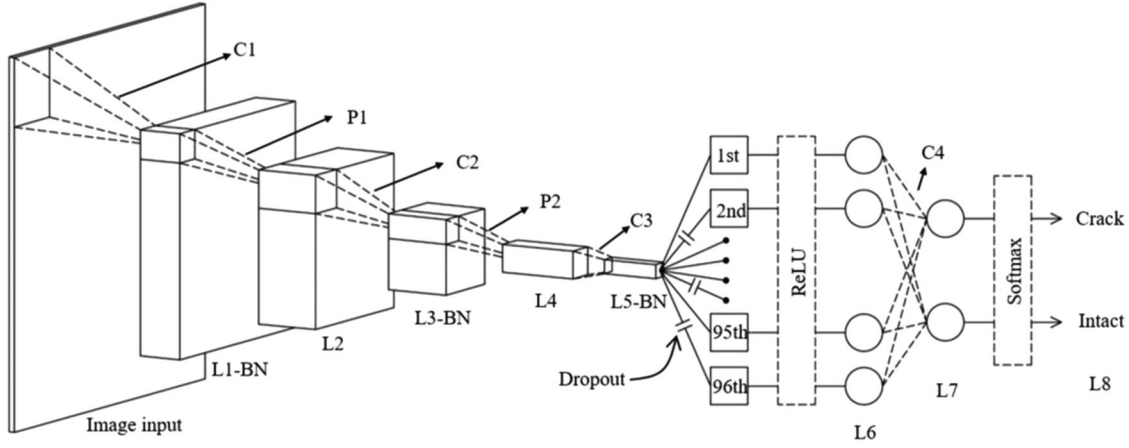
This section explains the overall architecture, the layers used in this study, and the backgrounds of each layer. The general CNN architecture can be created using multiple layers, such as input, convolution, pooling, activation, and output layers; convolution and pooling operations are conducted in the convolution and pooling layers. A deep CNN is defined when the architecture is composed of many layers. Some other auxiliary layers, such as dropout and batch normalization (BN) layers, can be implemented within the aforementioned layers in accordance with the purposes of use. MatConvNet (Vedaldi and Lenc, 2015) is used to perform this study.

### 3.1 Overall architecture

Figure 2 presents the CNN architecture, which is the original configuration for concrete crack detection. The first layer is the input layer of  $256 \times 256 \times 3$  pixel resolutions, where each dimension indicates height, width, and channel (e.g., red, green, and blue), respectively. Input data pass through the architecture and are generalized with spatial size reduction to  $1 \times 1 \times 96$  at L5. The vector, including the 96 elements, is fed into the rectified linear unit (ReLU) layer, which is detailed in Section 3.4. Finally, the softmax layer predicts whether each input data is a cracked or intact concrete surface after the convolution of C4. Table 1 lists the detailed dimensions of each layer and operation. BN and dropout layers, which cannot be visualized, are also used. BN layers are located after L1, L3, and L5, and a dropout layer is located after the BN layer of L5.

### 3.2 Convolution layer

A convolution layer performs the following three operations throughout an input array as shown in Figure 3. First, it performs element-by-element multiplications



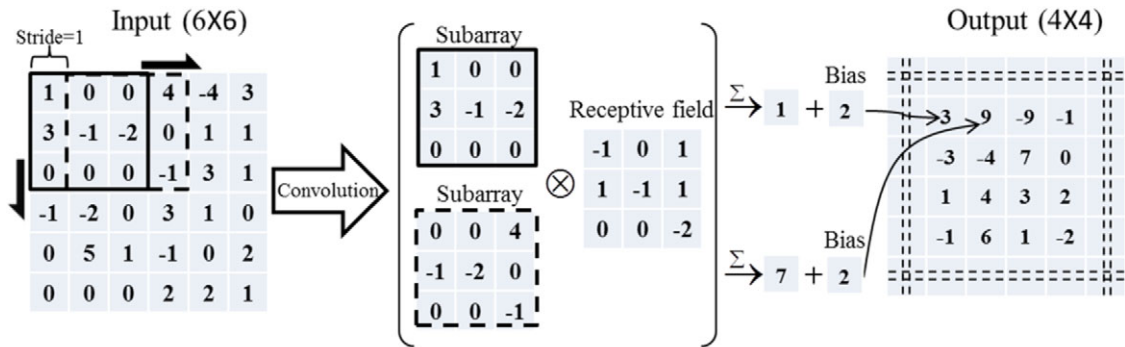
**Fig. 2.** Overall architecture: L#: layers corresponding to operations (L1, L3, L5, and L7: convolution layers; L2 and L4: pooling layers; L6: ReLU layer; L8: softmax layer); C#: convolution; P#: pooling; BN: batch normalization.

(i.e., dot product) between a subarray of an input array and a receptive field. The receptive field is also often called the filter, or kernel. The initial weight values of a receptive field are typically randomly generated. Those of bias can be set in many ways in accordance with networks' configurations, and one of the most well-known initializations of bias can be found

from Krizhevsky (2012). Both values are tuned in training using a stochastic gradient descent (SGD) algorithm (Section 3.7). The size of a subarray is always equal to a receptive field, but a receptive field is always smaller than the input array. Second, the multiplied values are summed, and bias is added to the summed values. Figure 3 shows the convolutions ( $\otimes$ ) of the subarrays

**Table 1**  
Dimensions of layers and operations

| Layer | Height | Width | Depth | Operator | Height | Width | Depth | No. | Stride |
|-------|--------|-------|-------|----------|--------|-------|-------|-----|--------|
| Input | 256    | 256   | 3     | C1       | 20     | 20    | 3     | 24  | 2      |
| L1    | 119    | 119   | 24    | P1       | 7      | 7     | —     | —   | 2      |
| L2    | 57     | 57    | 24    | C2       | 15     | 15    | 24    | 48  | 2      |
| L3    | 22     | 22    | 48    | P2       | 4      | 4     | —     | —   | 2      |
| L4    | 10     | 10    | 48    | C3       | 10     | 10    | 48    | 96  | 2      |
| L5    | 1      | 1     | 96    | ReLU     | —      | —     | —     | —   | —      |
| L6    | 1      | 1     | 96    | C4       | 1      | 1     | 96    | 2   | 1      |
| L7    | 1      | 1     | 2     | Softmax  | —      | —     | —     | —   | —      |
| L8    | 1      | 1     | 2     | —        | —      | —     | —     | —   | —      |



$$\text{Output size} = (I - R) / S + 1, \quad I = \text{Input size}, \quad R = \text{Receptive field size}, \quad S = \text{Stride size}; \quad (6-3)/1+1=4$$

**Fig. 3.** Convolution example.

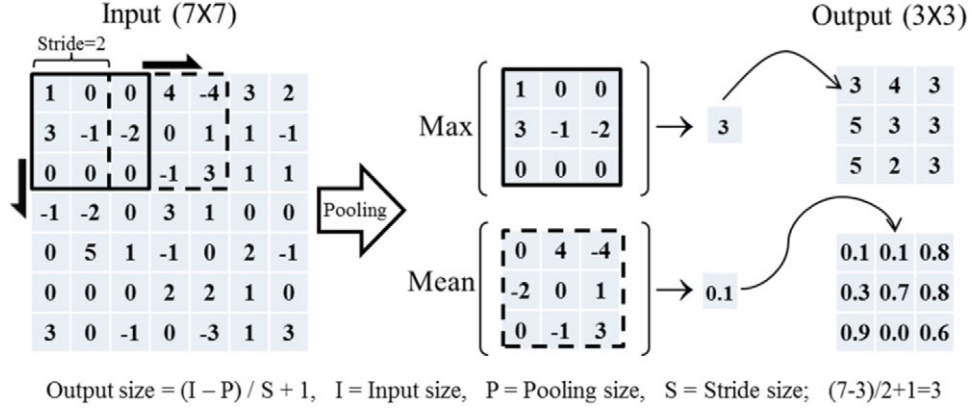


Fig. 4. Pooling example.

(solid and dashed windows) with an input array and a receptive field. One of the advantages of the convolution is that it reduces input data size, which reduces computational cost. An additional hyperparameter of the layer is the stride. The stride defines how many of the receptive field's columns and rows (pixels) slide at a time across the input array's width and height. A larger stride size leads to fewer receptive field applications and a smaller output size, which also reduces computational cost, though it may also lose features of the input data. The output size of a convolution layer is calculated by the equation shown in Figure 3.

### 3.3 Pooling layer

Another key aspect of the CNNs is a pooling layer, which reduces the spatial size of an input array. This process is often defined as downsampling. There are two different pooling options. Max pooling takes the max values from an input array's subarrays, whereas mean pooling takes the mean values. Figure 4 shows the pooling method with a stride of two, where the pooling layer output size is calculated by the equation in the figure. Owing to the stride size being larger than the convolution example in Figure 3, the output size is further reduced to  $3 \times 3$ . A study by Scherer et al. (2010) showed that max pooling performance in image data sets is better than that of mean pooling. This article verified that the architecture with max pooling layers outperforms those with mean pooling layers. Thus, all the pooling layers for this study are max pooling layers.

