

# CHAPTER 3

## The Hartree-Fock Approximation

### 3.1 The Hartree-Fock Equations

#### 3.1.1 The Coulomb and Exchange Operators

#### 3.1.2 The Fock Operator

##### Exercise 3.1

Show that the general matrix element of the Fock operator has the form

$$\langle \chi_i | f | \chi_j \rangle = \langle i | h | j \rangle + \sum_b [ij|bb] - [ib|bj] = \langle i | h | j \rangle + \sum_b \langle ib || jb \rangle.$$

##### Solution 3.1

3-2 so

### 3.2 Derivation of the Hartree-Fock Equations

#### 3.2.1 Functional Variation

#### 3.2.2 Minimization of the Energy of a Single Determinant

##### Exercise 3.2

Prove Eq.(3.40).

##### Solution 3.2

3-2 so

##### Exercise 3.3

Manipulate Eq.(3.44) to show that

$$\delta E_0 = \sum_{a=1}^N [\delta \chi_a | h | \chi_a] + \sum_{a=1}^N \sum_{b=1}^N [\delta \chi_a \chi_a | \chi_b \chi_b] - [\delta \chi_a \chi_b | \chi_b \chi_a] + \text{complex conjugate}.$$

##### Solution 3.3

3-2 so

### 3.2.3 The Canonical Hartree-Fock Equations

## 3.3 Interpretation of Solutions to the Hartree-Fock Equations

### 3.3.1 Orbital Energies and Koopmans' Theorem

#### Exercise 3.4

Use the result of Exercise 3.1 to show that the Fock operator is a Hermitian operator, by showing that  $f_{ij} = \langle \chi_i | f | \chi_j \rangle$  is an element of a Hermitian matrix.

#### Solution 3.4

3-4 so

#### Exercise 3.5

Show that the energy required to remove an electron from  $\chi_c$  and one from  $\chi_d$  to produce the  $(N - 2)$ -electron single determinant  $|^{N-2}\Psi_{cd}\rangle$  is  $-\varepsilon_c - \varepsilon_d + \langle cd | cd \rangle - \langle cd | dc \rangle$ .

#### Solution 3.5

3-5 so

#### Exercise 3.6

Use Eq.(3.87) to obtain an expression for  $^{N+1}E^r$  and then subtract it from  $^N E_0$  (Eq.(3.88)) to show that

$$^N E_0 - ^{N+1} E^r = -\langle r | h | r \rangle - \sum_b \langle rb | rb \rangle.$$

#### Solution 3.6

3-6 so

### 3.3.2 Brillouin's Theorem

### 3.3.3 The Hartree-Fock Hamiltonian

#### Exercise 3.7

Use definition (2.115) of a Slater determinant and the fact that  $\mathcal{H}_0$  commutes with any operator that permutes the electron labels, to show that  $|\Psi_0\rangle$  is an eigenfunction of  $\mathcal{H}_0$  with eigenvalue  $\sum_a \varepsilon_a$ . Why does  $\mathcal{H}_0$  commute with the permutation operator?

#### Solution 3.7

3-7 so

#### Exercise 3.8

Use expression (3.108) for  $\mathcal{V}$ , expression (3.18) for the Hartree-Fock potential  $v^{\text{HF}}(i)$ , and the rules for evaluating matrix elements to explicitly show that  $\langle \Psi_0 | \mathcal{V} | \Psi_0 \rangle = -\frac{1}{2} \sum_a \sum_b \langle ab | ab \rangle$  and hence that  $E_0^{[1]}$  cancels the double counting of electron-electron repulsions in  $E_0^{(0)} = \sum_a \varepsilon_a$  to give the correct Hartree-Fock energy  $E_0$ .

