

# Advanced Cryptography

(Provable Security)

Yi LIU

# Shamir Secret Sharing

- $\mathcal{M} = \mathbb{Z}_p$ , where  $p$  is a prime.  $n < p$ ,  $t \leq n$
- $\text{Share}(m)$ :
  - $f_1, \dots, f_{t-1} \leftarrow \mathbb{Z}_p$
  - $f(x) := m + \sum_{j=1}^{t-1} f_j x^j$
  - Let  $s_i = (i, f(i) \% p)$  for  $i = 1$  to  $n$ . Return  $(s_1, \dots, s_n)$ .
- $\text{Reconstruct}(\{s_i \mid i \in U\})$ :
  - $f(x) :=$  unique degree  $-(t-1)$  polynomial mod  $p$   
passing through points  $\{s_i \mid i \in U\}$
  - Return  $f(0)$ .

# Shamir Secret Sharing - Security

**Lemma** Let  $p$  be a prime and define the following two libraries. These two libraries are interchangeable, i.e.,  $\mathcal{L}_{\text{shamir-real}} \equiv \mathcal{L}_{\text{shamir-rand}}$ .

$\mathcal{L}_{\text{shamir-real}}$
<b>poly</b> ( $m, t, U \subseteq \{1, \dots, p\}$ ): If $ U  \geq t$ : return <b>err</b> $f_1, \dots, f_{t-1} \leftarrow \mathbb{Z}_p$ $f(x) := m + \sum_{j=1}^{t-1} f_j x^j$ For $i \in U$ : $s_i := (i, f(i) \% p)$ return $\{s_i \mid i \in U\}$

$\mathcal{L}_{\text{shamir-rand}}$
<b>poly</b> ( $m, t, U \subseteq \{1, \dots, p\}$ ): If $ U  \geq t$ : return <b>err</b> For $i \in U$ : $y_i \leftarrow \mathbb{Z}_p$ $s_i := (i, y_i)$ return $\{s_i \mid i \in U\}$

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*Proof*

Fix  $m \in \mathbb{Z}_p$ , fix set  $U$  with  $|U| < t$ .

For each  $i \in U$ , fix a value  $y_i \in \mathbb{Z}_p$ .

Consider the probability that **poly**( $m, t, U$ ) outputs  $\{(i, y_i) \mid i \in U\}$  in each library.

- In  $\mathcal{L}_{\text{shamir-real}}$ , there are  $p^{t-1}$  such degree- $(t-1)$  polynomials (according to the previous corollary) such that  $f(0) \equiv_p m$ .
  - To be consistent with  $(0, m) \cup \{(i, y_i) \mid i \in U\}$ , there are  $p^{t-(|U|+1)}$  such polynomials.
  - Happen with probability  $\frac{p^{t-|U|-1}}{p^{t-1}} = p^{-|U|}$ .

$\mathcal{L}_{\text{shamir-real}}$	$\mathcal{L}_{\text{shamir-rand}}$
<b>poly</b> ( $m, t, U \subseteq \{1, \dots, p\}$ ): If $ U  \geq t$ : return <b>err</b> $f_1, \dots, f_{t-1} \leftarrow \mathbb{Z}_p$ $f(x) := m + \sum_{j=1}^{t-1} f_j x^j$ For $i \in U$ : $s_i := (i, f(i) \% p)$ return $\{s_i \mid i \in U\}$	<b>poly</b> ( $m, t, U \subseteq \{1, \dots, p\}$ ): If $ U  \geq t$ : return <b>err</b> For $i \in U$ : $y_i \leftarrow \mathbb{Z}_p$ $s_i := (i, y_i)$ return $\{s_i \mid i \in U\}$

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- In  $\mathcal{L}_{\text{shamir-rand}}$ ,  $|U|$  output values are chosen uniformly in  $\mathbb{Z}_p$ ,  $p^{|U|}$  ways to choose them, but only one cause **poly**( $m, t, U$ ) to output specific choice of  $\{(i, y_i) \mid i \in U\}$ . The probability of receiving this output is  $p^{-|U|}$ .

For all possible inputs to **poly**, both libraries assign the same probability to every possible output. Hence, the libraries are interchangeable.

$\mathcal{L}_{\text{shamir-real}}$	$\mathcal{L}_{\text{shamir-rand}}$
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# Shamir Secret Sharing - Security

**Theorem** Shamir's secret-sharing scheme is secure.

*proof*

$\mathcal{L}_{\text{tsss-L}}^S$

SHARE( $m_L, m_R, U$ ):  
if  $|U| \geq t$ : return **err**  
 $f_1, \dots, f_{t-1} \leftarrow \mathbb{Z}_p$   
 $f(\mathbf{x}) := m_L + \sum_{j=1}^{t-1} f_j \mathbf{x}^j$   
for  $i \in U$ :  
     $s_i := (i, f(i) \% p)$   
return  $\{s_i \mid i \in U\}$



SHARE( $m_L, m_R, U$ ):  
return **POLY( $m_L, t, U$ )**

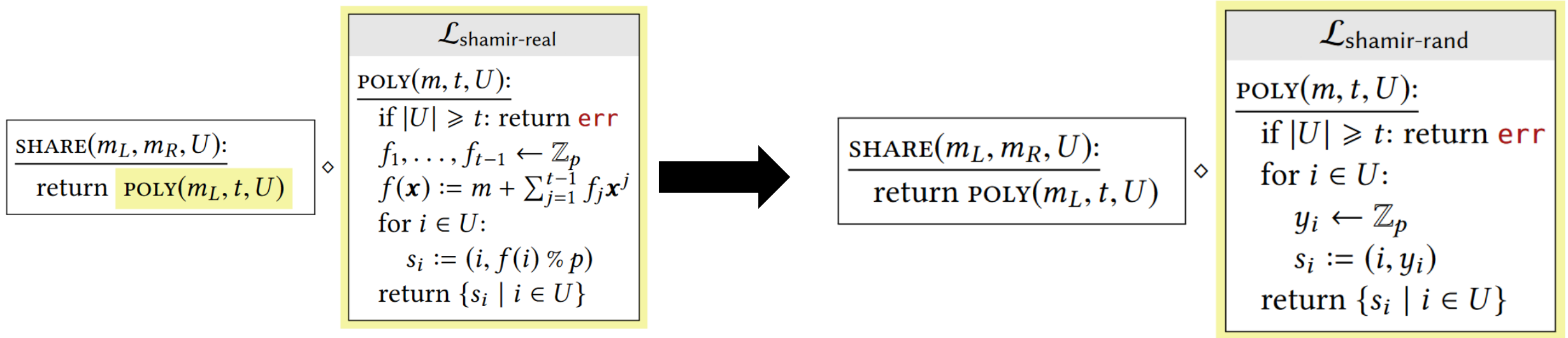
$\mathcal{L}_{\text{shamir-real}}$

POLY( $m, t, U$ ):  
if  $|U| \geq t$ : return **err**  
 $f_1, \dots, f_{t-1} \leftarrow \mathbb{Z}_p$   
 $f(\mathbf{x}) := m + \sum_{j=1}^{t-1} f_j \mathbf{x}^j$   
for  $i \in U$ :  
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**Theorem** Shamir's secret-sharing scheme is secure.