### 3.4 Activation layer

The most typical way to give nonlinearity in the standard ANN is using sigmoidal functions, such as  $y = \tanh(x)$ , but it has been claimed by Nair and Hinton (2010) that saturating nonlinearities slows compu-

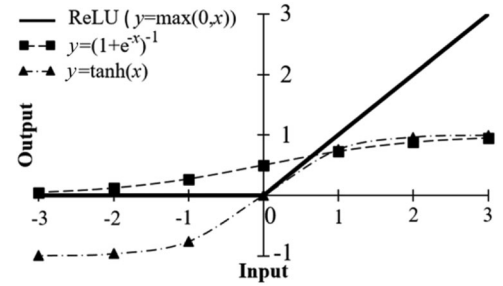


Fig. 5. Nonlinear activation functions.

tations. Recently, the ReLU was introduced (Nair and Hinton, 2010) as a nonlinear activation function. Figure 5 depicts the several examples of nonlinear functions. Briefly, while other nonlinear functions are bounded to output values (e.g., positive and negative ones and zeros), the ReLU has no bounded outputs except for its negative input values. Intuitively, the gradients of the ReLU are always zeros and ones. These features facilitate much faster computations than those using sigmoidal functions and achieve better accuracies.

### 3.5 Auxiliary layers

Overfitting has been a long-standing issue in the field of machine learning. This is a phenomenon where a network classifies a training data set effectively but fails to provide satisfactory validation and testing results. To address this issue, dropout layers (Srivastava et al., 2014) are used. Training a network with a large amount of neurons often results in overfitting due to complex coadaptations. The main idea of dropout is to randomly disconnect the connections between neurons of connected layers with a certain dropout rate. Accordingly, a network can generalize training examples much more efficiently by reducing these coadaptations.



A well-known trick, taking the average values of a training data set (i.e., whitening), has often been used to shorten network training time (LeCun et al., 2012). However, the distribution of layer's input shifts by passing through layers, which is defined as internal covariate shift, and this has been pointed out as being the major culprit of slow training speed. Ioffe and Szegedy (2015) proposed BN to adapt the similar effect of whitening on layers. As a result, this technique facilitates high-learning rate and leads to much faster network convergence.

### 3.6 Softmax layer

To classify input data, it is necessary to have a layer for predicting classes, which is usually located at the last layer of the CNN architecture. The most prominent method to date is using the softmax function given by Equation (1), which is expressed as the probabilistic expression  $p(y^{(i)} = n | x^{(i)}; W)$  for the  $i$ th training example out of  $m$  number of training examples, the  $j$ th class out of  $n$  number of classes, and weights  $W$ , where  $W_n^T x^{(i)}$  are inputs of the softmax layer. The sum of the right-hand side for the  $i$ th input always returns as 1, as the function always normalizes the distribution. In other words, Equation (1) returns probabilities of each input's individual classes.

$$P(y^{(i)} = n | x^{(i)}; W) = \begin{bmatrix} p(y^{(i)} = 1 | x^{(i)}; W) \\ p(y^{(i)} = 2 | x^{(i)}; W) \\ \vdots \\ p(y^{(i)} = n | x^{(i)}; W) \end{bmatrix} = \frac{1}{\sum_{j=1}^n e^{W_j^T x^{(i)}}} \begin{bmatrix} e^{W_1^T x^{(i)}} \\ e^{W_2^T x^{(i)}} \\ \vdots \\ e^{W_n^T x^{(i)}} \end{bmatrix} \quad (1)$$

for  $i = 1 \dots m$

### 3.7 Softmax loss and stochastic gradient descent

As the initial values of  $W$  are randomly assigned during training, the predicted and actual classes do not usually coincide. To calculate the amount of deviations between the predicted and actual classes, the softmax loss function is defined by Equation (2).

$$L = \frac{1}{m} \left[ \sum_{i=1}^m \sum_{j=1}^n 1 \{y^{(i)} = j\} \log \frac{e^{W_j^T x^{(i)}}}{\sum_{l=1}^n e^{W_l^T x^{(i)}}} \right] + \frac{\lambda}{2} \sum_{j=1}^n W_j^2 \quad (2)$$

The new index  $L$  is introduced to indicate that  $\sum_{l=1}^n \exp(W_l^T x^{(i)})$  is independent of  $\sum_{j=1}^n 1\{y^{(i)} = j\}$ . The term  $1\{y^{(i)} = j\}$  is the logical expression that always returns either zeros or ones. In other words, if a predicted class of the  $i$ th input is true for  $j$  class, the term returns ones, returning zeros otherwise. The last hyperparameter  $\lambda$  in the equation is a regularization (i.e., weight decay) parameter to penalize large weights, which is also a well-known trick for preventing overfitting (Bengio, 2012; Bottou, 2012).

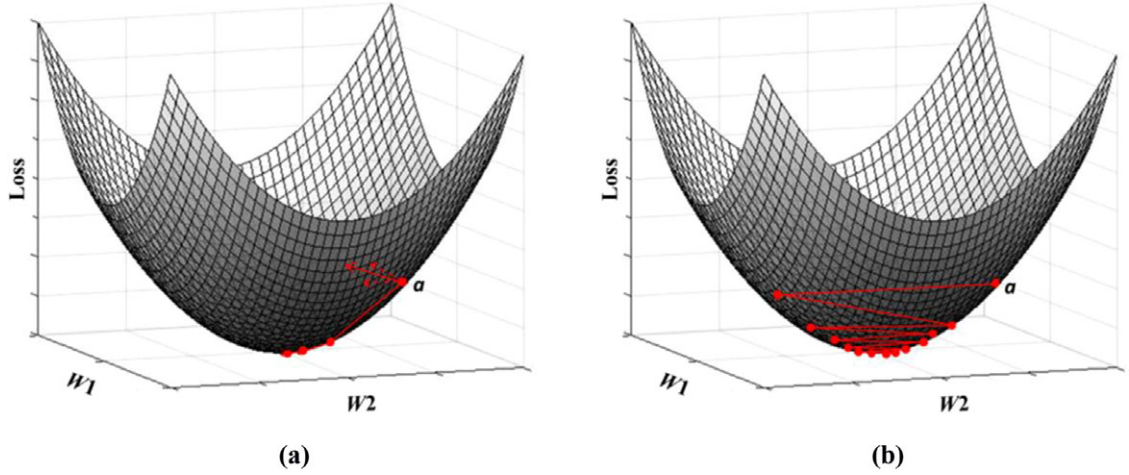
To narrow the deviations, an algorithm that updates receptive field weights is necessary for obtaining the expected results (i.e., predicting true classes). This process is considered for CNN training. There are several known methods, but SGD using backpropagation is considered the most efficient and simplest way to minimize the deviations (LeCun et al., 2012). The standard gradient descent algorithm performs updating  $W$  on an entire training data set, but the SGD algorithm performs it on single or several training samples. To accelerate the training speed, the momentum algorithm (Bengio, 2012) is also often used in SGD. The overall updating process is as follows. First, the gradient  $\nabla_W$  of a loss function is calculated with respect to  $W$ , which is given by Equation (3). Second, the hyperparameters of momentum  $\varepsilon$  and learning rate  $\alpha$  are introduced in Equation (4) to update ( $\leftarrow$ ) velocity  $v$ , where momentum is defined as mass times velocity in physics, but with unit mass being what is considered in SGD. Finally, the weights are updated using Equation (5). A network can be tuned by repeating the explained process several times until Equation (5) converges. The superscript  $(i)$  indicates the  $i$ th training sample, where the range of  $i$  is dependent on a minibatch size, which defines how many training samples out of the whole data set are used. For example, if 100 images are given as the training data set and 10 images are assigned as the minibatch size, this network updates weights 10 times; each complete update out of the whole data is called an epoch.

$$\nabla_W L(W; x^{(i)}, y^{(i)}) = \frac{1}{m} \sum_{i=1}^m \left[ x^{(i)} \left\{ 1(y^{(i)} = j) - p(y^{(i)} = j | x^{(i)}; W) \right\} \right] + \lambda W_j \quad (3)$$

$$v \leftarrow \varepsilon v - \alpha \nabla_W L(W; x^{(i)}, y^{(i)}) \quad (4)$$

$$W_j \leftarrow W_j + v \quad (5)$$

The explained algorithm (i.e., gradient descent) is often described as a bead climbing down a convex bowl. For example, if it considers a simple example with two features, the number of weights is also two. Then, a



**Fig. 6.** Example of gradient decent: the dashed arrow at point  $a$  in (a) shows the partial derivatives with respect to  $W1$  and  $W2$ , and the solid arrow is the gradient of the partial derivatives ( $\partial L/\partial W1$ ,  $\partial L/\partial W2$ ) that always indicates the steepest gradient; (a) small learning rate and (b) large learning rate.

loss function of the given example can be depicted in a three-dimensional parameter space, as shown in Figure 6. The  $z$ -axis indicates the loss, and the  $x$  and  $y$  axes are weights (i.e.,  $W1$  and  $W2$ ), respectively. If the partial derivatives of the loss function at point  $a$  with respect to  $W1$  and  $W2$  are calculated, a vector (i.e., gradient) is obtained at this point. The projection of the calculated vector on the  $W1$ – $W2$  plane always tends to head toward the steepest gradient, which is toward the minimum of the loss function. In this process, if a learning rate is given by a small number, a network is trained efficiently, as shown in Figure 6a. However, if a large learning rate is assigned, the network may converge slowly, as shown in Figure 6b, or even diverge, which can result in overflow.

