

# CHAPTER 6

## Many-Body Perturbation Theory

### 6.1 Rayleigh-Schrödinger (RS) Perturbation Theory

### 6.2 Diagrammatic Representation of RS Perturbation Theory

#### 6.2.1 Diagrammatic Perturbation Theory for Two States

##### Exercise 6.1

Write down and evaluate all fifth-order diagrams that have the property that an imaginary horizontal line crosses only one hole and one particle line. Show that the sum of such diagrams is

$$\frac{V_{12}V_{21}(V_{22} - V_{11})^3}{(E_1^{(0)} - E_2^{(0)})^4}$$

*Hint:* There are eight such diagrams, and they can be generated by adding three dots to the second-order diagram in all positive ways.

##### Solution 6.1

6-1 so

#### 6.2.2 Diagrammatic Perturbation Theory for $N$ States

##### Exercise 6.2

Use diagrammatic techniques to obtain the fourth-order perturbation energy of a particular state (say,  $i$ ) of an  $N$ -state system. That is, evaluate the diagrams where the indices  $m, n, k, \dots$  exclude  $i$ . Using the approach of Section 6.1, obtain an algebraic expression for the fourth-order energy and compare it to the diagrammatic result.

##### Solution 6.2

6-2 so

#### 6.2.3 Summation of Diagrams

### 6.3 Orbital Perturbation Theory: One-Particle Perturbations

##### Exercise 6.3

Derive

$$E_0^{(2)} = \sum_{ar} \frac{v_{ar}v_{ra}}{\varepsilon_a^{(0)} - \varepsilon_r^{(0)}}$$

starting with the general expression for the second-order energy (Eq.(6.12)) applied to an  $N$ -electron

system,

$$E_0^{(2)} = \sum_n' \frac{\left| \langle \Psi_0 | \sum_i v(i) | n \rangle \right|^2}{E_0^{(0)} - E_n^{(0)}}$$

where the sum runs over all states of the system except the ground state.

*Hint:* The states  $|n\rangle$  must be single excitations of the type

$$|\Psi_a^r\rangle = |\chi_1^{(0)} \cdots \chi_{a-1}^{(0)} \chi_a^{(0)} \chi_{a+1}^{(0)} \cdots \chi_N^{(0)}\rangle.$$

### Solution 6.3

6-3 so

### Exercise 6.4

Calculate the third-order energy  $E_0^{(3)}$  using the general expression given in Eq.(6.15).

a. Show that

$$B_0^{(3)} = -E_0^{(1)} \sum_n' \frac{|\langle \Psi_0 | \mathcal{V} | n \rangle|^2}{(E_0^{(0)} - E_n^{(0)})^2} = - \sum_{abr} \frac{v_{aa} v_{rb} v_{br}}{(\varepsilon_b^{(0)} - \varepsilon_r^{(0)})^2}.$$

b. Show that

$$A_0^{(3)} = \sum_{nm} \frac{\langle \Psi_0 | \mathcal{V} | n \rangle \langle n | \mathcal{V} | m \rangle \langle m | \mathcal{V} | \Psi_0 \rangle}{(E_0^{(0)} - E_n^{(0)})(E_0^{(0)} - E_m^{(0)})} = \sum_{abrs} \frac{v_{ar} v_{sb} \langle \Psi_a^r | \mathcal{V} | \Psi_b^s \rangle}{(\varepsilon_a^{(0)} - \varepsilon_r^{(0)})(\varepsilon_b^{(0)} - \varepsilon_s^{(0)})}.$$

c. Show that

$$\begin{aligned} \langle \Psi_a^r | \mathcal{V} | \Psi_b^s \rangle &= v_{rs} && \text{if } a = b \quad r \neq s, \\ &= -v_{ba} && \text{if } a \neq b \quad r = s, \\ &= \sum_c v_{cc} - v_{aa} + v_{rr} && \text{if } a = b \quad r = s, \\ &= 0 && \text{otherwise.} \end{aligned}$$

d. Finally, combine the two terms to obtain

$$E_0^{(3)} = A_0^{(3)} + B_0^{(3)} = \sum_{ars} \frac{v_{ar} v_{rs} v_{sa}}{(\varepsilon_a^{(0)} - \varepsilon_r^{(0)})(\varepsilon_a^{(0)} - \varepsilon_s^{(0)})} - \sum_{abr} \frac{v_{ra} v_{ab} v_{br}}{(\varepsilon_a^{(0)} - \varepsilon_r^{(0)})(\varepsilon_b^{(0)} - \varepsilon_r^{(0)})}.$$

e. Show that for a closed-shell system

$$E_0^{(3)} = 2 \sum_{ars}^{N/2} \frac{v_{ar} v_{rs} v_{sa}}{(\varepsilon_a^{(0)} - \varepsilon_r^{(0)})(\varepsilon_a^{(0)} - \varepsilon_s^{(0)})} - 2 \sum_{abr}^{N/2} \frac{v_{ra} v_{ab} v_{br}}{(\varepsilon_a^{(0)} - \varepsilon_r^{(0)})(\varepsilon_b^{(0)} - \varepsilon_r^{(0)})}.$$

### Solution 6.4

6-4 so

### Exercise 6.5

Show that the second term in Eq.(6.52) is equal to  $\frac{3}{8}\beta$  for benzene.