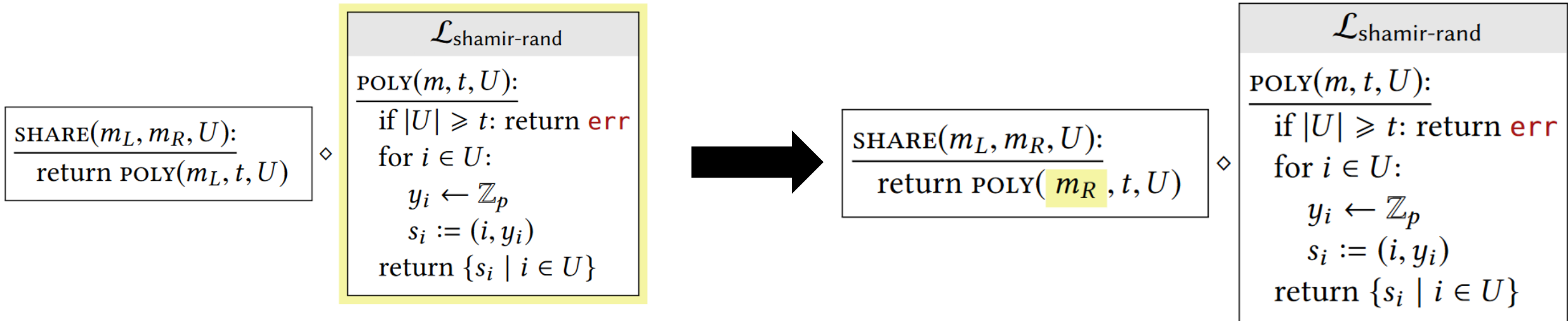
*proof*



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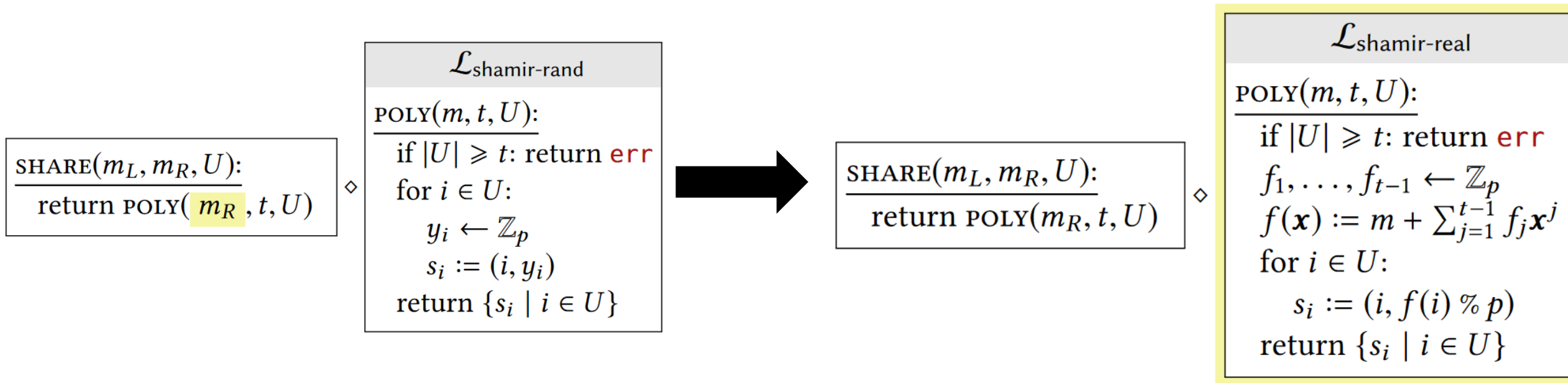




# Shamir Secret Sharing - Security

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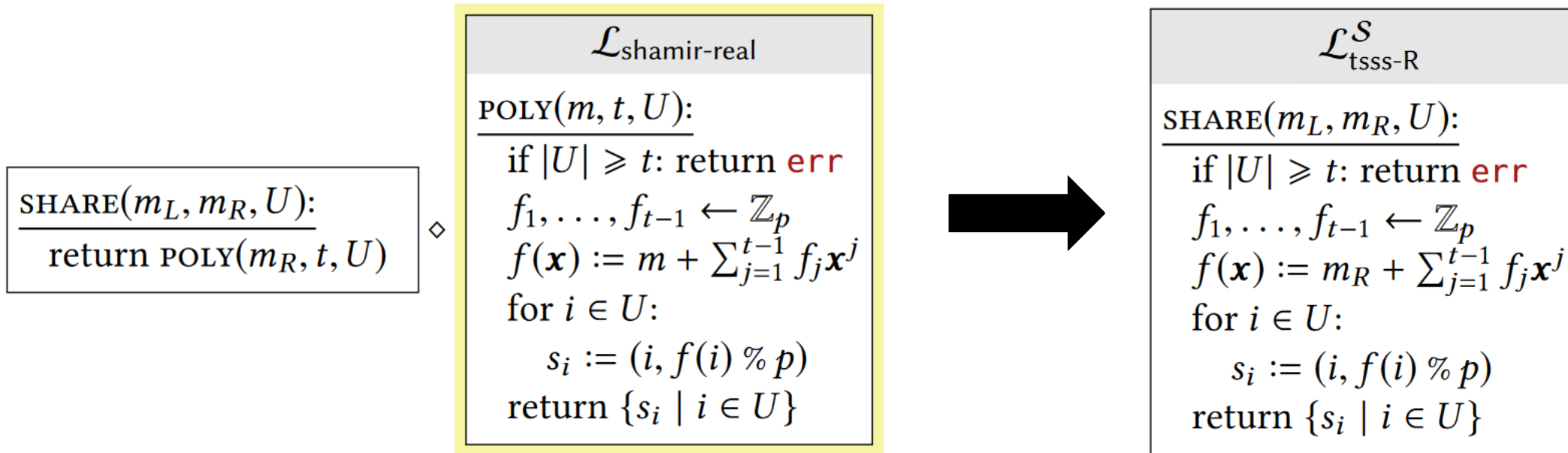
*proof*



# Shamir Secret Sharing - Security

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*proof*



# Basing Cryptography on Intractable Computations

# What Qualifies as a “Computationally Infeasible” Attack?

- Intuition

*It doesn't really matter whether attacks are impossible, only whether attacks are computationally infeasible.*

- For a scheme with  $\lambda$ -bit keys

- The most direct computation procedure would be for the enemy to try all  $2^\lambda$  possible keys, one by one. Obviously this is easily made impractical for the enemy by simply choosing  $\lambda$  large enough.
- We call  $\lambda$  the security parameter of the scheme. A scheme described as having  $n$ -bit security if the best known attack requires  $2^n$  steps.
  - A scheme with  $\lambda$ -bit keys may have attack that cost only  $2^{\lambda/2}$ .

# What Qualifies as a “Computationally Infeasible” Attack?

<i>clock cycles</i>	<i>approx cost</i>	<i>reference</i>
$2^{50}$	\$3.50	<i>cup of coffee</i>
$2^{55}$	\$100	<i>decent tickets to a Portland Trailblazers game</i>
$2^{65}$	\$130,000	<i>median home price in Oshkosh, WI</i>
$2^{75}$	\$130 million	<i>budget of one of the Harry Potter movies</i>
$2^{85}$	\$140 billion	<i>GDP of Hungary</i>
$2^{92}$	\$20 trillion	<i>GDP of the United States</i>
$2^{99}$	\$2 quadrillion	<i>all of human economic activity since 300,000 BC<sup>4</sup></i>
$2^{128}$	<i>really a lot</i>	<i>a billion human civilizations' worth of effort</i>

# Asymptotic Running Time

**Definition** A program runs in **polynomial time** if there exists a **constant**  $c > 0$  such that for **all sufficiently long input strings**  $x$ , the program stops after **no more than**  $O(|x|^c)$  **steps**.

- In crypto world, “polynomial-time” is a synonym for “efficient”.
  - Polynomial time is not a perfect match to what we mean when we informally talk about “efficient” algorithms.
    - Algorithms with running time  $\Theta(n^{1000})$  are technically polynomial-time, while those with running time  $\Theta(n^{\log \log \log n})$  aren't.
- Closure property: **repeating** a **polynomial-time** process **a polynomial number of times** results in a **polynomial-time** process overall.

# Potential Pitfall: Numerical Algorithms

- Remember that representing the number  $N$  on a computer requires only  $\sim \log_2 N$  bits. This means that  $\log_2 N$ , rather than  $N$ , is our security parameter.
  - The difference between running time  $O(\log N)$  and  $O(N)$  is the difference between **writing down a number** and **counting to the number**.

## **Efficient algorithm known:**

Computing GCDs

Arithmetic mod  $N$

Inverses mod  $N$

Exponentiation mod  $N$

## **No known efficient algorithm:**

Factoring integers

Computing  $\phi(N)$  given  $N$

Discrete logarithm

Square roots mod composite  $N$

# What qualifies as a “Negligible” Success Probability?

- We don’t want to worry about attacks that are as expensive as a brute-force attack. (“Computationally Infeasible” Attack)
- We don’t want to worry about attacks whose success probability is as low as a blind-guess attack. (“Negligible” Success Probability)

<i>probability</i>	<i>equivalent</i>
$2^{-10}$	<i>full house in 5-card poker</i> 满堂红（三张相同牌加对子）
$2^{-20}$	<i>royal flush in 5-card poker</i> 皇家同花顺
$2^{-28}$	<i>you win this week’s Powerball jackpot</i>
$2^{-40}$	<i>royal flush in 2 consecutive poker games</i>
$2^{-60}$	<i>the next meteorite that hits Earth lands in this square →</i>





# What qualifies as a “Negligible” Success Probability?

- For example,  $1/2^\lambda$  approaches zero so fast that no polynomial can “rescue” it, i.e.,  $\lim_{\lambda \rightarrow \infty} \frac{p(\lambda)}{2^\lambda} = 0$  for polynomial  $p$ .
  - In other words, it approaches zero faster than 1 over any polynomial.

# What qualifies as a “Negligible” Success Probability?

**Definition** A function  $f$  is **negligible** if, for **every** polynomial  $p$ , we have  $\lim_{\lambda \rightarrow \infty} p(\lambda)f(\lambda) = 0$ .

**Claim** If for every integer  $c$ ,  $\lim_{\lambda \rightarrow \infty} \lambda^c f(\lambda) = 0$ , then  $f$  is negligible.

# What qualifies as a “Negligible” Success Probability?

**Claim** If for every integer  $c$ ,  $\lim_{\lambda \rightarrow \infty} \lambda^c f(\lambda) = 0$ , then  $f$  is negligible.

*Proof*

Suppose  $f$  has this property, and take arbitrary polynomial  $p$ , show that  $\lim_{\lambda \rightarrow \infty} p(\lambda)f(\lambda) = 0$ .