#### 4 BUILDING A CLASSIFIER FOR DETECTING CONCRETE CRACKS

This section describes the considerations used in generating the DB and the underlying hyperparameters assigned to train a CNN. Configuring and choosing adequate hyperparameter (e.g., learning rates and regularization parameters) is tedious and no exact guidelines for those parameter optimizations are available. Thus, the optimal network architecture for this concrete crack detection must be explored *via* trial-and-error and guided by checking the validation set error (Bengio et al., 2016). However, several useful articles can be found from Bottou (2012), LeCun et al. (2012), and Bengio (2012). All of the described tasks in this article are performed on a workstation with two

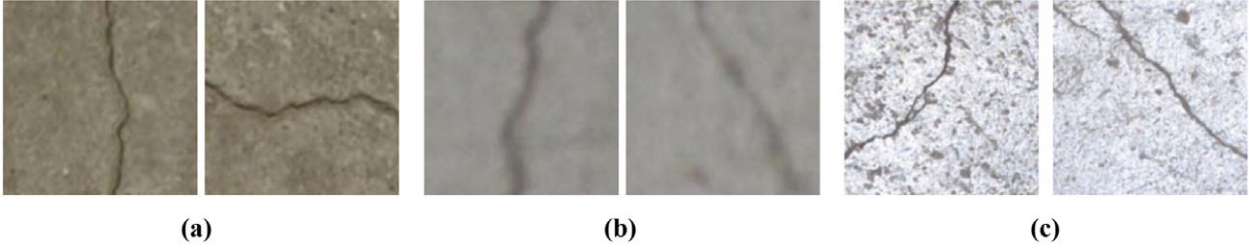
GPUs (CPU: Intel Xeon E5-2650 v3 @2.3GHz, RAM: 64GB and GPU: Nvidia Geforce Titan X  $\times$  2ea).

##### 4.1 Databank generation

The total number of raw images is 332 (277 images with  $4,928 \times 3,264$  pixel resolutions and 55 images with  $5,888 \times 3,584$  pixel resolutions). The images are taken from a complex building at the University of Manitoba with a hand-held DSLR camera (Nikon D5200). Distances to the objects ranged from approximately 1.0 to 1.5 m; however, some images are taken below a 0.1 m distance for tests, and each image's lighting intensity is substantially different. Among the 332 raw images, 277 images are used for training and validation processes, and 55 images are used for the testing process. The 277 raw images are cropped into smaller images of  $256 \times 256$  pixel resolutions to build the DB for training and validation as a preprocessing step after annotating each image as either an intact or cracked image. Thus, the total number of the prepared training images in the DB is 40 K. Images are randomly chosen from the DB for generating training and validation sets. The reason for choosing the relatively small cropping size is that a network trained on small images enables scanning of any images larger than the designed size. However, if smaller images than those selected here are used, the network may catch any elongated features, such as scratches. In addition, smaller images also make it harder to annotate images as defect or intact. The generated DB includes a broad range of image variations for a robust damage classifier, as shown in Figure 7.

As shown in Figure 8, some of the cropped images have cracks on the four edges of image spaces. Those

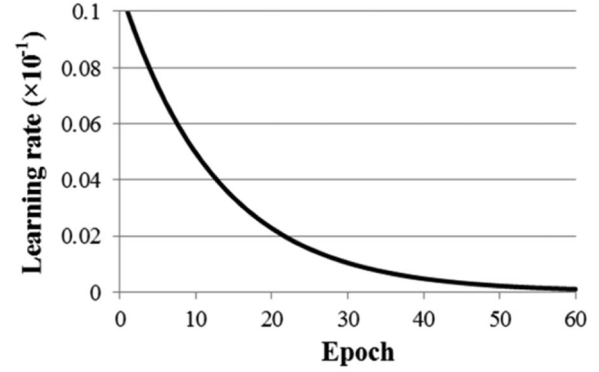




**Fig. 7.** Examples of images used in training. The presented images have  $256 \times 256$  pixel resolutions: (a) fine images, (b) distorted images, and (c) strong light spotted images.



**Fig. 8.** Disregarded images.



**Fig. 9.** Learning rate.

kinds of images are strictly disregarded for the following reasons. First, as explained in Section 3, input images get smaller while the images pass through the CNN, which implies that cracks on edges have fewer chances to be recognized by a network than those with cracks in the middle of images during training. Second, it is not possible to identify whether such crack features are actually cracks or not, which can therefore lead to the training data set's false annotations. Finally, even if a trained network classifies such images, verifying whether the predicted class is false-positive or true-positive is not viable due to the hardly recognizable crack features. To tackle this issue, a sliding window technique is used in the testing step to detect cracks located in any positions of the image spaces, as described in Section 5.

#### 4.2 Hyperparameters

The explained network is trained using an SGD algorithm with a minibatch size of 100 out of 40 K images. As small and decreasing learning rates are recommended (Wilson and Martinez, 2001), the logarithmically decreasing learning rates, which are depicted in Figure 9, are used. The  $x$  axis represents epochs so that the learning rates are updated each time. Weight decay and momentum parameters are assigned by 0.0001 and 0.9. The stride sizes of C1–C3 and P1–P2 are assigned to 2,

and C4 is assigned to 1. The dropout rate at the dropout layer, located before the ReLU, is 0.5.

#### 4.3 Training and validation results

Unlike other image-based studies for detecting concrete cracks, feature extraction techniques are not necessary, as CNNs learn features automatically by updating the weights of receptive fields. However, a trick taking the training data set's mean values is used for the sake of efficient computation (LeCun et al., 2012). Figure 10 summarizes the training and validation results. The ratio of the number of crack and intact images is 1:1, with that of training and validation being 4:1. The training accuracy is thus calculated out of 32 K images, and validation is calculated out of 8 K images. The achieved accuracy is exceptional. The highest accuracies in training and validation are 98.22% at the 51st epoch and 97.95% at 49th epoch, respectively. The conjugation of two GPUs boosts the consequently recorded training speed by about 90 minutes until the 60th epoch, but the approximately estimated running time on only CPU is about 1–2 days. The trained CNN of the 51st epoch is used in testing, which is detailed in Section 5.

Figure 11 represents the receptive field visualizations at the first layer (C1), where the visualizations of each

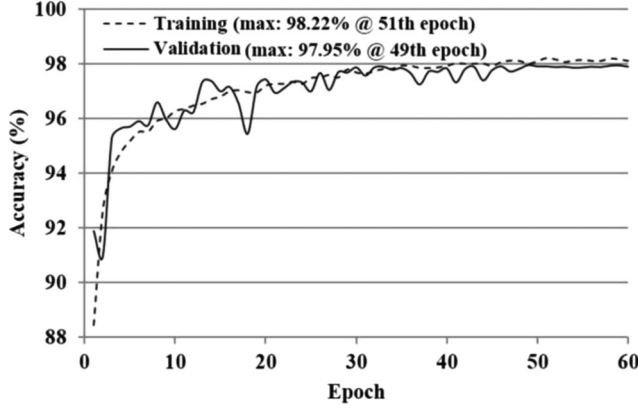


Fig. 10. Accuracies for each epoch.

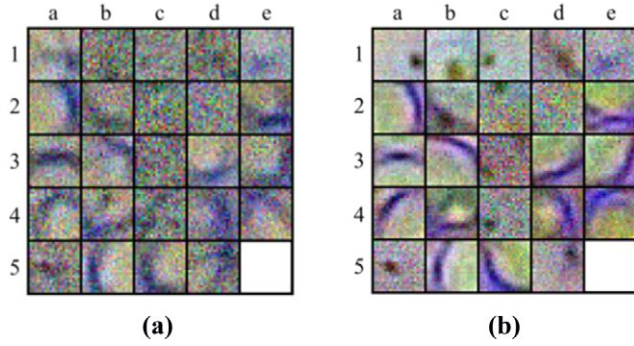


Fig. 11. Learned features: (a) less-trained network and (b) well-trained network.

receptive field are acknowledged as learned features of CNNs. Remember that the number of receptive fields of the designed architecture is 24 with  $20 \times 20 \times 3$  dimensions (Table 1). The visualized features provide intuitions that indicate whether the network needs more training and what kinds of features are recognized by the trained network. For example, Figure 11b shows the clearer spots and lines than Figure 11a, indicating that the network is well trained. In Figure 11b, the features of a2-4, b3-5, c5, d3-4, and e2-4 can be considered crack features, and those of a1-5, b1, c1-4, and d5 are most likely speculated as concrete surface cavities or aggregates in the training data set. Receptive fields of a well-trained network generally have smooth patterns, but the noisy features with various colors are still reasonable due to the complex and arbitrary patterns of concrete surfaces.