#### Solution 3.8

3-8 so

## 3.4 Restricted Closed-Shell Hartree-Fock: The Roothaan Equations

### 3.4.1 Closed-Shell Hartree-Fock: Restricted Spin Orbitals

**Exercise 3.9**

111

**Solution 3.9**

3-9 so

### 3.4.2 Introduction of a Basis: The Roothaan Equations

**Exercise 3.10**

111

**Solution 3.10**

3-10 so

### 3.4.3 The Charge Density

**Exercise 3.11**

111

**Solution 3.11**

3-11 so

**Exercise 3.12**

111

**Solution 3.12**

3-12 so

**Exercise 3.13**

111

**Solution 3.13**

3-13 so

### 3.4.4 Expression for the Fock Matrix

**Exercise 3.14**

111

**Solution 3.14**

3-14 so

### 3.4.5 Orthogonalization of the Basis

**Exercise 3.15**

111

**Solution 3.15**

3-15 so

**Exercise 3.16**

111

**Solution 3.16**

3-16 so

**3.4.6 The SCF Procedure****3.4.7 Expectation Values and Population Analysis****Exercise 3.17**

111

**Solution 3.17**

3-17 so

**Exercise 3.18**

111

**Solution 3.18**

3-18 so

**3.5 Model Calculations on  $\text{H}_2$  and  $\text{HeH}^+$** **3.5.1 The  $1s$  Minimal STO-3G Basis set****Exercise 3.19**

111

**Solution 3.19**

3-19 so

**Exercise 3.20**

111

**Solution 3.20**

3-20 so

**3.5.2 STO-3G  $\text{H}_2$** **Exercise 3.21**

111

**Solution 3.21**

3-21 so

**Exercise 3.22**

Derive the coefficients  $[2(1 + S_{12})]^{-1/2}$  and  $[2(1 - S_{12})]^{-1/2}$  in the basis function expansion of  $\psi_1$  and  $\psi_2$  by requiring  $\psi_1$  and  $\psi_2$  to be normalized.

**Solution 3.22**

3-22 so

**Exercise 3.23**

111

**Solution 3.23**

3-23 so

**Exercise 3.24**

111

**Solution 3.24**

3-24 so

**Exercise 3.25**

111

**Solution 3.25**

3-25 so

**Exercise 3.26**

111

**Solution 3.26**

3-26 so

**Exercise 3.27**

111

**Solution 3.27**

3-27 so

**3.5.3 An SCF Calculation on STO-3G  $\text{HeH}^+$** **Exercise 3.28**

111

**Solution 3.28**

3-28 so

**Exercise 3.29**

111

**Solution 3.29**

3-29 so

## 3.6 Polyatomic Basis Sets

### 3.6.1 Contracted Gaussian Functions

### 3.6.2 Minimal Basis Sets: STO-3G

### 3.6.3 Double Zeta Basis Sets: 4-31G

#### Exercise 3.30

111

#### Solution 3.30

3-30 so

### 3.6.4 Polarized Basis Sets: 6-31G\* and 6-31G\*\*

#### Exercise 3.31

111

#### Solution 3.31

3-31 so

## 3.7 Some Illustrative Closed-Shell Calculations

#### Exercise 3.32

111

#### Solution 3.32

3-32 so

### 3.7.1 Total Energies

### 3.7.2 Ionization Potentials

### 3.7.3 Equilibrium Geometries

### 3.7.4 Population Analysis and Dipole Moments

## 3.8 Unrestricted Open-Shell Hartree-Fock: The Pople-Nesbet Equations

### 3.8.1 Open-Shell Hartree Fock: Unrestricted Spin Orbitals

#### Exercise 3.33

111

#### Solution 3.33

3-33 so

#### Exercise 3.34

111

#### Solution 3.34

3-34 so

**Exercise 3.35**

111

**Solution 3.35**

3-35 so

**3.8.2 Introduction of a Basis: The Pople-Nesbet Equations****3.8.3 Unrestricted Density Matrices****Exercise 3.36**

111

**Solution 3.36**

3-36 so

**Exercise 3.37**

111

**Solution 3.37**

3-37 so

**Exercise 3.38**

111

**Solution 3.38**

3-38 so

**Exercise 3.39**

111

**Solution 3.39**

3-39 so

**3.8.4 Expression for the Fock Matrices****3.8.5 Solution of the Unrestricted SCF Equations****Exercise 3.40**

111

**Solution 3.40**

3-40 so

**3.8.6 Illustrative Unrestricted Calculations****Exercise 3.41**

111

**Solution 3.41**

3-41 so

### 3.8.7 The Dissociation Problem and its Unrestricted Solution

**Exercise 3.42**

111

**Solution 3.42**

3-42 so

**Exercise 3.43**

111

**Solution 3.43**

3-43 so

**Exercise 3.44**

Derive Eq.(3.379) from Eq.(3.382).

**Solution 3.44**

3-44 so