If  $d$  is the degree of  $p$ , then  $\lim_{\lambda \rightarrow \infty} p(\lambda)/\lambda^{d+1} = 0$ . Hence,

$$\begin{aligned}\lim_{\lambda \rightarrow \infty} p(\lambda)f(\lambda) &= \lim_{\lambda \rightarrow \infty} \left[ \frac{p(\lambda)}{\lambda^{d+1}} \left( \lambda^{d+1} \cdot f(\lambda) \right) \right] \\ &= \left( \lim_{\lambda \rightarrow \infty} \frac{p(\lambda)}{\lambda^{d+1}} \right) \left( \lim_{\lambda \rightarrow \infty} \lambda^{d+1} \cdot f(\lambda) \right) = 0 \cdot 0 = 0\end{aligned}$$

# What qualifies as a “Negligible” Success Probability?

**Definition** If  $f, g : \mathbb{N} \rightarrow \mathbb{R}$  are two functions, we write  $f \approx g$  to mean that  $|f(\lambda) - g(\lambda)|$  is a negligible function.

$\Pr[X] \approx 0 \iff$  “event  $X$  almost never happens”

$\Pr[Y] \approx 1 \iff$  “event  $Y$  almost always happens”

$\Pr[A] \approx \Pr[B] \iff$  “events  $A$  and  $B$  happen with essentially the same probability”

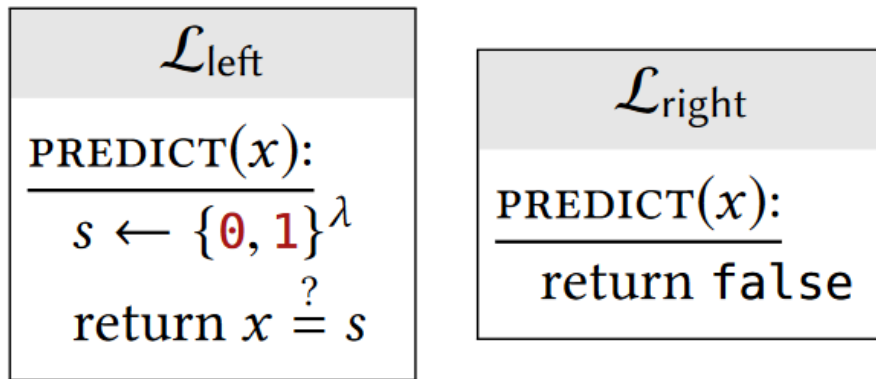
# Indistinguishability

**Definition** Let  $\mathcal{L}_{\text{left}}$  and  $\mathcal{L}_{\text{right}}$  be two libraries with a common interface. We say that  $\mathcal{L}_{\text{left}}$  and  $\mathcal{L}_{\text{right}}$  are **indistinguishable**, and write  $\mathcal{L}_{\text{left}} \approx \mathcal{L}_{\text{right}}$ , if for **all polynomial-time** programs  $\mathcal{A}$  that output a single bit,  $\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1] \approx \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1]$ .

We call the quantity  $|\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1] - \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1]|$  the **advantage** or **bias** of  $\mathcal{A}$  in distinguishing  $\mathcal{L}_{\text{left}}$  from  $\mathcal{L}_{\text{right}}$ . Two libraries are therefore **indistinguishable** if all polynomial-time calling programs have **negligible advantage in distinguishing them**.

# Indistinguishability

- A very simple example of two indistinguishable libraries:



- The  $\mathcal{L}_{\text{left}}$  library tells the calling program whether its prediction was correct.
- The  $\mathcal{L}_{\text{right}}$  library doesn't even bother sampling a string, it just always says “*sorry, your prediction was wrong.*”

# Indistinguishability

- A very simple example of two indistinguishable libraries:

$\mathcal{L}_{\text{left}}$
$\text{PREDICT}(x):$ <hr/> $s \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda$ return $x \stackrel{?}{=} s$

$\mathcal{L}_{\text{right}}$
$\text{PREDICT}(x):$ <hr/> return false

$\mathcal{A}_{\text{obvious}}$
do $q$ times: if $\text{PREDICT}(\mathbf{0}^\lambda) = \text{true}$ return 1 return 0

$$\Pr[\mathcal{A}_{\text{obvious}} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1] = 0$$

$$\begin{aligned} \Pr[\mathcal{A}_{\text{obvious}} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1] &= 1 - \Pr[\text{all } q \text{ independent calls to PREDICT return false}] \\ &= 1 - \left(1 - \frac{1}{2^\lambda}\right)^q \end{aligned}$$

Then  $\mathcal{L}_{\text{left}} \not\equiv \mathcal{L}_{\text{right}}$ . These two libraries are not interchangeable.

What about indistinguishability?

Compute an upper bound first

# Indistinguishability

- A very simple example of two indistinguishable libraries:

$\mathcal{L}_{\text{left}}$
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$\mathcal{L}_{\text{right}}$
$\text{PREDICT}(x):$ <hr/> return false

$$\Pr[\mathcal{A}_{\text{obvious}} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1] = 0$$

$$\Pr[\mathcal{A}_{\text{obvious}} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1] \leq \Pr[\text{first call to PREDICT returns true}]$$

$$+ \Pr[\text{second call to PREDICT returns true}] + \dots = q \frac{1}{2^\lambda}$$

$\mathcal{A}_{\text{obvious}}$
do $q$ times: if $\text{PREDICT}(\mathbf{0}^\lambda) = \text{true}$ return 1 return 0

- This is an **overestimate** of some probabilities (e.g., if the first call to predict returns true, then the second call isn't made).
- $\mathcal{A}_{\text{obvious}}$  has advantage **at most**  $q/2^\lambda$ . Since  $\mathcal{A}_{\text{obvious}}$  runs in polynomial time, it can only make a polynomial number  $q$  of queries to the library, so  $q/2^\lambda$  is negligible.
- To show that the libraries are indistinguishable, we must show that **every** calling program's advantage is negligible. (prove later)



# Other Properties

**Lemma** If  $\mathcal{L}_1 \equiv \mathcal{L}_2$  then  $\mathcal{L}_1 \approx \mathcal{L}_2$ . If  $\mathcal{L}_1 \approx \mathcal{L}_2 \approx \mathcal{L}_3$  then  $\mathcal{L}_1 \approx \mathcal{L}_3$ .

**Lemma** If  $\mathcal{L}_{\text{left}} \approx \mathcal{L}_{\text{right}}$  then  $\mathcal{L}^* \diamond \mathcal{L}_{\text{left}} \approx \mathcal{L}^* \diamond \mathcal{L}_{\text{right}}$  for any polynomial-time library  $\mathcal{L}^*$ .

# Bad-Event Lemma

**Lemma** Let  $\mathcal{L}_{\text{left}}$  and  $\mathcal{L}_{\text{right}}$  be libraries that each define a variable named '*bad*' that is initialized to 0. If  $\mathcal{L}_{\text{left}}$  and  $\mathcal{L}_{\text{right}}$  have **identical** code, **except for code blocks reachable only when *bad* = 1**, then

$$|\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1] - \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1]| \leq \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \text{ sets } bad = 1]$$

*proof*

Fix an arbitrary calling program  $\mathcal{A}$ . Define the following events

$\mathcal{B}_{\text{left}}$ : the event that  $\mathcal{A} \diamond \mathcal{L}_{\text{left}}$  sets *bad* to 1 at some point.

$\mathcal{B}_{\text{right}}$ : the event that  $\mathcal{A} \diamond \mathcal{L}_{\text{right}}$  sets *bad* to 1 at some point.

# Bad-Event Lemma

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*proof*

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$$\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1] = \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1 \mid \mathcal{B}_{\text{left}}] \Pr[\mathcal{B}_{\text{left}}] + \Pr[\mathcal{A} \diamond \mathcal{L} \Rightarrow 1 \mid \overline{\mathcal{B}_{\text{left}}}] \Pr[\overline{\mathcal{B}_{\text{left}}}]$$

$$\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1] = \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1 \mid \mathcal{B}_{\text{right}}] \Pr[\mathcal{B}_{\text{right}}] + \Pr[\mathcal{A} \diamond \mathcal{L} \Rightarrow 1 \mid \overline{\mathcal{B}_{\text{right}}}] \Pr[\overline{\mathcal{B}_{\text{right}}}]$$

We have  $\Pr[\mathcal{B}_{\text{left}}] = \Pr[\mathcal{B}_{\text{right}}]$ , because  $\mathcal{L}_{\text{left}}$  and  $\mathcal{L}_{\text{right}}$  have **identical** code, except for code blocks reachable only when *bad* = 1. Let  $p^* =_{\text{def}} \Pr[\mathcal{B}_{\text{left}}] = \Pr[\mathcal{B}_{\text{right}}]$ .

$$\begin{aligned} \text{advantage}_{\mathcal{A}} &= |\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1] - \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1]| = |p^* (\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1 \mid \mathcal{B}_{\text{left}}] - \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1 \mid \mathcal{B}_{\text{right}}]) \\ &\quad + (1 - p^*) (\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1 \mid \overline{\mathcal{B}_{\text{left}}}] - \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1 \mid \overline{\mathcal{B}_{\text{right}}}] )| \end{aligned}$$

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*proof*

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$$\begin{aligned} \text{advantage}_{\mathcal{A}} &= |\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1] - \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1]| \\ &= |p^*(\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1 \mid \mathcal{B}_{\text{left}}] - \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1 \mid \mathcal{B}_{\text{right}}]) \\ &\quad + (1 - p^*)(\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1 \mid \overline{\mathcal{B}_{\text{left}}}] - \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1 \mid \overline{\mathcal{B}_{\text{left}}}] )| \end{aligned}$$