To approximate the desirable number of training images, a parametric study on the data sets comprising 2, 4, 8, 10, 16, 20, 28, and 40 K images with  $256 \times 256$  pixel resolutions is conducted, as shown in Figure 12. The portions of training, validation, crack, and intact images are the same as the aforementioned 40 K image

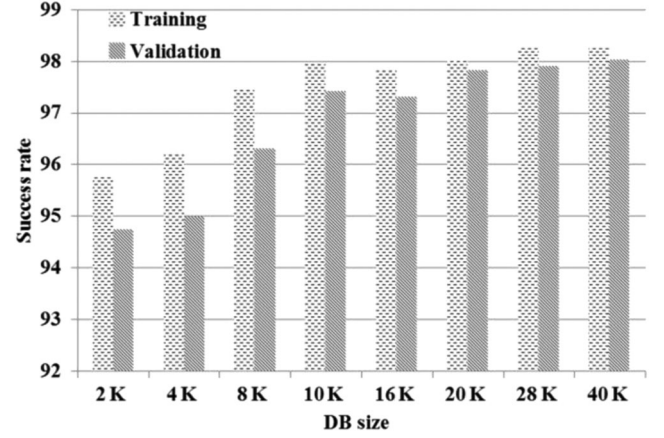


Fig. 12. Results of parametric study.

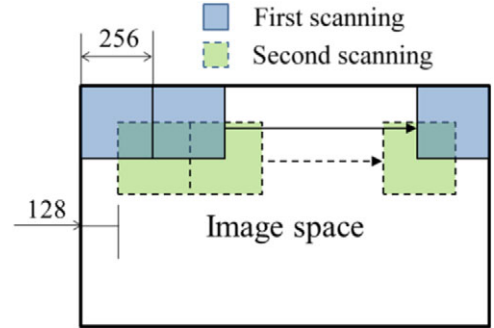


Fig. 13. Scanning plan.

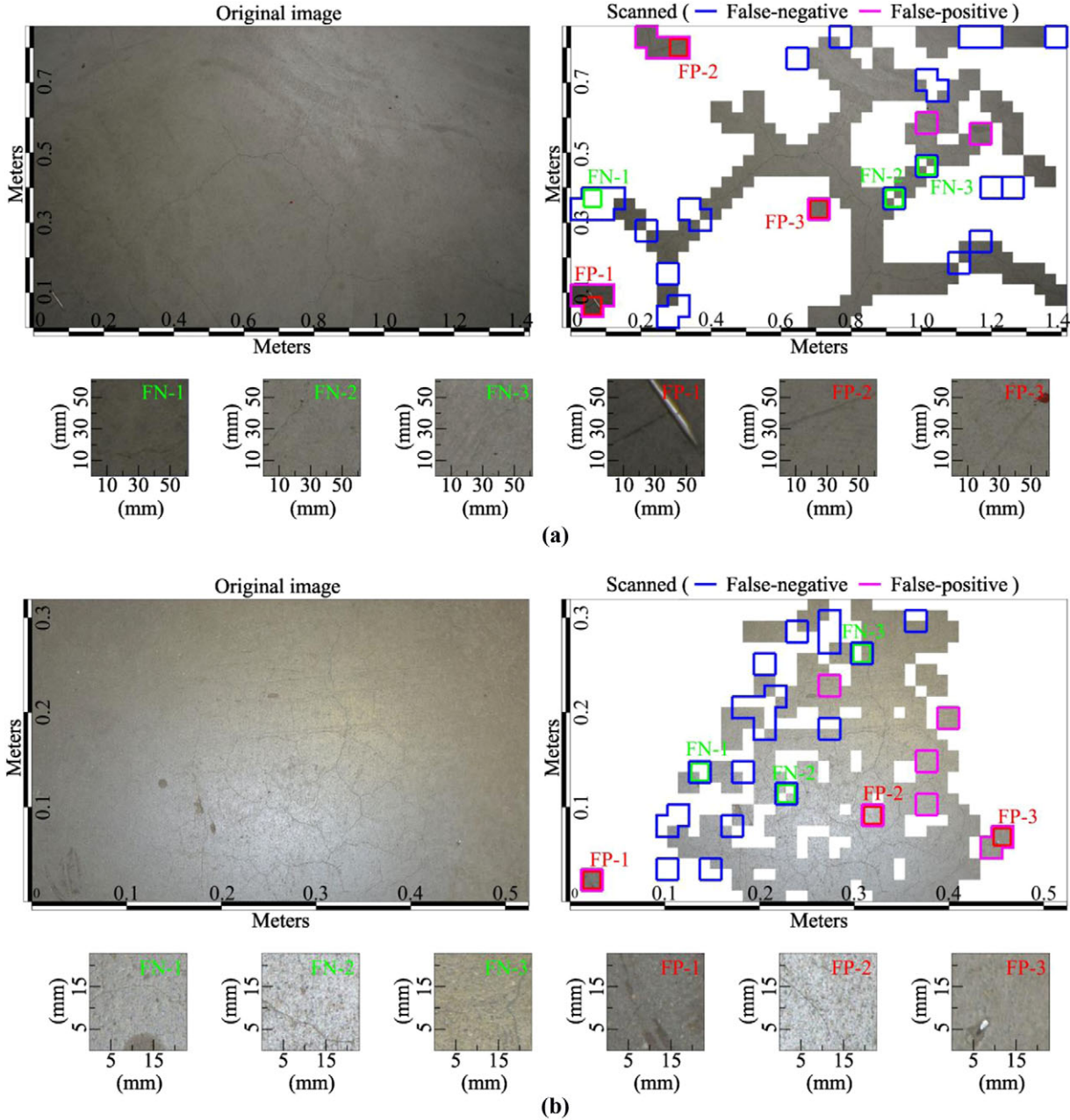
data set. The architectures for each training data set are equal to Figure 2. From this parametric study, the required number of properly cropped images is at least 10 K to obtain a reasonable CNN classifier, which obtains an accuracy of 97.42% in validation of the concrete crack detection problem.

## 5 TESTING IMAGES AND DISCUSSIONS

To validate the trained and validated CNNs from the previous section, extensive tests are conducted, the method by which the proposed framework scans test images is described, and the results are presented. Note that the image separation in Section 4.1 can cause misclassifications if the cracks are on the edges of image spaces. Therefore, a framework is designed to scan twice with a sliding window technique, as depicted in Figure 13.

### 5.1 Testing the trained and validated CNN

To examine the performance of the trained and validated CNN from the previous section, 55 raw images



**Fig. 14.** Results of image scanning using a trained CNN: (a) thin cracks, (b) thin cracks and lighting spot, (c) shadowed image, (d) close-up image, and (e) close-up, blurred, and strong lighting spot.

that are not used for training and validation processes are used. These images are taken from a different structure that is a concrete tunnel to connect between Engineering building and Student Center at the University of Manitoba. The testing results are presented in Table A1. The achieved results are quite remarkable with a 97% accuracy, which is nearly identical to the accuracy (i.e., 98%) of the validation process in the previous section. Notably, the trained and validated CNN

framework shows nearly the same performance without any degradation of the accuracy, even though totally different images are used for testing. Some of the tested images, which are taken under various conditions, are chosen and presented in Figure 14. These presented images can provide a clear understanding of how our framework functions. The image space axes can provide intuitions on each image's dimensions. The watermarked regions of each result image are recognized by