### Solution 6.5

6-5 so

## Exercise 6.6

Consider a cyclic polyene with  $N = 4\nu + 2$ ,  $\nu = 1, 2, \dots$  carbons. Instead of assuming that all the bonds are identical, suppose they alternate in length. In the context of Hückel theory this means that the resonance integrals between adjacent carbons are not all equal to  $\beta$  but alternate between  $\beta_1$  and  $\beta_2$ . For example, for benzene we have

Now it can be shown that the exact energy for a cyclic polyene of this type is

$$\mathcal{E}_0 = N\alpha - 2 \sum_{j=-\nu}^{\nu} \left( \beta_1^2 + \beta_2^2 + 2\beta_1\beta_2 \cos \frac{2j\pi}{2\nu+1} \right)^{1/2}$$

(see for example, L. Salem, *Molecular Orbital Theory of Conjugated Systems*, Benjamin, New York, 1966, pp.498-500). Note that when  $\beta_1 = \beta_2 = \beta$ , since  $2\cos^2\theta = (1 + \cos 2\theta)$  and  $\beta$  is negative, we recover

$$\mathcal{E}_0 = N\alpha + 4\beta \sum_{j=-\nu}^{\nu} \cos \frac{j\pi}{2\nu+1}$$

which is the result quoted in Subsection 5.3.2. Also note that when  $\beta_1 = \beta$  but  $\beta_2 = 0$ , we have

$$\mathcal{E}_0 = N\alpha + N\beta$$

which is just the total energy of the polyene using the localized ethylenic description. The purpose of this exercise is to obtain the perturbation expansion for the resonance energy by expanding the exact energy in powers of  $\beta_2/\beta_1$ .

- a. Show that for benzene ( $\nu = 1$ ) the exact ground state energy in the alternating short and long bond model is

$$\mathcal{E}_0 = 6\alpha + 2(\beta_1 + \beta_2) - 4(\beta_1^2 + \beta_2^2 - \beta_1\beta_2)^{1/2}$$

Do this first by using the general expression and then by setting up the Hückel matrix, diagonalizing it and adding up the occupied orbital energies. Note that when  $\beta_1 = \beta_2 = \beta$  we recover our old result,  $6\alpha + 8\beta$ .

- b. Setting  $\beta_1 = \beta$  and  $\beta_2/\beta_1 = x$  show that the resonance energy of benzene can be written as

$$E_R = 4\beta \left( \frac{1}{2}x - 1 + (1 - x + x^2)^{1/2} \right)$$

Note that when  $x = 0$ ,  $E_R = 0$  and when  $x = 1$ ,  $E_R = 2\beta$  which is exact.

- c. Using the relation

$$(1+y)^{1/2} = 1 + \frac{1}{2}y - \frac{1}{8}y^2 + \frac{1}{16}y^3 - \frac{5}{128}y^4 + \dots, \quad |y| < 1$$

expand  $E_R$  to fourth order in  $x$  and thus show that

$$E_R = \beta \left( \frac{3}{2}x^2 + \frac{3}{4}x^3 + \frac{3}{32}x^4 + \dots \right)$$

Identifying the coefficient of  $x^n$  with the  $n$ th-order perturbation result (i.e.,  $E^{(n)}_0$ ), we have

$$\begin{aligned} E_0^{(2)} &= \frac{3}{2}\beta, \\ E_0^{(3)} &= \frac{3}{4}\beta, \\ E_0^{(4)} &= \frac{3}{32}\beta. \end{aligned}$$

Note that  $E_0^{(2)}$  and  $E_0^{(3)}$  agree with our previously calculated values. This derivation provides some insight into the poor convergence of the perturbation expansion of the resonance energy of benzene. Basically, the perturbation expansion converges rapidly when  $x$  is small. However, for our problem  $x$  is equal to unity.

The resonance energy calculated up to  $M$ th-order as a function of  $M$  is shown below. Note the oscillatory convergence towards the exact value to  $2\beta$ . The method used above to obtain  $E_0^{(n)}$  for  $n = 2, 3, 4$  becomes extremely laborious for larger  $n$ . The results below were calculated by first showing that  $E_0^{(n)} = 4\beta C_n^{-1/2}(1/2)$ , where  $C_n^{-1/2}(x)$  is a Gegenbauer polynomial of degree  $n$  and order  $-\frac{1}{2}$ , and then using the recursive properties of these polynomials, to show that

$$(n+1)E_0^{(n+1)} = (n-1)E_0^{(n)} - (n-2)E_0^{(n-1)}.$$

**Solution 6.6**

6-6 so

**6.4 Diagrammatic Representation of Orbital Perturbation Theory****Exercise 6.7**

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**Solution 6.7**

6-7 so

**6.5 Perturbation Expansion of the Correlation Energy****Exercise 6.8**

Derive Eqs.(6.73) and (6.74) starting with Eq.(6.72).

**Solution 6.8**

6-8 so

**Exercise 6.9**

Derive Eqs.(6.77) and (6.78) from Eq.(6.76).

**Solution 6.9**

6-9 so

**6.6 The  $N$ -Dependence of the RS Perturbation Expansion****Exercise 6.10**

Derive Eqs.(6.80b) and (6.90).

**Solution 6.10**

6-10 so

**6.7 Diagrammatic Representation of the Perturbation Expansion of the Correlation Energy****6.7.1 Hugenholtz Diagrams****Exercise 6.11**

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**Solution 6.11**

6-11 so

### 6.7.2 Goldstone Diagrams

**Exercise 6.12**

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**Solution 6.12**

6-12 so

### 6.7.3 Summation of Diagrams

### 6.7.4 What Is the Linked Cluster Theorem?

**Exercise 6.13**

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**Solution 6.13**

6-13 so

## 6.8 Some Illustrative Calculations