We already have  $\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1 \mid \overline{\mathcal{B}_{\text{left}}}] = \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1 \mid \overline{\mathcal{B}_{\text{left}}}]$ :

$$\text{advantage}_{\mathcal{A}} = p^* |(\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \Rightarrow 1 \mid \mathcal{B}_{\text{left}}] - \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{right}} \Rightarrow 1 \mid \mathcal{B}_{\text{right}}])|$$

Hence,  $\text{advantage}_{\mathcal{A}} \leq p^* = \Pr[\mathcal{B}_{\text{left}}] = \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{left}} \text{ sets } \textit{bad} = 1]$

# Return to the Example

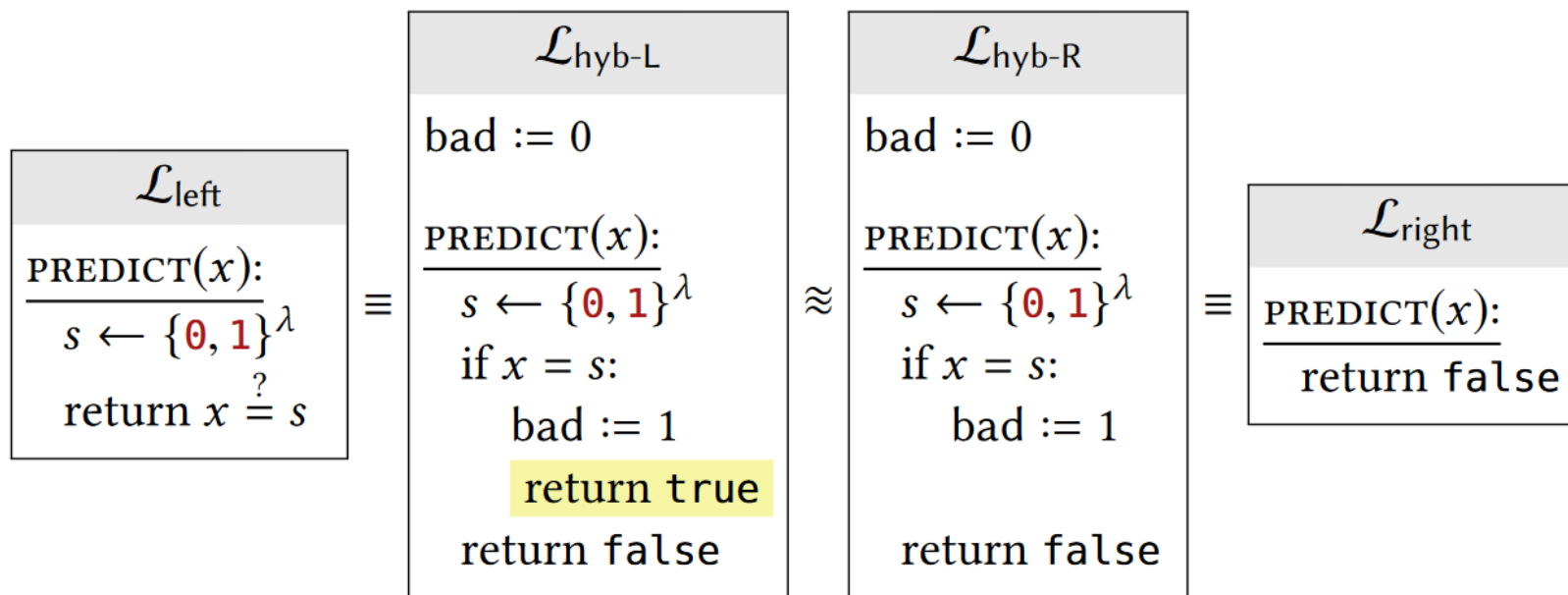
- $\mathcal{L}_{\text{left}}$  and  $\mathcal{L}_{\text{right}}$  are indistinguishable.

$\mathcal{L}_{\text{left}}$
$\text{PREDICT}(x):$
$s \leftarrow \{\textcolor{red}{0}, \textcolor{red}{1}\}^\lambda$
return $x \stackrel{?}{=} s$

$\mathcal{L}_{\text{right}}$
$\text{PREDICT}(x):$
return false

# Return to the Example

- $\mathcal{L}_{\text{left}}$  and  $\mathcal{L}_{\text{right}}$  are indistinguishable.



$\mathcal{L}_{\text{hyb-L}} \approx \mathcal{L}_{\text{hyb-R}}$ :  $|\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{hyb-L}} \Rightarrow 1] - \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{hyb-R}} \Rightarrow 1]| \leq \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{hyb-L}} \text{ sets } bad = 1]$   
 $\mathcal{A} \diamond \mathcal{L}_{\text{hyb-L}}$  sets  $bad = 1$  only if  $s$  is **successfully predicted**, which happens at most  $q/2^\lambda$ , which is **negligible** when  $\mathcal{A}$  runs in polynomial time.

# Birthday Probabilities

- Taking  $q$  independent, uniform samples from a set of  $N$  items. What is the probability that the same value gets chosen more than once? In other words, what is the probability that the following program outputs 1?

$\mathcal{B}(q, N)$
<pre>for <math>i := 1</math> to <math>q</math>:   <math>s_i \leftarrow \{1, \dots, N\}</math>   for <math>j := 1</math> to <math>i - 1</math>:     if <math>s_i = s_j</math> then return 1 return 0</pre>

- $\text{BirthdayProb}(q, N) =_{\text{def}} \Pr[\mathcal{B}(q, N) \text{ outputs } 1]$

# Birthday Probabilities

**Lemma**  $\text{BirthdayProb}(q, N) = 1 - \prod_{i=1}^{q-1} (1 - \frac{i}{N})$ .

*Proof*

We instead compute the probability that  $\mathcal{B}$  outputs 0. In order for  $\mathcal{B}$  to output 0, it must avoid the early termination conditions in each iteration.

$$\begin{aligned} & \Pr[\mathcal{B}(q, N) \text{ outputs } 0] \\ &= \Pr[\mathcal{B}(q, N) \text{ doesn't terminate early in iteration } i = 1] \times \cdots \\ & \times \Pr[\mathcal{B}(q, N) \text{ doesn't terminate early in iteration } i = q] \end{aligned}$$

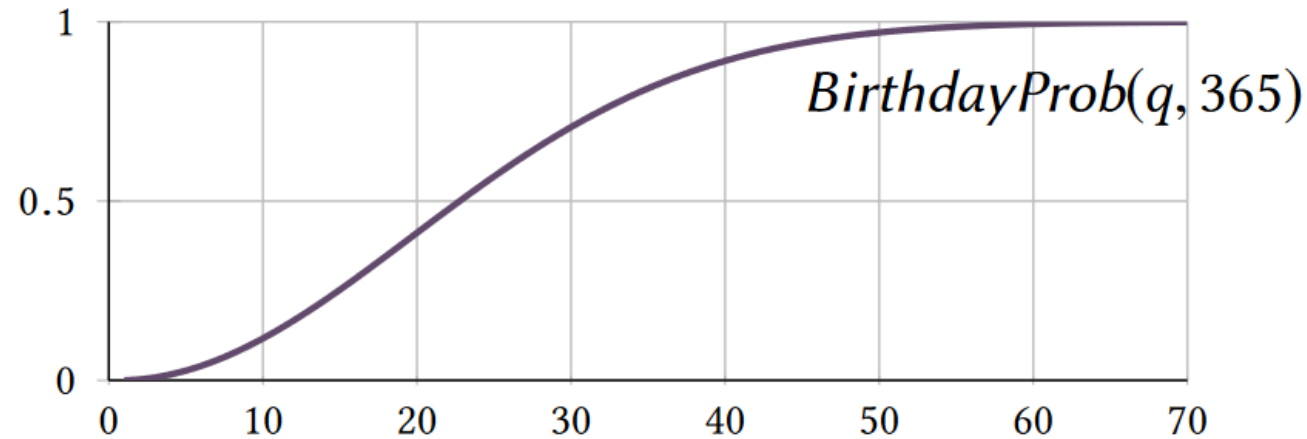
We have  $\Pr[\mathcal{B}(q, N) \text{ doesn't terminate early in iteration } i] = 1 - \frac{i-1}{N}$ .

$$\begin{aligned} \text{BirthdayProb}(q, N) &= \Pr[\mathcal{B}(q, N) \text{ outputs } 1] = 1 - \Pr[\mathcal{B}(q, N) \text{ outputs } 0] \\ &= 1 - \left(1 - \frac{1}{N}\right) \times \cdots \times \left(1 - \frac{q-1}{N}\right) = 1 - \prod_{i=1}^{q-1} \left(1 - \frac{i}{N}\right) \end{aligned}$$



# Birthday Probabilities

- Plotting  $\text{BirthdayProb}(q, 365)$



- With only  $q = 23$  people, the probability already exceeds 50%.
- $q = 70$ , the probability exceeds 99.9%.

# Asymptotic Bounds on the Birthday Probability

We are most interested in the case where  $q$  is relatively **small** compared to  $N$  (e.g., when  $q$  is a **polynomial** function of  $\lambda$  but  $N$  is **exponential**).