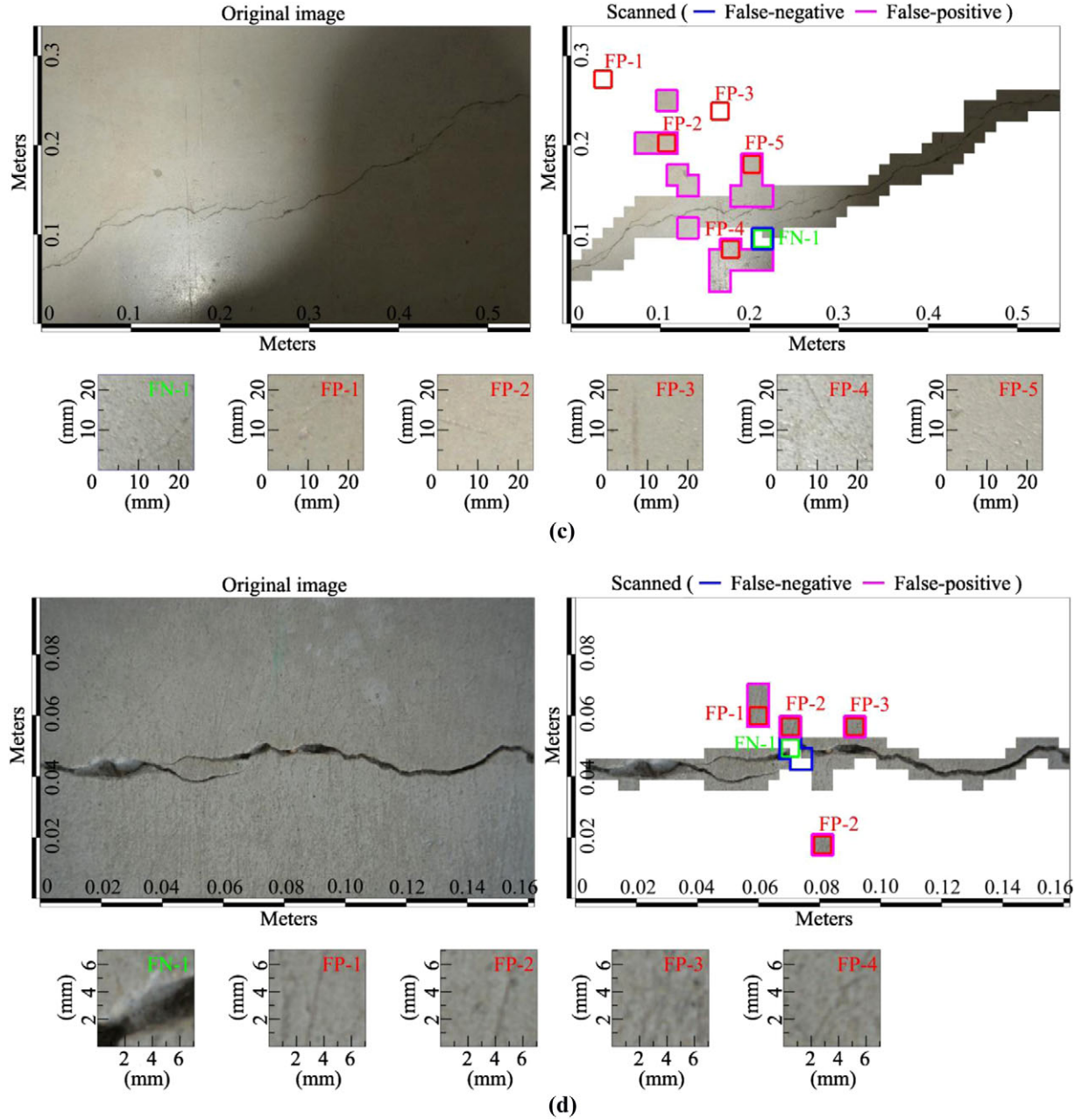
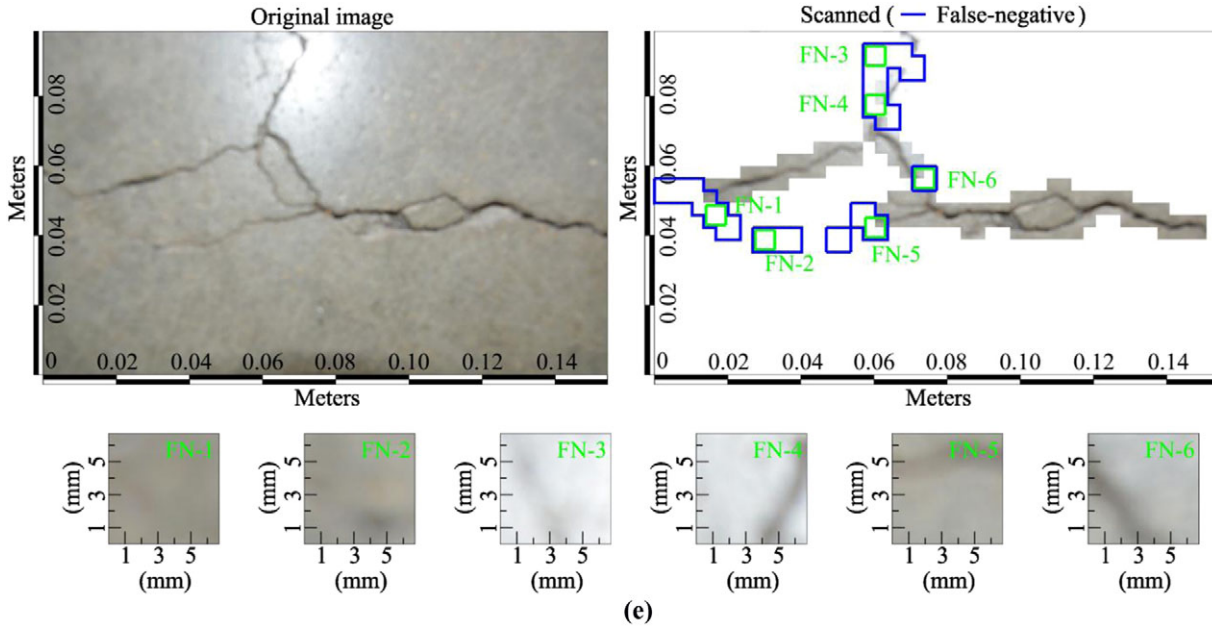


Fig. 14. Continued.

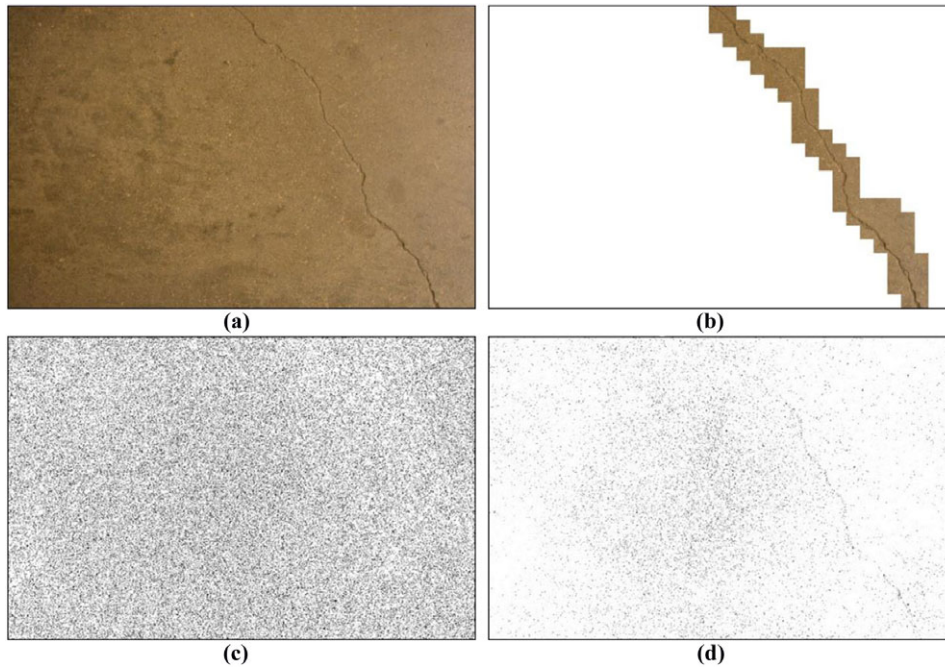
the trained network as intact surfaces (false) or otherwise cracked (true). The distributions of false-negative (FN) and false-positive (FP) regions are highlighted as magenta and blue colored boxes. Some FN and FP regions are magnified and highlighted with green and red boxes. The testing duration is recorded as 4.5 seconds for each image. An image containing very thin cracks with uniform lighting conditions is tested as shown in Figure 14a, where the thickest crack width is about 1.5 mm laying on 4 pixels.

The majority of FN regions are distributed across the image center's periphery due to image distortions on the thin crack regions.

To study the lighting condition sensitivity, one image with a lighting spot and another with a shadow area are tested, as shown in Figures 14b and c. In Figure 14b, FN is mainly detected on the edges of the lighting spot. In Figure 14c, only one FN region exists, but a number of scratches are classified as FP regions. Comparing Figures 14a–c, the proposed method is not susceptible



**Fig. 14.** *Continued.*

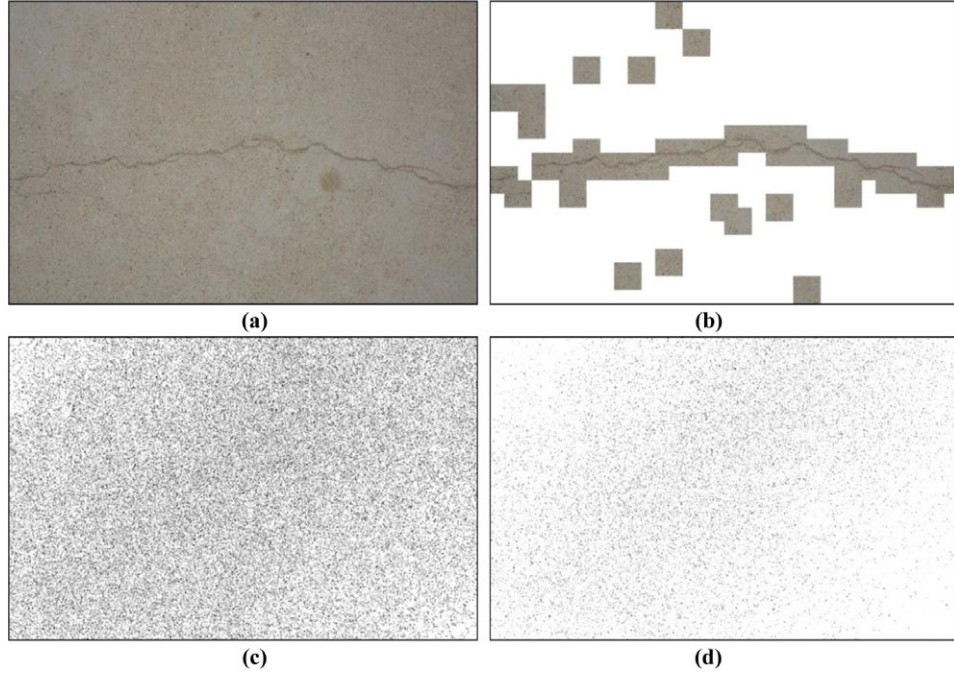


**Fig. 15.** Case 1—normal, uniform lighting: (a) original image, (b) proposed CNN, (c) Canny edge detection, and (d) Sobel edge detection.

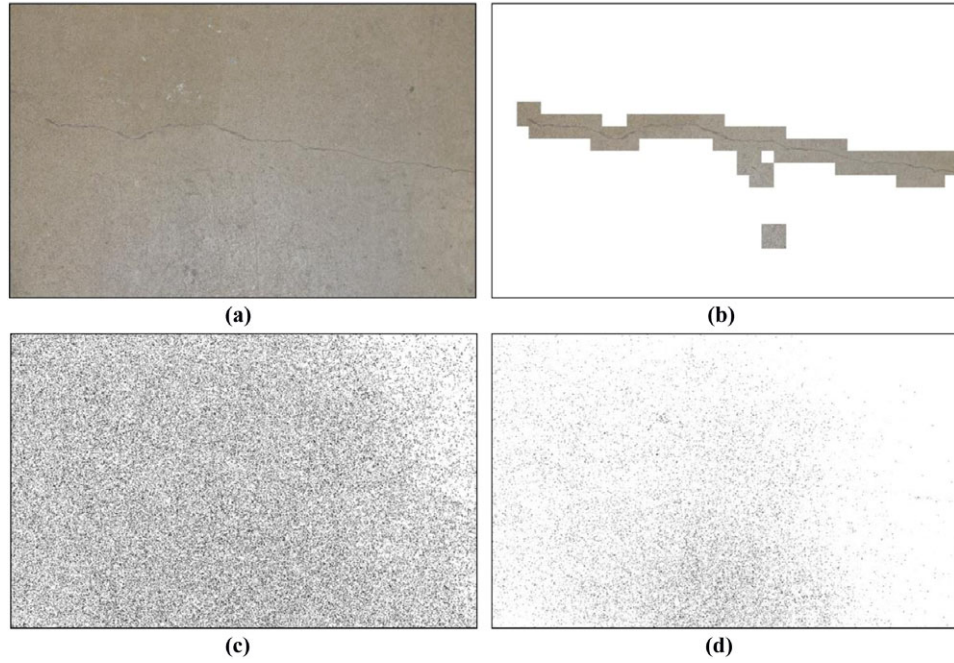
to lighting conditions. To study the sensitivity on distance changes, a test image is deliberately taken approximately 70 mm away from a concrete surface and tested, as shown in Figure 14d, and the result records 99% accuracy. The last test example, as shown in Figure 14e, is taken about 60 mm away. The im-

age is blurred due to the small distance from the concrete surface, and the image contains a lighting spot on crack regions. Taking into account the presented results from Figure 14a and Figures 14d–e, the proposed method is not susceptible to distance changes.





**Fig. 16.** Case 2—normal uniform lighting: (a) original image, (b) proposed CNN, (c) Canny edge detection, and (d) Sobel edge detection.

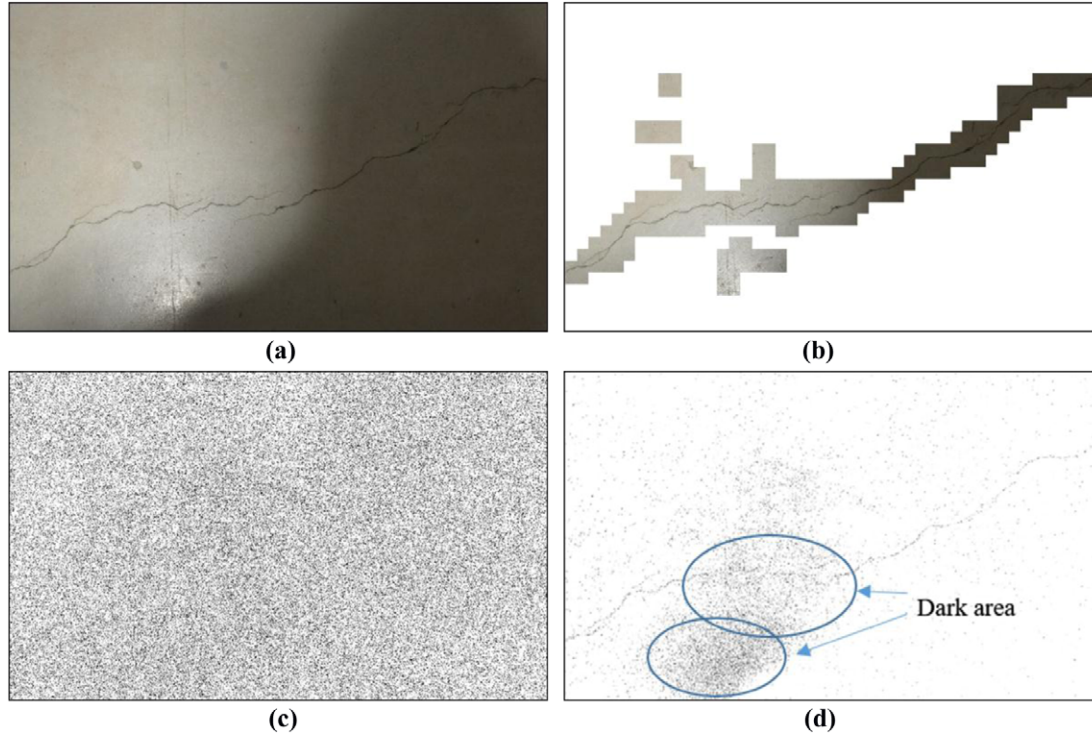


**Fig. 17.** Thin crack case: (a) original image, (b) proposed CNN, (c) Canny edge detection, and (d) Sobel edge detection.

## 5.2 Comparative studies

To compare the performance of a new crack detection method with existing traditional methods, four different images from the 55 tested images taken under various conditions are used. The two most well-known

traditional methods, Canny and Sobel edge detection, are selected. The first case uses normal, uniform lighting, as shown in Figures 15 and 16. The proposed CNN provides clear crack information, as shown in Figures 15a and 16a. Although the Sobel edge detection