**Lemma** if  $q \leq \sqrt{2N}$ , then  $0.632 \frac{q(q-1)}{2N} \leq \text{BirthdayProb}(q, N) \leq \frac{q(q-1)}{2N}$ . This means that  $\text{BirthdayProb}(q, N) = \Theta(\frac{q^2}{N})$

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*Proof*

**Upper bound:**

For positive  $x$  and  $y$ :  $(1 - x)(1 - y) = 1 - (x + y) + xy \geq 1 - (x + y)$

More generally, when all  $x_i$  are positive,  $\prod_i (1 - x_i) \geq 1 - \sum_i x_i$ . Hence,

$$1 - \prod_i (1 - x_i) \leq 1 - \left(1 - \sum_i x_i\right) = \sum_i x_i$$

Then  $\text{BirthdayProb}(q, N) = 1 - \prod_{i=1}^{q-1} \left(1 - \frac{i}{N}\right) \leq \sum_{i=1}^{q-1} \frac{i}{N} = \frac{\sum_{i=1}^{q-1} i}{N} = \frac{q(q-1)}{2N}$

# Asymptotic Bounds on the Birthday Probability

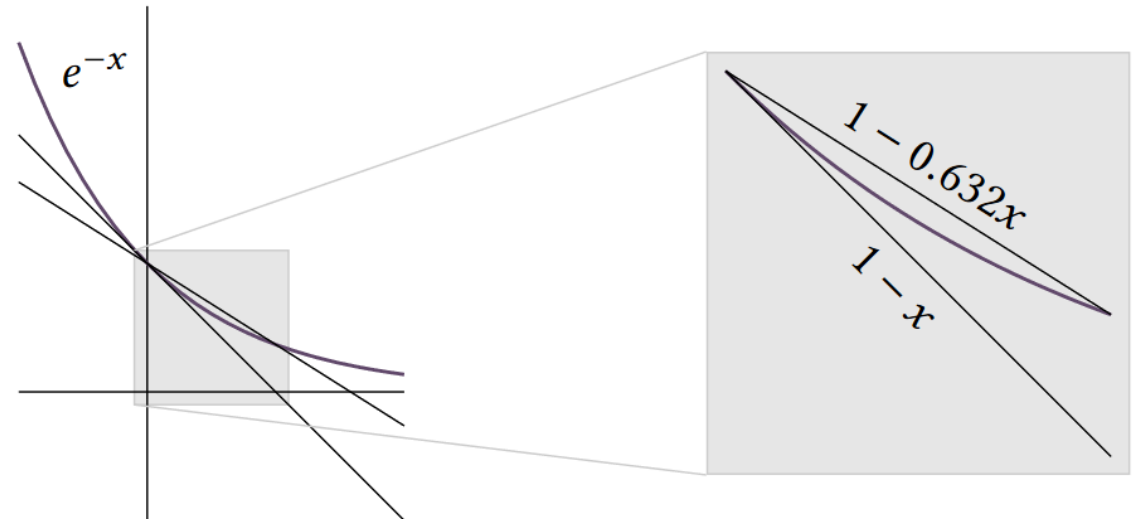
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*Proof*

**Lower bound:** Use the fact that when  $0 \leq x \leq 1$ ,

$$1 - x \leq e^{-x} \leq 1 - 0.632x$$

0.632 from  $1 - \frac{1}{e} = 0.632112 \dots$



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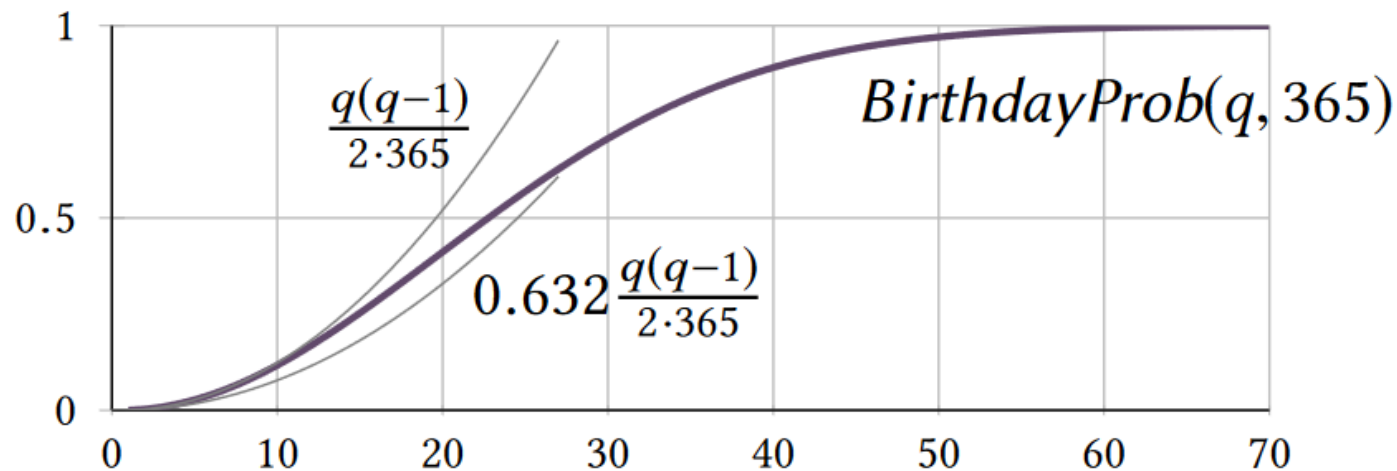
We have  $\prod_{i=1}^{q-1} (1 - \frac{i}{N}) \leq \prod_{i=1}^{q-1} e^{-\frac{i}{N}} = e^{-\sum_{i=1}^{q-1} \frac{i}{N}} = e^{-\frac{q(q-1)}{2N}} \leq 1 - 0.632 \frac{q(q-1)}{2N}$

The last inequality uses the fact  $q \leq \sqrt{2N}$  and thus  $\frac{q(q-1)}{2N} \leq 1$ .

Hence,  $\text{BirthdayProb}(q, N) = 1 - \prod_{i=1}^{q-1} (1 - \frac{i}{N}) \geq 1 - (1 - 0.632 \frac{q(q-1)}{2N}) = 0.632 \frac{q(q-1)}{2N}$

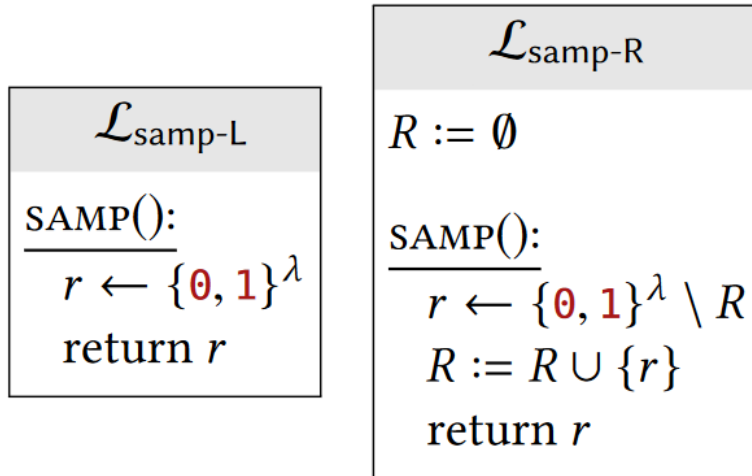
# Asymptotic Bounds on the Birthday Probability

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# The Birthday Problem in Terms of Indistinguishable Libraries

- **with replacement** vs. **without replacement**



- A natural way to distinguish them: call SAMP many times
  - If ever see a **repeated** output, then must be linked to  $\mathcal{L}_{\text{samp-L}}$ . After some number of calls to SAMP, if still **don't see any repeated outputs**, you might eventually stop and guess that you are linked to  $\mathcal{L}_{\text{samp-R}}$ .

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  - Return 1 if see a repeated value.
    - When linked to  $\mathcal{L}_{\text{samp-R}}$ , can never return 1
    - When linked to  $\mathcal{L}_{\text{samp-L}}$ , return 1 with prob.  $\text{BirthdayProb}(q, 2^\lambda)$
    - The advantage is  $\text{BirthdayProb}(q, 2^\lambda) = \Theta(\frac{q^2}{2^\lambda})$ , which is **negligible**.

$\mathcal{L}_{\text{samp-L}}$
$\text{SAMP}():$ <hr/> $r \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda$ return $r$

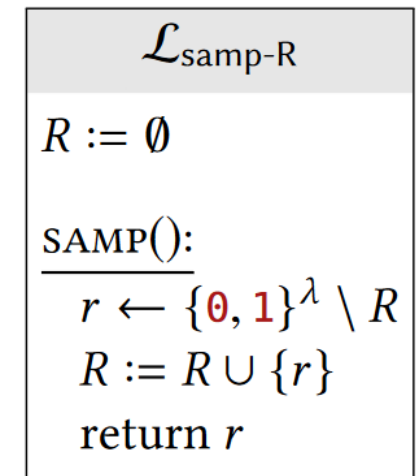
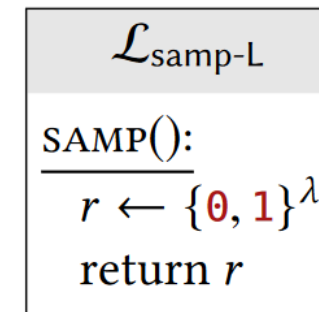
$\mathcal{L}_{\text{samp-R}}$
$R := \emptyset$  $\text{SAMP}():$ <hr/> $r \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda \setminus R$ $R := R \cup \{r\}$ return $r$



# The Birthday Problem in Terms of Indistinguishable Libraries

**Lemma** For all calling programs  $\mathcal{A}$  that make  $q$  queries to the SAMP subroutine, the advantage of  $\mathcal{A}$  in distinguishing the libraries is at most  $\text{BirthdayProb}(q, 2^\lambda)$ .