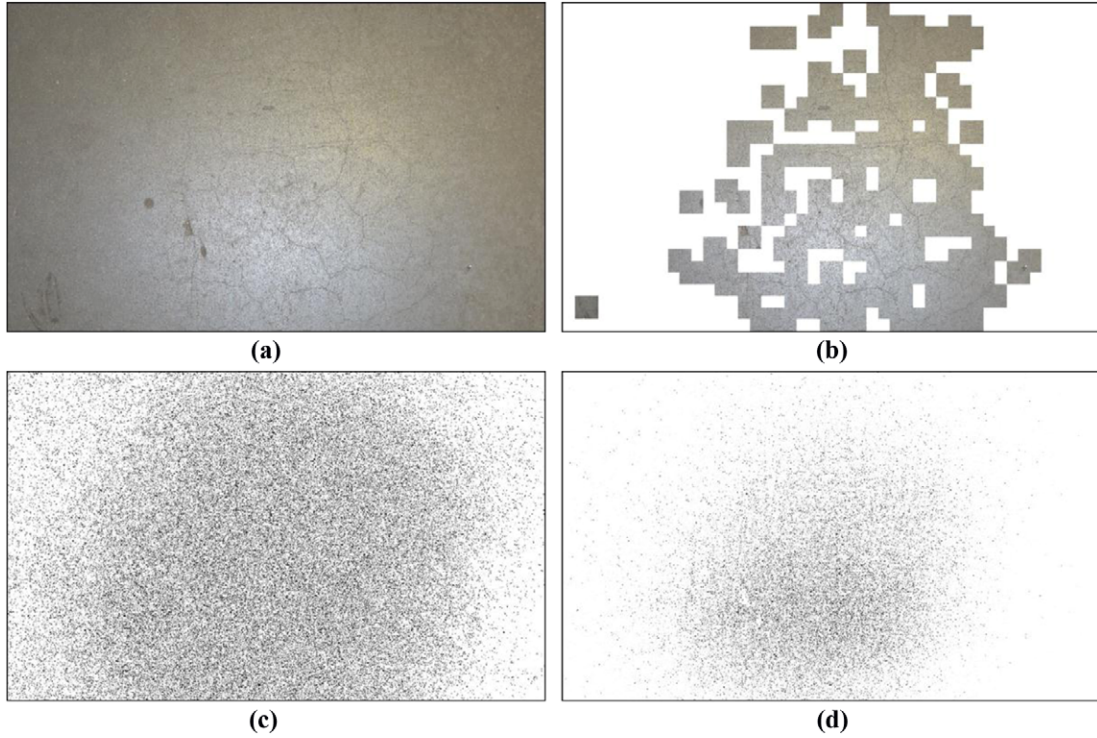
**Fig. 18.** Shadowed case: (a) original image, (b) proposed CNN, (c) Canny edge detection, and (d) Sobel edge detection.

provides some crack information, as shown in Figure 15d, it does not provide any meaningful information, as shown in the case represented in Figure 16d. The Canny detection method provides no meaningful information regarding cracks with high levels of noise, as shown in Figures 15c and 16c. These two cases show that the performances of the Canny and Sobel edge detection methods are quite dependent on the image conditions; conversely, the proposed CNN is not affected by the conditions of the images.

The second type of image includes thin cracks, as shown in Figure 17a. This case also has results similar to the normal image case, as shown in Figures 15 and 16. The Sobel and Canny methods do not provide crack information properly with high levels of noise. However, the proposed CNN detects nearly all the thin cracks, with few errors, as shown in Figure 17b. Figure 18 shows a case with a shadowed image. This case also shows that the proposed CNN detects the crack accurately, as shown in Figure 18b. The Sobel method (Figure 18d) includes a dark area that is not damaged and is different from the original image. These cases show that the advantage of the proposed CNN method is that it can provide a raw, unprocessed image of the detected crack which allows engineers to differentiate between cracks and noise. The Sobel method provides a processed image with gray scale, which made it

difficult to determine if a dark area is either damage or noise. In the case that had thin cracks with lighting (Figure 19), the Sobel and Canny methods provide no meaningful results, as shown in Figure 19d. However, the proposed CNN detects cracks accurately. In these comparative studies, the proposed method shows very robust performance in crack detection under various conditions of the raw images compared to those of the traditional Canny and Sobel methods.

Another primary advantage of using CNNs is that feature extraction techniques are not necessary, as CNN automatically learns features when the network is tuned by SGD. This advantage can save a lot of effort when compared to traditional IPT implementation. For example, suppose that one tries to find cracks on images with lighting spots and shadowed areas. Methods using IPTs may find edges from the verges of lighting spots and shadowed areas rather than crack edges without carefully parameterized methods as shown in Figure 18d. By contrast, CNNs are capable of learning invariant features from a vast amount of images. If certain types of features are not well classified, the only action necessary is to provide the misclassified data and retrain the network. These aspects make CNNs robust in real-world problems. However, the large data set requirement is also a disadvantage. For example, defects of welded joints and steel cracks are rarely visible and



**Fig. 19.** Thin crack with lighting: (a) original image, (b) proposed CNN, (c) Canny edge detection, and (d) Sobel edge detection.

found, so no image repositories of such damage scenarios have been built to date. Therefore, a long-term plan to collect image data is inevitable. A common difficulty of vision-based approaches can also be noted. Extracting internal features, such as the depth of concrete cracks, seems to be impossible, as such features cannot be captured by current technology. In the future, we will build an image repository of damaged civil structures, as well as an advanced classifier that can detect at least five damage types of concrete and steel structures. The classifier will be mounted on autonomously aviating drones that hover around civil structures.

## 6 CONCLUSIONS

A vision-based approach for detecting cracks on concrete images was proposed using a deep learning method. The concrete images required for the training, validation, and tests were taken with a hand-held camera. A total of 332 images were taken and divided into 277 images with  $4,928 \times 3,264$  pixel resolutions for training and validation and 55 images with  $5,888 \times 3,584$  pixel resolutions for testing. To secure a wide range of adaptability, the images were taken under uncontrolled situations. The 277 images were cropped into 40 K images with  $256 \times 256$  pixel resolutions for training

and validation processes. The small images were used as the data set to train the CNN. The trained network recorded accuracies of 98.22% out of 32 K images and 97.95% out of 8 K images in training and validation, respectively. According to a parametric study, more than 10 K images were recommended to secure sufficient robustness.

The performance of the trained CNN was evaluated on 55 large images with resolutions of  $5,888 \times 3,584$  pixels. The test images were scanned by the trained CNN using a sliding window technique, which facilitated the scanning of any images larger than  $256 \times 256$  pixel resolutions, and the crack maps were consequently obtained. The test results showed a consistent performance although test images taken under various conditions, including strong lighting spot, shadow, blur, and close-up. Moreover, the performances of the proposed method were not susceptible to the quality of images, camera specification, and working distance.

From the comparative studies, which used various conditions with raw images, the proposed CNN method showed very robust performance compared to the traditional, well-known edge detection methods (i.e., Canny and Sobel). The Sobel and Canny edge detection methods provided no meaningful crack information, even though the test images were normal. These methods might not be able to treat properly the



nonhomogeneous concrete surfaces in terms of color and texture. The proposed CNN was especially strong at detecting thin cracks under lighting conditions that make detection difficult when using traditional methods. The proposed method also showed lower levels of noise than the traditional methods and provided raw image results, which allowed for differentiation between noises and errors. As far as the method in general goes, the CNN's ability to learn features from a vast amount of training data is a huge advantage. However, it also means that a CNN implemented method requires a large amount of training data to train a robust classifier. One common limitation of almost all vision-based approaches, including the implementations of IPTs and CNNs, is the incapability of sensing internal features due to the nature of photographic images. In the future, the CNN will be developed to detect various types of superficial damage, such as voids, delamination, spalling, and corrosion of concrete and steel structures, to partially replace the biannual visual inspection, and is currently the most reliable method for monitoring structure health. This will also be combined with autonomous drones to monitor the damage of civil structures.

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## APPENDIX

**Table A1**  
Summarized results of scanned images

| No. | # of<br>Pos. <sup>(i)</sup> | # of<br>Neg. <sup>(ii)</sup> | # of<br>TP <sup>(iii)</sup> | # of<br>TN <sup>(iv)</sup> | # of<br>FP <sup>(v)</sup> | # of<br>FN <sup>(vi)</sup> | Accuracy | Precision | Recall | F1   | Remark       |
|-----|-----------------------------|------------------------------|-----------------------------|----------------------------|---------------------------|----------------------------|----------|-----------|--------|------|--------------|
| 1   | 126                         | 482                          | 103                         | 473                        | 9                         | 23                         | 0.95     | 0.92      | 0.82   | 0.87 | Figure 14(a) |
| 2   | 162                         | 446                          | 143                         | 438                        | 8                         | 19                         | 0.96     | 0.95      | 0.88   | 0.91 | Figure 14(b) |

Continued



**Table A1**  
Continued

| <i>No.</i> | <i># of<br/>Pos.<sup>(i)</sup></i> | <i># of<br/>Neg.<sup>(ii)</sup></i> | <i># of<br/>TP<sup>(iii)</sup></i> | <i># of<br/>TN<sup>(iv)</sup></i> | <i># of<br/>FP<sup>(v)</sup></i> | <i># of<br/>FN<sup>(vi)</sup></i> | <i>Accuracy</i> | <i>Precision</i> | <i>Recall</i> | <i>F1</i> | <i>Remark</i> |
|------------|------------------------------------|-------------------------------------|------------------------------------|-----------------------------------|----------------------------------|-----------------------------------|-----------------|------------------|---------------|-----------|---------------|
| 3          | 55                                 | 553                                 | 54                                 | 538                               | 15                               | 1                                 | 0.97            | 0.78             | 0.98          | 0.87      | Figure 14(c)  |
| 4          | 37                                 | 571                                 | 35                                 | 566                               | 5                                | 2                                 | 0.99            | 0.88             | 0.95          | 0.91      | Figure 14(d)  |
| 5          | 58                                 | 550                                 | 41                                 | 550                               | 0                                | 17                                | 0.97            | 1.00             | 0.71          | 0.83      | Figure 14(e)  |
| 6          | 45                                 | 269                                 | 42                                 | 266                               | 3                                | 3                                 | 0.98            | 0.93             | 0.93          | 0.93      | –             |
| 7          | 23                                 | 291                                 | 23                                 | 289                               | 2                                | 0                                 | 0.99            | 0.92             | 1.00          | 0.96      | –             |
| 8          | 35                                 | 279                                 | 35                                 | 275                               | 4                                | 0                                 | 0.99            | 0.90             | 1.00          | 0.95      | –             |
| 9          | 31                                 | 283                                 | 25                                 | 283                               | 0                                | 6                                 | 0.98            | 1.00             | 0.81          | 0.89      | –             |
| 10         | 31                                 | 283                                 | 29                                 | 281                               | 2                                | 2                                 | 0.99            | 0.94             | 0.94          | 0.94      | –             |
| 11         | 32                                 | 282                                 | 32                                 | 279                               | 3                                | 0                                 | 0.99            | 0.91             | 1.00          | 0.96      | –             |
| 12         | 30                                 | 284                                 | 30                                 | 277                               | 7                                | 0                                 | 0.98            | 0.81             | 1.00          | 0.90      | –             |
| 13         | 30                                 | 284                                 | 30                                 | 283                               | 1                                | 0                                 | 1.00            | 0.97             | 1.00          | 0.98      | –             |
| 14         | 31                                 | 283                                 | 31                                 | 281                               | 2                                | 0                                 | 0.99            | 0.94             | 1.00          | 0.97      | –             |
| 15         | 31                                 | 283                                 | 30                                 | 253                               | 30                               | 1                                 | 0.90            | 0.50             | 0.97          | 0.66      | –             |
| 16         | 38                                 | 276                                 | 32                                 | 271                               | 5                                | 6                                 | 0.96            | 0.86             | 0.84          | 0.85      | –             |
| 17         | 28                                 | 286                                 | 28                                 | 285                               | 1                                | 0                                 | 1.00            | 0.97             | 1.00          | 0.98      | –             |
| 18         | 34                                 | 392                                 | 34                                 | 389                               | 3                                | 0                                 | 0.99            | 0.92             | 1.00          | 0.96      | –             |
| 19         | 30                                 | 396                                 | 30                                 | 391                               | 5                                | 0                                 | 0.99            | 0.86             | 1.00          | 0.92      | –             |
| 20         | 23                                 | 403                                 | 23                                 | 400                               | 3                                | 0                                 | 0.99            | 0.88             | 1.00          | 0.94      | –             |
| 21         | 36                                 | 390                                 | 34                                 | 376                               | 14                               | 2                                 | 0.96            | 0.71             | 0.94          | 0.81      | –             |
| 22         | 39                                 | 387                                 | 38                                 | 366                               | 21                               | 1                                 | 0.95            | 0.64             | 0.97          | 0.78      | –             |
| 23         | 27                                 | 399                                 | 26                                 | 396                               | 3                                | 1                                 | 0.99            | 0.90             | 0.96          | 0.93      | –             |
| 24         | 27                                 | 399                                 | 25                                 | 391                               | 8                                | 2                                 | 0.98            | 0.76             | 0.93          | 0.83      | –             |
| 25         | 22                                 | 404                                 | 22                                 | 386                               | 18                               | 0                                 | 0.96            | 0.55             | 1.00          | 0.71      | –             |
| 26         | 34                                 | 392                                 | 34                                 | 373                               | 19                               | 0                                 | 0.96            | 0.64             | 1.00          | 0.78      | –             |
| 27         | 33                                 | 393                                 | 30                                 | 377                               | 16                               | 3                                 | 0.96            | 0.65             | 0.91          | 0.76      | –             |
| 28         | 31                                 | 395                                 | 31                                 | 381                               | 14                               | 0                                 | 0.97            | 0.69             | 1.00          | 0.82      | –             |
| 29         | 33                                 | 393                                 | 33                                 | 379                               | 14                               | 0                                 | 0.97            | 0.70             | 1.00          | 0.83      | –             |
| 30         | 30                                 | 396                                 | 30                                 | 395                               | 1                                | 0                                 | 1.00            | 0.97             | 1.00          | 0.98      | –             |
| 31         | 46                                 | 380                                 | 45                                 | 379                               | 1                                | 2                                 | 1.00            | 0.98             | 0.96          | 0.97      | –             |
| 32         | 31                                 | 316                                 | 31                                 | 295                               | 21                               | 0                                 | 0.94            | 0.60             | 1.00          | 0.75      | –             |
| 33         | 49                                 | 298                                 | 43                                 | 298                               | 0                                | 6                                 | 0.98            | 1.00             | 0.88          | 0.93      | –             |
| 34         | 53                                 | 294                                 | 49                                 | 292                               | 2                                | 4                                 | 0.98            | 0.96             | 0.92          | 0.94      | –             |
| 35         | 30                                 | 317                                 | 27                                 | 314                               | 3                                | 3                                 | 0.98            | 0.90             | 0.90          | 0.90      | –             |
| 36         | 26                                 | 321                                 | 24                                 | 310                               | 11                               | 2                                 | 0.96            | 0.69             | 0.92          | 0.79      | –             |
| 37         | 43                                 | 304                                 | 36                                 | 301                               | 3                                | 7                                 | 0.97            | 0.92             | 0.84          | 0.88      | –             |
| 38         | 56                                 | 291                                 | 55                                 | 277                               | 14                               | 1                                 | 0.96            | 0.80             | 0.98          | 0.88      | –             |
| 39         | 48                                 | 299                                 | 44                                 | 290                               | 9                                | 4                                 | 0.96            | 0.83             | 0.92          | 0.87      | –             |
| 40         | 43                                 | 304                                 | 42                                 | 280                               | 24                               | 1                                 | 0.93            | 0.64             | 0.98          | 0.77      | –             |
| 41         | 52                                 | 295                                 | 52                                 | 281                               | 14                               | 0                                 | 0.96            | 0.79             | 1.00          | 0.88      | –             |
| 42         | 57                                 | 290                                 | 57                                 | 266                               | 24                               | 0                                 | 0.93            | 0.70             | 1.00          | 0.83      | –             |
| 43         | 50                                 | 297                                 | 50                                 | 253                               | 44                               | 0                                 | 0.87            | 0.53             | 1.00          | 0.69      | –             |
| 44         | 41                                 | 306                                 | 41                                 | 288                               | 18                               | 0                                 | 0.95            | 0.69             | 1.00          | 0.82      | –             |
| 45         | 69                                 | 278                                 | 68                                 | 262                               | 16                               | 1                                 | 0.95            | 0.81             | 0.99          | 0.89      | –             |
| 46         | 57                                 | 290                                 | 57                                 | 262                               | 28                               | 0                                 | 0.92            | 0.67             | 1.00          | 0.80      | –             |
| 47         | 73                                 | 274                                 | 63                                 | 269                               | 5                                | 10                                | 0.96            | 0.93             | 0.86          | 0.89      | –             |
| 48         | 24                                 | 323                                 | 24                                 | 322                               | 1                                | 0                                 | 1.00            | 0.96             | 1.00          | 0.98      | –             |
| 49         | 21                                 | 326                                 | 19                                 | 324                               | 2                                | 2                                 | 0.99            | 0.90             | 0.90          | 0.90      | –             |
| 50         | 28                                 | 319                                 | 26                                 | 319                               | 0                                | 2                                 | 0.99            | 1.00             | 0.93          | 0.96      | –             |
| 51         | 55                                 | 292                                 | 52                                 | 284                               | 8                                | 3                                 | 0.97            | 0.87             | 0.95          | 0.90      | –             |
| 52         | 27                                 | 320                                 | 23                                 | 307                               | 13                               | 4                                 | 0.95            | 0.64             | 0.85          | 0.73      | –             |
| 53         | 33                                 | 314                                 | 33                                 | 310                               | 4                                | 0                                 | 0.99            | 0.89             | 1.00          | 0.94      | –             |
| 54         | 31                                 | 316                                 | 31                                 | 295                               | 21                               | 0                                 | 0.94            | 0.60             | 1.00          | 0.75      | –             |

Note: Pos., crack; Neg., intact; TP, true-positive; TN, true-negative; FN, false-negative; Accuracy,  $\{(iii)+(iv)\}/\{(i)+(ii)\}$ ; Precision,  $(iii)/\{(iii)+(v)\}$ ; Recall,  $(iii)/\{(iii)+(vi)\}$ ; F1,  $2 \times (\text{precision} \times \text{recall})/(\text{precision} + \text{recall})$ .