In particular, when  $\mathcal{A}$  is polynomial-time (in  $\lambda$ ),  $q$  grows as a polynomial in the security parameter. Hence,  $\mathcal{A}$  has negligible advantage. We have  $\mathcal{L}_{\text{samp-L}} \approx \mathcal{L}_{\text{samp-R}}$



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*proof*

$$\mathcal{L}_{\text{hyb-L}} \equiv \mathcal{L}_{\text{samp-L}}$$

$$\mathcal{L}_{\text{hyb-R}} \equiv \mathcal{L}_{\text{samp-R}}$$

$\mathcal{L}_{\text{hyb-L}}$
$R := \emptyset$
$\text{bad} := 0$
<u>SAMP():</u>
$r \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda$
if $r \in R$ then:
$\text{bad} := 1$
$R := R \cup \{r\}$
return $r$

$\mathcal{L}_{\text{hyb-R}}$
$R := \emptyset$
$\text{bad} := 0$
<u>SAMP():</u>
$r \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda$
if $r \in R$ then:
$\text{bad} := 1$
$r \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda \setminus R$
$R := R \cup \{r\}$
return $r$

$\mathcal{L}_{\text{samp-L}}$
<u>SAMP():</u>
$r \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda$
return $r$

$\mathcal{L}_{\text{samp-R}}$
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# The Birthday Problem in Terms of Indistinguishable Libraries

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$\mathcal{L}_{\text{hyb-L}}$	$\mathcal{L}_{\text{hyb-R}}$
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$\text{bad} := 0$	$\text{bad} := 0$
<u>SAMP():</u>	<u>SAMP():</u>
$r \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda$	$r \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda$
if $r \in R$ then:	if $r \in R$ then:
$\text{bad} := 1$	$\text{bad} := 1$
	$r \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda \setminus R$
$R := R \cup \{r\}$	$R := R \cup \{r\}$
return $r$	return $r$

We can bound the advantage of the calling program:

$$\begin{aligned}
 & \left| \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{samp-L}} \Rightarrow 1] - \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{samp-R}} \Rightarrow 1] \right| = \left| \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{hyb-L}} \Rightarrow 1] - \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{hyb-R}} \Rightarrow 1] \right| \\
 & \leq \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{hyb-L}} \text{ sets bad} = 1] = \text{BirthdayProb}(q, 2^\lambda)
 \end{aligned}$$

# The Birthday Problem in Terms of Indistinguishable Libraries

- Birthday problem in terms of indistinguishable libraries makes it a useful tool in future security proofs.
  - We can **replace** a **uniform sampling** step with a **sampling-without-replacement** step.
  - This change has only a **negligible effect**, but now the rest of the proof can take advantage of the fact that samples are never repeated.
- If a security proof does use the indistinguishability of the birthday libraries, the scheme can likely be broken when a uniformly sampled value is repeated.
  - Since this becomes inevitable as the number of samples approaches  $\sqrt{2^{\lambda+1}} \sim 2^{\frac{\lambda}{2}}$ , it means the scheme only offers  $\lambda/2$  bits of security. When a scheme has this property, we say that it has **birthday bound security**.

$$0.632 \frac{q(q-1)}{2N} \leq \text{BirthdayProb}(q, N) \leq \frac{q(q-1)}{2N}.$$

# A Generalization

- The following two libraries are indistinguishable, provided that the argument  $\mathcal{R}$  to SAMP is passed as an **explicit list of items** (i.e., take the time to “write down” the elements of  $\mathcal{R}$ ).

$\mathcal{L}_{\text{samp-L}}$
$\frac{\text{SAMP}(\mathcal{R} \subseteq \{\mathbf{0}, \mathbf{1}\}^\lambda):}{r \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda}$
return $r$

$\mathcal{L}_{\text{samp-R}}$
$\frac{\text{SAMP}(\mathcal{R} \subseteq \{\mathbf{0}, \mathbf{1}\}^\lambda):}{r \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda \setminus \mathcal{R}}$
return $r$

- Suppose the calling program makes  $q$  calls to SAMP, and in the  $i$ th call it uses an argument  $\mathcal{R}$  with  $n_i$  items. Then the advantage of the calling program is at most:

$$1 - \prod_{i=1}^q \left(1 - \frac{n_i}{2^\lambda}\right)$$

The birthday scenario:  $n_i = i - 1$

# Pseudorandom Generators

# Recall One-Time Pad

- One-time pad
  - KeyGen:  $k \leftarrow \{0,1\}^\lambda$
  - Enc( $k, m$ ):  $m \oplus k$
  - Dec( $k, c$ ):  $m \oplus c$
- To encrypt  $m \in \{0,1\}^{2\lambda}$
- If we have a function  $G: \{0,1\}^\lambda \rightarrow \{0,1\}^{2\lambda}$ 
  - Enc( $k, m$ ) =  $G(k) \oplus m$
  - If the output of  $G$  is “close enough” to uniform?

# Pseudorandom Generators

- A pseudorandom generator (PRG) is a **deterministic** function  $G$  whose **outputs are longer than its inputs**.
- When the input to  $G$  is chosen uniformly at random, it induces a certain distribution over the possible output.
  - This output distribution cannot be uniform. However, the distribution is **pseudorandom** if it is **indistinguishable from the uniform distribution**.



# Pseudorandom Generators

**Definition** Let  $G: \{0, 1\}^\lambda \rightarrow \{0, 1\}^{\lambda+\ell}$  be a deterministic function with  $\ell > 0$ . We say that  $G$  is a **secure** pseudorandom generator (PRG) if

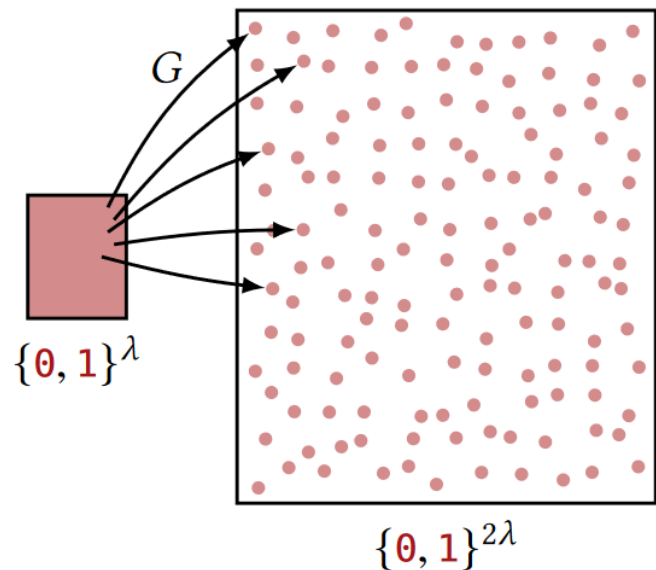
$\mathcal{L}_{\text{prg-real}}^G \approx \mathcal{L}_{\text{prg-rand}}^G$ , where:

$\mathcal{L}_{\text{prg-real}}^G$	$\mathcal{L}_{\text{prg-rand}}^G$
<u>QUERY():</u> $s \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda$ return $G(s)$	<u>QUERY():</u> $r \leftarrow \{\mathbf{0}, \mathbf{1}\}^{\lambda+\ell}$ return $r$

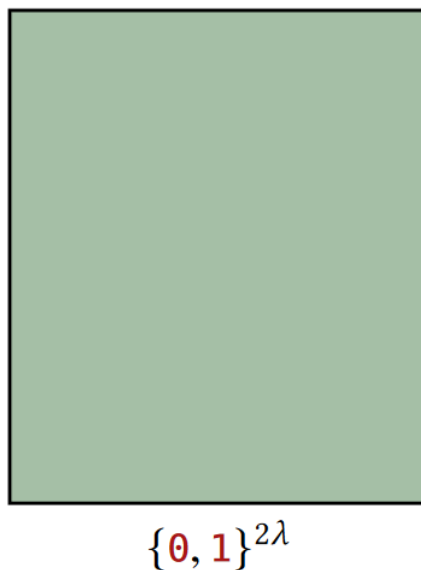
The value  $\ell$  is called the **stretch** of the PRG. The input to the PRG is called a **seed**.

# Pseudorandom Generators

For a length-doubling ( $\ell = \lambda$ ) PRG (not drawn to scale)



pseudorandom distribution



uniform distribution

# Pseudorandom Generators

For a length-doubling ( $\ell = \lambda$ ) PRG

- From a **relative** perspective, the PRG's output distribution is tiny. Out of the  $2^{2\lambda}$  strings in  $\{0, 1\}^{2\lambda}$ , only  $2^\lambda$  are possible outputs of  $G$ . These strings make up a  $2^\lambda / 2^{2\lambda} = 1/2^\lambda$  fraction of  $\{0, 1\}^{2\lambda}$  — a **negligible** fraction!
  - A polynomial time calling program cannot notice this
- From a **absolute** perspective, the PRG's output distribution is huge. There are  $2^\lambda$  possible outputs of  $G$ , which is an **exponential** amount!

# Pseudorandom Generators

- A PRG is indeed an algorithm into which you can **feed any string** you like. However, security is **only guaranteed** when the PRG is being used exactly as described in the security libraries
  - In particular, when the **seed is chosen uniformly/secretly** and **not used for anything else**.

$\mathcal{L}_{\text{prg-real}}^G$
<u>QUERY():</u> $s \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda$ return $G(s)$

$\mathcal{L}_{\text{prg-rand}}^G$
<u>QUERY():</u> $r \leftarrow \{\mathbf{0}, \mathbf{1}\}^{\lambda+\ell}$ return $r$

# Pseudorandom Generators in Practice

- We have no examples of secure PRGs!
- If it were possible to prove that some function  $G$  is a secure PRG, it would resolve the famous P vs NP problem.
- Cryptographic research can offer candidate PRGs, which are conjectured to be secure.
- Modern crypto: provable security claims are conditional — if  $X$  is secure then  $Y$  is secure.
- When you really need a PRG in practice, you would actually use a PRG that is built from something called a block cipher.
  - A block cipher is conceptually more complicated than a PRG, and can even be built from a PRG (in principle).
  - More useful object, so implemented with specialized CPU instructions in most CPUs

# A Construction

$\mathcal{L}_{\text{prg-real}}^G$
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$\mathcal{L}_{\text{prg-rand}}^G$
$\text{QUERY}():$ $r \leftarrow \{\mathbf{0}, \mathbf{1}\}^{\lambda+\ell}$ return $r$

- If the input  $s$  is random, then  $s||s$  is also random, too, right?

$G(s) :$ return $s  s$
---------------------------

- No!

$\mathcal{A}$
$x  y := \text{QUERY}()$ return $x \stackrel{?}{=} y$

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 $\diamond$ 

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$\text{QUERY}():$ $s \leftarrow \{\mathbf{0}, \mathbf{1}\}^\lambda$ return $s  s$

$$\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{prg-real}}^G \Rightarrow 1] = 1$$

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 $\diamond$ 

$\mathcal{L}_{\text{prg-rand}}^G$
$\text{QUERY}():$ $r \leftarrow \{\mathbf{0}, \mathbf{1}\}^{2\lambda}$ return $r$

$$\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{prg-real}}^G \Rightarrow 1] = 1$$

$$\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{prg-rand}}^G \Rightarrow 1] = 1/2^\lambda$$



# Pseudo OTP

$$\mathcal{K} = \{0,1\}^\lambda, \mathcal{M} = \{0,1\}^{\lambda+\ell}, \mathcal{C} = \{0,1\}^{\lambda+\ell}$$

KeyGen:  $k \leftarrow \mathcal{K}$ , return  $k$ .

Enc( $k, m$ ): return  $G(k) \oplus m$

Dec( $k, c$ ): return  $G(k) \oplus c$

This scheme will **not** have (perfect) one-time secrecy. That is, encryptions of  $m_L$  and  $m_R$  **will not be identically distributed** in general. However, the distributions will be **indistinguishable** if  $G$  is a **secure** PRG.

# (Computational) One-Time Secrecy

**Definition** Let  $\Sigma$  be an encryption scheme, and let  $\mathcal{L}_{\text{ots-L}}^\Sigma$  and  $\mathcal{L}_{\text{ots-R}}^\Sigma$  be defined as below. Then  $\Sigma$  has (**computational**) one-time secrecy if  $\mathcal{L}_{\text{ots-L}}^\Sigma \approx \mathcal{L}_{\text{ots-R}}^\Sigma$ . That is, if for **all polynomial-time** distinguishers  $\mathcal{A}$ , we have  $\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{ots-L}}^\Sigma \Rightarrow 1] \approx \Pr[\mathcal{A} \diamond \mathcal{L}_{\text{ots-R}}^\Sigma \Rightarrow 1]$ .

$\mathcal{L}_{\text{ots-L}}^\Sigma$
<u>EAVESDROP(<math>m_L, m_R \in \Sigma.\mathcal{M}</math>):</u>
$k \leftarrow \Sigma.\text{KeyGen}$
$c \leftarrow \Sigma.\text{Enc}(k, m_L)$
return $c$

$\mathcal{L}_{\text{ots-R}}^\Sigma$
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**Claim** If this scheme is instantiated using a **secure** PRG  $G$ , then it has **computational** one-time secrecy.

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**Claim** If this scheme (called pOTP) is instantiated using a [secure PRG](#)  $G$ , then it has [computational one-time secrecy](#).

*Proof*

$\mathcal{L}_{\text{ots-L}}^{\text{pOTP}}$	$\approx$	$\mathcal{L}_{\text{ots-L}}^{\text{pOTP}}$
EAVESDROP( $m_L, m_R \in \{0,1\}^{\lambda+\ell}$ ): $k \leftarrow \{0,1\}^\lambda$ $c := G(k) \oplus m_L$ return $c$		EAVESDROP( $m_L, m_R \in \{0,1\}^{\lambda+\ell}$ ): $k \leftarrow \{0,1\}^\lambda$ $c := G(k) \oplus m_R$ return $c$

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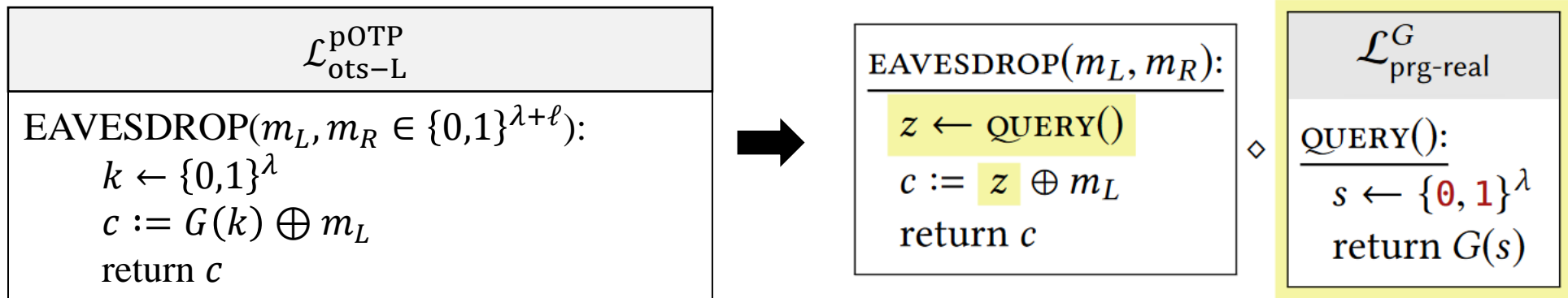
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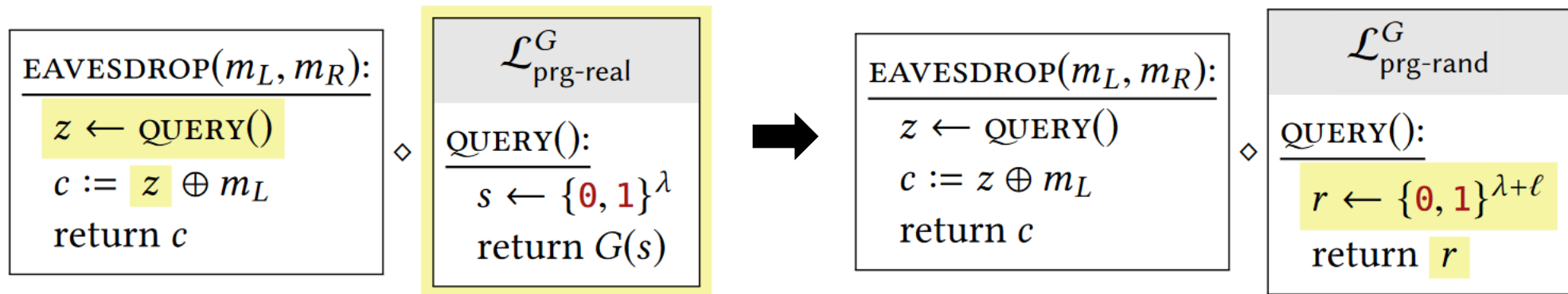
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# Pseudo OTP

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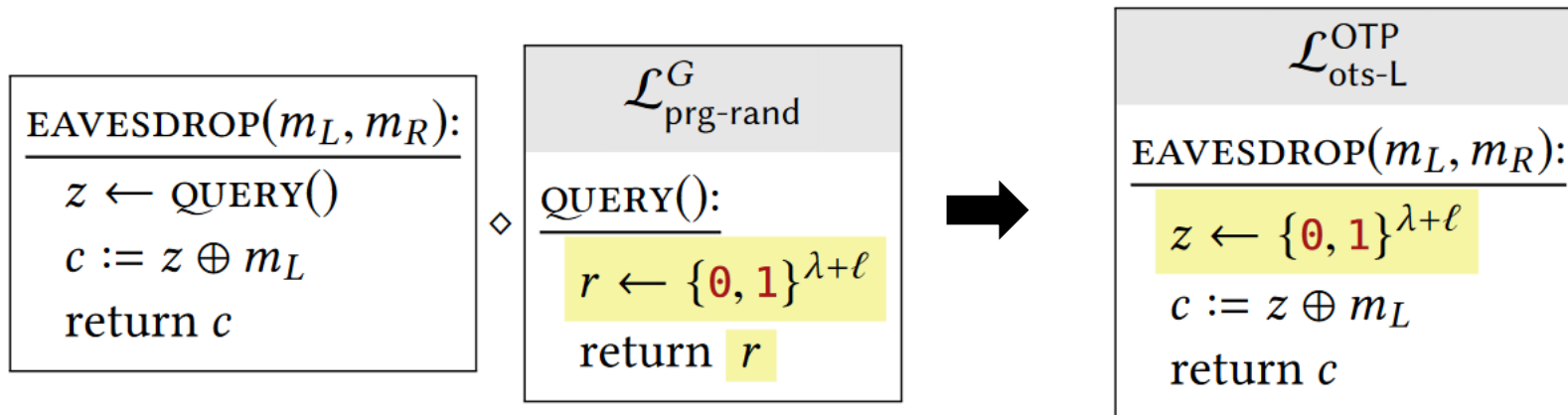
KeyGen:  $k \leftarrow \mathcal{K}$ , return  $k$ .

Enc( $k, m$ ): return  $G(k) \oplus m$

Dec( $k, c$ ): return  $G(k) \oplus c$

**Claim** If this scheme (called pOTP) is instantiated using a secure PRG  $G$ , then it has computational one-time secrecy.

*Proof*



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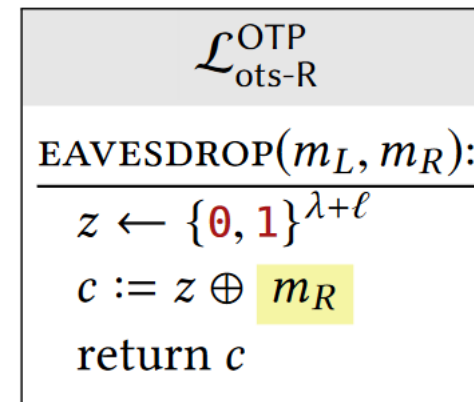
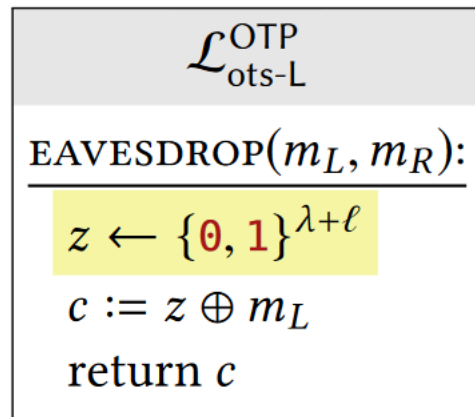
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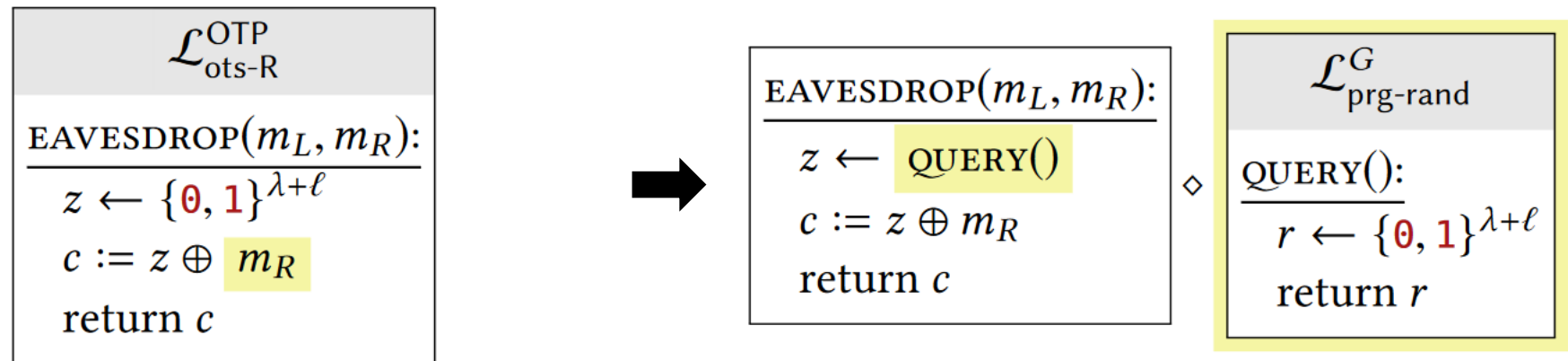
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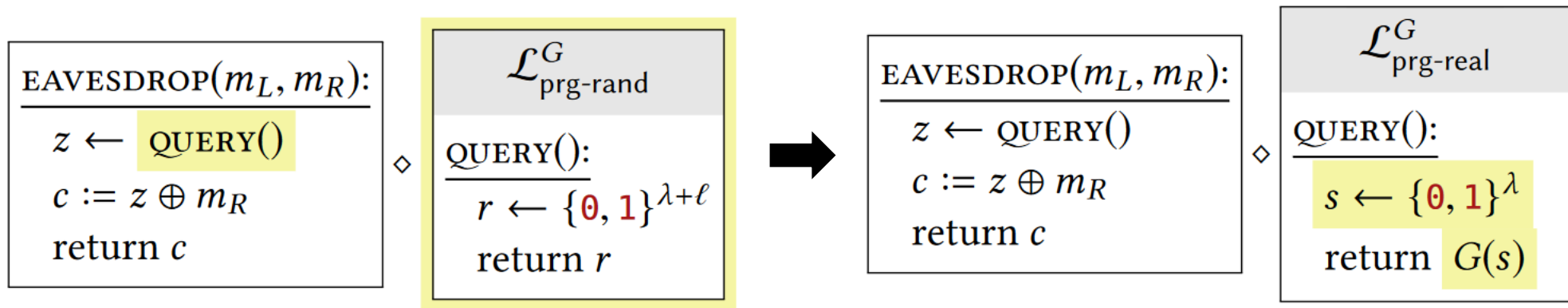
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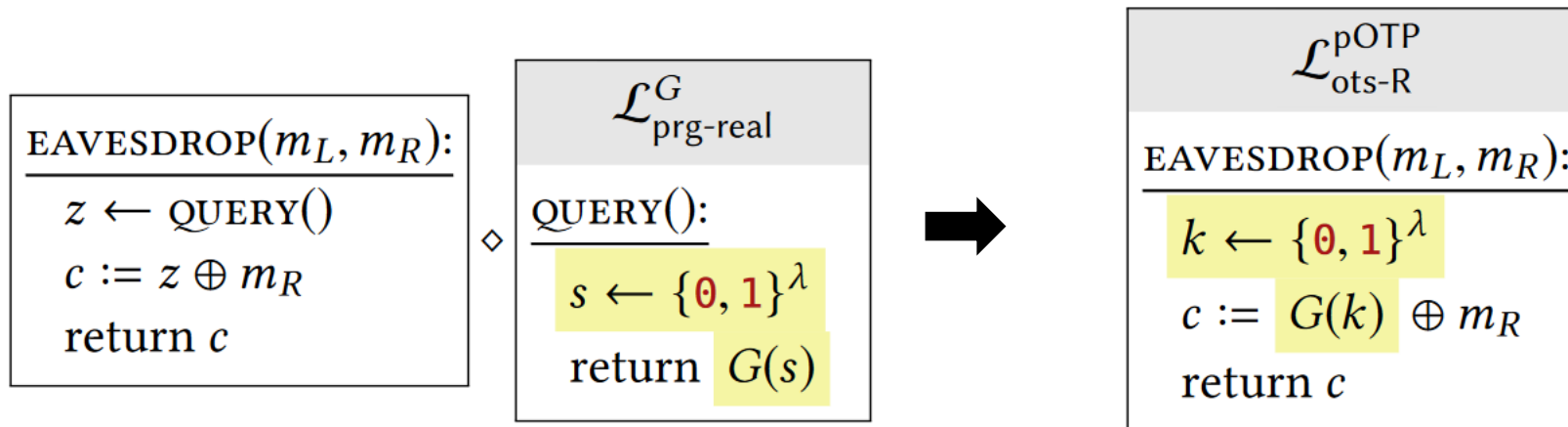
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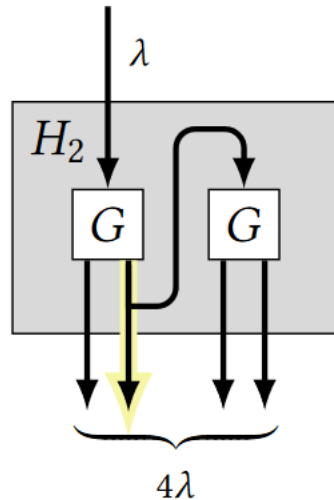


# Extending the Stretch of a PRG

- Suppose  $G: \{0,1\}^\lambda \rightarrow \{0,1\}^{2\lambda}$  is a length-doubling PRG.
- Are the following constructions secure?

$H_2(s)$ :

$x \parallel y := G(s)$   
 $u \parallel v := G(y)$   
return  $x \parallel y \parallel u \parallel v$



$H_1(s)$ :

$x \parallel y := G(s)$   
 $u \parallel v := G(y)$   
return  $x \parallel u \parallel v$

